

# Application form mySNF

**Instrument** **Spark**

## Part 1: General Information

### Basic data

|                                 |   |
|---------------------------------|---|
| <b>Project Title</b>            | Deep generative modelling for gravitational lensing fields from 3D models of galaxies |
| <b>Project title in English</b> | Deep generative modelling for gravitational lensing fields from 3D models of galaxies |

|                        |  |
|------------------------|--|
| <b>Research Field</b>  | Mathematics, natural sciences                      |
| <b>Main Discipline</b> | 20200 Astronomy, Astrophysics and Space Sciences   |
| <b>University</b>      | Zürcher Hochschule f. Angew. Wissenschaften - ZHAW |

|                     |                       |
|---------------------|-----------------------|
| <b>Applicant(s)</b> |                       |
| Main Applicant      | <b>Philipp Denzel</b> |

### Grant Application

|                         |                   |               |
|-------------------------|-------------------|---------------|
| Amount requested (CHF)  | Total             | <b>96'968</b> |
| Requested starting date | <b>01.11.2023</b> |               |
| Duration (6-12 months)  | <b>12</b>         |               |

### Attachments

|                         |   |
|-------------------------|---|
| Project description     | gl3dgen_spark_23.pdf                                |
| CV                      | CV_Denzel_i01gz647k49tvcwzfy4xsvhpk3q8.pdf          |
| Employment confirmation | confirmation_of_employment_letter_Denzel_signed.pdf |

# 1. Responsible applicant

|  |                      |
|--|----------------------|
| <b>Last name</b>                             | <b>Denzel</b>        |
| <b>First name</b>                            | <b>Philipp</b>       |
| <b>Function (title)</b>                      |                      |
| <b>Academic degree</b>                       | Dr. / PhD            |
| <b>Date of birth</b>                         | 19.08.1991           |
| <b>Gender</b>                                | männlich             |
| <b>Swiss social security number</b>          | 756.7165.9375.87     |
| <b>Language</b>                              | Deutsch              |
| <b>Nationality</b>                           | Deutschland          |
| <b>Correspondence address of application</b> | Address of workplace |

## Home address

|                           |                     |
|---------------------------|---------------------|
| <b>Address supplement</b> |                     |
| <b>Street, No.</b>        | Breitwiesstrasse 61 |
| <b>P.O. Box</b>           |                     |
| <b>Postcode / Zipcode</b> | 8135                |
| <b>Place</b>              | Langnau am Albis    |
| <b>Country</b>            | Schweiz             |

## Current work address (if available)

|  |                                    |
|--|------------------------------------|
| <b>Designation 1 (lab/research group)*</b>                       | Center for Artificial Intelligence |
| <b>Designation 2 (inst /dept.)*</b>                              | School of Engineering              |
| <b>Designation 3 (University)*</b>                               | ZHAW                               |
| <b>Street, No.</b>   | Technikumstrasse 71                |
| <b>Address supplement 1 (e.g. building)</b>                      | TN                                 |
| <b>Host (Head of the institute/ department, Grants Office) *</b> | Prof. Dr. Thilo Stadelmann         |
| <b>P.O. Box</b>  |                                    |
| <b>Postcode / Zipcode</b>  | 8400                               |
| <b>Place</b>   | Winterthur                         |
| <b>State, canton, etc.</b>                                       | ZH                                 |
| <b>Country</b>   | Schweiz                            |

## Communication

|                              |                    |
|------------------------------|--------------------|
| <b>Secretariat line</b>      |                    |
| <b>Switchboard</b>           |                    |
| <b>Direct line</b>           |                    |
| <b>Fax office</b>            |                    |
| <b>Home telephone number</b> |                    |
| <b>Cellphone</b>             | +41 76 211 19 08   |
| <b>Website</b>               |                    |
| <b>E-mail address</b>        | phdenzel@gmail.com |

## 2. Host research group

### General information

|   |                                    |
|---|------------------------------------|
| Designation 1<br>(lab/research group)*                          | Centre for Artificial Intelligence |
| Designation 2 (inst<br>/dept.)*                                 | School of Engineering              |
| Designation 3<br>(University)*                                  | ZHAW                               |
| Address supplement 1<br>(e.g. building)                         | TN                                 |
| Host (Head of the<br>institute/ department,<br>Grants Office) * | Prof. Dr. Thilo Stadelmann         |
| Street, No.   | Technikumstrasse, 71               |
| P.O. Box  |                                    |
| Postcode / Zipcode  | 8400                               |
| Place   | Winterthur                         |
| State, canton, etc.   | ZH                                 |
| Country   | Switzerland                        |
| Planned start of the<br>project                                 | 01.11.2023                         |
| Planned end of the<br>project                                   | 02.08.2024                         |

### Communication

|                  |                          |
|------------------|--------------------------|
| Secretariat line | +41 58 934 72 08         |
| Switchboard      |                          |
| Website          | www.zhaw.ch/cai          |
| E-mail address   | thilo.stadelmann@zhaw.ch |

## 3. Applicant's employment

### Information on employment and function at the anticipated starting date of the grant

|  |   |
|--|---|
| Name   | Denzel, Philipp   |
| Employment at the<br>anticipated starting date<br>of the grant | befristet bis...  |
| fixed-term contract until                                      | 30.06.2025  |
| Level of employment %  | 100   |
| Function in the context<br>of this grant application           | Postdoktorand/in, Research associate, Assistenzärztin/Assistenzarzt |
| Professorship  | Keine   |
| Doctorate (PhD)?   | Yes   |
| Date of doctorate (PhD)  | 29.10.2020  |
| PhD supervisor   | Prof. Dr. Prasenjit Saha  |
| Country of doctorate   | Schweiz   |
| Remarks  |   |
| Further employments  |   |

## 4. Basic data I

|                                      |   |
|--------------------------------------|---|
| <b>Title in English</b>              | Deep generative modelling for gravitational lensing fields from 3D models of galaxies |
| <b>Original title (if different)</b> |   |
| <b>Requested starting date</b>       | 01.11.2023  |
| <b>Duration (6-12 months)</b>        | 12  |
| <b>Research field</b>                | Mathematics, natural sciences   |
| <b>Further research fields</b>       | Engineering sciences  |
| <b>Main discipline</b>               | 20200 Astronomy, Astrophysics and Space Sciences                                      |
| <b>Sub-discipline(s)</b>             | 20506 Information Technology  |

## 5. Basic data II

**Summary (copy of the summary in the project description)**

This is a research proposal for the development of a novel technique using generative deep learning for modelling galaxies of gravitational lens systems in 3D, opposed to conventional methods limited to 2D.

Gravitational lensing is a phenomenon that occurs when rays of light from a distant background source are deflected by the gravitational field of a massive foreground object, e.g. a galaxy, which almost perfectly aligns with the observer. While such occurrences are rare, they are scientifically significant, because they provide the only opportunity to directly infer the lensing galaxy's mass distribution, including its dark matter content. This unique perspective on a galaxy's dark matter distribution offers exceptional insights into the mysteries surrounding galaxy evolution, the nature of dark matter, galaxy substructures, and even the expansion of the Universe.

Accurately predicting the deflection field of a strong gravitational lens is a complex task that requires a detailed understanding of the distribution of matter in the lensing galaxy. Conventional methods for calculating these deflection fields, such as ray-tracing, are computationally expensive, can take a long time to generate results, and typically have to be fine-tuned by an experienced expert.

In recent years, deep learning methods have emerged as a promising approach for generating and processing image-based data in various scientific fields, often with super-human proficiency. These methods employ neural networks to learn the mapping between the input properties (for instance, the lensing galaxy) and the resulting image (in this instance, the deflection field).

In this research proposal, I outline the usage of generative deep learning methods to produce strongly lensing deflection fields of 3D galaxy models from existing hydrodynamical simulation suites for the purpose of creating mock observations, observational fits, and corresponding source reconstructions. Specifically, we will explore various state-of-the-art deep learning architectures, including diffusion models, vision transformers, generative adversarial networks (GANs), and variational autoencoders (VAEs) to develop a model that can accurately generate the deflection field from a given 3D galaxy model. The resulting deep learning model will be used to create synthetic observations that can be compared to existing observational data to test the accuracy of the lens model, and in particular investigate the theoretical properties of the observed lensing galaxies and their corresponding background source reconstructions, within a Bayesian framework.

Lens modelling is inherently considered as a (degenerate) 2D inverse problem. The novelty of this project consists of introducing 3D models as direct input, which requires methods able to cover a broad range in solution space due to the degeneracy introduced thereby. Conventional modelling methods are insufficient due to the typically low complexity of their models whereas deep learning methods increase the model complexity with a high number of parameters, thus able to span a wider range in solution space.

As of today, roughly  $10^3$  lenses have been discovered, only a fraction of those properly analysed. Moreover, it is anticipated that the next-generation satellites and telescopes such as JWST, Euclid, SKA, or ELT may increase this number to  $10^5$ . Thus, it is crucial to devise novel techniques that can scale with big data efficiently.

**Keywords**

Gravitational lensing  
Artificial Intelligence  
Deep Learning  
Galaxy formation  
Hydrodynamical simulations  
Astronomical data

**Language of correspondence**

German

**Financial administration**

ZHAW Zürcher Hochschule für Angewandte Wissenschaften

**6. Host institution****University**

Zürcher Hochschule f. Angew. Wissenschaften - ZHAW

**Remarks****7. Requested funding**

| Requested funding  | Total (CHF)   | Year 1        |
|--------------------|---------------|---------------|
| <b>Total (CHF)</b> | <b>96'968</b> | <b>96'968</b> |

| Salaries                     | Total (CHF)   | Year 1        |
|------------------------------|---------------|---------------|
| The applicants' own salaries | 12'165        | 12'165        |
| Salary for further employees | 71'429        | 71'429        |
| <b>Total (CHF)</b>           | <b>83'594</b> | <b>83'594</b> |
| <b>Total (%)</b>             | <b>86%</b>    | <b>86%</b>    |

| Social security contributions | Total (CHF)   | Year 1        |
|-------------------------------|---------------|---------------|
| Social security contributions | 13'374        | 13'374        |
| <b>Total (CHF)</b>            | <b>13'374</b> | <b>13'374</b> |
| <b>Total (%)</b>              | <b>14%</b>    | <b>14%</b>    |

**Details**

| The applicants' own salaries                 | Total (CHF)   | Year 1        |
|--|---------------|---------------|
| Denzel, Philipp: n.n.                        | 12'165        | 12'165        |
| Work-time percentage Year 1: 10.00%          |               |               |
| Social security contributions Year 1: 16.00% |               |               |
| <b>Total (CHF)</b>                           | <b>12'165</b> | <b>12'165</b> |
| <b>Total (%)</b>                             | <b>13%</b>    | <b>13%</b>    |

| Salary for further employees                  | Total (CHF)  | Year 1 |
|---|--|--------|
| Advisor/AI Development: Frank-Peter Schilling | 9'732  | 9'732  |
| Work-time percentage Year 1: 4.00%            |  |        |
| Social security contributions Year 1: 16.00%  |  |        |
| Comments / Additions                          | Frank-Peter Schilling has expertise in AI, specifically 3D deep learning approaches, and will help with the implementation of the AI components of this project. |        |
| Person  | Frank-Peter Schilling<br>male / 06.08.1970<br>German / Germany   |        |
| Academic degree                               | Prof.  |        |

|                               |  |               |
|-------------------------------|--|---------------|
| AI/software development: n.n. | 52'138   | 52'138        |
| Work-time percentage          | Year 1: 65.00%   |               |
| Social security contributions | Year 1: 16.00%   |               |
| Comments / Additions          | This person will have expertise in AI and (scientific) software development. She or he will mainly be in charge of code implementations, and run tests of the different deep learning methods.   |               |
| Co-PI: Elena Gavagnin         | 9'559  | 9'559         |
| Work-time percentage          | Year 1: 5.00%  |               |
| Social security contributions | Year 1: 16.00%   |               |
| Comments / Additions          | Elena Gavagnin agreed to act as Co-PI for this project. She has expertise in both astrophysics and artificial intelligence. She will help with any administrative work, contribute to the AI development, normally act in an advisory role, and as my replacement in the out of ordinary case. |               |
| Person                        | Elena Gavagnin<br>female / 19.07.1988<br>Number of children 1 / English / Italy  |               |
| Academic degree               | Dr./PhD  |               |
| <b>Total (CHF)</b>            | <b>71'429</b>  | <b>71'429</b> |
| <b>Total (%)</b>              | <b>74%</b>   | <b>74%</b>    |

| <b>Social security contributions</b>          | <b>Total (CHF)</b> | <b>Year 1</b> |
|---|--------------------|---------------|
| Advisor/AI Development: Frank-Peter Schilling | 1'557              | 1'557         |
| AI/software development: n.n.                 | 8'342              | 8'342         |
| Co-PI: Elena Gavagnin                         | 1'529              | 1'529         |
| Denzel, Philipp                               | 1'946              | 1'946         |
| <b>Total (CHF)</b>                            | <b>13'374</b>      | <b>13'374</b> |
| <b>Total (%)</b>                              | <b>14%</b>         | <b>14%</b>    |

## 8. Collaboration (national and international)

|                               |  |
|-------------------------------|--|
| <b>Person/Institution</b>     | Prof. Dr. Prasenjit Saha/University of Zurich  |
| <b>Country</b>                | Switzerland  |
| <b>Context</b>                | Prof. Saha agreed to provide his expertise in the field of gravitational lensing. He will take an advisory role and help in writing the scientific publications. |
| <b>Types of collaboration</b> | in-depth/constructive exchanges on approaches, methods or results<br>Publication   |

|                               |   |
|-------------------------------|---|
| <b>Person/Institution</b>     | Dr. Elena Gavagnin/ZHAW   |
| <b>Country</b>                | Switzerland   |
| <b>Context</b>                | Dr. Gavagnin agreed to assist in almost any aspects of the project (and will act as Co-PI). She can share her expertise in astrophysical hydrodynamical simulations and artificial intelligence, refer research assistants, host meetings if necessary, and will help in writing the scientific publications. |
| <b>Types of collaboration</b> | in-depth/constructive exchanges on approaches, methods or results<br>Publication<br>Research Infrastructures<br>Exchange of personnel   |

|                               |  |
|-------------------------------|--|
| <b>Person/Institution</b>     | Prof. Dr. Frank-Peter Schilling/ZHAW   |
| <b>Country</b>                | Switzerland  |
| <b>Context</b>                | Prof. Dr. Schilling agreed to assist in almost any aspects of the project. He can share his expertise in artificial intelligence and deep learning, refer research assistants, and will help in writing the scientific publications. |
| <b>Types of collaboration</b> | in-depth/constructive exchanges on approaches, methods or results<br>Publication<br>Research Infrastructures   |

Exchange of personnel

## 9. Research requiring authorisation or notification

**HRA-relevant and HRA-irrelevant research involving humans**

No

**Research on human embryonic stem cells**

No

**Research on animals**

No

**Research on GMO or pathogens**

No

## 10. 3R – Replace, Reduce, Refine

**Project does not involve any animal experiments**

Yes

**Project involves experiments with animals that fall under the Animal Welfare Act (vertebrates, cephalopods, crayfish) and takes account of the 3R**

No

**Project is a 3R research project focusing on "Replace"**

No

**Project is a 3R research project focusing on "Reduce"**

No

**Project is a 3R research project focusing on "Refine"**

No

**Project involves experiments with animals that do not fall under the Animal Welfare Act (insects, worms)**

No

## 11. Access and Benefit Sharing (ABS)

**The research project plans to use genetic resources that are governed by the ABS provisions of the Nagoya Protocol**

No

## 12. Fellowships for a research stay abroad

**Project involves experiments that require authorisation and notification. I hereby confirm compliance with Swiss laws and ethical guidelines.**

No

### 13. Awareness of the relevant regulations

Relevant regulations noted and accepted