

# A finite volume framework for damage and fracture prediction in wire drawing

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## Abstract

This article presents the implementation of the canonical Lemaitre and Gurson-Tvergaard-Needleman (GTN) damage models and a more recent phase-field type model within a Lagrangian, geometrically nonlinear, cell-centred finite volume framework. The proposed segregated solution procedure uses Picard-type deferred/defect-correction outer corrections, where the primary unknowns are cell-centre displacements and pressures. Spurious zero-energy modes (numerical oscillations in displacement and pressure) are avoided by introducing stabilisation/smoothing diffusion terms in the pressure and momentum equations. Appropriate scaling of the momentum “Rhie-Chow” stabilisation term is shown to be important in regions of plasticity and damage. To accurately predict damage and fracture in wire drawing where hydrostatic pressure is high, novel variants of the Lemaitre model with crack-closure and triaxiality effects and phase field model with non-local effects are proposed. The developed methods are assessed against experimental measurements for three elastoplastic benchmark cases: (i) flat notched bar, (ii) notched round bar, and (iii) axisymmetric wire drawing. The proposed finite volume approach provides a robust basis for predicting damage in wire drawing, where the proposed novel Lemaitre model with crack-closure effects was shown to be the most suitable for predicting experimentally observed fracture in wire drawing.

**Keywords:** finite volume method, damage, fracture, Lemaitre, Gurson-Tvergaard-Needleman, phase field model, OpenFOAM

# 1 Introduction

Numerical simulations of ductile fracture problems are of great interest in industries such as aerospace [1, 2], automotive [3–6], nuclear [7], and forming industries [8–13] to allow for the prediction of where and when damage or fracture will occur. The availability of computational predictive tools allows for substantial savings in the cost of experiments and design optimisation.

Its manufacturing process will consist of various combinations of wire-drawing steps, flat rolling, and profiled rollers to achieve the desired profile geometry for a given wire. These processes can often lead to the development of defects and even fracture of the wire during production. By improving understanding of how and why fracture occurs, processes can be optimised to ensure the resulting product is as robust as possible. A computational model for ductile fracture should predict several features, such as the stress and strain distribution, crack/damage origination and propagation and the resulting loss of load-carrying capacity - making coupled damage models of interest for this work.

In the review of Besson [14] the fracture models that have been developed were classified into global and local approaches. The Rice J-integral model is the canonical global approach. This approach suffers from limitations, however, such as that it cannot predict crack initiation and propagation, and the J-integral is also not a material property as it strongly depends on the specimen geometry [15]. These problems were rectified by the J-Q integral approach; however, this approach, in turn, has the limitation that it does not apply to complex geometries [16]. Further developments in this family of models, such as the critical crack tip opening displacement or crack tip opening angle, share the same limitations [17, 18]. These models have been implemented in finite element solvers with remeshing techniques needed to model the crack propagation.

The limitations of the global approach have led to the development of local approaches. In these approaches, a more physically detailed approach is used to characterise the fracture zone. These models can, in turn, be split into surface models (cohesive zone models (CZM)), where fracture occurs on a surface and volume models, where damage or degradation occurs in a volume. The CZM approach is limited because it exhibits strong mesh dependency and often requires a pre-defined crack path. This thesis will focus on the volume or continuum damage mechanics (CDM) approach and the micro-mechanical approach, which will be described later.

Within the past 15 years, the phase field approach to ductile fracture has gained more attention in the literature [19–23]. This approach involves diffusing the sharp crack over a continuum. Models of this form have been implemented here and will be further described later in this work.

While the models described above have been implemented using the finite element method (FEM), they have not been implemented using the finite volume method (FVM) to the authors' knowledge. The Lagrangian approach is commonly used in metal forming simulations because it more effectively captures effects such as elasticity and residual stress than the Eulerian approach. However, the Lagrangian approach can lead to mesh deterioration, which requires adaptive mesh smoothing and field advection (remapping) at each time step [24, 25]. Unlike conventional finite element methods, the finite volume method (FVM) is well suited for handling these advection problems due to its conservative nature. In addition to the Eulerian and Lagrangian methods, there

exist hybrid approaches which have characteristics of each of these approaches, most notably the Arbitrary Lagrangian-Eulerian method [26].

While the finite element method (FEM) is most commonly used in structural applications, more recently there has been an increasing development and use of the finite volume method (FVM) [27]. A wide variety of areas in solid and fluid mechanics have been studied using the finite-volume method such as elastoplasticity [11, 13, 28–32], contact mechanics [29, 33, 34], cohesive zone modelling (CZM) [35, 36], fracture simulation [37] and fluid-solid interactions [38–42]. According to this literature, the strongly conservative properties of the finite volume method make it suitable for such problems.

Both Eulerian and Lagrangian approaches have been used, however, issues have arisen with the Eulerian approach for metal forming problems. There have been challenges with handling the advection of material particles through the domain [24, 25]. By contrast, the Lagrangian approach does not deal with this issue. The updated Lagrangian approach described in [13] is primarily used in this work <sup>1</sup>.

For nonlinear problems, the choice between Finite Element and Finite Volume methods remains ambiguous because of several unresolved numerical issues such as unintended hourglass patterns and pressure oscillations, issues of shear and volume locking, reduced convergence rates for strains and stresses compared to displacements, and susceptibility to mesh irregularities [44]. Finite volume discretisations hold the potential to address these challenges in a unique manner [27].

Finite volume methods stand out for their accuracy and absence of excessive stiffness behaviour, a contrast to the locking phenomena commonly seen in fully integrated finite element methods [27]. Notably, a significant advantage of many finite volume methods lies in their order of accuracy. Unlike numerous finite element schemes where the error in strain and stress decreases at a rate that approximates the first order, finite volume methods often exhibit a second-order rate of reduction in these errors, mirroring the pattern observed for displacements [45]. In the current landscape, as computational models grow in size and with the surge in supercomputing and cloud computing capabilities, the emphasis on code parallelization has magnified. Finite volume methods, both fluid and solid types, have been particularly adaptive in this regard. Leveraging iterative linear solvers, tools like OpenFOAM are designed to harness hundreds or even thousands of CPU cores. This contrasts with many finite element strategies, which historically have favoured direct linear solvers. Consequently, their parallel efficiency tends to be restricted relative to iterative solvers, making the deployment on vast numbers of CPU cores less prevalent [27].

The cell-centred finite volume method is used in this work where the unknowns are specified at the centre of the control volumes. Demirdžić et al. [46] first proposed using the cell-centred finite volume method in its modern form for solid mechanics 30 years ago. This method was further developed by Demirdžić and Muzaferija [47] who generalised the original 2-D method to 3-D convex polyhedral cells. Another class of cell-centred method are the explicit Godunov-type cell-centred approaches based on the work of Trangenstein and Colella [48]. This method was initially used to model the 1-D propagation of waves in elasto-plastic solids but has since been extended in

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<sup>1</sup>More specifically this is the incrementally updated Lagrangian method [43]

various forms to 3-D grids [49–54]. These methods, as well as other finite-volume based methods, are described in more detail in Cardiff and Demirdžić [27].

ADD Explicit contribution/novelities of this paper. There are three main things: FVM approach, layer addition/removal, and novel forms of damage model. These models are implemented in OpenFOAM, an open-source C++ software that uses the finite volume method to solve solid and fluid mechanics problems. In particular, they have been implemented in the solids4foam OpenFOAM toolbox [? ?], building on previous work [13, 55].

The remainder of the paper is organised as follows: Section 2 describes the details of the mathematical model, including the governing momentum equation in Lagrangian form and the elastoplastic damage laws. Section ?? presents the proposed geometrically nonlinear, cell-centred finite volume framework, including stabilisation terms and the segregated solution algorithm details. The accuracy and robustness of the proposed numerical procedures are assessed against three experimental benchmark cases in Section 5. The article ends with a summary of the main conclusions.

## 2 Mathematical Model

This section outlines the Lagrangian finite strain finite volume methodology, where details of the constitutive laws are left to Section 3.

### 2.1 Linear momentum conservation

The dynamic, Lagrangian, strong integral form of linear momentum conservation for a body  $\Omega$ , bounded by a surface  $\Gamma$  with outwards pointing normal  $\mathbf{n}$ , can be equivalently expressed in *total* Lagrangian form as

$$\int_{\Omega_o} \rho_o \frac{\partial^2 \mathbf{u}}{\partial t^2} d\Omega_o = \oint_{\Gamma_o} (J \mathbf{F}^{-T} \cdot \mathbf{n}_o) \cdot \boldsymbol{\sigma} d\Gamma_o \quad (1)$$

or *updated* Lagrangian form as

$$\int_{\Omega_u} \frac{\partial}{\partial t} \left( \rho_u \frac{\partial \mathbf{u}}{\partial t} \right) d\Omega_u = \oint_{\Gamma_u} (j \mathbf{f}^{-T} \cdot \mathbf{n}_u) \cdot \boldsymbol{\sigma} d\Gamma_u \quad (2)$$

where  $\rho$  is the density,  $\mathbf{u}$  is the displacement vector,  $\boldsymbol{\sigma}$  is the true (Cauchy) stress, and body forces are neglected. Subscript  $o$  indicates quantities in the initial reference configuration, and subscript  $u$  indicates quantities in the updated configuration. The two forms are connected through Nanson’s formula [56], which relate the deformed area vector  $\boldsymbol{\Gamma}$  with the initial area vector  $\boldsymbol{\Gamma}_o$ :

$$\boldsymbol{\Gamma} = J \mathbf{F}^{-T} \cdot \boldsymbol{\Gamma}_o \quad (3)$$

where the deformation gradient is defined as  $\mathbf{F} = \mathbf{I} + (\nabla \mathbf{u})^T$  and its determinant (Jacobian) as  $J = \det(\mathbf{F})$ . The *relative* deformation gradient is given in terms of the displacement increment as  $\mathbf{f} = \mathbf{I} + \nabla(\Delta \mathbf{u})^T$  and the relative Jacobian as  $j = \det(\mathbf{f})$ .

Although the total Lagrangian approach is a viable option, the current work adopts the updated Lagrangian approach as developing Eulerian-type upstream and downstream mesh layer addition and removal conditions is conceptually easier in an updated Lagrangian formulation, as described in Section 2.4.

The definition of the true stress in Equations 1 and 2 comes from the constitutive law, as is described in Section 3.

## 2.2 Solution Domain Discretisation

The solution domain is discretised in space and time. The total specified simulation time is divided into a finite number of time increments  $\Delta t$ , and the discretised governing momentum equation is solved in a time-marching manner.

The space domain is divided into a finite number of contiguous convex polyhedral cells. The proposed solution discretisation follows closely the approach of Cardiff et al. [13]; consequently, only an overview of the final discretised form of equations and adopted solution algorithm are given below.

To facilitate the use of a segregated solution algorithm, the surface forces term (term on the right-hand side of Equation 2) is split into explicit and implicit components:

$$\begin{aligned} \int_{\Omega_u} \frac{\partial}{\partial t} \left( \rho_u \frac{\partial \mathbf{u}}{\partial t} \right) d\Omega_u = & \underbrace{\oint_{\Gamma_u} K_{imp} \mathbf{n}_u \cdot \nabla (\Delta \mathbf{u}) d\Gamma_u}_{\text{implicit}} \\ & + \underbrace{\oint_{\Gamma_u} (j\mathbf{f}^{-T} \cdot \mathbf{n}_u) \cdot \boldsymbol{\sigma} d\Gamma_u - \oint_{\Gamma_u} K_{imp} \mathbf{n}_u \cdot \nabla (\Delta \mathbf{u}) d\Gamma_u}_{\text{explicit}} \end{aligned} \quad (4)$$

where the first term on the right-hand side (Laplacian term) is treated implicitly, and the second and third terms on the right-hand side are treated explicitly. Here, by implicit, we mean that the term contributes coefficients to the resulting linear(-ised) system of equations, while the explicit terms will contribute only to the source of the system of linear equations. The overall procedure will be implicit in time in that the time step size is not constrained by the Courant–Friedrichs–Lewy condition. This Laplacian term can be considered an approximate compact-stencil linearisation of the surface stress term. This allows the coupled vector system to be temporarily decoupled in three scalar component equations. This allows the scalar component equations to be solved independently, where outer Picard iterations provide the inter-component coupling. Unlike Newton–Raphson approaches, where quadratic convergence may be expected, we can expect linear convergence of the residuals when using a segregated approach; however, this disadvantage is offset in several ways: (i) an exact Jacobian stiffness matrix need not be assembled, which is often costly; (ii) a material tangent is not required for the material laws, as instead the scalar coefficient  $K_{imp}$  is only required; (iii) outer Picard iterations are less expensive than Newton iterations as the discretised systems are smaller to assemble and quicker to solve; (iv) Picard iteration may provide superior convergence when the solution is far from the asymptotic region, potentially resulting in a

more robust approach for highly nonlinear large strain fracture and damage cases. Nonetheless, as noted previously [29], segregated solution procedures can suffer slow convergence relative to coupled approaches, particularly in high aspect ratio linear cases. In the current work,  $K_{imp}$  is chosen following the work of Jasak and Weller [57] as

$$K_{imp} = \frac{4}{3}\mu + \kappa \quad (5)$$

where  $\mu$  is the material shear modulus and  $\kappa$  is the bulk modulus. It should be reinforced that the value of  $K_{imp}$  affects convergence but does not affect the final converged solution, assuming convergence is achieved.

The primary unknown to be solved for is the displacement increment  $\Delta \mathbf{u} = \mathbf{u}^{[m+1]} - \mathbf{u}^{[m]}$  where the  $[m]$  superscript indicates a quantity from the previous time-step and  $[m+1]$  a quantity from the current (to be calculated) time step.

The resulting conservation equation (Equation 4) is applied to each cell (control volume) in the computational mesh and discretised in terms of the displacement increment at the cell centre/centroid  $(\Delta \mathbf{u})_P$  and at the centres of the neighbouring cells  $N_i$ .

The temporal volume integral term is discretised in space using the mid-point rule and discretised in time using a first-order accurate implicit backward Euler scheme [57]:

$$\begin{aligned} \int_{\Omega_u} \frac{\partial}{\partial t} \left( \rho_u \frac{\partial(\Delta \mathbf{u})}{\partial t} \right) d\Omega_u &\approx \frac{\partial}{\partial t} \left( \rho_u \frac{\partial(\Delta \mathbf{u})}{\partial t} \right)_P \Omega_P \\ &\approx \left( \frac{\rho_u^{[m+1]} \frac{\partial(\Delta \mathbf{u})^{[m+1]}}{\partial t} - \rho_u^{[m]} \frac{\partial(\Delta \mathbf{u})^{[m]}}{\partial t}}{\Delta t} \right)_P \Omega_P \\ &\approx \left[ \frac{\rho_u^{[m+1]} \left( \frac{\Delta \mathbf{u}^{[m+1]} - \Delta \mathbf{u}^{[m]}}{\Delta t} \right) - \rho_u^{[m]} \left( \frac{\Delta \mathbf{u}^{[m]} - \Delta \mathbf{u}^{[m-1]}}{\Delta t} \right)}{\Delta t} \right]_P \Omega_P \\ &\approx \frac{1}{\Delta t^2} \left[ \rho_{u_P}^{[m+1]} \Delta \mathbf{u}_P^{[m+1]} - \left( \rho_{u_P}^{[m+1]} + \rho_{u_P}^{[m]} \right) \Delta \mathbf{u}_P^{[m]} + \rho_{u_P}^{[m]} \Delta \mathbf{u}_P^{[m-1]} \right] \Omega_P \end{aligned} \quad (6)$$

The  $\mathbf{u}^{[m-1]}$  term is discretised in a similar fashion, noting that  $\mathbf{u}^{[m]} = \mathbf{u}^{[m-1]} + \Delta \mathbf{u}$  in Equation 4.

The surface forces Laplacian term (first term on the right-hand side of Equation 4) is discretised using central differencing with over-relaxed non-orthogonal correction [13, 28, 34, 57, 58]:

$$\begin{aligned} \oint_{\Gamma_u} K_{imp} \mathbf{n}_u \cdot \nabla (\Delta \mathbf{u}) d\Gamma_u &\approx \sum_{f \in N_f} K_{imp}^f |\Delta_{u_f}| \left( \frac{\Delta \mathbf{u}_{N_f} - \Delta \mathbf{u}_P}{|\mathbf{d}_f|} \right) |\Gamma_{u_f}| \\ &\quad + \sum_{f \in N_f} K_{imp}^f \mathbf{k}_{u_f} \cdot [\nabla (\Delta \mathbf{u})]_f |\Gamma_{u_f}| \end{aligned} \quad (7)$$

where  $N_f$  represents the set of faces  $f$  in cell  $P$ , where neighbouring cell centre  $N_f$  shares face  $f$  with the cell  $P$ . The over-relaxed orthogonal vector  $\Delta_{u_f} = \frac{\mathbf{d}_{u_f}}{\mathbf{d}_{u_f} \cdot \mathbf{n}_{u_f}}$  and non-orthogonal correction vector is  $\mathbf{k}_{u_f} = \mathbf{n}_{u_f} - \Delta_{u_f}$ , where  $\mathbf{n}_{u_f}$  is the outward-facing unit normal to the face  $f$ . The first term

on the right-hand side is treated implicitly, while the second term - representing non-orthogonal corrections at the face - is treated explicitly.

The remaining surface force terms (second and third terms on the right-hand side of Equation 4) are discretised by assuming that they vary linearly across the face as follows [59]:

$$\oint_{\Gamma_u} (j\mathbf{f}^{-T} \cdot \mathbf{n}_u) \cdot \boldsymbol{\sigma} \, d\Gamma_u \approx \sum_{f \in N_f} \boldsymbol{\Gamma}_{u_f} \cdot (j\boldsymbol{\sigma} \cdot \mathbf{f}^{-T})_f \quad (8)$$

$$\oint_{\Gamma_u} K_{imp} \mathbf{n}_u \cdot \boldsymbol{\nabla} (\Delta \mathbf{u}) \, d\Gamma_u \approx \sum_{f \in N_f} K_{imp} \boldsymbol{\Gamma}_{u_f} \cdot [\boldsymbol{\nabla} (\Delta \mathbf{u})]_f \quad (9)$$

The terms at a face, indicated by the subscript  $f$ , are calculated by linearly interpolating from the adjacent cell-centre values. The cell-centre gradients  $\boldsymbol{\nabla} (\Delta \mathbf{u})$  are determined using a least squares method [59].

All dependent variables must be specified at the initial time. Boundary conditions must be applied to the faces that coincide with the boundary of the solution domain. The discretised expressions on boundary faces are modified to account for either the known displacement components in Dirichlet conditions or the known traction for Neumann conditions. Coulomb friction contact boundaries are handled using an iterative penalty method, as described previously [13, 33]. More recent segment-to-segment finite volume contact procedures could also be used [60, 61].

### 2.2.1 Rhie-Chow Stabilisation

The difference in the computational stencil for the first and third terms on the right-hand side in Equation 4 introduces third-order numerical diffusion to the discretisation, which quells spurious zero-energy checkerboarding solution oscillations. First introduced by Rhie and Chow [62] for cell-centred finite volume methods, This approach was first proposed for cell-centred solid mechanics procedures by Demirdžić and Muzaferija [63], based on the earlier approach of Rhie and Chow Demirdžić and Muzaferija [63]. In the current approach, the so-called Rhie-Chow stabilisation term  $\mathcal{D}_{\text{Rhie-Chow}}$  takes the following form:

$$\mathcal{D}_{\text{Rhie-Chow}} = \sum_{f \in N_f} K_{imp}^f \left[ |\boldsymbol{\Delta}_f| \frac{\Delta \mathbf{u}_{N_f} - \Delta \mathbf{u}_P}{|\mathbf{d}_f|} - \boldsymbol{\Delta}_f \cdot (\boldsymbol{\nabla} (\Delta \mathbf{u}))_f \right] \quad (10)$$

which comes from the difference between Equations 7 and 9. The first term on the right-hand side represents a compact stencil (two-node) approximation of the face normal gradient, while the second term represents a larger stencil approximation. In the limit of mesh refinement, these two terms cancel out; otherwise, they produce a stabilisation effect which tends to smooth the solution fields. As the term reduces at a third-order rate, it does not affect the overall scheme's second-order accuracy.

As shown in Section 5, the magnitude of the Rhie-Chow stabilisation affects the localisation behaviour for damage and fracture mechanics models, with a tendency to artificially *smear out*

damage fields. Two mitigation strategies are proposed here to produce a modified Rhie-Chow stabilisation  $\hat{\mathcal{D}}_{\text{Rhie-Chow}}$ :

- The Rhie-Chow stabilisation is scaled by a global scalar constant  $0 \leq \mathcal{R}$  supplied by the user:

$$\hat{\mathcal{D}}_{\text{Rhie-Chow}} = \mathcal{R} \mathcal{D}_{\text{Rhie-Chow}} \quad (11)$$

- The Rhie-Chow stabilisation is scaled by a global scalar constant in addition to a damage-dependent field:

$$\hat{\mathcal{D}}_{\text{Rhie-Chow}} = \mathcal{R}(1 - D)^2 \mathcal{D}_{\text{Rhie-Chow}} \quad (12)$$

In the first approach, the smoothing effect is reduced globally with the result that the smearing of damage fields is reduced; however, the disadvantage of this approach is that solution convergence is slowed as the stabilisation term is reduced in magnitude; in addition, numerical oscillations are more likely to appear, particularly in regions undergoing purely elastic deformation where no dissipation mechanisms exist. In the second approach, a damage variable  $0 < D \leq 1$  (to be introduced in Section 3) reduces the smoothing effect only in regions of damage. The effect of these proposed modifications are examined in Section 5.

### 2.2.2 Hydrostatic Pressure Calculation

As is well-known, displacement-only formulations are susceptible to displaying numerical hydrostatic pressure oscillations in regions of large isochoric plastic strains. In Cardiff et al. [13], it was proposed to smooth the relative deformation gradient Jacobian field. In contrast, the current approach forms and solves a pressure Poisson equation:

$$p = -\frac{\kappa}{2}(J^2 - 1) + \mathcal{D}_{\text{Rhie-Chow}}^p \quad (13)$$

where  $p = -\text{tr}(\boldsymbol{\sigma})/3$  is the hydrostatic pressure and trace operator is indicated by  $\text{tr}(\bullet)$ . The Rhie-Chow pressure stabilisation term  $\mathcal{D}_{\text{Rhie-Chow}}^p$  is discretised according to Equation 10 with the displacement increment  $\Delta \mathbf{u}$  replaced by the pressure  $p$ . As in the case of the discretised momentum equation, the magnitude of the Rhie-Chow stabilisation term can be controlled with a global scale constant  $0 \leq \mathcal{R}_p$  supplied by the user. The effect of the Rhie-Chow stabilisation term here is to smooth out any pressure oscillations. The final form stabilised form of the pressure Poisson equation is

$$p - \mathbb{D} \nabla^2 p = -\frac{\kappa}{2}(J^2 - 1) - \nabla \cdot (\mathbb{D} \nabla p) \quad (14)$$

where the terms on the left-hand side are discretised implicitly and the terms on the right-hand side are discretised explicitly, following similar methods to Equations 6 and 7. The second terms on the left and right-hand sides come from the Rhie-Chow stabilisation. The described approach is similar to the formulation proposed by Bijelonja et al. [64–67] for incompressibility, quasi-incompressibility and elastoplasticity.



## 2.3 Solution Algorithm

The linear momentum equation is discretised for each control volume  $P$ , and a linear algebraic equation of the following form is assembled [57].

$$a_p \Delta \mathbf{u}_p + \sum_F a_n \Delta \mathbf{u}_n = \mathbf{b}_p \quad (15)$$

Where  $a_p$  is the central coefficient,  $a_n$  are the coefficients associated with the centre of neighbouring cells,  $F$  is the number of internal faces of the control volume,  $\mathbf{b}_p$  is the source vector contribution.

These linear algebraic equations are then assembled for all control volumes creating a system of linear algebraic equations:

$$\mathbf{K} \mathbf{u} = \mathbf{f} \quad (16)$$

Where  $\mathbf{K}$  is an  $M \times M$  coefficient matrix containing the implicit operators where  $M$  is the total number of control volumes. The solution vector  $\mathbf{u}$  contains the unknown cell-centre displacement increments  $\Delta \mathbf{u}$ .  $\mathbf{f}$  is the source term containing the explicit operators. A similar scalar system is formed and solved for the hydrostatic pressure (Equation 14) during the calculation of the stress.

As noted in Section 2.2, a segregated solution algorithm is employed where the governing vector momentum equation is temporarily decoupled and three scalar equations are solved; outer Picard iterations at each time step provide the inter-equation coupling.

The *inner* linear sparse system is iteratively solved using an incomplete Cholesky preconditioned conjugate gradient method [68]. As noted in previous articles on segregated methods, the inner system need not be solved to a tight tolerance as coefficients and source terms are approximated from the previous increment; a reduction in the residuals of one order of magnitude is typically sufficient. The outer iterations are performed until the predefined tolerance, typically  $1 \times 10^{-6}$ , has been achieved [13].

In the current updated Lagrangian approach, the mesh is moved to the deformed configuration at the end of each time step. Given that the displacements are calculated at the cell centres, interpolation must be performed to calculate the displacements at the vertices to update the mesh. A linear least-squared method is employed here [13].

The procedures have been implemented and publicly shared within the solids4foam toolbox [?] of the open-source OpenFOAM software.

An overview of the solution algorithm is shown in Algorithm 1.

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**Algorithm 1:** Solution Procedure

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```
for all time steps do
  while convergence not reached do
    - Discrete governing system (Equation 4) for each cell, using Equations 5-10, in terms of  $\Delta \mathbf{u}$ 
    - Assemble the discretised equations for all cells into three scalar linear systems (Equation 14)
    - Solve the three scalar linear systems in terms of cell-centred displacement increments  $\Delta \mathbf{u}$ 
    - Update/reconstruct the kinematics:  $\nabla(\Delta \mathbf{u})$ ,  $\mathbf{F}$ ,  $J$ ,  $\mathbf{f}$ ,  $j$ 
    - Update the stress ( $\boldsymbol{\sigma}$ ) at the cell-centres using the chosen material law
    - Update the Rhie-Chow stabilisation:  $\hat{\mathcal{D}}_{\text{Rhie-Chow}}$ 
  end while
  - Interpolate cell-centre displacement increments to the vertices
  - Move mesh to the deformed configuration using the vertex displacements, incorporating
    layer addition/removal (Section 2.4)
end for
```

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## 2.4 Eulerian-Type Layer Addition and Removal Boundaries

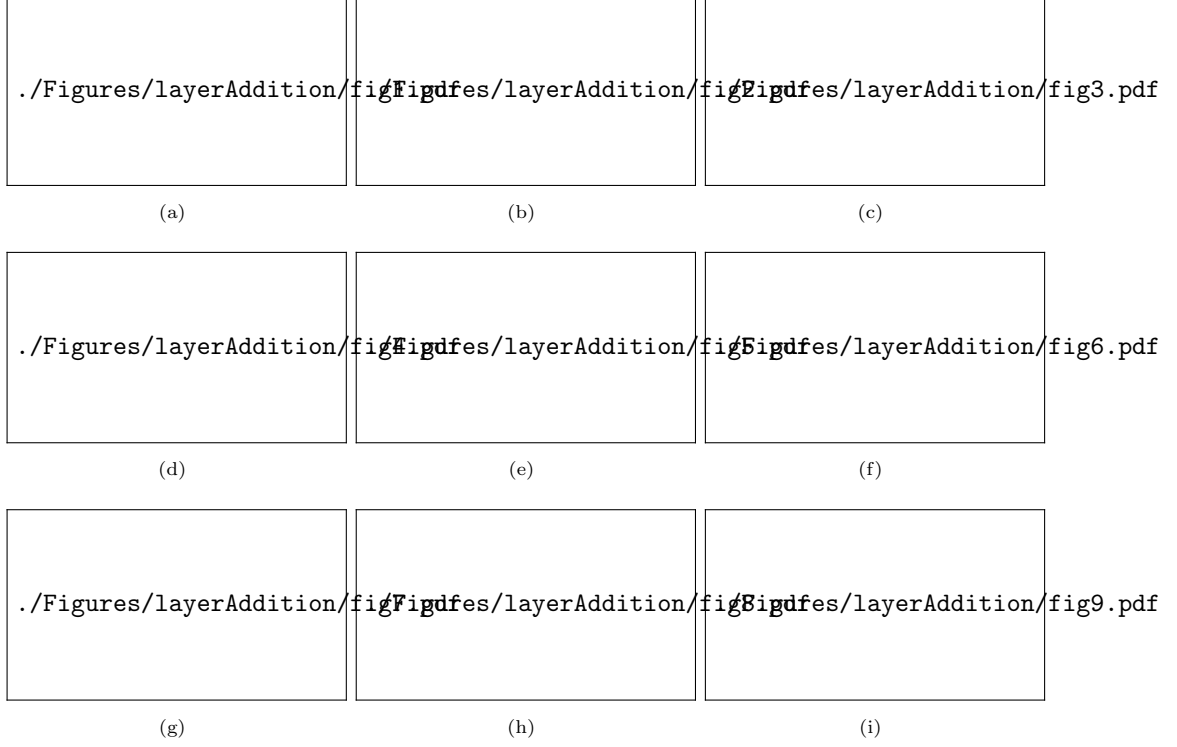
Steady-state behaviour is typically the primary interest in wire drawing and other continuous forming approaches. Eulerian approaches are a natural choice but are not commonly employed when elastic phenomena (e.g. spring back, residual stresses) are important. When simulating wire drawing using a Lagrangian approach, a naïve approach is to simulate a workpiece segment that is *long enough* to allow steady-state to be reached. The disadvantage of this approach is that computational cost is inflated by the portion of the workpiece domain primarily undergoing rigid-body translation, which may be large relative to the region undergoing plastic deformations.

To overcome this disadvantage, the current work proposes Eulerian-type layer addition and removal conditions for the workpiece upstream and downstream boundaries. The approach involves fixing the workpiece (e.g., wire) upstream and downstream mesh boundaries in space during the mesh motion at the end of each time step. As cells near the upstream boundary become elongated, layers of new cells are added. Similarly, cells are removed as they become compressed near the downstream patch. In this way, the length of the workpiece domain remains fixed (like an Eulerian approach), but a traditional Lagrangian method is still used to calculate the deformation.

Figure 1 schematically outlines the step involved in the layer addition and removal mesh motion scheme:

- (a) The workpiece (e.g., wire) mesh is constructed such that it is layered in the streamwise direction;
- (b) Solution of the discretised governing equations provides the cell centres displacement increments  $\Delta \mathbf{u}$ ;
- (c) The cell-centred displacement increment  $\Delta \mathbf{u}$  are interpolated to the vertices  $\Delta \mathbf{u}_v$ ;
- (d) If the average width (in the streamwise direction) of the cell layer  $d_{av}$  is greater at the upstream boundary than a user-prescribed maximum width  $d_{max}$ , a zero-thickness layer of cells at the upstream boundary. The displacement increments of the newly added layer of vertices are taken from the upstream boundary vertices;
- (e) The vertex displacement increments  $\Delta \mathbf{u}_v$  at the upstream and downstream boundaries are set to zero, except for the newly added vertices part of the zero-thickness layer;
- (f) The mesh is moved by the vertex displacement increment field  $\Delta \mathbf{u}_v$ , where it is noted that the vertices on the upstream and downstream points do not move;

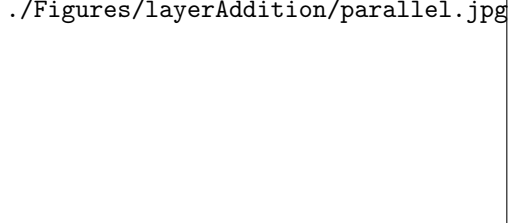
- (g) If the average width (in the streamwise direction) of the cell layer  $d_{av}$  at the downstream boundary is less than a user-prescribed minimum width  $d_{min}$ , remove the layer of cells at the downstream boundary. Depending on displacement increment magnitude, multiple cell layers may need to be removed.



**Fig. 1** Layer addition and removal mesh motion algorithm

Following the layer addition and removal mesh motion algorithm, solution and derived field data at the newly added cell centres are mapped from the field data stored at the upstream boundary. This mapping approach assumes field data to have a zero gradient in the upstream direction; this assumption is valid if the upstream and downstream are chosen sufficiently far from the *active* deformation zone.

**Note on parallelisation:** The proposed solution algorithm has been implemented in the open-source toolbox OpenFOAM, where multi-CPU-core parallelisation on distributed memory systems is achieved through the domain decomposition approach. To simplify the implementation of the non-trivial topological mesh changes (addition or removal of cells), domain decomposition approaches have been limited to decomposing the workpiece into streamwise columns of cells (Figure 2). In the current approach, modified forms of the METIS [69] and scotch [70] approaches have been used to perform this decomposition.



./Figures/layerAddition/parallel.jpg

**Fig. 2** Example parallel decomposition of a wire and drawing die, where the wire is decomposed into streamwise *columns*, indicated by the different colours. There is no limitation on the die decomposition.

## 3 Constitutive damage laws

### 3.1 Overview

As noted by Garrison and Moody [71], ductile fracture in metals occurs in three stages: (i) voids are nucleated at material defects (usually inclusions), adding to pre-existing voids, if any; (ii) plastic deformations cause these voids to grow; and (iii) when large enough, these voids coalesce to form micro-cracks and macro-cracks. Recent reviews of Approaches to model ductile fracture in metal forming can be classified into two approaches [9, 10]: continuum damage mechanics models and micro-mechanical models.

In *continuum* damage mechanics, an internal damage variable is used to describe the accumulation of microstructural degradation within a material due to various types of loading. This degradation is typically reflected in the increased density of internal defects, such as microcracks, dislocations, or voids. The internal damage variable is continuous, meaning it can take on any value within a given range. The *micro-mechanical* approach is also continuous in nature and posits the existence of material micro-voids. The void density is described by a variable denoted as porosity. Material degradation is characterised by increased porosity due to void nucleation, growth, and coalescence. As noted by Cao [9], Besson [14] and Tekkaya et al. [10], the canonical frameworks in the continuum damage mechanics and micro-mechanical approaches are the Lemaitre [72, 73] and the Gurson-Tvergaard-Needleman (GTN) [74, 75] models, respectively.

This section provides an overview of the classic Lemaitre and GTN models and proposes modifications to the Lemaitre model to extend its applicability to high hydrostatic pressure regimes characteristic of wire drawing. For comparison, a recent phase field model damage approach is also considered.

The constitutive damage laws described in this section close the system of governing equations (Equation 4) described in Section 2.2, by providing the definition of stress.

### 3.2 Preliminaries

Before describing these models in more detail, it is necessary to define the stress triaxiality  $\eta$  and the Lode angle  $\theta$  and related Lode parameters [76, 77]. Extensive literature has noted the importance of stress triaxiality and Lode angle in predicting ductile fracture [9, 10, 14]. The triaxiality parameter  $\eta$  is given by:

$$\eta = -\frac{p}{\sigma_v} \quad (17)$$

where, as noted previously,  $p = -\text{tr}(\boldsymbol{\sigma})/3$  is the hydrostatic pressure, and  $\sigma_v = \sqrt{3J_2} = \sqrt{\frac{3}{2}\text{dev}(\boldsymbol{\sigma}) : \text{dev}(\boldsymbol{\sigma})}$  is the equivalent (von Mises) stress.  $J_2$  is the second invariant of the deviatoric stress tensor. The deviatoric operator is indicated by  $\text{dev}(\bullet)$ , and  $:$  indicates the double-dot product.

The Lode angle  $0 \leq \theta \leq \frac{\pi}{3}$  physical interpretation relates to the degree of shear dominance present in the stress state. It can be rewritten as a function of the normalised third invariant of the deviatoric stress tensor:

$$\theta = \frac{1}{3} \arccos(\xi) \quad (18)$$

The parameter  $0 \leq \xi \leq 1$  is determined as a ratio between the third invariant and the equivalent stress:

$$\xi = \left(\frac{r}{\sigma_v}\right)^3 \quad (19)$$

where  $r$  is given by:

$$r = 3 \left(\frac{3}{2}J_3\right)^{1/3} \quad (20)$$

The third invariant of the deviatoric stress tensor is  $J_3 = \det[\text{dev}(\mathbf{s})]$ . An alternative normalised Lode angle has been proposed by Bai and Wierzbicki [76] to be

$$\bar{\theta} = 1 - \frac{6\theta}{\pi} \quad (21)$$

which ranges between -1 and 1.

The three Lode parameters ( $\theta$ ,  $\xi$ , and  $\bar{\theta}$ ) are essentially equivalent but are defined to vary between different limits.

### 3.3 Isotropic elastoplasticity

In the current work, the damage models are formulated in terms of isotropic  $J_2$  (von Mises) elastoplasticity. Extension to other forms of elastoplasticity (e.g., kinematic hardening, anisotropic/Hill yielding, distortional hardening) is possible but is outside the scope of this article. The adopted large strain elastoplasticity formulation is based on the logarithmic (Hencky) strain, as proposed by Eterovic and Bathe [78] and described in detail by Koji and Bathe [79] and de Souza Neto et al. [80]. This approach allows an additive split of the elastic and plastic strain tensors, conveniently leading to a return mapping scheme that is similar in form to those used in small-strain deformation models. In contrast, previous large-strain elastoplastic models [11, 13] implemented in the

OpenFOAM software have adopted the approaches of Caminero et al. [84] and Simo and Hughes [81].

The employed isotropic  $J_2$  elastoplastic constitutive law is defined in terms of the yield criterion

$$\Phi = \sigma_v - \sigma_y(\bar{\varepsilon}_p) \quad (22)$$

and flow rule

$$\dot{\mathbf{F}}_p \cdot \mathbf{F}_p^{-1} = \dot{\bar{\varepsilon}}_p \mathbf{R}_e^T \cdot \left[ \frac{3 \operatorname{dev}(\boldsymbol{\sigma})}{2 \sigma_v} \right] \cdot \mathbf{R}_e \quad (23)$$

where the yield stress  $\sigma_y$  is a function of the hardening variable  $\bar{\varepsilon}_p$  coincides with the equivalent plastic strain  $\bar{\varepsilon}_p$ . The deformation gradient is decomposed into elastic and plastic components  $\mathbf{F} = \mathbf{F}_e \cdot \mathbf{F}_p$  and polar decomposition of the elastic deformation gradient gives the elastic rotation  $\mathbf{R}_e$  and elastic stretch  $\mathbf{U}_e$  tensors:  $\mathbf{F}_e = \mathbf{R}_e \cdot \mathbf{U}_p$ .

The model is closed with the Kuhn-Tucker conditions

$$\Phi \geq 0, \quad \dot{\bar{\varepsilon}}_p \geq 0, \quad \dot{\bar{\varepsilon}}_p \Phi = 0 \quad (24)$$

and the consistency condition

$$\dot{\bar{\varepsilon}}_p \dot{\Phi} = 0 \quad (25)$$

### 3.4 Computational procedure

For each cell at every outer (Picard) iteration, the stress  $\boldsymbol{\sigma}^{[m+1]}$  and history variables ( $\alpha^{[m+1]}$ ,  $\mathbf{F}_p^{[m+1]}$ ) at time step  $t^{[m+1]}$  must be calculated in terms of the current displacement increment gradient  $[\nabla(\Delta \mathbf{u})]^{[m+1]}$  and old-time history variables ( $\alpha^{[m]}$ ,  $\mathbf{F}_p^{[m]}$ ).

The adopted stress calculation algorithm [80] is summarised in Algorithm 2.

### 3.5 Lemaitre damage model

#### 3.5.1 Model Formulation

The Lemaitre model describes damage using a scalar field variable  $D$ . When no damage has occurred, the damage variable  $D$  equals 0 (virgin material), whereas when the material is fully damaged, the damage variable equals 1. From a physical point of view,  $D$  can be interpreted as the area of cracks and cavities per unit surface cut by an arbitrary plane.

The Lemaitre model augments the elastoplasticity constitutive law (Equations 22 and 23) with the inclusion of a damage variable  $D$  evolution law, and scaling of the yield function and flow rules

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**Algorithm 2:** Large strain  $J_2$  (von Mises) isotropic elastoplastic stress calculation algorithm [80]

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(i) Update deformation gradients for a given incremental displacement

$$\mathbf{f}^{[m+1]} = \mathbf{I} + [\nabla(\Delta \mathbf{u})]^T$$

$$\mathbf{F}^{[m+1]} = \mathbf{f}^{[m+1]} \cdot \mathbf{F}^{[m]}$$

(ii) Compute trial elastic state

$$\begin{aligned} \mathbf{B}_e^{[m]} &= \exp\left(2\boldsymbol{\varepsilon}_e^{[m]}\right) \\ \mathbf{B}_e^{\text{trial}} &= \mathbf{f}^{[m+1]} \cdot \mathbf{B}_e^{[m]} \cdot (\mathbf{f}^{[m+1]})^T \\ \boldsymbol{\varepsilon}_e^{\text{trial}} &= \frac{1}{2} \ln(\mathbf{B}_e^{\text{trial}}) \\ \bar{\varepsilon}_p^{\text{trial}} &= \bar{\varepsilon}_p^{[m]} \\ \sigma_v^{\text{trial}} &= \sqrt{3/2} \|2G \operatorname{dev}(\boldsymbol{\varepsilon}_e^{\text{trial}})\| \\ \Phi^{\text{trial}} &= \sigma_v^{\text{trial}} - \sigma_y(\bar{\varepsilon}_p^{\text{trial}}) \end{aligned}$$

**if**  $\Phi^{\text{trial}} > 0$  **then**  
 | go to step (iii) to solve for  $\Delta \bar{\varepsilon}_p$   
**else**  
 |  $\Delta \bar{\varepsilon}_p = 0$  and go to step (iv)  
**end**

(iii) Use the Newton-Raphson method to solve the yield function for  $\Delta \bar{\varepsilon}_p$ :

$$\sigma_v^{\text{trial}} - 3\mu \Delta \bar{\varepsilon}_p - \sigma_y(\bar{\varepsilon}_p^{[m]} + \Delta \bar{\varepsilon}_p) = 0$$

(iv) Update constitutive variables and deviatoric stress

$$\begin{aligned} \boldsymbol{\varepsilon}_e^{[m+1]} &= \boldsymbol{\varepsilon}_e^{\text{trial}} - \sqrt{3/2} \Delta \bar{\varepsilon}_p \frac{\operatorname{dev}(\boldsymbol{\varepsilon}_e^{\text{trial}})}{\|\boldsymbol{\varepsilon}_e^{\text{trial}}\|} \\ \bar{\varepsilon}_p^{[m+1]} &= \bar{\varepsilon}_p^{[m]} + \Delta \bar{\varepsilon}_p \\ \mathbf{s}^{[m+1]} &= (1/J) 2\mu \operatorname{dev}(\boldsymbol{\varepsilon}_e^{[m+1]}) \end{aligned}$$

(v) Implicitly solve the pressure Poisson's equation, where  $J^{[m+1]} = -\kappa \operatorname{tr}(\boldsymbol{\varepsilon}_e^{[m+1]})$ .

$$p^{[m+1]} - \mathbb{D} \nabla^2 p^{[m+1]} = -\frac{\kappa}{2} \left[ \left( J^{[m+1]} \right)^2 - 1 \right] - \nabla \cdot (\mathbb{D} \nabla p^{[m]}) \quad (26)$$

(vi) Update the true (Cauchy) stress

$$\boldsymbol{\sigma}^{[m+1]} = \mathbf{s}^{[m+1]} - p^{[m+1]} \mathbf{I}$$


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by the reciprocal of  $(1 - D)$ :

$$\Phi = \frac{\sigma_v}{1 - D} - \sigma_y(\bar{\varepsilon}_p) \quad (27)$$

$$\dot{\mathbf{F}}_p \cdot \mathbf{F}_p^{-1} = \frac{\dot{\bar{\varepsilon}}_p}{1 - D} \mathbf{R}_e^T \cdot \left[ \frac{3 \operatorname{dev}(\boldsymbol{\sigma})}{2 \sigma_v} \right] \cdot \mathbf{R}_e \quad (28)$$

$$\dot{D} = \frac{\dot{\bar{\varepsilon}}_p}{1 - D} \left( \frac{-Y}{S_0} \right)^b \quad (29)$$

where  $S_0$  (dimensions of stress) and  $b$  (dimensionless) are material parameters. The energy release rate  $Y$ , which gives the energy dissipated due to the phenomenon of damage, is given by [73]

$$Y = -\frac{\sigma_v^2}{2E} \left[ \frac{2}{3}(1 + \nu) + 3(1 - 2\nu)\eta^2 \right] \quad (30)$$

where  $E$  is Young's modulus and  $\nu$  is Poisson's ratio, where the dependence on triaxiality ( $\eta = p/\sigma_v$ ) is explicitly clear.

### 3.5.2 Computational Procedure

An implicit-explicit algorithm is developed in this work to solve Equations 27-29. At every outer iteration, the plastic increment is solved implicitly, with the value for the damage variable fixed from the previous outer iteration. The damage rate equation (Equation 29) is then solved explicitly also using the damage from the previous iteration. This approach avoids the use of a  $2 \times 2$  matrix (or an even higher dimension matrix if one was to solve for anisotropic plasticity or plasticity incorporating kinematic hardening) that would be needed if both the damage and the plastic increment were solved for implicitly simultaneously. By not requiring the solution of both the plasticity and damage implicitly, the mathematics are simplified when solving for more complex formulations of the Lemaitre damage evolution equation and for the *non-local damage*, which will be described later in this section. Note that in this context, explicit means that the construction of a linear system is avoided; however, the overall solution algorithm is still implicit in time.

#### *Triaxiality and Lode Angle Dependency*

The classic Lemaitre model does not distinguish between positive and negative triaxiality ( $\eta$  is squared in Equation 30); consequently, it can overpredict damage in wire drawing processes where triaxiality can be highly negative. A simple remedy is to employ a triaxiality cut-off [86], which disallows damage evolution for highly negative triaxiality values:

$$\dot{D} = \begin{cases} 0 & \text{if } \eta \leq -\frac{1}{3} \\ \frac{\dot{\epsilon}_p}{1-D} \left( \frac{-Y}{S_0} \right)^b & \text{if } \eta > -\frac{1}{3} \end{cases} \quad (31)$$

Rather than use a triaxiality cut-off, Malcher and co-workers [91–93] proposed that the parameter  $S_0$  be a function of triaxiality  $\eta$  as well as Lode angle  $\xi$ . This approach has shown an ability to accurately predict fracture for a range of loading conditions, at both low and high triaxiality values and for various shear stress states. The Malcher et al. form of damage evolution is achieved by making  $S_0$  in Equation 29 a function of  $\eta$  and  $\xi$ :

$$S(\eta, \xi) = \frac{S_{0.33}}{3|\eta| + \frac{S_{0.33}}{S_{0.0}}(1 - \xi^2)} \quad (32)$$

where  $S_{0.33}$  and  $S_{0.0}$  are material parameters, which were determined based on pure tensile loading  $S_{0.33}$  and pure shear loading ( $S_{0.0}$ ).



Despite its success, one weakness of this formulation is that it does not distinguish between positive and negative triaxiality values, which is critical for wire drawing. To overcome this limitation, a function for  $S(\eta, \xi)$  is proposed here, inspired by the Ko criterion [132] uncoupled damage law. The Ko criterion has shown an ability to predict fracture in both wire drawing processes [128] and in the hub-hole expanding process [132]. For the wire drawing,  $\xi \approx 1.0$  at the wire centre, where fracture originates [128]. For this reason, the proposed function does not incorporate a dependency on  $\xi$ , and final expression for  $S$  takes the form

$$S(\eta) = \frac{2S_0}{(1.0 + 3\eta)} \quad (33)$$

### *Crack Closure Effects*

An additional limitation of the classic Lemaitre damage model is that it does not distinguish between tensile and compressive stress states, whereas it is known from experiments that tensile stresses are considerably more conducive to crack growth than compressive stresses [98]. To overcome this shortcoming, some authors assume no crack growth in compressive stress states [99]; however, this does not account for the partial closure of micro-defects under compressive stress states.; this effect causes a greater material area to bear the compressive load. As a result, the material may exhibit a partial or complete recovery of its stiffness, depending on the specific conditions [100]. This approach also does not account for the fact that some crack growth can occur in compressive stages [25].

Consequently, an *enhanced* Lemaitre model with crack closure effects has been proposed [100, 101]. In this approach, the energy release rate  $Y$  (Equation 30) is rewritten to account for the differing contributions of tensile and compressive stresses:

$$Y = \frac{-1}{2E(1-D)} [(1+\nu)\boldsymbol{\sigma}^+ : \boldsymbol{\sigma}^+ - \nu \langle \text{tr}(\boldsymbol{\sigma}) \rangle^2] - \frac{h}{2E(1-hD)} [(1+\nu)\boldsymbol{\sigma}^- : \boldsymbol{\sigma}^- - \nu \langle -\text{tr}(\boldsymbol{\sigma}) \rangle^2] \quad (34)$$

where Macaulay brackets are indicated by  $\langle \bullet \rangle$ . The parameter  $0 \leq h \leq 1$  accounts for the crack closure phenomenon; here, we take  $h = 0.2$  as is common for steels [86, 102, 103]). The positive and negative stress components are defined as

$$\boldsymbol{\sigma}^+ = \sum_{i=1}^3 \langle \sigma_i \rangle \mathbf{e}_i \otimes \mathbf{e}_i \quad (35)$$

$$\boldsymbol{\sigma}^- = \sum_{i=1}^3 \langle -\sigma_i \rangle \mathbf{e}_i \otimes \mathbf{e}_i \quad (36)$$

where  $\sigma_i$  are the principal stresses,  $\{\mathbf{e}_1, \mathbf{e}_2, \mathbf{e}_3\}$  are the orthonormal basis vectors, and  $\boldsymbol{\sigma} = \boldsymbol{\sigma}^+ + \boldsymbol{\sigma}^-$ .

A simplified form of the crack-closure model [100] is employed in this work, whereby this definition of decomposed stress is only used in the damage evolution calculation.

### Non-local damage

One limitation of damage models is that due to the strain softening behaviour, prediction may suffer from mesh size and orientation dependency in localised strain zones [95–97]. To rectify this, an implicit *non-local* damage variable  $\bar{D}$  is introduced as in Peerlings et al. [95, 96] and Geers et al. [97]. This is related to the local damage variable  $D$  through the implicit diffusion equation:

$$\bar{D} - l_c^2 \nabla^2 \bar{D} = D \quad (37)$$

where  $l_c$  is a characteristic length scale which controls the area over which the local damage is diffused. This equation can be viewed as a smoothing equation ( $\bar{D}$  is a spatially smoothed version of  $D$ ), which has the effect of mitigating the mesh dependency. This equation is solved with the zero-flux Neumann boundary conditions on all boundaries:  $\mathbf{n} \cdot \nabla \bar{D} = 0$ . The terms in Equation 37 are discretised using the cell-centred finite volume method, similar to the pressure equation (Equation 14).

### Overall Stress Calculation Procedure

This stress calculation procedure for the Lemaitre damage model is summarised in Algorithm 3, where  $\bar{D}_*^{[m+1]}$  is the latest available non-local damage field at the new time, i.e. its values from the previous outer iteration; at convergence within each time step,  $\bar{D}_*^{[m+1]}$  becomes  $\bar{D}^{[m+1]}$ . As shown, to aid numerical convergence, a critical damage parameter  $D_c$  is incorporated [72], which limits the maximum value of the damage  $D$  to  $D_c$ , rather than 1. In the current work,  $D_c = 0.99$  is assumed. Consequently, the damage rate equation (Equation 30) becomes

$$\dot{D} = \begin{cases} 0 & \text{if } \eta \leq -\frac{1}{3} \text{ or } D \geq D_c \\ \frac{\dot{\epsilon}_p}{1-D} \left( \frac{-Y}{S(\eta, \xi)} \right)^b & \text{if } \eta > -\frac{1}{3} \text{ and } D < D_c \end{cases} \quad (38)$$

where  $S$  can be a function of  $\eta$  and  $\xi$  as described above.

Although subtle, we have found that limiting the value of the non-local damage field  $\bar{D}$  (step (viii)) is critical for achieving reliable predictions. This step prevents nonphysical behaviour whereby a cell that is set as being fully damaged ( $D = D_c$ ) is contributing to the damage growth in the surrounding cells through the non-local damage field.

## 3.6 Gurson-Tvergaard-Needleman Model

Here: this section has yet to be reformatted

The framework developed by Gurson [74] is the canonical framework used in the micro-mechanical approach to characterising material degradation [9, 10, 14]. This model posits the existence of micro-voids in the material. The density of these voids is described by a variable denoted as porosity. The material degradation is characterised by the increasing porosity due to

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**Algorithm 3:** Lemaitre damage model stress calculation algorithm

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(i) Update deformation gradients for a given incremental displacement

$$\mathbf{f}^{[m+1]} = \mathbf{I} + [\nabla(\Delta \mathbf{u})]^T$$

$$\mathbf{F}^{[m+1]} = \mathbf{f}^{[m+1]} \cdot \mathbf{F}^{[m]}$$

(ii) Compute trial elastic state

$$\begin{aligned} \mathbf{B}_e^{[m]} &= \exp(2\boldsymbol{\epsilon}_e^{[m]}) \\ \mathbf{B}_e^{\text{trial}} &= \mathbf{f}^{[m+1]} \cdot \mathbf{B}_e^{[m]} \cdot (\mathbf{f}^{[m+1]})^T \\ \boldsymbol{\epsilon}_e^{\text{trial}} &= \frac{1}{2} \ln(\mathbf{B}_e^{\text{trial}}) \\ \bar{\epsilon}_p^{\text{trial}} &= \bar{\epsilon}_p^{[m]} \\ \sigma_v^{\text{trial}} &= \sqrt{3/2} ||2G \operatorname{dev}(\boldsymbol{\epsilon}_e^{\text{trial}})|| \end{aligned}$$

**Andrew: should the yield function include D old (equation 26)?**

$$\Phi^{\text{trial}} = \sigma_v^{\text{trial}} - \sigma_y(\bar{\epsilon}_p^{\text{trial}})$$

**if**  $\Phi^{\text{trial}} > 0$  **then**  
| go to step (iii) to solve for  $\Delta \bar{\epsilon}_p$   
**else**  
|  $\Delta \bar{\epsilon}_p = 0$  and go to step (iv)  
**end**

(iii) Use the Newton-Raphson method to solve the yield function for  $\Delta \bar{\epsilon}_p$ :

$$\sigma_v^{\text{trial}} - \frac{3\mu \Delta \bar{\epsilon}_p}{1 - \bar{D}_*^{[m+1]}} - \sigma_y(\bar{\epsilon}_p^{[m]} + \Delta \bar{\epsilon}_p) = 0$$

(iv) Update constitutive variables and deviatoric stress

$$\begin{aligned} \boldsymbol{\epsilon}_e^{[m+1]} &= \boldsymbol{\epsilon}_e^{\text{trial}} - \sqrt{\frac{3}{2}} \frac{\Delta \bar{\epsilon}_p}{1 - \bar{D}_*^{[m+1]}} \frac{\operatorname{dev}(\boldsymbol{\epsilon}_e^{\text{trial}})}{||\boldsymbol{\epsilon}_e^{\text{trial}}||} \\ \bar{\epsilon}_p^{[m+1]} &= \bar{\epsilon}_p^{[m]} + \Delta \bar{\epsilon}_p \\ \mathbf{s}^{[m+1]} &= (1 - \bar{D}_*^{[m+1]}) (1/J) 2\mu \operatorname{dev}(\boldsymbol{\epsilon}_e^{[m+1]}) \end{aligned}$$

(v) Implicitly solve the pressure Poisson's equation, where  $J^{[m+1]} = -(1 - \bar{D}_*^{[m+1]})\kappa \operatorname{tr}(\boldsymbol{\epsilon}_e^{[m+1]})$ .

$$p^{[m+1]} - \mathbb{D} \nabla^2 p^{[m+1]} = -\frac{\kappa}{2} \left[ (J^{[m+1]})^2 - 1 \right] - \nabla \cdot (\mathbb{D} \nabla p^{[m]}) \quad (39)$$

(vi) Update the true (Cauchy) stress

$$\boldsymbol{\sigma}^{[m+1]} = \mathbf{s}^{[m+1]} - p^{[m+1]} \mathbf{I}$$

(vii) Update the local damage (use the modified form for  $Y$  if the crack  $h > 0$ )

$$D^{[m+1]} = \begin{cases} D^{[m]} & \text{if } \eta \leq -\frac{1}{3} \text{ or } D_*^{[m+1]} \geq D_c \\ \max \left[ D^{[m]} + \frac{\bar{\epsilon}_p}{1 - \bar{D}_*^{[m+1]}} \left( \frac{-Y}{S(\eta, \xi)} \right)^b, D_c \right] & \text{if } \eta > -\frac{1}{3} \text{ and } D_*^{[m+1]} < D_c \end{cases} \quad (40)$$

(vii) Implicitly solve diffusion equation for non-local damage

$$\bar{D}^{[m+1]} - l_c^2 \nabla^2 \bar{D}^{[m+1]} = D^{[m+1]} \quad (41)$$

(viii) Limit the non-local damage

$$\bar{D}^{[m+1]} = \max(\bar{D}^{[m+1]}, D_c) \quad (42)$$


---

these voids' growth. Defining material degradation merely in terms of the growth of voids is limited, however. Gurson's framework was developed further by Tvergaard and Needleman [75] who developed mechanisms to account for the nucleation and coalescence of voids. In order to be consistent with how the GTN model is typically described in the literature [104, 105] and to present the equations in the form that they are implemented in the developed codes, the equivalent stress  $\tau_{eq}$  is described using the symbol  $q$  and the pressure  $\tau_h$  is denoted by the symbol  $p$ .

### 3.7 Evolution of Voids

The growth and nucleation of voids characterise the evolution of the porosity  $f$ :

$$\dot{f} = \dot{f}_{growth} + \dot{f}_{nucleation} \quad (43)$$

The growth of the voids is derived from the principle of mass conservation. It is given as a function of the strain rate:

$$\dot{f}_{growth} = (1 - f) \operatorname{tr}(\dot{\epsilon}_p) \quad (44)$$

Where  $\dot{\epsilon}_p$  is the plastic strain rate.

The term for the nucleation of new voids is given by:

$$\dot{f}_{nucleation} = A \dot{\bar{\epsilon}}_p \quad (45)$$

Where  $\dot{\bar{\epsilon}}_p$  is the rate of change of the equivalent plastic strain and  $A$  is a dimensionless coefficient. The nucleation of new voids is assumed to be described by a normal distribution [99] giving the following expression for the coefficient  $A$ :

$$A = \begin{cases} \frac{f_n}{S_n \sqrt{2\pi}} \exp \left[ -\frac{1}{2} \left( \frac{\bar{\epsilon}_p - \epsilon_n}{S_n} \right)^2 \right] & \text{if } p > 0 \\ 0 & \text{if } p < 0 \end{cases} \quad (46)$$

where  $f_n$  is the quantity of the voids nucleated per unit volume,  $\epsilon_n$  is the nucleation strain and  $S_n$  is the standard deviation.

The variable  $f$  is coupled with the plasticity model through the yield equation

$$\phi = \left( \frac{q}{\sigma_y} \right)^2 + 2q_1 f^* \cosh \left( \frac{3q_2 p}{2\sigma_y} \right) - (1 - q_1^2 f^{*2}) \quad (47)$$

Where  $q_1$  and  $q_2$  are material parameters,  $q$  is the von Mises stress,  $p$  is the hydrostatic pressure and  $\sigma_y$  is the yield stress. Here  $f^*$  is the effective void fraction and is a function of the porosity variable defined above. It is introduced to account for the effects of void coalescence:

$$f^* = \begin{cases} f & \text{if } f \leq f_c \\ f_c + (f - f_c) \frac{f_u - f_c}{f_f - f_c} & \text{if } f > f_c \end{cases} \quad (48)$$

Where  $f_c$  is the void volume fraction at which the coalescence of voids begins,  $f_u$  is the ultimate volume fraction and  $f_f$  represents the void volume fraction at fracture.

Plastic isotropy and plastic associativity are assumed as in Bettaieb et al. [104]. The following relation can therefore be obtained:

$$\dot{\boldsymbol{\epsilon}}^p = \dot{\gamma} \frac{\partial \phi}{\partial \boldsymbol{\tau}} \quad (49)$$

This equation is reformulated in terms of the von Mises equivalent stress  $q$  and the pressure  $p$  to obtain:

$$\dot{\boldsymbol{\epsilon}}^p = \dot{\gamma} \frac{\partial \phi}{\partial q} \frac{\partial q}{\partial \boldsymbol{\tau}} + \dot{\gamma} \frac{\partial \phi}{\partial p} \frac{\partial p}{\partial \boldsymbol{\tau}} \quad (50)$$

Using the definitions of  $q$  and  $p$ :

$$\frac{\partial q}{\partial \boldsymbol{\tau}} = \mathbf{n} \quad (51)$$

$$\frac{\partial p}{\partial \boldsymbol{\tau}} = \frac{1}{3} \mathbf{I} \quad (52)$$

where  $\mathbf{n}$  is given by:

$$\frac{3}{2q} \tau_d. \quad (53)$$

Equation 50 can therefore be written as:

$$\dot{\boldsymbol{\epsilon}}^p = \dot{\gamma} \left( \frac{\partial \phi}{\partial q} \mathbf{n} + \frac{1}{3} \frac{\partial \phi}{\partial p} \mathbf{I} \right) \quad (54)$$

Which is equivalent to:

$$\dot{\boldsymbol{\epsilon}}^p = \frac{1}{3} \dot{\epsilon}^h \mathbf{I} + \dot{\epsilon}^q \mathbf{n} \quad (55)$$

Where:

$$\dot{\epsilon}^h = \dot{\gamma} \frac{\partial \phi}{\partial p} \quad (56)$$

$$\dot{\epsilon}^q = \dot{\gamma} \frac{\partial \phi}{\partial q} \quad (57)$$

Eliminating  $\dot{\gamma}$  from equations 56 and 57 leads to the consistency equation:

$$\dot{\epsilon}^h \frac{\partial \phi}{\partial q} - \dot{\epsilon}^q \frac{\partial \phi}{\partial p} = 0 \quad (58)$$

Assuming the equivalent plastic work principle, there is an equivalence between the rates of macroscopic and matrix plastic work:

$$\dot{\bar{\epsilon}}^p = \frac{\boldsymbol{\sigma} : \dot{\boldsymbol{\epsilon}}^p}{(1-f)\sigma_y} \quad (59)$$

Substituting in equation 55, the equation for the equivalent plastic strain is obtained in its final form:

$$\dot{\bar{\epsilon}}^p = \frac{p \dot{\epsilon}^h + q \dot{\epsilon}^q}{(1-f)\sigma_y} \quad (60)$$

### 3.8 Model formulation

The following system of equations, therefore, governs the material behaviour:

$$\left\{ \begin{array}{l} \phi = \left( \frac{q}{\sigma_y} \right)^2 + 2q_1 f^* \cosh \left( \frac{3q_2 p}{2\sigma_y} \right) - (1 - q_1^2 f^{*2}) = 0 \\ \dot{\epsilon}_{n+1}^h \frac{\partial \phi}{\partial q} - \dot{\epsilon}_{n+1}^q \frac{\partial \phi}{\partial p} = \mathbf{0} \\ \dot{\bar{\epsilon}}^p = \frac{p \dot{\epsilon}^h + q \dot{\epsilon}^q}{(1-f)\sigma_y} \\ \dot{f} = (1-f) \text{tr}(\dot{\epsilon}_p) + A \dot{\bar{\epsilon}}_p \end{array} \right. \quad (61)$$

#### 3.8.1 Computational procedure

For each cell, the incremental displacement field  $\Delta \mathbf{u}$  for the current time step, at the current iteration, is given. The values of the following variables from the previous time step are also provided:

$$\{F_n^p, \bar{\epsilon}_n^p, f_n\} \quad (62)$$

The goal is then to have a computational procedure to obtain the following variables at time  $t_{n+1}$

$$\{F_{n+1}^p, \bar{\epsilon}_{n+1}^p, \sigma_{n+1}, f_{n+1}\} \quad (63)$$

This is achieved in the material solver by solving the set of equations laid out in equation 61.

Equation 3.30 is given in terms of the GTN yield equation:

$$\dot{\mathbf{F}}^p \mathbf{F}^{p-1} = \Delta \gamma \mathbf{R}^{eT} \frac{\partial \phi}{\partial \boldsymbol{\tau}} \mathbf{R}^e \quad (64)$$

Employing the exponential map backward scheme for the time-discretisation of the plastic flow rule, one can obtain:

$$\mathbf{F}_{n+1}^p = \exp \left[ \Delta \gamma \mathbf{R}_{n+1}^{eT} \frac{\partial \phi}{\partial \boldsymbol{\tau}_{n+1}} \mathbf{R}_{n+1}^e \right] \mathbf{F}_n^p \quad (65)$$

Due to plastic isotropy this equation can be written as:

$$\mathbf{F}_{n+1}^p = \mathbf{R}_{n+1}^{eT} \exp \left[ \Delta \gamma \frac{\partial \phi}{\partial \tau_{n+1}} \right] \mathbf{R}_{n+1}^e \mathbf{F}_n^p \quad (66)$$

Subbing in equation 49

$$\mathbf{F}_{n+1}^p = \mathbf{R}_{n+1}^{eT} \exp [\Delta \epsilon_{n+1}^p] \mathbf{R}_{n+1}^e \mathbf{F}_n^p \quad (67)$$

Using the same procedure laid out in section 3.2.3, the additive split for the elastic and plastic contributions is obtained:

$$\boldsymbol{\epsilon}_{n+1}^e = \boldsymbol{\epsilon}_{n+1}^{e \text{ trial}} - \Delta \boldsymbol{\epsilon}_{n+1}^p \quad (68)$$

An explicit-implicit algorithm is used to solve the system of equations 61. The first three equations are solved implicitly for the variables  $\Delta \epsilon_{n+1}^h$ ,  $\Delta \epsilon_{n+1}^q$  and  $\Delta \bar{\epsilon}^p$ . The porosity  $f_{n+1}$  is

then solved for explicitly. Using the fact that:

$$p_{n+1} = p_{\text{trial}} - K\Delta\varepsilon_{n+1}^h \quad (69)$$

$$q_{n+1} = q_{\text{trial}} - 3\mu\Delta\varepsilon_{n+1}^q \quad (70)$$

The system of equations to be solved,  $r_i$ , implicitly are given by

$$\left\{ \begin{array}{l} r_{\Delta\varepsilon_{n+1}^q} = \left( \frac{q_{\text{trial}} - 3\mu\Delta\varepsilon_{n+1}^q}{\sigma_y(\bar{\varepsilon}^p + \Delta\bar{\varepsilon}^p_{n+1})} \right)^2 + 2q_1 f_{n+1}^{*i} \cosh \left( \frac{3q_2(p_{\text{trial}} - K\Delta\varepsilon_{n+1}^h)}{2\sigma_y(\bar{\varepsilon}^p + \Delta\bar{\varepsilon}^p_{n+1})} \right) - \left( 1 - q_1^2 f_{n+1}^{*i2} \right) = 0 \\ r_{\Delta\varepsilon_{n+1}^h} = \Delta\varepsilon_{n+1}^h \frac{\partial\phi}{\partial q} - \Delta\varepsilon_{n+1}^q \frac{\partial\phi}{\partial p} = 0 \\ r_{\Delta\bar{\varepsilon}^p_{n+1}} = \Delta\bar{\varepsilon}^p_{n+1} - \frac{p\Delta\varepsilon_{n+1}^h + q\Delta\varepsilon_{n+1}^q}{(1-f_{n+1}^i)\sigma_y(\bar{\varepsilon}^p + \Delta\bar{\varepsilon}^p_{n+1})} = 0 \end{array} \right. \quad (71)$$

The group of unknowns associated with this system of equations is given by  $x_i$

$$x_i = \left\{ \begin{array}{c} \Delta\varepsilon_{n+1}^q \\ \Delta\varepsilon_{n+1}^h \\ \Delta\bar{\varepsilon}^p \end{array} \right\} \quad (72)$$

The system of equations is solved at the centre of each volume using the Newton-Raphson method

$$\mathbf{x}_{in+1} = \mathbf{x}_{in} - \left[ \frac{\partial \mathbf{r}_i}{\partial \mathbf{x}_i} \Big|_{\mathbf{x}_n} \right]^{-1} \mathbf{r}_i(\mathbf{x}_n) \quad (73)$$

$\frac{\partial r_i}{\partial x_i}$  is the Jacobian of the equations  $r_i$  with respect to the variables  $x_i$  given by:

$$\frac{\partial \mathbf{r}_i}{\partial \mathbf{x}_i} = \begin{bmatrix} \frac{\partial r_{\Delta\varepsilon_{n+1}^q}}{\partial \Delta\varepsilon_{n+1}^q} & \frac{\partial r_{\Delta\varepsilon_{n+1}^q}}{\partial \Delta\varepsilon_{n+1}^h} & \frac{\partial r_{\Delta\varepsilon_{n+1}^q}}{\partial \Delta\bar{\varepsilon}^p_{n+1}} \\ \frac{\partial r_{\Delta\varepsilon_{n+1}^h}}{\partial \Delta\varepsilon_{n+1}^q} & \frac{\partial r_{\Delta\varepsilon_{n+1}^h}}{\partial \Delta\varepsilon_{n+1}^h} & \frac{\partial r_{\Delta\varepsilon_{n+1}^h}}{\partial \Delta\bar{\varepsilon}^p_{n+1}} \\ \frac{\partial r_{\Delta\bar{\varepsilon}^p_{n+1}}}{\partial \Delta\varepsilon_{n+1}^q} & \frac{\partial r_{\Delta\bar{\varepsilon}^p_{n+1}}}{\partial \Delta\varepsilon_{n+1}^h} & \frac{\partial r_{\Delta\bar{\varepsilon}^p_{n+1}}}{\partial \Delta\bar{\varepsilon}^p_{n+1}} \end{bmatrix} \quad (74)$$

Using simple multivariable calculus operations these constituent partial derivatives of the Jacobian can then be derived. These derivatives are provided in Appendix C \*\*. After solving for these variables ( $\Delta\varepsilon_{n+1}^h$ ,  $\Delta\varepsilon_{n+1}^q$  and  $\Delta\bar{\varepsilon}^p$ ) the equivalent plastic strain, elastic strain, pressure, von Mises stress and stress can then be updated:

$$\bar{\varepsilon}_{n+1}^p = \bar{\varepsilon}_n^p + \Delta\bar{\varepsilon}_{n+1}^p \quad (75)$$

$$\boldsymbol{\varepsilon}_{n+1}^e = \boldsymbol{\varepsilon}_{\text{trial}}^e - \frac{1}{3}\Delta\varepsilon_{n+1}^h \mathbf{I} - \Delta\varepsilon_{n+1}^q \mathbf{n} \quad (76)$$

$$p_{n+1} = p_{\text{trial}} - K\Delta\varepsilon_{n+1}^h \quad (77)$$

$$q_{n+1} = q_{\text{trial}} - 3\mu\Delta\varepsilon_{n+1}^q \quad (78)$$

$$\boldsymbol{\tau}_{n+1} = p_{n+1} \mathbf{I} + \frac{2q_{n+1}}{3} \mathbf{n} \quad (79)$$

The porosity can then be determined:

$$f_{n+1}^{i+1} = f_n + (1 - f_{n+1}^i) \operatorname{tr}(\Delta \varepsilon_{n+1}^p) + A \Delta \bar{\varepsilon}_{n+1}^p \quad (80)$$

Where  $f_{n+1}^{i+1}$  is the porosity for the current iteration and  $f_{n+1}^i$  is the porosity from the previous iteration. The effective porosity  $f_{n+1}^{*i+1}$  is then calculated according to equation 48. This procedure is provided in Algorithm 5.



---

**Algorithm 4:** GTN model

---

(i) Update relative deformation for given incremental displacement

$$\mathbf{f}_{n+1} = \mathbf{I} + \nabla [\Delta \mathbf{u}]$$

$$\mathbf{F}_{n+1} = \mathbf{f}_{n+1} \mathbf{F}_n$$

(ii) Compute trial elasticity

$$\mathbf{B}_n^e = \exp[2\boldsymbol{\varepsilon}_n^e]$$

$$\mathbf{B}_{trial}^e = \mathbf{f}_{n+1} \mathbf{B}_n^e (\mathbf{f}_{n+1})^T$$

$$\boldsymbol{\varepsilon}_{trial}^e = \frac{1}{2} \ln[\mathbf{B}_{trial}^e]$$

$$\bar{\varepsilon}_{trial}^p = \bar{\varepsilon}_n^p$$

$$p_{trial} = K \operatorname{tr}(\boldsymbol{\varepsilon}_{trial}^e)$$

$$\mathbf{s}_{trial} = 2G \operatorname{dev}(\boldsymbol{\varepsilon}_{trial}^e)$$

$$q_{trial} = \sqrt{\frac{3}{2}} \|2G \operatorname{dev}(\boldsymbol{\varepsilon}_{trial}^e)\|$$

$$\mathbf{n} = \frac{3 \mathbf{s}_{trial}}{2 q_{trial}}$$

$$f_{trial} = \left( \frac{q_{trial}}{\sigma_y} \right)^2 + q_1 f_{n+1}^{*i} \cosh \left( \frac{3q_2 p_{trial}}{2\sigma_y} \right) - \left( 1 - q_1^2 f_{n+1}^{*i} \right)^2$$

**if**  $f_{trial} > 0$  **then**

| go to step iii

**else**

| set  $\Delta \varepsilon_{n+1}^h$ ,  $\Delta \varepsilon_{n+1}^q$  and  $\Delta \bar{\varepsilon}_{n+1}^p$  to 0. Go to step iv

**end**

(iii) Enter small strain return map and solve the system of equations (equation 71) for

$$\Delta \varepsilon_{n+1}^h, \Delta \varepsilon_{n+1}^q \text{ and } \Delta \bar{\varepsilon}_{n+1}^p$$

(iv) Update Constitutive Variables

$$\bar{\varepsilon}_{n+1}^p = \bar{\varepsilon}_n^p + \Delta \bar{\varepsilon}_{n+1}^p$$

$$\boldsymbol{\varepsilon}_{n+1}^e = \boldsymbol{\varepsilon}_{trial}^e - (1/3) \Delta \varepsilon_{n+1}^h \mathbf{I} - \Delta \varepsilon_{n+1}^q \mathbf{n}$$

$$\boldsymbol{\tau}_{n+1} = (p_{trial} - K \Delta \varepsilon_{n+1}^h) \mathbf{I} + \frac{2(q_{trial} - 3\mu \Delta \varepsilon_{n+1}^q)}{3} \mathbf{n}$$

$$\boldsymbol{\sigma}_{n+1} = \frac{1}{J} \boldsymbol{\tau}_{n+1}$$

(v) Calculate the porosity  $f_{n+1}^{i+1}$  and the effective porosity  $f_{n+1}^{*i+1}$

---

### 3.8.2 Shear effects

In the last 15 years, further developments of the GTN model have been made to better account for fracture in stress states where shearing is present [77, 105–107]. In order to account for shearing effects, the expression developed by Nahshon and Hutchinson [77] is introduced in this work. This formulation has shown an ability to accurately predict fracture in the blanking metal forming

process [105]. It results in the following equation for the evolution of porosity:

$$\dot{f} = \dot{f}_{growth} + \dot{f}_{nucleation} + \dot{f}_{shear} \quad (81)$$

where

$$\dot{f}_{shear} = k_w \frac{fw(\boldsymbol{\sigma})}{q} \mathbf{s} : \dot{\boldsymbol{\epsilon}}_p \quad (82)$$

where  $k_w$  is a material parameter and  $w(\boldsymbol{\sigma})$  is given by

$$w(\boldsymbol{\sigma}) = 1 - (\xi)^2 \quad (83)$$

### 3.8.3 Non-local porosity

In order to mitigate the mesh dependency that may occur for the GTN model, non-local gradient approaches have also been incorporated into Gurson-type models [107, 108]. The porosity variable is diffused over the mesh through the non-local gradient equation as in section 4.2.6

$$(f - \bar{f}) + l_c^2 \nabla^2 f = 0 \quad (84)$$

The effective porosity  $f^*$  is then calculated according to equation 48 using the non-local porosity  $\bar{f}$ . Where  $f$  appears in the system of equations 71 the non-local porosity is used.

## 4 Phase field fracture model

### 4.1 Introduction

The more novel phase field approach to the prediction of fracture and failure has received increased attention in the past 15 years [19–23, 109, 110]. This approach has shown an ability to predict complex crack patterns, including branching and merging in both two and three dimensional settings [109, 110]. In this method, sharp cracks are regularized over a continuum (Figure 3) leading to a system of partial differential equations that are relatively simple to implement in finite element and volume solvers. The method has proven to be robust with computations being performed on the original mesh without any need to track the interface of the crack such as in the Cohesive-zone model method.

The method was initially proposed by Francfort and Marigo [111] to describe brittle fracture. It is based on the variational approach, with the goal being to minimize a potential energy proposed based on Griffiths theory of brittle fracture. This approach leads to a Mumford-Shah [112] type energy potential that can be approximated by a phase-field formulation following the work of Ambrosio and Tortorelli [113]. This approximation was adopted by Bourdin et al. [114] to facilitate numerical solutions of the variational formulation. This work was further developed by Miehe et al. [110] who derived the phase field approach from continuum mechanics and thermodynamic arguments. In addition to an alternative derivation, Miehe et al. [110] also added an important

mechanism for distinguishing tensile and compressive effects on crack growth as well as the inclusion of a history variable  $\mathcal{H}$  which ensures the irreversibility of crack growth. A number of studies have shown the ability of these models to produce results consistent with several benchmark cases [109, 110].

This approach has since been extended to ductile fracture by Ambati et al. [19], Borden et al. [20] and Miehe et al. [21]. The approach from Ambati et al. [19] involves incorporating a plastic strain dependency in the elastic degradation function while the approach from Miehe et al. [21] incorporates the plastic strain energy into the crack driving variable  $\mathcal{H}$ . Borden et al. [20] uses a similar approach to Miehe et al. [21] by incorporating the plastic strain into the crack driving variable  $\mathcal{H}$  while also introducing a plastic degradation function in order to ensure that fracture is preceded by large plastic strains, as has been shown to be the case experimentally. In this work, the approach from Borden et al. [20] is adopted due to the fact that, by incorporating a plastic degradation function, it displays a more physically realistic fracture behaviour.

## 4.2 Phase Field Damage Model

The original formulation of the potential energy of the body [112] is given in terms of the deformation tensor and the crack surface

$$E(\boldsymbol{\varepsilon}^e, \Gamma_0) = \int_{\Omega_0} \psi(\boldsymbol{\varepsilon}^e) d\Omega_0 + \int_{\Gamma_0} G_c^0 d\Gamma_0 \quad (85)$$

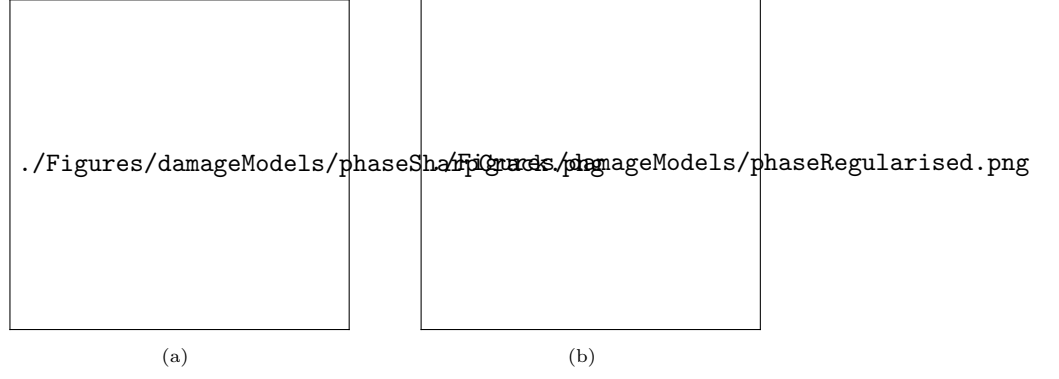
Where  $\psi(\boldsymbol{\varepsilon}^e)$  is the elastic contribution to the potential energy per unit volume and  $G_c$  is the critical fracture energy per unit area. This energy functional is an extension of Griffith's definition of brittle fracture. This definition of the fracture surface however requires an a priori determination of the fracture surface. In order to enable an efficient numerical treatment of equation 85, Bourdin et al. [114] introduced the phase field approximation:

$$E(\boldsymbol{\varepsilon}^e, \Gamma_0) = \int_{\Omega_0} g_e(d) \psi(\boldsymbol{\varepsilon}^e) d\Omega_0 + \int_{\Gamma_0} G_c^0 \Gamma_l(d) d\Omega_0 \quad (86)$$

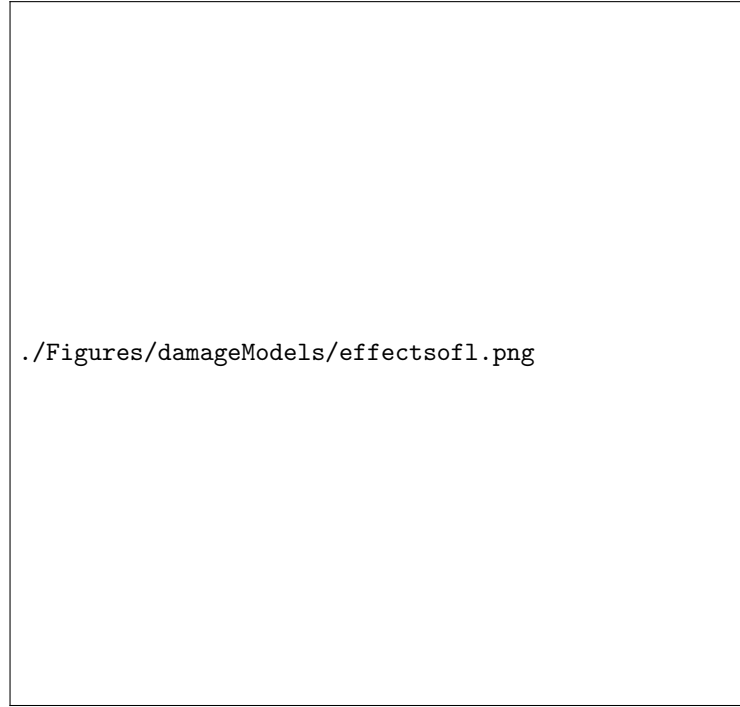
where  $d(x) \in [0, 1]$ ,  $d = 0$  characterises the unbroken state and  $d=1$  characterises the fully broken state. The variable  $d$  is conceptually similar to the damage variable  $D$  used in continuum damage mechanics, with  $d$  being a macroscopic variable that characterizes the growth of micro voids and micro-cracks,  $g_e(d)$  is the elastic degradation function which reduces the elastic strength of the material and  $\Gamma_l$  is the crack density functional, which for the model of Borden et al. [20] is given by

$$\Gamma_l(d) = \frac{1}{l} I(d) = \frac{1}{4l} (d^2 + 4l^2 |\nabla d|) \quad (87)$$

The minimization of this functional gives the regularised crack surface as depicted in Figure 4. The parameter  $l$  is a length scale variable which regularises the crack surface.



**Fig. 3** Comparison of a) sharp crack and b) diffusive crack (adapted from [20])



**Fig. 4** Illustration of the regularising effect of  $l$ , as  $l$  is reduced the crack variable  $d$  is regularised over a smaller area

As in Amor et al. [115], Miehe et al. [116] the elastic strain energy contribution is further split into its tensile and compressive contribution, to ensure that there is no elastic contribution to crack growth when a given volume is under compression

$$E_l(\boldsymbol{\varepsilon}^e, d) = \int_{\Omega_0} (g_e(d) \psi_e^+(\boldsymbol{\varepsilon}^e) + g_e(d) \psi_e^-(\boldsymbol{\varepsilon}^e)) d\Omega_0 + \int_{\Gamma_0} G_c^0 \Gamma_l(d) \Omega_0 \quad (88)$$

Where  $\psi_e^+(\boldsymbol{\varepsilon}^e)$  is the contribution of the tensile strains and  $\psi_e^-(\boldsymbol{\varepsilon}^e)$  is the contribution of the compressive strains. The energy functional in equation 88 only accounts for the elastic contribution to crack growth. For ductile materials, it is necessary to incorporate a plastic energy contribution to the crack growth. To this end, a plastic energy contribution is added to equation 88 in Borden

et al. [20]

$$E_l(\boldsymbol{\varepsilon}^e, \bar{\boldsymbol{\varepsilon}}^p, d) = \int_{\Omega_0} (g_e(d) \psi_e^+(\boldsymbol{\varepsilon}^e) + g_e(d) \psi_e^-(\boldsymbol{\varepsilon}^e) + g_p(d) \psi_p(\bar{\boldsymbol{\varepsilon}}^p)) d\Omega_0 + \int_{\Gamma_0} G_c^0 \Gamma_l(d) d\Omega_0 \quad (89)$$

Where  $g_p(d)$  is the plastic degradation function,  $\psi_p(\bar{\boldsymbol{\varepsilon}}^p)$  is the plastic energy contribution. The plastic and elastic degradation functions are given by:

$$g_e(d) = 1 - d^2 \quad (90)$$

$$g_p(d) = 1 - d^2 \quad (91)$$

### 4.3 Model Formulation

Following the work of Borden et al. [20] the strong form of the phase field equation is given by:

$$\frac{G_c}{2l} (d - 4l^2 \nabla^2 d) = \mathcal{H}(\underline{\mathbf{x}}, t) \quad (92)$$

$$\mathcal{H}(\underline{\mathbf{x}}, t) = g'_e(d) \max(\psi_{e_{n+1}}(\boldsymbol{\varepsilon}^e), \psi_{e_H}(\boldsymbol{\varepsilon}^e)) + g'_p(d) \langle \psi_p(\bar{\boldsymbol{\varepsilon}}^p) - w_0 \rangle \quad (93)$$

where  $\psi_{e_{n+1}}(\boldsymbol{\varepsilon}^e)$  is the elastic energy contribution from the current time step,  $\psi_{e_H}(\boldsymbol{\varepsilon}^e)$  is a history variable which gives the largest value for the elastic energy contribution obtained throughout the simulation,  $\langle \psi_p(\bar{\boldsymbol{\varepsilon}}^p) - w_0 \rangle$  is a Macaulay bracket where  $\psi_p(\bar{\boldsymbol{\varepsilon}}^p)$  is the plastic energy contribution and  $w_0$  is the plastic work threshold, below which the plastic strain will not contribute to crack growth. A Neumann boundary condition  $\nabla d \cdot \mathbf{n} = 0$  is used to solve this equation.

#### 4.3.1 Elastic model

The spherical-deviatoric decomposition of the elastic strain energy is employed as in Amor et al. [115]. Here the elastic strain energy is decomposed into positive and negative components:

$$\psi_e = g_e(d) \psi_e^+(\boldsymbol{\varepsilon}^e) + \psi_e^-(\boldsymbol{\varepsilon}^e) \quad (94)$$

$$\psi_e^+(\boldsymbol{\varepsilon}^e) = \frac{K}{2} \langle \text{tr}(\boldsymbol{\varepsilon}^e) \rangle^2 + \mu \boldsymbol{\varepsilon}_{\text{dev}}^e : \boldsymbol{\varepsilon}_{\text{dev}}^e \quad (95)$$

$$\psi_e^-(\boldsymbol{\varepsilon}^e) = \frac{K}{2} \langle -\text{tr}(\boldsymbol{\varepsilon}^e) \rangle^2 \quad (96)$$

where  $\boldsymbol{\varepsilon}_{\text{dev}}^e = \text{dev}(\boldsymbol{\varepsilon}^e)$ . The positive elastic strain energy is what contributes towards crack driving energy. The stress is therefore given by

$$\boldsymbol{\sigma} = \frac{\partial \psi}{\partial \boldsymbol{\varepsilon}^e} = (1 - ad)^2 K \text{tr}(\boldsymbol{\varepsilon}^e) \mathbf{I} + (1 - d)^2 2\mu \boldsymbol{\varepsilon}_{\text{dev}}^e \quad (97)$$

$$a = \begin{cases} 1 & \text{if } \text{tr}(\boldsymbol{\varepsilon}^e) > 0 \\ 0 & \text{else} \end{cases} \quad (98)$$

### 4.3.2 Elasto-plastic model

The return mapping algorithm which employs the logarithmic (Hencky strain) is employed as laid out in Chapter 3. The standard von Mises yield function is given by

$$F = \tau_{eq} - \sigma_y \quad (99)$$

As previously mentioned, this formulation leads to an unphysical material response when coupled with the phase field model. As the material degrades, the elastic response pulls the stress back within the yield surface, leading to the material failure being preceded by an elastic response. As noted by Borden et al. [20] however, it has been observed experimentally that failure is preceded by plastic strain for ductile materials. In order to account for this behaviour, the plastic degradation function  $g_p(d)$  is incorporated by Borden et al. [20] into the yield function:

$$F = \tau_{eq} - g_p(d)\sigma_y \quad (100)$$

where

$$\tau_{eq} = \sqrt{\frac{3}{2} \boldsymbol{\tau}_d : \boldsymbol{\tau}_d} \quad (101)$$

$$\boldsymbol{\tau}_d = g_e(d) \, 2\mu \, \text{dev}(\boldsymbol{\varepsilon}^e) \quad (102)$$

The plastic energy contribution to the crack growth is given by [117]:

$$\psi_p(\bar{\varepsilon}^p) = \int_0^{\bar{\varepsilon}^p} \sigma_y d\bar{\varepsilon}^p \quad (103)$$

### 4.3.3 Computational Procedure

As has been previously described, the displacement field is solved at each iteration using the stress field calculated from the return mapping scheme. This displacement field is then used to find a new value for the stress from the material model return mapping scheme. This iterative procedure continues until convergence is achieved. For each cell, we are given the incremental displacement field for the current time step  $t_{n+1}$ , at the current outer iteration, denoted by  $\Delta \mathbf{u}_{n+1}^{i+1}$ . The values of the following variables from the previous time step  $t_n$  are also provided:

$$\{\mathbf{F}_n^p, \bar{\varepsilon}_n^p, d_n\} \quad (104)$$

The goal is then for the algorithm to obtain the following variables at time  $t_{n+1}$

$$\{\mathbf{F}_{n+1}^p, \bar{\varepsilon}_{n+1}^p, \boldsymbol{\sigma}_{n+1}, d_{n+1}\} \quad (105)$$

In order to obtain these values, the algorithm must satisfy the following constitutive behaviour:

$$\dot{\mathbf{F}}^p \mathbf{F}^{p-1} = \dot{\gamma} \mathbf{R}^{eT} \frac{\boldsymbol{\tau}_d}{\tau_{eq}} \mathbf{R}^e = \bar{\mathbf{d}}^p \quad (106)$$

$$\dot{\bar{\boldsymbol{\varepsilon}}}^p = \dot{\gamma} \quad (107)$$

Subject to the Kuhn-Tucker conditions

$$\begin{cases} \dot{\gamma} \geq 0 \\ F_p \geq 0 \\ \dot{\gamma} F_p = 0 \end{cases} \quad (108)$$

At each iteration, after the plasticity has been solved for each volume, the phase field crack variable  $d$  is solved for globally (equation 92).

Using the procedure outlined in section 3.2.3, the system of equations to be solved for when the material is undergoing plastic straining is given as:

$$\begin{cases} F_p = \tau_{eq\ n+1} - g_p(d) \sigma_y (\bar{\boldsymbol{\varepsilon}}_{n+1}^p) = 0 \\ \boldsymbol{\varepsilon}_{n+1}^e = \boldsymbol{\varepsilon}_{n+1}^{e\ trial} - \frac{3}{2} \Delta \gamma \frac{\boldsymbol{\tau}_{n+1}}{\tau_{eq\ n+1}} \\ \bar{\boldsymbol{\varepsilon}}_{n+1}^p = \bar{\boldsymbol{\varepsilon}}_n^p + \Delta \gamma \end{cases} \quad (109)$$

These equations are satisfied by solving equation 110 using the Newton-Raphson method

$$q_{n+1}^{\text{trial}} - g_p(d) 3\mu \Delta \gamma - g_p(d) \sigma_y (\bar{\boldsymbol{\varepsilon}}_n^p + \Delta \gamma) = 0 \quad (110)$$

The algorithm for this entire procedure is given in Algorithm 6.

---

**Algorithm 5:** Phase-Field Model

---

(i) Update Relative Deformation for given incremental displacement

$$\mathbf{f}_{n+1} = \mathbf{I} + \nabla [\Delta \mathbf{u}]$$

$$\mathbf{F}_{n+1} = \mathbf{f}_{n+1} \mathbf{F}_n$$

(ii) Compute trial elasticity

$$\mathbf{B}_n^e = \exp[2\boldsymbol{\varepsilon}_n^e]$$

$$\mathbf{B}_{trial}^e = \mathbf{f}_{n+1} \mathbf{B}_n^e (\mathbf{f}_{n+1})^T$$

$$\boldsymbol{\varepsilon}_{trial}^e = \frac{1}{2} \ln[\mathbf{B}_{trial}^e]$$

$$\bar{\varepsilon}_{trial}^p = \bar{\varepsilon}_n^p$$

$$q_{trial} = g_e(d) \sqrt{\frac{3}{2}} \|2\mu dev(\boldsymbol{\varepsilon}^e)\|$$

$$f_{trial} = q_{trial} - g_e(d) \sigma_y(\varepsilon_{trial}^{PEq})$$

**if**  $f_{trial} > 0$  **then**

    | go to step iii to solve for  $\Delta\gamma$

**else**

    |  $\Delta\gamma = 0$  and go to step iv

**end**

(iii) Enter small strain return map and solve for  $\Delta\gamma$  using the Newton-Raphson to solve the equation

$$q_{trial} - g_p(d) 3\mu \Delta\gamma - g_p(d) \sigma_y(\bar{\varepsilon}_n^p + \Delta\gamma) = 0$$

(iv) Update elastic strain (v) Update stress tensor (section 4.4.4) (vi) Solve for phase field variable  $d$  using previously calculated values for coupled fields

$$\frac{G_c}{2l} (d - 4l^2 \nabla^2 d) = \mathcal{H}(\underline{x}, t) \quad (111)$$

---

## 5 Test Cases

This section assesses the performance of the proposed procedures on three benchmark test cases: (i) 2-D axisymmetric notched round bar, (ii) 3-D flat notched bar, and (iii) 2-D axisymmetric wire drawing. Comparisons are made with predictions for finite element software Abaqus and other results from the literature. Linear interpolation functions are used in the finite element simulations (Abaqus element code C3D8T for 3-D and CAX4RT for axisymmetry). For reference, single-cell verifications of the proposed material models are provided in Appendix A, providing confidence that the constitutive laws are implemented as intended.

**In all of the following simulations conducted on OpenFOAM, the Rhie-Chow scale factor is set at 0.01 All simulations were run using 8 CPU cores (Intel Xeon 6152).**



## 5.1 Notched Round Bar

The geometry of the notched round bar (Figure 5.1) has been widely used in the literature [118–120] for benchmarking plasticity and damage procedures. The geometry consists 40 mm long round bar with 18 mm diameter including a 4 mm notch. A 2-D axisymmetric model is created, including a horizontal symmetry plane. A structured quadrilateral mesh is employed (Figure 6).



**Fig. 5** Geometry of the notched round bar

A vertical displacement of 1.0 mm is applied to the upper boundary over 100 quasi-static loading steps, corresponding to 0.01 mm increments.

Table 1 gives the assumed elastoplastic material parameters.

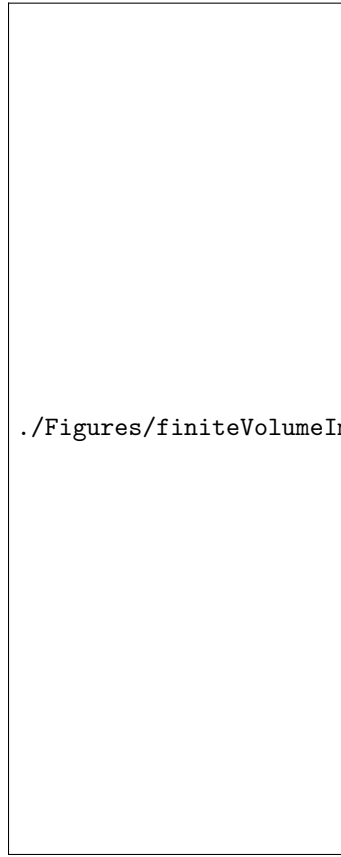
| Property        | Symbol     | Value  |
|-----------------|------------|--|
| Young's modulus | $E$        | 69 GPa                                       |
| Poisson's ratio | $\nu$      | 0.3  |
| Hardening law   | $\sigma_y$ | $589(0.0001 + \bar{\epsilon}^p)^{0.216}$ MPa |

**Table 1** Material properties for the notched round bar

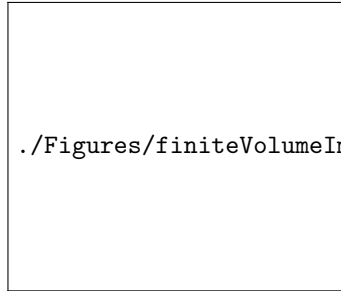
## 5.2 Flat Notched Bar

The 3-D flat notched tensile specimen (Figure 5.2) is another common test case for assessing damage models, for example, as examined by Borden et al. [20] and Eldahshan et al. [121]. The geometry consists of  $152.4 \times 25.4$  mm plate with a 4.06 mm diameter side notches. The solution domain consists of one-quarter of the specimen by exploiting three symmetry planes. A structured hexahedral mesh is employed (Figure ??).

A vertical displacement of 1.143 mm is applied to the upper boundary over 100 quasi-static loading steps, corresponding to 0.01143 mm increments.



(a) 8000 cell mesh



(b) Marked cell in pink where results are analysed

**Fig. 6** Notched round bar mesh

Table 2 gives the assumed elastoplastic material parameters.

| Property        | Symbol     | Value                           |
|-----------------|------------|---------------------------------|
| Young's modulus | $E$        | 68.8 GPa                        |
| Poisson's ratio | $\nu$      | 0.33                            |
| Hardening law   | $\sigma_y$ | $320 + 688\bar{\epsilon}^P$ MPa |

**Table 2** Material properties for the flat notched bar

Before verifying the damage and fracture models, the implementation of the plasticity model described in chapter 3 is verified by comparing its results to (i) the elasto-plastic model implemented by Clancy [11]) in OpenFOAM that uses the logarithmic strain and the Mandel stress [84], (ii) to

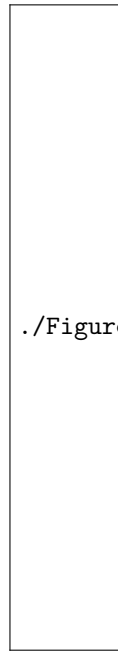


**Fig. 7** Geometry of the flat notched bar

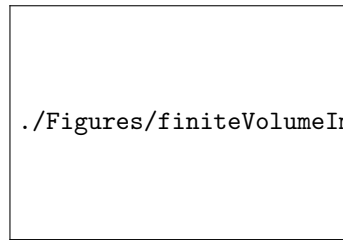
the elasto-plastic model implemented in OpenFOAM by Cardiff et al. [13], which uses the Green strain tensor and the return mapping algorithm described by Simo and Hughes [81] and (iii) to results obtained from simulations conducted in Abaqus.

### 5.2.1 Reaction force vs displacement

It can be seen in Figure 5.11 that the results align very well, verifying the implementation of this model. It is worth noting that the reaction force for both the Clancy [11] implementation and the implementation of this work (which both use the logarithmic strain) is slightly less than that given by the Cardiff et al. [13] implementation and the Abaqus simulation in the latter stages of the deformation. It is unclear exactly why this is, though it could be related to the fact that formulations which employ the logarithmic strain are not exactly mathematically equivalent to those which employ the Green strain tensor.



(a) 10000  
cell mesh



(b) Marked cell in pink where results  
are analysed

**Fig. 8** Flat notched bar mesh



`./Figures/finiteVolumeImplementation/inhomogenousDeformation/forceDispAxi.png`

(a) Notched round bar



`./Figures/finiteVolumeImplementation/inhomogenousDeformation/forceDispBorden.png`

(b) Flat notched bar

**Fig. 9** Force-displacement curves

### 5.2.2 Results at cell centre of interest

The results at the centroid of a chosen cell (marked in pink in Figures 5.9 and 5.10) are here compared for the implementation of this work, the Cardiff et al. [13] implementation and the results from Abaqus. It is noticeable that in both test cases, the equivalent plastic strain is marginally greater in the OpenFOAM simulations than the Abaqus ones in the latter stages of the deformation. As can be seen in Appendix F, this discrepancy is unrelated to the time-step or mesh size discretisation error. Throughout this chapter, relatively fine meshes and small time steps are used to ensure that this form of error is negligible.


It is unclear exactly why this difference (or other small differences which will be encountered) later in the chapter exists, though it could be related to the fact that in Abaqus the Jaumann rate is used to update the stress tensor [122], unlike in OpenFOAM.

### 5.2.3 Notched round bar

For the NRB, the results for the cell at the centre of the specimen are compared (Figure 5.9). This cell is chosen because it is where fracture initiates for both the Lemaitre and GTN model simulations that will be encountered later in this chapter.




**Fig. 10** Equivalent plastic strain vs. displacement



`./Figures/plasticityCompare/axiCompare/disp_sigmaEq.png`

(a) Equivalent stress vs. displacement



`./Figures/plasticityCompare/axiCompare/disp_Pressure.png`

(b) Pressure vs. displacement



`./Figures/plasticityCompare/axiCompare/disp_sigmaYY.png`

(c) Sigma YY vs. displacement

**Fig. 11** Comparison of stress state


#### 5.2.4 Flat notched bar

For the FNB, results are shown for the cell that is  $6.912\text{ mm}$  to the right of the centre of this specimen. This cell is chosen because it is where fracture initiates for the Lemaitre model (Figure 5.10).




**Fig. 12** Equivalent plastic strain vs. displacement






./Figures/plasticityCompare/bordenCompare/disp\_sigmaEq.png

(a) Equivalent stress vs. displacement



./Figures/plasticityCompare/bordenCompare/disp\_Pressure.png

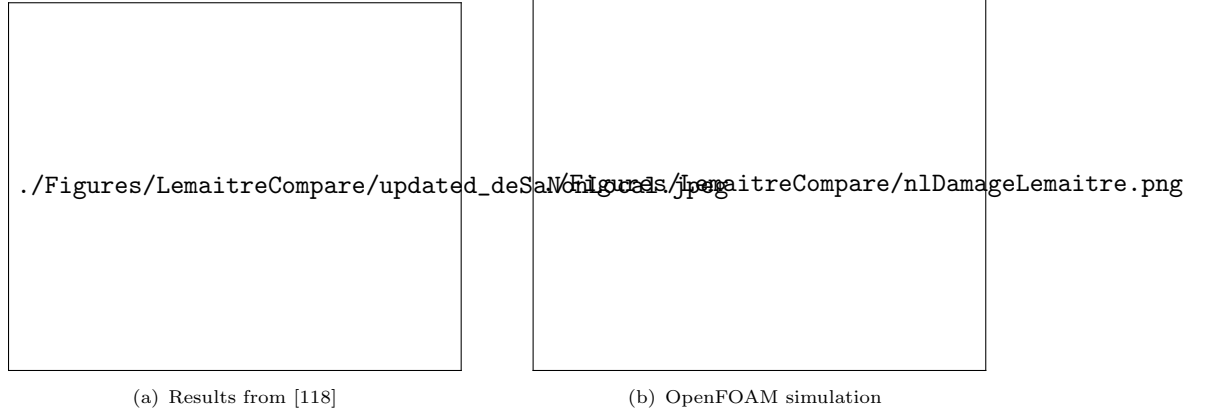
(b) Pressure vs. displacement



./Figures/plasticityCompare/bordenCompare/disp\_sigmaYY.png

(c) Sigma YY vs. displacement

**Fig. 13** Comparison of stress state



**Fig. 14** Comparison of non-local damage distribution

### 5.2.5 Lemaitre model

| Property                    | Symbol     | Value  |
|-----------------------------|------------|--|
| Young's modulus             | $E$        | 69.9 GPa                                     |
| Poisson's ratio             | $\nu$      | 0.3  |
| Lemaitre damage denominator | $S_0$      | 1.1 MPa                                      |
| Lemaitre damage exponent    | $b$        | 1.0  |
| Characteristic Length       | $l_c$      | 0.6325 mm                                    |
| Hardening law               | $\sigma_y$ | $589(0.0001 + \bar{\epsilon}^p)^{0.216}$ MPa |

**Table 3** Material properties for the NRB

A displacement of 0.8 mm is applied to the top boundary of the NRB specimen, with 800 time steps used in these simulations corresponding to displacement increments of 0.001 mm. The material properties in Table 5.7 are taken from César de Sá et al. [118].

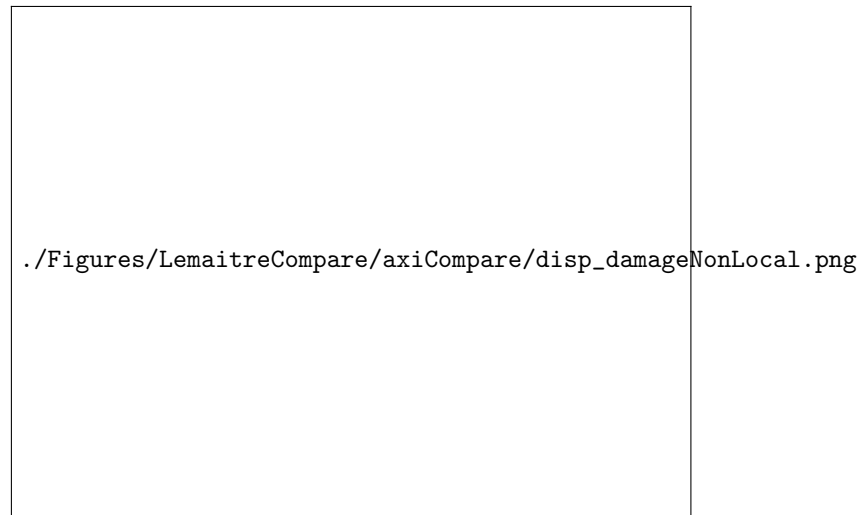
The distribution of the non-local damage at a displacement of 0.6 mm in the OpenFOAM simulation is compared with the results provided in César de Sá et al. [118] in Figure 5.16. In both of these cases the non-local damage reaches a maximum of  $\approx 0.5$ , it is clear that in both of these simulations the distribution of the damage over the specimen follows a similar pattern.

### 5.2.6 Lemaitre model: Abaqus vs. OpenFOAM

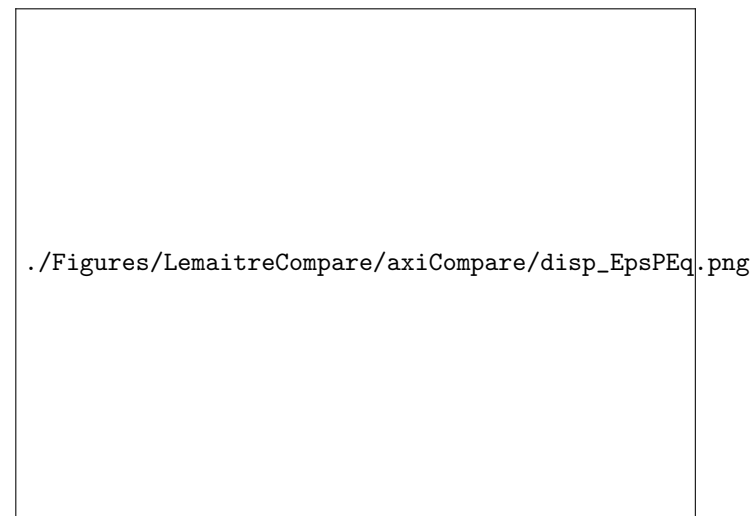
In this sub-section results from the OpenFOAM and Abaqus implementations of the non-local gradient Lemaitre model are compared. It can be seen in Figure 5.17, that the OpenFOAM simulation of the non-local gradient Lemaitre model localises at a slightly quicker rate than the Abaqus implementation. This has been encountered earlier in the comparison of the equivalent plastic strain in section 5.3.2. The marginally faster increase of the equivalent plastic strain and damage results in a slightly quicker loss of load-carrying capacity for the specimen, as can be observed in Figure 5.18.



**Fig. 15** Force vs. displacement



(a) Non-local damage vs. displacement (mm)



(b) Equivalent plastic strain vs. displacement

**Fig. 16** Abaqus and OpenFOAM implementations comparison

## Flat notched bar


Simulations are also performed on the flat notched bar case. Displacement increments of  $0.001143 \text{ mm}$  are applied by default in this case until the rapid loss of load-carrying capacity is observed. For the Abaqus case, the option was given for the simulation to use displacement increments as small as  $0.00001143 \text{ mm}$ , via the adaptive time stepping scheme available in this software.

| Property                    | Symbol     | Value   |
|-----------------------------|------------|---|
| Young's modulus             | $E$        | 68.9 GPa  |
| Poisson's ratio             | $\nu$      | 0.33  |
| Lemaitre damage denominator | $S_0$      | 0.5 MPa   |
| Lemaitre damage exponent    | $b$        | 1.0   |
| Characteristic Length       | $l_c$      | 0.6325 mm                                       |
| Hardening law               | $\sigma_y$ | $320 + 688 \times \bar{\epsilon}^p \text{ MPa}$ |

**Table 4** Material properties for the FNB

It is clear from Figure 5.19 that the results align well. Again it can be observed that there are slightly quicker increases in the damage and equivalent plastic strain for the OpenFOAM simulation (Figure 5.20). It is worth noting that Abaqus struggles to converge in the rapid crack propagation stage of this simulation, with it requiring very small displacement increments until it eventually crashes after a total displacement of  $0.463 \text{ mm}$ . By contrast, no such issues were encountered with the OpenFOAM simulation.

Further tests were conducted for different values of  $S_0$  (Figure 5.21). As the value of  $S_0$  is increased, the rapid crack propagation occurs later in the deformation process. It is noticeable that the discrepancies between OpenFOAM and Abaqus simulations are larger the later in the deformation process that fracture occurs.



`./Figures/LemaitreCompare/borden/bordenDispForce.png`

**Fig. 17** Force vs. displacement



(a) Displacement vs. non-local Damage



(b) Displacement vs. equivalent plastic strain

**Fig. 18** Abaqus and OpenFOAM implementations comparison

./Figures/LemaitreCompare/borden/lemaitreBordenCompare.png

**Fig. 19** Force vs. displacement for different values of  $S_0$

### 5.2.7 GTN model

| Property           | Symbol          | Value   |
|--------------------|-----------------|---|
| Young's modulus    | $E$             | 69 GPa  |
| Poisson's ratio    | $\nu$           | 0.3   |
| q1                 | $q1$            | 1.5   |
| q2                 | $q2$            | 1   |
| q3                 | $q3$            | 2.25  |
| Initial porosity   | $f_0$           | 0.002   |
| Mean               | $\varepsilon_n$ | 0.15  |
| Standard deviation | $S_n$           | 0.08  |
| Volume fraction    | $f_N$           | 0.2   |
| Hardening law      | $\sigma_y$      | $589(0.0001 + \bar{\varepsilon}^p)^{0.216}$ MPa |

**Table 5** Material properties for the NRB

In this section, simulations are conducted on the implemented GTN model in OpenFOAM as well as on Abaqus using the inbuilt GTN model. A displacement of  $0.5 \text{ mm}$  is applied. Increments of displacement of  $0.001 \text{ mm}$  are applied by default. The Abaqus simulation is given the option to use increments as small as  $0.00001 \text{ mm}$  to aid with convergence.

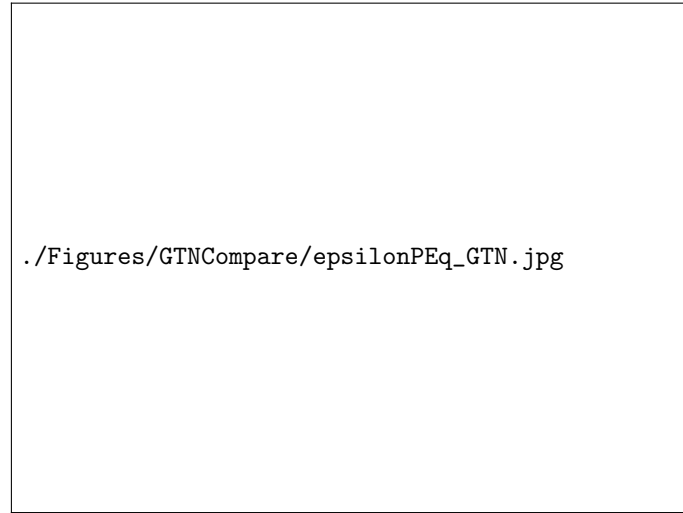
In Figure 5.22, it can be seen that the results align reasonably well. As with the simulations conducted with the Lemaitre model, quicker localisation can be observed. A feature of this test case is the sharp crack propagation (Figure 5.23). The Abaqus simulation has convergence issues and eventually crashes at a displacement of  $0.371 \text{ mm}$ . No such convergence issues were encountered with OpenFOAM. The superior convergence abilities of the OpenFOAM simulations are likely due to the fact that it uses the segregated-solution procedure (Section 2.7.3). By contrast, the Abaqus



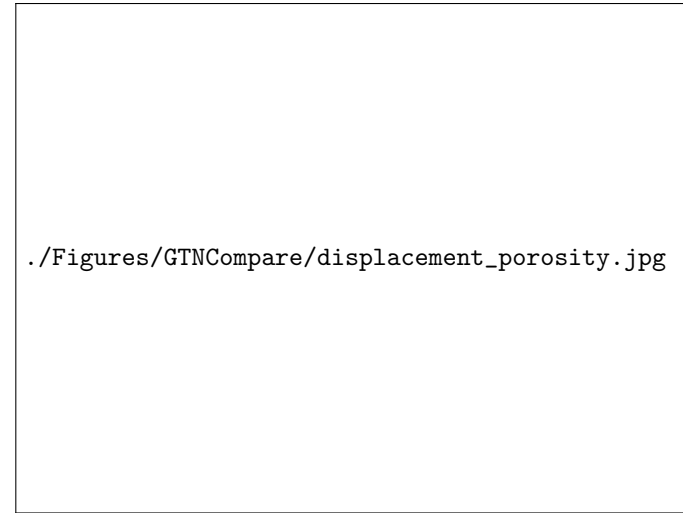
simulations require the calculation of the tangent stiffness matrix, which is derived during the finite element solution process. For elasto-plastic damage models, plastic deformation influences the onset and progression of damage, and vice versa. This interaction can result in a significant coupling in the tangent stiffness matrix, manifesting as non-trivial off-diagonal terms [56]. These terms can introduce convergence difficulties.



**Fig. 20** Force vs. displacement



(a) Porosity vs. displacement



(b) Equivalent plastic strain vs. displacement

**Fig. 21** Abaqus and OpenFOAM implementations comparison

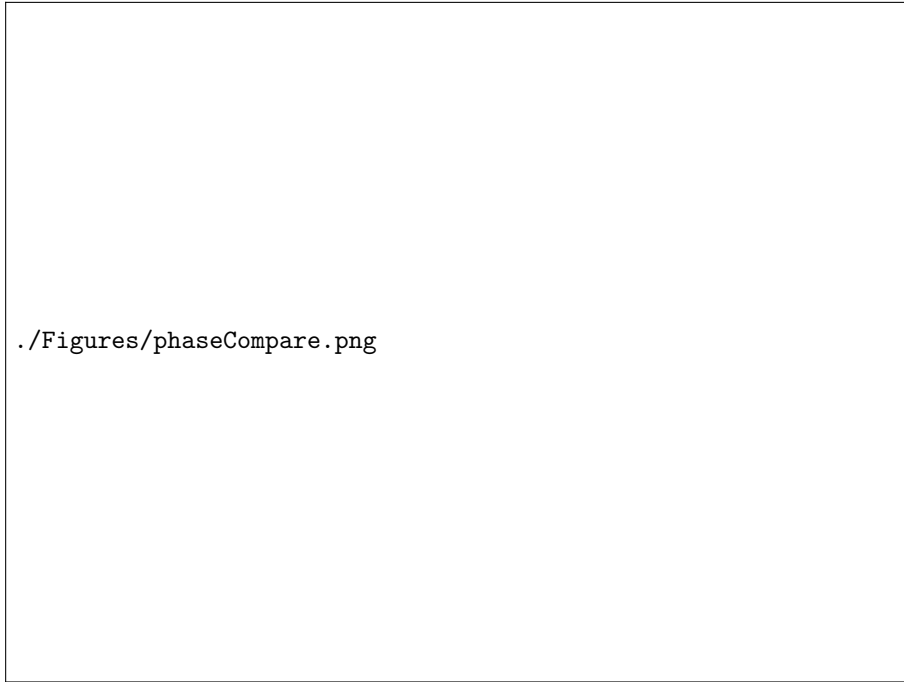
### 5.2.8 Phase field fracture

| Property                 | Symbol     | Value   |
|--------------------------|------------|---|
| Young's modulus          | $E$        | 68.8 GPa  |
| Poisson's ratio          | $\nu$      | 0.33  |
| Critical Fracture Energy | $G_c$      | $60 \times 10^3 \text{ J/m}^2$                  |
| Plastic Threshold        | $W_o$      | $1 \times 10^7 \text{ MPa}$                     |
| Characteristic Length    | $l_c$      | 0.3226mm  |
| Hardening law            | $\sigma_y$ | $320 + 688 \times \bar{\epsilon}^p \text{ MPa}$ |

**Table 6** Material properties for phase field fracture FNB

In this section, OpenFOAM simulations are conducted for the flat notched bar case in Borden et al. [20]. The material properties are given in Table 5.10. Displacement increments of 0.001143 mm are used until fracture has occurred. The results obtained are compared with those from Borden et al. [20] and Eldahshan et al. [121].

It can be seen that the results line up well, verifying the implementation of the model. It is worth noting that the normalised stress reported by Borden et al. [20] prior to the rapid crack propagation is slightly lower than that obtained both here and by Eldahshan et al. [121].



**Fig. 22** Normalised stress vs normalised strain

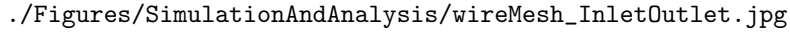
### 5.3 Wire drawing simulations



**Fig. 23** Schematic of the wire drawing process

A schematic of the wire drawing process is given in Figure 7.1. A wire of initial diameter  $D_i$  is pulled through a die of outlet diameter  $D_o$ . The reduction ratio  $r$  (%) is given by

$$r = \left( \frac{d_i^2 - d_o^2}{d_i^2} \right) \times 100 \quad (112)$$



**Fig. 24** Process for cell layer addition and removal

The die semi-angle  $\alpha$  ( $^{\circ}$ ) is the angle made by the die with the horizontal (Figure 7.3). For these wire-drawing simulations, an Eulerian-inspired Lagrangian method is employed [123]. In this approach, as the wire is being pulled through the die, cells are added to the wire at the inlet (or upstream) boundary and cells are deleted from the mesh at the outlet (or downstream) boundary (Figure 7.2). The simulation is carried out until consistent values are reached along the wire for its properties after exiting the outlet patch. The wire is continuously being pulled in the axial direction by a fixed increment of displacement at each time step. More details on this procedure can be seen in Cardiff et al. [123]. The contact boundary is handled using the method developed in Cardiff et al. [13, 33].

For these wire drawing cases, an initial contact is applied so that at the first step, the simulation is as shown in Figure 7.3. This can lead to localisations of plastic strain in the region of the wire in contact with the die. As the simulation moves forward a quasi steady-state solution is obtained.

`./Figures/SimulationAndAnalysis/initialContact.png`

(a) Initial contact at first time step

`./Figures/SimulationAndAnalysis/settledEpsilonPEq.png`

(b) Equivalent plastic strain after 30 *mm* displacement

`./Figures/SimulationAndAnalysis/settledDamage.png`

(c) Damage after 30 *mm* displacement

**Fig. 25** Wire drawing simulation

### 5.3.1 Incorporating damage

This setup can lead to issues when incorporating damage, particularly in cases with large reduction ratios and high die angles which will be encountered in section 7.3. The high amount of deformation in this region of the wire in contact with the die in the initial time steps may lead to a large amount of damage accruing and an unstable numerical solution. It was found that this issue can be ameliorated by setting the damage to only begin evolving in the wire after the wire has already gone through a user-defined displacement.

`./Figures/SimulationAndAnalysis/damageIssue.png`

**Fig. 26** Issue with incorporating damage at initial stages of case

## 5.4 Comparison of damage and fracture models

In the wire drawing process, fracture typically originates in the centre of the specimen [124–127]. In this section, simulations are conducted for a typical wire drawing pass, with a reduction ration  $r$  of 10% and a drawing semi-angle  $\alpha$  of  $6^\circ$  [128]. The wire drawing simulations were performed in serial on the UCD Sonic High Performance Computing (HPC) cluster.

The loading conditions and material parameters used are given in Tables 7.1-7. The material parameters for the die are taken from Clancy [11]. The elasto-plastic parameters of the wire used are similar to the ones that will be calibrated in section 7.3 for high-carbon steel. For the Lemaitre model with crack-closure effects, the crack-closure parameter is taken to be 0.2, as is typically the case for steels [86, 102, 103]. Aside from this, the parameters taken for the GTN, phase field and Lemaitre damage model are somewhat arbitrary and are mainly chosen for illustrative purposes. The values of these parameters will not affect the area of the wire where damage or fracture is predicted to occur but rather the level of damage or porosity that accumulates.

|                            |            |                |
|----------------------------|------------|----------------|
| Applied total displacement | $u$        | 30 <i>mm</i>   |
| Time step                  | $\Delta t$ | 0.001 <i>s</i> |
| Displacement increment     | $\Delta u$ | 0.2 <i>mm</i>  |
| Friction coefficient       | $\mu$      | 0.1            |

**Table 7** Loading conditions for wire drawing case

|                       |                 |
|-----------------------|-----------------|
| Die inlet diameter    | 16 <i>mm</i>    |
| Die outer diameter    | 20 <i>mm</i>    |
| Die outlet Diameter   | 12.33 <i>mm</i> |
| Die semi-angle        | $6^\circ$       |
| Wire length           | 30 <i>mm</i>    |
| Initial wire diameter | 13 <i>mm</i>    |
| Die cell size         | 0.4 <i>mm</i>   |
| Wire cell size        | 0.4 <i>mm</i>   |

**Table 8** Die and wire geometry

| Term            | Value   |
|-----------------|---|
| Young's modulus | 200 <i>GPa</i>  |
| Poisson's ratio | 0.3   |
| Hardening law   | $689 + (1340 - 689 + 250 \times \bar{\varepsilon}^p)(1 - e^{-32.82 \times \bar{\varepsilon}^p})$ <i>MPa</i> |

**Table 9** Wire elasto-plastic material parameters

| Term             | Value          |
|------------------|----------------|
| Young's modulus  | 600 <i>GPa</i> |
| Poisson's ration | 0.22           |

**Table 10** Die material parameters

#### 5.4.1 Lemaitre model

| Property                    | Symbol | Value    |
|-----------------------------|--------|----------|
| Lemaitre damage denominator | $S_0$  | 13.5 MPa |
| Lemaitre damage exponent    | $b$    | 1.0      |
| Crack closure parameter     | $h$    | 0.2      |

**Table 11** Lemaitre model parameters

Simulations are here conducted for the Lemaitre damage model both with and without crack-closure effects. The resultant damage distributions are provided in Figures 7.5 and 7.6.

In Figure 7.5 (a), it can be observed that without crack-closure effects, the Lemaitre model gives a somewhat unrealistic damage distribution with damage being at a maximum away from the centre of the wire. This is due to the fact that it does not distinguish between positive and negative triaxialities. As a consequence of the triaxiality cut-off ( $-\frac{1}{3}$ ) for damage evolution, there is limited damage evolution towards the upper area of the wire.

By contrast, for the Lemaitre model with crack-closure effects, the damage is at a maximum at the centre of the bar. This is due to the fact that this is where the triaxiality, and relatedly the positive stress tensor  $\tau^+$ , are at a maximum (Figure 7.6).

./Figures/SimulationAndAnalysis/modelCompare/classicLemaitre.png

(a) Damage distribution

./Figures/SimulationAndAnalysis/modelCompare/classicLemaitreTriaxiality.png

(b) Triaxiality distribution

**Fig. 27** Lemaitre damage model without crack-closure effects

./Figures/SimulationAndAnalysis/modelCompare/crackClosureDamage.png

(a) Damage distribution

./Figures/SimulationAndAnalysis/modelCompare/crackClosureTauPositive.png

(b) Positive stress tensor distribution

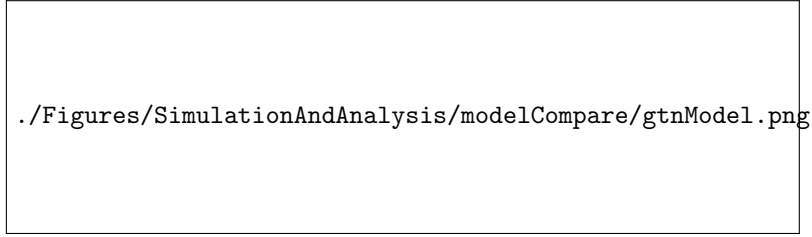
**Fig. 28** Lemaitre damage model with crack-closure effects



### 5.4.2 GTN model

| Property           | Symbol          | Value |
|--------------------|-----------------|-------|
| q1                 | $q1$            | 1.5   |
| q2                 | $q2$            | 1     |
| q3                 | $q3$            | 2.25  |
| Initial porosity   | $f_0$           | 0.002 |
| Mean               | $\varepsilon_n$ | 0.03  |
| Standard deviation | $S_n$           | 0.02  |
| Volume fraction    | $f_N$           | 0.04  |

**Table 12** GTN model parameters



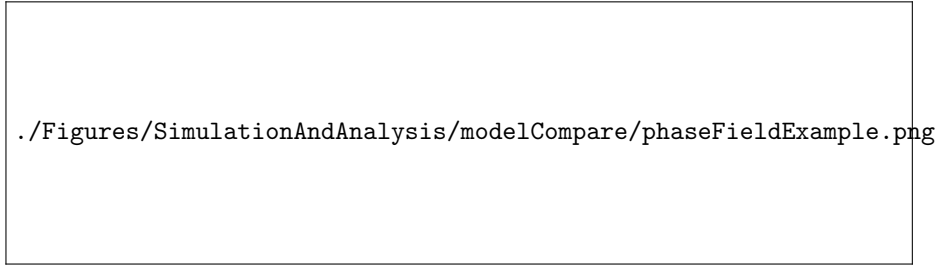
**Fig. 29** Porosity distribution

For the GTN model, porosity growth is negligible for typical wire drawing passes [129] making void nucleation the dominant mechanism driving the evolution of the porosity. The GTN model accurately predicts the porosity to be at maximum at the centre of the wire. This is a consequence of the fact that porosity evolution due to nucleation is only set to occur when the pressure is positive (equation 46).

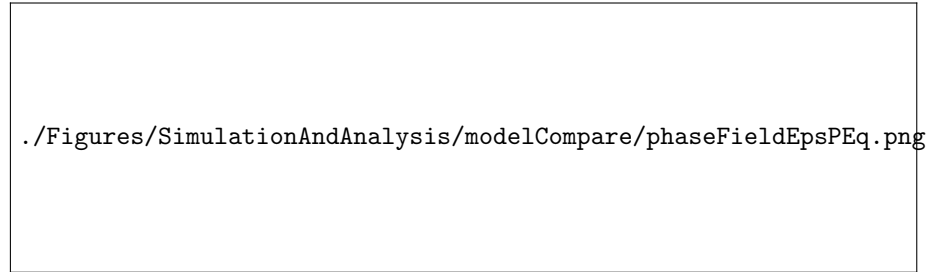
There are apparent issues with the assumption of the Gaussian distribution for void nucleation, however. To illustrate this, the evolution of the equivalent plastic strain and porosity is shown in Figure 7.8 for the cell at the centre of the wire and which has a cell-centre 0.3047 mm to the right of the wire inlet at the initial time step. The evolution of these variables is only displayed while this cell is in the process zone i.e. the region where it undergoes plastic straining. It can be observed that the Gaussian assumption leads to the porosity asymptoting towards a certain value, after which it does not evolve. This is unlikely to be a true reflection of the material behaviour [129].



**Fig. 30** Porosity and equivalent plastic strain evolution



(a) Distribution for  $d$



(b) Equivalent plastic strain distribution

**Fig. 31** Phase field fracture model

### 5.4.3 Phase field model

| Property                 | Symbol | Value                         |
|--------------------------|--------|-------------------------------|
| Critical fracture energy | $G_c$  | $1 \times 10^6 \text{ J/m}^2$ |
| Plastic work threshold   | $W_o$  | $0 \text{ J}$                 |
| Characteristic length    | $l$    | $0.3266 \text{ mm}$           |

**Table 13** Phase field fracture model parameters

The phase field fracture model predicts material degradation to be at its greatest towards the upper part of the wire. This is contrary to what we would expect. The phase-field fracture model does not distinguish between tensile and compressive stress states for the plastic contribution

towards crack growth leading to this unrealistic behaviour. The region of greatest plastic straining corresponds to the region where material degradation is predicted to be highest.

## 5.5 Prediction of fracture

As well as the clear limitations in the GTN and phase field model described in the previous section, there are other factors which make them unsuitable for further investigation in the prediction of fracture in wire drawing processes. The phase field fracture model requires a relatively fine mesh [20] making it computationally expensive. The GTN model requires the calibration of multiple parameters, which is not feasible given the data available for the cases that will be looked at here. In order to rigorously calibrate these parameters, the characterization of each stage of ductile damage requires the continuous monitoring of void nucleation, growth and coalescence during deformation, which can be done using X-ray tomography measurements such as in Thuillier et al. [130] and Fansi et al. [131].

Lemaitre-based damage models with crack-closure effects are chosen for further evaluation. These models are set to incorporate crack-closure effects as in Teixeira [100], Pires [101], and described in section 4.2.7. The crack closure parameter  $h$  is assumed to be 0.2 here, as is typically the case for steels [86, 102, 103]. The Lemaitre exponent parameter  $b$  is generally assumed to be equal to 1.0 for ductile materials [72, 91]. A cut-off value for  $\xi$  of  $-0.33$  below which damage does not accumulate is also assumed, given the experimental observations of Bao and Wierzbicki [98].

Both the classic Lemaitre [72] damage evolution equation and a novel Lemaitre-based formulation will be assessed. The damage evolution equation of the classic Lemaitre model is given by:

$$\dot{D} = \frac{\dot{\gamma}}{(1-D)} \left[ \frac{-Y}{S_0} \right]^b \quad (113)$$

### 5.5.1 Proposed Law

As noted in Malcher et al. [91], the classic Lemaitre damage model has a tendency to predict fracture too early at low triaxialities and too late at high triaxialities values. To remedy this, a damage potential given in equation 7.3 has been proposed in Malcher and Mamiya [91].

$$F_D = \frac{S(\eta, \xi)}{(1-D)(b+1)} \left[ \frac{-Y}{S(\eta, \xi)} \right]^{b+1} \quad (114)$$

Following the procedure described in section 4.2.3 of this thesis, the damage evolution equation is then given as

$$\dot{D} = \frac{\dot{\gamma}}{(1-D)} \left[ \frac{-Y}{S(\eta, \xi)} \right]^b \quad (115)$$

The Lemaitre damage denominator is modified to become a function of the triaxiality  $\eta$  and in some cases the Lode parameter  $\xi$ . Various forms of the function  $S(\eta, \xi)$  have been proposed to better predict fracture under a range of loading conditions [92, 93].

A function for  $S(\eta, \xi)$  is proposed here (equation 116) which incorporates the triaxiality relationship used in the uncoupled damage law known as the Ko criterion [132]. The Ko criterion has shown an ability to predict fracture in both wire drawing processes [128] and in the hub-hole expanding process [132].

$$S(\eta) = \frac{2S_0}{(1.0 + 3\eta)} \quad (116)$$

For the wire drawing cases to be looked at in this chapter  $\xi \approx 1.0$  at the centre of the wire (Appendix F.1) where fracture originates [128]. For this reason, the proposed function does not incorporate a dependency on  $\xi$ . The damage evolution law can be rewritten as:

$$\dot{D} = \frac{\dot{\gamma}}{1 - D} \left[ \frac{-Y}{2S_0} (1.0 + 3\eta) \right]^b \quad (117)$$

In the following simulations, the damage is not set to 0.99 after exceeding the critical damage  $D_c$  (equation 4.47). This is because the crack path is not of interest given that the details of the fracture surface are not provided in Roh et al. [128]. In any case relatively small time steps are required to track the crack growth which is computationally expensive. As well as this, insight into the damage behaviour can be made by seeing how much the damage  $D$  exceeds  $D_c$ , in cases where it does. Furthermore, the non-local gradient equation is not incorporated into these simulations. As will be shown later, the calibrated value for  $D_c$  is quite low meaning that localisation behaviour is limited. In any case, the mesh cell size is kept consistent in all simulations to ensure that any localisation behaviour that may occur is consistent between the simulations.

### 5.5.2 Tensile test and drawing data

In this chapter, experimental data obtained by Roh et al. [128] for high-carbon steel with chromium addition is used to investigate the ability of the Lemaitre-based damage models to accurately predict fracture in wire drawing. The data from this paper concerning wire drawing experiments for various die half-angles  $\alpha$  and reduction ratios  $r$  of 20% and 36% is examined here. These drawing tests are conducted on a wire of initial diameter 13 mm. The case in Roh et al. [128] where  $r = 36\%$  and  $\alpha = 2^\circ$  is not looked at in this work due to the fact Roh et al. attribute the fracture that occurs to the combination of high  $r$  and low  $\alpha$  leading to excessive pulling force and friction effects that are not captured by the friction model used in the simulations. The improvement of the friction model to account for this is beyond the scope of this work.

A tensile test is also conducted by Roh et al. [128] for a round bar of diameter 6.25 mm and gauge length 12.5 mm until fracture (Figure 7.10). The dataset sampled from Roh et al. [128] which will be examined in this thesis is provided in Figure 7.10 and Table 7.9. In Figure 7.10 the engineering stress and strain until fracture are given for a tensile test. In Table 7.10, a set of wire

drawing cases are given. Cases, where fracture was observed experimentally, are denoted by the symbol  $X$ .



**Fig. 32** Tensile test experimental data from [128]

| Reduction (%) | Drawing half-angle ( $^{\circ}$ ) | Fracture |
|---------------|-----------------------------------|----------|
| 20            | 2                                 | O        |
|               | 4                                 | O        |
|               | 6                                 | O        |
|               | 8                                 | O        |
|               | 10                                | O        |
|               | 12                                | X        |
|               | 14                                | X        |
|               | 16                                | X        |
| 36            | 4                                 | O        |
|               | 6                                 | O        |
|               | 8                                 | O        |
|               | 10                                | O        |
|               | 12                                | X        |
|               | 14                                | X        |
|               | 16                                | X        |

**Table 14** Results of drawing tests [128]

### 5.5.3 Calibration

The material parameters are calibrated using the tensile test data. The calibration procedure follows that of Masse [8], where the plastic hardening law is first calibrated against the experimental results up until the point where necking can begin to be observed ( $\sim 6.5\%$  engineering strain). By separating out the calibration of the plastic hardening law and the damage parameters, the calibration procedure is simplified and the risk of over-fitting is reduced. The Young's modulus, Poisson's ratio and initial yield stress are taken from Roh et al. [128]. These values are  $200 \text{ GPa}$ ,  $0.3$  and  $689 \text{ MPa}$  respectively. The modified Voce Law (equation 118) [129] is chosen to describe the plastic hardening law as Cao [129] found that this law could well describe the hardening behaviour of high carbon steel in tensile, torsion and compression tests.

$$\sigma_y = \sigma_{yo} + (\sigma_{yinf} - \sigma_{yo} + k\bar{\varepsilon}^p)(1 - e^{-\beta\bar{\varepsilon}^p}) \quad (118)$$

#### 5.5.4 Tensile test simulation

The tensile test is simulated using an axisymmetric mesh as shown in Figure 7.11, by making use of symmetries only a quarter of the specimen needs to be modelled. A cell size of 0.25 mm is used in the critical zone of the wire mesh where fracture is expected to occur.

`./Figures/SimulationAndAnalysis/compareExperimentalSimulation/simTensileTest.png`

**Fig. 33** Tensile test mesh, marked cell in pink for where fracture initiates

|                            |            |           |
|----------------------------|------------|-----------|
| Applied total displacement | $u$        | 1.3375 mm |
| Time step                  | $\Delta t$ | 0.01 s    |
| Displacement increment     | $\Delta u$ | 0.0125 mm |

**Table 15** loading conditions for case a

#### 5.5.5 Plastic hardening parameters

A global-local approach is taken to the calibration of these parameters. First, 50 sample sets of values for  $\sigma_{yinf}$ ,  $k$  and  $\beta$  are taken from the range of values given in Table 7.10 using Latin hypercube sampling [133].

| Term            | Range                          |
|-----------------|--------------------------------|
| $\sigma_{yinf}$ | 1.1-1.5 ( $\times 10^3$ ) MPa  |
| $k$             | 0.05-0.5 ( $\times 10^3$ ) MPa |
| $\beta$         | 25-80                          |

**Table 16** Parameter values to be sampled

A tensile test is simulated using each of these sets of values. The force-displacement curves that result from each of these simulations are then compared to the experimental data for up to 6.5% engineering strain (Figure 7.10) using the objective function in equation 119.

$$S(b_j) = \frac{1}{N} \sum_{i=1}^N \left( \frac{|F_i^{sim}(b_j) - F_{l,i}^{exp}|}{F_i^{exp}} \right) \quad (119)$$

where  $b_j$  is the vector of variables,  $N$  is the number of experimental points,  $F_i^{sim}$  is the value of the simulated force point and  $F_{l,i}^{exp}$  is the experimental point determined by linear interpolation to a specified displacement. The results which minimise this objective function are then further optimised using the Nelder-Mead method [134] to refine the material parameters. The resulting parameters are given in Table 7.11

| Term            | Value                       |
|-----------------|-----------------------------|
| $\sigma_{yinf}$ | 1.34 ( $\times 10^9$ ) MPa  |
| $k$             | 0.104 ( $\times 10^9$ ) MPa |
| $\beta$         | 32.82                       |

**Table 17** Calibrated material parameters for the plastic hardening law

### 5.5.6 Lemaitre damage parameter

Secondly, the Lemaitre damage parameter  $S_0$  is calibrated for each of the Lemaitre-based laws. To do this, 50 sample values for this are taken at constant intervals for the range given in Table 7.12. For each of the Lemaitre-based laws to be investigated, tensile test simulations are conducted for each of these values. The parameters that minimise the objective function (equation 120) are then further optimised using the Nelder-Mead method.

The objective function to be minimised is given in equation 120. For this objective function, simulated force values that are lower than the experimental values are punished. This is to ensure a solution is not obtained that performs well in the latter-middle part of the deformation path (engineering strain between 7% and 9%) but leads to an unrealistically high amount of necking (“1” in Figure 7.12). This in turn results in an unrealistically high level of plastic straining, triaxiality and ultimately damage in the critical region of the round bar. “2” in Figure 7.12 gives the simulated force-displacement curve for the parameter calibrated using the objective function in equation 120.

$$S(b_j) = \frac{1}{N} \sum_{i=1}^N \left( \frac{< F_i^{sim}(S_0) - F_{l,i}^{exp} > + 6 \times < - (F_i^{sim}(S_0) - F_{l,i}^{exp}) >}{F_i^{exp}} \right) \quad (120)$$



**Fig. 34** Comparison of simulated and experimental data

The critical damage parameter  $D_c$  can then be set as the max value for the simulated damage obtained at 10.7% engineering strain [106]. The resultant material parameters are given in Table 7.13.

| Term  | Range                |
|-------|----------------------|
| $S_0$ | $5 - 25 \text{ MPa}$ |

**Table 18** Parameter values to be sampled

| Law               | $S_0$               | $D_c$ |
|-------------------|---------------------|-------|
| Classic Lemaitre  | $13.81 \text{ MPa}$ | 0.078 |
| Proposed Lemaitre | $14.29 \text{ MPa}$ | 0.087 |

**Table 19** Calibrated material - classic Lemaitre damage law

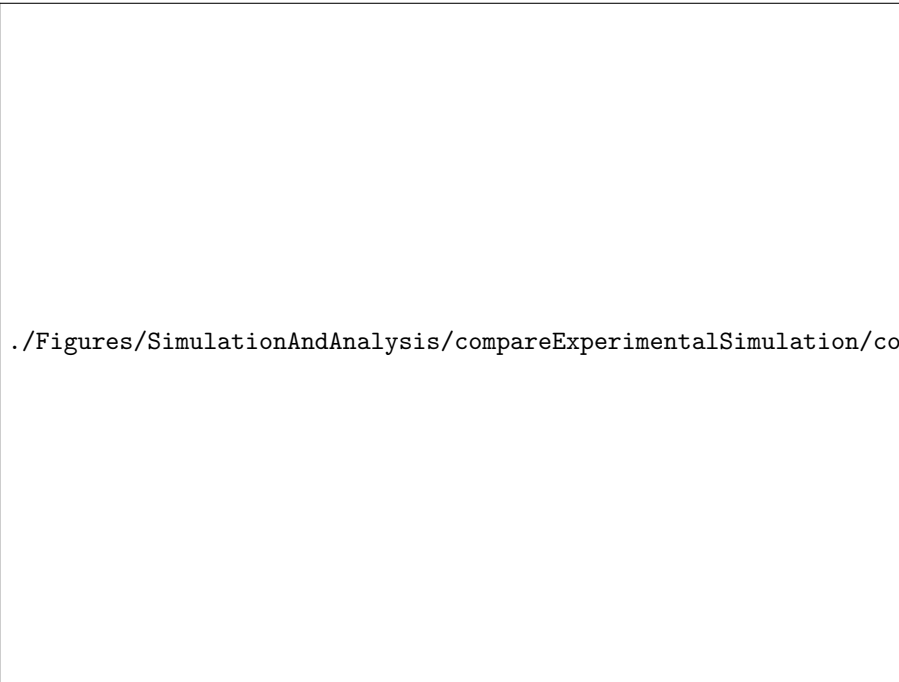
The resultant force-displacement curves obtained using the calibrated parameters are given in Figure 7.13.



./Figures/SimulationAndAnalysis/compareExperimentalSimulation/compareAllLemaitreExp

**Fig. 35** Comparison of simulated and experimental data



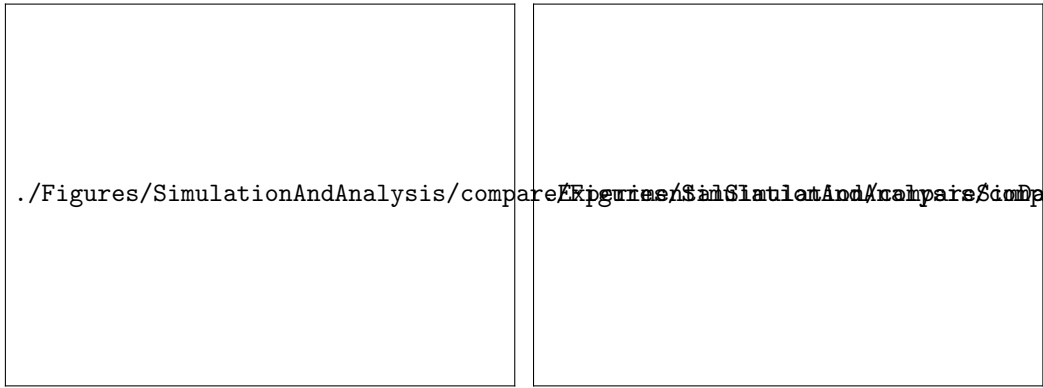


(a) Damage vs. engineering strain



(b) Equivalent plastic strain vs. engineering strain

**Fig. 36** Damage and equivalent plastic strain evolution at centre of specimen



(a) Damage vs. engineering strain

(b) Equivalent plastic strain vs. engineering strain

**Fig. 37** Damage and equivalent plastic strain evolution at centre of specimen

### 5.5.7 Geometry and loading conditions

|                            |            |                 |
|----------------------------|------------|-----------------|
| Applied total displacement | $u$        | 30 <i>mm</i>    |
| Time step                  | $\Delta t$ | 0.0005 <i>s</i> |
| Displacement increment     | $\Delta u$ | 0.1 <i>mm</i>   |
| Friction coefficient       | $\mu$      | 0.08            |

**Table 20** Loading conditions for wire drawing case

|                       |                            |
|-----------------------|----------------------------|
| Die inlet diameter    | 16 <i>mm</i>               |
| Die outer diameter    | 20 <i>mm</i>               |
| Die outlet diameter   | 11.627, 10.4 <i>mm</i>     |
| Die semi-angles used  | 2, 4, 6, 8, 10, 12, 14, 16 |
| Wire length           | 30 <i>mm</i>               |
| Initial wire diameter | 13 <i>mm</i>               |
| Die cell size         | 0.25 <i>mm</i>             |
| Wire cell size        | 0.25 <i>mm</i>             |

**Table 21** Die and wire geometry

|                            |            |                  |
|----------------------------|------------|------------------|
| Wire length                | $l$        | 50 <i>mm</i>     |
| Applied total displacement | $u$        | 50 <i>mm</i>     |
| Time step                  | $\Delta t$ | 0.00025 <i>s</i> |
| Displacement increment     | $\Delta u$ | 0.05 <i>mm</i>   |

**Table 22** Conditions for cases where  $r = 36\%$ ,  $\alpha = 4^\circ$  and  $r = 20\%$ ,  $\alpha = 2^\circ$

A 30 *mm* long wire was used for these simulations, aside from the cases where  $r = 36\%$  and  $\alpha = 4^\circ$  and  $r = 20\%$  and  $\alpha = 2^\circ$ . In these cases, the loading conditions and wire geometry given in Table 7.16 are used.

A 0.25 *mm* mesh cell size was found to be sufficient to achieve a consistent solution for the drawing simulations (Appendix F2). Die outlet diameters of 11.627 *mm* and 10.4 *mm* are used which result in reductions of 20% and 36% respectively. The coefficient of friction is taken from Roh et al. [128]. Neither the specific geometry of the die nor the material properties of the die are specified in Roh et al. [128] so a conical die is assumed with material parameters as in Clancy [11]. The material properties for both die and wire are given in Tables 7.17 and 7.18. For each of these simulations, the damage is set to begin evolving after a displacement of 2 *mm*.

| Term             | Value  |
|------------------|--|
| Young's modulus  | 200 <i>GPa</i>   |
| Poisson's ration | 0.3  |
| Hardening law    | $689 + (1340 - 689 + 104\bar{\varepsilon}^p)(1 - e^{-32.82 \times \bar{\varepsilon}^p})$ |
| $S_0$            | 13.81, 14.29   |
| b                | 1.0  |
| $D_c$            | 0.078, 0.087   |

**Table 23** Wire material parameters

| Term             | Value          |
|------------------|----------------|
| Young's modulus  | 600 <i>GPa</i> |
| Poisson's ration | 0.22           |

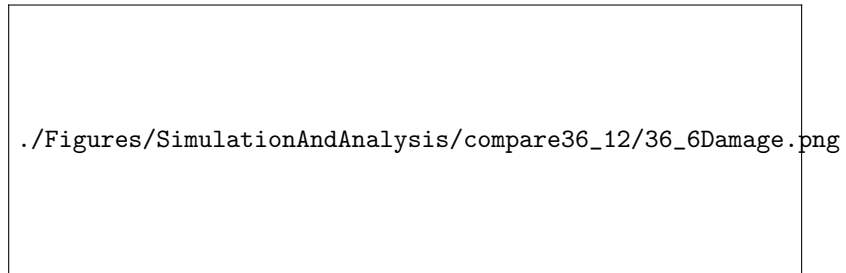
**Table 24** Die material parameters

## 5.6 Results and discussion

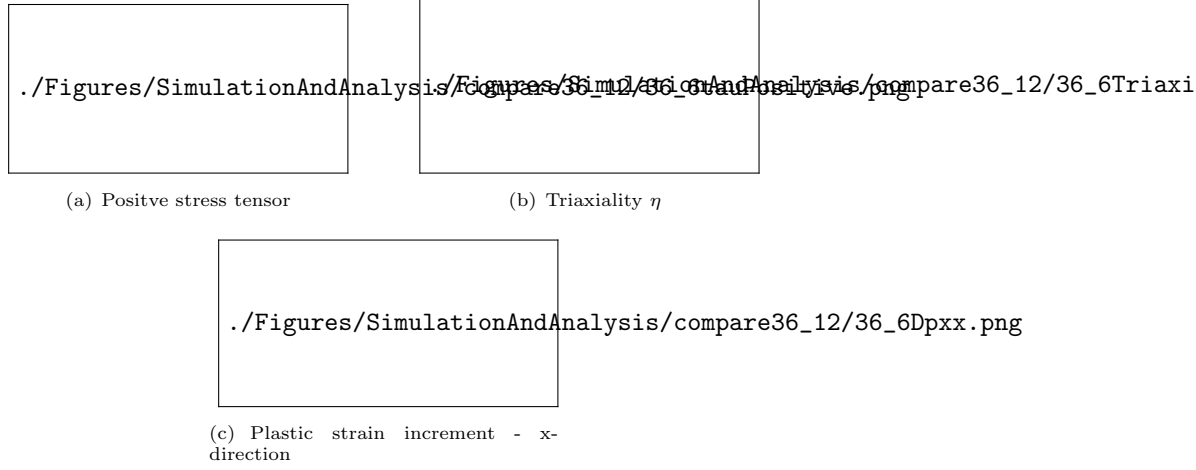
### 5.6.1 Damage evolution profile

An example of the damage distribution for the case where  $r = 36\%$ ,  $\alpha = 6^\circ$ , and the classic Lemaitre damage evolution equation is used, is given in Figure 7.15. For all of the cases looked at here, the damage is at a maximum towards the centre of the specimen. This is due to the fact that this is where the triaxiality, and relatedly, the positive stress tensor is highest (Figure 7.16). The relationship between the triaxiality  $\eta$  and the positive stress tensor  $\tau^+$  will be further explored later in this section.

The evolution of the equivalent plastic strain, triaxiality and damage for a given cell is provided in Figure 7.17. This cell is located at the centre of the wire and has a cell centre 1.66 *mm* to the right of the wire inlet at the initial time step. It can be observed that the damage evolution primarily occurs with the increase in triaxiality towards the latter stage of its plastic straining.



**Fig. 38** Distribution of damage for  $r = 36\%$ ,  $\alpha = 6^\circ$



**Fig. 39** Distribution of fields for  $r = 36\%$ ,  $\alpha = 6^\circ$



**Fig. 40** Evolution of variables

### 5.6.2 Comparison with experimental data

In Figure 7.18 the damage accumulated by the cell that is at the wire centre, and has exited the process zone (the region that is undergoing plastic straining) at the final time step is compared with the critical damage  $D_c$  and plotted for each case. It can be observed that both the classic and the novel formulations of the Lemaitre model are reasonably consistent with the experimental results. The classic model accurately predicts fracture for the 20% reduction cases however it overpredicts fracture in the 36% reduction cases. The novel Lemaitre-based model slightly underpredicts fracture in both the 20% and 36% reduction cases, with a value for  $D$  that is 90.4% and 90.8% of  $D_c$  respectively.

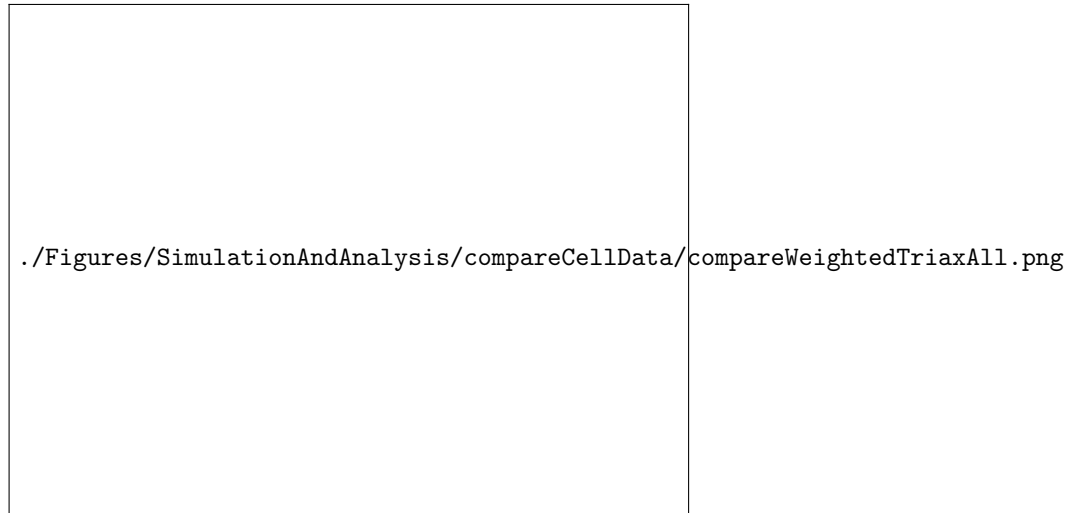


(a) 20% Reduction

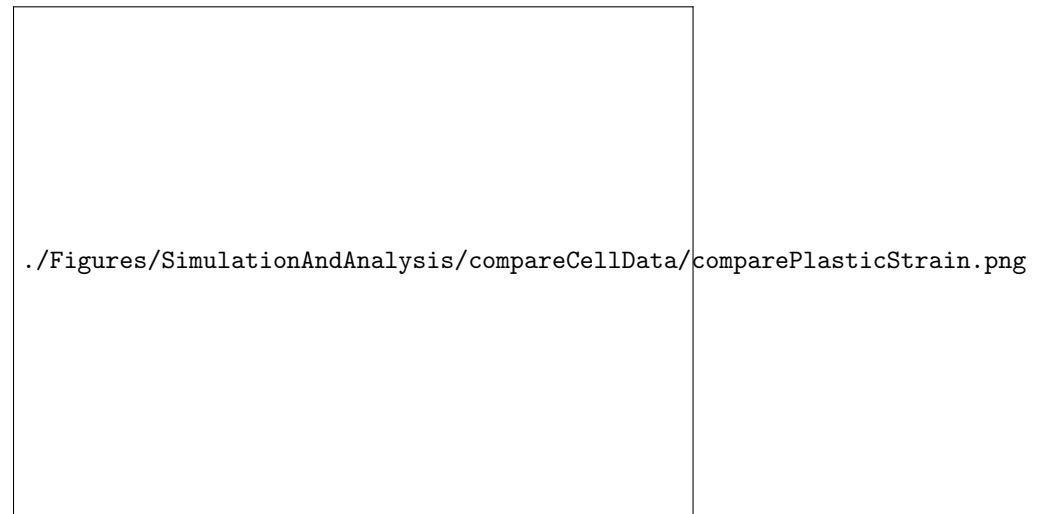


(b) 36% Reduction

**Fig. 41** Comparison of simulated and experimental fracture - shaded region for where fracture occurred in experiments



(a) Weighted triaxiality



(b) Equivalent plastic strain

**Fig. 42** Comparison of weighted triaxiality and equivalent plastic strain

In Figure 7.19 the resultant equivalent plastic strain and the weighted triaxiality  $\eta_w$  (equation 121) are given for each test case. These values are taken from the cases simulated using the novel formulation. The resultant values for these are extremely similar between the classic and novel formulations so only the values obtained for the novel formulation are displayed for clarity's sake.

$$\eta_w = \frac{1}{\bar{\varepsilon}^p} \int_0^{\bar{\varepsilon}^p} \eta \, d\bar{\varepsilon}^p \quad (121)$$

The differences in the accumulated damage value for each case and model can be explained by viewing this figure. The equivalent plastic strain is considerably higher in the 36% reduction cases than in the 20% reduction cases, while the triaxiality is greater, when  $\alpha \geq 6^\circ$ , in the 20% reduction cases. The accumulated damage is largely determined by the level of plastic straining, and the triaxiality state as it undergoes this plastic straining. The added triaxiality dependence in the novel formulation leads to there being considerably lower accumulated damage in the low  $\eta_w$  cases compared to the classic formulation.

### 5.6.3 Scanning electron microscopy (SEM)

In Roh et al. [128], SEM analysis was conducted for many of the cases where fracture did not occur to observe the development of micro-voids around inclusions (non-metallic inclusions within the steel), and for the formation of micro-cracks. For an accurate damage model, there should be a correlation between the damage  $D$  and the observed level of micro-voids and micro-cracks.

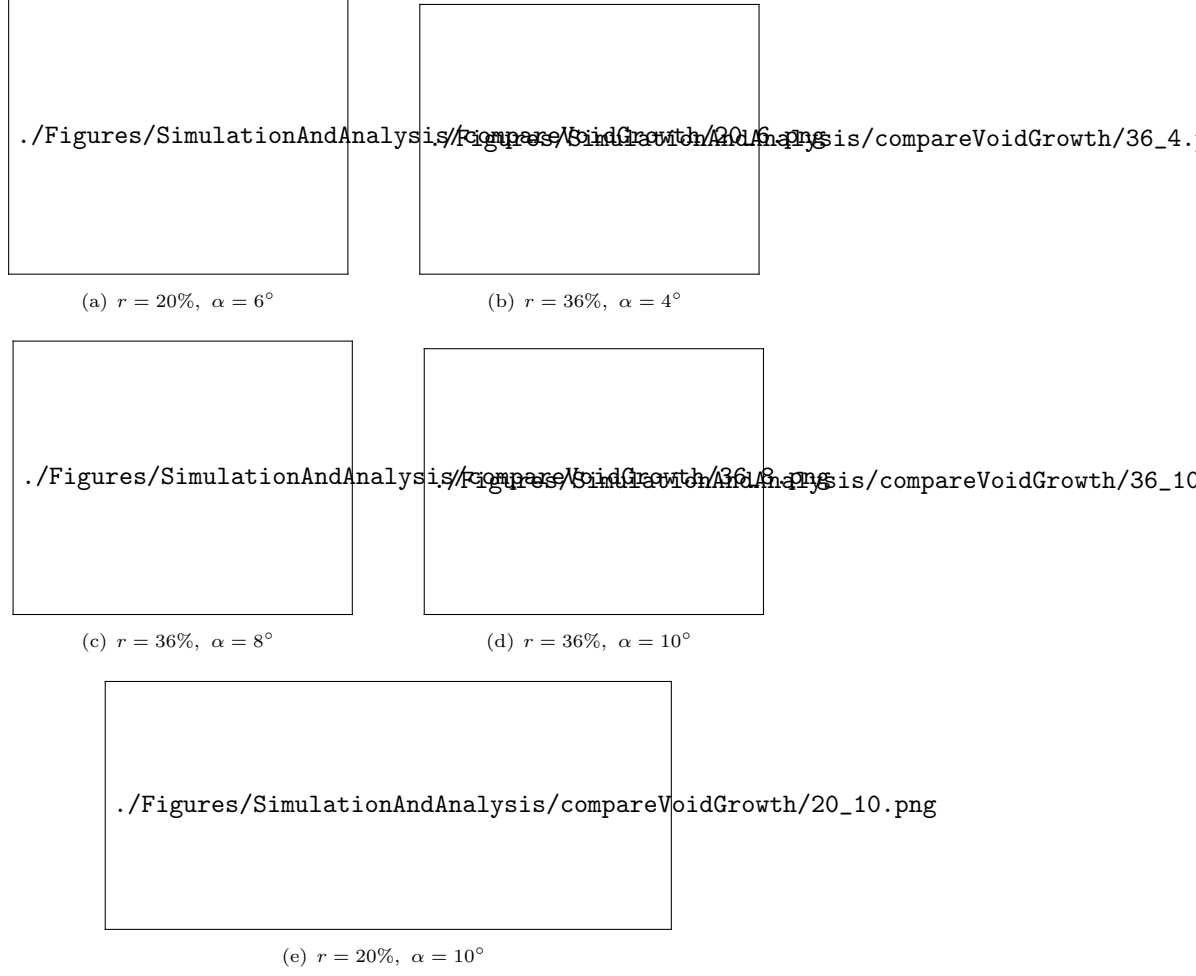
In Figure 7.20, several micrographs are provided for a selection of the cases. The accumulated damage  $D$  normalised against the critical damage  $D_c$  for each of these cases is also provided in Table 7.19.

The normalised damage values for the novel model are reasonably consistent with the micrographs. Values of 0.23 and 0.34 are obtained for a) and b) in Figure 7.20 where micro-voids can be observed, while values of 0.58 and 0.57 are obtained for the cases where micro-cracking can be observed in d) and e). The normalised damage of 0.35 for case c) where a ruptured inclusion can be observed is very similar to the value obtained for case b) where only microvoids are observed. It can be seen in Figure 7.19 that the  $\alpha = 4^\circ$  case has a greater peak value for the triaxiality  $\eta$ , while in Figure 7.21 it is clear that the  $\eta_w$  is greater for the case where  $\alpha = 8^\circ$ . The net effect of this is that the model calculated similar accrued damage for the two cases. Given that in case c) a ruptured inclusion can be observed, this would suggest that the triaxiality relationship for the novel model is marginally too aggressive.

For the classic Lemaitre damage model, the normalised damage values are somewhat consistent with the micrographs. As with Figure 7.18 however, there is evidence for overprediction of damage. As well as predicting a  $D/D_c$  value of 1.23 for case d) where fracture did not occur, values of 0.54 and 0.68 seem quite high for cases a) and b) where only micro-voids can be observed.

Conclusive remarks can be hard to make on the suitability of these damage models based on a small sample of micrographs, however. Ideally, SEM analysis would be conducted on tensile





**Fig. 43** Scanning electron microscopy (SEM) micrographs for various combinations of the half-angle  $\alpha$  and reduction ratios (images adapted from Roh et al. [128])

specimens that have undergone various engineering strains [135] to try better understand the expected pattern of void nucleation, growth and coalescence (micro-crack formation) for various  $D$  values.

| Reduction (%) | Drawing half-angle ( $^\circ$ ) | Novel $D/D_c$ | Classic $D/D_c$ |
|---------------|---------------------------------|---------------|-----------------|
| 20            | 6                               | 0.23          | 0.54            |
|               | 10                              | 0.58          | 0.89            |
| 36            | 4                               | 0.34          | 0.68            |
|               | 8                               | 0.35          | 0.93            |
|               | 10                              | 0.57          | 1.23            |

**Table 25**  $D/D_c$  for selected drawing cases



**Fig. 44** Comparison of triaxiality evolution in process zone

#### subsubsection Simulated and experimental results discrepancy

There are various factors that could explain the differences between simulated and experimental results. One of these is experimental error, it can be observed in Figure 7.14 that there would be a sharp difference in the obtained  $D_c$  if there was only a small difference in the engineering strain to fracture. It's worth noting that in Roh et al. [128], the critical fracture value was selected at 10% engineering strain for the Ko fracture criterion. In this thesis, the critical damage value was selected at 10.7% engineering strain in order to be consistent with the experimental engineering stress-strain curve provided in Roh et al. [128].

Limitations in the proposed model could also explain the discrepancies. The added triaxiality relationship incorporated into the Lemaitre model was chosen as this is the relationship used in the Ko criterion [132] which has been validated in the prediction of both the hub-hole expanding process [132] and fracture in wire drawing [128]. However, perhaps a different relationship, whether that be in the linear relationship proposed or an exponential relationship, could have achieved more accurate results.

Another limitation could be the friction model used. In this chapter, a friction coefficient  $\mu$  of 0.08 was assumed to be consistent with Roh et al. [128], however, a different friction model would alter the obtained results. In Figure 7.22 it can be observed how the accumulated damage changes with  $\mu$  for the novel Lemaitre-based damage model.

Another important consideration, that to this author's eye has been neglected in the literature, is the strong association between the positive stress tensor  $\tau^+$  and the triaxiality  $\eta$  at low triaxiality values ( $< 0.33$ ) that predominate in typical wire drawing cases. The normalised positive stress tensor  $\tau_{norm}^+$  is defined here and given by equation 122 and is plotted against the triaxiality for selected cases in Figure 7.23. Further incorporation of the positive stress tensor into the damage evolution law, via  $\tau_{norm}^+$ , may have the potential for a better description of material fracture



**Fig. 45** Normalised damage vs. friction coefficient

behaviour. This could be incorporated into the existing Lemaitre-based laws by altering the function  $S(\eta, \xi)$  to take the form given in equation 123. More experimental data, which include a range of experimental conditions where  $\eta > 0.33$  is required to better distinguish between the effects of the positive stress tensor and the triaxiality.

$$\tau_{norm}^+ = \frac{\tau^+ : \tau^+}{\tau : \tau} \quad (122)$$

$$S(\eta, \xi, \tau_{norm}^+) \quad (123)$$



**Fig. 46** Positive stress tensor vs. triaxiality

## 5.7 Rhie Chow Case setup

In order to explore the effect of the Rhie-Chow stabilisation term, various simulations are conducted for different values of the Rhie-Chow scale factor. The values of the Rhie-Chow scale factor used are 0.01, 0.02, 0.05, 0.1, 0.15 and 0.2. The geometry of the cases are the same as in chapter 5. The cases looked at are as follows:

(a) Flat notched bar - non-local Lemaitre

|                            |            |             |
|----------------------------|------------|-------------|
| Applied total displacement | $u$        | 0.6 mm      |
| Time step                  | $\Delta t$ | 0.015 s     |
| Displacement increment     | $\Delta u$ | 0.017145 mm |

**Table 26** Loading conditions for case a

| Property                    | Symbol     | Value                                   |
|-----------------------------|------------|---|
| Young's modulus             | $E$        | 68.9 GPa                                |
| Poisson's ratio             | $\nu$      | 0.33                                    |
| Lemaitre damage denominator | $S_0$      | 0.5 MPa                                 |
| Lemaitre damage exponent    | $b$        | 1.0                                     |
| Characteristic length       | $l_c$      | 0.6325 mm                               |
| Hardening law               | $\sigma_y$ | $320 + 688 \times \bar{\epsilon}^p$ MPa |

**Table 27** Material properties for case a

(b) Round notched bar - non-local Lemaitre

|                            |            |          |
|----------------------------|------------|----------|
| Applied total displacement | $u$        | 0.8 mm   |
| Time step                  | $\Delta t$ | 0.015 s  |
| Displacement increment     | $\Delta u$ | 0.015 mm |

**Table 28** Loading conditions for case b

(c) Flat notched bar - phase field model

| Property                    | Symbol     | Value   |
|-----------------------------|------------|---|
| Young's modulus             | $E$        | 69.9 GPa  |
| Poisson's ratio             | $\nu$      | 0.3   |
| Lemaitre damage denominator | $S_0$      | 1.1 MPa   |
| Lemaitre damage exponent    | $b$        | 1.0   |
| Characteristic length       | $l_c$      | 0.6325 mm                                       |
| Hardening law               | $\sigma_y$ | $589(0.0001 + \bar{\varepsilon}^p)^{0.216}$ MPa |

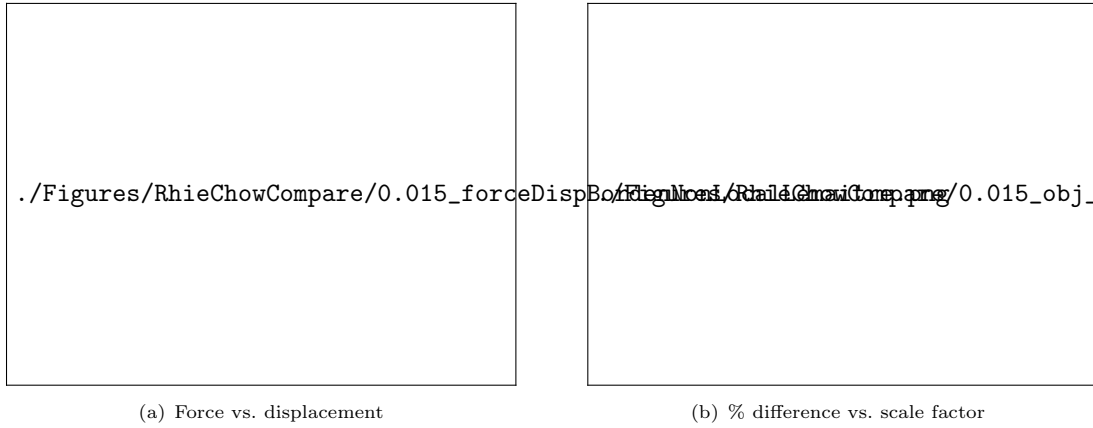
**Table 29** Material properties for case b

|                            |            |             |
|----------------------------|------------|-------------|
| Applied total displacement | $u$        | 0.41148 mm  |
| Time step                  | $\Delta t$ | 0.015 s     |
| Displacement increment     | $\Delta u$ | 0.017145 mm |

**Table 30** loading conditions for case c

| Property                 | Symbol     | Value                                      |
|--------------------------|------------|--|
| Young's modulus          | $E$        | 68.8 GPa                                   |
| Poisson's ratio          | $\nu$      | 0.33                                       |
| Critical fracture energy | $G_c$      | $60 \times 10^3$ J/m <sup>2</sup>          |
| Plastic threshold        | $W_o$      | $1 \times 10^7$ MPa                        |
| Characteristic length    | $l$        | 0.3226mm                                   |
| Hardening law            | $\sigma_y$ | $320 + 688 \times \bar{\varepsilon}^p$ MPa |

**Table 31** Material properties for case c



(a) Force vs. displacement

(b) % difference vs. scale factor

**Fig. 47** Case a - flat notched bar w) non-local Lemaitre

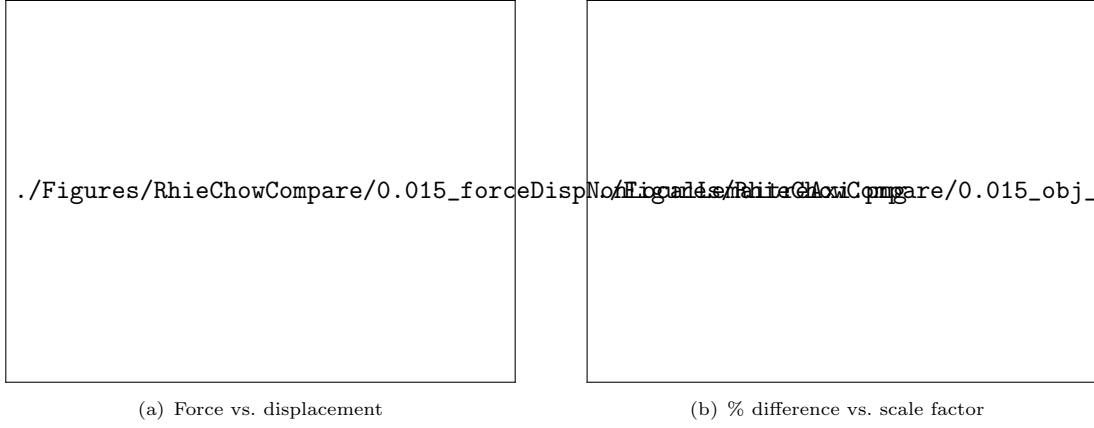
## 5.8 Results

For each of the cases, the following comparisons are made in the figures below

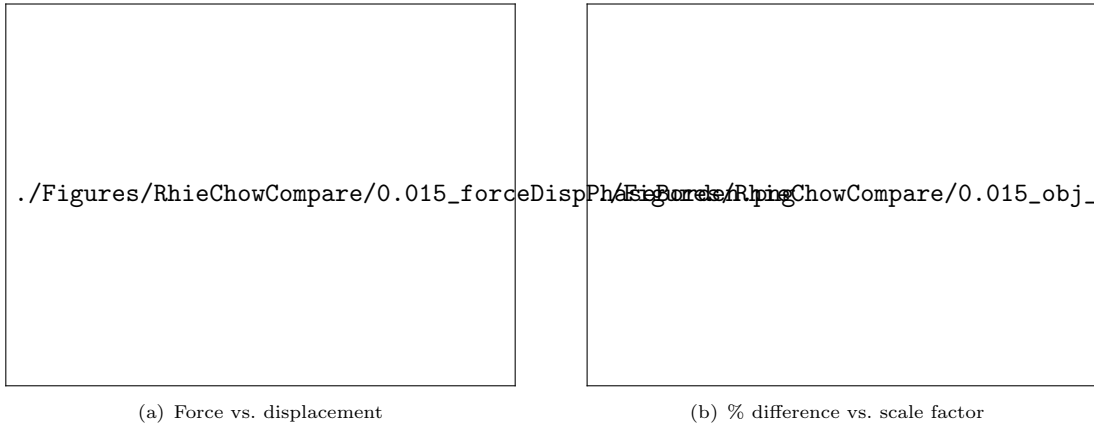
- The force-displacement curves are plotted together
- Through the use of an objective function (equation 6.3), the average percentage difference between the resultant force-displacement points for a Rhie-Chow scale factor of 0.01 are compared with those for Rhie-Chow scale factors 0.02, 0.05, 0.1, 0.15 and 0.05

$$g_0(\mathbf{p}) = \frac{1}{N} \sum_{q=1}^N \left( \frac{R_q^{0.01}(\mathbf{p}) - R_q^{\mathcal{R}}}{R_q^{0.01}} \right) \quad (124)$$

It can be observed in the force-displacement curves (Figures 6.1a, 6.2a and 6.3a) that the Rhie-Chow term has the effect of slowing down the localisation behaviour of the models. In all cases, it



**Fig. 48** Case b - notched round bar w) non-local Lemaitre



**Fig. 49** Case c - flat notched bar w) phase field fracture

can be seen that the rate at which the force declines with displacement is reduced with increasing Rhie-Chow scale factor.

### 5.8.1 Time step dependency

The effects of the Rhie-Cow stabilisation term are reduced with smaller time steps. To illustrate the effect this has on damage and fracture behaviour, the cases described above are conducted with varying time steps, and therefore varying displacement increments.

| Time step ( $\Delta t$ ) | Displacement increment ( $\Delta u$ ) |
|--------------------------|---------------------------------------|
| 0.015                    | 0.017145 <i>mm</i>                    |
| 0.0125                   | 0.0142875 <i>mm</i>                   |
| 0.01                     | 0.01143 <i>mm</i>                     |
| 0.0075                   | 0.0085725 <i>mm</i>                   |
| 0.005                    | 0.005715 <i>mm</i>                    |
| 0.0025                   | 0.0028575 <i>mm</i>                   |

**Table 32** Time steps with associated displacement increments for case a

In Figures 6.4, 6.5 and 6.6 the force-displacement curves for various Rhie-Chow scale factors and time step 0.001s are displayed. Comparing these with those obtained for a time step of 0.015s

| Time step ( $\Delta t$ ) | Displacement increment ( $\Delta u$ ) |
|--------------------------|---------------------------------------|
| 0.015                    | 0.015 <i>mm</i>                       |
| 0.0125                   | 0.0125 <i>mm</i>                      |
| 0.01                     | 0.01 <i>mm</i>                        |
| 0.0075                   | 0.0075 <i>mm</i>                      |
| 0.005                    | 0.005 <i>mm</i>                       |
| 0.0025                   | 0.0025 <i>mm</i>                      |

**Table 33** Time steps with associated displacement increments for case b

| Time step ( $\Delta t$ ) | Displacement increment ( $\Delta u$ ) |
|--------------------------|---------------------------------------|
| 0.015                    | 0.017145 <i>mm</i>                    |
| 0.0125                   | 0.0142875 <i>mm</i>                   |
| 0.01                     | 0.01143 <i>mm</i>                     |
| 0.0075                   | 0.0085725 <i>mm</i>                   |
| 0.005                    | 0.005715 <i>mm</i>                    |
| 0.0025                   | 0.0028575 <i>mm</i>                   |

**Table 34** Time steps with associated displacement increments for case c



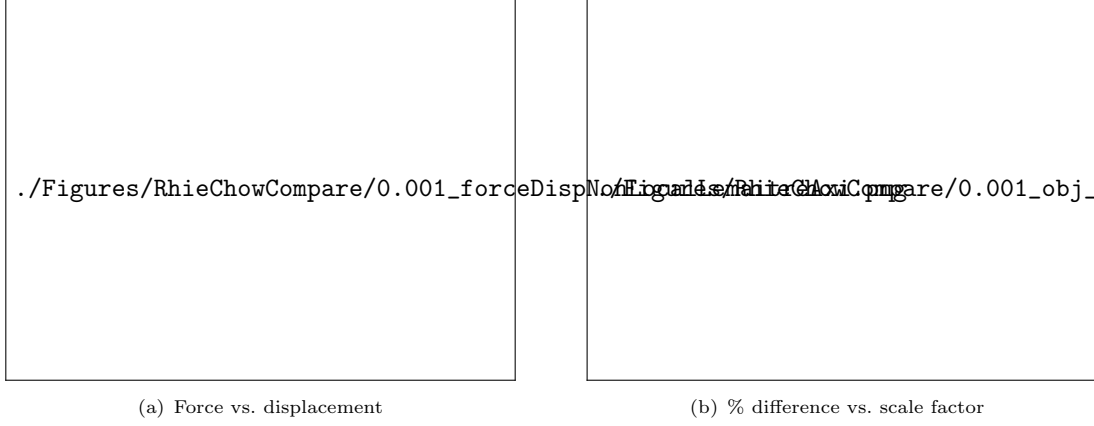
**Fig. 50** Case a - flat notched bar w) non-local Lemaitre

in the previous subsection, it is evident that the Rhie-Chow term is having less of an effect on the solution.

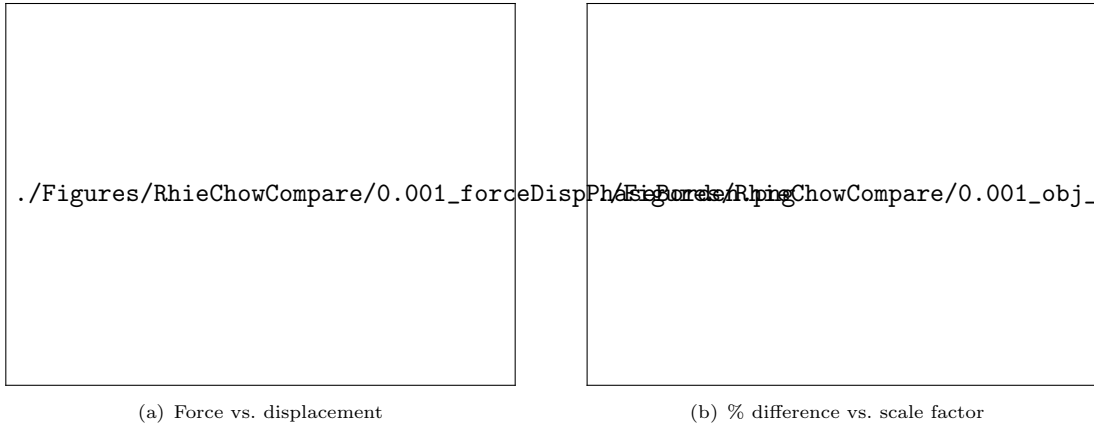
The reduction of the effects of the Rhie-Chow term with the time-step size is further quantified in Figure 6.7. In these figures, the objective function from equation 6.3 is used to quantify the difference between the force-displacements obtained with Rhie-Chow scale factors 0.01 and 0.1 for each time step given in Tables 6.7, 6.8 and 6.9.

It is evident that for case a and case b there is a reduction in the effects of the Rhie-Chow term with decreasing time step. This relationship is a little more complicated for case c. This is likely due to the fact that by varying the time steps, we are not just altering the Rhie-Chow effects, but given the time-step dependency inherent in the finite volume method, some aspects of the solution itself are being affected.

In order to illustrate the benefit of this proposed scheme, simulations are conducted for cases a, b and c with a time-step of 0.005 *s*. Rhie-Chow scaling fields of  $\mathcal{R}_{field}(D) = (1 - D)^2 \cdot 0.1$  and  $\mathcal{R}_{field}(d) = (1 - d)^2 \cdot 0.1$  are used for the Lemaitre and phase field model simulations respectively.



**Fig. 51** Case b - notched round bar w) non-local Lemaitre



**Fig. 52** Case c - flat notched bar w) phase field fracture

The results from these simulations are compared with those obtained for Rhie-Chow scale factors of 0.1 and 0.01 in Figure 54.

It can be observed that the proposed strategy reduces the effect that the Rhie-Chow scale factor has on the localisation behaviour when employing the Rhie-Chow scale field  $\mathcal{R}_{field}$  approach. The benefits to a higher Rhie-Chow scale factor (improved convergence properties, reduction of "checker-boarding errors") are therefore gained using this scheme up until the point where crack propagation begins to occur. At this point, the Rhie-Chow effects are reduced and therefore, its effect on the fracture behaviour is mitigated.

It is noticeable that the proposed strategy leads to lower reaction force for cases a and c (Figure 54). This is due to the fact that by reducing the scale factor below 0.01, these residual forces that exist after the crack propagation stage are reduced. These residual forces are somewhat non-physical in any case, as will be discussed in the next section.

## 5.9 Effective non-local test case

The benefits of this scheme can be seen in Figures 55 and 56. These figures show the results obtained with the novel formulation. For these simulations, the material parameter  $D_c$  is set as 0.55. A more





**Fig. 53** Comparison of Rhie-Chow effects with time-step size

physically realistic damage evolution can be observed as well as the rapid crack propagation being evident in the force-displacement curve. Such a rapid loss of load-carrying capacity at a certain point for tensile specimens has been observed experimentally [91, 136]. It is worth noting that the fact that the damage is set as 0.99 combined with the diffusive effects of the Rhie-Chow term will still lead to some nonphysical residual force-displacement behaviour of the simulations (e.g. the residual force required for further displacement in Figure 56).

## 5.10 Prediction of chevron cracks

This should be moved to the test cases

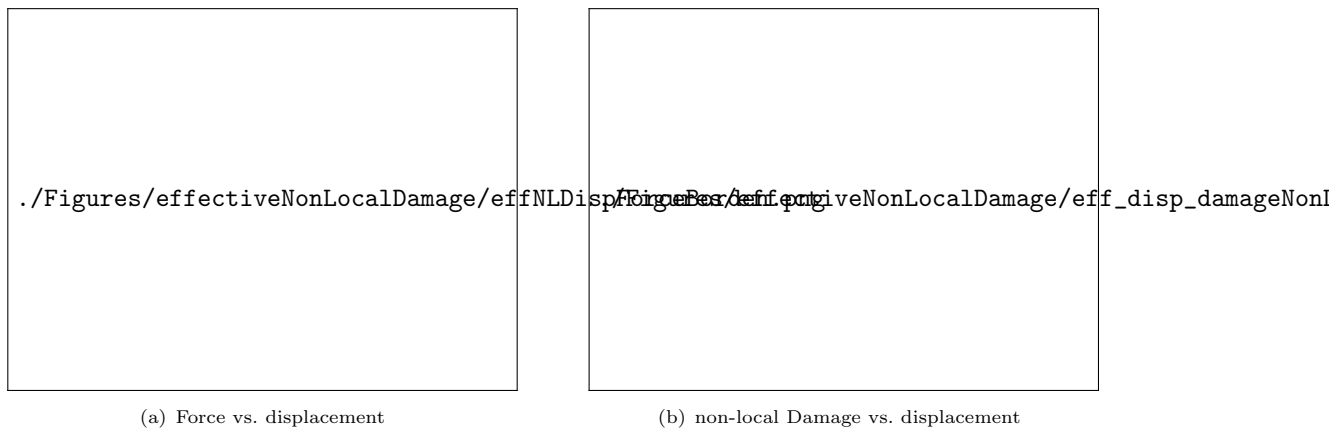
|                            |            |         |
|----------------------------|------------|---------|
| Applied total displacement | $u$        | 30 mm   |
| Uncoupled displacement     | $u$        | 50 mm   |
| Time dtep                  | $\Delta t$ | 0.001 s |
| Displacement increment     | $\Delta u$ | 2 mm    |
| Friction coefficient       | $\mu$      | 0.1     |

**Table 35** Loading conditions for wire drawing case

A common defect that occurs in wire drawing is the development of chevron cracks (or central burst defects) at the centre of the wire (Figure 57). The ability of the developed scheme is tested in its ability to model their occurrence. The relevant material properties and loading conditions

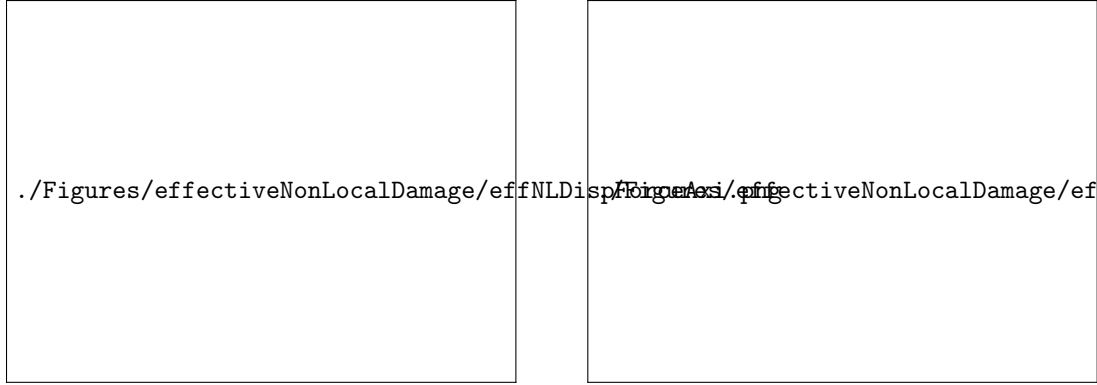


**Fig. 54** Comparison of Rhie-Chow field approach with different Rhie-Chow scale factors



**Fig. 55** Flat notched bar (case a)

are given in Tables 6.10-13 (further details on these wire drawing simulations are given in the next chapter).



(a) Force vs. displacement

(b) non-local Damage vs. displacement

**Fig. 56** Notched round bar (case b)

|                     |            |
|---------------------|------------|
| Die inlet diameter  | 16 mm      |
| Die outlet diameter | 11.6276 mm |
| Die outer diameter  | 20 mm      |
| Die seim-angle      | 10°        |
| Wire length         | 30 mm      |
| Wire diameter       | 13 mm      |

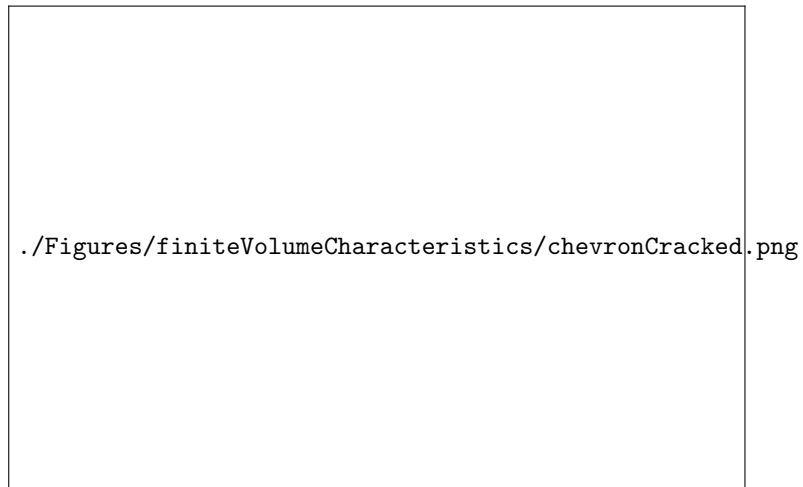
**Table 36** Die and wire geometry

| Property                    | Symbol     | Value   |
|-----------------------------|------------|---|
| Young's modulus             | $E$        | 200 GPa   |
| Poisson's ratio             | $\nu$      | 0.33  |
| Lemaitre damage denominator | $S_0$      | 15 MPa  |
| Lemaitre damage exponent    | $b$        | 1.0   |
| Critical Damage             | $D_c$      | 0.052   |
| Characteristic Length       | $l_c$      | 0.325 mm  |
| Hardening law               | $\sigma_y$ | $600 + (1218 - 600 + 250 \times \bar{\epsilon}^p)(1 - e^{43.44 \times \bar{\epsilon}^p})$ MPa |

**Table 37** Wire material properties

| Property        | Symbol | Value      |
|-----------------|--------|------------|
| Young's modulus | $E$    | 600000 GPa |
| Poisson's ratio | $\nu$  | 0.22       |

**Table 38** Die material properties (linear elastic material law)



**Fig. 57** Chevron cracks in steel rods, similar to those that can occur in drawn wires [137]

./Figures/finiteVolumeCharacteristics/simulatedChevron.png

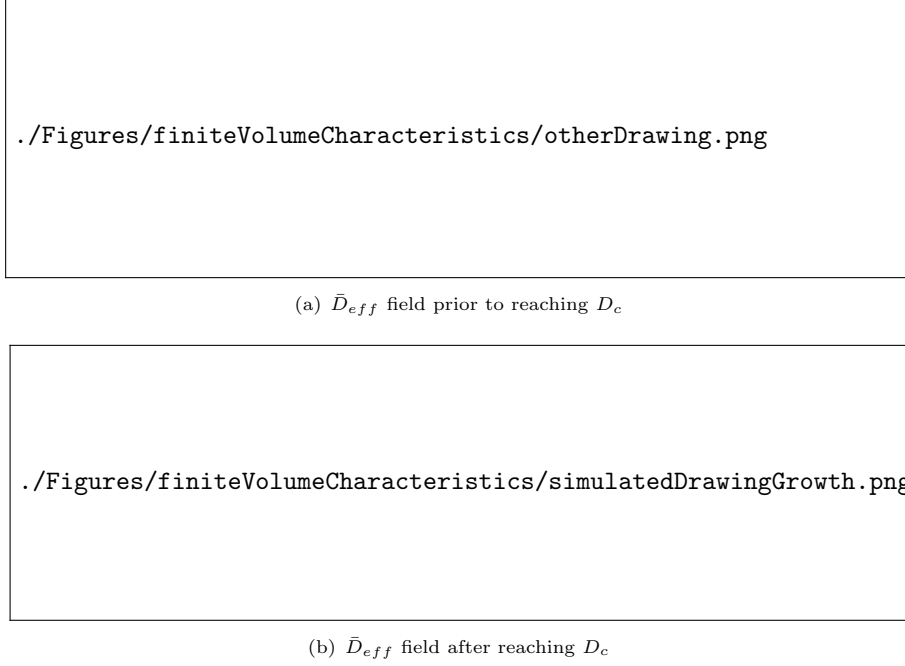
(a) Axisymmetric mesh

./Figures/finiteVolumeCharacteristics/270ChevronCracking.png

(b) 270° rotational extrusion

**Fig. 58** Chevron cracks in wire drawing

The accurate modelling of chevron cracks, using the developed effective non-local damage scheme, is evident in Figure 58. By contrast in Figure 59, the results can be seen if the growth of the local damage field  $D$  is not restricted when  $\bar{D}_{eff} > D_c$  (step (i) in Algorithm ??). The unrestricted growth of the local damage field  $D$  leads in turn to the rapid growth of the  $\bar{D}$  field, and therefore a large number of cells have a value for  $\bar{D}$  which exceeds  $D_c$ . The results of this are rapid crack propagation and an inability to predict the formation of chevron cracks (Figure 59 b).



**Fig. 59** Unstable crack propogation

## 6 Conclusions

Short summary...

The following observations are made from the numerical analyses:

- main conclusions and take away points

Look toward future steps.

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## Appendix A Appendix: Single Cell Verifications

In order to add a further point of comparison, the non-local Lemaitre damage model was also implemented in Abaqus/Standard - a commercial finite element-based software. This was implemented through a user subroutine (UMAT). The Abaqus software has an inbuilt GTN model that we can use to compare with as well.

In order to implement the diffusion equation for the non-local Lemaitre damage model, the approach laid out in Azinpour et al. [138] is employed in this work. In Azinpour et al. [138], the authors make use of the Abaqus' software ability to solve the steady-state heat conduction diffusion equation in coupled temperature-displacement problems.

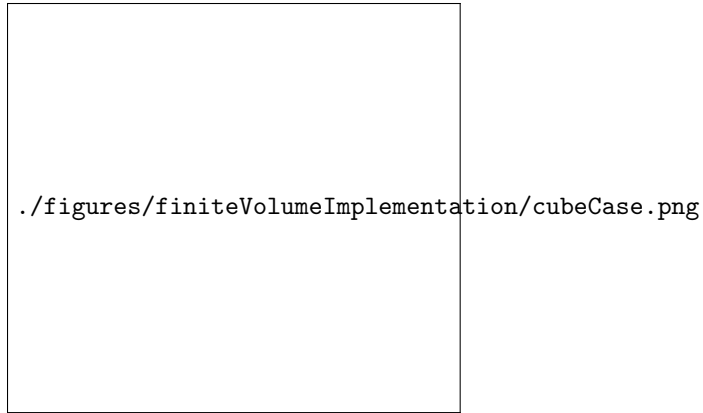
$$q = -k\nabla^2 T \quad (\text{A1})$$

where  $q$  is the source term,  $k$  is the material's conductivity and  $T$  is the temperature.

This equation is made compatible with the non-local gradient equation as shown in table 5.1.

| Field            | Field variable | Diffusion coefficient | Flux term    | Source term   |
|------------------|----------------|-----------------------|--------------|---------------|
| Temperature      | $T$            | $k$                   | $\nabla^2 T$ | $q$           |
| Non-local damage | $\bar{D}$      | $l_c^2$               | $\nabla^2 D$ | $\bar{D} - D$ |

**Table A1** Analogous set-up of the heat equation and non-local gradient equation



**Fig. A1** one element case

In order to verify the implementation of these models, tests are conducted on an element of geometry  $1 \text{ mm} \times 1 \text{ mm} \times 1 \text{ mm}$  (Figure 5.1). The elements used in the solids4Foam toolbox have previously been validated through a patch test [139]. In this test case, a displacement is applied in the  $y$  direction to the top boundary of the element.

### A.1 Lemaitre model

A displacement of  $0.2 \text{ mm}$  is applied with mechanical properties described in Table 5.2. These mechanical properties are taken from Autay et al. [140].

| Property                    | Symbol     | Value                                       |
|-----------------------------|------------|---|
| Young's modulus             | $E$        | 200 GPa                                     |
| Poisson's ratio             | $\nu$      | 0.3   |
| Lemaitre damage denominator | $S_0$      | 0.5 MPa                                     |
| Lemaitre damage exponent    | $b$        | 1.0   |
| Hardening law               | $\sigma_y$ | $200 + 10^3 \times \bar{\varepsilon}^p$ MPa |

**Table A2** Material properties for Lemaitre one cell test

The results gained from simulations in OpenFOAM and Abaqus are compared with the analytical relationships derived in Doghri [141] in Figure 5.2. The analytically derived relationship for the damage  $D$  as a function of the equivalent plastic strain  $\bar{\varepsilon}^p$  is given by

$$(1 - D)^2 = 1 - \frac{\sigma_{y0}^2}{3ES_0} \frac{\sigma_{y0}}{h} \left[ \left( 1 + \frac{h}{\sigma_{y0}} \bar{\varepsilon}^p \right)^3 - 1 \right] R_v \quad (\text{A2})$$

where  $\sigma_{y0}$  and  $h$  are constants in the hardening law  $\sigma_y = \sigma_{y0} + h(\bar{\varepsilon}^p)$ .  $R_v$  is given by:

$$R_v = \frac{2}{3}(1 + \nu) + 3(1 - 2\nu)(\eta) \quad (\text{A3})$$

where the triaxiality  $\eta = 0.33$  for a uniaxial tensile test.


The analytical relationship for the equivalent stress  $\sigma_{eq}$  is also provided

$$\sigma_{eq} = (1 - D)(\sigma_{y0} + h(\bar{\varepsilon}^p)) \quad (\text{A4})$$

It can be observed that the damage increases in an exponential manner with respect to the equivalent plastic strain. This is due to the fact that with the material constant  $b = 1$ , the Damage increases with the square of the effective von Mises equivalent stress (equation 30).


The plastic strain in the  $Y$  direction  $\varepsilon_{yy}^p$  is also given as a function of the equivalent plastic strain  $\bar{\varepsilon}^p$  and compared with the results obtained by Doghri [141] (Figure 5.3).





`./figures/finiteVolumeImplementation/EpsPEQ_Damage.png`

(a) Damage vs. equivalent plastic strain



`./figures/finiteVolumeImplementation/EpsPEQ_EquivStress.png`

(b) Equivalent stress vs. equivalent plastic strain

**Fig. A2** Comparison between OpenFOAM, Abaqus and analytically derived relationships



**Fig. A3** Plastic strain (YY) vs. equivalent plastic strain


## A.2 GTN model

| Property           | Symbol          | Value                                      |
|--------------------|-----------------|--|
| Young's modulus    | $E$             | 200 GPa                                    |
| Poisson's ratio    | $\nu$           | 0.3  |
| q1                 | $q1$            | 1.5  |
| q2                 | $q2$            | 1  |
| q3                 | $q3$            | 2.25                                       |
| Initial porosity   | $f_0$           | 0.002                                      |
| Mean               | $\varepsilon_n$ | 0.3  |
| Standard deviation | $S_n$           | 0.1  |
| Volume fraction    | $f_N$           | 0.2  |
| Hardening law      | $\sigma_y$      | $400 + 300 \times \bar{\varepsilon}^P$ MPa |

**Table A3** Material properties for GTN one cell test


In this section, the results for the GTN model implemented in OpenFOAM are compared with those obtained from the inbuilt GTN model in Abaqus. The material properties used are displayed in Table 5.3. The GTN model in Abaqus does not allow for the inclusion of porous failure criteria (equation 48) in Abaqus/Standard so this feature of the GTN model was neglected. The results are compared in Figure 5.4.

It is clear that there is strong agreement between Abaqus and the OpenFoam implementation. It is notable that the rate of porosity growth declines towards the latter stages of the deformation in Figure 5.4 a). This is due to the fact that the porosity growth due to the nucleation of voids is assumed to follow a Gaussian distribution (equation 46). As will be discussed in chapter ??, this assumption is unlikely to be an accurate description of material behaviour.




`./figures/GTNCompare/displacement_f.png`

(a) Porosity vs. displacement



`./figures/GTNCompare/displacement_sigmaEq.png`

(b) Equivalent stress vs. displacement



`./Figures/GTNCompare/EpsPEq_epsPY.png`

(c) Plastic strain in the Y direction vs. Equivalent plastic strain

**Fig. A4** Comparison between OpenFOAM and Abaqus

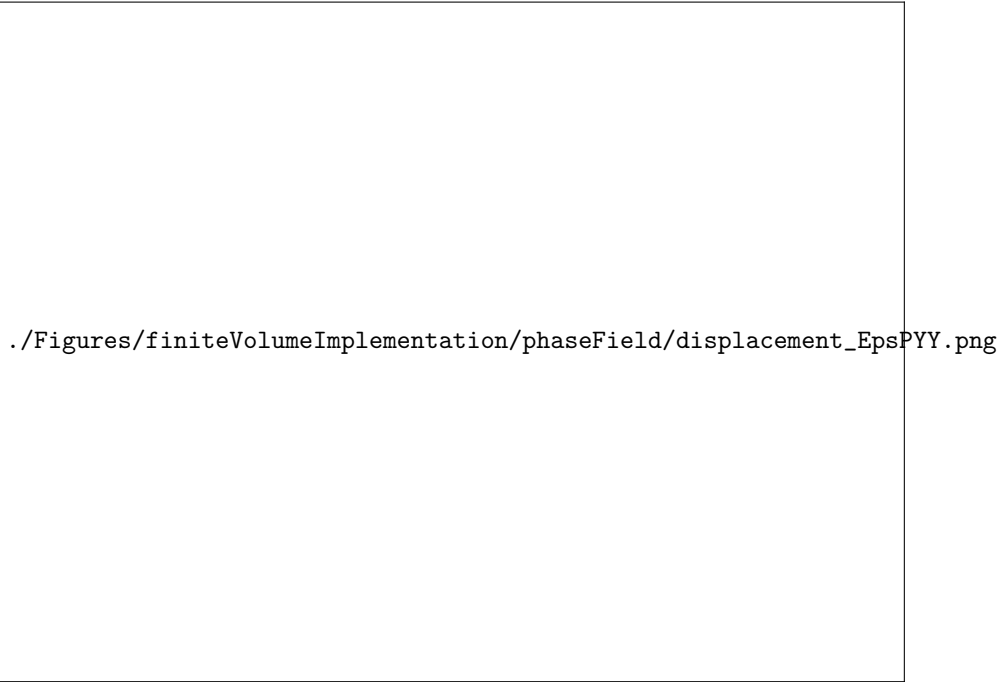
### A.3 Phase field fracture

| Property                 | Symbol     | Value  |
|--------------------------|------------|--|
| Young's modulus          | $E$        | 68.8 GPa   |
| Poisson's ratio          | $\nu$      | 0.33   |
| Critical fracture energy | $G_c$      | $138 \times 10^6 \text{ J/m}^2$                    |
| Plastic work threshold   | $w_0$      | $10^6 \text{ J}$                                   |
| Characteristic length    | $l$        | 2 m  |
| Hardening law            | $\sigma_y$ | $320 + 688 \times \bar{\varepsilon}^p \text{ MPa}$ |

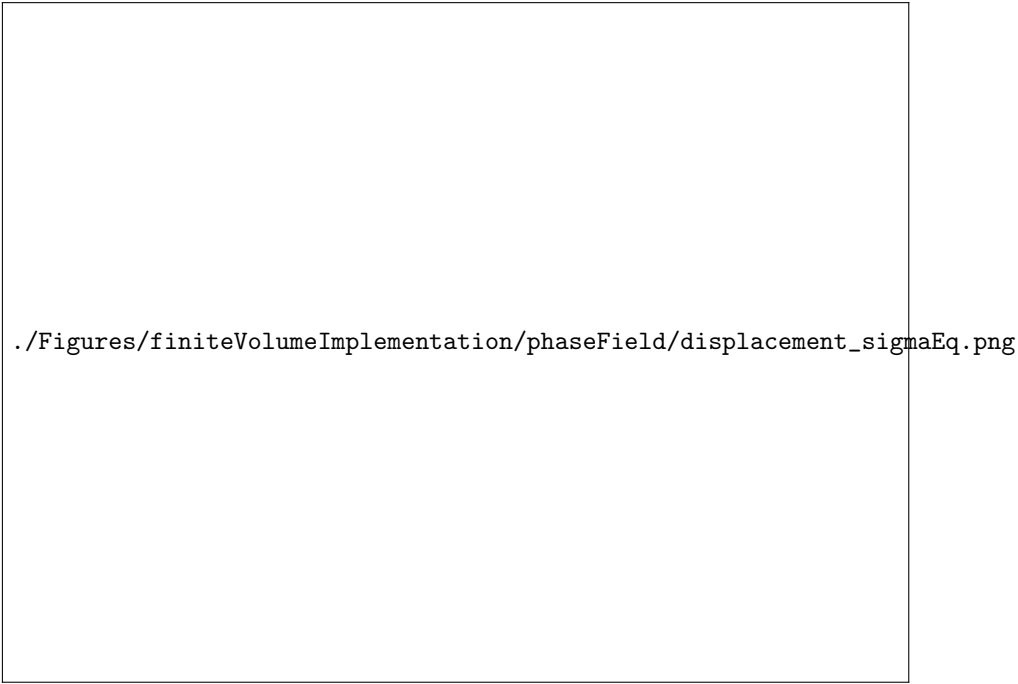
**Table A4** Material properties for phase field fracture one cell test

Here the results obtained from a one-cell test are compared with those obtained by Borden et al. [20] in Figure 5.5.

In this test case, the cell undergoes a rapid loss of load-carrying capacity (Figure 5.6). This is due to the fact that after the plastic work threshold is exceeded, there is a rapid increase in the plastic strain energy contribution to the crack-driving force  $\mathcal{H}$  and therefore growth of the phase field variable  $d$ . The combination of this and the fact that the crack degradation function is proportional to the square of the phase field (  $g_e(d) = (1 - d)^2$  ) leads to the swift reduction in the equivalent stress.



(a) Plastic strain vs. total strain



(b) Equivalent stress vs. total strain

**Fig. A5** Comparison between OpenFOAM and [20]



(a) Crack degradation function  $g(d)$  vs. total strain



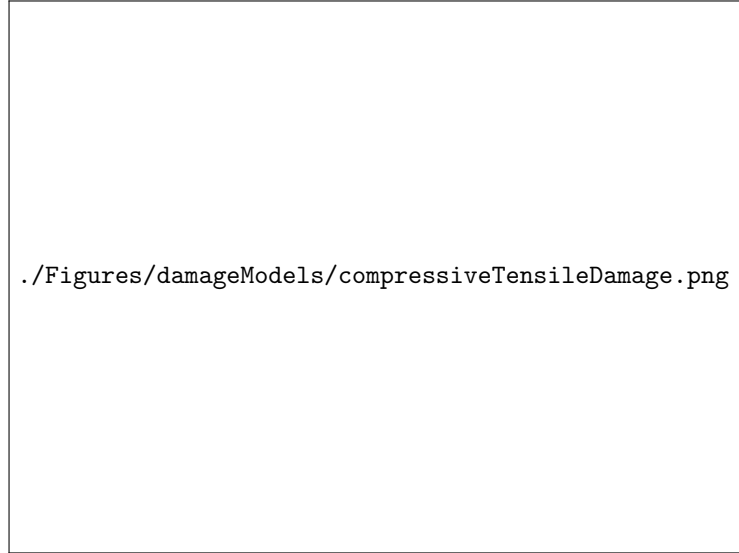
(b) Phase field  $(d)$  vs. total strain

**Fig. A6** Evolution of  $d$  and the crack degradation Function

## Appendix B Phase Field Model Updated-to-Total Lagrangian Transformation

Given that the mesh is moved to the updated configuration after each time step, the non-local equation is solved with respect to this updated mesh configuration. However, sometimes it may be preferred to solve the non-local equation with respect to the initial mesh configuration.

It's worth noting however that the results from the non-local equation will differ if the non-local gradient equation is solved with respect to the initial configuration  $\Omega_o$ . If the non-local equation is solved with respect to the updated configuration, then in tension the non-local damage will tend towards the local damage as the distance between the cell centres will increase. Conversely, in compressive states, the distances between cell centres will decrease leading to greater diffusion in the region of a damaged cell. In order to illustrate this, simulations on a one-dimensional bar of length  $10mm$  were performed. An imperfection is placed at the centre of the bar so that here the local damage  $D = 1.0$ . In all other cells  $D = 0$ . Both 20% compressive and tensile strains were applied. It can be seen in Figure B7 how the distribution of the non-local damage is therefore altered as the bar undergoes tension and compression



**Fig. B7** Non-local damage along the original bar length

It remains a matter of debate in the literature as to whether solving this equation with respect to the initial or updated configuration is preferable [97]. Steinmann [142] has argued that the fact that the non-local damage will tend towards the local damage (in an object undergoing tensile loading) is an argument for solving with respect to the initial configuration to ensure that the mesh independence of the solution remains strong. However, he also noted that this may not be physically realistic as it is not likely the case that a material's non-local properties are completely independent of its deformation path.

In order to ensure that solving the non-local gradient equation with respect to the initial configuration is possible, within the context of an updated Lagrangian solid model, a mathematical and algorithmic approach was developed in this work. This approach allows for the solving of



the non-local gradient equation with respect to the initial configuration within the context of an updated Lagrangian solid model framework.

To begin, the strong integral form of the non-local equation with respect to the initial configuration is stated:

$$\int_{\Omega_o} D \, d\Omega_o - \int_{\Omega_o} \bar{D} \, d\Omega_o + \oint_{\Gamma_o} l_c^2 \mathbf{n}_o \cdot \nabla \bar{D} \, d\Gamma_o = 0 \quad (\text{B5})$$

Given the definition of the volume change  $J$ , the volume in the updated configuration can be related to the initial volume:

$$J_n^{-1} V_u = V_o \quad (\text{B6})$$

where  $J_n$  is the volume change from the previous time step. Nanson's formula is employed to describe the updated  $\Gamma_u$  area vector in terms of the initial area vector  $\Gamma_o$ :

$$\mathbf{\Gamma}_u = J_n \mathbf{F}_n^{-T} \cdot \mathbf{\Gamma}_o \quad (\text{B7})$$

The initial area vector  $\Gamma_o$  can then be rewritten in terms of the updated area vector  $\Gamma_u$ :

$$J_n^{-1} \mathbf{F}_n^T \cdot \mathbf{\Gamma}_u = \mathbf{\Gamma}_o \quad (\text{B8})$$

Using equation B6, the first two volume integral terms in equation B8 can be given in terms of the updated configuration as:

$$\int_{\Omega_o} D \, d\Omega_o = \int_{\Omega_u} J_n^{-1} D \, d\Omega_u \quad (\text{B9})$$

$$\int_{\Omega_o} \bar{D} \, d\Omega_o = \int_{\Omega_u} J_n^{-1} \bar{D} \, d\Omega_u \quad (\text{B10})$$

Gauss' theorem can then be used to reformulate the gradient term within the third term of equation B5:

$$\oint_{\Gamma_o} l_c^2 \mathbf{n}_o \cdot \nabla \bar{D} \, d\Gamma_o = \oint_{\Gamma_o} l_c^2 \mathbf{n}_o \cdot \left( \oint_{\Gamma_o} \mathbf{n}_o \bar{D} \, d\Gamma_o \right) d\Gamma_o \quad (\text{B11})$$

The term on the right-hand side of equation B11 can then be reformulated in terms of the updated configuration by combining it with equation B8 to give

The full equation to be solved is therefore

### Algorithmic approach

Equation ?? is solved as shown in equation B12, with the second and third terms added in to aid with convergence. The divergence, gradient and Laplacian terms are discretised using the Gauss linear scheme [59]. A user-defined number of outer iterations are performed around this equation. The code for its implementation is provided in Appendix C.


$$\begin{aligned}
& \underbrace{\int_{\Omega_u} J_n^{-1} \bar{D} \, d\Omega_u}_{\text{implicit}} - \underbrace{\oint_{\Gamma_u} \mathbf{n}_u \cdot \nabla (\Delta \bar{D}) \, d\Gamma_u}_{\text{implicit}} \\
& + \underbrace{\oint_{\Gamma_u} \mathbf{n}_u \cdot \nabla (\Delta \bar{D}) \, d\Gamma_u}_{\text{explicit}} \\
& - \underbrace{\oint_{\Gamma_u} l_c^2 (J_n^{-1} \mathbf{F}_n^T \cdot \mathbf{n}_u) \cdot \left( \oint_{\Gamma_u} (J_n^{-1} \mathbf{F}_n^T \cdot \mathbf{n}_u) \bar{D} \, d\Gamma_u \right) d\Gamma_u}_{\text{explicit}} \\
& = \underbrace{\int_{\Omega_u} J_n^{-1} \bar{D} \, d\Omega_u}_{\text{explicit}} \tag{B12}
\end{aligned}$$

## B.1 Validation of updated-Lagrangian to reference approach

In this section, the implementation of the approach described in section ?? is verified. This is done by simulating each of case a and case b (from section 5.7) in the following three ways


- With an updated Lagrangian solid model
- With a total Lagrangian solid model
- with an updated Lagrangian solid model and the non-local damage gradient equation solved with respect to the initial configuration

The force-displacement curves for these simulations are given in Figure B8. The results of the UL to reference approach and the total Lagrangian approach line up well. However, in Figure 6.17 a, a slight discrepancy can be observed which given that very fine time-steps and meshes are used for these simulations is unrelated to discretisation error (Appendix A.1). Is unclear why this exists. It may be due to slight differences in the discretisation techniques - in the UL to reference approach the divergence of the gradient of the non-local damage variable  $\bar{D}$  is solved for, whereas in the total Lagrangian approach the option in OpenFOAM to solve the Laplacian directly is used. Some differences in the Rhie-Chow effects between the total-Lagrangian and updated-Lagrangian approach may also contribute.



`./Figures/finiteVolumeCharacteristics/bordenLagCompare_ForceDisp.png`

(a) Flat notched bar - case a



`./Figures/finiteVolumeCharacteristics/axiLagCompare_ForceDisp.png`

(b) Notched round bar - case b

**Fig. B8** Comparison of approaches

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