

# A finite volume framework for damage and fracture prediction in wire drawing

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## Abstract

This article presents the implementation of the canonical Lemaitre and Gurson-Tvergaard-Needleman (GTN) damage models and a more recent phase-field type model within a Lagrangian, geometrically nonlinear, cell-centred finite volume framework. The proposed segregated solution procedure uses Picard-type deferred/defect-correction outer corrections, where the primary unknowns are cell-centre displacements and pressures. Spurious zero-energy modes (numerical oscillations in displacement and pressure) are avoided by introducing stabilisation/smoothing diffusion terms in the pressure and momentum equations. Appropriate scaling of the momentum “Rhie-Chow” stabilisation term is shown to be important in regions of plasticity and damage. To accurately predict damage and fracture in wire drawing where hydrostatic pressure is high, novel variants of the Lemaitre model with crack-closure and triaxiality effects and phase field model with non-local effects are proposed. The developed methods are assessed against experimental measurements for three elastoplastic benchmark cases: (i) flat notched bar, (ii) notched round bar, and (iii) axisymmetric wire drawing. The proposed finite volume approach provides a robust basis for predicting damage in wire drawing, where the proposed novel Lemaitre model with crack-closure effects was shown to be the most suitable for predicting experimentally observed fracture in wire drawing.

**Keywords:** finite volume method, damage, fracture, Lemaitre, Gurson-Tvergaard-Needleman, phase field model, OpenFOAM

## 1 Introduction

Numerical simulations of ductile fracture problems are of great interest in industries such as aerospace [1, 2], automotive [3–6], nuclear [7], and forming industries [8–13] to allow for the prediction of where and when damage or fracture will occur. The availability of computational predictive tools allows for substantial savings in the cost of experiments and design optimisation.

Its manufacturing process will consist of various combinations of wire-drawing steps, flat rolling, and profiled rollers to achieve the desired profile geometry for a given wire. These processes can

often lead to the development of defects and even fracture of the wire during production. By improving understanding of how and why fracture occurs, processes can be optimised to ensure the resulting product is as robust as possible. A computational model for ductile fracture should predict several features, such as the stress and strain distribution, crack/damage origination and propagation and the resulting loss of load-carrying capacity - making coupled damage models of interest for this work.

In the review of Besson [14] the fracture models that have been developed were classified into global and local approaches. The Rice J-integral model is the canonical global approach. This approach suffers from limitations, however, such as that it cannot predict crack initiation and propagation, and the J-integral is also not a material property as it strongly depends on the specimen geometry [15]. These problems were rectified by the J-Q integral approach; however, this approach, in turn, has the limitation that it does not apply to complex geometries [16]. Further developments in this family of models, such as the critical crack tip opening displacement or crack tip opening angle, share the same limitations [17, 18]. These models have been implemented in finite element solvers with remeshing techniques needed to model the crack propagation.

The limitations of the global approach have led to the development of local approaches. In these approaches, a more physically detailed approach is used to characterise the fracture zone. These models can, in turn, be split into surface models (cohesive zone models (CZM)), where fracture occurs on a surface and volume models, where damage or degradation occurs in a volume. The CZM approach is limited because it exhibits strong mesh dependency and often requires a pre-defined crack path. This thesis will focus on the volume or continuum damage mechanics (CDM) approach and the micro-mechanical approach, which will be described later.

Within the past 15 years, the phase field approach to ductile fracture has gained more attention in the literature [19–23]. This approach involves diffusing the sharp crack over a continuum. Models of this form have been implemented here and will be further described later in this work.

While the models described above have been implemented using the finite element method (FEM), they have not been implemented using the finite volume method (FVM) to the authors' knowledge. The Lagrangian approach is commonly used in metal forming simulations because it more effectively captures effects such as elasticity and residual stress than the Eulerian approach. However, the Lagrangian approach can lead to mesh deterioration, which requires adaptive mesh smoothing and field advection (remapping) at each time step [24, 25]. Unlike conventional finite element methods, the finite volume method (FVM) is well suited for handling these advection problems due to its conservative nature. In addition to the Eulerian and Lagrangian methods, there exist hybrid approaches which have characteristics of each of these approaches, most notably the Arbitrary Lagrangian-Eulerian method [26].

While the finite element method (FEM) is most commonly used in structural applications, more recently there has been an increasing development and use of the finite volume method (FVM) [27]. A wide variety of areas in solid and fluid mechanics have been studied using the finite-volume method such as elastoplasticity [11, 13, 28–32], contact mechanics [29, 33, 34], cohesive zone modelling (CZM) [35, 36], fracture simulation [37] and fluid-solid interactions [38–42]. According to this literature, the strongly conservative properties of the finite volume method make it suitable for such problems.

Both Eulerian and Lagrangian approaches have been used, however, issues have arisen with the Eulerian approach for metal forming problems. There have been challenges with handling the advection of material particles through the domain [24, 25]. By contrast, the Lagrangian approach

does not deal with this issue. The updated Lagrangian approach described in [13] is primarily used in this work <sup>1</sup>.

For nonlinear problems, the choice between Finite Element and Finite Volume methods remains ambiguous because of several unresolved numerical issues such as unintended hourglass patterns and pressure oscillations, issues of shear and volume locking, reduced convergence rates for strains and stresses compared to displacements, and susceptibility to mesh irregularities [44]. Finite volume discretisations hold the potential to address these challenges in a unique manner [27].

Finite volume methods stand out for their accuracy and absence of excessive stiffness behaviour, a contrast to the locking phenomena commonly seen in fully integrated finite element methods [27]. Notably, a significant advantage of many finite volume methods lies in their order of accuracy. Unlike numerous finite element schemes where the error in strain and stress decreases at a rate that approximates the first order, finite volume methods often exhibit a second-order rate of reduction in these errors, mirroring the pattern observed for displacements [45]. In the current landscape, as computational models grow in size and with the surge in supercomputing and cloud computing capabilities, the emphasis on code parallelization has magnified. Finite volume methods, both fluid and solid types, have been particularly adaptive in this regard. Leveraging iterative linear solvers, tools like OpenFOAM are designed to harness hundreds or even thousands of CPU cores. This contrasts with many finite element strategies, which historically have favoured direct linear solvers. Consequently, their parallel efficiency tends to be restricted relative to iterative solvers, making the deployment on vast numbers of CPU cores less prevalent [27].

The cell-centred finite volume method is used in this work where the unknowns are specified at the centre of the control volumes. Demirdžic et al. [46] first proposed using the cell-centred finite volume method in its modern form for solid mechanics 30 years ago. This method was further developed by Demirdžić and Muzaferija [47] who generalised the original 2-D method to 3-D convex polyhedral cells. Another class of cell-centred method are the explicit Godunov-type cell-centred approaches based on the work of Trangenstein and Colella [48]. This method was initially used to model the 1-D propagation of waves in elasto-plastic solids but has since been extended in various forms to 3-D grids [49–54]. These methods, as well as other finite-volume based methods, are described in more detail in Cardiff and Demirdžić [27].

ADD Explicit contribution/novelty of this paper. There are three main things: FVM approach, layer addition/removal, and novel forms of damage model. These models are implemented in OpenFOAM, an open-source C++ software that uses the finite volume method to solve solid and fluid mechanics problems. In particular, they have been implemented in the solids4foam OpenFOAM toolbox [? ?], building on previous work [13, 55].

The remainder of the paper is organised as follows: Section 2 describes the details of the mathematical model, including the governing momentum equation in Lagrangian form and the elastoplastic damage laws. Section 7 presents the proposed geometrically nonlinear, cell-centred finite volume framework, including stabilisation terms and the segregated solution algorithm details. The accuracy and robustness of the proposed numerical procedures are assessed against three experimental benchmark cases in Section 11. The article ends with a summary of the main conclusions.

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<sup>1</sup>More specifically this is the incrementally updated Lagrangian method [43]

## 2 Mathematical Model

### 2.1 Governing equation

The dynamic, Lagrangian, strong integral form of linear momentum conservation for a body  $\Omega$ , bounded by a surface  $\Gamma$  with outwards pointing normal  $\mathbf{n}$ , can be expressed as

$$\int_{\Omega} \frac{\partial}{\partial t} \left( \rho \frac{\partial \mathbf{u}}{\partial t} \right) d\Omega = \oint_{\Gamma} \mathbf{n} \cdot \boldsymbol{\sigma} d\Gamma \quad (1)$$

where  $\rho$  is the density,  $\partial \mathbf{u}$  is the displacement vector,  $\boldsymbol{\sigma}$  is the true (Cauchy) stress, and body forces are neglected.

Equivalently, momentum conservation can be reformulated with respect to the initial reference configuration as

$$\int_{\Omega_o} \rho_o \frac{\partial^2 \mathbf{u}}{\partial t^2} d\Omega_o = \oint_{\Gamma_o} (J \mathbf{F}^{-T} \cdot \mathbf{n}_o) \cdot \boldsymbol{\sigma} d\Gamma_o \quad (2)$$

where subscript  $o$  indicates quantities in the initial reference configuration. To achieve this equivalent form, Nanson's formula [56] has been employed to relate the deformed area vector  $\boldsymbol{\Gamma}$  with the initial area vector  $\boldsymbol{\Gamma}_o$ :

$$\boldsymbol{\Gamma} = J \mathbf{F}^{-T} \cdot \boldsymbol{\Gamma}_o \quad (3)$$

where the deformation gradient is defined as  $\mathbf{F} = \mathbf{I} + (\nabla \mathbf{u})^T$  and its determinant (Jacobian) as  $J = \det(\mathbf{F})$ .

Similarly, the momentum equation can be reformulated with respect to the *updated* configuration as

$$\int_{\Omega_u} \frac{\partial}{\partial t} \left( \rho_u \frac{\partial \mathbf{u}}{\partial t} \right) d\Omega_u = \oint_{\Gamma_u} (j \mathbf{f}^{-T} \cdot \mathbf{n}_u) \cdot \boldsymbol{\sigma} d\Gamma_u \quad (4)$$

where subscript  $u$  indicates quantities in the updated configuration. The *relative* deformation gradient is given in terms of the displacement increment as  $\mathbf{f} = \mathbf{I} + \nabla(\Delta \mathbf{u})^T$  and the relative Jacobian as  $j = \det(\mathbf{f})$ .

The updated configuration form will be adopted in the current work, resulting in an updated Lagrangian formulation. Although the total Lagrangian (initial configuration) is a viable option, developing Eulerian-type upstream and downstream mesh layer addition and removal conditions is conceptually easier in an updated Lagrangian formulation.

### 2.2 Constitutive laws

- maths details of the three damage laws - proposed modifications

As noted in the literature review of Garrison and Moody [57], ductile fracture in metals occurs in three stages (Figures 4.1 and 4.2). First voids are nucleated at material defects (usually inclusions). In some materials, these voids may also preexist in the material. Large plastic deformations then cause these voids to grow. When large enough, these voids then coalesce to form micro-cracks and then macro-cracks. The recent literature reviews of Cao [9] and Tekkaya et al. [10] characterise coupled models used to describe fracture in metal forming processes into two categories, continuum damage mechanics (CDM) models and micro-mechanical models.

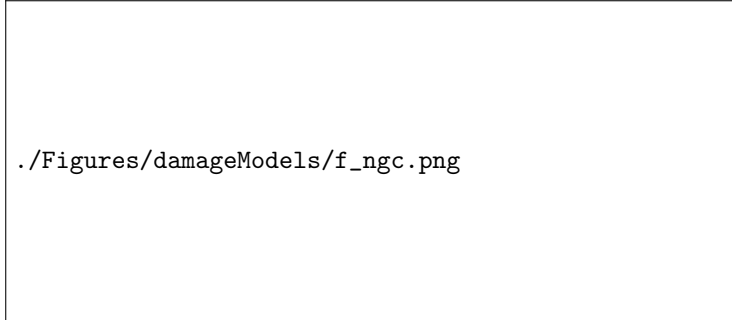
In the field of continuum damage mechanics, an internal damage variable is used to describe the accumulation of microstructural degradation within a material due to various types of loading. This degradation is typically reflected in an increase in the density of internal defects, such as microcracks, dislocations, or voids. The internal damage variable is continuous, meaning it can take

on any value within a given range. The micro-mechanical approach is also continuous in nature and describes the material behaviour by positing the existence of micro-voids in the material. The density of the voids in the material is described by a variable denoted as porosity. The material degradation is characterized by the porosity increasing due to the nucleation, growth and coalescence of these voids. As noted in the literature reviews of Cao [9], Besson [14] and Tekkaya et al. [10], the canonical frameworks in the CDM and micro-mechanical approaches are the Lemaitre [58, 59] and the Gurson—Tvergaard—Needleman (GTN) [60, 61] models respectively.

In this chapter, a brief description of the historical development of these models will be provided, and suitable algorithmic implementations of them developed and described. More recent developments in the formulations of these models are also described and implemented.



**Fig. 1** Ductile damage evolution [62]



**Fig. 2** Illustration of the nucleation, growth and coalescence of voids [63]

### 3 Preliminaries

Before describing these models in more detail, it is necessary to define the stress triaxiality  $\eta$  and the lode angle (and related parameters)  $\theta$  [64, 65]. There exists an extensive literature noting the importance of these two parameters in predicting ductile fracture [9, 10, 14]. The triaxiality parameter  $\eta$  is given by:

$$\eta = \frac{p}{q} \quad (5)$$

where  $p$  is the pressure ( $p = \tau_h$ ) and  $q$  is the von Mises equivalent stress (equation 3.34).

The lode angle can be physically interpreted as a measure of how shear-dominated the stress state is. It can be rewritten as a function of the normalized third invariant of the deviatoric stress tensor:

$$\theta = \frac{1}{3} \arccos(\xi) \quad (6)$$

The parameter  $\xi$  is determined as a ratio between the third invariant and the von Mises equivalent stress:

$$\xi = \left( \frac{r}{q} \right)^3 \quad (7)$$

Where  $r$  is given by:

$$r = \left[ \frac{27}{2} J_3 \right]^{1/3} = \left[ \frac{27}{2} \det(\mathbf{s}) \right]^{1/3} \quad (8)$$

Where  $J_3$  is the third invariant of the deviatoric stress tensor  $\mathbf{s}$ . The lode angle ranges between 0 and  $\frac{\pi}{3}$ . The range of  $\xi$  is between  $-1$  and  $1$ . The lode angle has been normalised to create the lode parameter by Bai and Wierzbicki [64]:

$$\bar{\theta} = 1 - \frac{6\theta}{\pi} \quad (9)$$

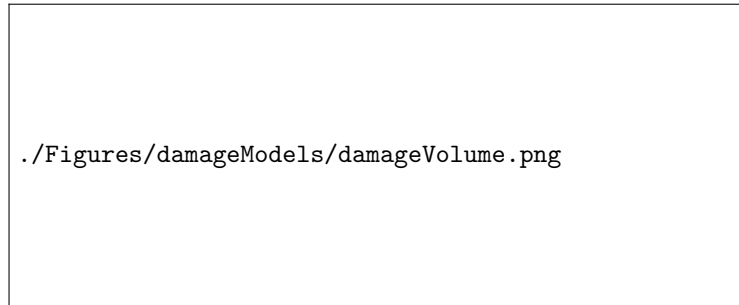
$\bar{\theta}$  has a range between  $-1$  and  $1$ .

## 4 Lemaitre damage model

### 4.1 Historical development

As previously mentioned, at the micro-scale, damage can be viewed as a discontinuous phenomenon, consisting of the decohesion of atomic bonds and the magnification of micro-voids by plasticity. Kachanov [66] proposed a continuous internal variable to characterize the density of these internal defects leading to a loss of the load-carrying capabilities of the material. Rabotnov [67] later proposed that this variable be characterised by the reduction in the cross-sectional area of the material due to the development of inclusions and cavities.

In order to illustrate this concept, a macroscale volume (or representative volume element) is isolated from a damaged body in Figure 3. This volume is large enough such that the randomly distributed microvoids can be characterized by a continuous damage variable.



**Fig. 3** Representaive volume element isolated from a damaged body [68]

For the representative volume element (RVE),  $A_D$  is equal to the area occupied by defects,  $A$  is equal to the remaining area of the surface and  $A_o$  is the original area of the surface, prior to the

development of micro voids and micro-cracks. The damage variable is then given by:

$$D = \frac{A_o - A}{A_o} = \frac{A_d}{A_o} \quad (10)$$

When no damage has taken place, the damage variable is, therefore, equal to 0 (virgin material), whereas when the material is equal to 1 this corresponds to the fully damaged material. From a physical point of view, the variable  $D$  is the corrected area of cracks and cavities per unit surface cut by a plane perpendicular to  $\mathbf{n}$ . From this definition of damage follows the concept of the effective stress. Let us consider a uniaxial case where a force  $F$  is applied to the RVE. The stress is given by:

$$\sigma = \frac{F}{A_o} \quad (11)$$

After the development of damage, however, the surface area of the macroscale volume which the force  $F$  acts upon is reduced. The effective area is given in terms of the original area and the damage variable is as follows:

$$\frac{A_o - A}{A_o} = D \quad (12)$$

$$A_o - A = D A_o \quad (13)$$

$$\frac{A_o - A}{A_o} = D \quad (14)$$

$$A = (1 - D) A_o \quad (15)$$

The effective stress is then determined by combining this relation with the equation for the stress (equation 4.7):

$$\bar{\sigma} = \frac{F}{(1 - D) A_o} = \frac{\sigma}{(1 - D)} \quad (16)$$

Based on these macroscopic considerations, Lemaitre [58] developed a thermodynamically consistent model.

## 4.2 Thermodynamic framework

The Lemaitre damage model described herein is coupled with the isotropic plasticity model laid out in chapter 3. In the Lemaitre model, a free energy potential  $\psi$  is postulated from which the state laws are derived. The free energy is expressed as a function of the elastic strain  $\boldsymbol{\varepsilon}^e$ , the hardening variable  $\alpha$  and the damage variable  $D$  in the form:

$$\psi = \psi(\boldsymbol{\varepsilon}^e, \alpha, D) \quad (17)$$

The free energy potential can be decomposed into its elastic-damage and plastic contributions:

$$\psi(\boldsymbol{\varepsilon}^e, \alpha, D) = \psi^{ed}(\boldsymbol{\varepsilon}^e, D) + \psi^p(\alpha) \quad (18)$$

Where  $\psi^{ed}$  and  $\psi^p$  are the elastic-damage and plastic energy contributions respectively. The elastic-damage potential is assumed to be:

$$\bar{\rho} \psi^{ed} = \frac{1}{2} \boldsymbol{\varepsilon}^e : \mathbf{D}^e (1 - D) : \boldsymbol{\varepsilon}^e \quad (19)$$

The Kirchhoff stress tensor is obtained by finding the derivative with respect to the elastic strain (section 3.2.1):

$$\boldsymbol{\tau} = \bar{\rho} \frac{\partial \psi^{ed}}{\partial \boldsymbol{\varepsilon}^e} = \mathbf{D}^e (1 - D) : \boldsymbol{\varepsilon}^e \quad (20)$$

The energy release rate  $Y$ , which gives the energy dissipated due to the phenomenon of damage, is given by:

$$Y = \bar{\rho} \frac{\partial \psi^{ed}}{\partial D} = -\frac{1}{2} \boldsymbol{\varepsilon}^e : \mathbf{D}^e : \boldsymbol{\varepsilon}^e \quad (21)$$

This can equivalently be written as:

$$Y = -\frac{1}{2} \bar{\boldsymbol{\tau}} : \mathbf{D}^{e-1} : \bar{\boldsymbol{\tau}} \quad (22)$$

With some algebraic manipulation [59]:

$$Y = -\frac{\bar{\tau}_{eq}^2}{2E} \left( \frac{2}{3} (1 + \nu) + 3(1 - 2\nu) \left( \frac{\tau_h}{\tau_{eq}} \right)^2 \right) \quad (23)$$

where  $E$  is the Young's modulus and  $\nu$  is Poisson's ratio. The triaxiality dependence of the Lemaitre mode is provided by the term  $\frac{\tau_h}{\tau_{eq}}$ , which is the definition of stress triaxiality  $\eta$ . The state potential associated with the isotropic hardening variable is given by:

$$\chi = \rho \frac{\partial \psi^p}{\partial \alpha} \quad (24)$$

The hardening variable  $\alpha$  is commonly chosen as the equivalent plastic strain denoted by  $\bar{\varepsilon}^p$ . The Clausius-Duhem inequality (equation 3.28) is rewritten to incorporate the damage  $D$  and its associated thermodynamic force  $Y$  to give:

$$\boldsymbol{\tau} : \dot{\mathbf{d}}^p - \chi \dot{\alpha} - Y \dot{D} \geq 0 \quad (25)$$

### 4.3 Constitutive equations

The dissipation potential  $F$  is defined:

$$F = F_p + F_d \quad (26)$$

Where  $F_p$  and  $F_d$  are the dissipation potentials associated with plasticity and damage respectively. The plastic potential is given by the Von Mises yield criterion:

$$F_p = \frac{\tau_{eq}}{(1 - D)} - \sigma_y(\alpha) \quad (27)$$

And the damage dissipation potential is given by Lemaitre [58]:

$$F_d = \frac{S_0}{(b + 1)(1 - D)} \left[ \frac{-Y}{S_0} \right]^{b+1} \quad (28)$$

Where  $S_0$  and  $b$  are material parameters. Plastic isotropy is assumed and, in order to ensure that dissipation is always positive, the Kuhn-Tucker conditions must always hold at every material



point:

$$\begin{cases} \dot{\gamma} \geq 0 \\ F \geq 0 \\ \dot{\gamma}F = 0 \end{cases} \quad (29)$$

The constitutive equations are therefore given by:

$$\bar{\mathbf{d}}^p = \dot{\gamma} \frac{\partial F}{\partial \boldsymbol{\tau}} = \frac{3}{2} \frac{\dot{\gamma}}{(1-D)} \frac{\boldsymbol{\tau}_d}{\tau_{eq}} \quad (30)$$

$$\dot{\alpha} = -\dot{\gamma} \frac{\partial F}{\partial \chi} = \dot{\gamma} \quad (31)$$

$$\dot{D} = -\dot{\gamma} \frac{\partial F}{\partial Y} = \frac{\dot{\gamma}}{(1-D)} \left[ \frac{-Y}{S_0} \right]^b \quad (32)$$

We can define equation 4.26 in terms of the multiplicative plastic deformation gradient  $\mathbf{F}^p$  by combining it with equation 3.11 to give:

$$\mathbf{F}^p \dot{\mathbf{F}}^{p-1} = \frac{\dot{\gamma}}{1-D} \mathbf{R}^{eT} \left( \frac{3}{2} \frac{\boldsymbol{\tau}_d}{\tau_{eq}} \right) \mathbf{R}^e \quad (33)$$

#### 4.4 Computational procedure

For each cell, the incremental displacement field  $\Delta \mathbf{u}$  for the current time step  $t_{n+1}$ , at the current iteration, is provided. The following variables from the previous time step are also given:

$$\{F_n^p, \alpha_n, D_n\} \quad (34)$$

The goal is then to have a return-mapping algorithm to obtain the following variables at time  $t_{n+1}$

$$\{F_{n+1}^p, \alpha_{n+1}, \boldsymbol{\sigma}_{n+1}, D_{n+1}\} \quad (35)$$

In order to obtain these values, the algorithm must satisfy the following constitutive behaviour:

$$\dot{\mathbf{F}}^p \mathbf{F}^{p-1} = \frac{3}{2} \frac{\dot{\gamma}}{(1-D)} \mathbf{R}^{eT} \frac{\boldsymbol{\tau}_d}{\tau_{eq}} \mathbf{R}^e = \bar{\mathbf{d}}^p \quad (36)$$

$$\dot{\alpha} = \dot{\gamma} \quad (37)$$

$$\dot{D} = -\dot{\gamma} \frac{\partial F}{\partial Y} = \frac{\dot{\gamma}}{(1-D)} \left[ \frac{-Y}{S_0} \right]^b \quad (38)$$

Equation 36 is integrated using the one-step implicit integration operator corresponding to the Euler backward method to get:

$$\mathbf{F}_{n+1}^p = \exp \left[ \frac{3}{2} \frac{\Delta \gamma}{(1-D_{n+1})} \mathbf{R}_{n+1}^{eT} \frac{\boldsymbol{\tau}_{d_{n+1}}}{\tau_{eq \ n+1}} \mathbf{R}_{n+1}^e \right] \mathbf{F}_n^p \quad (39)$$

Following the procedure laid out in section 3.2.3, the additive split for the elastic and plastic strain is obtained:

$$\boldsymbol{\varepsilon}_{n+1}^e = \boldsymbol{\varepsilon}_{n+1}^{e \ trial} - \frac{3}{2} \frac{\Delta \gamma}{(1-D_{n+1})} \frac{\boldsymbol{\tau}_{d_{n+1}}}{\tau_{eq \ n+1}} \quad (40)$$

The integration of the other constitutive equations using the backwards Euler scheme is simply given by:

$$\alpha_{n+1} - \alpha_n = \Delta\gamma \quad (41)$$

$$\alpha_{n+1} = \alpha_n + \Delta\gamma \quad (42)$$

$$D_{n+1} - D_n = \frac{\Delta\gamma}{(1 - D_{n+1})} \left[ \frac{-Y_{n+1}}{S_0} \right]^b \quad (43)$$

$$D_{n+1} = D_n + \frac{\Delta\gamma}{(1 - D_{n+1})} \left[ \frac{-Y_{n+1}}{S_0} \right]^b \quad (44)$$

The final system of equations to be solved when the material is undergoing plastic straining is therefore given by:

$$\begin{cases} \frac{\tau_{eq\ n+1}}{1-D_{n+1}} - \sigma_y(\alpha_{n+1}) = 0 \\ \epsilon_{n+1}^e = \epsilon_{n+1}^{e\ trial} - \frac{3}{2} \frac{\Delta\gamma}{1-D_{n+1}} \frac{\tau_{d_{n+1}}}{\tau_{eq\ n+1}} \\ \alpha_{n+1} = \alpha_n + \Delta\gamma \\ D_{n+1} = D_n + \frac{\Delta\gamma}{(1-D_{n+1})} \left[ \frac{-Y_{n+1}}{S_0} \right]^b \end{cases} \quad (45)$$

An implicit-explicit algorithm has been developed in this work to solve this set of equations. At every iteration, the plastic increment is solved for implicitly - with the value for the damage fixed from the previous outer iteration, the damage is then solved explicitly also using the damage from the previous iteration. Such an algorithm is highly beneficial as it does not require the use of a  $2 \times 2$  matrix (or an even higher dimension matrix if one was to solve for anisotropic plasticity or plasticity incorporating kinematic hardening) that would be needed if both the damage and the plastic increment were solved for implicitly simultaneously. By not requiring the solution of both the plasticity and damage implicitly, the mathematics are simplified when solving for more complex formulations of the Lemaitre damage evolution equation, and for the 'non-local damage' which will be described later in this section.

The superscript notation laid out in equations 46 and 47 is used to indicate whether the damage variable is from the current iteration or from the previous iteration. The superscript  $^{i+1}$  means that the variable is from the current outer iteration while the superscript  $^i$  means that the variable is from the previous outer iteration.

$$D_{n+1}^{i+1} \quad (46)$$

$$D_{n+1}^i \quad (47)$$

The first three of the equations in the system given in 4.41 can be satisfied by solving the yield potential for the plastic increment  $\Delta\gamma$ , with the damage obtained from the previous iteration frozen.

First, the von Mises stress is defined in terms of the elastic strain and combined with equation 4.36:

$$fix \quad (48)$$

Following the procedure laid out in Section 3.2.3, the equation for the yield function in terms of the plastic increment can be obtained in terms of the plastic increment:

$$\bar{\tau}_{eq\ n+1}^{trial} - 3\mu \frac{\Delta\gamma}{(1 - D_{n+1}^i)} - \sigma_y(\alpha_n + \Delta\gamma) = 0 \quad (49)$$

This is solved implicitly using the Newton–Raphson algorithm for  $\Delta\gamma$ , the stress tensor and the plastic strain tensor are then updated based on this value. After updating these values, the damage equation can then be solved explicitly.

A triaxiality cut-off is employed here [69] below which damage does not evolve. An additional parameter, the critical damage  $D_c$  is also incorporated [58]. This critical damage material parameter gives the maximum value the damage  $D$  can evolve to, at which point the cell is assumed to be fully damaged. The fully damaged state is accounted for in this work by setting the damage equal to 0.99. The damage is not set to 1 to allow for some residual stresses in this cell to aid convergence.

$$D_{n+1}^{i+1} = \begin{cases} D_n & \text{if } \eta \leq -\frac{1}{3} \\ D_n + \frac{\Delta\gamma}{(1-D_{n+1}^i)} \left[ \frac{-Y_{n+1}}{r} \right]^s & \text{if } \eta > -\frac{1}{3} \end{cases} \quad (50)$$

$$D_{n+1}^{i+1} = \begin{cases} D_n + \frac{\Delta\gamma}{(1-D_{n+1}^i)} \left[ \frac{-Y_{n+1}}{r} \right]^s & \text{if } D_{n+1}^i < D_c \\ 0.99 & \text{if } D_{n+1}^i \geq D_c \end{cases} \quad (51)$$

This overall procedure for the Lemaitre damage model is summarized in Algorithm 3.

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**Algorithm 1:** Lemaitre Damage Model

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(i) Update deformation gradients for given incremental displacement

$$\mathbf{f}_{n+1} = \mathbf{I} + \nabla [\Delta \mathbf{u}]$$

$$\mathbf{F}_{n+1} = \mathbf{f}_{n+1} \mathbf{F}_n$$

(ii) Compute trial elasticity

$$\mathbf{B}_n^e = \exp[2\boldsymbol{\varepsilon}_n^e]$$

$$\mathbf{B}_{trial}^e = \mathbf{f}_{n+1} \mathbf{B}_n^e (\mathbf{f}_{n+1})^T$$

$$\boldsymbol{\varepsilon}_{trial}^e = \frac{1}{2} \ln[\mathbf{B}_{trial}^e]$$

$$\alpha_{trial} = \alpha_n$$

$$\bar{\tau}_{eq}^{trial} = \sqrt{\frac{3}{2}} \|2\mu \operatorname{dev}(\boldsymbol{\varepsilon}_{trial}^e)\|$$

$$f_{trial} = \bar{\tau}_{eq}^{trial} - \sigma_y(\alpha)$$

**if**  $f_{trial} > 0$  **then**

    | go to step iii to solve for  $\Delta\gamma$

**else**

    |  $\Delta\gamma = 0$  and go to step iv

**end**

(iii) Enter small-strain return map and solve for  $\Delta\gamma$  using the Newton-Raphson method to solve the equation:

$$\bar{\tau}_{eq}^{trial} - \frac{3\mu\Delta\gamma}{1 - D_{n+1}^i} - \sigma_y(\alpha + \Delta\gamma) = 0$$

(iv) Update constitutive variables

$$\alpha_{n+1} = \alpha_n + \Delta\gamma$$

$$\boldsymbol{\varepsilon}_{n+1}^e = \boldsymbol{\varepsilon}_{trial}^e - \sqrt{\frac{3}{2}} \frac{\Delta\gamma}{1 - D_{n+1}^i} \frac{\operatorname{dev}(\boldsymbol{\varepsilon}_{trial}^e)}{\|\boldsymbol{\varepsilon}_{trial}^e\|}$$

$$\boldsymbol{\tau}_{n+1} = (1 - D_{n+1}^i) \mathbf{D}^e : \boldsymbol{\varepsilon}_{n+1}^e$$

$$\boldsymbol{\sigma}_{n+1} = \frac{1}{J} \boldsymbol{\tau}_{n+1}$$

(v) Update damage field (equation 51)

---

## 4.5 Alternative Lemaitre formulations

Various alternative formulations of the Lemaitre damage model have been proposed in the literature. Typically, these models entail an alteration of the damage dissipation potential [69–77] and therefore, following the procedure described in section 4.2.3, an alternative damage evolution equation is derived.

Of particular interest in this work is the class of models [74–76] based on the formulation developed by Malcher and Mamiya [74]. This class of models have shown an ability to accurately predict fracture for a range of loading conditions - at both low and high triaxiality values and for various shear stress states.

As noted in Malcher and Mamiya [74], the classic Lemaitre damage model has a tendency to predict fracture too early at low triaxialities and too late at high triaxialities values. To remedy this, an alternative damage dissipation potential was proposed:

$$F_D = \frac{S(\eta, \xi)}{(1-D)(b+1)} \left[ \frac{-Y}{S(\eta, \xi)} \right]^{b+1} \quad (52)$$

Following the procedure described in section 4.2.3 of this thesis, the damage evolution equation is then given as:

$$\dot{D} = \frac{\dot{\gamma}}{(1-D)} \left[ \frac{-Y}{S(\eta, \xi)} \right]^b \quad (53)$$

The Lemaitre damage denominator is modified to become a function of the triaxiality  $\eta$  and in some cases the normalised third invariant  $\xi$ . The damage denominator is given by Malcher and Mamiya [74] as:

$$S(\eta, \xi) = \frac{S_{0.33}}{3|\eta| + \frac{S_{0.33}}{S_{0.0}}(1 - \xi^2)} \quad (54)$$

One weakness of this formulation, however, is that it does not distinguish between positive and negative triaxiality values. In Chapter 7, a novel Lemaitre damage model is proposed in this work that is conceptually similar to the above formulation.

It is worth noting the benefit of the developed implicit-explicit algorithm when looking at this class of models. The fact that the developed algorithm does not require the solution of the damage implicitly means that incorporating novel damage evolution equations into the existing code is relatively simple, without the need to calculate derivatives that can become quite complex when incorporating shear effects, in particular, [74].

## 4.6 Non-local damage

One limitation of damage models is that due to the strain softening behaviour, their results may suffer from mesh size and orientation dependency in localized strain zones [78–80]. The governing differential equations can lead to multiple possible solutions due to the nonlinearity and softening response. The finite element or volume solution process may converge to one of these possible solutions depending on factors like the mesh, boundary conditions, or solver parameters. This brings the uniqueness of the solution into question. In order to rectify this, an implicit non-local damage variable  $\bar{D}$  is introduced as in Peerlings et al. [78, 79] and Geers et al. [80]. This is related to the local damage variable  $D$  through the implicit gradient equation:

$$(D - \bar{D}) + l_c^2 \nabla^2 D = 0 \quad (55)$$

Where  $l_c$  is a characteristic length scale which controls the area over which the local damage is diffused. This equation can be viewed as a smoothing equation which has the effect of mitigating the mesh dependency of the solution.

This equation is solved with the Neumann boundary equation on the boundary  $\Gamma$

$$\nabla \bar{D} \cdot \mathbf{n} = 0 \quad (56)$$

The Algorithm for incorporating the non-local damage is provided in Algorithm 4. As can be seen, the procedure is very similar to that in the local Lemaitre damage model. Algorithm 4 is

provided to clarify how the non-local damage is coupled with the elasto-plastic model and the steps involved prior to solving the non-local damage gradient equation.

### **Implementation**

Equation 55 is given in its strong integral form, with respect to the updated configuration, as

$$\int_{\Omega_u} D \, d\Omega_u - \int_{\Omega_u} \bar{D} \, d\Omega_u + \oint_{\Gamma_u} l_c^2 \, \mathbf{n}_u \cdot \nabla \bar{D} \, d\Gamma_u = 0 \quad (57)$$

In the context of the overall algorithm for the non-local Lemaitre damage model, the non-local damage  $\bar{D}$  is solved for implicitly at the current iteration and the current time step, using the local damage  $D$  at the current time step and current iteration.

$$\int_{\Omega_u} D_{n+1}^{i+1} \, d\Omega_u - \int_{\Omega_u} \bar{D}_{n+1}^{i+1} \, d\Omega_u + \oint_{\Gamma_u} l_c^2 \, \mathbf{n}_u \cdot \nabla \bar{D}_{n+1}^{i+1} \, d\Gamma_u = 0 \quad (58)$$

---

**Algorithm 2:** Non-local Lemaitre damage model

---

(i) Update Relative Deformation for given incremental displacement

$$\mathbf{f}_{n+1} = \mathbf{I} + \nabla [\Delta \mathbf{u}]$$

$$\mathbf{F}_{n+1} = \mathbf{f}_{n+1} \mathbf{F}_n$$

(ii) Compute trial elasticity

$$\mathbf{B}_n^e = \exp[2\boldsymbol{\varepsilon}_n^e]$$

$$\mathbf{B}_{trial}^e = \mathbf{f}_{n+1} \mathbf{B}_n^e (\mathbf{f}_{n+1})^T$$

$$\boldsymbol{\varepsilon}_{trial}^e = \frac{1}{2} \ln[\mathbf{B}_{trial}^e]$$

$$\alpha_{trial} = \alpha_n$$

$$\bar{\tau}_{eq}^{trial} = \sqrt{\frac{3}{2}} \|2\mu \operatorname{dev}(\boldsymbol{\varepsilon}_{trial}^e)\|$$

$$f_{trial} = \bar{\tau}_{eq}^{trial} - \sigma_y(\alpha)$$

**if**  $f_{trial} > 0$  **then**

  | go to step iii to solve for  $\Delta\gamma$

**else**

  |  $\Delta\gamma = 0$  and go to step iv

**end**

(iii) Enter small-strain return map and solve for  $\Delta\gamma$  using the Newton-Raphson method to solve the equation:

$$\bar{\tau}_{eq}^{trial} - \frac{3\mu\Delta\gamma}{1 - \bar{D}_{n+1}^i} - \sigma_y(a + \Delta\gamma) = 0$$

(iv) Update Constitutive Variables

$$\alpha_{n+1} = \alpha_n + \Delta\gamma$$

$$\boldsymbol{\varepsilon}_{n+1}^e = \boldsymbol{\varepsilon}_{trial}^e - \sqrt{\frac{3}{2}} \frac{\Delta\gamma}{(1 - \bar{D}_{n+1}^i)} \frac{\operatorname{dev}(\boldsymbol{\varepsilon}_{trial}^e)}{\|\boldsymbol{\varepsilon}_{trial}^e\|}$$

$$\boldsymbol{\tau}_{n+1} = (1 - \bar{D}_{n+1}^i) \mathbf{D}^e : \boldsymbol{\varepsilon}_{n+1}^e$$

$$\boldsymbol{\sigma}_{n+1} = \frac{1}{J} \boldsymbol{\tau}_{n+1}$$

(v) Update local damage field (equation 51)

(vi) Update non-local damage field (equation 55)

---

## 4.7 Crack-closure effects

One of the limitations of the classic Lemaitre damage model is that it does not distinguish between tensile and compressive stress states, whereas it is known from experiments that tensile stresses are considerably more conducive to crack growth than compressive stresses [81]. In order to have a more physically realistic model, some authors use models which consider there to be no crack growth in compressive stress states [82]. However, this does not account for some of the particular aspects of crack closure during compressive stress states. When subjected to compressive stresses, micro-defects in the material may partially close, causing a greater area of the material to bear the load of the compression. As a result, the material may exhibit a partial or complete recovery

of its stiffness, depending on the specific conditions [62]. This approach also does not account for the fact that some crack growth can occur in compressive stages [25].

The enhanced Lemaitre model with crack-closure effects [62, 83] is therefore used to give a more accurate account of damage development. In this model, an effective stress for compressive stress states is introduced. It differs from that in equation 4.12 through the introduction of the material parameter  $h$  (and given here in terms of the Kirchhoff stress):

$$\bar{\boldsymbol{\tau}} = \frac{\boldsymbol{\tau}}{(1 - hD)} \quad (59)$$

For the sake of numerical efficiency, a simplified form of the crack-closure model [62] is employed in this work whereby this formulation for the effective stress is only utilised in the damage evolution procedure.

Under compressive stress, micro-cracks will partially or fully close leading to an increase in the load-carrying area. The parameter  $h$  where  $0 \leq h \leq 1$  accounts for the crack closure phenomenon ( $h$  is usually taken to be 0.2 for steels [69, 84, 85]). An eigen decomposition is performed to split the stress tensor into its positive and negative components

$$\boldsymbol{\tau} = \boldsymbol{\tau}_+ + \boldsymbol{\tau}_- \quad (60)$$

The positive and negative stress contributions are given as:

$$\boldsymbol{\tau}_+ = \sum_{i=1}^3 \langle \tau_i \rangle \mathbf{e}_i \otimes \mathbf{e}_i \quad (61)$$

$$\boldsymbol{\tau}_- = \sum_{i=1}^3 \langle -\tau_i \rangle \mathbf{e}_i \otimes \mathbf{e}_i \quad (62)$$

where  $\tau_i$  are the principal stresses,  $\{\mathbf{e}_1, \mathbf{e}_2, \mathbf{e}_3\}$  are the orthonormal basis vectors,  $\otimes$  is the outer product and  $\langle \rangle$  is a Macauley bracket. The effective stress tensor can then be given by:

$$\bar{\boldsymbol{\tau}} = \frac{\boldsymbol{\tau}_+}{(1 - D)} + \frac{\boldsymbol{\tau}_-}{(1 - hD)} \quad (63)$$

The energy release rate  $Y$  is rewritten to account for the differing contributions of tensile and compressive stresses:

$$\begin{aligned} -Y = & - \frac{1}{2E(1 - D)} [(1 + \nu) \boldsymbol{\tau}_+ : \boldsymbol{\tau}_+ - \nu \langle \text{tr}(\boldsymbol{\tau}) \rangle^2] \\ & - \frac{h}{2E(1 - hD)} [(1 + \nu) \boldsymbol{\tau}_- : \boldsymbol{\tau}_- - \nu \langle -\text{tr}(\boldsymbol{\tau}) \rangle^2] \end{aligned} \quad (64)$$

## 5 GTN model

The framework developed by Gurson [60] is the canonical framework used in the micro-mechanical approach to characterising material degradation [9, 10, 14]. This model posits the existence of micro-voids in the material. The density of these voids is described by a variable denoted as porosity. The material degradation is characterised by the porosity increasing due to the growth of these



voids. Defining material degradation merely in terms of the growth of voids is limited, however. Gurson's framework was developed further by Tvergaard and Needleman [61] who developed mechanisms to account for the nucleation and coalescence of voids. In order to be consistent with how the GTN model is typically described in the literature [86, 87] and to present the equations in the form that they are implemented in the developed codes, the equivalent stress  $\tau_{eq}$  is described using the symbol  $q$  and the pressure  $\tau_h$  is denoted by the symbol  $p$ .

## 5.1 Evolution of Voids

The growth and nucleation of voids characterise the evolution of the porosity  $f$ :

$$\dot{f} = \dot{f}_{growth} + \dot{f}_{nucleation} \quad (65)$$

The growth of the voids is derived from the principle of mass conservation. It is given as a function of the strain rate:

$$\dot{f}_{growth} = (1 - f) \text{tr}(\dot{\epsilon}_p) \quad (66)$$

Where  $\dot{\epsilon}_p$  is the plastic strain rate.

The term for the nucleation of new voids is given by:

$$\dot{f}_{nucleation} = A \dot{\epsilon}_p \quad (67)$$

Where  $\dot{\epsilon}_p$  is the rate of change of the equivalent plastic strain and A is a dimensionless coefficient. The nucleation of new voids is assumed to be described by a normal distribution [82] giving the following expression for the coefficient A:

$$A = \begin{cases} \frac{f_n}{S_n \sqrt{2\pi}} \exp \left[ -\frac{1}{2} \left( \frac{\epsilon_p - \epsilon_n}{S_n} \right)^2 \right] & \text{if } p > 0 \\ 0 & \text{if } p < 0 \end{cases} \quad (68)$$

where  $f_n$  is the quantity of the voids nucleated per unit volume,  $\epsilon_n$  is the nucleation strain and  $S_n$  is the standard deviation.

## 5.2 Yield equation

The variable  $f$  is coupled with the plasticity model through the yield equation

$$\phi = \left( \frac{q}{\sigma_y} \right)^2 + 2q_1 f^* \cosh \left( \frac{3q_2 p}{2\sigma_y} \right) - (1 - q_1^2 f^{*2}) \quad (69)$$

Where  $q_1$  and  $q_2$  are material parameters,  $q$  is the von Mises stress,  $p$  is the hydrostatic pressure and  $\sigma_y$  is the yield stress. Here  $f^*$  is the effective void fraction and is a function of the porosity variable defined above. It is introduced to account for the effects of void coalescence:

$$f^* = \begin{cases} f & \text{if } f \leq f_c \\ f_c + (f - f_c) \frac{f_u - f_c}{f_f - f_c} & \text{if } f > f_c \end{cases} \quad (70)$$

Where  $f_c$  is the void volume fraction at which the coalescence of voids begins,  $f_u$  is the ultimate volume fraction and  $f_f$  represents the void volume fraction at fracture.

### 5.3 Plasticity

Plastic isotropy and plastic associativity are assumed as in Bettaieb et al. [86]. The following relation can therefore be obtained:

$$\dot{\epsilon}^p = \dot{\gamma} \frac{\partial \phi}{\partial \boldsymbol{\tau}} \quad (71)$$

This equation is reformulated in terms of the von Mises equivalent stress  $q$  and the pressure  $p$  to obtain:

$$\dot{\epsilon}^p = \dot{\gamma} \frac{\partial \phi}{\partial q} \frac{\partial q}{\partial \boldsymbol{\tau}} + \dot{\gamma} \frac{\partial \phi}{\partial p} \frac{\partial p}{\partial \boldsymbol{\tau}} \quad (72)$$

Using the definitions of  $q$  and  $p$ :

$$\frac{\partial q}{\partial \boldsymbol{\tau}} = \mathbf{n} \quad (73)$$

$$\frac{\partial p}{\partial \boldsymbol{\tau}} = \frac{1}{3} \mathbf{I} \quad (74)$$

where  $\mathbf{n}$  is given by:

$$\frac{3}{2q} \tau_d. \quad (75)$$

Equation 72 can therefore be written as:

$$\dot{\epsilon}^p = \dot{\gamma} \left( \frac{\partial \phi}{\partial q} \mathbf{n} + \frac{1}{3} \frac{\partial \phi}{\partial p} \mathbf{I} \right) \quad (76)$$

Which is equivalent to:

$$\dot{\epsilon}^p = \frac{1}{3} \dot{\epsilon}^h \mathbf{I} + \dot{\epsilon}^q \mathbf{n} \quad (77)$$

Where:

$$\dot{\epsilon}^h = \dot{\gamma} \frac{\partial \phi}{\partial p} \quad (78)$$

$$\dot{\epsilon}^q = \dot{\gamma} \frac{\partial \phi}{\partial q} \quad (79)$$

Eliminating  $\dot{\gamma}$  from equations 78 and 79 leads to the consistency equation:

$$\dot{\epsilon}^h \frac{\partial \phi}{\partial q} - \dot{\epsilon}^q \frac{\partial \phi}{\partial p} = 0 \quad (80)$$

### 5.4 Equivalent plastic strain

Assuming the equivalent plastic work principle, there is an equivalence between the rates of macroscopic and matrix plastic work:

$$\dot{\bar{\epsilon}}^p = \frac{\boldsymbol{\sigma} : \dot{\epsilon}^p}{(1-f)\sigma_y} \quad (81)$$

Substituting in equation 77, the equation for the equivalent plastic strain is obtained in its final form:

$$\dot{\bar{\epsilon}}^p = \frac{p\dot{\epsilon}^h + q\dot{\epsilon}^q}{(1-f)\sigma_y} \quad (82)$$

## 5.5 Constitutive equations

The following system of equations, therefore, governs the material behaviour:

$$\left\{ \begin{array}{l} \phi = \left( \frac{q}{\sigma_y} \right)^2 + 2q_1 f^* \cosh \left( \frac{3q_2 p}{2\sigma_y} \right) - (1 - q_1^2 f^{*2}) = 0 \\ \dot{\varepsilon}_{n+1}^h \frac{\partial \phi}{\partial q} - \dot{\varepsilon}_{n+1}^q \frac{\partial \phi}{\partial p} = \mathbf{0} \\ \dot{\bar{\varepsilon}}^p = \frac{p \dot{\varepsilon}^h + q \dot{\varepsilon}^q}{(1-f)\sigma_y} \\ \dot{f} = (1-f) \text{tr}(\dot{\varepsilon}_p) + A \dot{\bar{\varepsilon}}_p \end{array} \right. \quad (83)$$

## 5.6 Computational procedure

For each cell, the incremental displacement field  $\Delta \mathbf{u}$  for the current time step, at the current iteration, is given. The values of the following variables from the previous time step are also provided:

$$\{F_n^p, \bar{\varepsilon}_n^p, f_n\} \quad (84)$$

The goal is then to have a computational procedure to obtain the following variables at time  $t_{n+1}$

$$\{F_{n+1}^p, \bar{\varepsilon}_{n+1}^p, \sigma_{n+1}, f_{n+1}\} \quad (85)$$

This is achieved in the material solver by solving the set of equations laid out in equation 83.

Equation 3.30 is given in terms of the GTN yield equation:

$$\dot{\mathbf{F}}^p \mathbf{F}^{p-1} = \Delta \gamma \mathbf{R}^{eT} \frac{\partial \phi}{\partial \boldsymbol{\tau}} \mathbf{R}^e \quad (86)$$

Employing the exponential map backward scheme for the time-discretisation of the plastic flow rule, one can obtain:

$$\mathbf{F}_{n+1}^p = \exp \left[ \Delta \gamma \mathbf{R}_{n+1}^{eT} \frac{\partial \phi}{\partial \boldsymbol{\tau}_{n+1}} \mathbf{R}_{n+1}^e \right] \mathbf{F}_n^p \quad (87)$$

Due to plastic isotropy this equation can be written as:

$$\mathbf{F}_{n+1}^p = \mathbf{R}_{n+1}^{eT} \exp \left[ \Delta \gamma \frac{\partial \phi}{\partial \boldsymbol{\tau}_{n+1}} \right] \mathbf{R}_{n+1}^e \mathbf{F}_n^p \quad (88)$$

Subbing in equation 71

$$\mathbf{F}_{n+1}^p = \mathbf{R}_{n+1}^{eT} \exp [\Delta \varepsilon_{n+1}^p] \mathbf{R}_{n+1}^e \mathbf{F}_n^p \quad (89)$$

Using the same procedure laid out in section 3.2.3, the additive split for the elastic and plastic contributions is obtained:

$$\boldsymbol{\varepsilon}_{n+1}^e = \boldsymbol{\varepsilon}_{n+1}^{e \text{ trial}} - \Delta \varepsilon_{n+1}^p \quad (90)$$

An explicit-implicit algorithm is used to solve the system of equations 83. The first three equations are solved implicitly for the variables  $\Delta \varepsilon_{n+1}^h$ ,  $\Delta \varepsilon_{n+1}^q$  and  $\Delta \bar{\varepsilon}^p$ . The porosity  $f_{n+1}$  is then solved for explicitly. Using the fact that:

$$p_{n+1} = p_{\text{trial}} - K \Delta \varepsilon_{n+1}^h \quad (91)$$

$$q_{n+1} = q_{\text{trial}} - 3\mu \Delta \varepsilon_{n+1}^q \quad (92)$$

The system of equations to be solved,  $r_i$ , implicitly are given by

$$\begin{cases} r_{\Delta\varepsilon_{n+1}^q} = \left( \frac{q_{\text{trial}} - 3\mu\Delta\varepsilon_{n+1}^q}{\sigma_y(\bar{\varepsilon}^p + \Delta\bar{\varepsilon}_{n+1}^p)} \right)^2 + 2q_1 f_{n+1}^{*i} \cosh\left( \frac{3q_2(p_{\text{trial}} - K\Delta\varepsilon_{n+1}^h)}{2\sigma_y(\bar{\varepsilon}^p + \Delta\bar{\varepsilon}_{n+1}^p)} \right) - (1 - q_1^2 f_{n+1}^{*i2}) = 0 \\ r_{\Delta\varepsilon_{n+1}^h} = \Delta\varepsilon_{n+1}^h \frac{\partial\phi}{\partial q} - \Delta\varepsilon_{n+1}^q \frac{\partial\phi}{\partial p} = 0 \\ r_{\Delta\bar{\varepsilon}_{n+1}^p} = \Delta\bar{\varepsilon}_{n+1}^p - \frac{p\Delta\varepsilon_{n+1}^h + q\Delta\varepsilon_{n+1}^q}{(1-f_{n+1}^i)\sigma_y(\bar{\varepsilon}^p + \Delta\bar{\varepsilon}_{n+1}^p)} = 0 \end{cases} \quad (93)$$

The group of unknowns associated with this system of equations is given by  $x_i$

$$x_i = \begin{Bmatrix} \Delta\varepsilon_{n+1}^q \\ \Delta\varepsilon_{n+1}^h \\ \Delta\bar{\varepsilon}_{n+1}^p \end{Bmatrix} \quad (94)$$

The system of equations is solved at the centre of each volume using the Newton-Raphson method

$$\mathbf{x}_{in+1} = \mathbf{x}_{in} - \left[ \frac{\partial \mathbf{r}_i}{\partial \mathbf{x}_i} \bigg|_{\mathbf{x}_n} \right]^{-1} \mathbf{r}_i(\mathbf{x}_n) \quad (95)$$

$\frac{\partial \mathbf{r}_i}{\partial \mathbf{x}_i}$  is the Jacobian of the equations  $r_i$  with respect to the variables  $x_i$  given by:

$$\frac{\partial \mathbf{r}_i}{\partial \mathbf{x}_i} = \begin{bmatrix} \frac{\partial r_{\Delta\varepsilon_{n+1}^q}^q}{\partial \Delta\varepsilon_{n+1}^q} & \frac{\partial r_{\Delta\varepsilon_{n+1}^q}^q}{\partial \Delta\varepsilon_{n+1}^h} & \frac{\partial r_{\Delta\varepsilon_{n+1}^q}^q}{\partial \Delta\bar{\varepsilon}_{n+1}^p} \\ \frac{\partial r_{\Delta\varepsilon_{n+1}^h}^h}{\partial \Delta\varepsilon_{n+1}^q} & \frac{\partial r_{\Delta\varepsilon_{n+1}^h}^h}{\partial \Delta\varepsilon_{n+1}^h} & \frac{\partial r_{\Delta\varepsilon_{n+1}^h}^h}{\partial \Delta\bar{\varepsilon}_{n+1}^p} \\ \frac{\partial r_{\Delta\bar{\varepsilon}_{n+1}^p}^p}{\partial \Delta\varepsilon_{n+1}^q} & \frac{\partial r_{\Delta\bar{\varepsilon}_{n+1}^p}^p}{\partial \Delta\varepsilon_{n+1}^h} & \frac{\partial r_{\Delta\bar{\varepsilon}_{n+1}^p}^p}{\partial \Delta\bar{\varepsilon}_{n+1}^p} \end{bmatrix} \quad (96)$$

Using simple multivariable calculus operations these constituent partial derivatives of the Jacobian can then be derived. These derivatives are provided in Appendix C \*\*. After solving for these variables ( $\Delta\varepsilon_{n+1}^h$ ,  $\Delta\varepsilon_{n+1}^q$  and  $\Delta\bar{\varepsilon}_{n+1}^p$ ) the equivalent plastic strain, elastic strain, pressure, von Mises stress and stress can then be updated:

$$\bar{\varepsilon}_{n+1}^p = \bar{\varepsilon}_n^p + \Delta\bar{\varepsilon}_{n+1}^p \quad (97)$$

$$\boldsymbol{\varepsilon}_{n+1}^e = \boldsymbol{\varepsilon}_{\text{trial}}^e - \frac{1}{3}\Delta\varepsilon_{n+1}^h \mathbf{I} - \Delta\varepsilon_{n+1}^q \mathbf{n} \quad (98)$$

$$p_{n+1} = p_{\text{trial}} - K\Delta\varepsilon_{n+1}^h \quad (99)$$

$$q_{n+1} = q_{\text{trial}} - 3\mu\Delta\varepsilon_{n+1}^q \quad (100)$$

$$\boldsymbol{\tau}_{n+1} = p_{n+1}\mathbf{I} + \frac{2q_{n+1}}{3}\mathbf{n} \quad (101)$$

The porosity can then be determined:

$$f_{n+1}^{i+1} = f_n + (1 - f_{n+1}^i) \text{tr}(\Delta\varepsilon_{n+1}^p) + A\Delta\bar{\varepsilon}_{n+1}^p \quad (102)$$

Where  $f_{n+1}^{i+1}$  is the porosity for the current iteration and  $f_{n+1}^i$  is the porosity from the previous iteration. The effective porosity  $f_{n+1}^{*i+1}$  is then calculated according to equation 70. This procedure is provided in Algorithm 5.

---

**Algorithm 3:** GTN model

---

(i) Update relative deformation for given incremental displacement

$$\mathbf{f}_{n+1} = \mathbf{I} + \nabla [\Delta \mathbf{u}]$$

$$\mathbf{F}_{n+1} = \mathbf{f}_{n+1} \mathbf{F}_n$$

(ii) Compute trial elasticity

$$\mathbf{B}_n^e = \exp[2\boldsymbol{\varepsilon}_n^e]$$

$$\mathbf{B}_{trial}^e = \mathbf{f}_{n+1} \mathbf{B}_n^e (\mathbf{f}_{n+1})^T$$

$$\boldsymbol{\varepsilon}_{trial}^e = \frac{1}{2} \ln[\mathbf{B}_{trial}^e]$$

$$\bar{\varepsilon}_{trial}^p = \bar{\varepsilon}_n^p$$

$$p_{trial} = K \operatorname{tr}(\boldsymbol{\varepsilon}_{trial}^e)$$

$$\mathbf{s}_{trial} = 2G \operatorname{dev}(\boldsymbol{\varepsilon}_{trial}^e)$$

$$q_{trial} = \sqrt{\frac{3}{2}} \|2G \operatorname{dev}(\boldsymbol{\varepsilon}_{trial}^e)\|$$

$$\mathbf{n} = \frac{3 \mathbf{s}_{trial}}{2 q_{trial}}$$

$$f_{trial} = \left( \frac{q_{trial}}{\sigma_y} \right)^2 + q_1 f_{n+1}^{*i} \cosh \left( \frac{3q_2 p_{trial}}{2\sigma_y} \right) - \left( 1 - q_1^2 f_{n+1}^{*i} \right)^2$$

**if**  $f_{trial} > 0$  **then**

| go to step iii

**else**

| set  $\Delta \varepsilon_{n+1}^h$ ,  $\Delta \varepsilon_{n+1}^q$  and  $\Delta \bar{\varepsilon}_{n+1}^p$  to 0. Go to step iv

**end**

(iii) Enter small strain return map and solve the system of equations (equation 93) for

$$\Delta \varepsilon_{n+1}^h, \Delta \varepsilon_{n+1}^q \text{ and } \Delta \bar{\varepsilon}_{n+1}^p$$

(iv) Update Constitutive Variables

$$\bar{\varepsilon}_{n+1}^p = \bar{\varepsilon}_n^p + \Delta \bar{\varepsilon}_{n+1}^p$$

$$\boldsymbol{\varepsilon}_{n+1}^e = \boldsymbol{\varepsilon}_{trial}^e - (1/3) \Delta \varepsilon_{n+1}^h \mathbf{I} - \Delta \varepsilon_{n+1}^q \mathbf{n}$$

$$\boldsymbol{\tau}_{n+1} = (p_{trial} - K \Delta \varepsilon_{n+1}^h) \mathbf{I} + \frac{2(q_{trial} - 3\mu \Delta \varepsilon_{n+1}^q)}{3} \mathbf{n}$$

$$\boldsymbol{\sigma}_{n+1} = \frac{1}{J} \boldsymbol{\tau}_{n+1}$$

(v) Calculate the porosity  $f_{n+1}^{i+1}$  and the effective porosity  $f_{n+1}^{*i+1}$

---

## 5.7 Shear effects

In the last 15 years, further developments of the GTN model have been made to better account for fracture in stress states where shearing is present [65, 87–89]. In order to account for shearing effects, the expression developed by Nahshon and Hutchinson [65] is introduced in this work. This formulation has shown an ability to accurately predict fracture in the blanking metal forming

process [87]. It results in the following equation for the evolution of porosity:

$$\dot{f} = \dot{f}_{growth} + \dot{f}_{nucleation} + \dot{f}_{shear} \quad (103)$$

where

$$\dot{f}_{shear} = k_w \frac{fw(\boldsymbol{\sigma})}{q} \mathbf{s} : \dot{\boldsymbol{\epsilon}}_p \quad (104)$$

where  $k_w$  is a material parameter and  $w(\boldsymbol{\sigma})$  is given by

$$w(\boldsymbol{\sigma}) = 1 - (\xi)^2 \quad (105)$$

## 5.8 Non-local porosity

In order to mitigate the mesh dependency that may occur for the GTN model, non-local gradient approaches have also been incorporated into Gurson-type models [89, 90]. The porosity variable is diffused over the mesh through the non-local gradient equation as in section 4.2.6

$$(f - \bar{f}) + l_c^2 \nabla^2 f = 0 \quad (106)$$

The effective porosity  $f^*$  is then calculated according to equation 70 using the non-local porosity  $\bar{f}$ . Where  $f$  appears in the system of equations 93 the non-local porosity is used.

# 6 Phase field fracture model

## 6.1 Introduction

The more novel phase field approach to the prediction of fracture and failure has received increased attention in the past 15 years [19–23, 91, 92]. This approach has shown an ability to predict complex crack patterns, including branching and merging in both two and three dimensional settings [91, 92]. In this method, sharp cracks are regularized over a continuum (Figure 4) leading to a system of partial differential equations that are relatively simple to implement in finite element and volume solvers. The method has proven to be robust with computations being performed on the original mesh without any need to track the interface of the crack such as in the Cohesive-zone model method.

The method was initially proposed by Francfort and Marigo [93] to describe brittle fracture. It is based on the variational approach, with the goal being to minimize a potential energy proposed based on Griffiths theory of brittle fracture. This approach leads to a Mumford-Shah [94] type energy potential that can be approximated by a phase-field formulation following the work of Ambrosio and Tortorelli [95]. This approximation was adopted by Bourdin et al. [96] to facilitate numerical solutions of the variational formulation. This work was further developed by Miehe et al. [92] who derived the phase field approach from continuum mechanics and thermodynamic arguments. In addition to an alternative derivation, Miehe et al. [92] also added an important mechanism for distinguishing tensile and compressive effects on crack growth as well as the inclusion of a history variable  $\mathcal{H}$  which ensures the irreversibility of crack growth. A number of studies have shown the ability of these models to produce results consistent with several benchmark cases [91, 92].

This approach has since been extended to ductile fracture by Ambati et al. [19], Borden et al. [20] and Miehe et al. [21]. The approach from Ambati et al. [19] involves incorporating a plastic strain dependency in the elastic degradation function while the approach from Miehe et al. [21] incorporates the plastic strain energy into the crack driving variable  $\mathcal{H}$ . Borden et al. [20] uses a similar approach to Miehe et al. [21] by incorporating the plastic strain into the crack driving variable  $\mathcal{H}$  while also introducing a plastic degradation function in order to ensure that fracture is preceded by large plastic strains, as has been shown to be the case experimentally. In this work, the approach from Borden et al. [20] is adopted due to the fact that, by incorporating a plastic degradation function, it displays a more physically realistic fracture behaviour.

## 6.2 Phase field approximation of fracture

The original formulation of the potential energy of the body [94] is given in terms of the deformation tensor and the crack surface

$$E(\boldsymbol{\varepsilon}^e, \Gamma_0) = \int_{\Omega_0} \psi(\boldsymbol{\varepsilon}^e) d\Omega_0 + \int_{\Gamma_0} G_c^0 d\Gamma_0 \quad (107)$$

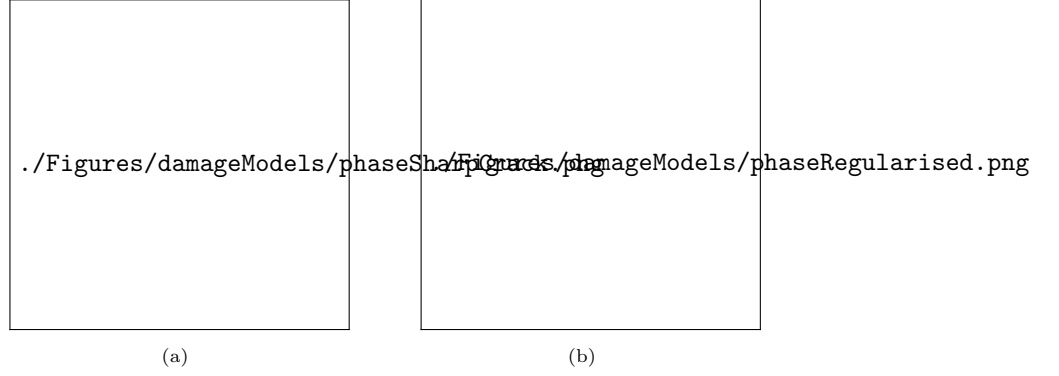
Where  $\psi(\boldsymbol{\varepsilon}^e)$  is the elastic contribution to the potential energy per unit volume and  $G_c$  is the critical fracture energy per unit area. This energy functional is an extension of Griffith's definition of brittle fracture. This definition of the fracture surface however requires an a priori determination of the fracture surface. In order to enable an efficient numerical treatment of equation 107, Bourdin et al. [96] introduced the phase field approximation:

$$E(\boldsymbol{\varepsilon}^e, \Gamma_0) = \int_{\Omega_0} g_e(d) \psi(\boldsymbol{\varepsilon}^e) d\Omega_0 + \int_{\Gamma_0} G_c^0 \Gamma_l(d) d\Omega_0 \quad (108)$$

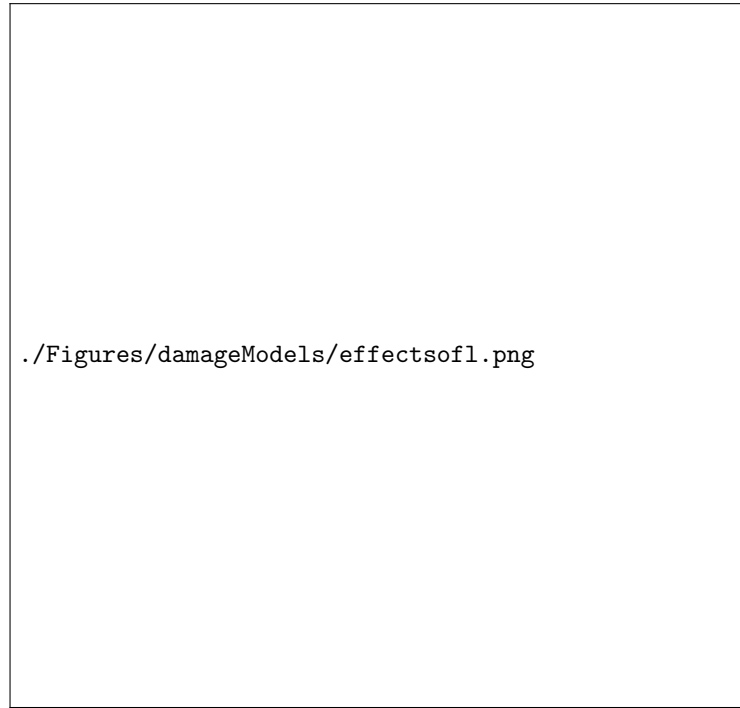
where  $d(x) \in [0, 1]$ ,  $d = 0$  characterises the unbroken state and  $d=1$  characterises the fully broken state. The variable  $d$  is conceptually similar to the damage variable  $D$  used in continuum damage mechanics, with  $d$  being a macroscopic variable that characterizes the growth of micro voids and micro-cracks,  $g_e(d)$  is the elastic degradation function which reduces the elastic strength of the material and  $\Gamma_l$  is the crack density functional, which for the model of Borden et al. [20] is given by

$$\Gamma_l(d) = \frac{1}{l} I(d) = \frac{1}{4l} (d^2 + 4l^2 |\nabla d|) \quad (109)$$

The minimization of this functional gives the regularised crack surface as depicted in Figure 5. The parameter  $l$  is a length scale variable which regularises the crack surface.



**Fig. 4** Comparison of a) sharp crack and b) diffusive crack (adapted from [20])



**Fig. 5** Illustration of the regularising effect of  $l$ , as  $l$  is reduced the crack variable  $d$  is regularised over a smaller area

As in Amor et al. [97], Miehe et al. [98] the elastic strain energy contribution is further split into its tensile and compressive contribution, to ensure that there is no elastic contribution to crack growth when a given volume is under compression

$$E_l(\boldsymbol{\varepsilon}^e, d) = \int_{\Omega_0} (g_e(d) \psi_e^+(\boldsymbol{\varepsilon}^e) + g_e(d) \psi_e^-(\boldsymbol{\varepsilon}^e)) d\Omega_0 + \int_{\Gamma_0} G_c^0 \Gamma_l(d) \Omega_0 \quad (110)$$

Where  $\psi_e^+(\boldsymbol{\varepsilon}^e)$  is the contribution of the tensile strains and  $\psi_e^-(\boldsymbol{\varepsilon}^e)$  is the contribution of the compressive strains. The energy functional in equation 110 only accounts for the elastic contribution to crack growth. For ductile materials, it is necessary to incorporate a plastic energy contribution to the crack growth. To this end, a plastic energy contribution is added to equation 110 in Borden



et al. [20]

$$E_l(\boldsymbol{\varepsilon}^e, \bar{\boldsymbol{\varepsilon}}^p, d) = \int_{\Omega_0} (g_e(d) \psi_e^+(\boldsymbol{\varepsilon}^e) + g_e(d) \psi_e^-(\boldsymbol{\varepsilon}^e) + g_p(d) \psi_p(\bar{\boldsymbol{\varepsilon}}^p)) d\Omega_0 + \int_{\Gamma_0} G_c^0 \Gamma_l(d) d\Omega_0 \quad (111)$$

Where  $g_p(d)$  is the plastic degradation function,  $\psi_p(\bar{\boldsymbol{\varepsilon}}^p)$  is the plastic energy contribution. The plastic and elastic degradation functions are given by:

$$g_e(d) = 1 - d^2 \quad (112)$$

$$g_p(d) = 1 - d^2 \quad (113)$$

### 6.3 Phase field formulation of ductile fracture

Following the work of Borden et al. [20] the strong form of the phase field equation is given by:

$$\frac{G_c}{2l} (d - 4l^2 \nabla^2 d) = \mathcal{H}(\underline{\mathbf{x}}, t) \quad (114)$$

$$\mathcal{H}(\underline{\mathbf{x}}, t) = g'_e(d) \max(\psi_{e_{n+1}}(\boldsymbol{\varepsilon}^e), \psi_{e_H}(\boldsymbol{\varepsilon}^e)) + g'_p(d) < \psi_p(\bar{\boldsymbol{\varepsilon}}^p) - w_0 > \quad (115)$$

where  $\psi_{e_{n+1}}(\boldsymbol{\varepsilon}^e)$  is the elastic energy contribution from the current time step,  $\psi_{e_H}(\boldsymbol{\varepsilon}^e)$  is a history variable which gives the largest value for the elastic energy contribution obtained throughout the simulation,  $< \psi_p(\bar{\boldsymbol{\varepsilon}}^p) - w_0 >$  is a Macaulay bracket where  $\psi_p(\bar{\boldsymbol{\varepsilon}}^p)$  is the plastic energy contribution and  $w_0$  is the plastic work threshold, below which the plastic strain will not contribute to crack growth. A Neumann boundary condition  $\nabla d \cdot \mathbf{n} = 0$  is used to solve this equation.

### 6.4 Mechanical constitutive laws

#### 6.4.1 Elastic model

The spherical-deviatoric decomposition of the elastic strain energy is employed as in Amor et al. [97]. Here the elastic strain energy is decomposed into positive and negative components:

$$\psi_e = g_e(d) \psi_e^+(\boldsymbol{\varepsilon}^e) + \psi_e^-(\boldsymbol{\varepsilon}^e) \quad (116)$$

$$\psi_e^+(\boldsymbol{\varepsilon}^e) = \frac{K}{2} < \text{tr}(\boldsymbol{\varepsilon}^e) >^2 + \mu \boldsymbol{\varepsilon}_{\text{dev}}^e : \boldsymbol{\varepsilon}_{\text{dev}}^e \quad (117)$$

$$\psi_e^-(\boldsymbol{\varepsilon}^e) = \frac{K}{2} < -\text{tr}(\boldsymbol{\varepsilon}^e) >^2 \quad (118)$$

where  $\boldsymbol{\varepsilon}_{\text{dev}}^e = \text{dev}(\boldsymbol{\varepsilon}^e)$ . The positive elastic strain energy is what contributes towards crack driving energy. The stress is therefore given by

$$\boldsymbol{\sigma} = \frac{\partial \psi}{\partial \boldsymbol{\varepsilon}^e} = (1 - ad)^2 K \text{tr}(\boldsymbol{\varepsilon}^e) \mathbf{I} + (1 - d)^2 2\mu \boldsymbol{\varepsilon}_{\text{dev}}^e \quad (119)$$

$$a = \begin{cases} 1 & \text{if } \text{tr}(\boldsymbol{\varepsilon}^e) > 0 \\ 0 & \text{else} \end{cases} \quad (120)$$

### 6.4.2 Elasto-plastic model

The return mapping algorithm which employs the logarithmic (Hencky strain) is employed as laid out in Chapter 3. The standard von Mises yield function is given by

$$F = \tau_{eq} - \sigma_y \quad (121)$$

As previously mentioned, this formulation leads to an unphysical material response when coupled with the phase field model. As the material degrades, the elastic response pulls the stress back within the yield surface, leading to the material failure being preceded by an elastic response. As noted by Borden et al. [20] however, it has been observed experimentally that failure is preceded by plastic strain for ductile materials. In order to account for this behaviour, the plastic degradation function  $g_p(d)$  is incorporated by Borden et al. [20] into the yield function:

$$F = \tau_{eq} - g_p(d)\sigma_y \quad (122)$$

where

$$\tau_{eq} = \sqrt{\frac{3}{2} \boldsymbol{\tau}_d : \boldsymbol{\tau}_d} \quad (123)$$

$$\boldsymbol{\tau}_d = g_e(d) \, 2\mu \, \text{dev}(\boldsymbol{\varepsilon}^e) \quad (124)$$

The plastic energy contribution to the crack growth is given by [99]:

$$\psi_p(\bar{\varepsilon}^p) = \int_0^{\bar{\varepsilon}^p} \sigma_y d\bar{\varepsilon}^p \quad (125)$$

## 6.5 Computational procedure

As has been previously described, the displacement field is solved at each iteration using the stress field calculated from the return mapping scheme. This displacement field is then used to find a new value for the stress from the material model return mapping scheme. This iterative procedure continues until convergence is achieved. For each cell, we are given the incremental displacement field for the current time step  $t_{n+1}$ , at the current outer iteration, denoted by  $\Delta \mathbf{u}_{n+1}^{i+1}$ . The values of the following variables from the previous time step  $t_n$  are also provided:

$$\{\mathbf{F}_n^p, \bar{\varepsilon}_n^p, d_n\} \quad (126)$$

The goal is then for the algorithm to obtain the following variables at time  $t_{n+1}$

$$\{\mathbf{F}_{n+1}^p, \bar{\varepsilon}_{n+1}^p, \boldsymbol{\sigma}_{n+1}, d_{n+1}\} \quad (127)$$

In order to obtain these values, the algorithm must satisfy the following constitutive behaviour:

$$\dot{\mathbf{F}}^p \mathbf{F}^{p-1} = \dot{\gamma} \mathbf{R}^{eT} \frac{\boldsymbol{\tau}_d}{\tau_{eq}} \mathbf{R}^e = \bar{\mathbf{d}}^p \quad (128)$$

$$\dot{\varepsilon}^p = \dot{\gamma} \quad (129)$$

Subject to the Kuhn-Tucker conditions

$$\begin{cases} \dot{\gamma} \geq 0 \\ F_p \geq 0 \\ \dot{\gamma} F_p = 0 \end{cases} \quad (130)$$

At each iteration, after the plasticity has been solved for each volume, the phase field crack variable  $d$  is solved for globally (equation 114).

Using the procedure outlined in section 3.2.3, the system of equations to be solved for when the material is undergoing plastic straining is given as:

$$\begin{cases} F_p = \tau_{eq\ n+1} - g_p(d)\sigma_y(\bar{\varepsilon}_{n+1}^p) = 0 \\ \varepsilon_{n+1}^e = \varepsilon_{n+1}^{e\ trial} - \frac{3}{2}\Delta\gamma\frac{\tau_{n+1}}{\tau_{eq\ n+1}} \\ \bar{\varepsilon}_{n+1}^p = \bar{\varepsilon}_n^p + \Delta\gamma \end{cases} \quad (131)$$

These equations are satisfied by solving equation 132 using the Newton-Raphson method

$$q_{n+1}^{\text{trial}} - g_p(d)3\mu\Delta\gamma - g_p(d)\sigma_y(\bar{\varepsilon}_n^p + \Delta\gamma) = 0 \quad (132)$$

The algorithm for this entire procedure is given in Algorithm 6.

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**Algorithm 4:** Phase-Field Model

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(i) Update Relative Deformation for given incremental displacement

$$\mathbf{f}_{n+1} = \mathbf{I} + \nabla [\Delta \mathbf{u}]$$

$$\mathbf{F}_{n+1} = \mathbf{f}_{n+1} \mathbf{F}_n$$

(ii) Compute trial elasticity

$$\mathbf{B}_n^e = \exp[2\boldsymbol{\varepsilon}_n^e]$$

$$\mathbf{B}_{trial}^e = \mathbf{f}_{n+1} \mathbf{B}_n^e (\mathbf{f}_{n+1})^T$$

$$\boldsymbol{\varepsilon}_{trial}^e = \frac{1}{2} \ln[\mathbf{B}_{trial}^e]$$

$$\bar{\boldsymbol{\varepsilon}}_{trial}^p = \bar{\boldsymbol{\varepsilon}}_n^p$$

$$q_{trial} = g_e(d) \sqrt{\frac{3}{2}} \|2\mu dev(\boldsymbol{\varepsilon}^e)\|$$

$$f_{trial} = q_{trial} - g_e(d) \sigma_y(\bar{\boldsymbol{\varepsilon}}_{trial}^{PEq})$$

**if**  $f_{trial} > 0$  **then**

    | go to step iii to solve for  $\Delta\gamma$

**else**

    |  $\Delta\gamma = 0$  and go to step iv

**end**

(iii) Enter small strain return map and solve for  $\Delta\gamma$  using the Newton-Raphson to solve the equation

$$q_{trial} - g_p(d) 3\mu \Delta\gamma - g_p(d) \sigma_y(\bar{\boldsymbol{\varepsilon}}_n^p + \Delta\gamma) = 0$$

(iv) Update elastic strain (v) Update stress tensor (section 4.4.4) (vi) Solve for phase field variable  $d$  using previously calculated values for coupled fields

$$\frac{G_c}{2l} (d - 4l^2 \nabla^2 d) = \mathcal{H}(\underline{x}, t) \quad (133)$$

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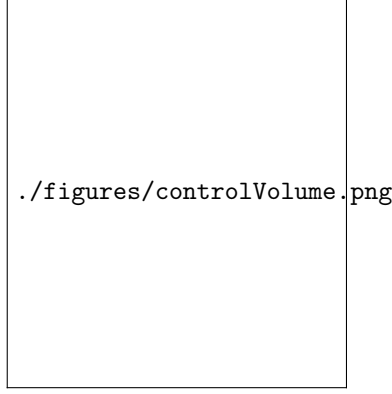
## 7 Numerical Methods

- domain and time discretisation: time marching - summary FVM discretisation - details of stabilisation: pressure and Rhie-Chow - stress calculation: plasticity/damage procedure - numerics of constitutive laws - novel Lemaitre

### 7.1 Solution domain discretisation

The solution domain is discretised in space and time using polyhedral cells. The total simulation time is divided up into increments ( $\Delta t$ ), with the discretised governing equations solved in a time-marching manner. The space domain is divided into a finite number of contiguous convex polyhedral cells. A cell or control volume is shown in Figure ???. It has the following associated values:

- A computational node  $P$  located at the centre of the cell
- The cell volume  $\Omega_p$
- The centroid of a neighbouring cell  $N$
- Face  $f$  with area vector  $\boldsymbol{\Gamma}_f$



**Fig. 6** A polyhedral control volume. Taken from [? ]

- The positional vector of  $P$  is  $\mathbf{r}$
- $P$  and  $N$  are joined by the vector  $\mathbf{d}_f$

The surface force in equation 4 is split into implicit and explicit components and is solved for each control volume.

$$\begin{aligned}
 \underbrace{\int_{\Omega_u} \frac{\partial}{\partial t} \left( \rho_u \frac{\partial (\mathbf{u}^{[m-1]} + \Delta \mathbf{u})}{\partial t} \right) d\Omega_u}_{\text{implicit}} &= \underbrace{\oint_{\Gamma_u} K_{imp} \mathbf{n}_u \cdot \nabla (\Delta \mathbf{u}) d\Gamma_u}_{\text{implicit}} \\
 &+ \underbrace{\oint_{\Gamma_u} (j\mathbf{f}^{-T} \cdot \mathbf{n}_u) \cdot \boldsymbol{\sigma} d\Gamma_u}_{\text{explicit}} \\
 &- \underbrace{\oint_{\Gamma_u} K_{imp} \mathbf{n}_u \cdot \nabla (\Delta \mathbf{u}) d\Gamma_u}_{\text{explicit}} \quad (134)
 \end{aligned}$$

where the first term on the right-hand side is treated implicitly and the second and third terms on the right-hand side are treated explicitly. The first and third terms on the right-hand side of this equation represent an approximation of the traction field in terms of the displacement field. For this work, the term  $K_{imp}$  is chosen following the work of Jasak and Weller [100] which found strong convergence for:

$$K_{imp} = \frac{4}{3}\mu + K \quad (135)$$

The primary unknown to be solved for is the displacement increment  $\Delta \mathbf{u} = \mathbf{u}^{[m-1]} - \mathbf{u}^{[m]}$  where  $[m-1]$  is a superscript indicating the value from the previous time-step and  $[m]$  indicates the value for the current time-step.

Each term of this equation is then discretised as follows (in order):

- The temporal term is discretised using a first-order accurate in time fully implicit backward Euler finite differencing scheme [100]. The temporal component containing  $\mathbf{u}^{[m-1]}$  is discretized similarly to what is shown in equation 2.50. However, this term is fully explicit, meaning it only contributes to the source vector in the resulting equation system. While it's easy to discretise this temporal term with a second-order accuracy, as demonstrated in the FV method in Jasak and Weller [100], the current elastoplastic method's temporal accuracy is constrained by the first-order backward Euler integration used for the plastic deformation

rate for the constitutive law in the models we will encounter throughout this work.

$$\begin{aligned} \int_{\Omega_u} \frac{\partial}{\partial t} (\rho_u \dot{\mathbf{u}}) d\Omega_u \approx & \frac{1}{\Delta t^{[m]}} \left[ (\rho_u \Omega_u)_p^{[m]} \left( \frac{\Delta \mathbf{u}_P^{[m]} - \Delta \mathbf{u}_P^{[m-1]}}{\Delta t^{[m]}} \right) \right. \\ & \left. - (\rho_u \Omega_u)_p^{[m-1]} \left( \frac{\Delta \mathbf{u}_P^{[m-1]} - \Delta \mathbf{u}_P^{[m-2]}}{\Delta t^{[m-1]}} \right) \right] \end{aligned} \quad (136)$$

- The implicit surface diffusion term is discretised using central differencing with over-relaxed non-orthogonal correction [28, 34, 100, 101]

$$\begin{aligned} \oint_{\Gamma_u} \left( \frac{4}{3} \mu + K \right) \mathbf{n}_u \cdot \nabla (\Delta \mathbf{u}) d\Gamma_u \approx & \sum_F \left( \frac{4}{3} \mu_f + K_f \right) |\Delta_{uf}| \left( \frac{\mathbf{u}_N - \mathbf{u}_P}{|\mathbf{d}_f|} \right) |\Gamma_{uf}| \\ & + \sum_F \left( \frac{4}{3} \mu_f + K_f \right) \mathbf{k}_{uf} \cdot [\nabla (\Delta \mathbf{u})]_f |\Gamma_{uf}| \end{aligned} \quad (137)$$

where  $F$  is the number of faces in the cell,  $\Delta_{uf} = \frac{d_{uf}}{\mathbf{d}_{uf} \cdot \mathbf{n}_{uf}}$ ,  $\mathbf{k}_{uf} = \mathbf{n}_{uf} - \Delta_{uf}$  and  $\mathbf{n}_{uf}$  is the unit normal to the face of the cell

- The explicit source diffusion terms are discretised by assuming that they vary linearly across the face as follows [102]:

$$\oint_{\Gamma_u} (j \mathbf{f}^{-T} \cdot \mathbf{n}_u) \cdot \boldsymbol{\sigma} d\Gamma_u = \sum_F \int_{\Gamma_{uf}} (j \mathbf{f}^{-T} \cdot \mathbf{n}_u) \cdot \boldsymbol{\sigma} d\Gamma_{uf} \approx \sum_f \Gamma_{uf} \cdot (j \boldsymbol{\sigma} \cdot \mathbf{f}^{-T})_f \quad (138)$$

$$\oint_{\Gamma_u} \left( \frac{4}{3} \mu + K \right) \mathbf{n}_u \cdot \nabla (\Delta \mathbf{u}) d\Gamma_u = \sum_F \int_{\Gamma_{uf}} \left( \frac{4}{3} \mu + K \right) \mathbf{n}_u \cdot \nabla (\Delta \mathbf{u}) d\Gamma_{uf} \quad (139)$$

$$\approx \sum_F \left( \frac{4}{3} \mu + K \right)_f \Gamma_{uf} \cdot [\nabla (\Delta \mathbf{u})]_f \quad (140)$$

The terms at a face, indicated by the subscript  $f$ , are calculated by linearly interpolating from the adjacent cell-centre values. The cell-centre gradients are then determined using a least squares method [102].

## 7.2 Initial and boundary conditions

At the initial time step, all dependent variables must be specified and boundary conditions must be applied on the faces that coincide with the boundary of the solution domain. For a Dirichlet boundary condition, the expressions for diffusion fluxes and sources remain valid, but the user-specified boundary value is used in place of the neighbouring cell-centre value. On boundaries where Neumann boundary conditions are specified, the boundary fluxes are added to the source term, and the variable values at the boundary are extrapolated from the internal domain using the specified boundary gradient. This process is explained in more detail in [100].

The contact boundary is handled using a penalty method. This method involves measuring the penetration between intersecting cells, calculating penalty forces, and applying them as a Neumann boundary condition. This approach, which was developed for OpenFOAM [33], has also

been expanded by the same authors to include the effects of Coulomb friction [13], which is the friction assumption used in the simulations in this thesis.

### 7.3 Final form

The linear momentum equation is discretised for each control volume  $P$ , and a linear algebraic equation of the following form is assembled [100].

$$a_p \Delta \mathbf{u}_p + \sum_F a_n \Delta \mathbf{u}_n = \mathbf{b}_p \quad (141)$$

Where  $a_p$  is the central coefficient,  $a_n$  are the coefficients associated with the centre of neighbouring cells,  $F$  is the number of internal faces of the control volume,  $\mathbf{b}_p$  is the source vector contribution.

These linear algebraic equations are then assembled for all control volumes creating a system of linear algebraic equations:

$$\mathbf{K} \mathbf{u} = \mathbf{f} \quad (142)$$

Where  $\mathbf{K}$  is an  $M \times M$  coefficient matrix containing the implicit operators where  $M$  is the total number of control volumes. The solution vector  $\mathbf{u}$  contains the unknown cell-centre displacement increments  $\Delta \mathbf{u}$ .  $\mathbf{f}$  is the source term containing the explicit operators.

A segregated solution procedure, where the displacement vector components are solved for separately and sequentially in the  $x, y$  and  $z$  directions, is employed. A simple predictor step based on the response of a linear elastic material strained in one dimension is employed (the first term on the RHS of equation 134). It's worth noting that typically FE methods use a Newton-Raphson loop to account for nonlinearities and thus employ the consistent tangent matrix to achieve quadratic convergence [56]. However, a full consistent tangent matrix is not easily used in the segregated approach. The consistent tangent matrix can also be difficult to calculate in the damage and fracture models we will encounter later. The current method employs Picard/FixedPoint iterations to account for the nonlinearities and hence does not require a consistent tangent matrix.

Outer iterations are performed to account for the inter-equation coupling and the linearized nonlinear terms. The inner linear sparse system is iteratively solved, typically using the incomplete Cholesky pre-conditioned conjugate gradient (ICCG) method [103]. In non-linear problems, this system of equations is solved multiple times with updated coefficients in a fixed-point iteration scheme.

The inner system need not be solved to a tight tolerance as coefficients and source terms are approximated from the previous increment; a reduction in the residuals of one order of magnitude is typically sufficient. The outer iterations are performed until the predefined tolerance, typically  $1 \times 10^{-6}$ , has been achieved [13].

At the end of each time step, the mesh is moved to the deformed configuration. Given that the displacements are calculated at the cell centres, interpolation must be performed to calculate the displacements at the vertices so that the mesh can be updated. A linear least-squared method is employed [104]. In this method, a linear least squares plane is fit through a vertex and its immediately adjacent cell centres. For boundary vertices, boundary face-centre values are also included in the fitting.

---

**Algorithm 5:** Solution Procedure

---

**for all time steps do**

**while** convergence criteria not met **do**

        - Assemble system of linear equations (equation 2.48), discretising each term

        using equations 2.50 to 2.54 and solve for  $\Delta \mathbf{u}$

        - Update kinematics and stress using given constitutive law e.g. Algorithm 2 (Chapter 3)

**end while**

    - Interpolate cell-centre displacements to the vertices

    - Move mesh to the deformed configuration using the vertex displacements

**end for**

---

## 8 Rhie-Chow effects

One issue encountered with the finite volume method is that the discretisation of the governing conservation of momentum equation (equation 134) can be unstable and is known to suffer from checker-board errors Cardiff and DemirdŽić [27]. In order to rectify these issues, the Rhie-Chow stabilisation term [105] as introduced into solid mechanics by DemirdŽić and Muzaferija [106] is added to the discretised divergence of the stress in equation 134.

$$\begin{aligned}\mathcal{D}_{\text{Rhie-Chow}} &= \sum_{f=1}^{n \text{ Faces}} \left\{ K_f \left[ |\Delta_f| \frac{\mathbf{u}_{N_f} - \mathbf{u}_P}{|\mathbf{d}_f|} + (\boldsymbol{\Gamma}_f - \Delta_f) \cdot (\nabla \mathbf{u})_f \right] - \boldsymbol{\Gamma}_f \cdot [K_f (\nabla \mathbf{u})_f] \right\} \\ &= \sum_{f=1}^{n \text{ Faces}} K_f \left[ |\Delta_f| \frac{\mathbf{u}_{N_f} - \mathbf{u}_P}{|\mathbf{d}_f|} - \Delta_f \cdot (\nabla \mathbf{u})_f \right]\end{aligned}\tag{143}$$

This stabilisation term is given in equation 6.1. In this work, the coefficient  $K_f$  is given by

$$K_f = \mathcal{R} (2\mu + \lambda)\tag{144}$$

where  $\mathcal{R}$  is the Rhie-Chow scale factor.

A finding presented in this thesis is that this Rhie-Chow stabilisation term has an effect on the localisation behaviour for damage and fracture mechanics models. In this section, this effect will be explored and quantified. A strategy for mitigating the effect that the Rhie-Chow term has on the simulated fracture behaviour is also proposed.

### 8.1 Case setup

In order to explore the effect of the Rhie-Chow stabilisation term, various simulations are conducted for different values of the Rhie-Chow scale factor. The values of the Rhie-Chow scale factor used are 0.01, 0.02, 0.05, 0.1, 0.15 and 0.2. The geometry of the cases are the same as in chapter 5. The cases looked at are as follows:

(a) Flat notched bar - non-local Lemaitre

Applied total displacement	$u$	0.6 mm
Time step	$\Delta t$	0.015 s
Displacement increment	$\Delta u$	0.017145 mm

**Table 1** Loading conditions for case a



Property	Symbol	Value
Young's modulus	$E$	68.9 GPa
Poisson's ratio	$\nu$	0.33
Lemaitre damage denominator	$S_0$	0.5 MPa
Lemaitre damage exponent	$b$	1.0
Characteristic length	$l_c$	0.6325 mm
Hardening law	$\sigma_y$	$320 + 688 \times \bar{\varepsilon}^p$ MPa

**Table 2** Material properties for case a

(b) Round notched bar - non-local Lemaitre

Applied total displacement	$u$	0.8 mm
Time step	$\Delta t$	0.015 s
Displacement increment	$\Delta u$	0.015 mm

**Table 3** Loading conditions for case b

Property	Symbol	Value
Young's modulus	$E$	69.9 GPa
Poisson's ratio	$\nu$	0.3
Lemaitre damage denominator	$S_0$	1.1 MPa
Lemaitre damage exponent	$b$	1.0
Characteristic length	$l_c$	0.6325 mm
Hardening law	$\sigma_y$	$589(0.0001 + \bar{\varepsilon}^p)^{0.216}$ MPa

**Table 4** Material properties for case b

(c) Flat notched bar - phase field model

Applied total displacement	$u$	0.41148 <i>mm</i>
Time step	$\Delta t$	0.015 <i>s</i>
Displacement increment	$\Delta u$	0.017145 <i>mm</i>

**Table 5** loading conditions for case c

Property	Symbol	Value
Young's modulus	$E$	68.8 GPa
Poisson's ratio	$\nu$	0.33
Critical fracture energy	$G_c$	$60 \times 10^3 \text{ J/m}^2$
Plastic threshold	$W_o$	$1 \times 10^7 \text{ MPa}$
Characteristic length	$l$	0.3226 <i>mm</i>
Hardening law	$\sigma_y$	$320 + 688 \times \bar{\epsilon}^p \text{ MPa}$

**Table 6** Material properties for case c



**Fig. 7** Case a - flat notched bar w) non-local Lemaitre

## 8.2 Results

For each of the cases, the following comparisons are made in the figures below

- The force-displacement curves are plotted together
- Through the use of an objective function (equation 6.3), the average percentage difference between the resultant force-displacement points for a Rhie-Chow scale factor of 0.01 are compared with those for Rhie-Chow scale factors 0.02, 0.05, 0.1, 0.15 and 0.05

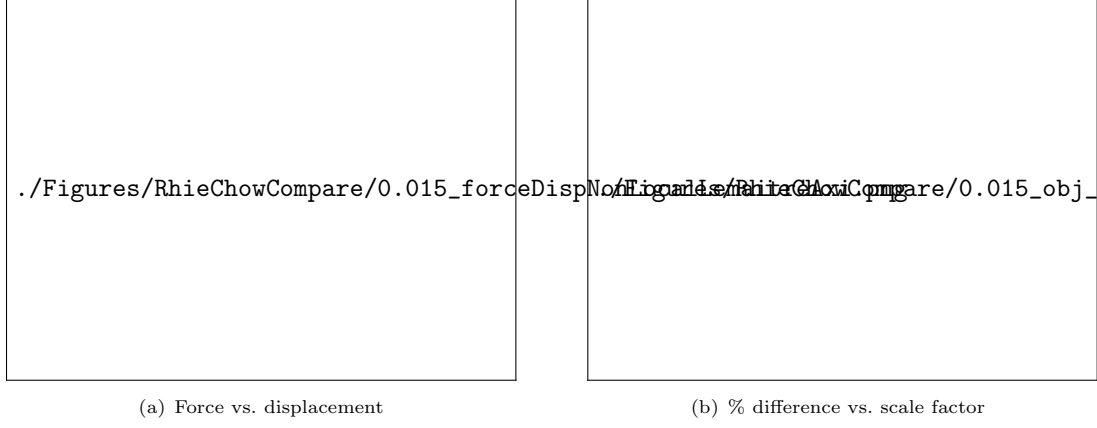
$$g_0(\mathbf{p}) = \frac{1}{N} \sum_{q=1}^N \left( \frac{R_q^{0.01}(\mathbf{p}) - R_q^{\mathcal{R}}}{R_q^{0.01}} \right) \quad (145)$$

It can be observed in the force-displacement curves (Figures 6.1a, 6.2a and 6.3a) that the Rhie-Chow term has the effect of slowing down the localisation behaviour of the models. In all cases, it can be seen that the rate at which the force declines with displacement is reduced with increasing Rhie-Chow scale factor.

### 8.2.1 Time step dependancy

The effects of the Rhie-Cow stabilisation term are reduced with smaller time steps. To illustrate the effect this has on damage and fracture behaviour, the cases described above are conducted with varying time steps, and therefore varying displacement increments.

In Figures 6.4, 6.5 and 6.6 the force-displacement curves for various Rhie-Chow scale factors and time step 0.001s are displayed. Comparing these with those obtained for a time step of 0.015s



**Fig. 8** Case b - notched round bar w) non-local Lemaitre



**Fig. 9** Case c - flat notched bar w) phase field fracture

Time step ( $\Delta t$ )	Displacement increment ( $\Delta u$ )
0.015	0.017145 <i>mm</i>
0.0125	0.0142875 <i>mm</i>
0.01	0.01143 <i>mm</i>
0.0075	0.0085725 <i>mm</i>
0.005	0.005715 <i>mm</i>
0.0025	0.0028575 <i>mm</i>

**Table 7** Time steps with associated displacement increments for case a

in the previous subsection, it is evident that the Rhie-Chow term is having less of an effect on the solution.

The reduction of the effects of the Rhie-Chow term with the time-step size is further quantified in Figure 6.7. In these figures, the objective function from equation 6.3 is used to quantify the difference between the force-displacements obtained with Rhie-Chow scale factors 0.01 and 0.1 for each time step given in Tables 6.7, 6.8 and 6.9.

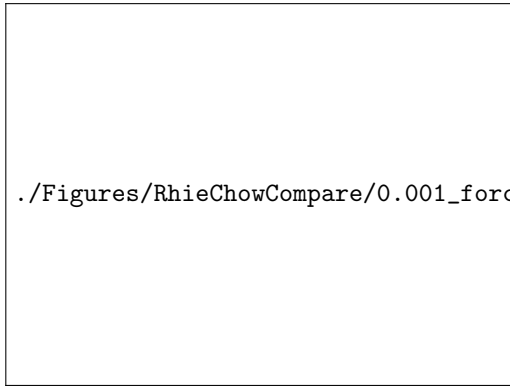
It is evident that for case a and case b there is a reduction in the effects of the Rhie-Chow term with decreasing time step. This relationship is a little more complicated for case c. This is likely due to the fact that by varying the time steps, we are not just altering the Rhie-Chow effects, but given the time-step dependency inherent in the finite volume method, some aspects of the solution itself are being affected.

Time step ( $\Delta t$ )	Displacement increment ( $\Delta u$ )
0.015	0.015 <i>mm</i>
0.0125	0.0125 <i>mm</i>
0.01	0.01 <i>mm</i>
0.0075	0.0075 <i>mm</i>
0.005	0.005 <i>mm</i>
0.0025	0.0025 <i>mm</i>

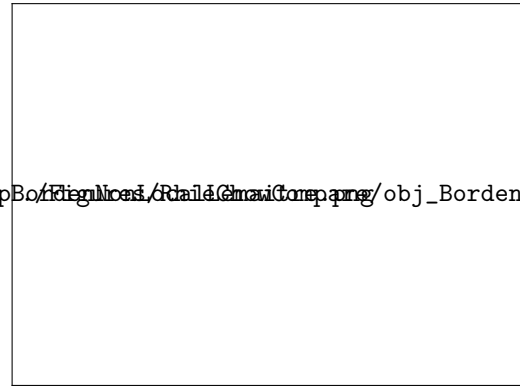
**Table 8** Time steps with associated displacement increments for case b

Time step ( $\Delta t$ )	Displacement increment ( $\Delta u$ )
0.015	0.017145 <i>mm</i>
0.0125	0.0142875 <i>mm</i>
0.01	0.01143 <i>mm</i>
0.0075	0.0085725 <i>mm</i>
0.005	0.005715 <i>mm</i>
0.0025	0.0028575 <i>mm</i>

**Table 9** Time steps with associated displacement increments for case c

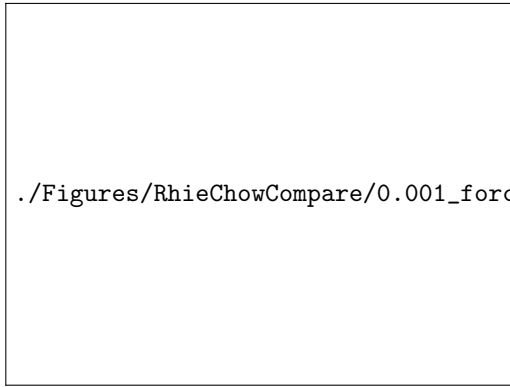


(a) Force vs. displacement

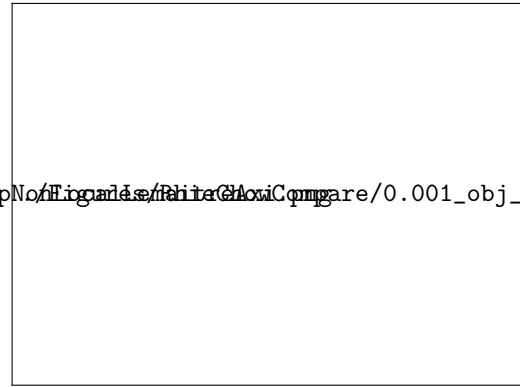


(b) % difference vs. scale factor

**Fig. 10** Case a - flat notched bar w) non-local Lemaitre



(a) Force vs. displacement

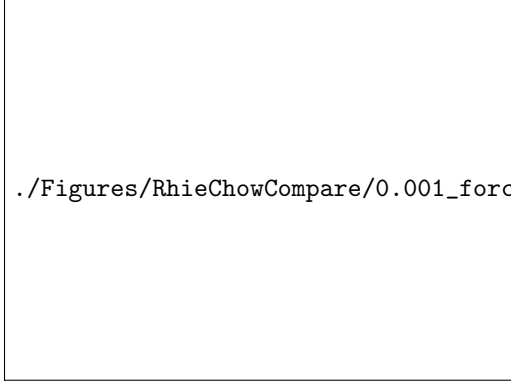


(b) % difference vs. scale factor

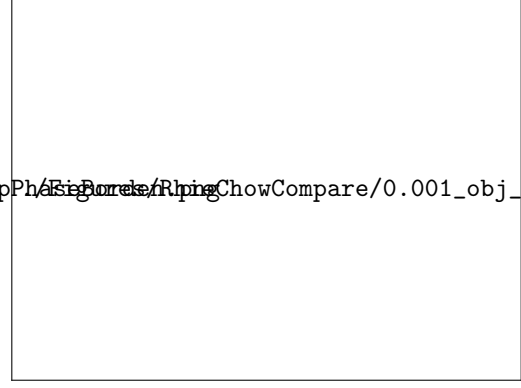
**Fig. 11** Case b - notched round bar w) non-local Lemaitre

### 8.3 Mitigation strategy

In order to mitigate the effect of the Rhie-Chow term on the material behaviour, a strategy is proposed in this work whereby the Rhie-Chow scale factor  $\mathcal{R}$  in equation 144 is replaced with a field  $\mathcal{R}_{field}$  that is a function of the damage field  $D$  in the case of the Lemaitre model and the



(a) Force vs. displacement



(b) % difference vs. scale factor

**Fig. 12** Case c - flat notched bar w) phase field fracture



(a) % difference vs. time step - case a



(b) % difference vs. time step - case b



(c) % difference vs. time step - case c

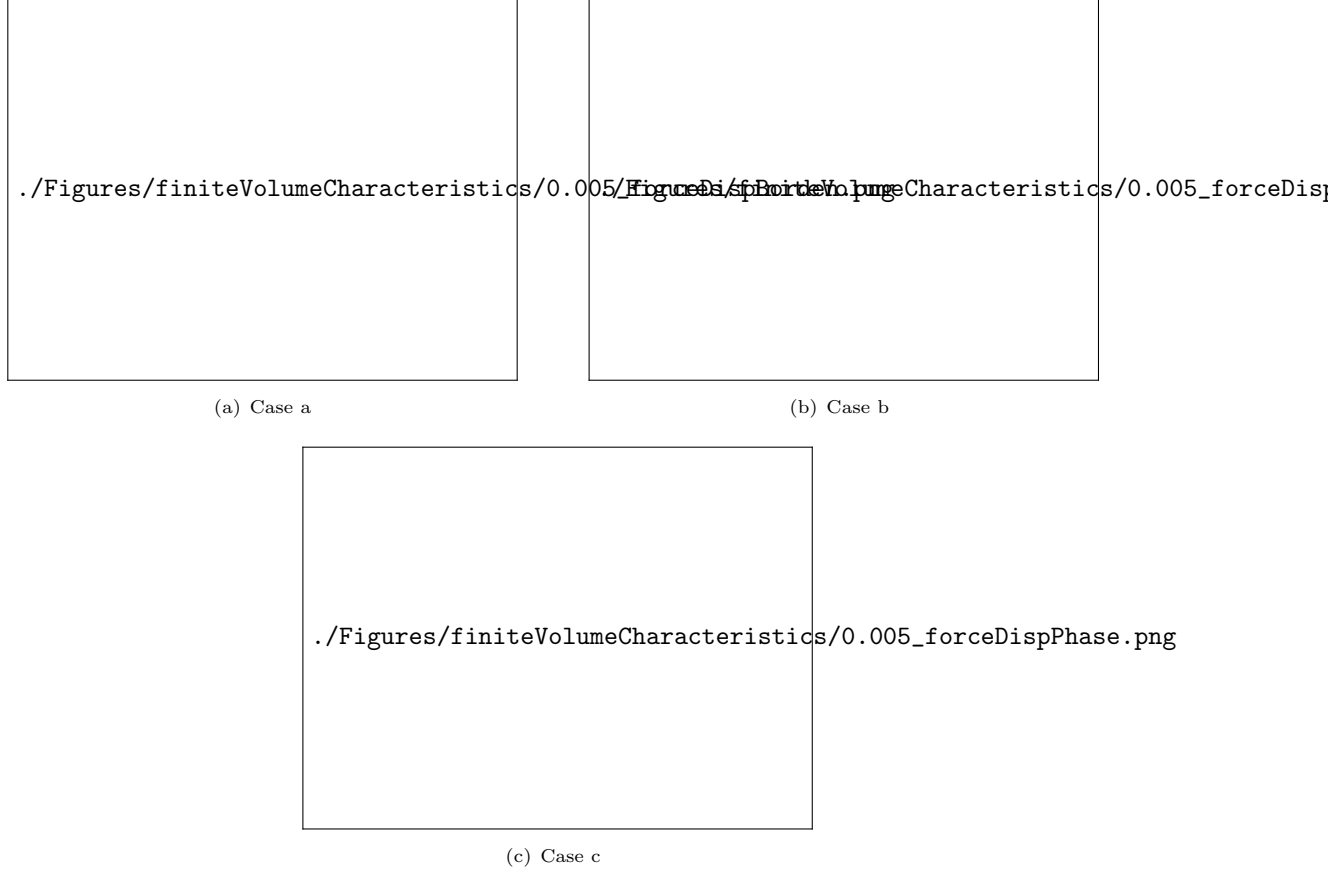
**Fig. 13** Comparison of Rhie-Chow effects with time-step size

crack variable  $d$  in the phase field model.

$$\mathcal{R}_{field}(D) = (1 - D)^2 \mathcal{R} \quad (146)$$

$$\mathcal{R}_{field}(d) = (1 - d)^2 \mathcal{R} \quad (147)$$

In order to illustrate the benefit of this proposed scheme, simulations are conducted for cases a, b and c with a time-step of 0.005  $s$ . Rhie-Chow scaling fields of  $\mathcal{R}_{field}(D) = (1 - D)^2 \cdot 0.1$  and  $\mathcal{R}_{field}(d) = (1 - d)^2 \cdot 0.1$  are used for the Lemaitre and phase field model simulations respectively.



**Fig. 14** Comparison of Rhie-Chow field approach with different Rhie-Chow scale factors

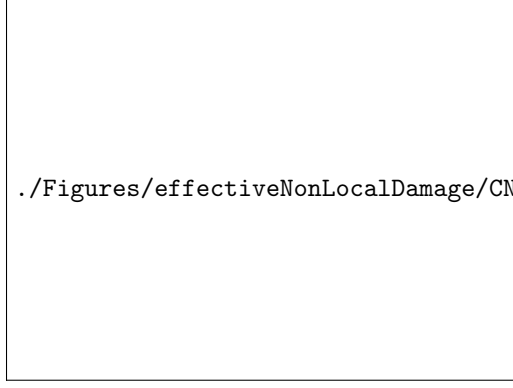
The results from these simulations are compared with those obtained for Rhie-Chow scale factors of 0.1 and 0.01 in Figure 14.

It can be observed that the proposed strategy reduces the effect that the Rhie-Chow scale factor has on the localisation behaviour when employing the Rhie-Chow scale field  $\mathcal{R}_{field}$  approach. The benefits to a higher Rhie-Chow scale factor (improved convergence properties, reduction of "checker-boarding errors") are therefore gained using this scheme up until the point where crack propagation begins to occur. At this point, the Rhie-Chow effects are reduced and therefore, its effect on the fracture behaviour is mitigated.

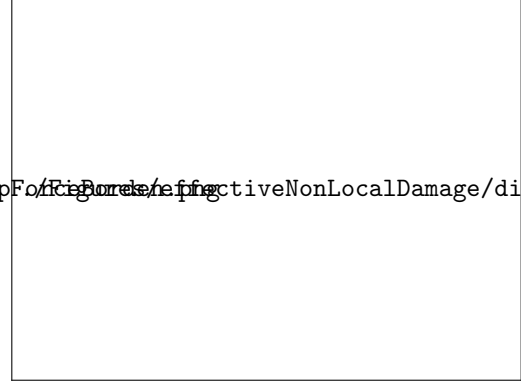
It is noticeable that the proposed strategy leads to lower reaction force for cases a and c (Figure 14). This is due to the fact that by reducing the scale factor below 0.01, these residual forces that exist after the crack propagation stage are reduced. These residual forces are somewhat non-physical in any case, as will be discussed in the next section.

## 9 Effective non-local damage development

One issue with the classic non-local Lemaitre damage model is that it can lead to a somewhat non-physical response. To illustrate this point, the results for the flat notched bar case with non-local Lemaitre damage (case a) and the notched round bar with non-local Lemaitre damage (case b) from the previous section are analysed. The cases looked at here use a time step of 0.001 and a Rhie-Chow scale factor of 0.1. The force-displacement curves and the damage evolution plotted at the cells where fracture first occurs (Figures ?? and ??) are given in Figures 15 and 16.

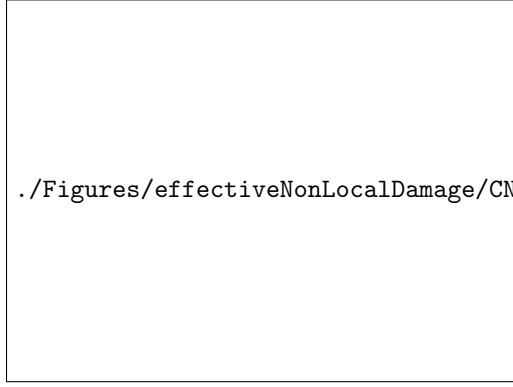


(a) Force vs. displacement



(b) non-local Damage vs. displacement

**Fig. 15** Flat notched bar (case a)



(a) Force vs. displacement



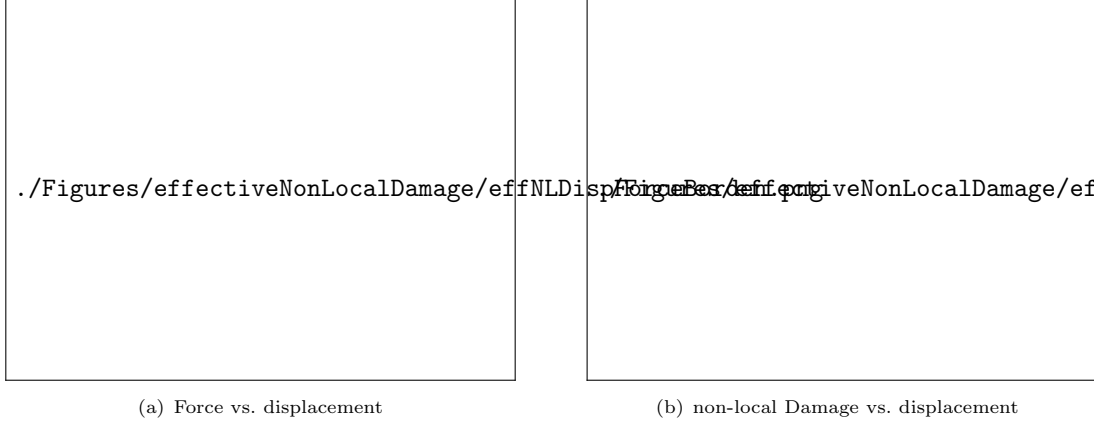
(b) non-local Damage vs. displacement

**Fig. 16** Notched round bar (case b)

Non-physical issues with the damage evolution can be observed in Figures 15 and 16. The non-local gradient equation has the effect of diffusing the damage such that after a certain point, the rate at which the damage evolves is reduced. In reality, it would be expected for the cell to quickly become fully damaged as it enters the void coalescence stage (Figure 1)

To mitigate these issues, a novel formulation of the non-local Lemaitre model is developed in this work. The nonphysical response is mitigated by introducing the effective non-local damage field  $\bar{D}_{eff}$ . This field is set such that it is equivalent to the non-local damage field unless for a given cell the non-local damage field exceeds a critical damage value  $D_c$ . At this critical value, the effective non-local damage is set to be 0.99. This cell can be viewed as essentially being fully degraded, the effective non-local damage  $\bar{D}_{eff}$  is set as 0.99 as opposed to 1.0 in order to allow some small residual stresses in these cells to aid convergence.

Further to this, the growth of the local damage for a given cell is prevented if the effective non-local damage of this cell exceeds the critical damage value  $D_c$ . This prevents the nonphysical behaviour whereby a cell that is set as being fully cracked is contributing to the damage growth in the surrounding cells through the non-local damage field. This scheme is described in Algorithm 6.



**Fig. 17** Flat notched bar (case a)

---

**Algorithm 6:** Damage evolution scheme

---

(i) For all cells calculate local damage

```

if  $\bar{D}_{eff\ n+1}^i \leq D_c$  then
    |
    | 
$$D_{n+1}^{i+1} = D_n + \frac{\Delta\gamma}{(1 - D_{n+1}^i)} \left[ \frac{-Y_{n+1}}{S_0} \right]^b$$

    |
else
    |
    | 
$$D_{n+1}^{i+1} = D_{n+1}^i$$

    |
end

```

(ii) Calculate global non-local damage field

$$(D_{n+1}^{i+1} - \bar{D}_{n+1}^{i+1}) + l_c^2 \nabla^2 D_{n+1}^{i+1} = 0$$

(iii) Set the effective non-local damage field for each cell

```

if  $\bar{D}_{n+1}^{i+1} \leq D_c$  then
    |
    | 
$$D_{eff\ n+1}^{i+1} = D_{n+1}^{i+1}$$

    |
else
    |
    | 
$$D_{eff\ n+1}^{i+1} = 0.99$$

    |
end

```

---

The benefits of this scheme can be seen in Figures 17 and 18. These figures show the results obtained with the novel formulation. For these simulations, the material parameter  $D_c$  is set as 0.55. A more physically realistic damage evolution can be observed as well as the rapid crack propagation being evident in the force-displacement curve. Such a rapid loss of load-carrying capacity at a certain point for tensile specimens has been observed experimentally [74, 107]. It is worth noting that the fact that the damage is set as 0.99 combined with the diffusive effects of the Rhie-Chow term will still lead to some nonphysical residual force-displacement behaviour of the simulations (e.g. the residual force required for further displacement in Figure 18).





**Fig. 18** Notched round bar (case b)

## 9.1 Prediction of chevron cracks

Applied total displacement	$u$	30 <i>mm</i>
Uncoupled displacement	$u$	50 <i>mm</i>
Time dtep	$\Delta t$	0.001 <i>s</i>
Displacement increment	$\Delta u$	2 <i>mm</i>
Friction coefficient	$\mu$	0.1

**Table 10** Loading conditions for wire drawing case

Die inlet diameter	16 <i>mm</i>
Die outlet diameter	11.6276 <i>mm</i>
Die outer diameter	20 <i>mm</i>
Die seim-angle	10°
Wire length	30 <i>mm</i>
Wire diameter	13 <i>mm</i>

**Table 11** Die and wire geometry

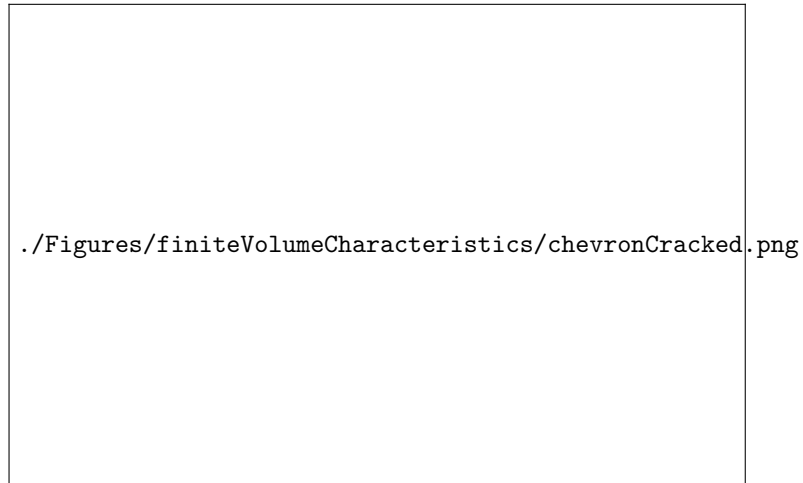
A common defect that occurs in wire drawing is the development of chevron cracks (or central burst defects) at the centre of the wire (Figure 19). The ability of the developed scheme is tested in its ability to model their occurrence. The relevant material properties and loading conditions are given in Tables 6.10-13 (further details on these wire drawing simulations are given in the next chapter).

Property	Symbol	Value
Young's modulus	$E$	200 GPa
Poisson's ratio	$\nu$	0.33
Lemaitre damage denominator	$S_0$	15 MPa
Lemaitre damage exponent	$b$	1.0
Critical Damage	$D_c$	0.052
Characteristic Length	$l_c$	0.325 mm
Hardening law	$\sigma_y$	$600 + (1218 - 600 + 250 \times \bar{\varepsilon}^p)(1 - e^{43.44 \times \bar{\varepsilon}^p})$ MPa

**Table 12** Wire material properties

Property	Symbol	Value
Young's modulus	$E$	600000 GPa
Poisson's ratio	$\nu$	0.22

**Table 13** Die material properties (linear elastic material law)



**Fig. 19** Chevron cracks in steel rods, similar to those that can occur in drawn wires [108]

./Figures/finiteVolumeCharacteristics/simulatedChevron.png

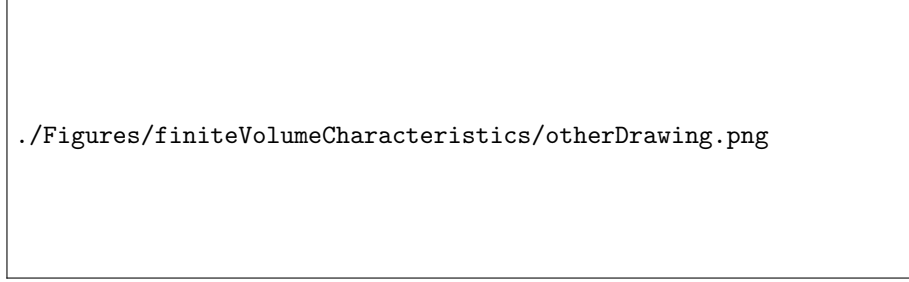
(a) Axisymmetric mesh

./Figures/finiteVolumeCharacteristics/270ChevronCracking.png

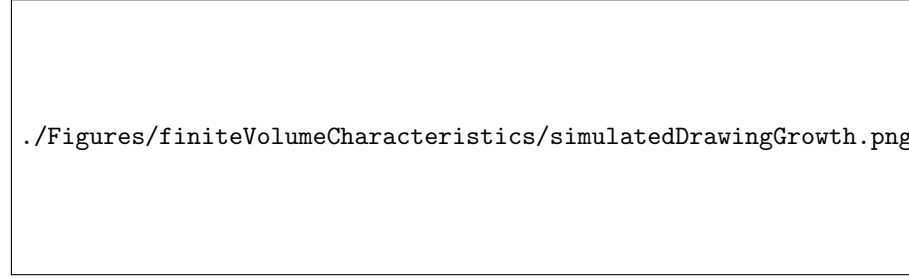
(b) 270° rotational extrusion

**Fig. 20** Chevron cracks in wire drawing

The accurate modelling of chevron cracks, using the developed effective non-local damage scheme, is evident in Figure 20. By contrast in Figure 21, the results can be seen if the growth of the local damage field  $D$  is not restricted when  $\bar{D}_{eff} > D_c$  (step (i) in Algorithm 6). The unrestricted growth of the local damage field  $D$  leads in turn to the rapid growth of the  $\bar{D}$  field, and therefore a large number of cells have a value for  $\bar{D}$  which exceeds  $D_c$ . The results of this are rapid crack propagation and an inability to predict the formation of chevron cracks (Figure 21 b).



(a)  $\bar{D}_{eff}$  field prior to reaching  $D_c$



(b)  $\bar{D}_{eff}$  field after reaching  $D_c$

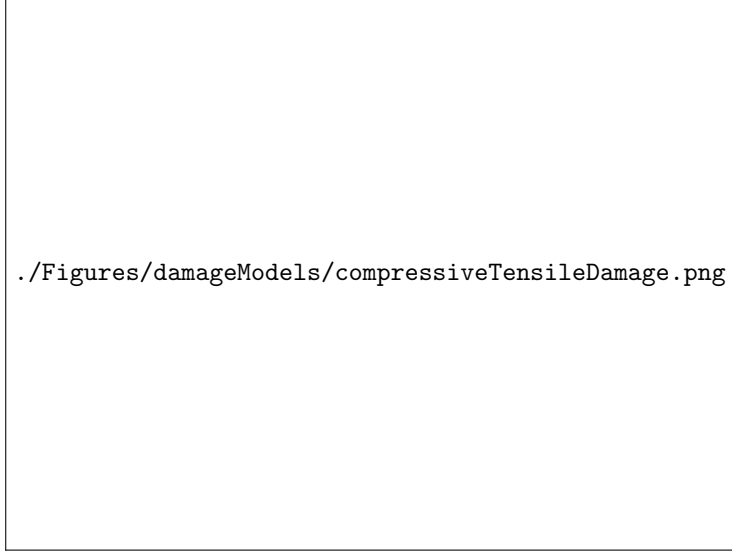
**Fig. 21** Unstable crack propogation

## 10 Updated-Lagrangian to reference development

Given that the mesh is moved to the updated configuration after each time step, the non-local equation is solved with respect to this updated mesh configuration. However, sometimes it may be preferred to solve the non-local equation with respect to the initial mesh configuration.

It's worth noting however that the results from the non-local equation will differ if the non-local gradient equation is solved with respect to the initial configuration  $\Omega_o$ . If the non-local equation is solved with respect to the updated configuration, then in tension the non-local damage will tend towards the local damage as the distance between the cell centres will increase. Conversely, in compressive states, the distances between cell centres will decrease leading to greater diffusion in the region of a damaged cell. In order to illustrate this, simulations on a one-dimensional bar of length  $10mm$  were performed. An imperfection is placed at the centre of the bar so that here the local damage  $D = 1.0$ . In all other cells  $D = 0$ . Both 20% compressive and tensile strains were applied. It can be seen in Figure 22 how the distribution of the non-local damage is therefore altered as the bar undergoes tension and compression

It remains a matter of debate in the literature as to whether solving this equation with respect to the initial or updated configuration is preferable [80]. Steinmann [109] has argued that the fact that the non-local damage will tend towards the local damage (in an object undergoing tensile loading) is an argument for solving with respect to the initial configuration to ensure that the



**Fig. 22** Non-local damage along the original bar length

mesh independence of the solution remains strong. However, he also noted that this may not be physically realistic as it is not likely the case that a material's non-local properties are completely independent of its deformation path.

In order to ensure that solving the non-local gradient equation with respect to the initial configuration is possible, within the context of an updated Lagrangian solid model, a mathematical and algorithmic approach was developed in this work. This approach allows for the solving of the non-local gradient equation with respect to the initial configuration within the context of an updated Lagrangian solid model framework.

To begin, the strong integral form of the non-local equation with respect to the initial configuration is stated:

$$\int_{\Omega_o} D \, d\Omega_o - \int_{\Omega_o} \bar{D} \, d\Omega_o + \oint_{\Gamma_o} l_c^2 \mathbf{n}_o \cdot \nabla \bar{D} \, d\Gamma_o = 0 \quad (148)$$

Given the definition of the volume change  $J$ , the volume in the updated configuration can be related to the initial volume:

$$J_n^{-1} V_u = V_o \quad (149)$$

where  $J_n$  is the volume change from the previous time step. Nanson's formula is employed to describe the updated  $\Gamma_u$  area vector in terms of the initial area vector  $\Gamma_o$ :

$$\mathbf{\Gamma}_u = J_n \mathbf{F}_n^{-T} \cdot \mathbf{\Gamma}_o \quad (150)$$

The initial area vector  $\Gamma_o$  can then be rewritten in terms of the updated area vector  $\Gamma_u$ :

$$J_n^{-1} \mathbf{F}_n^T \cdot \mathbf{\Gamma}_u = \mathbf{\Gamma}_o \quad (151)$$

Using equation 149, the first two volume integral terms in equation 151 can be given in terms of the updated configuration as:

$$\int_{\Omega_o} D \, d\Omega_o = \int_{\Omega_u} J_n^{-1} D \, d\Omega_u \quad (152)$$

$$\int_{\Omega_o} \bar{D} d\Omega_o = \int_{\Omega_u} J_n^{-1} \bar{D} d\Omega_u \quad (153)$$

Gauss' theorem can then be used to reformulate the gradient term within the third term of equation 148:

$$\oint_{\Gamma_o} l_c^2 \mathbf{n}_o \cdot \nabla \bar{D} d\Gamma_o = \oint_{\Gamma_o} l_c^2 \mathbf{n}_o \cdot \left( \oint_{\Gamma_o} \mathbf{n}_o \bar{D} d\Gamma_o \right) d\Gamma_o \quad (154)$$

The term on the right-hand side of equation 154 can then be reformulated in terms of the updated configuration by combining it with equation 151 to give

The full equation to be solved is therefore

#### Algorithmic approach

Equation ?? is solved as shown in equation 155, with the second and third terms added in to aid with convergence. The divergence, gradient and Laplacian terms are discretised using the Gauss linear scheme [102]. A user-defined number of outer iterations are performed around this equation. The code for its implementation is provided in Appendix C.


$$\begin{aligned} & \underbrace{\int_{\Omega_u} J_n^{-1} \bar{D} d\Omega_u}_{\text{implicit}} - \underbrace{\oint_{\Gamma_u} \mathbf{n}_u \cdot \nabla (\Delta \bar{D}) d\Gamma_u}_{\text{implicit}} \\ & + \underbrace{\oint_{\Gamma_u} \mathbf{n}_u \cdot \nabla (\Delta \bar{D}) d\Gamma_u}_{\text{explicit}} \\ & - \underbrace{\oint_{\Gamma_u} l_c^2 (J_n^{-1} \mathbf{F}_n^T \cdot \mathbf{n}_u) \cdot \left( \oint_{\Gamma_u} (J_n^{-1} \mathbf{F}_n^T \cdot \mathbf{n}_u) \bar{D} d\Gamma_u \right) d\Gamma_u}_{\text{explicit}} \\ & = \underbrace{\int_{\Omega_u} J_n^{-1} \bar{D} d\Omega_u}_{\text{explicit}} \end{aligned} \quad (155)$$

### 10.1 Validation of updated-Lagrangian to reference approach

In this section, the implementation of the approach described in section 10 is verified. This is done by simulating each of case a and case b (from section 8.1) in the following three ways


- With an updated Lagrangian solid model
- With a total Lagrangian solid model
- with an updated Lagrangian solid model and the non-local damage gradient equation solved with respect to the initial configuration

The force-displacement curves for these simulations are given in Figure 23. The results of the UL to reference approach and the total Lagrangian approach line up well. However, in Figure 6.17 a, a slight discrepancy can be observed which given that very fine time-steps and meshes are used for these simulations is unrelated to discretisation error (Appendix A.1). Is unclear why this exists. It may be due to slight differences in the discretisation techniques - in the UL to reference approach the divergence of the gradient of the non-local damage variable  $\bar{D}$  is solved for, whereas in the total Lagrangian approach the option in OpenFOAM to solve the Laplacian directly is used. Some differences in the Rhie-Chow effects between the total-Lagrangian and updated-Lagrangian approach may also contribute.



`./Figures/finiteVolumeCharacteristics/bordenLagCompare_ForceDisp.png`

(a) Flat notched bar - case a



`./Figures/finiteVolumeCharacteristics/axiLagCompare_ForceDisp.png`

(b) Notched round bar - case b

**Fig. 23** Comparison of approaches

## 11 Test Cases

This section assesses the performance of the proposed procedures on three benchmark test cases: (i) 2-D axisymmetric notched round bar, (ii) 3-D flat notched bar, and (iii) 2-D axisymmetric wire drawing. Comparisons are made with predictions for finite element software Abaqus and other results from the literature. Linear interpolation functions are used in the finite element simulations (Abaqus element code C3D8T for 3-D and CAX4RT for axisymmetry). For reference, single-cell

verifications of the proposed material models are provided in Appendix A, providing confidence that the constitutive laws are implemented as intended.

In all of the following simulations conducted on OpenFOAM, the Rhie-Chow scale factor is set at 0.01 All simulations were run using 8 CPU cores (Intel Xeon 6152).

## 12 Notched Round Bar

The geometry of the notched round bar (Figure 12) has been widely used in the literature [110–112] for benchmarking plasticity and damage procedures. The geometry consists 40 mm long round bar with 18 mm diameter including a 4 mm notch. A 2-D axisymmetric model is created, including a horizontal symmetry plane. A structured quadrilateral mesh is employed (Figure 25).



**Fig. 24** Geometry of the notched round bar

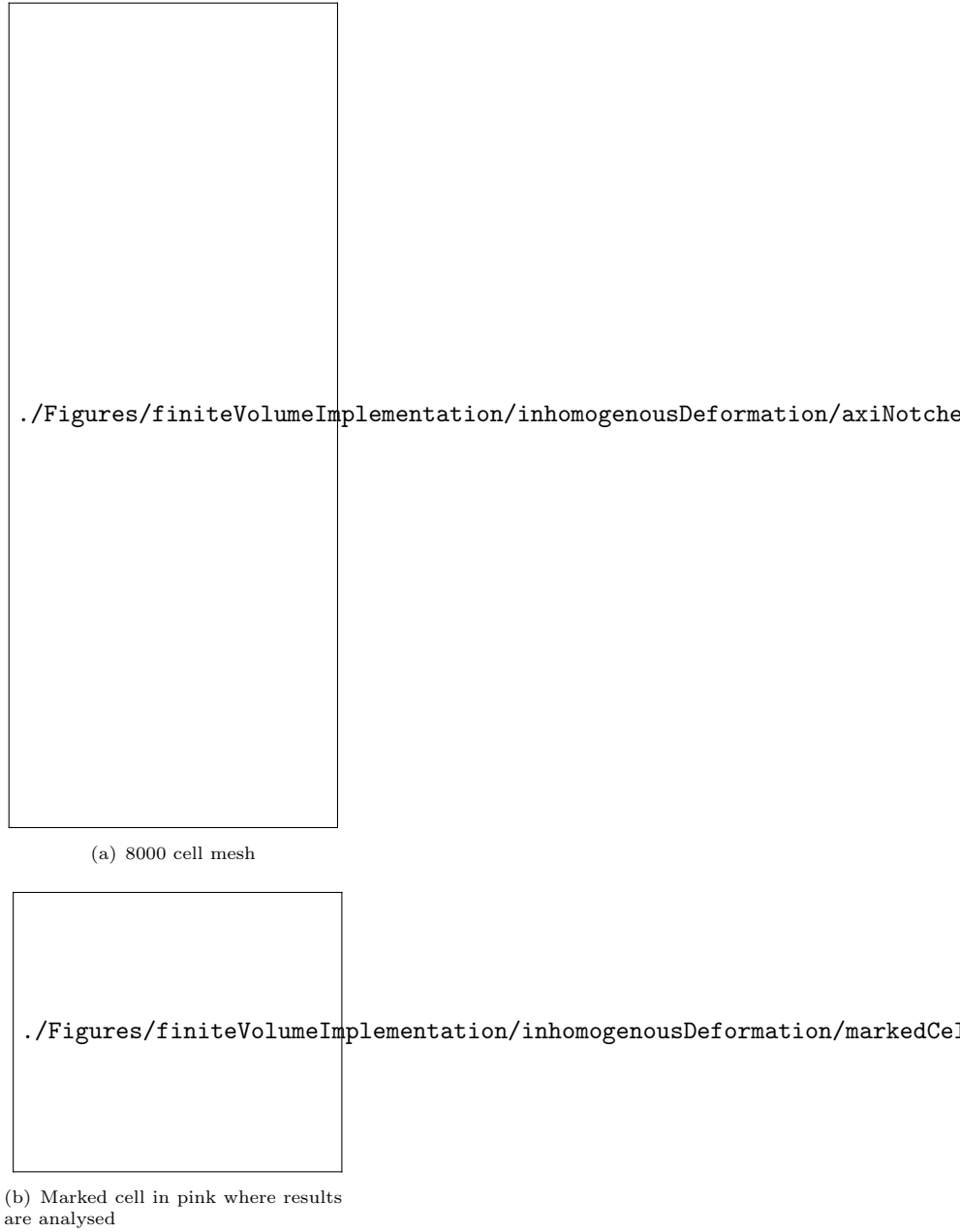
A vertical displacement of 1.0 mm is applied to the upper boundary over 100 quasi-static loading steps, corresponding to 0.01 mm increments.

Table 14 gives the assumed elastoplastic material parameters.

Property	Symbol	Value
Young's modulus	$E$	69 GPa
Poisson's ratio	$\nu$	0.3
Hardening law	$\sigma_y$	$589(0.0001 + \bar{\epsilon}^p)^{0.216}$ MPa

**Table 14** Material properties for the notched round bar





**Fig. 25** Notched round bar mesh

## 13 Flat Notched Bar

The 3-D flat notched tensile specimen (Figure 13) is another common test case for assessing damage models, for example, as examined by Borden et al. [20] and Eldahshan et al. [113]. The geometry consists of  $152.4 \times 25.4$  mm plate with a 4.06 mm diameter side notches. The solution domain consists of one-quarter of the specimen by exploiting three symmetry planes. A structured hexahedral mesh is employed (Figure ??).

A vertical displacement of 1.143 mm is applied to the upper boundary over 100 quasi-static loading steps, corresponding to 0.01143 mm increments.

Table 15 gives the assumed elastoplastic material parameters.

Before verifying the damage and fracture models, the implementation of the plasticity model described in chapter 3 is verified by comparing its results to (i) the elasto-plastic model implemented



./Figures/finiteVolumeImplementation/inhomogenousDeformation/flatNotchedTensile

**Fig. 26** Geometry of the flat notched bar

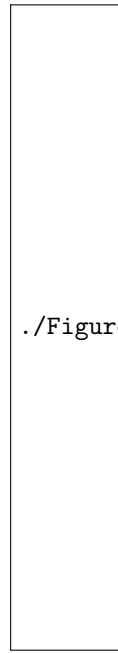
Property	Symbol	Value
Young's modulus	$E$	68.8 GPa
Poisson's ratio	$\nu$	0.33
Hardening law	$\sigma_y$	$320 + 688\bar{\epsilon}^p$ MPa

**Table 15** Material properties for the flat notched bar

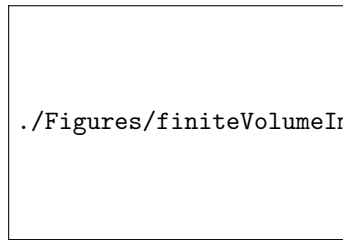
by Clancy [11]) in OpenFOAM that uses the logarithmic strain and the Mandel stress [114], (ii) to the elasto-plastic model implemented in OpenFOAM by Cardiff et al. [13], which uses the Green strain tensor and the return mapping algorithm described by Simo and Hughes [115] and (iii) to results obtained from simulations conducted in Abaqus.

### 13.0.1 Reaction force vs displacement

It can be seen in Figure 5.11 that the results align very well, verifying the implementation of this model. It is worth noting that the reaction force for both the Clancy [11] implementation and the implementation of this work (which both use the logarithmic strain) is slightly less than that given by the Cardiff et al. [13] implementation and the Abaqus simulation in the latter stages of the deformation. It is unclear exactly why this is, though it could be related to the fact that formulations which employ the logarithmic strain are not exactly mathematically equivalent to those which employ the Green strain tensor.



(a) 10000  
cell mesh



(b) Marked cell in pink where results  
are analysed

**Fig. 27** Flat notched bar mesh



(a) Notched round bar



(b) Flat notched bar

**Fig. 28** Force-displacement curves

### 13.0.2 Results at cell centre of interest

The results at the centroid of a chosen cell (marked in pink in Figures 5.9 and 5.10) are here compared for the implementation of this work, the Cardiff et al. [13] implementation and the results from Abaqus. It is noticeable that in both test cases, the equivalent plastic strain is marginally greater in the OpenFOAM simulations than the Abaqus ones in the latter stages of the deformation. As can be seen in Appendix F, this discrepancy is unrelated to the time-step or mesh size discretisation error. Throughout this chapter, relatively fine meshes and small time steps are used to ensure that this form of error is negligible.

It is unclear exactly why this difference (or other small differences which will be encountered) later in the chapter exists, though it could be related to the fact that in Abaqus the Jaumann rate is used to update the stress tensor [116], unlike in OpenFOAM.

### 13.0.3 Notched round bar

For the NRB, the results for the cell at the centre of the specimen are compared (Figure 5.9). This cell is chosen because it is where fracture initiates for both the Lemaitre and GTN model simulations that will be encountered later in this chapter.



**Fig. 29** Equivalent plastic strain vs. displacement



(a) Equivalent stress vs. displacement



(b) Pressure vs. displacement

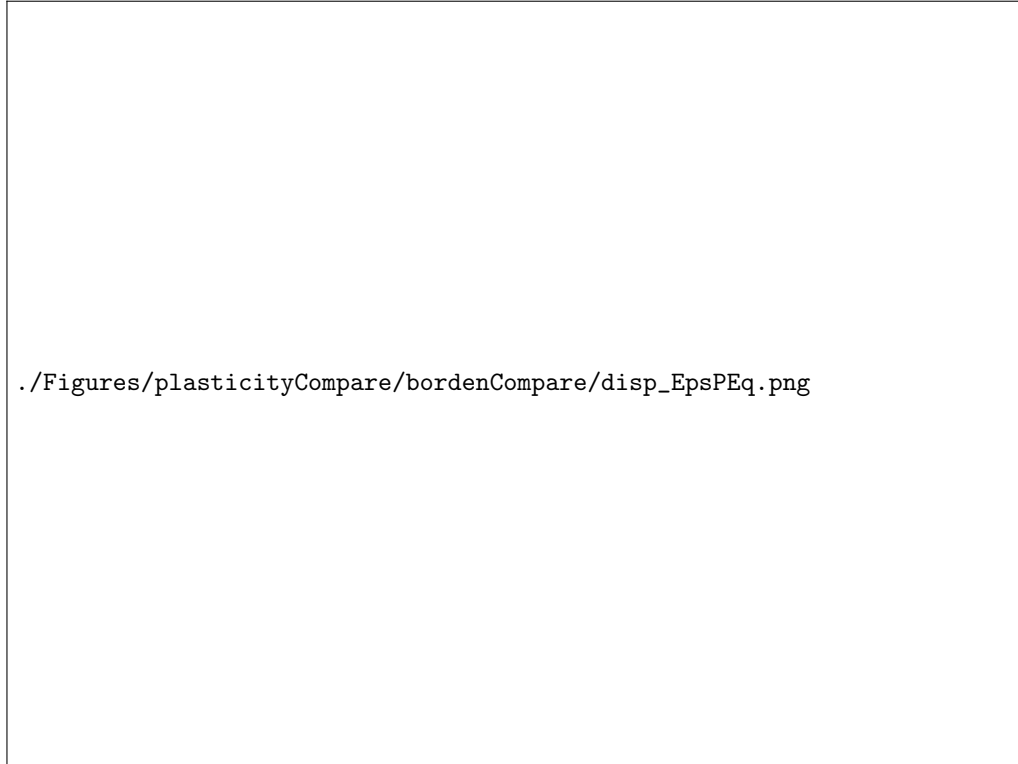


(c) Sigma YY vs. displacement

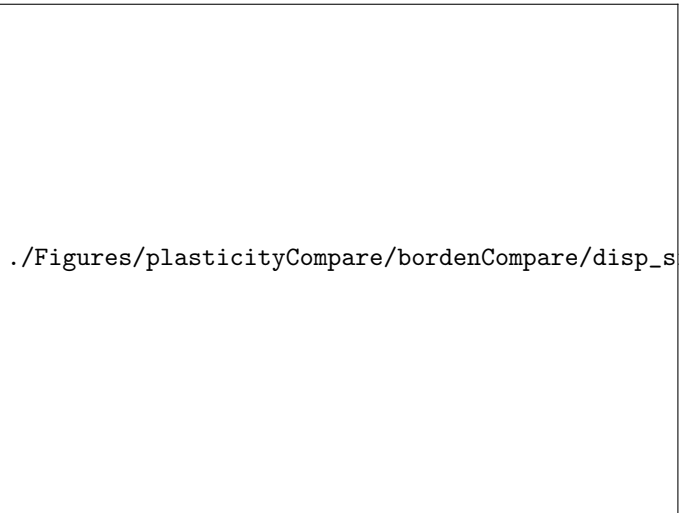
**Fig. 30** Comparison of stress state

#### 13.0.4 Flat notched bar

For the FNB, results are shown for the cell that is 6.912 *mm* to the right of the centre of this specimen. This cell is chosen because it is where fracture initiates for the Lemaitre model (Figure 5.10).



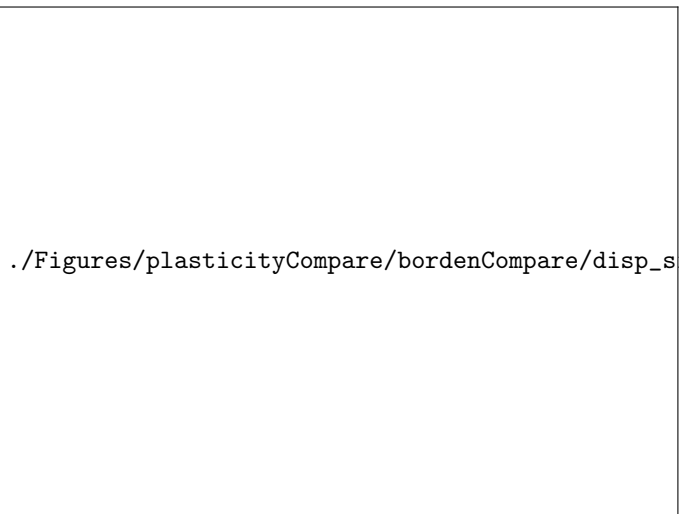
**Fig. 31** Equivalent plastic strain vs. displacement



(a) Equivalent stress vs. displacement



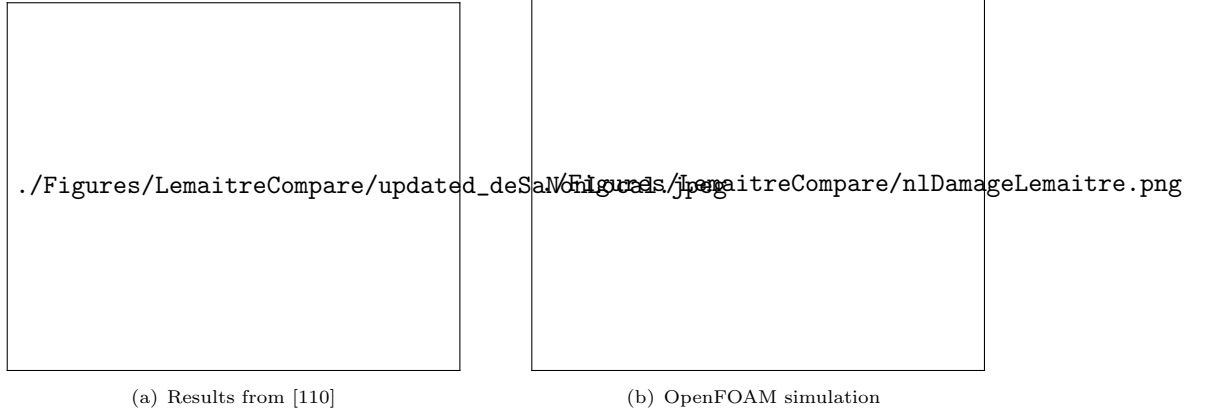
(b) Pressure vs. displacement



(c) Sigma YY vs. displacement

**Fig. 32** Comparison of stress state





**Fig. 33** Comparison of non-local damage distribution

### 13.0.5 Lemaitre model

Property	Symbol	Value
Young's modulus	$E$	69.9 GPa
Poisson's ratio	$\nu$	0.3
Lemaitre damage denominator	$S_0$	1.1 MPa
Lemaitre damage exponent	$b$	1.0
Characteristic Length	$l_c$	0.6325 mm
Hardening law	$\sigma_y$	$589(0.0001 + \bar{\varepsilon}^p)^{0.216}$ MPa

**Table 16** Material properties for the NRB

A displacement of 0.8 mm is applied to the top boundary of the NRB specimen, with 800 time steps used in these simulations corresponding to displacement increments of 0.001 mm. The material properties in Table 5.7 are taken from César de Sá et al. [110].

The distribution of the non-local damage at a displacement of 0.6 mm in the OpenFOAM simulation is compared with the results provided in César de Sá et al. [110] in Figure 5.16. In both of these cases the non-local damage reaches a maximum of  $\approx 0.5$ , it is clear that in both of these simulations the distribution of the damage over the specimen follows a similar pattern.

### 13.0.6 Lemaitre model: Abaqus vs. OpenFOAM

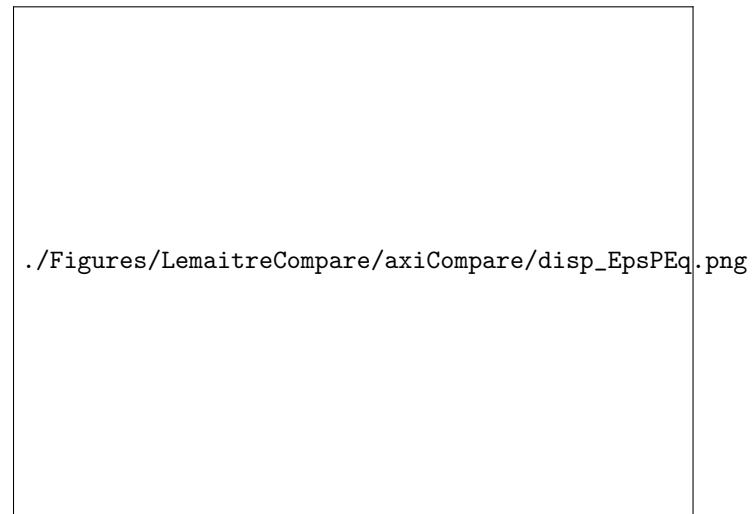
In this sub-section results from the OpenFOAM and Abaqus implementations of the non-local gradient Lemaitre model are compared. It can be seen in Figure 5.17, that the OpenFOAM simulation of the non-local gradient Lemaitre model localises at a slightly quicker rate than the Abaqus implementation. This has been encountered earlier in the comparison of the equivalent plastic strain in section 5.3.2. The marginally faster increase of the equivalent plastic strain and damage results in a slightly quicker loss of load-carrying capacity for the specimen, as can be observed in Figure 5.18.



**Fig. 34** Force vs. displacement



(a) Non-local damage vs. displacement (mm)



(b) Equivalent plastic strain vs. displacement

**Fig. 35** Abaqus and OpenFOAM implementations comparison

### Flat notched bar

Simulations are also performed on the flat notched bar case. Displacement increments of  $0.001143 \text{ mm}$  are applied by default in this case until the rapid loss of load-carrying capacity is observed. For the Abaqus case, the option was given for the simulation to use displacement increments as small as  $0.00001143 \text{ mm}$ , via the adaptive time stepping scheme available in this software.

Property	Symbol	Value
Young's modulus	$E$	68.9 GPa
Poisson's ratio	$\nu$	0.33
Lemaitre damage denominator	$S_0$	0.5 MPa
Lemaitre damage exponent	$b$	1.0
Characteristic Length	$l_c$	0.6325 mm
Hardening law	$\sigma_y$	$320 + 688 \times \bar{\epsilon}^P$ MPa

**Table 17** Material properties for the FNB

It is clear from Figure 5.19 that the results align well. Again it can be observed that there are slightly quicker increases in the damage and equivalent plastic strain for the OpenFOAM simulation (Figure 5.20). It is worth noting that Abaqus struggles to converge in the rapid crack propagation stage of this simulation, with it requiring very small displacement increments until it eventually crashes after a total displacement of  $0.463 \text{ mm}$ . By contrast, no such issues were encountered with the OpenFOAM simulation.

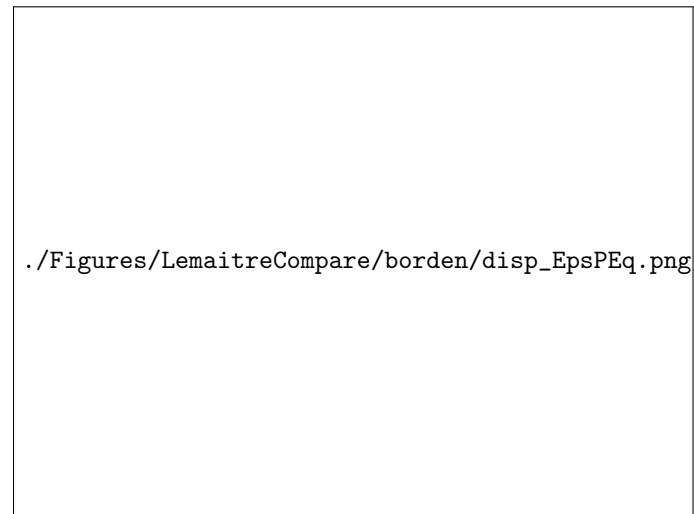
Further tests were conducted for different values of  $S_0$  (Figure 5.21). As the value of  $S_0$  is increased, the rapid crack propagation occurs later in the deformation process. It is noticeable that the discrepancies between OpenFOAM and Abaqus simulations are larger the later in the deformation process that fracture occurs.



**Fig. 36** Force vs. displacement



(a) Displacement vs. non-local Damage



(b) Displacement vs. equivalent plastic strain

**Fig. 37** Abaqus and OpenFOAM implementations comparison



**Fig. 38** Force vs. displacement for different values of  $S_0$

### 13.0.7 GTN model

Property	Symbol	Value
Young's modulus	$E$	69 GPa
Poisson's ratio	$\nu$	0.3
q1	$q1$	1.5
q2	$q2$	1
q3	$q3$	2.25
Initial porosity	$f_0$	0.002
Mean	$\varepsilon_n$	0.15
Standard deviation	$S_n$	0.08
Volume fraction	$f_N$	0.2
Hardening law	$\sigma_y$	$589(0.0001 + \bar{\varepsilon}^p)^{0.216}$ MPa

**Table 18** Material properties for the NRB

In this section, simulations are conducted on the implemented GTN model in OpenFOAM as well as on Abaqus using the inbuilt GTN model. A displacement of  $0.5 \text{ mm}$  is applied. Increments of displacement of  $0.001 \text{ mm}$  are applied by default. The Abaqus simulation is given the option to use increments as small as  $0.00001 \text{ mm}$  to aid with convergence.

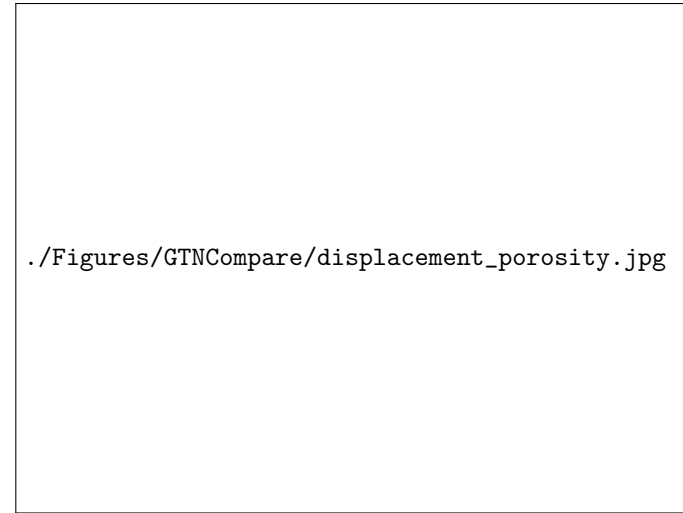
In Figure 5.22, it can be seen that the results align reasonably well. As with the simulations conducted with the Lemaitre model, quicker localisation can be observed. A feature of this test case is the sharp crack propagation (Figure 5.23). The Abaqus simulation has convergence issues and eventually crashes at a displacement of  $0.371 \text{ mm}$ . No such convergence issues were encountered with OpenFOAM. The superior convergence abilities of the OpenFOAM simulations are likely due to the fact that it uses the segregated-solution procedure (Section 2.7.3). By contrast, the Abaqus simulations require the calculation of the tangent stiffness matrix, which is derived during the finite element solution process. For elasto-plastic damage models, plastic deformation influences the onset and progression of damage, and vice versa. This interaction can result in a significant coupling in the tangent stiffness matrix, manifesting as non-trivial off-diagonal terms [56]. These terms can introduce convergence difficulties.



**Fig. 39** Force vs. displacement



(a) Porosity vs. displacement



(b) Equivalent plastic strain vs. displacement

**Fig. 40** Abaqus and OpenFOAM implementations comparison

### 13.0.8 Phase field fracture

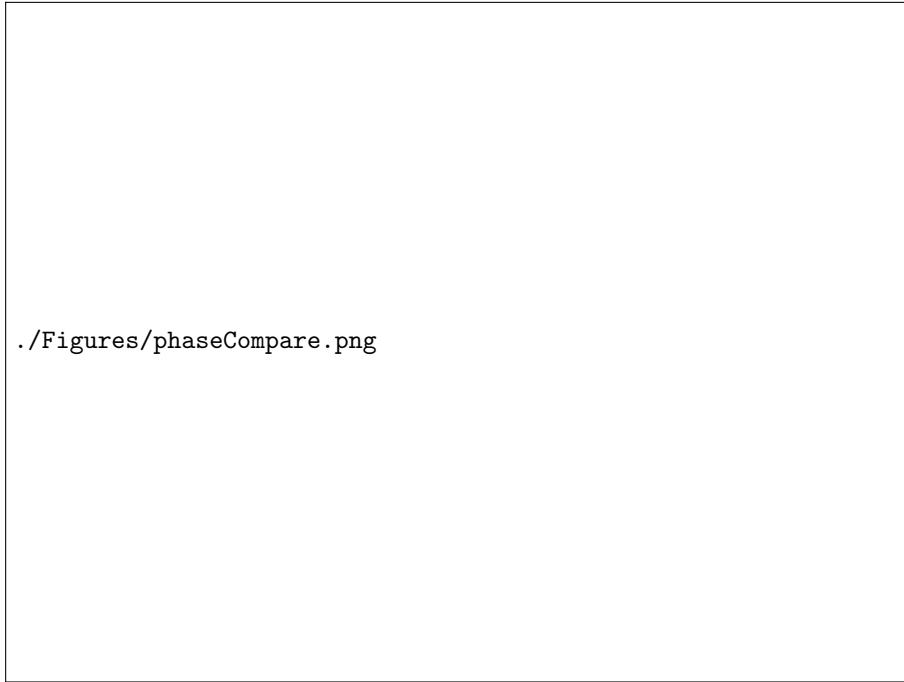
Property	Symbol	Value
Young's modulus	$E$	68.8 GPa
Poisson's ratio	$\nu$	0.33
Critical Fracture Energy	$G_c$	$60 \times 10^3 \text{ J/m}^2$
Plastic Threshold	$W_o$	$1 \times 10^7 \text{ MPa}$
Characteristic Length	$l_c$	$0.3226 \text{ mm}$
Hardening law	$\sigma_y$	$320 + 688 \times \bar{\epsilon}^p \text{ MPa}$

**Table 19** Material properties for phase field fracture FNB

In this section, OpenFOAM simulations are conducted for the flat notched bar case in Borden et al. [20]. The material properties are given in Table 5.10. Displacement increments of  $0.001143 \text{ mm}$  are used until fracture has occurred. The results obtained are compared with those from Borden et al. [20] and Eldahshan et al. [113].



It can be seen that the results line up well, verifying the implementation of the model. It is worth noting that the normalised stress reported by Borden et al. [20] prior to the rapid crack propagation is slightly lower than that obtained both here and by Eldahshan et al. [113].



**Fig. 41** Normalised stress vs normalised strain

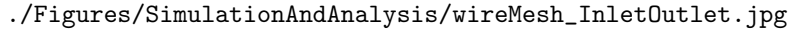
### 13.1 Wire drawing simulations



**Fig. 42** Schematic of the wire drawing process

A schematic of the wire drawing process is given in Figure 7.1. A wire of initial diameter  $D_i$  is pulled through a die of outlet diameter  $D_o$ . The reduction ratio  $r$  (%) is given by

$$r = \left( \frac{d_i^2 - d_o^2}{d_i^2} \right) \times 100 \quad (156)$$



**Fig. 43** Process for cell layer addition and removal

The die semi-angle  $\alpha$  ( $^{\circ}$ ) is the angle made by the die with the horizontal (Figure 7.3). For these wire-drawing simulations, an Eulerian-inspired Lagrangian method is employed [117]. In this approach, as the wire is being pulled through the die, cells are added to the wire at the inlet (or upstream) boundary and cells are deleted from the mesh at the outlet (or downstream) boundary (Figure 7.2). The simulation is carried out until consistent values are reached along the wire for its properties after exiting the outlet patch. The wire is continuously being pulled in the axial direction by a fixed increment of displacement at each time step. More details on this procedure can be seen in Cardiff et al. [117]. The contact boundary is handled using the method developed in Cardiff et al. [13, 33].

For these wire drawing cases, an initial contact is applied so that at the first step, the simulation is as shown in Figure 7.3. This can lead to localisations of plastic strain in the region of the wire in contact with the die. As the simulation moves forward a quasi steady-state solution is obtained.

`./Figures/SimulationAndAnalysis/initialContact.png`

(a) Initial contact at first time step

`./Figures/SimulationAndAnalysis/settledEpsilonPEq.png`

(b) Equivalent plastic strain after 30 *mm* displacement

`./Figures/SimulationAndAnalysis/settledDamage.png`

(c) Damage after 30 *mm* displacement

**Fig. 44** Wire drawing simulation

### 13.1.1 Incorporating damage

This setup can lead to issues when incorporating damage, particularly in cases with large reduction ratios and high die angles which will be encountered in section 7.3. The high amount of deformation in this region of the wire in contact with the die in the initial time steps may lead to a large amount of damage accruing and an unstable numerical solution. It was found that this issue can be ameliorated by setting the damage to only begin evolving in the wire after the wire has already gone through a user-defined displacement.

`./Figures/SimulationAndAnalysis/damageIssue.png`

**Fig. 45** Issue with incorporating damage at initial stages of case

## 13.2 Comparison of damage and fracture models

In the wire drawing process, fracture typically originates in the centre of the specimen [118–121]. In this section, simulations are conducted for a typical wire drawing pass, with a reduction ration  $r$  of 10% and a drawing semi-angle  $\alpha$  of  $6^\circ$  [122]. The wire drawing simulations were performed in serial on the UCD Sonic High Performance Computing (HPC) cluster.

The loading conditions and material parameters used are given in Tables 7.1-7. The material parameters for the die are taken from Clancy [11]. The elasto-plastic parameters of the wire used are similar to the ones that will be calibrated in section 7.3 for high-carbon steel. For the Lemaitre model with crack-closure effects, the crack-closure parameter is taken to be 0.2, as is typically the case for steels [69, 84, 85]. Aside from this, the parameters taken for the GTN, phase field and Lemaitre damage model are somewhat arbitrary and are mainly chosen for illustrative purposes. The values of these parameters will not affect the area of the wire where damage or fracture is predicted to occur but rather the level of damage or porosity that accumulates.

Applied total displacement	$u$	30 <i>mm</i>
Time step	$\Delta t$	0.001 <i>s</i>
Displacement increment	$\Delta u$	0.2 <i>mm</i>
Friction coefficient	$\mu$	0.1

**Table 20** Loading conditions for wire drawing case

Die inlet diameter	16 <i>mm</i>
Die outer diameter	20 <i>mm</i>
Die outlet Diameter	12.33 <i>mm</i>
Die semi-angle	$6^\circ$
Wire length	30 <i>mm</i>
Initial wire diameter	13 <i>mm</i>
Die cell size	0.4 <i>mm</i>
Wire cell size	0.4 <i>mm</i>

**Table 21** Die and wire geometry

Term	Value
Young's modulus	200 <i>GPa</i>
Poisson's ratio	0.3
Hardening law	$689 + (1340 - 689 + 250 \times \bar{\varepsilon}^p)(1 - e^{-32.82 \times \bar{\varepsilon}^p})$ <i>MPa</i>

**Table 22** Wire elasto-plastic material parameters

Term	Value
Young's modulus	600 <i>GPa</i>
Poisson's ration	0.22

**Table 23** Die material parameters

./Figures/SimulationAndAnalysis/modelCompare/classicLemaitre.png

(a) Damage distribution

./Figures/SimulationAndAnalysis/modelCompare/classsicLemaitreTriaxiality.png

(b) Triaxiality distribution

**Fig. 46** Lemaitre damage model without crack-closure effects

### 13.2.1 Lemaitre model

Property	Symbol	Value
Lemaitre damage denominator	$\bar{S}_0$	13.5 MPa
Lemaitre damage exponent	$b$	1.0
Crack closure parameter	$h$	0.2

**Table 24** Lemaitre model parameters

Simulations are here conducted for the Lemaitre damage model both with and without crack-closure effects. The resultant damage distributions are provided in Figures 7.5 and 7.6.

In Figure 7.5 (a), it can be observed that without crack-closure effects, the Lemaitre model gives a somewhat unrealistic damage distribution with damage being at a maximum away from the centre of the wire. This is due to the fact that it does not distinguish between positive and negative triaxialities. As a consequence of the triaxiality cut-off ( $-\frac{1}{3}$ ) for damage evolution, there is limited damage evolution towards the upper area of the wire.

By contrast, for the Lemaitre model with crack-closure effects, the damage is at a maximum at the centre of the bar. This is due to the fact that this is where the triaxiality, and relatedly the positive stress tensor  $\tau^+$ , are at a maximum (Figure 7.6).

./Figures/SimulationAndAnalysis/modelCompare/crackClosureDamage.png

(a) Damage distribution

./Figures/SimulationAndAnalysis/modelCompare/crackClosureTauPositive.png

(b) Positive stress tensor distribution

**Fig. 47** Lemaitre damage model with crack-closure effects

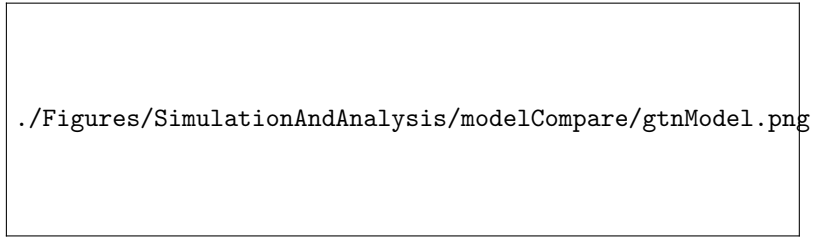
### 13.2.2 GTN model

Property	Symbol	Value
q1	$q1$	1.5
q2	$q2$	1
q3	$q3$	2.25
Initial porosity	$f_0$	0.002
Mean	$\varepsilon_n$	0.03
Standard deviation	$S_n$	0.02
Volume fraction	$f_N$	0.04

**Table 25** GTN model parameters

For the GTN model, porosity growth is negligible for typical wire drawing passes [123] making void nucleation the dominant mechanism driving the evolution of the porosity. The GTN model accurately predicts the porosity to be at maximum at the centre of the wire. This is a consequence of the fact that porosity evolution due to nucleation is only set to occur when the pressure is positive (equation 68).

There are apparent issues with the assumption of the Gaussian distribution for void nucleation, however. To illustrate this, the evolution of the equivalent plastic strain and porosity is shown in Figure 7.8 for the cell at the centre of the wire and which has a cell-centre 0.3047 mm to the right of the wire inlet at the initial time step. The evolution of these variables is only displayed while this cell is in the process zone i.e. the region where it undergoes plastic straining. It can be observed that the Gaussian assumption leads to the porosity asymptoting towards a certain value, after which it does not evolve. This is unlikely to be a true reflection of the material behaviour [123].



**Fig. 48** Porosity distribution

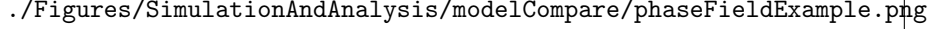


**Fig. 49** Porosity and equivalent plastic strain evolution

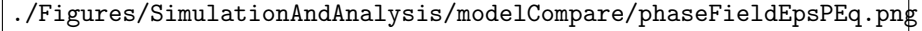
### 13.2.3 Phase field model

Property	Symbol	Value
Critical fracture energy	$G_c$	$1 \times 10^6 \text{ J/m}^2$
Plastic work threshold	$W_o$	$0 \text{ J}$
Characteristic length	$l$	$0.3266 \text{ mm}$

**Table 26** Phase field fracture model parameters



(a) Distribution for  $d$



(b) Equivalent plastic strain distribution

**Fig. 50** Phase field fracture model

The phase field fracture model predicts material degradation to be at its greatest towards the upper part of the wire. This is contrary to what we would expect. The phase-field fracture model does not distinguish between tensile and compressive stress states for the plastic contribution towards crack growth leading to this unrealistic behaviour. The region of greatest plastic straining corresponds to the region where material degradation is predicted to be highest.

### 13.3 Prediction of fracture

As well as the clear limitations in the GTN and phase field model described in the previous section, there are other factors which make them unsuitable for further investigation in the prediction of fracture in wire drawing processes. The phase field fracture model requires a relatively fine mesh [20] making it computationally expensive. The GTN model requires the calibration of multiple parameters, which is not feasible given the data available for the cases that will be looked at here. In order to rigorously calibrate these parameters, the characterization of each stage of ductile damage requires the continuous monitoring of void nucleation, growth and coalescence during deformation, which can be done using X-ray tomography measurements such as in Thuillier et al. [124] and Fansi et al. [125].

Lemaitre-based damage models with crack-closure effects are chosen for further evaluation. These models are set to incorporate crack-closure effects as in Teixeira [62], Pires [83], and described in section 4.2.7. The crack closure parameter  $h$  is assumed to be 0.2 here, as is typically the case for steels [69, 84, 85]. The Lemaitre exponent parameter  $b$  is generally assumed to be equal to 1.0 for ductile materials [58, 74]. A cut-off value for  $\xi$  of  $-0.33$  below which damage does not accumulate is also assumed, given the experimental observations of Bao and Wierzbicki [81].

Both the classic Lemaitre [58] damage evolution equation and a novel Lemaitre-based formulation will be assessed. The damage evolution equation of the classic Lemaitre model is given by:



$$\dot{D} = \frac{\dot{\gamma}}{(1-D)} \left[ \frac{-Y}{S_0} \right]^b \quad (157)$$

### 13.3.1 Proposed Law

As noted in Malcher et al. [74], the classic Lemaitre damage model has a tendency to predict fracture too early at low triaxialities and too late at high triaxialities values. To remedy this, a damage potential given in equation 7.3 has been proposed in Malcher and Mamiya [74].

$$F_D = \frac{S(\eta, \xi)}{(1-D)(b+1)} \left[ \frac{-Y}{S(\eta, \xi)} \right]^{b+1} \quad (158)$$

Following the procedure described in section 4.2.3 of this thesis, the damage evolution equation is then given as

$$\dot{D} = \frac{\dot{\gamma}}{(1-D)} \left[ \frac{-Y}{S(\eta, \xi)} \right]^b \quad (159)$$

The Lemaitre damage denominator is modified to become a function of the triaxiality  $\eta$  and in some cases the lode parameter  $\xi$ . Various forms of the function  $S(\eta, \xi)$  have been proposed to better predict fracture under a range of loading conditions [75, 76].

A function for  $S(\eta, \xi)$  is proposed here (equation 160) which incorporates the triaxiality relationship used in the uncoupled damage law known as the Ko criterion [126]. The Ko criterion has shown an ability to predict fracture in both wire drawing processes [122] and in the hub-hole expanding process [126].

$$S(\eta) = \frac{2S_0}{(1.0 + 3\eta)} \quad (160)$$

For the wire drawing cases to be looked at in this chapter  $\xi \approx 1.0$  at the centre of the wire (Appendix F.1) where fracture originates [122]. For this reason, the proposed function does not incorporate a dependency on  $\xi$ . The damage evolution law can be rewritten as:

$$\dot{D} = \frac{\dot{\gamma}}{1-D} \left[ \frac{-Y}{2S_0} (1.0 + 3\eta) \right]^b \quad (161)$$

In the following simulations, the damage is not set to 0.99 after exceeding the critical damage  $D_c$  (equation 4.47). This is because the crack path is not of interest given that the details of the fracture surface are not provided in Roh et al. [122]. In any case relatively small time steps are required to track the crack growth which is computationally expensive. As well as this, insight into the damage behaviour can be made by seeing how much the damage  $D$  exceeds  $D_c$ , in cases where it does. Furthermore, the non-local gradient equation is not incorporated into these simulations. As will be shown later, the calibrated value for  $D_c$  is quite low meaning that localisation behaviour is limited. In any case, the mesh cell size is kept consistent in all simulations to ensure that any localisation behaviour that may occur is consistent between the simulations.

### 13.3.2 Tensile test and drawing data

In this chapter, experimental data obtained by Roh et al. [122] for high-carbon steel with chromium addition is used to investigate the ability of the Lemaitre-based damage models to accurately predict fracture in wire drawing. The data from this paper concerning wire drawing experiments for various die half-angles  $\alpha$  and reduction ratios  $r$  of 20% and 36% is examined here. These drawing

tests are conducted on a wire of initial diameter 13 *mm*. The case in Roh et al. [122] where  $r = 36\%$  and  $\alpha = 2^\circ$  is not looked at in this work due to the fact Roh et al. attribute the fracture that occurs to the combination of high  $r$  and low  $\alpha$  leading to excessive pulling force and friction effects that are not captured by the friction model used in the simulations. The improvement of the friction model to account for this is beyond the scope of this work.

A tensile test is also conducted by Roh et al. [122] for a round bar of diameter 6.25 *mm* and gauge length 12.5 *mm* until fracture (Figure 7.10). The dataset sampled from Roh et al. [122] which will be examined in this thesis is provided in Figure 7.10 and Table 7.9. In Figure 7.10 the engineering stress and strain until fracture are given for a tensile test. In Table 7.10, a set of wire drawing cases are given. Cases, where fracture was observed experimentally, are denoted by the symbol  $X$ .



**Fig. 51** Tensile test experimental data from [122]

Reduction (%)	Drawing half-angle ( $^\circ$ )	Fracture
20	2	O
	4	O
	6	O
	8	O
	10	O
	12	X
	14	X
	16	X
36	4	O
	6	O
	8	O
	10	O
	12	X
	14	X
	16	X

**Table 27** Results of drawing tests [122]

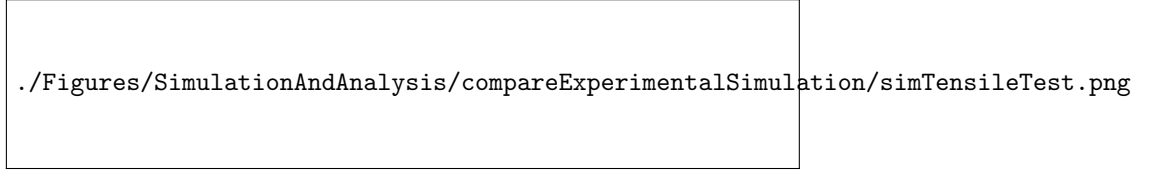
### 13.3.3 Calibration

The material parameters are calibrated using the tensile test data. The calibration procedure follows that of Masse [8], where the plastic hardening law is first calibrated against the experimental results up until the point where necking can begin to be observed ( $\sim 6.5\%$  engineering strain). By separating out the calibration of the plastic hardening law and the damage parameters, the calibration procedure is simplified and the risk of over-fitting is reduced. The Young's modulus, Poisson's ratio and initial yield stress are taken from Roh et al. [122]. These values are  $200 \text{ GPa}$ ,  $0.3$  and  $689 \text{ MPa}$  respectively. The modified Voce Law (equation 162) [123] is chosen to describe the plastic hardening law as Cao [123] found that this law could well describe the hardening behaviour of high carbon steel in tensile, torsion and compression tests.

$$\sigma_y = \sigma_{yo} + (\sigma_{yinf} - \sigma_{yo} + k\bar{\varepsilon}^p)(1 - e^{-\beta\bar{\varepsilon}^p}) \quad (162)$$

### 13.3.4 Tensile test simulation

The tensile test is simulated using an axisymmetric mesh as shown in Figure 7.11, by making use of symmetries only a quarter of the specimen needs to be modelled. A cell size of  $0.25 \text{ mm}$  is used in the critical zone of the wire mesh where fracture is expected to occur.



**Fig. 52** Tensile test mesh, marked cell in pink for where fracture initiates

Applied total displacement	$u$	$1.3375 \text{ mm}$
Time step	$\Delta t$	$0.01 \text{ s}$
Displacement increment	$\Delta u$	$0.0125 \text{ mm}$

**Table 28** loading conditions for case a

### 13.3.5 Plastic hardening parameters

A global-local approach is taken to the calibration of these parameters. First, 50 sample sets of values for  $\sigma_{yinf}$ ,  $k$  and  $\beta$  are taken from the range of values given in Table 7.10 using Latin hypercube sampling [127].

Term	Range
$\sigma_{yinf}$	$1.1\text{-}1.5 (\times 10^3) \text{ MPa}$
$k$	$0.05\text{-}0.5 (\times 10^3) \text{ MPa}$
$\beta$	$25\text{-}80$

**Table 29** Parameter values to be sampled

A tensile test is simulated using each of these sets of values. The force-displacement curves that result from each of these simulations are then compared to the experimental data for up to  $6.5\%$

engineering strain (Figure 7.10) using the objective function in equation 163.

$$S(b_j) = \frac{1}{N} \sum_{i=1}^N \left( \frac{|F_i^{sim}(b_j) - F_{l,i}^{exp}|}{F_i^{exp}} \right) \quad (163)$$

where  $b_j$  is the vector of variables,  $N$  is the number of experimental points,  $F_i^{sim}$  is the value of the simulated force point and  $F_{l,i}^{exp}$  is the experimental point determined by linear interpolation to a specified displacement. The results which minimise this objective function are then further optimised using the Nelder-Mead method [128] to refine the material parameters. The resulting parameters are given in Table 7.11

Term	Value
$\sigma_{yinf}$	1.34 ( $\times 10^9$ ) MPa
$k$	0.104 ( $\times 10^9$ ) MPa
$\beta$	32.82

**Table 30** Calibrated material parameters for the plastic hardening law

### 13.3.6 Lemaitre damage parameter

Secondly, the Lemaitre damage parameter  $S_0$  is calibrated for each of the Lemaitre-based laws. To do this, 50 sample values for this are taken at constant intervals for the range given in Table 7.12. For each of the Lemaitre-based laws to be investigated, tensile test simulations are conducted for each of these values. The parameters that minimise the objective function (equation 164) are then further optimised using the Nelder-Mead method.

The objective function to be minimised is given in equation 164. For this objective function, simulated force values that are lower than the experimental values are punished. This is to ensure a solution is not obtained that performs well in the latter-middle part of the deformation path (engineering strain between 7% and 9%) but leads to an unrealistically high amount of necking (“1” in Figure 7.12). This in turn results in an unrealistically high level of plastic straining, triaxiality and ultimately damage in the critical region of the round bar. “2” in Figure 7.12 gives the simulated force-displacement curve for the parameter calibrated using the objective function in equation 164.

$$S(b_j) = \frac{1}{N} \sum_{i=1}^N \left( \frac{< F_i^{sim}(S_0) - F_{l,i}^{exp} > + 6 \times < - (F_i^{sim}(S_0) - F_{l,i}^{exp}) >}{F_i^{exp}} \right) \quad (164)$$

The critical damage parameter  $D_c$  can then be set as the max value for the simulated damage obtained at 10.7% engineering strain [88]. The resultant material parameters are given in Table 7.13.

Term	Range
$S_0$	5 – 25 MPa

**Table 31** Parameter values to be sampled

The resultant force-displacement curves obtained using the calibrated parameters are given in Figure 7.13.



**Fig. 53** Comparison of simulated and experimental data

Law	$S_0$	$D_c$
Classic Lemaitre	13.81 <i>MPa</i>	0.078
Proposed Lemaitre	14.29 <i>MPa</i>	0.087

**Table 32** Calibrated material - classic Lemaitre damage law



**Fig. 54** Comparison of simulated and experimental data

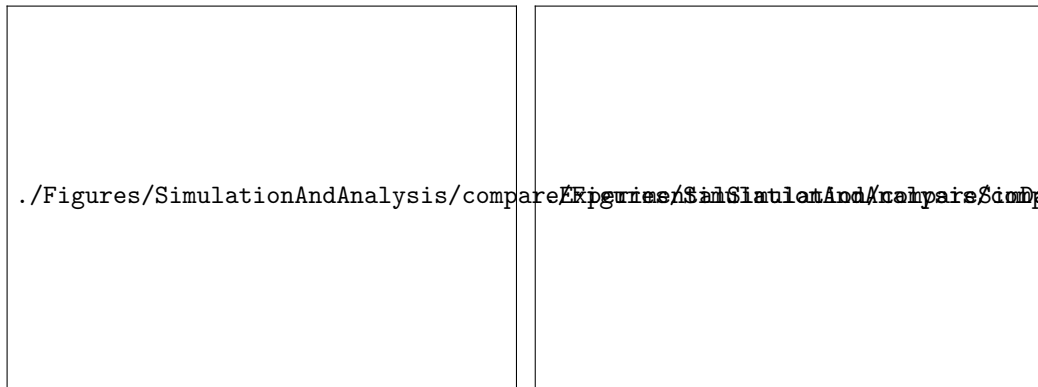


(a) Damage vs. engineering strain



(b) Equivalent plastic strain vs. engineering strain

**Fig. 55** Damage and equivalent plastic strain evolution at centre of specimen



(a) Damage vs. engineering strain

(b) Equivalent plastic strain vs. engineering strain

**Fig. 56** Damage and equivalent plastic strain evolution at centre of specimen

### 13.3.7 Geometry and loading conditions

Applied total displacement	$u$	30 <i>mm</i>
Time step	$\Delta t$	0.0005 <i>s</i>
Displacement increment	$\Delta u$	0.1 <i>mm</i>
Friction coefficient	$\mu$	0.08

**Table 33** Loading conditions for wire drawing case

Die inlet diameter	16 <i>mm</i>
Die outer diameter	20 <i>mm</i>
Die outlet diameter	11.627, 10.4 <i>mm</i>
Die semi-angles used	2, 4, 6, 8, 10, 12, 14, 16
Wire length	30 <i>mm</i>
Initial wire diameter	13 <i>mm</i>
Die cell size	0.25 <i>mm</i>
Wire cell size	0.25 <i>mm</i>

**Table 34** Die and wire geometry

Wire length	$l$	50 <i>mm</i>
Applied total displacement	$u$	50 <i>mm</i>
Time step	$\Delta t$	0.00025 <i>s</i>
Displacement increment	$\Delta u$	0.05 <i>mm</i>

**Table 35** Conditions for cases where  $r = 36\%$ ,  $\alpha = 4^\circ$  and  $r = 20\%$ ,  $\alpha = 2^\circ$

A 30 *mm* long wire was used for these simulations, aside from the cases where  $r = 36\%$  and  $\alpha = 4^\circ$  and  $r = 20\%$  and  $\alpha = 2^\circ$ . In these cases, the loading conditions and wire geometry given in Table 7.16 are used.

A 0.25 *mm* mesh cell size was found to be sufficient to achieve a consistent solution for the drawing simulations (Appendix F2). Die outlet diameters of 11.627 *mm* and 10.4 *mm* are used which result in reductions of 20% and 36% respectively. The coefficient of friction is taken from Roh et al. [122]. Neither the specific geometry of the die nor the material properties of the die are specified in Roh et al. [122] so a conical die is assumed with material parameters as in Clancy [11]. The material properties for both die and wire are given in Tables 7.17 and 7.18. For each of these simulations, the damage is set to begin evolving after a displacement of 2 *mm*.



Term	Value
Young's modulus	200 <i>GPa</i>
Poisson's ration	0.3
Hardening law	$689 + (1340 - 689 + 104\bar{\varepsilon}^p)(1 - e^{-32.82 \times \bar{\varepsilon}^p})$
$S_0$	13.81, 14.29
$b$	1.0
$D_c$	0.078, 0.087

**Table 36** Wire material parameters

Term	Value
Young's modulus	600 <i>GPa</i>
Poisson's ration	0.22

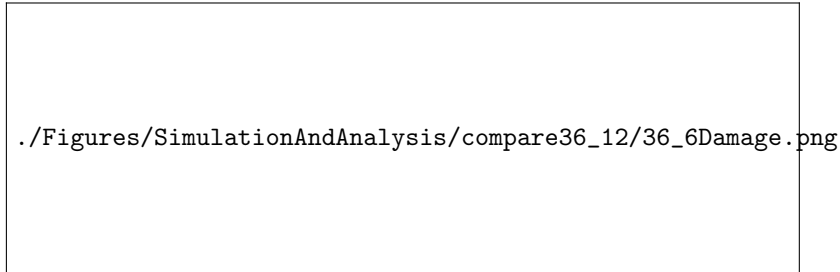
**Table 37** Die material parameters

## 13.4 Results and discussion

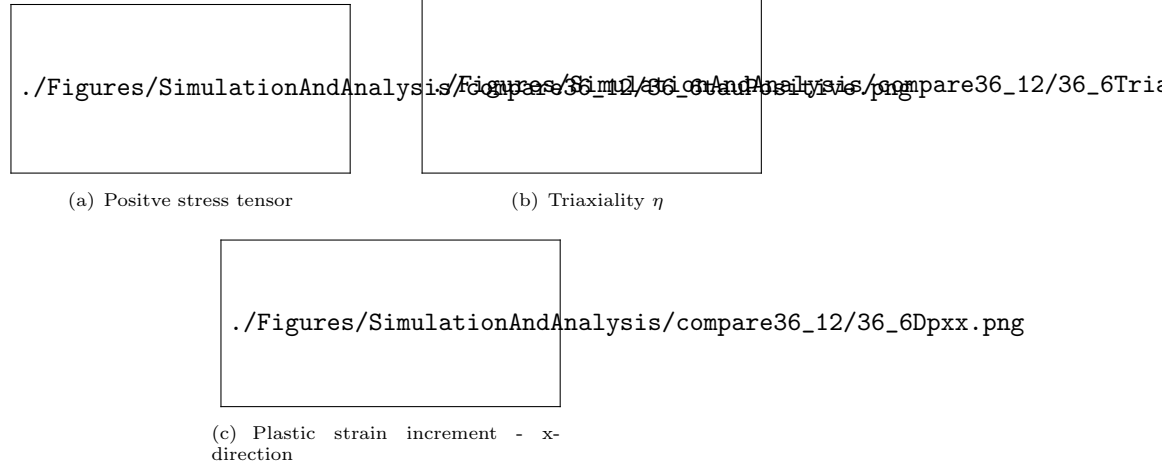
### 13.4.1 Damage evolution profile

An example of the damage distribution for the case where  $r = 36\%$ ,  $\alpha = 6^\circ$ , and the classic Lemaitre damage evolution equation is used, is given in Figure 7.15. For all of the cases looked at here, the damage is at a maximum towards the centre of the specimen. This is due to the fact that this is where the triaxiality, and relatedly, the positive stress tensor is highest (Figure 7.16). The relationship between the triaxiality  $\eta$  and the positive stress tensor  $\tau^+$  will be further explored later in this section.

The evolution of the equivalent plastic strain, triaxiality and damage for a given cell is provided in Figure 7.17. This cell is located at the centre of the wire and has a cell centre 1.66 *mm* to the right of the wire inlet at the initial time step. It can be observed that the damage evolution primarily occurs with the increase in triaxiality towards the latter stage of its plastic straining.



**Fig. 57** Distribution of damage for  $r = 36\%$ ,  $\alpha = 6^\circ$



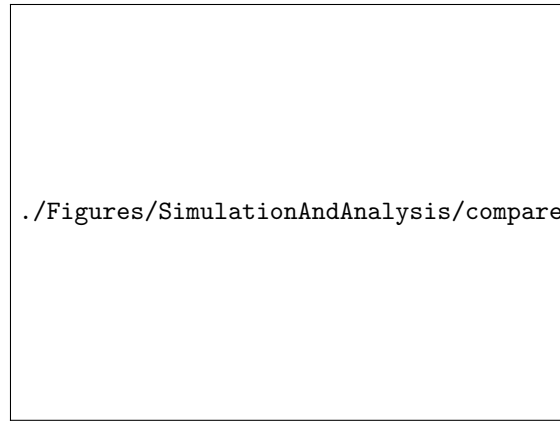
**Fig. 58** Distribution of fields for  $r = 36\%$ ,  $\alpha = 6^\circ$



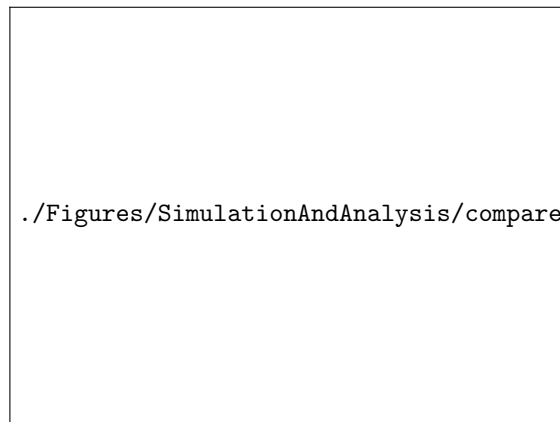
**Fig. 59** Evolution of variables

### 13.4.2 Comparison with experimental data

In Figure 7.18 the damage accumulated by the cell that is at the wire centre, and has exited the process zone (the region that is undergoing plastic straining) at the final time step is compared with the critical damage  $D_c$  and plotted for each case. It can be observed that both the classic and the novel formulations of the Lemaitre model are reasonably consistent with the experimental results. The classic model accurately predicts fracture for the 20% reduction cases however it overpredicts fracture in the 36% reduction cases. The novel Lemaitre-based model slightly underpredicts fracture in both the 20% and 36% reduction cases, with a value for  $D$  that is 90.4% and 90.8% of  $D_c$  respectively.



(a) 20% Reduction

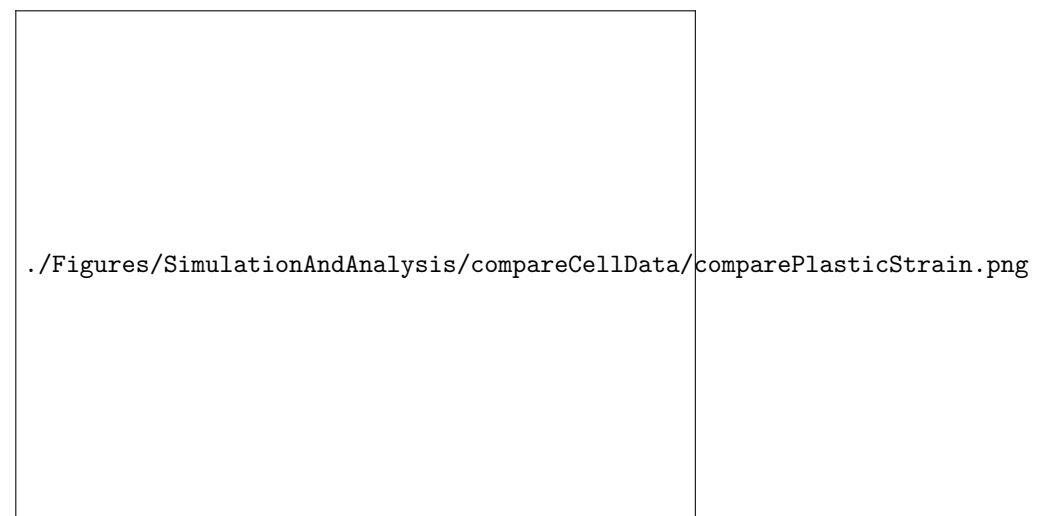


(b) 36% Reduction

**Fig. 60** Comparison of simulated and experimental fracture - shaded region for where fracture occurred in experiments



(a) Weighted triaxiality



(b) Equivalent plastic strain

**Fig. 61** Comparison of weighted triaxiality and equivalent plastic strain

In Figure 7.19 the resultant equivalent plastic strain and the weighted triaxiality  $\eta_w$  (equation 165) are given for each test case. These values are taken from the cases simulated using the novel formulation. The resultant values for these are extremely similar between the classic and novel formulations so only the values obtained for the novel formulation are displayed for clarity's sake.

$$\eta_w = \frac{1}{\bar{\varepsilon}^p} \int_0^{\bar{\varepsilon}^p} \eta \, d\bar{\varepsilon}^p \quad (165)$$

The differences in the accumulated damage value for each case and model can be explained by viewing this figure. The equivalent plastic strain is considerably higher in the 36% reduction cases than in the 20% reduction cases, while the triaxiality is greater, when  $\alpha \geq 6^\circ$ , in the 20% reduction cases. The accumulated damage is largely determined by the level of plastic straining, and the triaxiality state as it undergoes this plastic straining. The added triaxiality dependence in the novel formulation leads to there being considerably lower accumulated damage in the low  $\eta_w$  cases compared to the classic formulation.

### 13.4.3 Scanning electron microscopy (SEM)

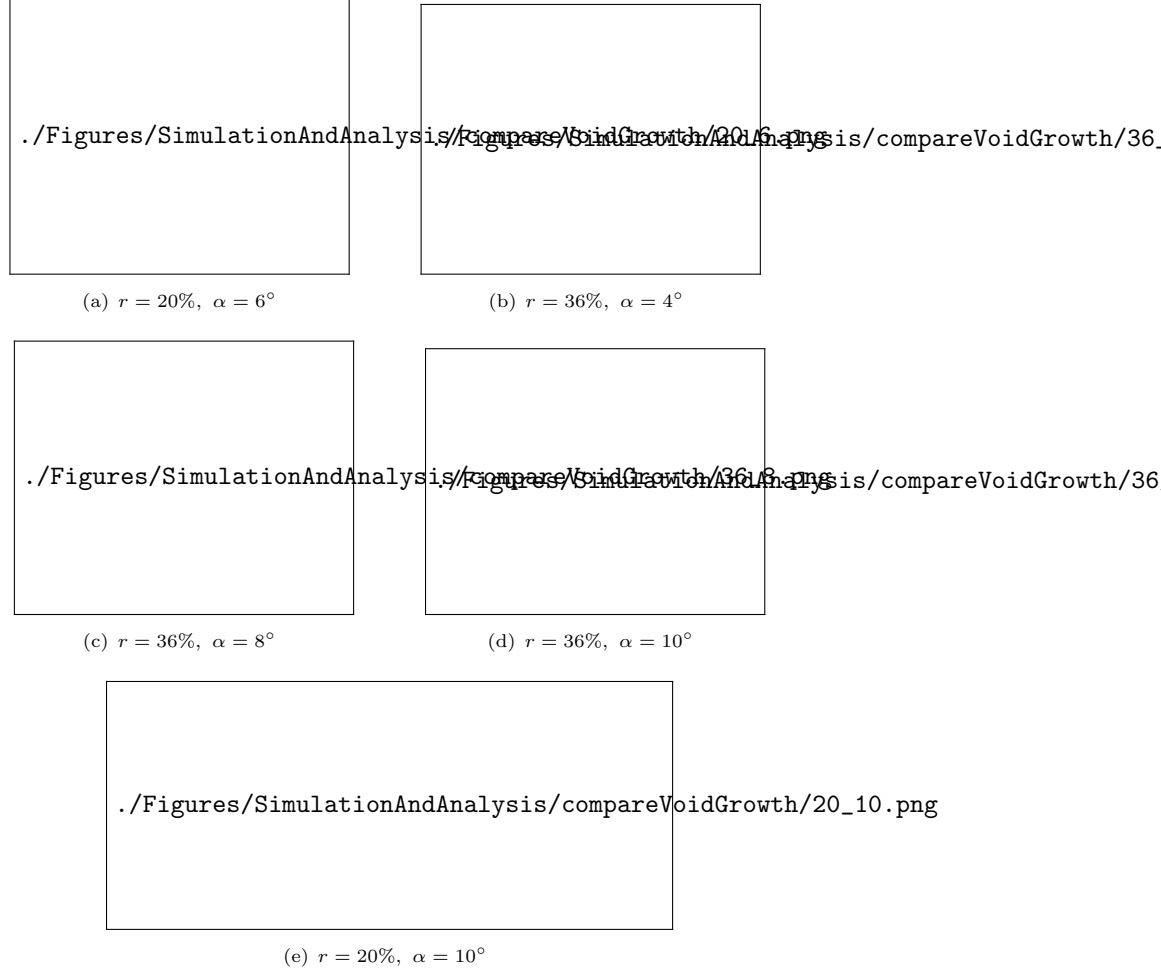
In Roh et al. [122], SEM analysis was conducted for many of the cases where fracture did not occur to observe the development of micro-voids around inclusions (non-metallic inclusions within the steel), and for the formation of micro-cracks. For an accurate damage model, there should be a correlation between the damage  $D$  and the observed level of micro-voids and micro-cracks.

In Figure 7.20, several micrographs are provided for a selection of the cases. The accumulated damage  $D$  normalised against the critical damage  $D_c$  for each of these cases is also provided in Table 7.19.

The normalised damage values for the novel model are reasonably consistent with the micrographs. Values of 0.23 and 0.34 are obtained for a) and b) in Figure 7.20 where micro-voids can be observed, while values of 0.58 and 0.57 are obtained for the cases where micro-cracking can be observed in d) and e). The normalised damage of 0.35 for case c) where a ruptured inclusion can be observed is very similar to the value obtained for case b) where only microvoids are observed. It can be seen in Figure 7.19 that the  $\alpha = 4^\circ$  case has a greater peak value for the triaxiality  $\eta$ , while in Figure 7.21 it is clear that the  $\eta_w$  is greater for the case where  $\alpha = 8^\circ$ . The net effect of this is that the model calculated similar accrued damage for the two cases. Given that in case c) a ruptured inclusion can be observed, this would suggest that the triaxiality relationship for the novel model is marginally too aggressive.

For the classic Lemaitre damage model, the normalised damage values are somewhat consistent with the micrographs. As with Figure 7.18 however, there is evidence for overprediction of damage. As well as predicting a  $D/D_c$  value of 1.23 for case d) where fracture did not occur, values of 0.54 and 0.68 seem quite high for cases a) and b) where only micro-voids can be observed.

Conclusive remarks can be hard to make on the suitability of these damage models based on a small sample of micrographs, however. Ideally, SEM analysis would be conducted on tensile specimens that have undergone various engineering strains [129] to try better understand the expected pattern of void nucleation, growth and coalescence (micro-crack formation) for various  $D$  values.



**Fig. 62** Scanning electron microscopy (SEM) micrographs for various combinations of the half-angle  $\alpha$  and reduction ratios (images adapted from Roh et al. [122])

Reduction (%)	Drawing half-angle ( $^\circ$ )	Novel $D/D_c$	Classic $D/D_c$
20	6	0.23	0.54
	10	0.58	0.89
36	4	0.34	0.68
	8	0.35	0.93
	10	0.57	1.23

**Table 38**  $D/D_c$  for selected drawing cases



**Fig. 63** Comparison of triaxiality evolution in process zone

subsubsection Simulated and experimental results discrepancy

There are various factors that could explain the differences between simulated and experimental results. One of these is experimental error, it can be observed in Figure 7.14 that there would be a sharp difference in the obtained  $D_c$  if there was only a small difference in the engineering strain to fracture. It's worth noting that in Roh et al. [122], the critical fracture value was selected at 10% engineering strain for the Ko fracture criterion. In this thesis, the critical damage value was selected at 10.7% engineering strain in order to be consistent with the experimental engineering stress-strain curve provided in Roh et al. [122].

Limitations in the proposed model could also explain the discrepancies. The added triaxiality relationship incorporated into the Lemaitre model was chosen as this is the relationship used in the Ko criterion [126] which has been validated in the prediction of both the hub-hole expanding process [126] and fracture in wire drawing [122]. However, perhaps a different relationship, whether that be in the linear relationship proposed or an exponential relationship, could have achieved more accurate results.

Another limitation could be the friction model used. In this chapter, a friction coefficient  $\mu$  of 0.08 was assumed to be consistent with Roh et al. [122], however, a different friction model would alter the obtained results. In Figure 7.22 it can be observed how the accumulated damage changes with  $\mu$  for the novel Lemaitre-based damage model.

Another important consideration, that to this author's eye has been neglected in the literature, is the strong association between the positive stress tensor  $\tau^+$  and the triaxiality  $\eta$  at low triaxiality values ( $< 0.33$ ) that predominate in typical wire drawing cases. The normalised positive stress tensor  $\tau_{norm}^+$  is defined here and given by equation 166 and is plotted against the triaxiality for selected cases in Figure 7.23. Further incorporation of the positive stress tensor into the damage evolution law, via  $\tau_{norm}^+$ , may have the potential for a better description of material fracture behaviour. This could be incorporated into the existing Lemaitre-based laws by altering the function  $S(\eta, \xi)$  to take the form given in equation 167. More experimental data, which include a range of experimental conditions where  $\eta > 0.33$  is required to better distinguish between the effects of the positive stress tensor and the triaxiality.



**Fig. 64** Normalised damage vs. friction coefficient

$$\tau_{norm}^+ = \frac{\tau^+ : \tau^+}{\tau : \tau} \quad (166)$$

$$S(\eta, \xi, \tau_{norm}^+) \quad (167)$$



**Fig. 65** Positive stress tensor vs. triaxiality

## 14 Conclusions

Short summary...

The following observations are made from the numerical analyses:

- main conclusions and take away points



Look toward future steps.

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## Appendix A Appendix: Single Cell Verifications

In order to add a further point of comparison, the non-local Lemaitre damage model was also implemented in Abaqus/Standard - a commercial finite element-based software. This was implemented through a user subroutine (UMAT). The Abaqus software has an inbuilt GTN model that we can use to compare with as well.

In order to implement the diffusion equation for the non-local Lemaitre damage model, the approach laid out in Azinpour et al. [130] is employed in this work. In Azinpour et al. [130], the authors make use of the Abaqus' software ability to solve the steady-state heat conduction diffusion equation in coupled temperature-displacement problems.

$$q = -k\nabla^2 T \quad (\text{A1})$$

where  $q$  is the source term,  $k$  is the material's conductivity and  $T$  is the temperature.

This equation is made compatible with the non-local gradient equation as shown in table 5.1.

Field	Field variable	Diffusion coefficient	Flux term	Source term
Temperature	$T$	$k$	$\nabla^2 T$	$q$
Non-local damage	$\bar{D}$	$l_c^2$	$\nabla^2 D$	$\bar{D} - D$

**Table A1** Analogous set-up of the heat equation and non-local gradient equation



**Fig. A1** one element case

In order to verify the implementation of these models, tests are conducted on an element of geometry  $1 \text{ mm} \times 1 \text{ mm} \times 1 \text{ mm}$  (Figure 5.1). The elements used in the solids4Foam toolbox have previously been validated through a patch test [131]. In this test case, a displacement is applied in the  $y$  direction to the top boundary of the element.

### A.1 Lemaitre model

A displacement of  $0.2 \text{ mm}$  is applied with mechanical properties described in Table 5.2. These mechanical properties are taken from Autay et al. [132].

The results gained from simulations in OpenFOAM and Abaqus are compared with the analytical relationships derived in Doghri [133] in Figure 5.2. The analytically derived relationship for the damage  $D$  as a function of the equivalent plastic strain  $\bar{\epsilon}^p$  is given by

Property	Symbol	Value
Young's modulus	$E$	200 GPa
Poisson's ratio	$v$	0.3
Lemaitre damage denominator	$S_0$	0.5 MPa
Lemaitre damage exponent	$b$	1.0
Hardening law	$\sigma_y$	$200 + 10^3 \times \bar{\varepsilon}^p$ MPa

**Table A2** Material properties for Lemaitre one cell test

$$(1 - D)^2 = 1 - \frac{\sigma_{y0}^2}{3ES_0} \frac{\sigma_{y0}}{h} \left[ \left( 1 + \frac{h}{\sigma_{y0}} \bar{\varepsilon}^p \right)^3 - 1 \right] R_v \quad (\text{A2})$$

where  $\sigma_{y0}$  and  $h$  are constants in the hardening law  $\sigma_y = \sigma_{y0} + h(\bar{\varepsilon}^p)$ .  $R_v$  is given by:

$$R_v = \frac{2}{3}(1 + v) + 3(1 - 2v)(\eta) \quad (\text{A3})$$


where the triaxiality  $\eta = 0.33$  for a uniaxial tensile test.

The analytical relationship for the equivalent stress  $\sigma_{eq}$  is also provided

$$\sigma_{eq} = (1 - D)(\sigma_{y0} + h(\bar{\varepsilon}^p)) \quad (\text{A4})$$


It can be observed that the damage increases in an exponential manner with respect to the equivalent plastic strain. This is due to the fact that with the material constant  $b = 1$ , the Damage increases with the square of the effective von Mises equivalent stress (equation 23).

The plastic strain in the  $Y$  direction  $\varepsilon_{yy}^p$  is also given as a function of the equivalent plastic strain  $\bar{\varepsilon}^p$  and compared with the results obtained by Doghri [133] (Figure 5.3).



`./figures/finiteVolumeImplementation/EpsPEQ_Damage.png`

(a) Damage vs. equivalent plastic strain



`./figures/finiteVolumeImplementation/EpsPEQ_EquivStress.png`

(b) Equivalent stress vs. equivalent plastic strain

**Fig. A2** Comparison between OpenFOAM, Abaqus and analytically derived relationships



**Fig. A3** Plastic strain (YY) vs. equivalent plastic strain


## A.2 GTN model

Property	Symbol	Value
Young's modulus	$E$	200 GPa
Poisson's ratio	$\nu$	0.3
q1	$q1$	1.5
q2	$q2$	1
q3	$q3$	2.25
Initial porosity	$f_0$	0.002
Mean	$\varepsilon_n$	0.3
Standard deviation	$S_n$	0.1
Volume fraction	$f_N$	0.2
Hardening law	$\sigma_y$	$400 + 300 \times \bar{\varepsilon}^p$ MPa

**Table A3** Material properties for GTN one cell test

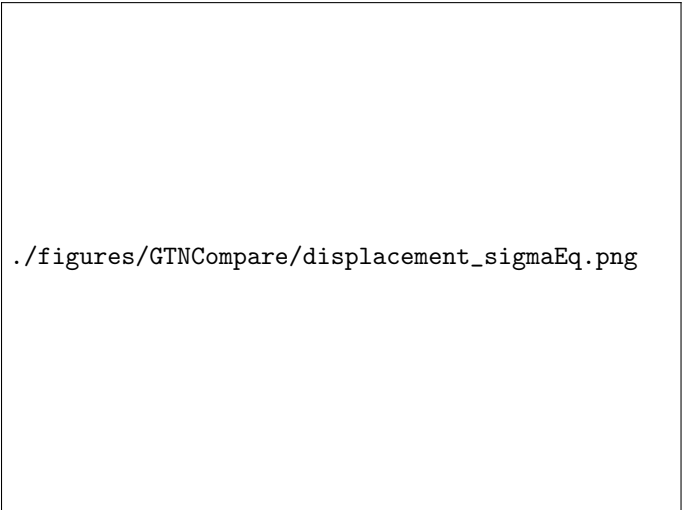
In this section, the results for the GTN model implemented in OpenFOAM are compared with those obtained from the inbuilt GTN model in Abaqus. The material properties used are displayed in Table 5.3. The GTN model in Abaqus does not allow for the inclusion of porous failure criteria (equation 70) in Abaqus/Standard so this feature of the GTN model was neglected. The results are compared in Figure 5.4.

It is clear that there is strong agreement between Abaqus and the OpenFoam implementation. It is notable that the rate of porosity growth declines towards the latter stages of the deformation in Figure 5.4 a). This is due to the fact that the porosity growth due to the nucleation of voids is assumed to follow a Gaussian distribution (equation 68). As will be discussed in chapter ??, this assumption is unlikely to be an accurate description of material behaviour.



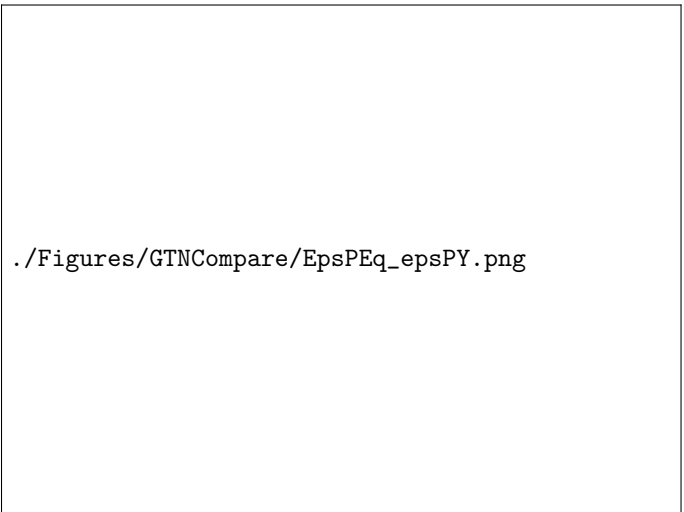
`./figures/GTNCompare/displacement_f.png`

(a) Porosity vs. displacement



`./figures/GTNCompare/displacement_sigmaEq.png`

(b) Equivalent stress vs. displacement



`./Figures/GTNCompare/EpsPEq_epsPY.png`

(c) Plastic strain in the Y direction vs. Equivalent plastic strain

**Fig. A4** Comparison between OpenFOAM and Abaqus

### A.3 Phase field fracture

Property	Symbol	Value
Young's modulus	$E$	68.8 GPa
Poisson's ratio	$\nu$	0.33
Critical fracture energy	$G_c$	$138 \times 10^6 \text{ J/m}^2$
Plastic work threshold	$w_0$	$10^6 \text{ J}$
Characteristic length	$l$	2 m
Hardening law	$\sigma_y$	$320 + 688 \times \bar{\varepsilon}^p \text{ MPa}$

**Table A4** Material properties for phase field fracture one cell test

Here the results obtained from a one-cell test are compared with those obtained by Borden et al. [20] in Figure 5.5.

In this test case, the cell undergoes a rapid loss of load-carrying capacity (Figure 5.6). This is due to the fact that after the plastic work threshold is exceeded, there is a rapid increase in the plastic strain energy contribution to the crack-driving force  $\mathcal{H}$  and therefore growth of the phase field variable  $d$ . The combination of this and the fact that the crack degradation function is proportional to the square of the phase field (  $g_e(d) = (1 - d)^2$  ) leads to the swift reduction in the equivalent stress.





(a) Plastic strain vs. total strain



(b) Equivalent stress vs. total strain

**Fig. A5** Comparison between OpenFOAM and [20]



(a) Crack degradation function  $g(d)$  vs. total strain



(b) Phase field  $(d)$  vs. total strain

**Fig. A6** Evolution of  $d$  and the crack degradation Function

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