## Shor's algorithm

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## **Factoring large numbers**

- A prime number is a natural number greater than 1 that is divisible by only 1 and itself.
- By multiplying two prime numbers, we can generate composite numbers with two prime factors. For example 21 = 3 × 7.
- Given a large composite number, can one devise an algorithm to find its prime factors?
- Shor's algorithm is a quantum algorithm proposed to solve this problem.

## **Factoring large numbers**

• The periodic function f(x) is defined by

$$f(x) = a^x \mod N \tag{1}$$

where  $\mod N$  stands for division modulo N. For example,

$$11 = 3 \mod 4$$

since  $11 \div 4$  returns 3 as the remainder.

- The period of function f(x) is defined as the smallest non-zero integer r such that  $f(r) = a^r \mod N = 1$ .
- A unique r > 0 exists as long as a < N, and a and N do not have common factors, i.e., gcd(a, N) = 1

## Shor's unitary operator

Let's define the operator *U* by

$$U|y\rangle = |ay \mod N\rangle \tag{2}$$

• For example, U for the periodic function  $f(x) = 7^x \mod 15$  is given by,

$$U|y\rangle = |7y \mod 15\rangle$$

• Starting with y = 1, we get

$$U|1\rangle = |7 \mod 15\rangle = |7\rangle$$
  
 $U^2|1\rangle = U|7\rangle = |49 \mod 15\rangle = |4\rangle$   
 $U^3|1\rangle = U|4\rangle = |28 \mod 15\rangle = |13\rangle$   
 $U^4|1\rangle = U|13\rangle = |91 \mod 7\rangle = |1\rangle$ 

- Since  $U^4 |1\rangle = |1\rangle$ , *U* is a unitary operator.
- $\{|7\rangle, |4\rangle, |13\rangle, |1\rangle\}$  forms a basis for U.



## Eigenstates of U

• Let's define as  $|u_0\rangle$  the state created by symmetric superposition of these basis states.

$$|u_0\rangle = \frac{1}{2}(|1\rangle + |7\rangle + |4\rangle + |13\rangle)$$

$$U|u_0\rangle = \frac{1}{2}(U|1\rangle + U|7\rangle + U|4\rangle + U|13\rangle)$$

$$U|u_0\rangle = \frac{1}{2}(|7\rangle + |4\rangle + |13\rangle + |1\rangle)$$

$$U|u_0\rangle = |u_0\rangle$$

 $|u_0\rangle$  is an eigenstate of operator U.

#### Eigenstates of U

• Any state  $|u_s\rangle$  defined for s < r by

$$|u_s\rangle = \frac{1}{\sqrt{r}} \sum_{k=0}^{r-1} \exp\left\{\left(-\frac{2\pi i s k}{r}\right)\right\} U^k |1\rangle$$
 (3)

is an eigenstate of the operator U,

$$U|u_s\rangle = \exp\left\{\left(\frac{2\pi is}{r}\right)\right\}|u_s\rangle \tag{4}$$

- If we can prepare the state  $|u_s\rangle$  on a quantum computer, we can approximate the value of r using the quantum phase estimation (QPE) algorithm.
- We cannot prepare the state  $|u_s\rangle$  without knowing r!

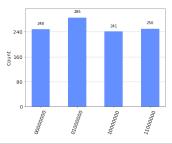
#### **Initial state for QPE**

• If we sum over states  $|u_s\rangle$  for values  $0 \le s < r$ , the phases cancel to give

$$\frac{1}{\sqrt{r}}\sum_{k=0}^{r-1}|u_s\rangle=|1\rangle\tag{5}$$

- Therefore we can use the easy-to-prepare state |1> as the initial target state for QPE.
- As  $|1\rangle$  is a symmetric superposition of states  $|u_s\rangle$ , QPE will measure a phase  $\phi = \frac{s}{r}$  where s will be a random integer between 0 and r-1 drawn from a uniform distribution.

# **Example : Finding** r of $a^r \mod 15$ using QPE



Register Output (binary)	Decimal	Phase	Fraction
00000000	0	$\frac{0}{256} = 0.00$	0
01000000	64	$\frac{64}{256} = 0.25$	1/4
10000000	192	$\frac{192}{256} = 0.75$	1/2
11000000	128	$\frac{128}{256} = 0.50$	3/ <b>4</b>

- We find the correct period r = 4 with 50% accuracy.
- This is a consequence of using |1⟩ instead of |u<sub>s</sub>⟩ to initialise the target registry.



## **Factoring**

• Since r = 4 is even, we can write

$$a^r \mod N = 1$$
  
 $a^r - 1 = xN$   
 $(a^{r/2} - 1)(a^{r/2} + 1) = xN$ 

- we can see that  $a^{r/2} \pm 1$  is highly likely to share a factor with N.
- Therefore, we can guess the greatest common dividers of these two integers with N to be a factor of N.
- If r is odd, we choose a different value for a and perform QPE until an even r is found.