

Algebra II

Fall 2018

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1 Review

Definition 1.1. An **ideal** I in a ring R is a subset of R such that (i) I is an additive subgroup (ii) if $x \in I$ and $r \in R$, then $rx \in I$ and $xr \in I$.

Note: We exclusively work in commutative rings, so we only need to check one way.

Definition 1.2. An ideal P is **prime** if (i) $P \neq A$ (ii) if $xy \in P$ then either $x \in P$ or $y \in P$.

Definition 1.3. An ideal P is **maximal** if it is a maximal proper ideal.

Examples.

- $p\mathbb{Z} \times \mathbb{Z}$ is prime and maximal in $\mathbb{Z} \times \mathbb{Z}$.
- $p\mathbb{Z}$ is a prime ideal of \mathbb{Z}
- $0\mathbb{Z} \times \mathbb{Z}$ is prime but not maximal in $\mathbb{Z} \times \mathbb{Z}$.

Proposition 1.4. I is a prime ideal if and only if R/I is an ID. I is a maximal ideal if and only if R/I is a field.

Corollary 1.4.1. All maximal ideals are prime.

Theorem 1.5 (Euclidean Algorithm). Given $a, b \in \mathbb{Z}$ with $b > 0$, there exists unique integers q, r such that $a = bq + r$ and $0 \leq r < b$. Similarly, if $f, g \in K[x]$, for some field K and g is a nonzero, monic polynomial, then there exist unique polynomials $q, r \in K[x]$ such that $f = gq + r$ where $0 \leq \deg(r) < \deg(g)$.

Corollary 1.5.1. If $f(x) \in K[x]$ is a polynomial of degree d and $\alpha \in K$, then $f(\alpha) = 0$ if and only if $f(x) = (x - \alpha)q(x)$ for some $q \in K[x]$. Moreover, $f(x)$ can have at most d roots in any field containing K .

Theorem 1.6. Every PID is a UFD.

Proof. To prove it is a factorization domain, suppose there exists a ‘smallest’ non-factorizable element. To get uniqueness, use induction. ■

Proposition 1.7. Both $K[x]$ (K is a field) and \mathbb{Z} are PIDs, and hence UFDs.

Proof. Let I be an ideal in $k[x]$. If $I = (0)$, we’re done. Otherwise, I contains some nonzero polynomial. Choose $f(x) \in I$ to be a polynomial of minimal degree. Since $f(x) \in I$, $(f(x)) \in I$. Let $p(x) \in I$. Write

$$p(x) = q(x)f(x) + r(x),$$

where $q(x), r(x) \in k[x]$, and either $r(x) = 0$ or $\deg(r(x)) < \deg(f(x))$. Then $r(x) = p(x) - q(x)f(x)$, so $r(x) \in I$. Hence $\deg(r(x)) \geq \deg(f(x))$. Thus $r(x) = 0$, so $f(x)$ divides $p(x)$, i.e. $p(x) \in (f(x))$. (The proof for \mathbb{Z} is similar.) ■

Recall that in an integral domain D , an element $\pi \in D$ is said to be irreducible if whenever $\pi = xy$,

for $x, y \in D$, either x or y is a unit.

Proposition 1.8. Let A be a PID. Then $\pi \in A$ is irreducible if and only if (π) is a prime ideal.

Proof. For forward direction, show that (π) is maximal. Suppose $(\pi) \subset J \subset A$, for some ideal J of A . A is a PID so $J = (x)$ for some $x \in A$. Then $\pi \in (x)$, so $\pi = xy$ for some $y \in A$. By irreducibility, either x or y is a unit. If x is a unit, then $J = A$, if y is a unit, $x = \pi y^{-1}$, so x is a multiple of π , hence $J \subset (\pi)$.

For reverse, suppose $I = (a)$ is a nonzero prime ideal. Suppose $a = bc$ for $b, c \in A$. Then $bc \in (a)$, so either b or c is a multiple of a . WLOG $b = ad$ for some $d \in A$. Thus $a = bc = adc$ so $dc = 1$, hence c is a unit, so a is irreducible. ■

Thus an ideal I in a PID is prime $\Leftrightarrow I = (p(x))$ for irreducible $p(x) \in K[x] \Leftrightarrow I$ is maximal.

Theorem 1.9. Let $f(x) \in K[x]$ be a cubic or quadratic polynomial. Then $f(x)$ is irreducible in $K[x]$ if and only if $f(x)$ has a root in K .

Proof. Some factor must be linear. ■

Proposition 1.10. (Rational Root Theorem). Let $f(x) = a_n x^n + \dots + a_1 x + a_0$ in $\mathbb{Z}[x]$ be primitive. If $f(x)$ has a root in \mathbb{Q} , that root is of the form $\frac{p}{q}$, where $(p, q) = 1$, $p|a_0$ and $q|a_n$.

Proof. $q^n f(\frac{p}{q}) = 0$ implies $-a_0 q^n = p(a_n p^{n-1} + \dots + a_1 q^{n-1})$. Thus $p | a_0$. Similarly, $\frac{q}{p}$ is a root of $g(x) = a_n + \dots + a_1 x^{n-1} + a_0 x^n$, so $p^n g(\frac{q}{p}) = 0$, implies $-a_n p^n = q(a_{n-1} + \dots + a_0 q^{n-1})$. ■

Theorem 1.11 (Eisenstein). Let $f(x) = a_n x^n + \dots + a_1 x + a_0$ be in $\mathbb{Z}[x]$ and p be a prime. If $p \nmid a_n$, $p|a_i$ for $1 \leq i < n$, and $p^2 \nmid a_0$, then $f(x)$ is irreducible in $\mathbb{Q}[x]$.

Proof. Suppose $f(x)$ is reducible over \mathbb{Q} . By Gauss, $f(x)$ factors over \mathbb{Z} . Say

$$f(x) = (b_r x^r + \dots b_1 x + b_0)(c_s x^s + \dots + c_1 x + c_0)$$

$b_i, c_j \in \mathbb{Z}$. Since $p | a_0$ and $p^2 \nmid a_0 = b_0 c_0$, p divides only one of b_0 and c_0 . Assume WLOG $p | c_0$ but $p \nmid b_0$. Note p does not divide either b_r or c_s as $p \nmid a_n$. Let d be the smallest positive integer such that $p \nmid c_d$, $1 \leq d \leq s < n$.

$$a_d = b_0 c_d + b_1 c_{d-1} + \dots + \begin{cases} b_d c_0 & r \geq d \\ b_r c_{d-r} & \text{otherwise} \end{cases}.$$

Then $p | a_d$ but $p \nmid b_0 c_d$ yet $p | b_i c_{d-i}$ for $i < d$ (as $p | c_{d-i}$). ■

Corollary 1.11.1. Let p be a prime. Then the p th cyclotomic polynomial $\Phi_p(x) = \frac{x^p - 1}{x - 1} = x^{p-1} + \dots + x + 1$ is irreducible over \mathbb{Q} .

Theorem 1.12. Let p be a prime and $f(x) \in \mathbb{Z}[x]$ with $\deg(f(x)) \geq 1$. Let $\overline{f(x)} \in \mathbb{Z}_p[x]$ be obtained by reducing the coefficients of f modulo p . We require $\deg(\overline{f(x)}) = n$. Then $\overline{f(x)}$ is irreducible over \mathbb{Z}_p implies $f(x)$ is irreducible over \mathbb{Z} .

Proof. Contrapositive. $f(x) = g(x)h(x)$ both with degree less than n . Reducing mod p , $\overline{f} = \overline{g}\overline{h}$, since $\deg f = \deg \overline{f}$, we factored \overline{f} in $\mathbb{Z}_p[x]$. ■

Definition 1.13. The **content** of a polynomial $p(x) = a_nx^n + \dots + a_1x + a_0$, is $\gcd(a_n, \dots, a_1, a_0)$. We say $p(x)$ is **primitive** if $\text{content}(p(x)) = 1$.

Theorem 1.14 (Gauss' Lemma 1). $f(x) \in \mathbb{Z}[x]$ factors into a product of two polynomials of lower degrees in $\mathbb{Q}[x]$ if and only if it factors into the product to two polynomials of the same lower degrees in $\mathbb{Z}[x]$. Moreover, the polynomials from $\mathbb{Q}[x]$ and those from $\mathbb{Z}[x]$ are scalar multiples of one another. Furthermore, if $f(x)$ is primitive, then so are the polynomials $f(x)$ factors into.

Proof. Suffices to show for f primitive. Assume $f(x) = g(x)h(x)$ in $\mathbb{Q}[x]$, where $f(x)$ is primitive. Let $a = \text{lcm}(\text{denominators of the coefficients of } g)$ and b equal that of h . Then $abf(x) = (ag(x))(bh(x))$ where $ag(x)$ and $bh(x)$ have integer coefficients. Let $c = \text{content}(ag(x))$ and $d = \text{content}(bh(x))$. Then $ag(x) = cg_1(x)$ and $bh(x) = dh_1(x)$ where $g_1(x), h_1(x) \in \mathbb{Z}[x]$ are primitive. So

$$abf(x) = cdg_1(x)h_1(x).$$

Since $g_1(x), h_1(x)$ are primitive, so is their product. Thus cd is the content of the RHS, and ab is the content of the LHS. Hence $ab = cd$, and by cancellation $f(x) = g_1(x)h_1(x)$. ■

Theorem 1.15 (Gauss' Lemma 2). The product of two primitive polynomials is primitive.

Proof. Assume f, g are primitive but $f \cdot g$ is not. Then some prime p divides every coefficient of $f \cdot g$. Reducing mod p , this implies

$$\overline{f(x)g(x)} = \overline{(f \cdot g)(x)} = 0.$$

However, neither $\overline{f(x)}$ nor $\overline{g(x)}$ is identically 0, as f, g are primitive. Since $\mathbb{Z}_p[x]$ is an integral domain, we have a contradiction. ■

2 Field Extensions

Definition 2.1. If E is an extension field of K (considered as a vector space), then the dimension of E over K is called the **degree** of E over K and is denoted $[E : K]$. We say the extension is *finite* if $[E : K] < \infty$.

Definition 2.2. An element $\alpha \in E$ is **algebraic** over K if α is a root of some nonzero polynomial

in $K[x]$. Otherwise, α is called **transcendental**.

Definition 2.3. An extension E/K is **algebraic** if every $\alpha \in E$ is algebraic over K .

Examples. Both $\sqrt[3]{5}$ and i are algebraic over \mathbb{Q} . \mathbb{C} is algebraic over \mathbb{R} , but not over \mathbb{Q} , as π and e are transcendental.

Theorem 2.4. Finite extensions are algebraic.

Proof. Suppose $[E : K] = d < \infty$. We want to show the for any $\alpha \in E$, α is a root of some nonzero polynomial in $K[x]$. Equivalently, we can show some non-trivial linear combination of powers of α is 0. Note that $\{1, \alpha, \dots, \alpha^d\}$ is linearly dependent, so we're done. ■

Corollary 2.4.1. If $[E : K] = d < \infty$, then all elements of E are roots of nonzero polynomials in $K[x]$ of degree at most d .

2.1 Minimal Polynomial

Definition 2.5. Let E be an extension field of K . Let $\alpha \in E$ such that α is algebraic over K . The minimal polynomial of α over K , denoted $\text{irr}(\alpha, K, x)$, is the monic polynomial of smallest degree in $K[x]$ with α as a root.

Proposition 2.6. Let $p(x) = \text{irr}(\alpha, K, x)$. Then $p(x)$ divides all polynomials in $K[x]$ with α as a root. Moreover, $p(x)$ is unique.

Proof. Use division algorithm. ■

Definition 2.7. Let E be an extension field of K . Let $\alpha \in E$ such that α is algebraic over K . Then $K[\alpha]$ is the smallest ring containing α and K , and $K(\alpha)$ is the smallest field containing α and K . Observe $K[\alpha] \subseteq K(\alpha)$.

In general, we have

$$K[\alpha] = \{f(\alpha) : f(x) \in K[x]\}$$

and

$$K(\alpha) = \left\{ \frac{f(\alpha)}{g(\alpha)} : f(x), g(x) \in K[x], g(\alpha) \neq 0 \right\}.$$

Theorem 2.8. If $\alpha \in E/K$ is algebraic over K , then $K(\alpha) = K[\alpha]$. Moreover, if $\text{irr}(\alpha, K, x)$ has degree d , then $\{1, \alpha, \dots, \alpha^{d-1}\}$ forms a basis for $K[\alpha]$ over K . Thus $[K(\alpha) : K] = d$.

Proof. Recall $\phi_\alpha : K[x] \rightarrow E$ given by $f(x) \mapsto f(\alpha)$. The image of ϕ_α is $K[\alpha]$ and $\ker(\phi_\alpha) = (p(x))$ where $p(x) = \text{irr}(\alpha, K, x)$. Then $K[x]/(p(x)) \cong K[\alpha]$, but $p(x)$ is irreducible, so $K[x]/(p(x))$ is a field. Hence $K[\alpha] = K(\alpha)$.

Suppose $\{1, \alpha, \dots, \alpha^{d-1}\}$ weren't linearly independent, there there exists a nonzero polynomial of degree less than d in $K[x]$ with α as a root, a contradiction. Let $\gamma \in K[\alpha]$, so

$$\gamma = a_n \alpha^n + \dots + a_1 \alpha + a_0 = f(\alpha)$$

for some $f(x) \in K[x]$. We're done if $n < d$, so suppose $n \geq d$. Let $p(x) = \text{irr}(\alpha, K, x)$. We can write

$$f(x) = p(x)q(x) + r(x)$$

for $p(x), q(x), r(x) \in K[x]$ and either $r(x) = 0$ or $\deg(r(x)) < \deg(p(x))$. If $r(x) = 0$, the $\gamma = 0$, otherwise $r(x)$ is a polynomial of degree at most $d - 1$, with $r(\alpha) = \gamma$. So γ is in the span of $\{1, \alpha, \dots, \alpha^{d-1}\}$. ■

Theorem 2.9. Suppose K is an extension field over E and E is an extension field over k . Then $[K : k] < \infty$ if and only if $[E : k] < \infty$ and $[K : E] < \infty$. Moreover, if K/E and E/k are finite, then $[K : E][E : k] = [K : k]$. In particular, if $\{\alpha_i\}_{i=1}^r$ is a basis for E over k and $\{\beta_j\}_{j=1}^s$ is a basis for K over E , then $\{\alpha_i\beta_j\}$ is a basis for K over k .

Proof. The second statement is easy. It also shows that if K/E and E/k are finite extensions, then K/k is a finite extension. If K/k is a finite extension, then E is a subspace of K so $[E : k] \leq [K : k]$; any spanning set of K over k is also a spanning set over E , so $[K : E] \leq [K : k]$ ■

Theorem 2.10. Suppose α, β are algebraic over k and that $[k(\alpha) : k] = r < \infty$ and $[k(\beta) : k] = s < \infty$. Then $k(\alpha, \beta)$ is finite over k and both r and s divide $[k(\alpha, \beta) : k]$.

Corollary 2.10.1. If r and s are relatively prime, then $[k(\alpha, \beta) : k] = rs$.

Corollary 2.10.2. If α, β are algebraic over k , $\beta \neq 0$, then so are $\alpha + \beta, \alpha - \beta, \alpha\beta, \frac{\alpha}{\beta} \in k(\alpha, \beta)$. Moreover, all these have degree at most rs .

Let $\overline{\mathbb{Q}} = \{\alpha \in \mathbb{C} : \alpha \text{ is algebraic over } \mathbb{Q}\}$. Then $\overline{\mathbb{Q}}$ is a field and is called the algebraic closure of \mathbb{Q} .

Proposition 2.11. If α is transcendental over k , then $k[\alpha] \cong k[x]$. *Proof.* $f(x) \mapsto f(\alpha)$.

Theorem 2.12. Let L/k be an extension field. If $\alpha_1, \dots, \alpha_n \in L$ are all algebraic over k , then $k(\alpha_1, \dots, \alpha_n)$ is finite over k . *Proof.* Build tower, adjoining one α_i at a time.

Theorem 2.13. If K/E and E/k are extension fields, then K/k is algebraic if and only if K/E is algebraic and E/k is algebraic.

Proof. (\Rightarrow). All $\alpha \in E$ are algebraic over k ($E \subset K$); all $\alpha \in K$ are algebraic over E (embed $\text{irr}(\alpha, k, x)$ in $E[x]$).

(\Leftarrow). Let $\alpha \in K$. α is a root of $f(x) = b_n x^n + \dots + b_0 \in E[x]$. Let $E_0 = k(b_0, \dots, b_n)$. So α is algebraic over E_0 . The b_i are algebraic, so E_0 is a finitely-generated algebraic extension, thus E_0/k is finite. But $E_0(\alpha)/E_0$ is finite. So $E_0(\alpha)$ is finite over k and thus it is algebraic, so α is algebraic over k . ■

Corollary 2.13.1. If $\alpha \in E$ is a root of some polynomial with coefficients that are algebraic over k . Then α is algebraic over k .

Corollary 2.13.2. $\overline{\mathbb{Q}}$ is algebraically closed in \mathbb{C} .

Theorem 2.14 (Kronecker). Let k be a field and $f(x) \in k[x]$ be a non-constant polynomial. Then there exists an extension field E over k in which $f(x)$ has a root.

Proof. Any polynomial can be factored into irreducible polynomials and any roots of an irreducible factor will be a root of $f(x)$, so it suffices to show the theorem holds in the case where $f(x)$ is irreducible. Suppose $f(x)$ is irreducible, then $(f(x))$ is maximal, thus $E = k[x]/(f(x))$ is a field. E contains an isomorphic copy of k (embed k in $k[x]$, then mod out by $f(x)$ [why is this injective?]). Identify k with its isomorphic copy in E , in this way E as an extension field of k . Then $f(\bar{x}) = \bar{f(x)} = 0$, so f has a root in E . ■

2.2 Splitting Fields

Definition 2.15. Let k be a field and $f(x) \in k[x]$, $\deg(f(x)) = n$. Then an extension E of k is called a splitting field of f over k if (1) $f(x)$ factors in to linear polynomials over E and (2) this is not true for any smaller extension.

Theorem 2.16. Given any $f(x) \in k[x]$ of degree $n \geq 1$, there exists a splitting field for $f(x)$ over k . Moreover the degree of the splitting field over k is at most $n!$ and if $f(x)$ is irreducible, the degree is divisible by n . (In fact the degree of the splitting field divides $n!$.)

Definition 2.17. Let ζ_n denote an n th primitive root of unity. The n th cyclotomic polynomial, Φ_n is

$$\Phi_n(x) = \prod_{(i,n)=1} (x - \zeta_n^i).$$

Remark. Cyclotomic polynomials are always irreducible over \mathbb{Q} .

Proposition 2.18. $f(x) \in k[x]$ has a multiple root α if and only if $f'(x)$ also has α as a root.

Theorem 2.19. If $f(x) \in k[x]$ is irreducible and $f(x)$ has a multiple root α , then $f'(x)$ is identically 0.

Theorem 2.20. Let k be a field. Let $f(x) \in k[x]$ be irreducible. Then if $\text{char}(k) = 0$, $f(x)$ has no repeated roots. In characteristic p , $f(x)$ has repeated roots if and only if $f(x) = g_0(x^p)$ for some $g_0(x) \in k[x]$. Moreover, the multiplicity of each root of $f(x)$ is a power of p and if the multiplicity is p^s , then $f(x) = h(x^{p^s})$ for some $h(x) \in k[x]$ having no repeated roots.

Definition 2.21. A separable extension is an extension E/k such that for every $\alpha \in E$, the minimal polynomial of α over k is separable (i.e. its formal derivative is nonzero).

Example. Let $k = \mathbb{F}_p$. Let z be an indeterminate variable over k and work in $k(z)$. Let K/k be the extension formed by adjoining the p th roots of z . Let $f(x) = x^p - z \in k(z)[x]$ and α be some root of $f(x)$. Since α is by definition a p th root of z , by Freshman's dream $f(x) = x^p - z = x^p - \alpha^p = (x - \alpha)^p$. Now $\text{irr}(\alpha, k, x) = (x - \alpha)^d$ where $d \leq p$ (since α has degree at most p) and $d|p$, by theorem 2.17. But $d \neq 1$, if it were then there exist $f(x), g(x) \in k[x]$ so that

$$\left(\frac{f(z)}{g(z)} \right)^p = z.$$

Then, by Freshman's dream $f(z^p) = zg(z^p)$, which is impossible, the coefficients of z on the left are congruent to 0 mod p , but on the right they are all congruent to 1 mod p . Hence $d = p$ and $f(x)$ is irreducible but has multiple roots.

Theorem 2.22 (Primitive Element Theorem). Let E be a finite extension of degree n over k . Suppose E is separable (e.g. has characteristic 0 or finite order). Then $E = k(\alpha)$ for some

$\alpha \in E$.

Proof. If k is finite so is E . Taking α to be a generator for the cyclic group E^\times works. So assume k is infinite. By induction, we may assume $n = 2$. Let $E = k(\beta, \gamma)$. Let $f(x) = \text{irr}(\beta, k, x)$ and $g(x) = \text{irr}(\gamma, k, x)$. Suppose $\beta = \beta_1, \beta_2, \dots, \beta_r$ are all the roots of f in \bar{k} and $\gamma = \gamma_1, \dots, \gamma_s$ are all the roots of g in \bar{k} . There are only finitely many elements of the form

$$\frac{\beta_i - \beta}{\gamma - \gamma_j}, j \neq 1.$$

Since k is infinite, there exists $a \in k$ not equal to any of the above elements. Let $\alpha = \beta + a\gamma$. Observe that $\alpha - a\gamma_j \neq \beta_i$ for all i, j with $j \neq 1$. Now I claim that $k(\beta, \gamma) = k(\alpha)$. Since $\alpha \in k(\beta, \gamma)$, it suffices to show $\gamma \in k(\alpha)$.

Consider the polynomial $h(x) = f(\alpha - ax) \in k(\alpha)[x]$. Note γ is a root of $h(x)$. Then $\text{irr}(\gamma, k(\alpha), x)$ divides both $h(x)$ and $g(x)$ in $k(\alpha)[x]$. By construction, $h(x)$ and $g(x)$ have only one root in common, if they didn't then $\alpha - a\gamma_j = \beta_i$ for some $j \neq 1$, a contradiction. It follows that $\text{irr}(\gamma, k(\alpha), x)$ is linear, so $\gamma \in k(\alpha)$. ■

Example. Let y, z be two indeterminate variables over $k = \mathbb{F}_p$. Let K be the extension formed by adjoining the p th roots of y, z . Let E be the algebraic closure of K . In E , both $x^p - y$ and $x^p - z$ split, so there exist $a, b \in E$ such that $a^p = y$ and $b^p = z$. $K(a, b)$ is a finite extension of degree p^2 over K . Any primitive element of $K(a, b)$ must have degree p^2 , however, for any $\gamma \in K(a, b)$, we have

$$\gamma^p = \left(\frac{f(a, b)}{g(a, b)} \right)^p = \frac{f(a^p, b^p)}{g(a^p, b^p)} \in K.$$

So $K(a, b)$ is not a primitive extension.

3 Galois Theory

Definition 3.1. An automorphism of a field k is an isomorphism $k \rightarrow k$. Let $\text{Aut}(k)$ denote the set of all automorphisms of a field k . Then $\text{Gal}(K/k) = \{\sigma \in \text{Aut}(K) : \sigma|_k = \text{id}\}$.

Lemma 3.2. (Into/Onto). Suppose K/k is a finite extension. Let σ be a nonzero homomorphism from K into \bar{k} such that $\sigma|_k = \text{id}$. Then σ is onto, so $\sigma \in \text{Gal}(K/k)$.

Proof. View σ as a linear map. Use rank-nullity and fact that σ is injective whenever $\sigma \neq 0$. ■

Fact. Whenever K is an extension field of \mathbb{Q} and r is an automorphism of K , then $\sigma|_{\mathbb{Q}} = \text{id}$, so $\text{Aut}(K) = \text{Gal}(K/\mathbb{Q})$. The same is true of extensions of \mathbb{F}_p , p prime.

Proposition 3.3.

- 1) $\text{Aut}(k)$ forms a group under function composition.
- 2) $\text{Gal}(K/k)$ is a subgroup of $\text{Aut}(K)$.

Definition 3.4. If K is a field and G is a subgroup of $\text{Aut}(K)$, then the fixed field of G , denoted K_G , is $K_G = \{\alpha \in K : \sigma(\alpha) = \alpha \forall \sigma \in G\}$.

Proposition 3.5. K_G is a subfield of K for any subgroup $G \subset \text{Aut}(K)$. Moreover, if $H \subset \text{Gal}(K/k)$, then K_H is an intermediate field.

Examples.

- If $K = \mathbb{C}$ and $k = \mathbb{R}$. Then if $\sigma \in \text{Gal}(K/k)$, then $\sigma(a+bi) = a+b\sigma(i)$. Since $\sigma^2(i) = -1$, we have $\sigma(i) = \pm i$. Hence the Galois group of K/k consists of the identity map and the complex conjugation map. Thus $|\text{Gal}(K/k)| = 2$ and $K_{\text{Gal}(K/k)} = \mathbb{R}$.
- If $K = \mathbb{Q}(\sqrt[3]{2})$ and $k = \mathbb{Q}$. Then $\text{Gal}(K/k) = \{\text{id}\}$, since σ is determined by its action on $\sqrt[3]{2}$, but $\sigma^3(\sqrt[3]{2}) = 2$, so there is only one choice.

Theorem 3.6. Suppose $[K : k] = n < \infty$. Then $|\text{Gal}(K/k)| \leq n$. In fact, if $K = k(\alpha)$ is primitive, then $|\text{Gal}(K/k)|$ is the number of distinct roots of $\text{irr}(\alpha, k, x)$ that are in K .

Proof. Observe the σ is determined by its action on α , as

$$\sigma(a_0 + a_1\alpha + \dots + a_n\alpha^n) = a_0 + a_1\sigma(\alpha) + \dots + a_n\sigma^n(\alpha),$$

for $a_i \in k$. Now, let $\alpha_1, \dots, \alpha_d$ be the distinct roots of $f(x) = \text{irr}(\alpha, k, x)$ in K . Then

$$f(\sigma(\alpha)) = \sigma(f(\alpha)) = 0.$$

Thus there are at most d choices of $\sigma(\alpha)$. Finally, for each α_i , define $\sigma(\alpha) = \alpha_i$, and $\sigma|_k = \text{id}$. By definition,

$$\sigma(a_0 + \dots + a_n\alpha^n) = a_0 + \dots + a_n\alpha_i^n.$$

This is easily checked to be an onto homomorphism $K \rightarrow K$ with $\sigma|_k = \text{id}$. Moreover, to be well-defined, if $g(\alpha) = h(\alpha) \in K$, then $(g-h)(\alpha) = 0$, so $\text{irr}(\alpha, k, x)$ divides $(g-h)(x)$. Hence α_i are roots of $(g-h)(x)$. Thus $g(\alpha_i) = h(\alpha_i)$. ■

Definition 3.7. An **embedding** σ of K into \mathbb{C} over k is a homomorphism $\sigma : K \rightarrow \mathbb{C}$ such that $\sigma|_k = \text{id}$.

Theorem 3.8. Suppose $K = k(\alpha)$ is a primitive extension of degree n of k and $K \subset \mathbb{C}$. Let $p(x) = \text{irr}(\alpha, k, x)$ and α_i be all the distinct roots of p in \mathbb{C} . Then for each i , there is exactly one embedding $\sigma : K \rightarrow \mathbb{C}$ over k such that $\sigma(\alpha) = \alpha_i$. Moreover, these are the only embeddings.

Proof. Similar to 3.6. ■

3.1 Normal Field Extensions

Definition 3.9. A finite extension K/k is **normal** if K is the splitting field of some $f(x) \in k[x]$ over k .

Lemma 3.10. If K/E is finite and τ is an embedding of $E \rightarrow \mathbb{C}$. Then there exists an embedding $\sigma : K \rightarrow \mathbb{C}$ which is an extension of τ , i.e. $\sigma|_E = \tau$.

Proof. Similar to 3.6. ■

Theorem 3.11. Let K/k be a finite extension. The following are equivalent:

- 1) K is a normal extension
- 2) If $\alpha \in K$, then so are all the roots of $\text{irr}(\alpha, k, x)$.
- 3) Any embedding σ of K into \mathbb{C} over k always maps K into K (by the into/onto lemma, σ maps K onto K , thus σ is an automorphism of K fixing k , so $\sigma \in \text{Gal}(K/k)$.)

Proof. (2 \Rightarrow 1). Let $\alpha = \alpha_1, \dots, \alpha_n$ be all the roots of $p(x) = \text{irr}(\alpha, k, x)$. By (2), $\alpha_i \in K$, hence the s.f. of $p(x)$ over k is $k(\alpha_1, \dots, \alpha_n) = k(\alpha)$.

(1 \Rightarrow 3). Let K be the s.f. of $f(x) \in k[x]$ over k . So $K = k(\alpha_1, \dots, \alpha_n)$, where α_i are all the roots of f over \mathbb{C} . Let σ be an embedding of $K \rightarrow \mathbb{C}$ over k . So σ is a homomorphism $K \rightarrow \mathbb{C}$ such that $\sigma|_k = \text{id}$. It suffices to show σ takes α_i to α_j , but $f(\sigma(\alpha_i)) = \sigma(f(\alpha_i)) = 0$.

(3 \Rightarrow 1). Let $p(x)$ be an irreducible polynomial that has a root $\alpha \in K$. Let α_i be another root of $p(x)$ in \mathbb{C} (must exist as $p(x)$ is irred). We know there exists an embedding $\tau : k(\alpha) \rightarrow k(\alpha_i)$ over k with $\tau(\alpha) = \alpha_i$ (thm 3.8). Extend τ to an embedding $\sigma : K \rightarrow \mathbb{C}$ over k . By (3), σ takes $K \rightarrow K$, so $\sigma(\alpha) = \alpha_i \in K$. ■

Example. Let K/E and E/k be finite extensions. Note that K/E and E/k normal extensions, does not imply K/k is a normal extension (take $K = k(\sqrt[4]{2})$, $E = k(\sqrt{2})$, $k = \mathbb{Q}$). Furthermore, K/k normal does not imply E/k is normal (it does however imply K/E is a normal ext).

Definition 3.12. We say a finite extension K/k is a **Galois extension** if it is a normal and separable extension.

Proposition 3.13. Let K/k be a Galois extension of degree n then $|\text{Gal}(K/k)| = n$.

Proof. By primitive element theorem, $K = k(\alpha)$ for some $\alpha \in K$. By separability, $p(x) = \text{irr}(\alpha, k, x)$ has no repeated roots. Let $\alpha_1, \dots, \alpha_n$ be all the distinct roots of $p(x) \in \mathbb{C}$. By normality, these all live in K . The result follows by thm 3.6. ■

Proposition 3.14. If K/k is a Galois extension. Let $G = \text{Gal}(K/k)$, then $K_G = k$.

Proof. By definition, $k \subset K_G$. To show reverse containment, it suffices to show that for any $\alpha \in K$ with $\alpha \notin k$, we have $\alpha \notin K_G$. If $\alpha \notin k$, then $k(\alpha) \neq k$ so $\deg(\text{irr}(\alpha, k, x)) > 1$. Thus there exists $\beta \in \mathbb{C}$, $\alpha \neq \beta$ that is also a root of $\text{irr}(\alpha, k, x)$. There exists an embedding $\tau : k(\alpha) \rightarrow k(\beta)$ over k . We can extend τ to an embedding $\sigma : K \rightarrow \mathbb{C}$ over k . By normality, $\sigma : K \rightarrow K$ and $\sigma(\alpha) = \beta$. By into/onto, σ is in $\text{Aut}(K)$ and so $\sigma \in G$. But then $\alpha \notin K_G$. ■

3.2 Fundamental Theorem of Galois Theory

We say E corresponds to $H \leq \text{Gal}(K/k)$ if $E = K_H$ and $H = \text{Gal}(K/E)$.

Let K/k be a finite, Galois extension. Let $k \subset E \subset K$ be an intermediate field.

- I. There is a one-to-one correspondence mapping $E \mapsto \text{Gal}(K/E)$. Moreover,
 - (a) If $H = \text{Gal}(K/E)$, then $E = K_H$.
 - (b) If H be a subgroup of G , then $\text{Gal}(K/K_H) = H$.
- II.
 - (a) Let E correspond to H . Then E/k is normal if and only if $H \triangleleft \text{Gal}(K/k)$.
 - (b) Let E correspond to H . Then E/k is normal if and only if $\text{Gal}(E/k) \cong G/H$.

Proof. Part I(a). K/E is finite, Galois. Thus by proposition 3.14, $K_H = E$.

Part I(b). By the primitive element theorem, $K = k(\alpha)$ for some $\alpha \in K$. Let $n = [K : K_H]$. Clearly, $H \subset \text{Gal}(K/K_H)$. It suffices to show $|H| \geq n$. Let $|H| = d$ and $\sigma_1, \dots, \sigma_d$ be the elements of H . Define

$$f(x) = \prod_{i=1}^d (x - \sigma_i(\alpha)).$$

Then f has degree d , it has α as a root (as H contains the identity), and $f(x) \in K_H[x]$, as for any $\tau \in H$, $f^\tau(x) = \prod (x - (\tau\sigma_i)(\alpha)) = f(x)$, i.e. the coefficient of f are fixed by H . Therefore, $n \leq d$ as $\text{irr}(\alpha, K_H, x)$ must divide $f(x)$.

Part II(a). E/k is normal \Leftrightarrow every $\sigma \in \text{Gal}(K/k)$ takes E to $E \Leftrightarrow \forall x \in E, \sigma \in G$, we have $\sigma(x) \in E$. Equivalently, $\sigma(x)$ is fixed by $H \Leftrightarrow \forall x \in E, \sigma \in G, \tau \in H, \tau\sigma(x) = \sigma(x) \Leftrightarrow \forall x \in E, \sigma \in G, \tau \in H, (\sigma^{-1}\tau\sigma)(x) = x$. This says $\sigma^{-1}\tau\sigma$ is the identity on E , hence it is in $\text{Gal}(K/E) = H$. This is precisely what it means for $H \triangleleft \text{Gal}(K/k)$.

Part II(b). Define $\phi : \text{Gal}(K/k) \rightarrow \text{Gal}(E/k)$ by $\sigma \mapsto \sigma|_E$. Since E/k is normal, and $\sigma|_E$ is an embedding into K over k , we have $\sigma|_E$ take E to E . By into/onto, $\sigma|_E \in \text{Gal}(E/k)$. Check that ϕ is a homomorphism. ϕ is onto (by normality of E/k , given any $\tau \in \text{Gal}(E/k)$ we can extend it to $\sigma \in \text{Gal}(K/k)$ and $\phi(\sigma) = \tau$). $\ker \phi$ is precisely, $\text{Gal}(K/E) = H$. ■

Corollary 3.14.1. If K/k is finite, Galois. Let $H \leq G = \text{Gal}(K/k)$ corresponds to E . Then $[K : K_H] = [K : E] = |H|$ and $[E : K] = [K_H : k] = [G : H]$.

Proof. The first statement is immediate from I(a). The second follows from $|G| = [K : k] = [K : E][E : k] = |H|[E : K]$. ■

Definition 3.15. An extension K/k is abelian (cyclic) if it is Galois and if $\text{Gal}(K/k)$ is abelian (cyclic).

Theorem 3.16.

- A finite Galois extension K/k of degree n is cyclic if and only if there exists 1 and only 1 intermediate field of degree d for each $d \mid n$.

- A finite Galois extension K/k of degree n is abelian if and only if there exists at least 1 intermediate field of degree d for each $d \mid n$.

Proof. These are immediate by the correspondence between intermediate field and subgroups of $\text{Gal}(K/k)$, together with the analogous statements from group theory. ■

Corollary 3.16.1. In a finite, abelian extension, all intermediate fields are normal. Moreover, K/E and E/k are also abelian. (*Any subgroup of an abelian group is normal.*)

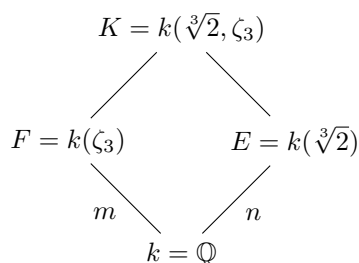
Corollary 3.16.2. If K/k is any finite, separable extension, then there are only finitely many intermediate fields.

Proof. Any such extension is contained in a finite Galois extension L/k (e.g. if $K = k(\alpha)$, then let L be the splitting field of $\text{irr}(\alpha, k, x)$ over k). By the FTToGT, there are finitely many intermediate fields between L and k , and every int. field of K/k is also an int. field of L/k . ■

Corollary 3.16.3. If $H_1, H_2 \leq \text{Gal}(K/k)$ correspond to intermediate fields E_1, E_2 of K/k , respectively, then $H_1 \cap H_2$ corresponds to $E_1 E_2$ and $\langle H_1, H_2 \rangle$ corresponds to $E_1 \cap E_2$ (Galois correspondence is order reversing).

Definition 3.17. We say E_1 and E_2 , intermediate fields of K/k (finite, Galois), are conjugate if $E_1 = k(\alpha_1)$ and $E_2 = k(\alpha_2)$, where α_1 and α_2 are conjugates over k . Equivalently, we can say there exists a $\sigma \in \text{Gal}(K/k)$ that takes $\alpha_1 \mapsto \alpha_2$ and hence $\sigma(E_1) = E_2$.

Proposition 3.18. Conjugate intermediate fields correspond to conjugate subgroups of the Galois group. In fact, $H_1 = \sigma H_2 \sigma^{-1}$ if and only if $E_1 = \sigma(E_2)$



4 Insolvability of the Quintic

Proposition 4.1. Let $G = \text{Gal}(k(\zeta_n)/k)$. Then G is isomorphic to a subgroup of \mathbb{Z}_n^* and in the case of $k = \mathbb{Q}$, then $G \cong \mathbb{Z}_n^*$.

Proof. Let $p = \text{char}(k)$ and assume either $p = 0$ or $p \nmid n$. Define $\phi : G \rightarrow \mathbb{Z}_n^*$ by $\phi(\sigma) = i$ when $\sigma(\zeta_n) = \zeta_n^i$. It is easily checked that ϕ is an injective homomorphism. ■

Corollary 4.1.1. In the separable case, $k(\zeta_n)/k$ is an abelian extension. Moreover, if $\mathbb{Q} \subset E \subset \mathbb{Q}(\zeta_n)$, then E/\mathbb{Q} is abelian.

Proposition 4.2. Let $p = \text{char}(k)$ and assume either $p = 0$ or $p \nmid n$. Suppose α is a root of $x^n - a$ and $\zeta_n \in k$. Then $\text{Gal}(k(\alpha)/k)$ is isomorphic to a subgroup of \mathbb{Z}_n . In the case where, $x^n - a$ is irreducible, it equals \mathbb{Z}_n .

Proof. Define $\phi : G \rightarrow \mathbb{Z}_n$ by $\phi(\sigma) = i$ when $\sigma(\alpha) = \zeta_n^i \alpha$. Check that ϕ is an injective homomorphism. In the case where $x^n - a$ is irreducible, the conjugates of α are $\{\alpha \zeta_n^i : 0 \leq i \leq n-1\}$, all of which are contained in $k(\alpha)$. ■

Corollary 4.2.1. $k(\alpha)$ as above is always a cyclic extension with degree dividing n .

Definition 4.3. Let k be a field and $f(x) \in k[x]$. We say $f(x)$ is solvable by radicals over k if its splitting field is contained in a Galois extension E/k which admits a sequence of subfields $E = E_s \supset \dots \supset E_1 \supset E_0 = k$ where $E_1 = k(\zeta_d)$ for some d and for $i = 2, 3, \dots, s$, $E_i = E_{i-1}(\alpha_i)$ where α_i is a root of an equation $x^{n_i} - a_i$ for some $a_i \in E_{i-1}$ and some $n_i \mid d$.

Definition 4.4. A group G is solvable if it admits a decomposition

$$G = N_0 \triangleright N_1 \triangleright \dots \triangleright N_s = \{e\}$$

where N_i/N_{i+1} is abelian for each $i = 0, \dots, s-1$.

Lemma 4.5. If G is a solvable group and $N \triangleleft G$, then G/N is solvable.

Theorem 4.6. Suppose $f(x)$ is solvable by radicals over k . Let K be the splitting field of $f(x)$ over k . Then $\text{Gal}(K/k)$ is solvable.

Proof. Let E be as in definition 4.3. Let $G = \text{Gal}(E/k)$ and $N = \text{Gal}(E/K)$. It suffices to show that G is solvable as $K \subset E$ and $N \triangleleft G$, so $\text{Gal}(K/k) \cong G/N$, which by the lemma is solvable if G is solvable.

Define $N_i = \text{Gal}(E/E_i)$. In particular, note that $G = N_0$ and $\{e\} = N_s$. But E/k is Galois, so E/E_{i+1} is Galois and clearly, $N_{i+1} \subset N_i$. Since N_i/N_{i+1} is Galois (hence normal), we have $N_{i+1} \triangleleft N_i$. Also $N_i/N_{i+1} \cong \text{Gal}(E_{i+1}/E_i)$ is abelian. Hence G is solvable. ■

Lemma 4.7. Let G^C denote the commutator subgroup of G . Then G/N abelian implies $G^C \subset N$.

Proof. We have $\overline{xyx^{-1}y^{-1}} = \bar{1}$ for all $\bar{x}, \bar{y} \in G/N$. Hence $xyx^{-1}y^{-1} \in N$ for all $x, y \in G$. The claim follows. ■

Lemma 4.8. Let N, H be two subgroups of S_n ($n \geq 5$) such that $N \triangleleft H$ and H/N is abelian. Suppose H contains all 3-cycles, then so does N .

Proof. By the lemma, we know N contains all commutators of 3-cycles (as H contains all 3-cycles). Choose i, j, k, r, s distinct. Let $\sigma = (i, j, k)$ and $\tau = (k, r, s)$. Observe $[\sigma, \tau] = (r, k, i) \in N$, so since i, j, k, r, s were arbitrary, N contains all 3-cycles. ■

Theorem 4.9. S_n is not a solvable group for all $n \geq 5$.

Proof. Suppose S_n were solvable. Then $S_n = N_0 \triangleright \dots \triangleright N_s = \{e\}$ and N_i/N_{i+1} is abelian. By lemma 4.8, this implies that since N_0 contains all 3-cycles, so does N_1 . Repeating this argument we obtain, N_s contains all 3-cycles, contradiction. ■

Proposition 4.10. Let $q(x) \in \mathbb{Q}[x]$ be irreducible of degree p , prime. Suppose $q(x)$ has precisely two non-real roots. Then the Galois group of the splitting field of $q(x)$ over \mathbb{Q} is isomorphic to S_n .

Proof. Let K be the s.f. of $q(x)$ over \mathbb{Q} . Let $G = \text{Gal}(K/\mathbb{Q})$. Let α be a root of $q(x)$. Then $\mathbb{Q}(\alpha)$ has degree p over \mathbb{Q} . Hence p divides the order of G , so by Cauchy, G has an element of order p . Identify G with a subgroup T of S_p according to the action of the elements of G on the roots of $q(x)$. We know T contains a p -cycle (since p is prime). We also know G contains the complex conjugation automorphism τ (since it has exactly two non-real roots). But τ corresponds to a transposition in T . Hence T contains a p -cycle and a transposition, so by group theory, $T = S_p$. ■

Corollary 4.10.1. Let $q(x) = 3x^5 - 15x + 5$. Let K be the splitting field of $q(x)$ over \mathbb{Q} . Note that q satisfies the conditions of proposition 4.10. So by theorem 4.9, $\text{Gal}(K/k)$ is not solvable, hence q is not solvable by radicals over \mathbb{Q} , i.e. there is no “quintic formula”.

4.1 Squaring the Circle, Trisecting Angles, etc.

Note all rational numbers are constructable. Furthermore, if (x_1, y_1) and (x_2, y_2) are the intersections of two circles, then the x_i and y_j all live in an at most quadratic extension of \mathbb{Q} . Similarly, for the intersections of a line and a circle. For example, $(x-2)^2 + (y+1)^2 = 10$ and $y = 5x - 7$ intersect at $(\frac{1}{13}(16 - \sqrt{61}), \frac{1}{13}(-11 - 5\sqrt{61}))$ and $(\frac{1}{13}(16 + \sqrt{61}), \frac{1}{13}(-11 + 5\sqrt{61}))$. Therefore, if $\alpha = (x, y)$ is any constructable pair, then both x and y are contained in a tower of quadratic extensions.

Proposition 4.11. If $\alpha = (x, y)$ is a constructable point, then x, y are contained in an extension of \mathbb{Q} of degree 2^k for some k .

Corollary 4.11.1. If α is transcendental over \mathbb{Q} or α is algebraic but not a power of 2, then α is not constructable.

Theorem 4.12. It is impossible to trisect an arbitrary angle using a straight-edge and compass.

Proof. We can construct an angle of 60° , so if we could trisect an angle, then 20° is a constructable angle. Hence we could construct a line segment of length $\cos(20^\circ)$. However $\cos(3x) = 4\cos^3(x) - 3\cos(x)$ so if $\alpha = \cos(20^\circ)$, then $8\alpha^3 - 6\alpha - 1 = 0$. However, the polynomial $8x^3 - 6x - 1$ is irreducible over \mathbb{Q} , so $\mathbb{Q}(\alpha)$ is a degree three extension of \mathbb{Q} , contradiction. ■

Theorem 4.13. It is impossible to double a cube with a straight-edge and compass.

Proof. $\mathbb{Q}(\sqrt[3]{2})$ is a degree 3 extension of \mathbb{Q} . ■

Theorem 4.14. It is impossible to square a circle with a straight-edge and compass.

Proof. π is transcendental over \mathbb{Q} , hence so is $\sqrt{\pi}$. ■

Definition 4.15. A Fermat prime is a prime of the form $2^m + 1$ for some non-negative integer m .

Theorem 4.16. A regular n -gon is constructable if and only if $n = 2^s p_1 \dots p_t$ for distinct Fermat primes p_i .

Proof. If we can construct a regular n -gon, then we can construct $\alpha = \cos(\frac{2\pi}{n})$. But $2\alpha = \zeta_n + \zeta_n^{-1}$, so the n -gon is constructable if and only if $\zeta_n + \zeta_n^{-1}$ is constructable. That is, n -gon constructable implies $\phi(n)/2$ is a power of 2, hence $\phi(n)$ is a power of 2. It is clear that this holds if and only if n is of the above form. ■

4.2 Irreducibility of Cyclotomic Polynomials

Theorem 4.17. $\Phi_n(x)$ is irreducible over \mathbb{Q} .

Proof. Let $\zeta = \zeta_n$ and $f(x) = \text{irr}(\zeta, \mathbb{Q}, x)$. We know $f(x)$ divides $\Phi_n(x)$ since ζ is a root of $\Phi_n(x)$.

- It suffices to show that whenever ζ is a root of $f(x)$, then so is ζ^p for any $p \nmid n$. This is because we can repeat the argument to conclude ζ^i is a root of $f(x)$ for any i such that $(i, n) = 1$. Hence $\Phi_n(x) \mid f(x)$, which since both polynomials are monic, implies they are equal.
- Fix a prime $p \nmid n$. We know $x^n - 1 = f(x)h(x)$ for some $h \in \mathbb{Q}[x]$. By Gauss' lemma, we can assume $f(x), h(x) \in \mathbb{Z}[x]$. Suppose ζ^p is not a root of $f(x)$. Then ζ^p must be a root of $h(x)$. Hence $f(x) \mid h(x^p)$, so $h(x^p) = f(x)g(x)$ for some $g(x) \in \mathbb{Z}[x]$. By Freshman's dream, together with Fermat's little theorem, we have $h(x^p) \equiv h(x)^p \pmod{p}$. So reducing modulo p , $\bar{h}(x)^p \equiv f(x)\bar{g}(x)$. In particular, this implies \bar{f} and \bar{h} have a root in common, namely ζ , a contradiction since $x^n - 1 = f(x)h(x)$, but $x^n - 1$ has no multiple roots in \mathbb{F}_p , as it has no roots in common with its derivative. ■

4.3 Discriminant of a Cubic

Definition 4.18. For a cubic polynomial with roots $\alpha_1, \alpha_2, \alpha_3$ define

$$\delta = \alpha_1^2(\alpha_1 - \alpha_2)(\alpha_2 - \alpha_3)(\alpha_1 - \alpha_3)$$

and the discriminant is $\Delta = \delta^2$.

Observe that given any cubic polynomial of the form $g(x) = x^3 + ax^2 + bx + c$ we can translate it into the form $z^3 + \alpha z + \beta$ via the transformation $z = x - \frac{a}{3}$. Given a cubic of the form $f(x) = x^3 + \alpha x + \beta$, we can show that $\Delta = -4\alpha^3 - 27\beta^2$.

Appendix A - Vector Spaces

Theorem 4.19. Any subset $S \subset V$ that spans V has a subset that is a basis. In particular, a finite-dimensional space has a finite basis.

Proof. If $V = \{0\}$, then $\emptyset \subset S$ is a basis for V . If S is finite, we may remove elements from S until it is linearly independent. So suppose S is infinite and V is finite-dimensional. Pick a nonzero vector in S , call it α_1 . Find another vector in S not dependent on $\{\alpha_1\}$, call it α_2 . Then find a vector in S not dependent on $\{\alpha_1, \alpha_2\}$. This process must terminate, since V is finite-dimensional so we may not have more than $\dim V$ linearly independent vectors. Suppose we have obtained the set $\mathcal{A} = \{\alpha_1, \dots, \alpha_n\}$, so that S is linearly dependent on \mathcal{A} . Then \mathcal{A} is a basis for V . ■

Theorem 4.20. Any linearly independent set can be extended to form a basis (for V).

Proof. If V is finite-dimensional, see above. Assume V is infinite-dimensional. Let $S \subset V$ be linearly independent. Define

$$C = \{T \supset S : T \text{ is linearly independent}\}.$$

We may partially order C by set inclusion. Every chain in C must have an upper bound (take the union of the sets in the chain), so by Zorn's lemma, C has a maximal element, \mathcal{M} . If \mathcal{M} is not a basis for V , this implies there exists $x \in V$ such that $x \notin \text{span}(\mathcal{M})$, hence $\mathcal{M} \cup \{x\}$ is linearly independent and contains S , contradiction. ■