# Algolab 2018 - Week 2

#### A couple of general remarks:

- ▶ Public test sets are different than the test sets on the judge
- ► In particular, judge test sets may (or may not) contain special edge cases not existing in the public ones
- ► Think about test cases for which your algorithm might fail or possibly behave strangely
- ▶ algolab@lists.inf.ethz.ch doesn't receive emails from non ETHZ accounts
- Fedora will be the exam OS
- ▶ We have updated the C++ introduction document and virtualbox image

#### Today's lecture

- ► Three different techniques:
  - ► Binary search
  - ► Sliding window
  - ► Dynamic programming

#### **Problem: Barber shop**

A barber takes L minutes to cut every customer's hair. How to pick L?

- ightharpoonup n customers arrive at times  $t_0, \ldots, t_{n-1}$ .
- ▶ The last customer must leave exactly at time T.
- ► The barber starts cutting the next customer's hair as soon as he is finished with his current customer.
- ▶ But maybe he has to wait for the next customer to arrive.
- ightharpoonup Find L

#### Problem: Barber shop example

- ightharpoonup 3 customers arrive at times 0, 2, 10.
- ▶ The last customer must leave exactly at time 14.
- $\blacktriangleright$  With L=1
  - ▶ First customer arrives at 0 and leaves at 1
  - Second customer arrives at 2 and leaves at 3
  - ▶ Last customer arrives at 10 and leaves at 11
  - ► Last customer leaves before time 14 :(

#### Problem: Barber shop example

- ightharpoonup 3 customers arrive at times 0, 2, 10.
- ▶ The last customer must leave exactly at time 14.
- ightharpoonup With L=4
  - ▶ First customer arrives at 0 and leaves at 4
  - ▶ Second customer arrives at 2 and leaves at 8
  - ▶ Last customer arrives at 10 and leaves at 14
  - ► Last customer leaves exactly at time 14:)

#### Reformulation

Let us say that L is too small if the last customer will leave before time T.

We need to find the smallest L that is  $\underline{\mathsf{not}}$  too small.

Simpler problem: check whether a **fixed** L is too small.

To check if a fixed L is too small:

▶ Precomputation: sort the arrival times in increasing order.

```
sort(t.begin(), t.end());
```

► Check if *L* is too small:

```
bool too_small(int L) {
   int time = 0;
   for (int i = 0; i < n; i++) {
      if (t[i] <= time)
            time += L;
      else
            time = t[i] + L;
   }
   return (time < T);
}</pre>
```

# Trick/technique (Sorting)

Sorting can be a powerful pre-computation step.

To sort a vector, always use the std::sort function from the <algorithm> library.

- $ightharpoonup \mathcal{O}(n \log n)$  time for the pre-computation
- $ightharpoonup \mathcal{O}(n)$  time per call of too\_small

How can we find the **smallest** L that is not too small?

- ▶ The property of not being too small is monotone.
- So we can use binary search to efficiently find the smallest L with this property.
- $\blacktriangleright$  First, we find an upper bound on the smallest L (exponential search):

```
int lmin = 0, lmax = 1;
while (too_small(lmax)) lmax *= 2;
```

▶ Now we do the binary search:

```
while (lmin != lmax) {
    int p = (lmin + lmax)/2;
    if (too_small(p))
        lmin = p + 1;
    else
        lmax = p;
}
L = lmin;
```

▶ In general, std::lower\_bound and std::upper\_bound can be useful.

#### In general

**The problem**: find the smallest k that is 'large enough'.

For a **fixed** k, you can check efficiently if it is 'large enough'.

How to find the smallest k efficiently?

#### Trick/technique (Binary search)

In such situations, we can use **binary search** to find the optimal k.

The running time is multiplied only with a factor of  $\mathcal{O}(\log K)$ , where K is the smallest k that is large enough.

# Binary search: Takeaways

- ▶ **Sorting** is often a useful preprocessing step.
- ▶ No need to **explicitly** construct the search space.
- Exponential search gives an **upper-bound** for applying binary search.
- ▶ Values can get very **large**, be careful with using **int**.

Sliding Window

#### Problem of the week: Deck of Cards (Simplified)

Given positive numbers  $v_0, \ldots, v_{n-1}$ , find  $0 \le i \le j < n$  such that:

$$k = \sum_{\ell=i}^{j} v_{\ell}$$

Example: 
$$k = 7$$

**Solution:** i = 1 and j = 4

#### Problem of the week: Deck of Cards (Simplified)

Given positive numbers  $v_0, \ldots, v_{n-1}$ , find  $0 \le i \le j < n$  such that:

$$k = \sum_{\ell=i}^{j} v_{\ell}$$

- ▶ Test case 1:  $n < 200 \rightarrow O(n^3)$
- ▶ Test case 2:  $n < 3000 \rightarrow O(n^2)$
- $\blacktriangleright$  Test case 3 and 4:  $n<10^5\rightarrow~O(n)$

It is possible to solve this in time  $\mathcal{O}(n)$ :

#### Idea:

- ▶ Keep two pointers to keep track of current sequence
- ▶ If the current sequence is too small: Increase the right pointer
- ▶ If the current sequence is too large: Increase the left pointer



It is possible to solve this in time  $\mathcal{O}(n)$ :

```
int i = 0, j = 0;
int sum = v[0];
while (1) {
    if (sum == k) break;
   if (sum < k) {
       j++;
        sum += v[j];
    }
    else {
        sum -= v[i];
       i++;
    }
```

Why this works (sketch):



- ▶ Assume left pointer reaches first the beginning of the optimal sequence.
- ▶ Right pointer will keep increasing until it reaches the end.
- Same argument if right pointer reaches first.

# Trick/technique (Sliding window)

ensure the optimal interval will be considered.

Some problems in which you need to find some **optimal interval** can be solved in linear time using a similar **sliding window** approach if you can

Dynamic Programming

# **Dynamic Programming – Outline**

- ► Most of you know Dynamic Programming (DP) :)
- ► Many struggle to apply it :(
  - ► How to identify a DP problem
  - ► How to tackle it
  - ► How to implement it

# First Example: Fibonacci Numbers

```
Definition: F_1 = 1, F_2 = 1, and F_n = F_{n-1} + F_{n-2} for n > 2
```

Task: compute  $F_n$ .

Solution: transform definition into recursive algorithm.

```
int f(int i) {
    if(i == 1 || i == 2) return 1;
    return f(i-1) + f(i-2);
}
```

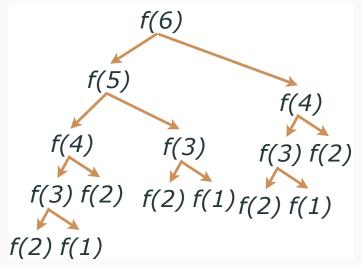
Time complexity:  $\Theta(\phi^n)$ 

Source of inefficiency? Overlapping Subproblems...

# First Example: Fibonacci Numbers

Definition:  $F_1 = 1$ ,  $F_2 = 1$ , and  $F_n = F_{n-1} + F_{n-2}$  for n > 2

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#### Fibonacci Numbers - Memoization

```
Recall: F_1 = 1, F_2 = 1, and F_n = F_{n-1} + F_{n-2} for n > 2
Idea: do not recompute, recall from memory
   vector<int> memo(n + 1, -1);
   int f(int i) {
        if(i == 1 | | i == 2) return 1: // Check base cases
        if(memo[i] != -1) return memo[i]; // Check table
        int result = f(i-1) + f(i-2);  // Compute results
       memo[i] = result;
                                         // Store result to table
       return memo[i];
Time complexity: \Theta(n)
```

Memoization (or top-down DP) is simple and powerful :)

# This was easy. Why is it difficult in general?

#### Essence of DP:

- ▶ Compute a solution from solutions of subproblems.
- ► Solve subproblems only once, by storing results.

Storing results is easy, just apply memoization.

Deriving a recursive algorithm is the difficult part!

Usually we do not get a recursive definition of the problem... :(

Silly implementation details can cost you many points

#### Common pitfalls

```
New Task: F_{-1}=1, F_0=1, and F_n=F_{n-1}+F_{n-2} for n>0 vector<int> memo(n + 1, -1);  \begin{split} &\inf \text{ f(int i) } \{ \\ &\text{ if (memo[i] != -1) return memo[i]; // Check table } \\ &\text{ if (i == -1 || i == 0) return 1; // Check base cases } \\ &\text{ int result = f(i-1) + f(i-2); // Compute results } \\ &\text{ memo[i] = result; // Store result to table } \\ &\text{ return memo[i]; } \\ \rbrace \end{aligned}
```

This will get a RUN-ERROR, can you spot why?

Many times the base cases will not be in the memory table

#### Common pitfalls

New Task: Compute parity of Fibonacci number

This will get a **TIMELIMIT**, can you spot why?

Make sure the **default** memory value is **not** a possible output

# Second Example: Drink as much as possible

Task: given a sequence of n bottles with volumes  $v_1, \ldots, v_n$ . Drink as much as you can, without drinking from two adjacent bottles.

**Example:** 3 bottles with volumes 1, 4, 2

- ightharpoonup Drink from first and last bottle ightarrow 3 litres
- lackbox Drink from second bottle ightarrow 4 litres

# Second Example: Drink as much as possible

Task: given a sequence of n bottles with volumes  $v_1, \ldots, v_n$ . Drink as much as you can, without drinking from two adjacent bottles.

We want a recursive definition for f(i) := "max amount we can drink from first i bottles".

- ▶ Base cases: f(0) = 0 and  $f(1) = v_1$ .
- $f(i) = \max\{v_i + f(i-2), f(i-1)\}\$

Now we can transform this definition into a recursive algorithm.

Time complexity:  $\Theta(\phi^n)$  (same as Fibonacci)

# Drink as much as possible – Memoization

Take recursive algorithm

```
int f(int i) {
       if (i == 0) return 0;  // Check base cases
       if (i == 1) return volumes[i]; // Check base cases
       return max(volumes[i] + f(i-2), f(i-1));
and simply add memo:
   vector<int> memo(n + 1, -1);
   int f(int i) {
                            // Check base cases
       if (i == 0) return 0;
       if (i == 1) return volumes[i]; // Check base cases
       if(memo[i] != -1) return memo[i]; // Check table
       memo[i] = max(volumes[i] + f(i-2), f(i-1)); //Store results
       return memo[i];
   }
```

Time complexity:  $\Theta(n)$ 

# **General Strategy**

Find recursive formulation for the problem.

Implement it as recursive algorithm, it will be correct but slow.

Are there overlapping subproblems?

Add memoization!

#### What is left?

We focus on examples to illustrate particular difficulties that often occur in problems.

- ▶ Iterative DP (table, bottom-up)
- Compare memoization and iterative DP
- Reconstruct solutions
- ► Example with "complicated and many" subproblems

#### Drink as much as possible – Iterative DP

```
Recall: f(0) = 0 and f(1) = v_1 and f(i) = \max\{v_i + f(i-2), f(i-1)\}
```

We can easily transform this into an iterative algorithm:

```
int f(int n) {
    vector<int> dp(n+1); // dp table
    dp[0] = 0;
    dp[1] = volumes[1];
    for(int i = 2; i <=n; ++i)
        dp[i] = max(volumes[i] + dp[i-2], dp[i-1]);
    return dp[n];
}</pre>
```

The DP table follows naturally from recursive definition.

#### Memoization vs Iterative DP

Usually both work, so use what feels more natural to you;)

#### Memoization:

- ► Simple (once you have recurrence)
- Easy to use other subproblem descriptions (e.g. sets...) by using a map
- Only computes necessary subproblems
- ► Overhead of function calls
- Sometimes time complexity not obvious

Iterative DP (with table):

- ► More effort to code
- ► Need to describe subproblems with integers
- Computes always all subproblems
- ▶ Time complexity obvious

# Drink as much as possible - Reconstruct Solution

We computed how much we can drink. What if we want to know which bottles to take?

- 1. Compute DP table or memo
- Reconstruct solutions using recurrence and a stack that remembers where we come from.

```
Recall recurrence: f(0) = 0, f(1) = v_1, f(i) = \max\{v_i + f(i-2), f(i-1)\}
    stack<int> partial; // partial solutions
   void reconstruct(int i) {
        if(i == 0) return; // p contains a solution
        if(i == 1) {partial.push(1); return;} // p contains solution
        if(volume[i] + dp[i-2] > dp[i-1]){ // we took the i-th bottle}
            partial.push(i);
            reconstruct(i-2):
        }else // we did not take the n-th bottle
            reconstruct(i-1):
        }
```

# Last Example: Longest Increasing Subsequence in $\Theta(n^2)$

Task: given a sequence of n integers  $a_1, \ldots, a_n$ . Compute the length of a longest increasing subsequence (LIS).

First attempt: f(i) := "length of LIS in  $a_1, \ldots, a_i$ ".

- ▶ Base cases: f(0) = 0
- ► f(i) = ???

Final attempt: f(i) := "length of LIS in  $a_1, \ldots, a_i$  that ends in  $a_i$ ".

- ▶ Base cases: f(0) = 0
- $f(i) = \max_{j < i: a_j \le a_i} \{1 + f(j)\}\$

**Solution:**  $\max_i f(i)$ 

We had to reformulate the problem s.t. it admits a recursive formulation, this is difficult!

Time complexity: n function calls (with memo), i-th call takes  $\Theta(i)$  time. Thus,  $\Theta(n^2)$ .

#### DP – Wrap Up

- Idea of DP: solve subproblems only once by storing solutions of subproblems
- ► Start by defining recurrence relation (on paper)
- ▶ Implement it. It will be correct but slow...
- ► Are there overlapping subproblems?
- Add memo (usually this does the trick) or construct DP table
- ▶ Practice finding recurrence relation on paper for well known DP problems (SubsetSum, Knapsack, Coin Change, LCS, Edit Distance, LIS...)

