Estimation of soil properties with mid-infrared soil spectroscopy across yam production landscapes in West Africa

Philipp Baumann<sup>1</sup>, Juhwan Lee<sup>2</sup>, Laurie Paule Schönholzer<sup>1</sup>, Emmanuel Frossard<sup>1</sup>, Lucien Diby<sup>1</sup>, Valery Hgaza<sup>1</sup>, Delwende Innocent Kiba<sup>1</sup>, Adrew Sila<sup>3</sup>, Johan Six<sup>1</sup>

- ${\bf 1}$  Department of Environmental Systems Science, ETH Zurich, 8092 Zurich, Switzerland
- 2 Soil & Landscape Science, School of Molecular and Life Sciences, Curtin University, GPO Box U1987, Perth WA 6845, Australia
- 3 Land Health Decisions, World Agroforestry Centre (ICRAF), Nairobi, Kenya

©Current Address: Universitätsstrasse 2, 8092 Zürich, Switzerland \* philipp.baumann@usys.ethz.ch

## Abstract

Low soil fertility is a major problem limiting the sustainable production of yam and other staple crops in the yam belt of West Africa. Its quantitative assessment is needed to improve crop fertilization management, but is still lacking for the region due to high costs of assessments in comparison to resources available. We developed and tested a mid-infrared (mid-IR) soil spectral library to enable timely and cost-efficient assessments of soil properties in the West African yam belt. Our library included 80 field composite soil samples in four landscapes that are representative of the region, and additional 14 samples from a sentinel site of the Land Degradation Surveillance Framework. We derived partial least square regression models to estimate the soil properties from the spectra. Five times repeated 10-fold cross-validation was used to evaluate the models. The models produced accurate estimates of total carbon, total nitrogen, total sulfur, total iron, total aluminum, total potassium, total calcium, exchangeable calcium, effective cation exchange capacity, bioavailable iron and clay content  $(R^2 > 0.75)$ . The models for total zinc, pH, exchangeable magnesium, bioavailable copper and manganese produced less accurate estimates  $(R^2 > 0.5)$ . Our results suggest that mid-IR spectroscopy can be used to reliably assess the landscape-level variation in soil properties related to the fertility and yam production potential of soils across the environment of the West African yam belt.

Introduction

Yam (Dioscorea spp.) is an important food and cash crop in West Africa. The yam belt of West Africa spans across the central zone of coastal countries in West Africa, located across the humid forest zone and northern Guinean savanna. It contributes to about 92 % of total world yam production, e.g. a total yield of 73 million tons in 2017 [1]. The cropping area in the West African yam belt has been expanded with accelerated population growth, which has in many places caused soil degradation and soil nutrient depletion. Furthermore, there is a trend of shortened fallow periods in the cropping areas of West Africa over the last decades, which has further exacerbated the decline in soil fertility across the yam belt. Traditionally, yam is grown without external input in

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the areas. Therefore, the production of yam and other crops grown in the region depends on soil organic matter (SOM) [2], which serves as a main pool of plant-available nutrients and provides cation exchange surfaces for soil nutrients [3,4]. Particularly, a strong positive relationship between high organic matter stocks and yam productivity is reported after fallow and no fertilizer input [5,6]. Thus, maintaining or increasing SOM and nutrient levels is of utmost importance for sustainable production of yam and other crops in West Africa [7]. Furthermore, linking soil properties and yam yields [8] and accounting for soil macro- and micronutrient status [9] is fundamental to improving crop and soil management strategies.

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Soil fertility is considered an integrative measure of soil quality attributes and their interactions that support the long-term agricultural production potential. Soil fertility is commonly decomposed into three main components, the physical, chemical and biological aspects of soil fertility [10]. Here, it is important to interpret soil fertility in the form of soil conditions and functions at an adequate resolution over time and space, and in relation to the crop of interest. For yam, low tuber yields are often attributed to an unbalanced ratio of essential nutrients (i.e. N, P, K) available in the soil and a fast mineralization and hence depletion of organic matter under yam cultivation [7]. Yet, the relationship between soil properties and tuber yield is not fully understood [8]. The reason is that yam response to mineral fertilization is highly variable because of confounding environmental and management variables, such as climate, micronutrient deficiencies, seed tuber quality and planting density or disease pressure across the yam belt [9,11]. Further, there are no soil fertility recommendations specific for yam under West African conditions. For this reason, establishing yam field trials designed with different organic and mineral fertilization strategies within different yam growing regions is required to optimize vam fertilization targeting regional soil and environmental conditions [8]. Despite the importance of soil fertility, there are challenges of quantifying it with respect to yam performance. For example, there are many soil measures required in high temporal resolution, which is costly.

In order to quickly assess key properties, such as soil organic carbon (SOC) and cation exchange capacity (CEC), we need more cost- and time-efficient methods in addition to the traditional wet chemistry laboratory analyses that are often cost-intensive and time consuming. Proximal soil sensing is a method that can provide reliable soil measurements rapidly and inexpensively [12]. Soil visible and near infrared (vis-NIR), and mid-infrared (mid-IR) diffuse reflectance spectroscopy has gained popularity over the past 30 years to assess soil properties to complement conventional laboratory analytical methods [13]. Previous studies have shown successful spectroscopic predictions of soil properties, such as organic C, texture, cation exchange capacity (CEC), and exchangeable K [13–16]. Many soil chemical and physical properties, such as soil mineralogy, the concentration, forms and distribution of SOM, are closely associated with IR spectral diversity. Nevertheless, soil assessment with IR spectroscopy often needs laboratory reference analysis data for model development and calibration. Further, a library that includes a broad range of soil types and variability found in the region in which it is used needs to be established. Depending on the study scale — (e.g. [17]), region, country (e.g. [18]), continent (e.g. [16]), world (e.g. [19]), various statistical predictive modeling strategies are typically employed to account for regional variability in soil properties and determine empirical relationships between spectra and soil attributes. However, particular regions in spectra are characteristic for functional groups of soil components and thus, elucidating spectral features that are important for the prediction of a particular soil attribute helps to understand and validate the mechanisms based on which the empirical-derived models predict the soil properties.

Hence, our main objectives of this study are to (1) develop and evaluate mid-IR spectroscopic models to estimate soil properties for selected landscapes representing

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major soil and climatic conditions in the West African yam belt, (2) to determine important spectral features and respective soil properties, and (3) to build a new soil spectral library in the landscapes for soil prediction and assessment.

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## Materials and methods

## Landscapes and soil sampling

Our study area covered the climatic and soil biophysical conditions representative of the West African yam belt. We selected four landscapes, two in Ivory Coast and two in Burkina Faso (S1 Fig). Each landscape (10 km x 10 km) represents a diverse geographic ecoregion. The landscapes cover a gradient between humid forest and the northern Guinean savannah. Specifically, the landscape Liliyo in Ivory Coast is at 5.88 °N and in the humid forest zone. The predominant soil type is Ferralsol [20]. The landscape Tiéningboué in Ivory Coast is at 8.14 °N and belongs to the forest savannah transitional zone. The soils are dominated by Nitisols and Lixisols [20]. The landscape Midebdo is at 9.97 °N and in the sub-humid savannah of Burkina Faso. Its dominant soil types include Lixisols, Gleysols, and Leptosols [20]. The landscape Léo is at 11.07 °N and in the northern Guinean savannah of Burkina Faso and has Lixisols and Vertisols as the dominant soil type [20]. The mean annual rainfall were approximately 1300 mm in Liliyo, and 900 mm in Tiéingboué, Midebdo, and Léo, respectively.

During July and August 2016, we sampled the soil from a total of 80 fields used for growing yams across the four landscapes. In each landscape, we sampled soils from 20 yam fields (S2 Fig). The fields were selected in advance by taking into account visual variation in soil color and texture in yam fields across the landscape. The yam fields selected contained the maximum soil variability based on the soil colour and cropping history, taking into account both local farmers' knowledge on soil fertility and agronomic extension expertise. Yam is typically planted on soil mounds, ranging from 5000 to 10000 mounds per hectare with a single yam plant per mound. Within each field, we sampled the soil at four adjacent mounds in square arrangement, which were spaced between 0.5 and 2 m. At each mound 6 to 8 auger cores (2.5 cm in diameter) to the 30 cm depth were taken at a radius between 15 and 30 cm away from the center of a mound, depending on the size of the mounds. Then the soils from the four mounds were combined into one composite sample per field (around 500 to 1000 g of soil).

An additional set of 14 composite soil samples was collected by the International Center for Research in Agroforestry (ICRAF) at Liliyo from one sentinel site called "Petit-Bouaké" [12]. Sampling took place between 25 and 29 August, 2015 at positions that were previously selected for the Land Degradation Surveillance Framework (LDSF) in a spatially stratified manner [21]. The soil samples received from ICRAF were within the same landscape as the sampled soils in Liliyo within YAMSYS, but sampled from different positions. All soil samples were air-dried and stored in plastic bags until further analysis.

### Soil reference analyses

The air-dried soil samples were crushed and sieved at 2 mm. About 60 to 70 g of the sieved soil was oven-dried at 60 °C for 24 hours, of which 20 g were ball-milled. All chemical analyses except soil pH were conducted both on the soils sampled in yam fields (n = 80) and the LDSF soils obtained from ICRAF (n = 14).

The milled soils were analyzed for total C and macronutrient (N and S) concentrations using an elemental analyzer (vario Pyro cube, Elementar Analysensysteme GmbH, Germany). For each of the four landscapes, two soils were

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selected and analyzed based on three analytical replicates for quantifying within-sample variance of the elemental analysis. For the remaining samples, the analysis was not repeated. Sulfanilamide was used as a calibration standard for the dry combustion. For pH determination 10 g of air-dried soil per sample was placed in a 50 mL Falcon tube and 20 mL of de-ionized water was added. The samples were shaken in a horizontal shaker for 1.5 hours and measured for pH using a pH electrode (Benchtop pH/ISE meter model 720A, Orion Research Inc., USA).

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Resin-extractable P was used as an indicator of plant-available P, as it correlates with P uptake by plants [22]. Inorganic P was extracted using an anion exchange resin membrane [23]. The extraction method was slightly modified by using only one instead of two resin strips of 6 × 2 cm (55164 2S, BDH Laboratory Supplies, Poole, England) saturated with  ${\rm CO_3}^{-2}$ , and 2 g instead of 4 g of dried soil was weighted. No fumigation step to determine microbial P was performed, as the soils had been dried and had storage periods longer than one month between sampling and analysis. In the resin eluates (a mixture of 0.1 M NaCl and 0.1 M HCl), the concentrations of inorganic P were measured colorimetrically using the malachite green method [24]. Bioavailable micronutrient (Fe, Mn, Zn, and Cu) concentrations in soils were determined with the diethylenetriaminepentaacetic acid (DTPA) extraction method, as described in [25]. The extracting solution consisted of 0.0005 M DTPA, 0.01 M CaCl<sub>2</sub>, and 0.1 M triethanolamine. Briefly, 10 g of the sieved <2 mm) soils were extracted with 20 mL of DTPA solution. Micronutrient concentrations in the filtrates were measured by inductively coupled plasma optical emission spectroscopy (ICP-OES, a Shimandzu Plasma Atomic Emission Spectrometer ICPE-9820). Final DTPA extractable concentrations of Fe, Mn, Zn, and Cu were calculated back to kg per dry soil. For each landscape, two soils were selected and analyzed in triplicates to assess analytical errors. For the remaining soils the analysis was not repeated.

For each sample, the concentrations of total element (Fe, Si, Al, K, Ca, P, Zn, Cu, and Mn) in the soil was assessed by energy dispersive X-ray fluorescence spectrometry (ED-XRF) measurements on 4 g of the milled soil with a SPECTRO XEPHOS instrument (SPECTRO Analytical Instruments GmbH, Germany). Exchangeable cations (Ca<sup>2+</sup>, Mg<sup>2+</sup>, K<sup>+</sup>, Na<sup>+</sup>, and Al<sup>3+</sup>) were determined with the BaCl<sub>2</sub> method [26]. About 2 g of the air-dried soil (<2 mm) were extracted by shaking for 2 hours with 30 mL of 0.1 M BaCl<sub>2</sub> on a horizontal shaker (120 cycles min<sup>-1</sup>). The suspension was filtered through no. 40 filter paper (Whatman, Brentford, UK). For each landscape, two soils were analyzed in analytical triplicates. The concentrations of exchangeable cations in the BaCl<sub>2</sub> extract were measured by inductively coupled plasma optical emission spectroscopy (ICP-OES, Shimandzu Plasma Atomic Emission Spectrometer ICPE-9820). Different BaCl<sub>2</sub> extract dilutions were used in order to obtain an optimal signal intensity for the quantification of specific elements across all samples. Concentration of H<sup>+</sup> per kg dry soil was calculated based on the pH measured in the BaCl<sub>2</sub> extractant. The BaCl<sub>2</sub> extraction does only slightly modify pH and is therefore an appropriate method to calculate effective CEC (CECeff) at native soil pH. Using the concentrations of the BaCl<sub>2</sub>-extractable cations (i.e. Ca<sup>2+</sup>, Mg<sup>2+</sup>, K<sup>+</sup>, Na<sup>+</sup>, Al<sup>3+</sup> and H<sup>+</sup>), CEC<sub>eff</sub> was calculated as sum of exchangeable cations in cmol of cation charge per kg dry soil. Exchangeable acidity was defined by the sum of exchangeable Al<sup>3+</sup> and H<sup>+</sup>. Base saturation in \% was calculated as ratio of the sum of basic cations (Ca<sup>2+</sup>, Mg<sup>2+</sup>, K<sup>+</sup>,  $Na^+$ ) in cmol(+) per kg soil to the CEC<sub>eff</sub> multiplied by 100.

Particle size analysis was conducted as described in [27]. Briefly, 50 g of dried 2 mm sieved soil was stirred with 50 mL sodium hexametaphosphate and 100 mL of deionized water. Readings with a hydrometer (ASTM 152 H) were taken after letting it stand in the suspension for 30 minutes.

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## Spectroscopic measurements

The milled soils (n=94) were measured on a Bruker Alpha drift spectrometer (Bruker Optics GmbH, Ettingen, Germany), which was equipped with a ZnSe optics device, a KBr beamsplitter, and a drift (deuterated tri-glycine sulfate) detector. Mid-IR spectra were recorded between 4000 cm<sup>-1</sup> and 500 cm<sup>-1</sup> with a spectral resolution of 4 cm<sup>-1</sup> and a sampling resolution of 2 cm<sup>-1</sup>. Reflectance (R) spectra were transformed to apparent absorbance (A) using  $A = \log_{10}(1/R)$  and corrected for atmospheric CO<sub>2</sub> using macros within the OPUs spectrometer software (Bruker Corporation, US). The spectra were referenced to a IR-grade fine ground potassium bromide (KBr) powder spectrum, which was measured prior to the first soil sample and measured every hour again. All spectra were recorded by averaging 128 measurements for each of the three sample repetitions per soil.

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## Spectroscopic modeling

## Processing of soil spectra

Three replicates of spectra were averaged for each sample. The spectra were transformed by using a Savitzky-Golay smoothed first derivative using a third-order polynomial and a window size of 21 points (42 cm<sup>-1</sup> at spectrum interval of 2 cm<sup>-1</sup>) [28]. Prior to spectral modeling, Savitzky-Golay preprocessed spectra were further mean centered and scaled (divided by standard deviation) at each wavenumber.

#### Model development and validation

The measured soil properties were modeled by applying partial least squares regression (PLSR) [29] with the preprocessed spectra as predictors. PLSR is a dimensionality reduction technique that works well for small data sets with correlated predictor variables. The models were fitted using the orthogonal scores PLSR algorithm. The PLSR development was done using cross-validation. In particular, 5-times repeated 10-fold cross-validation was performed to provide unbiased and precise assessment of predictive model performance [30], [31]. For each individual soil property, the number of factors for the most accurate PLSR model was tuned separately. For each soil property model, the sample set was repeatedly randomly split into k = 10 (approximately) equally-sized subsets without replacement for all repeats r = 1, 2, ..., 5 and all candidate values in the tuning grid with the number of PLSR factors (ncomp) = 1, 2, ..., 10. Within each of the  $r \times \text{ncomp} = 5 \times 10 = 50$  resampling data set splits, each of the 10 possible held-out and model fitting set combinations (folds) was subjected to candidate model building at the respective norm, using k-1=9 out of 10 subsets and remaining held-out samples were predicted based on the fitted models. The root mean square error (RMSE, eq. (1)). of the held-out samples was calculated by aggregating all repeated K-fold cross-validation predictions  $(\hat{y}_i)$  and corresponding observed values  $(y_i)$  grouped by ncomp, which resulted in a cross-validated performance profile RMSE vs. ncomp.

RMSE = 
$$\sqrt{\frac{\sum_{i=1}^{n} (\hat{y}_i - y_i)^2}{n}}$$
 (1)

Based on this performance profile, the minimal norm among the models whose performance was within a single standard error ("One standard error" rule, [32]) of the lowest numerical value of RMSE was selected.

Model assessment was done with the best factors for each property using cross-validation hold outs. We reported the cross-validated measures RMSE,  $R^2$  (coefficient of determination) obtained via linear least-squares regression, and ratio of performance to deviation (RPD), after averaging predictions across repeats. The RPD

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index is the ratio of the chemical reference data standard deviation to the RMSE of prediction.

$$RPD = \frac{s_y}{RMSE}$$
 (2)

Besides calculating the above listed performance indexes, accuracy (bias) and precision (variance) of resampling-based held-out predictions was expressed and depicted on an individual soil sample basis. Particularly, prediction means and 95% confidence intervals by cross-validation (Eq. 3 and 4; n=r=5) were compared against observed values in order to give prediction uncertainties from the cross-validation and show that the chosen resampling was appropriate.

$$S_n^2 = \frac{1}{n-1} \sum_{i=1}^n (y_i - \overline{\hat{y}_i})^2$$
 (3)

$$\overline{\hat{y}_i} \pm t(n-1, 1-\alpha/2) \frac{S_n}{\sqrt{n}}; \alpha = 0.05$$
(4)

In order to cover the full training data space in the models for future sample predictions, the final PLSR models were rebuilt using the entire training set and the respective values of optimal final noomp determined by the procedure described above.

Besides the above mentioned model evaluation metrics, mean squared error (MSE) and its partitioned additive components squared bias (SB), non-unity slope (NU), and lack of correlation (LC) were computed as described by [33].

$$MSE = SB + NU + LC \tag{5}$$

$$= RMSE^2$$
 (6)

$$SB = Bias^{2} = \left[\frac{1}{n} \sum_{i=1}^{n} y_{i} - \hat{y}_{i}\right]^{2}$$
 (7)

$$NU = (1 - b)^2 \times \overline{\hat{y}_i}$$
 (8)

$$LC = (1 - r^2) \times \overline{y^2} \tag{9}$$

where b denote the slope and  $r^2$  the coefficient of determination of the least-squares regression of observed  $(y_i)$  on predicted  $(\hat{y_i})$  data. In short, the three additive components allow to find prominent basic types of model errors. These are translation (SB), rotation (NU) and scatter (LC).

#### Model interpretation

Vis-NIR spectra contain complex information about soil composition and properties. To establish a predictive relationship, statistical models need to find relevant spectral features for each soil property. Model interpretation requires a variable importance assessment to decide on the contribution of spectral variables to prediction and to explain spectral mechanisms. Therefore, we conducted model interpretation based on the variable importance in projection (VIP) method [34,35], using the model at respective best number of factors (ncomp). The VIP measure  $v_j$  was calculated for each wavenumber variable j as

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$$v_{j} = \sqrt{p \sum_{a=1}^{A} \left[ SS_{a} \left( w_{aj} / \| w_{aj} \| \right)^{2} \right] / \sum_{a=1}^{A} (SS_{a})}$$
 (10)

where  $w_{aj}$  are the PLSR weights for the  $a^{\text{th}}$  component for each of the wavenumber variables and  $SS_a$  is the sum of squares explained by the  $a^{\text{th}}$  component:

$$SS_a = q_a^2 t_a^\mathsf{T} t_a \tag{11}$$

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where  $q_a$  are the scores of the predicted variable y and  $t_a$  are the scores of the predictors X. These VIP scores account for multicollinearity found in spectra and are considered as robust measure to identify relevant predictors. Important wavenumbers were classified with a VIP score above 1. A variable with VIP above 1 contributes more than average to the model prediction. For model interpretation, we only computed VIP at the respective finally chosen number of PLS components  $a_{\text{final}}$  for each considered model. We focused on a selection of four well performing models with  $R^2 \geq 0.8$  (RPD  $\geq$  2.3) to illustrate model interpretation. These were total C, total N and clay content.

## Data and code availability

The entire analysis was performed using the R statistical computing language and environment (version 3.4.2) [36]. We used the pls [37] package for PLSR, as described by [38]. Cross-validation resampling, model tuning, and assessment was done using the caret package [39]. Custom functions from the simplerspec package were used for spectroscopic modeling [40]. The data and the code to reproduce the results of this study is available online via Zenodo [41].

Results

### Chemical and physical properties of yam soils

The distribution of soil properties at the yam fields showed a wide variation across the landscapes (Fig S3 Fig). Total C concentrations across all fields ranged from  $2.4\,\mathrm{g}\,\mathrm{C\,kg^{-1}}$  soil to  $24.7\,\mathrm{g}\,\mathrm{C\,kg^{-1}}$  soil. Total C values at the landscape scale were the lowest (median) in Léo and the highest in Tiéningboué. Soils from yam fields in the two landscapes from Ivory Coast (13.0  $\pm$  5.4 g C kg<sup>-1</sup> soil; mean  $\pm$  standard deviation) had relatively higher total C compared to the fields in the landscapes in Burkina Faso (6.1  $\pm$  3.6 g C kg<sup>-1</sup> soil). The range of total soil C concentrations within individual landscapes was similar for Léo, Midebdo, and Tiénigboué. In Léo, total C across the fields had the smallest range with  $2.9\,\mathrm{g\,C\,kg^{-1}}$  soil to  $9.0\,\mathrm{g\,C\,kg^{-1}}$  soil. The median value and variation of CEC<sub>eff</sub> exhibited similar patterns across the landscapes to total C. Total N concentrations across all fields ranged from  $0.18\,\mathrm{g\,N\,kg^{-1}}$  soil to  $2.48\,\mathrm{g\,N\,kg^{-1}}$  soil. Total N within and across the four landscapes exhibited a similar pattern as total C. Generally, the landscapes in Burkina Faso were low in total N compared to those from Ivory Coast (0.44  $\pm$  0.24 g N kg<sup>-1</sup> soil vs. 1.09  $\pm$  0.46 g N kg<sup>-1</sup> soil). Median total N concentrations were almost identical for Liliyo and Tiéningboué  $(1.1 \,\mathrm{g\,N\,kg^{-1}\,soil})$ . Total S concentrations varied between  $41 \,\mathrm{mg\,S\,kg^{-1}\,soil}$ to  $242 \,\mathrm{mg}\,\mathrm{S}\,\mathrm{kg}^{-1}$  soil across all fields, and showed a similar pattern as total C and N. The yam fields in the landscapes of Bukina Faso had on average more than two times higher total S than the other landscapes. Total P concentrations were in a similar range for the landscapes Léo, Midebdo, and Liliyo. In Tiéningboué, total P values were on average almost two times higher than the other fields (817 mg S kg<sup>-1</sup> soil vs.  $453 \,\mathrm{mg} \,\mathrm{S} \,\mathrm{kg}^{-1} \,\mathrm{soil}$ ), with more within-landscape variation.

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Total Fe, total Al, total Ca, total Zn, and total Cu concentrations in the soil tended to be higher for the landscapes in Ivory Coast than in Burkina Faso. In general, the ranges and interquartile ranges for the micronutrients showed more variation in the landscapes of Ivory Coast (Fig S3 Fig). Total K concentration was highly variable within and across the landscapes. The largest range of total K was found in Liliyo. The median and variation of total K concentration were the lowest in Midebdo, while the highest total K median was measured for yam fields in Léo.

Soil resin P concentrations varied between  $0.8 \,\mathrm{mg} \,\mathrm{P\,kg}^{-1}$  soil to  $33.1 \,\mathrm{mg} \,\mathrm{P\,kg}^{-1}$  soil. In Tiéningboué, resin-extractable P was on average higher than in soils of the other landscapes (Fig S3 Fig). Median extractable Fe and its interquartile ranges were comparable across the landscapes (See Fig S3 Fig). However, there were some fields where extractable Fe reached values higher than  $100 \,\mathrm{mg} \,\mathrm{Fe\,kg}^{-1}$  soil. Median extractable Zn values showed a similar pattern as total C, with the highest median values and interquartile range in Tiéningboué and the lowest in Léo. In comparison, the highest median values and interquartile range of extractable Cu and Mn were found in Liliyo. For extractable Zn, Cu, and Mn median values and interquartile range were higher in the two landscapes in Ivory Coast than the two landscapes in Burkina Faso.

Across all samples and landscapes, soil  $pH(H_2O)$  varied between 4.7 and 8.4. Median  $pH(H_2O)$  was comparable in Tiéningboué (= 6.4), Liliyo (= 6.5), and Midebdo (= 6.5). Median  $pH(H_2O)$  of yam fields in Léo (= 6) was lower than in the other landscapes. Exchangeable K, Ca, and Mg concentrations showed similar patterns across the four landscapes. In Burkina Faso, each of the exchangeable cations showed relatively low median concentrations across the fields and less landscape-level variation than in Ivory Coast. In general, the highest median and variation of exchangeable cations among the landscapes were measured for the yam field soils in Tiéningboué. Median exchangeable Al values were comparable among the landscapes, although there were some outliers with exchangeable Al >  $20 \text{ mg kg}^{-1}$  soil for Midebdo, Liliyo, and Tiéningboué. The CEC<sub>eff</sub> ranged from  $0.9 \text{ cmol}(+) \text{ kg}^{-1}$  soil to  $14.6 \text{ cmol}(+) \text{ kg}^{-1}$  soil across all fields and landscapes. Median CEC<sub>eff</sub> tended to decreases in the following order across landscapes: Léo > Midebdo > Liliyo > Tiéningboué. The interquartile range of CEC<sub>eff</sub> was also the greatest in Tiéningboué and the smallest in Léo.

## Soil mid-IR spectroscopic models

Cross-validated spectroscopic predictions of all soil attributes together with the chemical reference data are shown in S1 Table.

Among the measured soil properties, models for total K (RPD = 6.4) and total Al (RPD = 6.2) were best performing. Out of a total of 27 soil attributes, 9 were well quantified by the models when considering categorization judged upon on an  $R_{\rm rev}^2 \ge 0.8$ criterion and 11 when applying a threshold of RPD  $\geq 2$ . Fig S4 Fig shows the model evaluation summary and mean cross-validated predictions including resampling confidence intervals of best performing models with RPD  $\geq 2$ . The resampling prediction intervals were very narrow, showing all PLSR models were stable. Within this group of stable models, 4 soil attributes are directly related to the mineralogy (total Fe, Al, K and Ca), 3 are related to soil organic matter (total C, N and S), 1 texture (clay fraction), 1 to plant nutrition (exchangeable Fe), and 2 related to mineralogy and plant nutrition (exchangeable Ca and CECeff). More specifically, total C was accurately predicted, with an RPD of 3.7 and a RMSE of  $1.6\,\mathrm{g\,C\,kg^{-1}}$  soil. The models were also able to predict total N well (RPD = 3; RMSE =  $0.2 \,\mathrm{g}\,\mathrm{C}\,\mathrm{kg}^{-1}$  soil. Prediction accuracy of total S was slightly lower than for total C, but its RPD and RMSE suggest that the model was reliable for prediction. However, exchangeable K (RPD = 1.1), resin P (RPD = 1.3) and  $BS_{eff}$  (RPD = 1) were poorly predicted (S1 Table). Predictions for percent clay were reliable ( $R^2 = 0.8$ ; RMSE = 2%), whereas predictions for percent sand

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 $(R^2=0.45; \, {\rm RMSE}=8\,\%)$  and percent silt  $(R^2=0.43; \, {\rm RMSE}=6\,\%)$  were not accurate. Finally chosen models of all soil attributes had between 1 and 9 PLSR components. Among the mid-IR PLSR models for the measured soil attributes, LC contributed between 97 % and 100 % to the MSE (mean squared error). There was no contribution of SB to MSE and NU made only a marginal contribution  $(0\,\%$  to  $3\,\%$  to MSE).

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#### Model interpretation

S5 Fig shows variable importance for spectral predictors, which is superimposed by the preprocessed spectra and the raw absorbance spectra. A large proportion of absorptions had VIP > 1 for each the total C, total N and percent clay models (S5 Fig). Important wavenumbers (VIP > 1) for total C were mostly between 3140 cm<sup>-1</sup> and 1230 cm<sup>-1</sup>. Besides clear absorption peaks, there were relatively continuous spectral features that were important to the models. For example, the relatively continuous and smooth spectral region between the alkyl C–H vibrations at 2855 cm<sup>-1</sup> and 2362 cm<sup>-1</sup> had comparable contribution to the model as peak regions associated with total C prediction. Variable importance patterns across wavenumbers were almost identical for total C and N PLSR models, and its reference measurements were strongly correlated (r = 0.94; Fig S1 Appendix). In contrast, the clay content model deviated from the total C model in particular regions, for example around the kaolinite OH<sup>-</sup> feature at  $3620 \,\mathrm{cm}^{-1}$  or at kaolinite Al–O–H vibrations at  $934 \,\mathrm{cm}^{-1}$  and  $914 \,\mathrm{cm}^{-1}$ .

Discussion 344

Timely and accurate estimates of multiple soil properties are required to better understand and predict soil constraints across the yam belt in West Africa. The soil spectral library from our study, which includes four landscapes of the yam belt, can be practical to monitor (and eventually manage) soil infertility that is considered a major constraint for yam production in West Africa. Specifically, our results show that the total amount of C, (micro)-nutrients, and exchangeable cations can be accurately estimated with mid-IR spectra in the selected yam growing landscapes. To estimate the availability of specific nutrients, however, more efforts need to be made to measure them in fine temporal and spatial resolution. Our soil spectral library comprises a first step in building a capacity to assess broader soil conditions in experimental and on-farm studies within the selected landscapes. And thus, most importantly, it can support efforts to improve yam cropping through the management of important soils' functions.

The mid-IR model accurately estimated C (RMSE =  $1.6 \,\mathrm{g \, kg^{-1} \, soil}$ ). Typically, only field-scale spectroscopic models achieve such accuracy [13,42], whereas the predictive accuracy reported for larger-scale application of spectroscopic models is lower than for our model [16, 43, 44]. Models covering a wide geographical range of soils often result in high prediction errors [45]. Despite different soil types and climate regimes across a wide geographic spacing between the calibration fields, we achieved an accurate spectroscopic estimation of total C. The model was also able to reliably estimate a range of other important soil properties than total C. Specifically, other soil variables eligible for a mid-IR quantification include total N, total S, total Ca, total K, total Al, exchangeable Ca, Fe DTPA, CEC<sub>eff.</sub>, and clay content  $(R^2 > 0.75)$ . Reference measurements for total N, C, S, exchangeable Ca and CEC<sub>eff</sub>, were highly correlated to total C (Fig S1 Appendix). Also, total Ca, Al, and clay content correlated to total C (r > 0.70). Total K and Fe DTPA were poorly correlated to total C. Nevertheless, their spectroscopic estimates were relatively accurate. This suggests that the mid-IR prediction of other soil properties is largely based on their correlation with total C as well as other absorption features of many organic and mineral soil components having a

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specific IR adsorption. We also found reasonable prediction accuracy for Zn(DTPA) and Cu(DTPA), despite that soil nutrients that are extraction-based or dependent on surface chemistry usually have variable predictive performance [46]. Because relationships between soil composition and soil matrix exchange processes are typically complex, it may not be represented in the models in a straight-forward manner [13,46]. There was good prediction of CEC by mid-MIR spectroscopy, most likely because it depends on clay type, proportion of clay and organic matter contents, whose spectral features can be well detected in the mid-IR [46]. Overall, the PLSR models were unbiased and errors were mostly attributed to a lack of correlation.

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The VIP assessment of the total C, N, and clay models identified a broad set of important spectral features across the mid-IR range for their prediction (S5 Fig). This is typically reported in the literature because the mid-IR contains many relevant predictive spectral variables [47]. For example, clay content was characterized with the absorbance bands related to soil organic C as well as clay lattice primary mid-IR vibrations [46]. The regions of Kaolinite O-H lattice absorptions near 3996 cm<sup>-1</sup> and 3620 cm<sup>-1</sup> as well as K-OH absorptions at 12345 cm<sup>-1</sup> and 914 cm<sup>-1</sup> were particularly important for clay content estimation. For total C and total N, the model contribution of spectral variables was almost identical. This is probably due to high stoichiometric correlation between C and N ( $R^2 = 0.85$ , Fig S1 Appendix). Even though, the models for total C and clay content exploited similar spectral predictors between 3850 cm<sup>-1</sup> and 1750 cm<sup>-1</sup>, there were different influential spectral features in the remaining regions. Total C had a less pronounced linear relationship with % clay ( $R^2 = 0.51$ , Fig S1 Appendix), which explains only partial resemblance of the corresponding important spectral variables. In the tropical soils in the yam belt of West Africa, it is not surprising that the mineral signals overlap with total C because total C content is generally low, i.e. ranging between  $2.4\,\mathrm{g\,C\,kg^{-1}}$  soil and  $24.7\,\mathrm{g\,C\,kg^{-1}}$  soil. Furthermore, various soil compounds correlated with key properties of interest, which are also themselves correlated. For example, CEC is correlated with SOM, iron oxides and clay types in the soils, each having unique absorptions. Hence, we expected that these spectral features would be useful for predicting all of these specific soil properties.

All mid-IR spectra measured for soils in the four landscapes exhibited a similar pattern of absorbance (S5 Fig). The spectra measured in the soils across the landscapes mostly resembled quartz dominated soils in the minearal sub-spaces matched by Sila et al. [16] and were spectrally relative homogeneous. The quartz dominated spectral features can be explained by high sand contents across the landscapes (range 30% to 92%, median 76%). Besides quartz features, the spectra also showed prominent kaolinite peaks at 3695 cm $^{-1}$  (surface OH $^{-}$  groups), 3620 cm $^{-1}$  (inner OH $^{-}$  groups), 914 cm $^{-1}$  (inner OH $^{-}$  groups), and 936 cm $^{-1}$  (outer OH $^{-}$  groups) [48]. Separated kaolinite Si-O bands at 1034 cm $^{-1}$  and 1011 cm $^{-1}$  were not detected in the spectra most likely because broad quartz Si-O features superimposed these peaks.

Our study potentially serves as the framework to build a more representative soil spectroscopic library for the West African yam belt. At the current stage, we propose to implement a spectroscopy-driven approach to diagnose soils from the other locations within the soil management and yam innovation trials. However, the data set presented here is relatively small and no randomized spatial sampling strategy was used for selecting locations. Hence, an effort to broaden the library to achieve better spatial coverage is required, especially because we clearly show both opportunities and limitations of mid-IR soil spectroscopy for future diagnostics in the selected landscapes of the yam belt. To continue developing a reliable soil spectroscopic library, three requirements should be be fulfilled: 1. the soil spectroscopic library should cover more soil variation of the region; 2. the workflow from sampling to the measurements should be consistently and thoroughly done; 3. laboratory reference analyses required for

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empirical calibration should be certified and standardized.

When applying the spectroscopic models in the presented landscapes, predictions exceeding the range of observed laboratory reference values should be analyzed chemically in order to verify the models. After this, the calibration library can be updated with the newly characterized samples. Once such a spectroscopic library targeted to yam production fields is growing, a general model as the one presented here can be replaced by a more location-targeted approach. For example, a subset or subspace of the spectral library can be selected for each new location to be predicted [49], once the soil spectral library is enlarged with many new samples from other yam growing regions.

Conclusion

We developed and tested models with mid-MIR spectra to estimate soil chemical and physical properties relevant to yam production in four landscapes in the yam belt of West Africa. The selected properties are applied widely for agronomic performance evaluation but routinely quantified by wet chemistry. As an alternative to the traditional approach, we showed that mid-IR spectroscopy models have the potential to cost-effectively and rapidly determine the distribution and variability of important soil properties across yam production landscapes in West Africa. Model evaluation supports that several soil properties can be accurately predicted in a soil diagnostic mid-IR monitoring system. Specifically, total C, total N, total S, total Fe, total Al, total K, total Ca, exchangeable Ca, CECeff, Fe(DTPA), % clay, can be accurately quantified (RPD > 2;  $R^2 > 0.75$ ) when aiming to predict in the range of soil property values found in the environmental conditions covered by this study. This study delivered parsimonious, unbiased and accurate mid-IR spectroscopy-based models to monitor and predict soil fertility. Therefore, this study laid the foundation of starting a soil spectral library within the studied landscapes of the West African yam belt.

# Supporting information

**S1 Fig.** Studied landscapes. The location of four sampled landscapes in the yam belt of West Africa. Liliyo and Tieningboue are in Ivory Coast, and Midebdo and Leo are in Burkina Faso.

**S2 Fig.** Spatial distribution of yam fields by studied landscape. A total of 20 yam fields were selected in each landscape. The size of yam fields ranges from approximately 0 to 3 ha.

**S3 Fig.** Soil chemical properties per landscape. The number of soils analyzed for each individual property is indicated above the top whiskers.

**S4 Fig.** Assessment of mid-IR PLSR models Predicted (from  $5 \times$  repeated 10-fold cross-validation) vs. observed soil properties (determined by laboratory reference analyses). Only soil properties modeled with RPD > 2 ( $R^2 > 0.75$ ) are shown.

S5 Fig. Variable Importance in the Projection (vip) scores of PLS regression models for total soil C, total N and % clay , including overlaid raw and preprocessed spectra. Top panel shows resampled mean sample absorbance spectra (n=94). Prominent peaks were identified as local maxima with a span of 10 points 20 cm<sup>-1</sup>) for the selected wavenumbers. Fundamental mid-IR

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vibrations that are well described in the literature [44, 48, 50] were added as labels when identified peaks matched literature assignments. (Q) stands for quartz and (K) for kaolinite. The middle panel depicts preprocessed spectra (Savitzky-Golay first derivative with a window size of 21 points (42 cm<sup>-1</sup>); 3rd order polynomial fit). The bottom panel shows variable importance in the projection (VIP) for three selected well performing PLSR models (total C, total N and % clay; RPD > 2). The black horizontal line at VIP = 1 indicates the threshold above where absorbance at the wavenumbers explain more than average to the prediction of a certain soil property. Dashed points closely below the y=0 line of the VIP graph visualize positive (above y=0) and negative (below y=0) PLSR  $\beta$  coefficients.

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S1 Appendix. Correlation matrix of measured soil properties. Soil properties were measured with conventional laboratory analyses (n = 94). Pearson correlation coefficients (r) were rounded to 1 digit.

S1 Table. Descriptive summary of soil reference data and evaluation results of cross-validated plsr models. All samples across the four landscapes were aggregated into a single model per respective soil property. Model evaluation was done on held-out predictions of 5 times repeated 10-fold cross-validation (abbreviated by rcv) at the finally selected number of PLSR components (ncomp).

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			Soil re	Soil reference analyses	alyses		mid-IR	LS regress	on $(5 \times \text{rep.})$	mid-1R PLS regression (5 × rep. 10-fold CV)
Soil attribute	u	Minobs.	$Max_{obs.}$	$\mathrm{Med}_{\mathrm{obs}}$ .	$Mean_{obs.}$	CV <sub>obs</sub> .	ncomp	$\mathrm{RMSE}_{\mathrm{rcv}}$	$R^2_{ m rcv}$	$\mathrm{RPD}_{\mathrm{rcv}}$
Total Fe $[g kg^{-1}]$	94	4	35	10	12	54	5	3	0.81	2.3
Total Si $[g kg^{-1}]$	94	200	363	262	262	12	သ	20	0.61	1.6
Total Al $[g kg^{-1}]$	94	10	102	48	53	42	ಬ	4	0.97	0.9
Total K $[g kg^{-1}]$	94	П	34	9	10	91	2	7	0.96	5.1
Total Ca $[g  kg^{-1}]$	94	0.3	9.7	1.4	1.9	20	ಒ	9.0	0.78	2.1
Total Zn $[mg kg^{-1}]$	94	10	7.5	19	23	49	П	7	0.64	1.7
Total Cu $[mg kg^{-1}]$	94	0	29	ಬ	7	87	3	က	0.70	1.8
Total Mn $[mg kg^{-1}]$	94	29	1146	222	308	74	4	118	0.73	1.9
Sand [%]	80	29.8	91.6	75.6	74.2	14	2	8.0	0.44	1.3
Silt [%]	80	3.9	54.1	12.0	14.1	09	2	6.7	0.38	1.3
Clay [%]	80	4.5	26.1	10.1	11.6	42	2	2.2	0.79	2.2
$\mathrm{pH}_{\mathrm{H}_{20}}$	80	4.7	8.4	6.4	6.4	11	$\infty$	0.5	0.60	1.6
$K \text{ (exch.) } [\text{mg kg}^{-1}]$	94	0	898	104	145	92	П	118	0.30	1.2
Ca (exch.) $[\text{mg kg}^{-1}]$	92	86	2170	604	774	20	5	242	0.80	2.2
$Mg (exch.) [mg kg^{-1}]$	93	18	432	92	113	84	3	58	0.62	1.6
Al (exch.) $[mg kg^{-1}]$	94	0	47	0	4	258	2	6	0.21	1.1
$^{\mathrm{CEC}_{\mathrm{eff}}}\left[\mathrm{cmol}(+)\mathrm{kg}^{-1}\right]$	91	6.0	14.6	4.2	5.3	29	9	1.4	0.84	2.5
$\mathrm{BS}_{\mathrm{eff}}\ [\%]$	91	79	100	100	86	4	П	က	0.25	1.1
Total C $[\mathrm{gkg}^{-1}]$	94	2.4	24.7	8.5	6.6	28	9	1.6	0.92	3.5
Total N $[g kg^{-1}]$	94	0.2	2.2	0.7	8.0	61	ಬ	0.2	0.89	3.0
$Total \ S \ [mg  kg^{-1}]$	94	41	242	66	111	46	3	20	0.85	2.6
Total P $[mg kg^{-1}]$	94	240	1631	467	530	40	က	132	0.61	1.6
$\log(P \text{ resin}) [ \max kg^{-1} ]$	92	-0.2	3.5	1.4	1.4	22	2	9.0	0.43	1.3
$log(Fe(DTPA)) [mg kg^{-1}]$	92	1.0	6.7	2.7	2.9	38	6	0.5	0.76	2.0
	82	0.2	11.5	1.9	2.8	86	3	2.1	0.26	1.1
$Cu (DTPA) [mg kg^{-1}]$	92	0.1	1.5	0.2	0.4	86	9	0.2	0.73	1.9
$\operatorname{Mn}\left(\operatorname{DTPA}\right)\left[\operatorname{mgkg}^{-1}\right]$	92	2.5	31.4	6.5	8.6	69	3	4.0	0.55	1.5

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