

# Efficient Detection of Wire Frame Objects on Micro-Air Vehicles

by

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# Preface

Preface...

*P. Duernay  
Delft, January 2013*



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# **Summary**



# Glossary

**IR** Infrared

**LIDAR** Light Detection And Ranging

**EWFO** Empty Wire Frame Objects

**FoV** Field of View

**CNN** Convolutional Neural Network

**GPS** Global Positioning System

**GPU** Graphical Processing Unit

**MAV** Micro-Air Vehicle

**DR** Domain Randomization

**TO** Target Object

**DSC** Depthwise Separable Convolution

**IMU** Inertial Measurement Unit

**FPV** First Person View

**IROS** International Conference of Intelligent Robots

**i.i.d.** independently identically distributed

**PCA** Principal Component Analysis

**mAP** Mean Average Precision

**NED** North-East-Down

**CAD** Computer Aided Design

**IoU** Intersection over Union

**WRN** Wide Residual Network

**FCN** Fully Convolutional Network

**Yolo** You only look once

**RPN** Region Proposal Network

**SSD** Single Shot Multibox Detector

**SVM** Support Vector Machine



# Chapter 1

## Introduction

Micro-Air Vehicles (MAVs) such as a Quadrotor-MAV displayed in Figure 1.1 are an emerging technology that supports society in a wide range of consumer, industrial and safety applications. For example MAVs are used to deliver medicine [44], fight fires [24] or even find survivors in disaster situations [21].

Especially in emergency scenarios the fast and safe flight of MAVs is crucial to deliver help quickly and save human lives. However, due to the complexity of such missions as well as the difficulty to control an MAV in disaster scenarios, often multiple human operators are required in order to ensure safe operation [34]. With humans in the loop a constant connection between the MAV and the operators is required which not only uses energy and requires infrastructure but also significantly increases the reaction time. Enabling MAVs to fly more autonomously could allow human operators to control more MAVs and thus to improve the support in emergency situations.

A major challenge on the way to the full autonomous flight of MAVs is the accurate estimation of the MAV's state within its environment. The system is highly dynamic so position and orientation can change rapidly. At the same time noise introduced by motor vibrations makes the position estimation with only on-board Inertial Measurement Units (IMUs) too inaccurate [33]. Light Detection And Ranging (LIDAR)-sensors can capture long and wide range 3D information but the sensors are typically heavy and require a significant amount of energy. Infrared (IR) sensors can cover distance information but are often limited in their Field of View (FoV) as well as in their range. External infrastructure like Global Positioning System (GPS) and optical tracking systems can provide accurate measurements but there is no guarantee that such systems are present in real world applications. Cameras on the other hand are cheap, lightweight and can measure long range distance information. This makes them a suitable choice as a sensor for on-board state estimation on light



Figure 1.1: An example of a Quadrotor-MAV-Platform that is used in this thesis.

MAVs [8].

However, the signal delivered by the camera is high dimensional and can not directly be interpreted as position or orientation measurements. Computer Vision algorithms are required to interpret the image and extract relevant information. This can be done by designing an algorithm manually or learning the image processing from annotated examples. In particular Deep Learning based methods aim to combine whole Computer Vision pipelines into one mapping that transforms the raw input image into a task dependent output. Experiments have shown how Deep Learning based methods outperform traditional Machine Learning approaches and manually crafted algorithms [37]. This made them the predominant choice for almost any vision task.

The hereby used Convolutional Neural Networks (CNNs) are designed in a hierarchical way, using multiple layers that are evaluated sequentially. An example architecture is displayed in Figure 1.2. The network transforms an image of size 224x224 from its input (left) to a task dependent output (right). In this case a classification network predicting 1000 class probabilities is displayed. Each layer applies a non-linear transformation for which the parameters are learned during training. By stacking more layers on top of each other (deepening) and increasing the number of nodes  $D$  per layer (widening), highly non-linear functions can be modelled.

Experiments have shown the superior performance of particularly deep/wide models [13, 14, 45, 56]. However, this model flexibility assumed to be the reason for their superior performance also leads to immense requirements in computational resources. For example a state-of-the-art Computer Vision model [14] contains 60.2 million parameters and one inference requires 11.3 billion floating point operations [50].



Figure 1.2: Example Architecture of a CNN.

Robotic platforms like MAVs have limited resources in terms of processing power and battery life. Hence, the use of CNNs on such devices is still an open challenge. Research has addressed to reduce the number of computations in Deep Learning models on multiple levels[11, 17, 26, 43, 56, 57]. However, the investigation of relatively shallow models with less than ten layers received only little attention by the research community.

This work investigates the deployment of a Deep Learning based Computer Vision pipeline on a MAV. The method is applied in the challenging scenario of Autonomous Drone Racing at the International Conference of Intelligent Robots (IROS) 2018. Within the race court several metal gates are placed and need to be passed one after another. Detecting the gates allows to estimate the MAV's relative position and to calculate the flying trajectory. An overview of the race court and the racing gates at the IROS 2016 Autonomous Drone Race can be seen in Figure 1.3.

Reference  
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Method  
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published

The thesis builds on previous work by Ozo et. al which uses a manually crafted image processing method to detect the racing gates. Although fast to execute the method is very sensitive to illumination changes.



Figure 1.3: Example Images of the IROS 2016 Autonomous Drone Race

Moreover, the algorithm fails when the objects are too far away or the frame is very thin. In order to develop a more robust method, this thesis investigates a learning based approach to the detection of racing gates.

Object Detection is one of the most intensively studied topics in Computer Vision. However, the objects investigated are usually solid and contain complex shapes. For example a pedestrian consist of body parts and a face. A box that surrounds the object mostly contains parts with distinctive shape an/or texture. A Computer Vision model can use these features for detection. The racing gates in contrast are of different nature. As can be seen in Figure 1.3 a box that surrounds the object would largely contain background. Hence, this part can not be used as a hint whether an object is present. Instead it can contain other objects even other gates that might distract a detector. Additionally, the object parts themselves are of very thin structure and can be hardly visible. Thus, a detector needs to make use of fine-grain structures, while ignoring the majority of the image. This introduces a particular vision task that even humans have a hard time at solving<sup>1</sup> and that affects the training and design of a Computer Vision pipeline that aims to detect these kind of objects.

This thesis defines a class of objects as **Empty Wire Frame Objects (EWFO)** studies methods for their detection. The definition is given as follows:

#### Definition - Empty Wire Frame Objects

1. **Empty.** The object parts are sparse. The bounding box around the object is largely occupied by background.
2. **Wire.** The object parts themselves are thin structures. The object does not consist of complex but only basic geometric shapes like corners, lines and edges.
3. **Frame.** The object parts can be spread over large parts of the image, while the point of interest is in the center of this part.

The detection of EWFO is studied in the examples of the IROS drone race gates. These can be seen can be seen in Figure 1.4. The image shows the *Closed Gate* as well as the *Jungle Gate*. Thereby the orange part is considered to be the object of interest. To the best of the authors knowledge EWFO have not been particularly addressed in Computer Vision. In [9] and [27] the authors also detect racing gates, however the used objects contain more structure than the ones investigated in this thesis. Jung et al. present a framework to detect similar objects in [22] and [23] but do not study the particular effects of the object shape. This work particularly addresses the implications of the object shape in using a Deep Learning based detection system for EWFO.

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<sup>1</sup>The unconvinced reader can try to count the number of gates visible in the right image of Figure 1.3



Figure 1.4: Example Images of the Empty Wire Frame Objects investigated in this thesis.

A drawback of Deep Learning based vision systems is their need for vast amounts of annotated examples, which is not always available. Racing gates for example are not an object that appears often in everyday life and therefore not many example images exist. To this end no publicly available dataset can be used to train a Computer Vision system for EWFO. Since a large part of the object consists of background, it is particularly crucial that the training set covers a large variety of backgrounds. Otherwise, it is likely that a model uses the background for prediction and only works in a particular domain (Overfitting).



Figure 1.5: Example of the Cyberzoo dataset. On the left an image while the MAV is hovering, on the right an image during a turn manoeuvre.

In Chapter 1 example images of the target domain of this work are displayed. The images are taken during a test flight at a test environment. The left image shows an example when the MAV is hovering and thus is in a very stable position. The object in this case is clearly visible as a single orange square. In contrast the right image shows a close up example during a turn manoeuvre. Here it can be seen how the used wide angle lens causes distortion and thus the lines appear as circular shape. Furthermore, large parts of the image including the horizontal bars of the object in the back appear blurred due to the circular velocity of the MAV. In addition, the light conditions of the environment significantly influence the object appearance.

While it is possible to remove lens and sensor effects in post-processing, this can lead to information loss and requires on-board resources. Instead it is computationally more efficient to perform the detection on the raw image data. However, sensor effects have been shown to significantly influence the performance of neural networks [1, 7]. Furthermore, they can lead to varying object appearance on different MAVs. This further complicates the collection of annotated examples.

Another option is the artificial generation of data. By synthetically generating samples with corresponding

labels, the theoretical amount of training data is infinite. Moreover, the generation allows to incorporate domain specific properties such as motion blur or image distortion. Hence, data generation is particularly useful for the detection of MAVs on EWFOs where a large variety of backgrounds is required while samples are difficult to obtain. Finally, as MAV are brittle vehicles and mistakes in development can lead to damage on hardware, engineers and researchers often use simulators to evaluate their systems before transferring them to the real work. Thus the basic infrastructure required to generate data is often already available.

Yet introduces the generation of data its own challenges. First and foremost because the generation process in itself is based on model assumptions. If these do not sufficiently capture the real world, a model trained in such an environment might be heavily biased and perform poorly in the real world. Secondly, because the generation of visual data is computationally intense. Despite advances in Computer Graphics can virtual environments not yet fully capture the real world. Hence, this work investigates the use of data generation in order to detect EWFOs on MAVs.

Without an accurate detection of the racing gate, the MAV is not able to determine its current position and thus to calculate its flying trajectory. On the other hand, with an algorithm that requires less computational resources a lighter MAV can be built. This allows faster and more aggressive trajectories as well as longer battery life. Moreover, the vision system is part of a greater state estimation and control system which also includes further sensor measurements. Depending on the remaining part of the system, faster and less accurate detections can be more useful than slow but accurate detections. Hence, the trade-off between accuracy and inference speed is of particular interest for this application and is addressed in this work.

## 1.1 Research Question

This section summarizes the research question addressed in this thesis. Furthermore it describes how the question is split in multiple subquestions that are addressed in the individual chapters.

**How can CNNs detect EWFO on MAVs, using synthetic training data?**

**RQ1** How can data be generated to train a detection model for EWFO detection on a MAVs?

**RQ2** What kind of architecture is suitable to detect EWFOs?

**RQ3** What are the trade-offs in detection performance and inference time when a detection model for EWFOs is deployed on a MAV?

**RQ4** Can the gained insights be used to build a lightweight and robust detection model for racing gates in the IROS Autonomous Drone Race?

Put some results at the end.

## 1.2 Results/Contributions

## 1.3 Outline

Refactor contributions once done

The thesis is structured as displayed in Figure 1.6. Chapter 2 describes the metrics and systems used for evaluation. Chapter 3, Chapter 4, Chapter 5 and Chapter 6 address the individual research questions. Each chapter contains an introduction to the topic, the methodology used in this thesis and experiments that



Figure 1.6: Thesis Outline

have been carried out. Chapter 3 describes methods to generate synthetic data for machine learning. It concludes with the datasets used for the remaining parts of this thesis. Chapter 4 describes object detection and evaluates current methods in the application for EWFOs. Chapter 5 illustrates and evaluates measures to reduce computations and optimize an object detection system for a particular hardware. It investigates the trade-off between detection performance and inference time. Chapter 6 describes how the gained insights are used to develop a detector for racing gates at the IROS 2018 Autonomous Drone Race. It also compares the current method to a traditional image processing method in terms of speed and detection performance. Chapter 7 discusses the overall results and formulates a conclusion.



# Chapter 2

## Background

This chapter describes background knowledge required to understand the remaining parts of the thesis. It introduces the hardware platform in which this work has been conducted as well as datasets and metrics used for evaluation. Furthermore, it describes the baseline algorithm that is used as comparison for the investigated models.

### 2.1 Hardware Platform

The target platform is the *JeVois* smart camera. It contains a 1.3 MP camera with 65 degree field of view. The processing units are a quad core ARM Cortex A7 processor with 1.34 GHz and a dual core MALI-400 GPU with 233 Mhz. In order to extent the field of view a 120 degree wide angle lense is mounted. In Figure 2.1 the camera is shown.

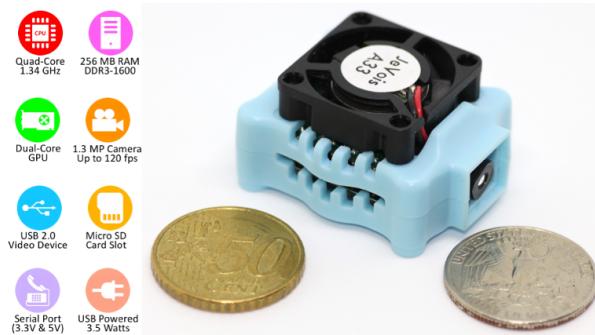


Figure 2.1: JeVois Camera

### 2.2 Datasets

An evaluation set has been recorded to serve as a benchmark for the developed methods. The dataset consists of 300 images recorded with the JeVois camera during flight and while remaining on ground. The samples stem from three different rooms with varying light conditions. The rooms are referred to as *Basement*, *Cyberzoo* and *Hallway*. Example images for each room can be seen in Figure 2.2.



Figure 2.2: Examples of the three test domains. From left to right: *Basement*, *Cyberzoo* and *Hallway*

All scenes are indoor scenes which are a typical example for a GPS-denied area, where vision based state estimation is required. The scenes contain two gates that are arranged in varying order. Hence up to two objects are visible and can overlap which means the gate farer away can be seen through the closer gate. Each of the rooms has different environmental conditions:

1. *Basement* is a bright environment illuminated by artificial light sources. The corridor in which the objects of interest are placed are narrow while also objects and persons are visible on the samples. The dataset contains 163 samples with 312 objects in total.
2. *Cyberzoo* is taken from a test environment for drone flights. External light sources are covered such that an even illumination and dark background is created. Only in a small subset of images distractors like other objects or persons are visible. In total 88 samples stem from this room while 71 objects are present.
3. *Hallway* is a bright environment illuminated by a combination of artifical light sources as well as day-light that shines through the windows. The samples are taken with the windows as background. This leads to a very bright background such that the thin structure of the objects are hardly visible. The dataset contains 49 samples with a total of 86 objects.

## 2.3 Evaluation Metrics

The detection performance is evaluated in terms of precision and recall. These metrics are defined as:

### Precision

$$p = \frac{\text{true positives}}{\text{true positives} + \text{false positives}}$$

### Recall

$$r = \frac{\text{true positives}}{\text{true positives} + \text{false negatives}}$$

Where true positives are objects that are detected, false positives are detections although there is no object and false negatives are objects which have not been detected.

Hence, recall expresses how many of all objects are detected and therefore how complete the result is. Precision measures how many of the predicted objects are actually correct detections.

A correct detection is determined based on its overlap with a ground truth box. This is measured by the relation of Intersection over Union (IoU). In experiments we determine 0.6 as sufficient overlap for a detection. However, to evaluate how accurate in terms of location the detections are, precision and recall are measured for different levels of IoU.

The model used within this thesis associates a "confidence" value with each prediction that can trade off precision and recall. This is further explained in Chapter 4. By accepting more detections with a lower confidence threshold the probability increases that one of the predictions is a true positive. Hence, it increases recall. However, it also increases the probability of false positives and thus lowers precision. In order to evaluate this trade-off precision is plotted over recall at increasing confidence values.

As the learning of CNNs is stochastic, the mean across several trainings is reported. In order to determine the average precision recall trade-off the precision is interpolated across evenly distributed recall levels between 0 and 1 using:

$$p_{\text{interp}}(r) = \max_{r' \geq r} p(r')$$

Subsequently the mean at all recall levels can be calculated. A metric that combines the precision-recall trade-off is Mean Average Precision (mAP):

$$mAP = \int_r p_{\text{interp}}(r) dr$$

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## 2.4 Baseline

The baseline algorithm *SnakeGate* is a low-level image processing algorithm proposed in . Its scheme is summarized in and described in the following.

1. Filter image by colour threshold
2. Sample stochastically
3. Follow the pixels horizontally as long as they are within the colour threshold otherwise return to 2.
4. If a bar of sufficient length has been found repeat 3. vertically along one end of the line found in 3.
5. If a vertical bar is found the square is considered as gate candidate
6. Create local histogram around the corners of the gate candidate and choose the highest peak as gate corner.
7. Count the fraction of pixels within the color threshold in relation to the total number of pixels along all edges of the gate candidate to determine the *color fitness*.
8. Gate candidates that exceed a chosen threshold are considered valid detections.

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A property of SnakeGate is that it can and has to be fine tuned given an environment and its corresponding light conditions. This lack of robustness against domain changes are one motivation for this work. On the other hand, in practice the fine tuning allows to adapt the method live for a certain domain, which is not practical for learning based methods.

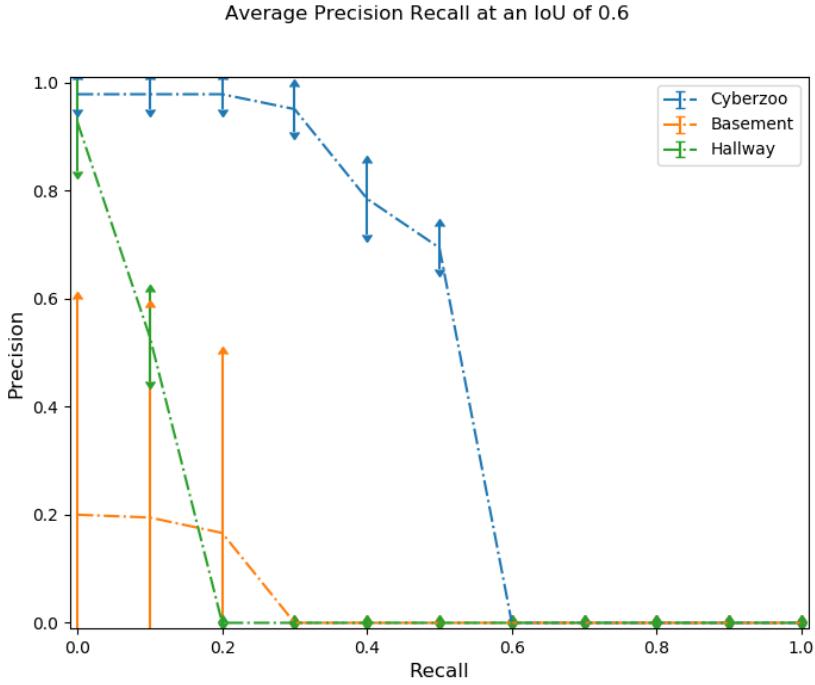


Figure 2.3: Precision-Recall of Snake Gate on the datasets described in Section 2.2

#### 2.4.1 Experiment

In order to compare the methods investigated in this thesis a baseline is determined. Therefore SnakeGate is evaluated on the datasets described in Section 2.2. In the experiment the color thresholds of the algorithm are fine tuned to the particular environment. The presented results are averages across 5 runs.

#### 2.4.2 Results

The results in terms of precision and recall are summarized in Figure 2.3. It can be seen how the detector performs best in the Cyberzoo domain.

# Chapter 3

## Synthesizing Data for Object Detection on MAV

Deep learning based Computer Vision models benefit from large training sets which are particularly hard to obtain for EWFO detection on MAVs. Hence, this chapter addresses the generation of data for this application.

The relevant question to be investigated in this chapter is the following:

**How can data be generated to train a detection model for EWFO detection on a MAVs?**

This main question is split in multiple sub questions:

**RQ1.1** What are the implications of the shape of EWFOs when synthesizing training data for their detection?

**RQ1.2** How do domain shifts between training and test data affect the detection performance?

In order to investigate these research questions

This chapter focuses on data generation while the exact model is described in Chapter 4.

### 3.1 Related Work

Related methods vary from changing low level properties of the image over using CAD models in combination with real background up to rendering full 3D-environments. Often various combinations of synthesized and real data are applied.

#### 3.1.1 Low-Level Image Augmentation

A common part of current Computer Vision pipelines is to augment a given data set by transforming low level properties of the image. By artificially increasing variations in the input signal, a model that is more invariant to the augmented properties shall be obtained.

Krizhevsky et al. [25] use Principal Component Analysis (PCA) to incorporate colour variations. Howard [16] shows how several image transformations can improve the performance of a CNN-based Classification model. The proposed pipeline includes variations in the crop of the input image as well as variations in brightness, color and contrast. In CNN-based Object Detection Szegedy et al. [46] uses random scaling and

translation of the input image, as well as random variations in saturation and exposure. Liu et al. [30] additionally crop and flip each image with a certain probability.

Since most methods use image augmentation and Krizhevsky et al. [25] mentions it to be the particularly reason for superior performance at ILSVRC2012 competition it can be assumed to be beneficial for Computer Vision models. Unfortunately, none of the publications measures the improvements gained by the different operations. This work includes a subset of the proposed techniques and measures its effect on model performance.

While the aforementioned approaches add artificial variation to the input data, Carlson et al.[2] augment the image based on a physical camera model. The proposed pipeline is applied for Object Detection and incorporates models for sensor and lens effects like chromatic aberration, blur, exposure and noise. While being of minor effect for the augmentation of real data (0.1% - 1.62% mAP70) the reported results show an improvement when training on fully synthesized datasets. Here the reported gains vary between 1.26 and 6.5 % mAP70.

Low-level image augmentation is a comparatively cheap method to increase the variance in a dataset. However, it cannot create totally new samples or view points. Furthermore, it cannot change the scene in which an object is placed. Therefore it needs a sufficiently large base dataset that is augmented. This work addresses the case when no real training data is available. Hence, low-level image augmentation is incorporated in the training process but can not be the only method applied.

### 3.1.2 Augmenting Real Images with CAD - Models

Computer Aided Design (CAD)-models describe the 3D-shape of an object. In a lot of industrial applications such models are available as they are also used to produce the object. For Computer Vision it can be placed on real images to artificially create or increase the size of a dataset.

Peng et al.[36] study the use of CAD-models in the context of CNN-based Object Detection. The authors particularly address how modelling of image cues like texture, colour and background affects model performance. The experiments show how the used CNNs are relatively insensitive towards context but use shape as primary, texture and colour as secondary most important features. This enables competitive performance even when the object of interest is placed only on uniformly covered backgrounds. However, the study only covers solid objects such as birds, bicycles and airplanes. EWFO are substantially different and we hypothesize that other image cues must be relevant.

Madaan et al.[32] study the segmentation of wires based on synthetic training. As wires similarly to EWFO only consist of thin edges, the application is quite close to this work. However, the experiments focus on a single domain, namely sky images and thus the variations in background are comparatively small. We hypothesize that EWFO are particularly sensitive to such variations and address the application in multiple domains.

Hinterstoisser et al. [15] propose to use a base network that has been trained on real images and to continue training on images with CAD-models. During training the base network is frozen and only the last layers are updated. The method does not use real data but requires a suitable base network. As most available feature extractors (further discussed in Chapter 4) are of a size that is computationally prohibitive for MAV the method is not really applicable for this work.

The use of CAD-models in combination with real backgrounds allows to generate totally new view points for the object of interest. Furthermore, the image background consists of real data and thus the synthetic textures only concern the rendered object. However, the geometric properties like perspective as well as the physical properties like object placement are violated and therefore create an artificial scene. Despite

this fact, literature shows that such images can benefit model performance in various cases. Yet, most of the approaches still use real data and/or focus on solid objects with rich textures and complex shape. We hypothesize that since EWFO do not provide these kind of structures the results do not apply in the same way. Hence, we incorporate the method to generate data and investigate how it can be applied for the detection of EWFO.

### 3.1.3 Fully Synthesizing Data

Training models only in a simulated environment is common for Control tasks. In Computer Vision poor quality of graphic engines and long rendering time made the method less popular. However, advances in Computer Graphics and faster processing technologies enabled the generation of more realistic images and led to the creation of fully synthesized datasets[10, 40]. Various studies tried to incorporate such data in their training process.

Johnson-Roberson et al. [20] train an Object Detection model entirely in simulation. The results show an improvement towards data annotated by humans especially when using vast amounts of simulated data. However, the created environment is highly detailed and therefore requires a lot of engineering work.

In contrast [42, 47, 48] use a relatively simple environment but a high degree of randomization to address the reality gap. The aim is to learn an abstract representation by strongly varying textures, light conditions and object locations. Tobin et al. introduced this technique as Domain Randomization (DR). The drawback of the approach is that a too high degree of randomization may omit pattern in the target domain that could otherwise be exploited by the model.

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Training a model in a fully synthesized environment enables the full control of all properties present in an image. The object of interest can be placed according to physical laws, shadows fall correctly and geometric properties of an image are followed. However, if the graphical models do not fully capture the detail of real world objects, the generated data might look too artificial. Methods in literature address this problem in two ways: (1) Creating a virtual environment that resembles the real world. Although a lot of engineering effort and processing power is required, a lot of properties of the real domain can be incorporated; (2) Creating a lot of variance in the data generation to obtain an abstract representation. While bearing the risk of omitting properties of the target domain, this method requires less engineering effort.

This work addresses the application of MAVs in GPS denied scenarios. Such scenarios cover a wide range of possible environmental conditions and a full modelling of all these possible domains is beyond the scope of this work. On the other hand, we hypothesize that a model with a high degree of abstraction might perform well across domains but poor in a particular domain. Hence, we investigate this trade-off for the detection of EWFOs.

### 3.1.4 Transfer Learning

The field of transfer learning particularly addresses domain shifts in the modelling process. Hence, a common application is the learning from synthetic data.

A common approach in CNN-based models is the incorporation of a domain classifier in the model. By augmenting the data with domain labels, the classifier learns to distinguish the two domains. Subsequently a gradient reverse layer is applied and thus the weights are updated in such a way that a domain agnostic representation is learned. Examples of the approach can be found in [4] [55].

While the aforementioned approaches require labelled samples from the target domain, Peng et al. [35] propose to include task-irrelevant samples and a source classifier. As a result no samples of the target domain

are required.

While transfer learning provides the theoretical framework as well as methods to deal with domain shifts, it does not allow to generate data. Furthermore, it often requires samples of the target domain. This work addresses the case when no real data is used for training. The field is interesting to be incorporated in the data generation pipeline investigated in this thesis but it can not be used as a start off point. Hence, the use of transfer learning in the modelling process is denoted as future work.

### 3.1.5 Generative Adversarial Networks

write [19]

## 3.2 Data Generation Pipeline

In order to investigate the research questions a data generation pipeline is implemented using OpenGL, UnrealEngine and AirSim. An overview can be seen in Figure 3.1. In the first step a scene is created in which the objects of interest as well as the camera are placed. In 3D space position and orientation (pose) of each object are determined by translation  $t$  and rotation  $r$ . The coordinate system is North-East-Down (NED).

A view projection yields an image through the lens of the camera. The coordinates of each point in 3D space are projected on the 2D image plane. A final post processing step can simulate further effects like lens distortion and sensor noise. This step is implemented using OpenCV and Python.

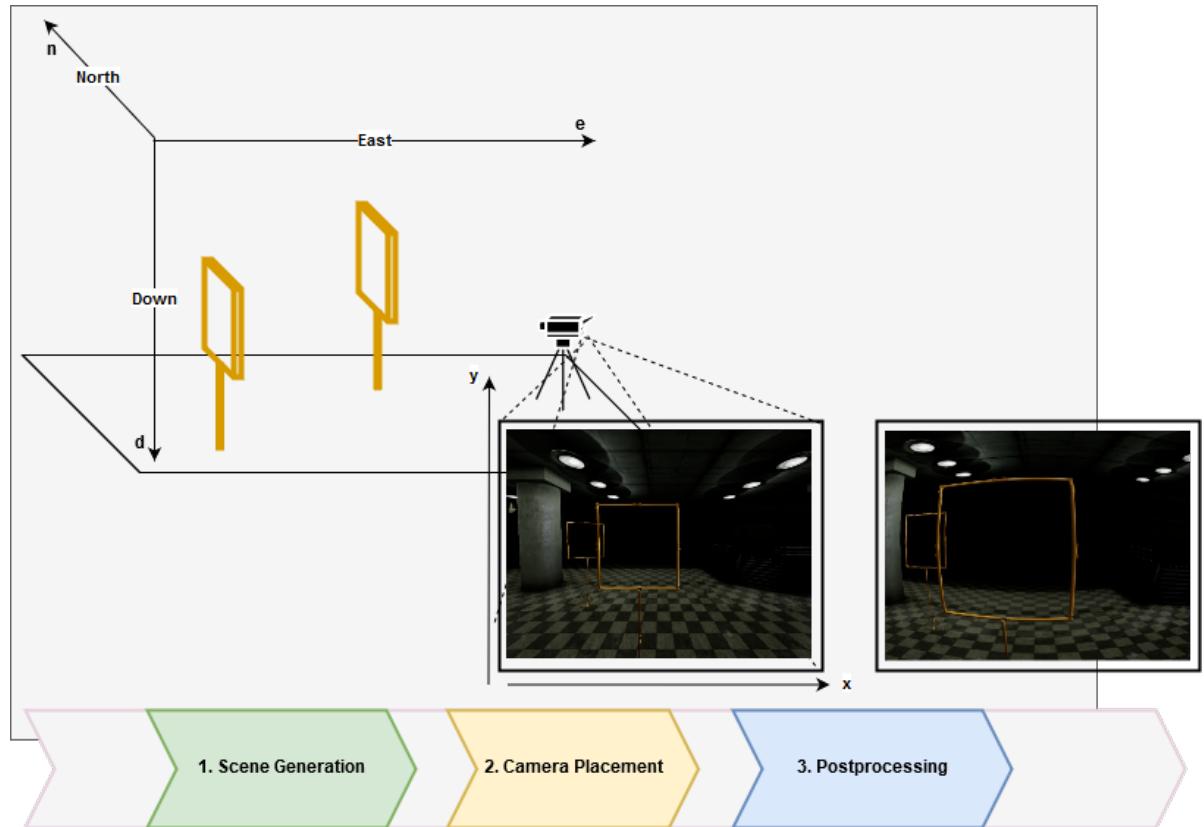


Figure 3.1: Overview of the data generation process.

### 3.3 Scene and View

The first step determines the environment in which the object is embedded. This contains context such as background and other objects, as well as light conditions.

The experiments in [36] show how CNNs are relatively independent from these kind of image cues. Even trained on uniformly coloured backgrounds an object detector is able to achieve competitive performance. Instead CNNs seem to exploit texture and shape of the object. However, the objects investigated are solid which is not the case for EWFO. EWFO have a distinctive shape but the main part of their surface contains background. We hypothesize this makes the detection of the shape harder. Furthermore, EWFO do not provide texture that can be exploited by a detector, which further complicates the detection. We hypothesize that EWFO are more dependent on background than solid texture rich objects.

Placing a CAD-model on existing images allows to generate samples without fully synthesizing an environment. In literature [32, 36] approaches can be found that show the success of this method. However, without a realistic environment geometric properties of real images are violated. Furthermore, light conditions do not align with the rest of the scene. Hence, we hypothesize that only placing a CAD-model on existing images leads to a too artificial learning setting. An object detector trained in such an environment might learn only to predict the object that does not fit to the rest of the scene.

In order to evaluate the hypotheses several training and test environments are created. A black environment serves as base to replace the background with existing images. Furthermore, three indoor base environments are created that fully simulate illumination and background. An overview can be seen in Figure 3.2. Within the environment light conditions, background textures, object locations can be changed manually. The environments are described in the following:

1. *Dark*: The environment is a room without windows, only containing artificial light sources.
2. *Daylight*: The environment is a room with windows along all walls that allow daylight to illuminate the room. The windows can lead to strong variations in the contrast between different parts of the object.
3. *IROS*: The environment resembles the room of the IROS Autonomous Drone Race 2018. The light sources stem from a window front at one side of the room, as well as artificial light sources at the ceiling. Depending on the view point, the object might appear against bright or dark background.



Figure 3.2: *IROS2018* Environment.

Within the environment the second step places the camera and determines the view on the scene and therefore at which distance, angle and location the objects appear on the image.

A straightforward way is placing the camera at random locations within the scene. However, such a placement might not resemble the real world sufficiently. An MAV does not appear at random places within a scene, especially not when it follows a racing track. CNNs are translation invariant by design but cannot inherently handle variation in rotation and scale. We hypothesize that placing the camera does not cover sufficient views on the object of interest.

double  
check

In order to evaluate our hypothesis, we incorporate the pattern of following a race track with an MAV in the data generation process. A motion model of a quad-rotor MAV is implemented and a velocity controller is

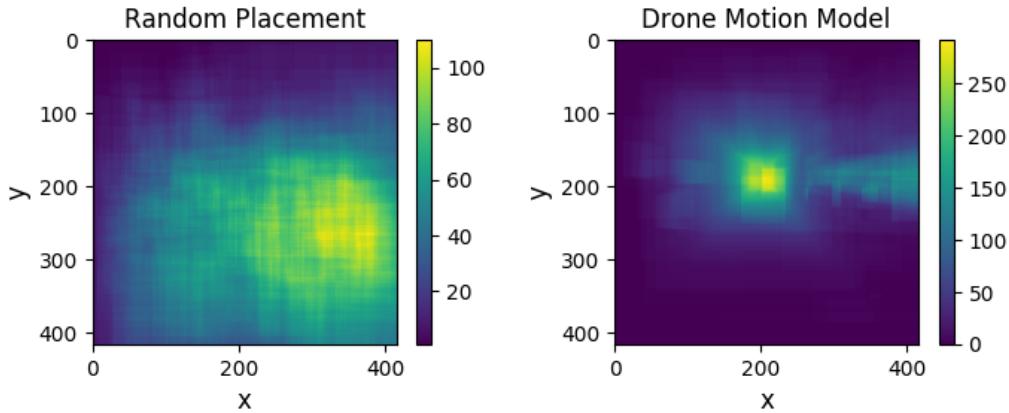


Figure 3.3: Heatmaps based on bounding boxes. Left the distribution when using random placement, right when moving through the scene with a drone motion model.

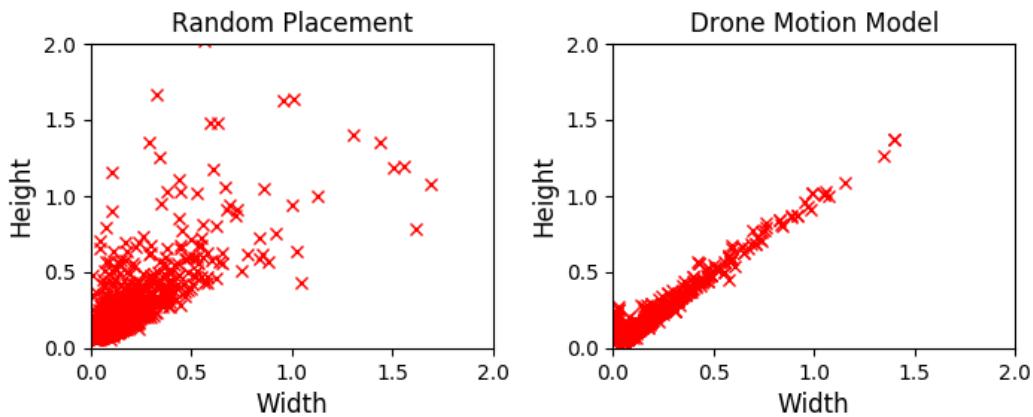


Figure 3.4: Scatterplot of bounding box dimensions.

used to make the camera follow a certain trajectory in the environment. The environment is set up in way that it resembles a race court. Storing the current image at a frequency of 2 Hz creates the corresponding samples. The development of this model has not been done within this thesis but is summarized here for completeness: .

put shuos  
model here

When following the race track, the camera focuses the next object frontally most of the time. The appearance of the object increases as the camera approaches until the gate has been passed. This is also resembled when looking at the bounding box locations and dimensions.

Figure 3.3 shows the distribution of bounding boxes when created with the two methods. It can be seen how with the drone motion model most of the objects are centered and distributed across the horizon. In contrast, the random placement leads to more evenly distributed object locations .

why is this  
shifted to  
the right?

Figure 3.4 shows a scatterplot of the bounding box dimensions. With the drone motion model one can see how the bounding box aspect ratio is mostly close to one, which appears when the object is faced frontally. The dimensions are increasing linearly as the camera approaches the object. The random placement on the other hand creates a larger variance in bounding box dimensions. This is due to the fact that more relative views towards the object are created.

Figure 3.4 also shows how the dataset generated with random placement does not contain many objects with an aspect ratio close to 1. Hence, samples where the object appears spread over the whole image, faced

frontally do almost not appear in this generated set. With the drone motion model on the other hand several such samples exist.

We hypothesize that the generation of samples with only one of the two methods misses important object appearances. Random placement does not cover most common appearances such as when flying through the racing gate. The drone motion model tends to limit object appearances depending on the flown trajectory as well as the created race court.

### 3.3.1 Experiments

#### Experiment I

The empty space of an EWFO is augmented with a texture rich structure. An example can be seen in . The text object is placed in a scene with uniformly colored backgrounds and a training set of 20 000 samples is created. In similar fashion a training set is created without the texture rich augmentation. On both objects an object detector is trained and subsequently evaluated in a test set. The test set contains 1000 samples created by placing objects in a fully synthesized environment.

#### Experiment II

Models are trained in different environments. Model I is trained on images that are created by placing objects on a real image. The background images are taken from the Pascal VOC dataset. Model II is trained on images created from the different virtual environments, where next to the environments the background textures are varied. Model III is trained on a combination of both aforementioned datasets. For all training sets 20 000 samples are created.

### 3.3.2 Experiment III

Three models are trained: Model I using random placement, Model II using the drone motion model, Model III using a combination of both methods. In both experiments environment and light conditions as well as object locations are the same. The models are tested on two test sets: Set I created by randomly placing the camera. Set II by using the drone motion model, where a circuit is used that has not been part in the generation of the training data.

### 3.3.3 Results

### 3.3.4 Discussion

### 3.3.5 Conclusion

### 3.3.6 Camera Placement

### 3.3.7 Post-processing

After having created a set of 2D images, the final step applies low-level image transformations.

### Model-based augmentation

The applied pipeline is strongly based on [2]. However, on the camera of the MAV we assume that the raw image signal can be processed. Hence, the model for information loss due to post-processing is not included. Instead all images are converted into YUV-colour space, a format that is obtained from most visual sensors as well as the target platform of this thesis. The pipeline is extended by two models: (1) A model for lens distortion since MAV often use wide angle lenses to increase their FoV; (2) A model for motion blur since fast camera movements are to be expected. The individual models are described in the following.

**Lens Distortion** Lens distortion is a form of optical aberration which causes light to not fall in a single point but a region of space. For MAVs commonly used wide-angle lenses, this leads to barrel distortion and thus to straight lines appearing as curves in the image.

The effect is applied using the model for wide-angle lenses from [51]. It models the removal of lens distortion as combination of radial and non-radial part, that is approximated with a second order Taylor expansion:

$$\begin{pmatrix} p'_x \\ p'_y \end{pmatrix} = \begin{pmatrix} p_x(1 + \kappa_1 p_x^2 + \kappa_1(1 + \lambda_x)p_y^2 + \kappa_2(p_x^2 + p_y^2)^2) \\ p_y(1 + \kappa_1 p_x^2 + \kappa_1(1 + \lambda_y)p_y^2 + \kappa_2(p_x^2 + p_y^2)^2) \end{pmatrix} \quad (3.1)$$

Where:

- $p'_x$  and  $p'_y$  are the undistorted coordinates.
- $\kappa_1$  controls the primary distortion (default 0)
- $\kappa_2$  controls the secondary distortion (default 0)
- $\lambda_x$  and  $\lambda_y$  controls asymmetric distortion (default 0)

Applying the lens distortion to an image is done using the inverse of Equation (3.1). However, as there is no closed form solution, so the Newton-approximation is used:

$$p_i = p_{i-1} - \nabla p^{-1}(f(p)_i - p') \quad (3.2)$$

Where  $f$  is the function defined in Equation (3.1).

An example with  $\kappa_1 = 0.5, \kappa_2 = 0.5$  is displayed in Figure 3.7. It can be seen how the previously straight lines appear as circular shape.

**Chromatic Aberration.** Chromatic Aberration is caused when different wavelengths of light do not end up in the same locations of the visual sensor. This leads to a shift in the colour channels of the image.

Similarly to [2], chromatic aberration is applied by scaling the locations of the green channel, as well as applying translations on all channels. The model can be implemented as affine transformation of the pixel locations for each channel:

$$\begin{pmatrix} x' \\ y' \\ 1 \end{pmatrix} = \begin{pmatrix} S & 0 & t_x \\ 0 & S & t_y \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} x \\ y \\ 1 \end{pmatrix} \quad (3.3)$$

An example is displayed in Figure 3.6. It can be seen how the red and green channel are shifted relative to each other. Thus two bars appear in the image.

**Motion Noise.** Motion noise is caused when light falls in different locations of the images sensor due to a fast movement of the camera. It leads to blurry images based on the sensor motion.

The phenomenon depends on camera properties as well as the motion of camera and objects. Although a full modelling of this process might benefit the learning process, it requires a complex pipeline and is computationally expensive. Therefore a strong simplification is used, namely a one-dimensional Gaussian filter:

$$K_v = \begin{pmatrix} \dots \\ \mathcal{N}(\mu-1) \\ \mathcal{N}(\mu) \\ \mathcal{N}(\mu+1) \\ \dots \end{pmatrix} \quad K_h = \begin{pmatrix} \dots & \mathcal{N}(\mu-1) & \mathcal{N}(\mu) & \mathcal{N}(\mu+1) & \dots \end{pmatrix} \quad (3.4)$$

Where  $\mathcal{N}$  is a Gaussian-PDF with mean  $\mu$  and variance  $\sigma$ ,  $K_v$  models vertical motion blur,  $K_h$  horizontal motion blur. The size of the kernel is chosen by  $k$ .

An example for vertical blur is displayed in Figure 3.9. It can be seen how particularly horizontal lines appear softer.

**Out-of-focus Blur.** Next to motion, sensor noise can lead to blurry images. For the blur operation a 2D Gaussian kernel is applied on the input image with:

$$k = \frac{1}{2\sigma_x\sigma_y\pi} e^{-\sqrt{\frac{x^2+y^2}{2\sigma_x\sigma_y}}} \quad (3.5)$$

**Exposure.** Exposure is the time the sensor records light in order to create an image. Over- and Underexposure are caused when this time is too short or too long, leading to too dark or too bright images.

Following the model from [2]:

$$I = f(S) = \frac{255}{1 + e^{-AS}} \quad (3.6)$$

where  $A$  is a constant term for contrast and  $S$  the exposure.

The image can be re-exposed with:

$$I' = f(S + \Delta S) \quad (3.7)$$

where  $S$  is obtained from :

$$S = f^{-1}(I) = \frac{\ln(\frac{255}{I} - 1)}{-A} \quad (3.8)$$

An example for overexposure is displayed in Figure 3.10. It can be seen how lighter areas appear particularly light, while dark areas remain dark.

Noise.

text

### 3.3.8 Artificial Augmentation

Inspired by [16, 30, 46] the application of several artificial image transformations is studied. The overall goal is to generate more variations in the input signal and thus to make the model more invariant against changes in those properties. We do not use image scaling or translation for augmentation as it is easier to incorporate such variations using the motion model.

**Brightness.** In order to obtain a model that is more robust against illumination changes image brightness is altered. Therefore a scaling on the V-channel in HSV-colour space is applied. The scaling is drawn from a uniform distribution.

**Grayscale.** By transforming a subset of samples into grayscale images, the model is forced to learn more color invariant features.

**Histogram Equalization.** Changes in the environmental conditions can also affect contrast. By applying a histogram equalization on a subset of images, variations in contrast are achieved.

**Flip.** By mirroring the image vertically, more variations in object locations are achieved. The operation is applied with a certain probability.

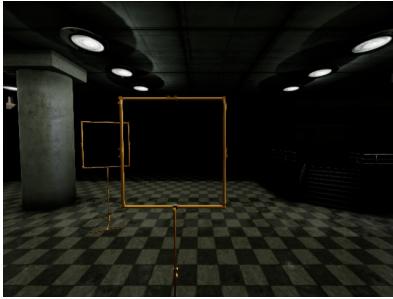


Figure 3.5: Original Image.

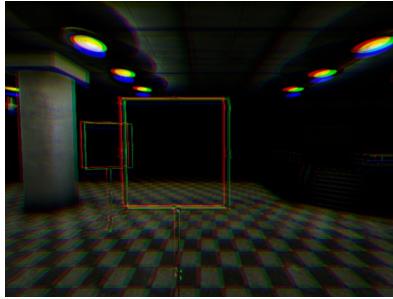


Figure 3.6: Chromatic Aberration.

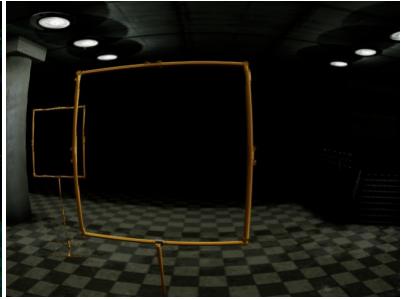


Figure 3.7: Lens Distortion.

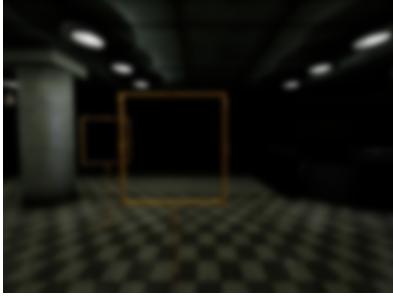


Figure 3.8: Out-of-Focus blur.



Figure 3.9: Vertical Motion Blur.

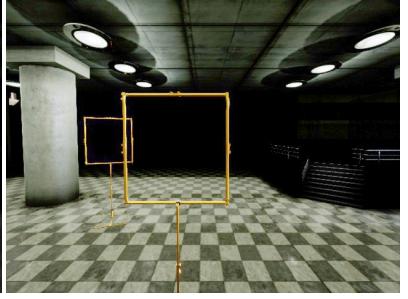


Figure 3.10: Exposure.

### 3.3.9 Hypothesis

Most of described approaches in Section 3.1 focus on objects that have complex structures and therefore provide robust features that are independent of the rest of the scene. For example a face contains eyes and a nose, whose appearances are influenced to some extent by light conditions but relatively independent from image background. It has been shown in how object detectors exploit this kind of structure in the learned represen-

tation. We hypothesize that a model to detect such complex objects is less domain dependent and can therefore be more easily transferred to domains with other environmental conditions. That is the performance drop  $\Delta m$  of a model trained in  $S$  and applied in  $T$  where  $S$  and  $T$  are different in terms of environmental conditions will be larger for an EWFO than for a more complex object.

If the environmental conditions have an high impact, their modelling is particularly important in the data generation process. We hypothesize that, as pasting the image on random backgrounds fails to capture these conditions, it is not a sufficient method to train a model for the detection of EWFOs. That is the performance drop  $\Delta m$  of a model trained in  $S$  and applied in  $T$  where  $S$  is generated by pasting a 3D-Mesh on random backgrounds and  $T$  is modelled using full environment rendering will be prohibitively large.

From that it follows that in order to generate data for EWFO Object Detection it is particularly important to address the domain shift. In literature two ways to approach this problem on the data level can be found: (1) providing a lot of variance in the training data to obtain a representation that is robust against domain shifts; (2) including target domain knowledge in the training data to obtain a representation that is tailored to the target domain. We hypothesize that there is a trade-off between these two approaches: a model that performs well across domains will perform poorer in a particular domain but better in other domains compared to a model that is trained for that particular domain. Hence, we hypothesize, if knowledge of  $T$  is included when generating  $S$  the performance in  $T$  will improve.

In the data generation process this can be addressed on several levels:

1. **Scene Generation.** A model trained for a particular room, with particular lightning conditions will perform better in that room but perform poorly in other rooms than a model trained on various rooms with various lightning conditions. By modelling the environment of the real data, the performance on the real data can be improved.
2. **Camera Placement.** A model trained using the quad-rotor model will perform better on real data than a model trained using random camera locations.
3. **Postprocessing.** A model trained using a post-processing pipeline that models the real-world sensor will perform better on the real data than a model that is trained on using varying parameters in the post-processing pipeline.

The hypotheses are summarized in the following:

- $\mathcal{H}_1$  The performance drop  $\Delta m$  of a model trained in  $S$  and applied in  $T$  where  $S$  and  $T$  are different in terms of environmental conditions will be larger for an EWFO than for a more complex object.
- $\mathcal{H}_2$  In contrast to a more complex object, the performance drop  $\Delta m$  of a model for the detection of EWFOs trained in  $S$  and applied in  $T$  where  $S$  is generated by pasting a CAD-Model on real backgrounds and  $T$  is modelled using full environment rendering will be prohibitively large.
- $\mathcal{H}_3$  A model trained in  $S_0$  where  $S_0 \in S$  and applied in  $T_0$ , where  $S_0 = T_0$  will perform better in  $T_0$  than a model that is trained in  $S$  but perform worse in  $T_1$  where  $T_1 \in S$  and  $T_1 \neq S_0$ .
- $\mathcal{H}_3$  By including properties of  $T$  in  $S$  where  $S$  is an artificial set  $T$  is the real data, the performance  $m_T$  of a model can be improved.

## 3.4 Experiments

In order to evaluate the formulated hypotheses several experiments are conducted. The model used is the TinyYoloV3-Architecture, further described in Chapter 4. The reported metrics are described in Chapter 2. For all experiments mean and standard deviation of 5 runs are reported.

For the random view point generation the following parameters are used:

$$x = \mathcal{U}(-30, 30), \quad y = \mathcal{U}(-20, 20), \quad z = \mathcal{N}(-4.5, 0.5)), \quad \phi = \mathcal{U}(0, 0.1\pi), \quad \theta = \mathcal{U}(0, 0.1\pi), \quad \psi = \mathcal{N}(-\pi, \pi) \quad (3.9)$$

Where  $\mathcal{U}(a, b)$  is a uniform distribution between  $a, b$  and  $\mathcal{N}(\mu, \sigma^2)$  is a Gaussian distribution with mean  $\mu$  and variance  $\sigma^2$ .

The parameters are chosen experimentally aiming to resemble common view points of a person standing in the room.

### 3.4.1 Experiment I

In order to evaluate  $\mathcal{H}_1$  a model is trained in  $S$  and applied in  $T$ . Three objects are investigated namely the *Square-Gate*, *Round-Gate* and *Person*. The source environment is *Basement*, the target domain is *Daylight*. The training set consists of 20 000 samples, where for every batch of 2000 samples the objects are rearranged in the room. The test set consists of 1000 samples and fixed object arrangement. The view points are samples from the distributions described in Equation (3.9)

### 3.4.2 Experiment II

In order to evaluate  $\mathcal{H}_2$  a model is trained in  $S$  and applied in  $T$ . Three objects are investigated namely the *Square-Gate*, *Round-Gate* and *Person*. The training set consists of 20 000 samples, where for every batch of 2000 samples the objects are rearranged in the room. The test set consists of 1000 samples and fixed object arrangement. The training set is generated by replacing the background with images samples from the Pascal VOC dataset. The test set is taken in *Daylight* Environment. The view points are samples from the distributions described in Equation (3.9)

### 3.4.3 Experiment III

In order to evaluate  $\mathcal{H}_3$  the individual domain properties and their incorporation in the data generation process are studied. Each property is compared in terms of specialization and generalization. That is the property are varied between three configurations: (1) resembling the target domain, (2) generalizing across domains, (3) disabled (if applicable).

The test set is generated in the environment *IROS*, using the quad-rotor model. In total 1000 images are sampled taken from one trajectory. The post-processing applies:

1. Lense distortion
2. Chromatic Abberration
3. Motion Blur
4. Out-of-Focus Blur
5. Exposure

### 3.4.4 Experiment IV

In order to evaluate  $\mathcal{H}_4$  the individual domain properties are measured on the target domain and incorporated in the training set.

## 3.5 Results

Table 3.1: Results of varying training sets on TODO

	General		Specific	General + Specific	Inactive
Scene	Real Backgrounds	<i>Basement, Daylight</i>	<i>IROS2018</i>	Real Backgrounds, Synth. Environments	-
					-
Camera Placement	Heuristic Selection	Fitted on target set		Heuristic Selection + Target Set	
Lens Distortion	Varying parameters				
Chromatic Aberration					
Motion Noise					
Out-of-Focus Blur					
Exposure					
Sensor Noise					
Brightness					
Grayscale					
Histogram					
Flip					

## 3.6 Discussion

## 3.7 Conclusion



## Chapter 4

# Modelling the Detection of EWFO

Object Detection can be described by two individual goals: the description of what kind of object is seen (Classification), as well as where it is seen (Localization). Hence, an Object Detection pipeline transforms the raw image to a set of one or more areas and corresponding class labels. Images are high dimensional signals that can contain redundant and task irrelevant information. As the performance of most machine learning models decreases when the feature space becomes too large (curse of dimensionality), Computer Vision pipelines usually apply a feature extraction stage, before the actual prediction is done. An overview is displayed in Figure 4.1.



Figure 4.1: Object Detection Pipeline. where  $B_n$  describes an area,  $C_1$  a class label,  $I$  the image and  $f$  the object detection function.

1. The feature extraction stage extracts task relevant information from the image and infers an internal, more abstract representation that is usually of lower dimension.
2. The classification/localization stage produces the final output based on this representation.

An efficient feature extraction pipeline is thereby crucial for the success of an Object Detection pipeline. If the inferred representation is clearly separable, a simple classification stage can distinguish between classes. On the other hand even a flexible classifier struggles with a highly overlapping feature space. Hence, Feature Engineering, the design of feature extractors is a highly investigated field in Computer Vision. Methods range from supervised and unsupervised Machine Learning techniques to conducting domain experts and trying to include their expert knowledge into the pipeline. In practical Computer Vision problems this often results in the cumbersome design of feature extractors for the application. Moreover, it requires domain knowledge and it is questionable whether the features designed for one task are easily transferable to other tasks. Finally, it results in a pipeline where each step needs to be optimized individually.

In recent years Deep Learning based models achieved big advances in the task of Object Detection. In contrast to their traditional counter parts, these models combine Feature Extraction and Classification/Localization stage in one model. The whole pipeline is then optimized given the task and the raw image. This omits the often cumbersome work of designing feature extractors and object models. Furthermore, it has been shown that Deep Learning based features generalize well between different Computer Vision tasks [37]. Finally, the

modular architecture of Deep Learning models allows to trade-off computational costs and model performance.

However, their superior performance comes with several drawbacks. First of all, large amounts of annotated examples are required in order to train the vast amount of parameters present in Deep Learning models. Furthermore, the computational costs during training and inference are high. Only faster and tailored processing units like Graphical Processing Units (GPUs) enable the practical application of Deep Learning models. Finally, the presentation learned by the model is not transparent. Hence, the process that leads to the decision of a Computer Vision system can usually not be understood by a human. Also, there is no guarantee that the learned representation is not highly redundant. This is particularly problematic for devices with time constraints and limited computational resources like MAVs.

This work investigates the detection of EWFOs on MAV. EWFOs consist of simple shapes but are largely occupied by background. Hence, other objects of interest and/or distractors can appear in this area. Furthermore, sensor and lens properties as well as motion noise can have large impact on the appearance of EWFOs in the image. This makes the design of an appropriate feature extractor a non-trivial task. Deep Learning would allow to learn a feature extractor and object model given data and the task.

This work address the design of a Deep Learning model for the task of EWFO-Detection.

The relevant research question of this chapter is stated as follows:

#### **How can a detection model represent EWFOs?**

**RQ2.1** Can state-of-the art models represent an EWFO?

**RQ2.2** What is the representation a state-of-the-art model learns for the Detection of EWFOs?

**RQ2.3** Can the insights be used to create a more suitable model for the Detection EWFO?

The first question will be answered by analysing the performance of state of the art model on the detection of EWFOs. RQ2.2 will be answered by conduction a sensitivity analysis on the trained model and visualizing the internal representation. RQ2.3 will be answered by refactoring the model architecture and examining whether the performance can be improved or weights can be removed.

The rest of the chapter is organized as follows: Section 4.1 discusses relevant related work. Section 4.2 describes the methodology of this work. Section 4.3 formulates several hypotheses to be investigated. ?? outlines the experiments conducted to evaluate the formulated hypotheses. ?? describes the obtained results. ?? discusses the results. ?? answers the research question and formulates a conclusion.

## **4.1 Related Work**

The existing methods can broadly be grouped in three groups. Those are more traditional approaches without CNNs-based feature extraction, two-stage detectors and one-stage detectors.

### **4.1.1 Traditional Methods**

One of the first object detection methods was [52] that used simple filters inspired by Haar-basis functions as a feature extractor for human face detection. The image was processed in a cascade of classifiers that assigned the label "face" or "background" to image patches. The output of the first stages were further classified when going deeper in the cascade. The processing of one image patch stopped, when a classifier assigned the label "background". Although being very fast the Haar-based feature extraction is not very robust towards rotation-, scale- or shape-variations .

quote

A more robust feature extractor was proposed by [6] and [31] for pedestrian detection. A local (normalized) histogram of gradients is computed for a fixed window size.

Previously mentioned methods modelled objects as one instance. This prove to be sensitive towards part occlusions or large deformations. Deformable part models detect object parts individually and combine them. Thus a feature extractor can still give a high response when even only object parts are visible or they are arranged in a way untypical to the training set.

Guido proposes a neural network to learn an attention model.

X propose a recurrent neural network architecture to model the attention process.

discussion  
traditional  
features

### 4.1.2 CNNs-based Feature Extraction

In recent years CNNs emerged from Deep Learning research and became a popular feature extractor. CNNs can be seen as small neural networks that are applied locally on image patches in sliding window fashion. The outputs of the initial local operations (first layer) are further processed by higher layers until the desired output size is reached. The model parameters (weights) are trained using a Loss function and the back-propagation algorithm.

The modular structure of CNNs allow to create highly non-linear models that can represent any function. However, this flexibility also introduces the challenge of choosing a suitable architecture. On a fundamental level design parameters can be summarized in depth, width and kernel size.

Section 4.1.2 displays these parameters and introduces additional terminology necessary for the remaining parts of this chapter. The *kernel size k* determines the spatial size of a kernel and therefore how big the patch is, the convolution is applied on. A layer usually contains multiple filters that are applied on its input. The amount of filters is also referred to as *width w*. The filters are applied in sliding window fashion which introduces the step size (*strides s*) as an additional parameter. The output of each convolution is concatenated and processed by the next layer. The amount of layers is also referred to as *depth*. In the image also the *receptive field* of a filter is visualized. This describes the image patch that is related to a certain feature response. The filter of the first layer (green) has a receptive field corresponding to its kernel size. The filter of the second layer (blue) combines the responses of the filters of the first layer at multiple spatial locations and thus has an increased receptive field.

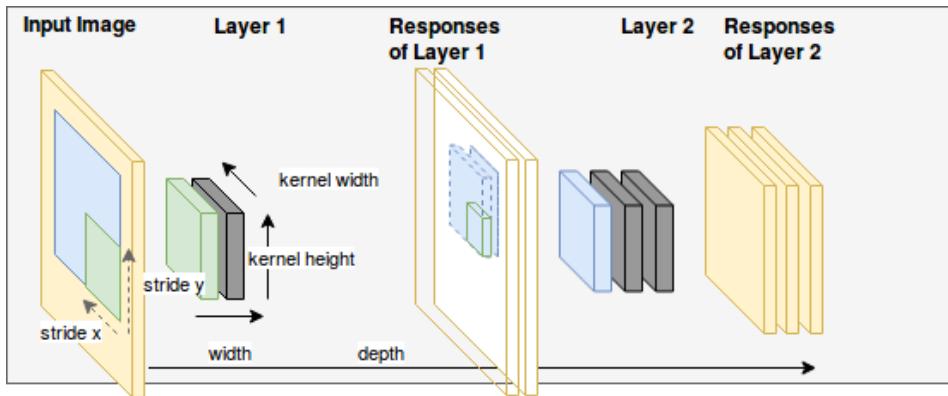


Figure 4.2: Example Architecture of a CNN

Among these parameters depth is considered one of the preliminary parameters to improve performance [13]. [? ] achieve first places in the 2014 ImageNet Classification challenge using a network that only

contained filters of size 3-by-3 but up to 19 layers. Szegedy et al. [45] achieve similar performance using a network with 22 layers. The proposed network included a *Inception*-module, an architectural element that allows deeper networks at a constant computational budget.

An issue that prevented training even deeper networks is the *vanishing gradient problem*. As the gradient distributes while flowing through the vast amount of nodes its magnitude gets very small. Hence, the training becomes slow and the risk of converging in a local minima increases. This was addressed by He et al. [14] who propose the use of residual connections. Instead of propagating the gradient from the last to the first layer these connections allow the gradient to flow directly into all layers. This circumvents the vanishing gradient problem. The use of residual connections allowed to train a network 101 layers and improved on state of the art at that time.

However, later work by Zagoruyko and Komodakis [56] shows how residual networks do not behave like a single deep model but more like an ensemble of more shallow networks. Moreover, the study shows that similar performance can be achieved by particularly wide networks and residual connections. Being of similar performance the proposed Wide Residual Networks (WRNs) are computationally more efficient to execute.

While wide residual networks can achieve similar performance to deep residual networks with reduced inference time the computational requirements are still large. This work addresses the detection of EWFO with very limited resources. Hence, a network in which the vanishing gradient problem would appear is likely to be already too computationally expensive to be applied on a MAV.

Instead the work focuses on much smaller networks that are fast to execute. Execution time is also the motivation for Fully Convolutional Networks (FCNs). Instead of using a fully connected layer in the last stage, these network only apply local operations. This saves many computations in the last layer and enables the application of models on various input sizes.

However, FCN in combination with a small amount of layers introduce a limited receptive field. A way to increase the receptive field without increasing the number of computations was proposed by Atrous/Dilated convolutions consist of a sparse kernel thereby increasing the receptive field of a filter without increasing complexity or number of computations .

Atrous

Despite a large amount of research conducted in finding suitable architectures there has not yet been a single way that always achieves a goal. It has been shown how models with a large amount of parameters combined with huge training data perform well on various vision tasks and objects. However, there is no guarantee that the found representation is also the most suitable/efficient one. The research resulted in a collection of rules and best practices that need to be considered with the task at hand. This work investigates the design of a CNN for the detection of EWFO.

#### 4.1.3 CNN-based Object Detection

CNNs-based feature extraction is employed in various approaches to Object Detection.

Girshick et al. [12] use the Selective Search algorithm [?] to extract object candidates from an image and classify each region with a CNN. However, this requires to run the whole network at various scales and overlapping locations. Hence, the approach contains a lot of redundant operations and is computationally intense.

Ren et al. [39] use a Region Proposal Network (RPN) to propose regions that likely contain an object. In order to define the proposal task as a regression problem, the approach introduces so called *anchor boxes*(also *prior boxes*, *default boxes*). These are boxes of predefined size and location. The model predicts class probabilities and coordinate offsets for each of these boxes. Hence, a certain set of output nodes is responsible for a particular box. If during training a ground truth box has sufficient overlap with a certain box the corresponding

output nodes are assigned "responsible" to predict that object. That means the loss is only propagated via those nodes.

Figure 4.3 illustrates the concept. The anchor boxes are displayed as dashed lines while the ground truth is displayed solid. The ground truth box in blue has sufficient overlap with two anchor boxes. Hence, these two sets of output nodes take part in the loss calculation. In the example each of these sets predicts coordinate offsets  $\Delta(cx, cy, w, h)$  and class probabilities  $c_1 \dots c_p$ .

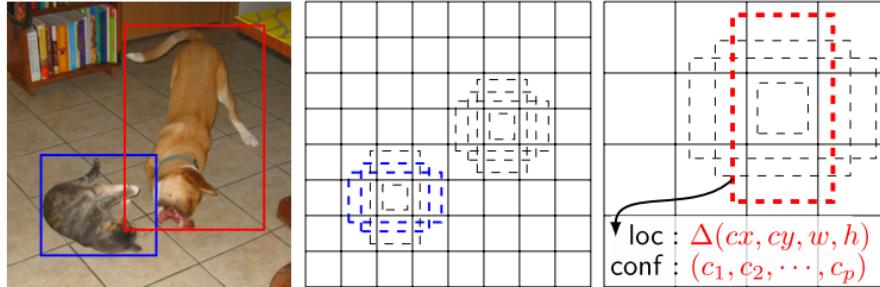


Figure 4.3: Visualization of the anchor box concept [30].

For the classification stage an Support Vector Machine (SVM)-classifier is used. The classifier is trained on the image patches extracted by the first stage. RPNs enabled to propose multiple candidate regions with a single inference of the network. Thus, the expensive feature extraction stage is run only once which results in a significant speed up. A drawback is the fact that individual stages of the method have to be optimized individually. Furthermore, the training requires to store large amounts of extracted patches on the hard drive.

In the follow up work [39] propose the *ROI*-pooling layer. The layer uses spatial pyramid pooling in order to resize region proposals to a fixed size. This enabled the end-to-end training of the two-stage detection pipeline.

Another end-to-end pipeline was published by Szegedy et al. [46]. In contrast to aforementioned approaches, the network performs Classification and Localization in a single pass. The task is formulated by dividing the input image in a fixed grid and predicting  $C$  class probabilities for each grid cell. Additional  $5 * B$  output nodes predict  $B$  set of bounding box coordinates and  $B$  object probabilities for each cell.

Liu et al. propose Single Shot Multibox Detector (SSD) [30], one stage detector using the concept of aforementioned anchor boxes. Instead of only predicting an object score for each anchor box, the model also predicts class probabilities. Another novelty in this approach is the use of multiple predictor layers for various scales. The network does not only use its final layer for prediction but also intermediate representations. Assuming that the lower layers preserve more fine grained features, early output nodes are trained on smaller objects while later output nodes focus on predicting larger scale objects.

Follow up work of Szegedy et al.[38, 46] also included the concept of anchor boxes and prediction layers at multiple scales, making SSD and You only look once (Yolo) converge to a very similar solution. A novelty in [38] is the use of de-convolution layers for small object prediction. In order to achieve a higher accuracy for small objects the final layers are up-sampled and combined with finer grain features from earlier layers. The aim is to enable a combination of deep semantic features at low spatial resolution with fine grain low level features at high resolution.

Within the framework of SSD and Yolo several approaches exist that either change the base network or modify layers in between: [5] propose a more efficient non-max-suppression method as well as to include an inception module in the network architecture to reduce computation while keeping/increasing performance. [53] uses *SqueezeNet* as base network and a mixture between the ssd and yolo loss function as training goal. [54] investigates the receptive fields of SSD and tries to incorporate more context, especially on lower feature

how much?

And re-  
sulted in?

what is  
good and  
bad?

maps, to increase detection rate for small objects.[28] applies the framework for vehicle detection. They use *GoogLeNet* as base network (and investigate several others).[49] apply a network very similar to YoloV2 and investigate 8bit quantization of the model to make it runnable on embedded devices.

A common problem of one stage detectors is the imbalance between background and object samples. Most methods upweigh the positive samples and/or use hard negative mining. [29] introduces the *Focal Loss* which focuses on sparse positive samples by design.

CornerNet

Each of the described group of methods has strengths and weaknesses. While shallow methods are typically quite fast they require a lot of manual effort and/or are not so accurate. Two-stage detectors on the other hand are quite accurate but their computational requirements are prohibitive for the hardware to be used in this thesis. One-stage detectors offer a compromise between detection accuracy and inference speed. In addition they can be trained end-to-end which requires only little manual engineering. However, the presented methods are still too slow for the hardware used in this thesis.

Wire detection

## 4.2 Approach

$$L(p_i, t_i) = \frac{1}{N_{cls}} \sum L_{cls}(p_i, p_i*) + \lambda \frac{1}{N_{reg}} p_i * L_{reg}(t_i, t_i*)$$

Where  $i$  is an anchor,  $p_i$  the predicted probability of the anchor being an object and  $t_i$  a vector containing 4 bounding box offsets to the default anchor size. The ground truth label  $t_i*$  contains the true coordinates, while  $p_i*$  is 1 if the anchor overlaps a ground truth bounding box by some threshold.

Which exact loss functions are used

Comparing state of the art results shows the superiority of CNNs-based methods in basically every vision task. Hence, the first hypothesis formulated is that a state of the art object detector should be able to learn the detection task of wire frame objects.

elaborate

As the single class case is considered the loss functions of state of the art detectors is simplified to the following:

text

Hence, the only difference between X,Y,Z is ... Therefore the second hypothesis to be evaluated is that there is not a very large difference between the mentioned methods.

visualizing cnns

The reason to be assumed responsible for the superiority of CNNs-based methods is the fact that the can learn powerful object representations directly on the task, show how the model combines simple shapes like edges, corners and blobs to more complex shapes like noses and eyes. For the task of wire-frame object detection there is no such intuitive combination of such higher order shapes. Therefore the second hypothesis formulated is that the deeper levels of a CNNs are not necessary for the detector.

## 4.3 Hypothesis

Several hypothesis are formulated and will be examined experimentally:

$\mathcal{H}_1$  A CNNs should be able to learn the object detection task.

$\mathcal{H}_2$  Considering the single class case state of the art methods will learn the same representation

$\mathcal{H}_3$  For wireframe objects the deeper layers don't learn anything as the object consist of relatively simple shapes.

## 4.4 Experiments

First we show that many weights in an object detector are superfluous when detecting single wire frame objects: 1. We train an object detector on a single but complex object and compare the filters to multiple objects 2. We train an object detector on a single wireframe object and compare the filters to the other feature detectors 3. We use the gained insights to prune the network 4. The pruned network should perform poorly when used on the complex object 4. We analyse the new network in terms of sensitivity towards: occlusion, colour, distance, angle

## 4.5 Results

## 4.6 Conclusion

## Chapter 5

# Investigating the Trade-Off between Detection Performance and Inference Time

A major drawback of CNNs is their huge computational requirements. For example a state-of-the-art Computer Vision model [14] requires 11.3 billion floating point operations [50]. For a device with computational limitations like an MAV this is prohibitive. Furthermore, a perception system on a MAV usually contains of multiple subsystems. Hence, a fast reaction time can be more important than an accurate detection/outbalanced by the filter etc.

This

The research question of this chapter is stated as:

**What are the trade-off's between detection performance  $m$  and inference time  $t$  when a detection model is integrated on a embedded computing platform?**

The question is answered on a theoretical level by using the total number of **Multiply-Adds!** (**Multiply-Adds!**)  $N_O$  as an indication for the inference time of the model. However, as also stated by  $N_O$  is not necessarily others directly related to  $t$ . On a computing platform  $t$  also depends on:

1. whether several operations can be executed in parallel,
2. the memory usage of the operations, the kind of operation e.g. floating point or integer
3. the particular low level implementation of the model

Hence, in addition to  $N_O$  also the actual inference time of the model is measured on a particular computing platform.

The chosen hardware is a Jevois Smart Camera . The platform is developed for vision applications and provides a 4 Core CPU, as well as a small GPU . That's why it is perfectly suitable for integrating in lightweight MAVs or other robotic applications.

jevois

more info

The rest of the chapter is organized as follows: ?? discusses relevant related work. Based on the gained insights ?? formulates several hypotheses to be investigated. ?? outlines the experiments conducted to evaluate the formulated hypotheses. ?? describes the obtained results. ?? discusses the results and answers the research question.

## 5.1 Related Work

In recent years a lot of research has been conducted to reduce the inference time of CNNs. The publications address different levels for optimization:

1. **Conceptual Level**
2. **Architectural Level**
3. **Operational Level**

## 5.2 Conceptual Level

On a conceptual level authors aimed to incorporate more steps of the object detection pipeline into one model to share computational load and thus reduce inference time.

overfeat

**Overfeat**, one the first CNN-based object detectors ran a CNN in sliding window manner across the image. As this led to redundant operations for feature extraction quickly two-stage approaches evolved. The consequent publications of R-CNN, Fast-RCNN and Faster-RCNN proposed a region proposal network that extracts features and proposes possible object locations, followed by a classification network that reuses the extracted features for classification. Thereby not only the number of regions that where classified was reduced but also the extracted features could be reused efficiently.

Yolo and SSD proposed to combine the whole pipeline into one model. Although, this led to a bit of loss in performance, the inference time could be reduced significantly. The aforementioned models are further described in section 4.1.

Using Time domain: [3]

put somewhere the overview of performance vs speed gained from object detection paper

## 5.3 Architectural Level

Reducing the computational cost of CNNs has been addressed in two individual lines of research.

### 5.3.1 Architectural Blocks

[26] and [56] showed the performance of thin and deep architectures like *ResNet* with more than 100 layers can equally be achieved by wider but shallower networks. At the same time the proposed *Wide Residual Networks* use less parameters and can be executed more efficiently.

*DenseNet* [18] proposes the use of dense connections in CNNs. Thereby the input of each convolutional layer does not only consist if its direct previous layer but of a concatenation of the activations of all its previous layers. This enables feature reuse and thus the reduction of the total amount of parameters .

*MobileNet* [17] and *QuickNet* [11] make extensive use of Depthwise Separable Convolutions (DSCs). DSCs replace the original 3D-convolution by several 2D-convolutions followed by a pointwise convolution. ?? illustrates the concept.

*MobileNetV2* [43] further includes linear bottlenecks to reduce the total number of operations.

is that really true since we need weights for much more filters

[57] addresses the computational costs of pointwise convolutions. Instead of applying a pointwise convolution on the whole input volume, group convolutions are applied on by dividing the channels in subsets. These channels are shuffled to enable cross-channel information propagation.

### 5.3.2 Knowledge Distillation

Knowledge  
Distillation

## 5.4 Operational Level

Operational Level - Quantization: [49],

## 5.5 Experiments

We choose one/two of the above because trying everything is a bit too much. So which one and why?

## 5.6 Conclusion

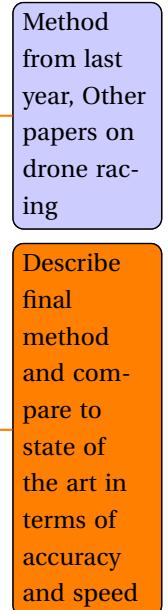
Evaluate effects on performance and accuracy



# Chapter 6

## Method

**Can the gained insights be used to build a lightweight and robust detection model for wire frame objects to be applied in autonomous drone racing?**





## **Chapter 7**

### **Discussion**

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answer  
research  
questions



# Appendix A

# Appendix

## A.1 Data Generation

This section describes how the ground truth labels are obtained when generating data.

### A.1.1 Camera Model

The camera itself is modelled with the pinhole camera model that contains six parameters:

1. Focal length  $f_x, f_y$
2. Central point  $c_x, c_y$
3. Sensor skew  $s_x, s_y$

The model can be summarized in the intrinsic camera matrix  $C$ :

$$C = \begin{bmatrix} \frac{f_x}{s_x} & 0 & c_x \\ 0 & \frac{f_y}{s_y} & c_y \\ 0 & 0 & 1 \end{bmatrix} \quad (\text{A.1})$$

The model projects 3D coordinates  $X$  to the image plane following:

$$X' = CX \quad (\text{A.2})$$

Where  $X$  are points described in homogeneous coordinates originating from the cameras position.

For data generation several tools are used. 3D Models for the Target Object (TO) are taken from ... OpenGL is used to render these objects and replace the background with a particular image. The Unreal Engine and AirSim are used to render a full scene.

Within the graphic engines, the objects can be placed in 3D space. From the known object shape the surrounding bounding box can be defined in 3D coordinates. Using the pinhole camera model described in Equation (A.1) the corresponding 2D coordinates on the image plane can be obtained with the following:

The camera position is described by its rotation matrix  $R$  and its translation vector  $t$ . Where  $R$  is obtained from the Euler angles with:

$$R =$$

The 3D coordinates of the objects relative to the camera can be obtained by applying the inverse transformation  $T$  of  $R$  and  $t$  with:

$$t' = R \times t$$

$$T = R^{-1} | - t'$$

$$X_{Cam} = T \times X$$

The full projection can then be expressed by the matrix multiplication:

$$X' = C \times T \times X$$

Where  $C$  is the intrinsic camera matrix defined in Equation (A.1).

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