

---

# Data Structures and Algorithms in Java™

Sixth Edition

**Michael T. Goodrich**

Department of Computer Science  
University of California, Irvine

**Roberto Tamassia**

Department of Computer Science  
Brown University

**Michael H. Goldwasser**

Department of Mathematics and Computer Science  
Saint Louis University

---

## Instructor's Solutions Manual

WILEY

# Chapter 15

---

## Memory Management and B-Trees

---

### Hints and Solutions

---

#### Reinforcement

**R-15.1) Hint** Perform an Internet search to determine a good estimate on the number of atoms on earth.

**R-15.2) Hint** Draw the memory cache and manually process the requests using a pencil with a good eraser.

**R-15.2) Solution** This sequence causes 5 page misses, on the first 5, second 3, second 4, third 2, and last 3.

**R-15.3) Hint** Draw the memory cache and manually process the requests using a pencil with a good eraser.

**R-15.3) Solution** This sequence causes 3 page misses, on the first 5, the last 2, and the last 3.

**R-15.4) Hint** Draw the memory cache and manually process the requests using a pencil with a good eraser.

**R-15.5) Hint** Start with the description provided in the book.

**R-15.6) Hint** Revisit the definition of an  $(a, b)$  tree.

**R-15.6) Solution**  $T$  is a valid  $(a, b)$  tree for  $2 \leq a \leq \min\{5, (b + 1)/2\}$  and  $b \geq 8$ . For example,  $T$  could be a  $(4, 8)$  tree, a  $(5, 9)$  tree, but not a  $(5, 8)$  tree.

**R-15.7) Hint** The definition of an order- $d$  deals with the minimum and maximum number of children an internal node can have. Please see the book for details.

**R-15.7) Solution**  $T$  could be an order-8, order-9, or order-10 B-tree.

**R-15.8) Hint** Use a pencil with a good eraser.

## Creativity

**C-15.9) Hint** Review the external-memory sorting algorithm.

**C-15.10) Hint** Keep the top one or two blocks of the stack in main memory.

**C-15.11) Hint** Keep queue runs in blocks.

**C-15.11) Solution** Use a linked list where each node is a block of size  $B$ . We add elements to the end, using  $O(1)$  disk transfers (creating a new block when we have filled the last block). We dequeue elements from the front, returning the block to the free memory heap when it becomes empty.

**C-15.12) Hint** Consider an alternate linked list implementation that uses “fat” nodes.

**C-15.12) Solution** Consider a linked list implementation of the ADT where every node is a block of size  $B$ , holding at least  $B/4$  entries. When an element is added to a node with a full block, that node can be split into two blocks having  $B/2$  entries, and then the new element can be inserted. Likewise, if an element is deleted from a block bringing its number of elements below  $B/4$ , an element can either be borrowed from a neighboring block, or else two neighboring blocks can be combined into one. Because of the requirement that a node hold at least  $B/4$  entries, there will be at most  $4n/B$  blocks and therefore a complete scan uses  $O(n/B)$  transfers.

**C-15.13) Hint** Note that each valid node  $v$  and its children in a  $(2,4)$  tree correspond to a red-black subtree of height 2. In a  $(4,8)$  tree, you will need bigger subtrees.

**C-15.14) Hint** Consider the extreme cases.

**C-15.15) Hint** Try to block order- $B$  sized sub “trees” in the skip list.

**C-15.16) Hint** Start from sequence solution for the union-find problem.

**C-15.17) Hint** A single scan suffices.

**C-15.17) Solution** Sort the elements of  $S$  using an external-memory sorting algorithm. This brings together the elements with the same keys. One more scan through this sorted order matches up the red and blue elements. The time for this algorithm is dominated by the time to sort, which can be done using  $O((n/B) \log(n/B) / \log(M/B))$  block transfers.

**C-15.18) Hint** Each request can “see into the future” to see when is the next time existing blocks will be accessed next.

**C-15.19) Hint** In an initial scan, keep track of the best candidate majority value,  $x$ , and a counter that keeps track of the number of times you have seen a copy of  $x$  versus some other integer.

**C-15.20) Hint** Consider what happens to a page that is accessed a lot and then never accessed again.

**C-15.20) Solution** Consider a sequence where page 1 is accessed  $m$  times and then never accessed again, and then pages 2 through  $m + 1$  are each accessed once and then this same access sequence of pages 2 through  $m + 1$  is repeated. The LFU algorithm will never throw out page 1; hence, will have a page miss on every access, starting with page  $m + 1$ . But an optimal algorithm will throw out page 1 and then never have any more page misses after the misses for the first time pages 2 through  $m + 1$  are accessed.

**C-15.21) Hint** The answer just uses some simple logarithm identities.

**C-15.21) Solution**  $O(\log n)$  time.

---

## Projects

**P-15.22) Hint** Make sure to use typical memory sizes and do a long simulation.

**P-15.23) Hint** Let  $a$  and  $b$  be definable parameters or constants. And let insertion be the first update method you program.

**P-15.24) Hint** Start with insertion as the first update operation you code up, and use a simple uniform distribution of keys to perform the experiments.