PM_05_Accelerating_Lagrangian_analyses_of_oceanic_data_benchmarking

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1 Accelerating Lagrangian analyses of oceanic data: benchmarking typical workflows

1.1 Authors

```
[1]: Author1 = {"name": "Miron, Philippe", "affiliation": "Florida State

University", "email": "pmiron@fsu.edu", "orcid": "0000-0002-8520-6221"}

Author2 = {"name": "Elipot, Shane", "affiliation": "University of Miami",

university of Miami",

univer
```

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1.2 Purpose

For data, Lagrangian refers to oceanic and atmosphere information acquired by observing platforms drifting with the flow they are embedded within, but also refers more broadly to the data originating from uncrewed platforms, vehicles, and animals that gather data along their unrestricted and often complex paths. Because such paths traverse both spatial and temporal dimensions, Lagrangian data often convolve spatial and temporal information that cannot always readily be organized in common data structures and stored in standard file formats with the help of common libraries and standards. As such, for both originators and users, Lagrangian data present challenges that the EarthCube CloudDrift project aims to overcome.

This notebook consists of systematic evaluations and comparisons of workflows for Lagrangian data, using as a basis the velocity and sea surface temperature datasets emanating from the drifting buoys of the Global Drifter Program (GDP). Specifically, we consider the interplay between diverse storage file formats (NetCDF, Parquet) and the data structure associated with common existing libraries in *Python* (xarray, pandas, and Awkward Array) in order to test their adequacies for performing three common Lagrangian tasks:

- 1. binning of a variable on an spatially-fixed grid (e.g. mean temperature map),
- 2. extracting data within given geographical and/or temporal windows (e.g. Gulf of Mexico),
- 3. analyses per trajectory (e.g. single statistics, spectral estimation by Fast Fourier Transform).

Since the *CloudDrift* project aims at accelerating the use of Lagrangian data for atmospheric, oceanic, and climate sciences, we hope that the users of this notebook will provide us with feedback on its ease of use and the intuitiveness of the proposed methods in order to guide the on-going development of the *clouddrift Python* package.

1.3 Technical contributions

- Description of some challenges arising from the analysis of large, heterogeneous Lagrangian datasets.
- Description of some data formats for Lagrangian analysis with *Python*.
- Comparison of performances of established and developing *Python* packages and libraries.

1.4 Methodology

The notebook proceeds in three steps: 1. First, we download a subset of the hourly dataset of the GDP. Specifically, we access version 2.00 (beta) of the dataset that consists of a collection of 17,324 NetCDF files, one for each drifting buoy, available from a HHTPS (or FTP) server of the GDP. Alternative methods to download these data are described on the website of the GDP DAC at NOAA AOML and includes a newly-formed collection from the NOAA National Centers for Environmental Information with doi:10.25921/x46c-3620. We download a subset (which size can be scaled up or down) then proceed to aggregate the data from the individual files in one single file using a suggested format (the contiguous ragged array).

- 2. Second, we benchmark three libraries—xarray, Pandas, and Awkward Array—with typical Lagrangian workflow tasks such as the geographical binning of a variable, the extraction of the data for a given region, and operations performed per drifter trajectory.
- 3. Third, we discuss briefly future works for the upcoming *clouddrift* library, and seek to obtain feedback from the community to guide future development.

1.5 Results

In terms of data file format, we tested both NetCDF and Parquet file formats but did not find significant performance gain from using one or the other. Because NetCDF is a well-known and established file format in Earth sciences, we save the contiguous ragged array as a single NetCDF archive.

In terms of python packages, we find that Pandas is intuitive with a simple syntax but does not perform efficiently with a large dataset. The complete GDP hourly dataset is currently $only \sim 15$ GB, but as part of CloudDrift we also want to support larger Lagrangian datasets (>100 GB). On the other hand, xarray can interface with Dask to efficiently lazy-load large dataset but it requires custom adaptation to operate on a ragged array. In contrast, $Awkward\ Array$ provides a novel approach by storing alongside the data an offset index in a manner that is transparent to the user, simplifying the analysis of non-uniform Lagrangian datasets. We find that it is also fast and can easily interface with Numba to further improve performances.

In terms of benchmark speed, each package show similar results for the geographical binning (test 1) and the operation per trajectory (test 3) benchmarks. For the extraction of a given region (test 2), xarray was found to be slower than both Pandas and Awkward Array. We note that speed performance may not the deciding factor for all users and we believe that ease of use and simple intuitive syntax are also important.

1.6 Funding

1.7 Keywords

```
[3]: keywords=["lagrangian", "drifters", "data structures", "workflow tasks", □

□ "benchmarks"]
```

1.8 Citation

P. Miron, S. Elipot, R. Lumpkin and B. Dano, Accelerating Lagrangian analysis of oceanic data: benchmarking typical workflows, 2022, 2022 EarthCube Annual Meeting, Accessed X/Y/2022 at https://github.com/Cloud-Drift/earthcube-meeting-2022

1.9 Acknowledgements

The CloudDrift team would like to acknowledge Dr. Ryan Abernathey for suggesting to create benchmarks on typical Lagrangian workflow tasks and Dr. Jim Pivarski for assisting with Awkward array.

2 Setup

2.1 Library import

```
[4]: # Data manipulation
    import numpy as np
    import pandas as pd
    import awkward as ak
    from scipy import stats

# others
    import time
    from os.path import isfile, join
    from datetime import datetime
    import dask
    import numba as nb
    import functools

# data retrieval
```

```
import urllib.request
import concurrent.futures
import re
# visualization
import matplotlib.pyplot as plt
import matplotlib.colors as colors
from mpl_toolkits.axes_grid1 import make_axes_locatable
from matplotlib.ticker import MaxNLocator
import cartopy.crs as ccrs
from cartopy.mpl.ticker import LongitudeFormatter, LatitudeFormatter
import cartopy.feature as cfeature
import cmocean
# we will see that the GDP DAC netcdf files contain non standard values that
 ⇔cause warnings
import warnings
warnings.simplefilter(action='ignore', category=FutureWarning)
# default settings visualization
plt.rcParams.update({'font.size': 8,
                     'xtick.major.pad': 1,
                     'ytick.major.pad': 1})
# system information
import platform
import psutil
```

2.2 Local library import

```
[5]: # To run this notebook, we need to load on a number of functions from the preprocess.py` file found within this repository.

from preprocess import create_ragged_array, create_ak
```

3 Data Overview

In the first step of this notebook, we present the current format of the Global Drifter Program (GDP) dataset, and show how to transform it into a single archival file in which each variable is stored in an ragged fashion.

The GDP produces two interpolated datasets of drifter position, velocity and sea surface temperature from more than 20,000 drifters that have been released since 1979. One dataset is at 6-hour resolution (Hansen and Poulain 1996) and the other one is at hourly resolution (Elipot et al. 2016). The files, one per drifter identified by its unique identification number (ID), are updated on a quarterly basis and are available via the HTTPS server of the GDP Data Assembly Center (DAC).

Here we use a subset of the hourly drifter dataset of the GDP by setting the variable

subset_nb_drifters = 500. The suggested number is large enough to create an interesting dataset, yet without making the downloading cumbersome and the data processing too expensive. Feel free to scale down or up this value (from 1 to 17324), but beware that if you are running this notebook in a binder there is some memory limitation (500 should work).

```
[6]: subset_nb_drifters = 500 # you can scale up/down this number (maximum value of _{\square} _{\square} 17324)
```

```
[7]: %%time
     # output folder and official GDP https server
     # Note: If you are running this notebook on a local computer and have already.
      ⇔downloaded the individual NetCDF files
     # independently of this notebook, you can move/copy these files to the folder
      ⇔destination shown below.
     # or alternatively change the variable 'folder' to your folder with the data
     folder = 'data/raw/'
     input_url = 'https://www.aoml.noaa.gov/ftp/pub/phod/lumpkin/hourly/v2.00/netcdf/
      \hookrightarrow 1
     # load the complete list of drifter IDs from the AOML https
     urlpath = urllib.request.urlopen(input_url)
     string = urlpath.read().decode('utf-8')
     pattern = re.compile('drifter_[0-9]*.nc')
     filelist = pattern.findall(string)
     list_id = np.unique([int(f.split('_')[-1][:-3]) for f in filelist])
     # Here we "randomly" select a subset of ID numbers but produce reproducible
      \rightarrow results
     # by actually setting the seed of the random generator
     rng = np.random.RandomState(42) # reproducible results
     subset_id = sorted(rng.choice(list_id, subset_nb_drifters, replace=False))
     def fetch_netcdf(url, file):
         111
         Download and save file from the given url (if not present)
         if not isfile(file):
             req = urllib.request.urlretrieve(url, file)
     # Typically it should take ~2 min for 500 drifters
     print(f'Fetching the {subset_nb_drifters} requested netCDF files (as a_
      ⇔reference ~2min for 500 files).')
     with concurrent futures . ThreadPoolExecutor() as exector:
         # create list of urls and paths
         urls = []
         files = []
```

```
for i in subset_id:
    file = f'drifter_{i}.nc'
    urls.append(join(input_url, file))
    files.append(join(folder, file))

# parallel retrieving of individual netCDF files
exector.map(fetch_netcdf, urls, files)
```

```
Fetching the 500 requested netCDF files (as a reference ~2min for 500 files). CPU times: user 53 ms, sys: 15.4 ms, total: 68.4 ms
Wall time: 2.39 s
```

3.1 Individual NetCDFs

3.1.1 Dimensions

Each individual NetCDF file contains two main dimensions for its variables:

- ['traj'] of length 1, since there is one trajectory per file (e.g. ID, deploy_date, deploy_lon, etc.)
- ['obs']: of length N, with N the number of observations along the trajectory (longitude, latitude, ve, vn, sst, etc.)

3.1.2 Variables

In each file, there are 20 numerical variables representing estimated quantities with dimensions ['traj', 'obs'] (where traj = 1 since there is only one trajectory per file). There are 11 numerical variables with dimension ['traj'] that contain metadata unique to each drifter and file (e.g. Deployment date and time). In addition, there are 2 variables with one of their dimensions being ['traj'] that also contains non-numerical metadata (e.g. Buoy type). Further metadata pertaining to an individual drifter and to the whole dataset are contained in various global attributes of the NetCDF file.

To have a "peek" at the structure of the NetCDF files (dimensions, variables, and attributes), we load the first file in our list of files as an *xarray* dataset object using the open_datasetfunction. *xarray* offers a pleasant html visualization of a dataset (expand the view by clicking on the black arrows to the left).

```
[8]: ds = xr.open_dataset(files[0], decode_times=False)
ds
```

```
[8]: <xarray.Dataset>
    Dimensions: (traj: 1, obs: 1095)
    Dimensions without coordinates: traj, obs
    Data variables: (12/33)
    ID (traj) |S10 ...
    rowsize (traj) int32 ...
    WMO (traj) float64 ...
    expno (traj) float64 ...
```

```
deploy_date
                       (traj) float32 ...
    deploy_lat
                       (traj) float64 ...
                       (traj, obs) float64 ...
    err_sst
                       (traj, obs) float64 ...
    err_sst1
    err_sst2
                       (traj, obs) float64 ...
    flg sst
                       (traj, obs) float64 ...
    flg_sst1
                       (traj, obs) float64 ...
                       (traj, obs) float64 ...
    flg sst2
Attributes: (12/72)
    title:
                                  Global Drifter Program hourly drifting buoy c...
                                  Global Drifter Program ID 2592
    id:
    location_type:
                                  Argos
    wmo_platform_code:
                                  4400509
                                  NCEI_NetCDF_Trajectory_Template_v2
    ncei_template_version:
    cdm_data_type:
                                  Trajectory
    DrogueCenterDepth:
                                  15 m
    DrogueDetectSensor:
                                  submergence
    acknowledgement:
                                  Elipot et al. (2016), Elipot et al. (2021) to...
                                  Version 2.00. Metadata from dirall.dat and d...
    history:
    interpolation_method:
    imei:
```

3.2 Contiguous Ragged Array

In the GDP dataset, the number of observations varies from len(['obs'])=13 to len(['obs'])=66417. As such, it seems inefficient to create bidimensional datastructure ['traj', 'obs'], commonly used by Lagrangian numerical simulation tools such as Ocean Parcels and Open-Drift that tend to generate trajectories of equal or similar lengths.

Here, we propose to combine the data from the individual netCDFs files into a *contiguous ragged array* eventually written in a single NetCDF file in order to simplify data distribution, decrease metadata redundancies, and efficiently store a Lagrangian data collection of uneven lengths. The aggregation process (conducted with the create_ragged_array function found in the module preprocess.py) also converts to variables some of the metadata originally stored as attributes in the individual NetCDFs. The final structure contains 21 variables with dimension ['obs'] and 38 variables with dimension ['traj'].

```
[9]: create_ragged_array(files).to_xarray().to_netcdf(join('data', 'gdp_subset.nc'))
500/500 files processed
[10]: # using the previously downloaded files
    ds = xr.open_dataset('data/gdp_subset.nc')
    ds
```

```
[10]: <xarray.Dataset>
      Dimensions:
                                   (traj: 500, obs: 4786301)
      Coordinates:
          TD
                                   (traj) int64 ...
                                   (obs) float32 ...
          longitude
          latitude
                                   (obs) float32 ...
          time
                                   (obs) datetime64[ns] ...
                                   (obs) int64 ...
          ids
      Dimensions without coordinates: traj, obs
      Data variables: (12/54)
          rowsize
                                   (traj) int64 ...
                                   (traj) bool ...
          location_type
                                   (traj) int32 ...
          OMW
                                   (traj) int32 ...
          expno
                                   (traj) datetime64[ns] ...
          deploy_date
          deploy_lon
                                   (traj) float32 ...
                                   (obs) float32 ...
          err_sst
          err_sst1
                                   (obs) float32 ...
          err sst2
                                   (obs) float32 ...
          flg_sst
                                   (obs) int8 ...
          flg sst1
                                   (obs) int8 ...
          flg sst2
                                   (obs) int8 ...
      Attributes: (12/15)
          title:
                              Global Drifter Program hourly drifting buoy collection
                              Version 2.00. Metadata from dirall.dat and deplog.dat
          history:
          Conventions:
                              CF-1.6
                              2022-04-15T15:08:31.898904
          date_created:
          publisher_name:
                              GDP Drifter DAC
          publisher_email:
                              aoml.dftr@noaa.gov
          metadata_link:
                              https://www.aoml.noaa.gov/phod/dac/dirall.html
          contributor name: NOAA Global Drifter Program
          contributor_role: Data Acquisition Center
          institution:
                              NOAA Atlantic Oceanographic and Meteorological Laboratory
                              Elipot et al. (2022) to be submitted. Elipot et al. (2...
          acknowledgement:
                              Global Drifter Program hourly data
          summary:
```

In this xarray.Dataset object, the data from the five hundred trajectories (here ordered by ID number by choice) are stored one after the other along the obs dimension. To be able to track the sizes of each consecutive trajectory, we have created a new array variable in this dataset called rowsize which contains all trajectory lengths.

```
[11]: ds.rowsize
[11]: <xarray.DataArray 'rowsize' (traj: 500)>
    array([ 1095, 19132, 6631, ..., 643, 1843, 1176])
```

Coordinates:

```
ID (traj) int64 2592 6428 13566 17927 ... 68244730 68246720 68248530 Dimensions without coordinates: traj
Attributes:
long_name: Number of observations per trajectory
units: -
```

In summary, we find that the structure of such a contiguous ragged array is perhaps not as straightforward as a two-dimensional array, but nevertheless it has several advantages: - Only one file is needed to hold the complete dataset and less storage space is needed than for 2D matrix - The extra rowsize variable is a small addition to the original data that will be useful to access data per trajectory (see next section) - It should be efficient to perform reduce operation (e.g. mean, std, etc.) on the full dataset since the data is stored in a continuous array.

```
[12]: del ds
```

In the second and next step of the notebook, we benchmark different data science *Python* libraries. For this, the following sections present three typical Lagrangian tasks which are conducted successively using *xarray*, *Pandas*, and finally *Awkward Arrays*.

4 Xarray

The xarray package provides a nice html interface that allows us to quickly scroll to the variables of the xr.Dataset, look at its attributes, and get information about the underlying data structure. Xarray also supports reading the data in *chunks* which are pieces of the underlying data, represented as many *NumPy* (or *NumPy*-like) arrays. The size of the *chunks* is critical to optimize advanced algorithms. Using the default settings (with chunks={}}), we can see that there is only one chunk per dimension, since the length of one of the variable can easily fit in memory (click on the disk symbol to the right to expand the information and visually see the chunk size).

```
[14]: ds
```

```
[14]: <xarray.Dataset>
      Dimensions:
                                  (traj: 500, obs: 4786301)
      Coordinates:
          ID
                                  (traj) int64 dask.array<chunksize=(500,),
     meta=np.ndarray>
          longitude
                                  (obs) float32 dask.array<chunksize=(4786301,),
     meta=np.ndarray>
          latitude
                                  (obs) float32 dask.array<chunksize=(4786301,),
      meta=np.ndarray>
          time
                                  (obs) datetime64[ns] dask.array<chunksize=(4786301,),
     meta=np.ndarray>
```

```
(obs) int64 dask.array<chunksize=(4786301,),
    ids
meta=np.ndarray>
Dimensions without coordinates: traj, obs
Data variables: (12/54)
    rowsize
                           (traj) int64 dask.array<chunksize=(500,),
meta=np.ndarray>
                           (traj) bool dask.array<chunksize=(500,),
    location_type
meta=np.ndarray>
                           (traj) int32 dask.array<chunksize=(500,),
    WMO
meta=np.ndarray>
                           (traj) int32 dask.array<chunksize=(500,),
    expno
meta=np.ndarray>
    deploy_date
                           (traj) datetime64[ns] dask.array<chunksize=(500,),
meta=np.ndarray>
                           (traj) float32 dask.array<chunksize=(500,),
    deploy_lon
meta=np.ndarray>
                           (obs) float32 dask.array<chunksize=(4786301,),
    err_sst
meta=np.ndarray>
                           (obs) float32 dask.array<chunksize=(4786301,),
    err_sst1
meta=np.ndarray>
                           (obs) float32 dask.array<chunksize=(4786301,),
    err sst2
meta=np.ndarray>
    flg sst
                           (obs) int8 dask.array<chunksize=(4786301,),
meta=np.ndarray>
    flg sst1
                           (obs) int8 dask.array<chunksize=(4786301,),
meta=np.ndarray>
                           (obs) int8 dask.array<chunksize=(4786301,),
    flg_sst2
meta=np.ndarray>
Attributes: (12/15)
    title:
                       Global Drifter Program hourly drifting buoy collection
                       Version 2.00. Metadata from dirall.dat and deplog.dat
    history:
    Conventions:
                       CF-1.6
    date_created:
                       2022-04-15T15:08:31.898904
                       GDP Drifter DAC
    publisher_name:
    publisher_email:
                       aoml.dftr@noaa.gov
                       https://www.aoml.noaa.gov/phod/dac/dirall.html
    metadata_link:
    contributor name:
                       NOAA Global Drifter Program
    contributor_role:
                       Data Acquisition Center
                       NOAA Atlantic Oceanographic and Meteorological Laboratory
    institution:
    acknowledgement:
                       Elipot et al. (2022) to be submitted. Elipot et al. (2...
                       Global Drifter Program hourly data
    summary:
```

4.1 Xarray test 1: Geographical binning of any variable

The first benchmark test implemented here is to compute statistics per geographical bins. Here we choose to compute the mean of the zonal velocity (ve) from all drifter estimates. From the previous cell, we can see that the size of the chunks were automatically set to the size of obs, leading to one chunk per variable. For this type of bin operations, one chunk is convenient because the complete zonal velocity ragged array variable will be loaded in memory in one operation. In order to perform operations per trajectory (shown below), we will instead set the size of the chunks to be the length of each trajectory, which here would have required subset_nb_drifters operation to load the same data.

Note: chunks should always aligned with the computation to optimize performance (more details in section 5.3.2).

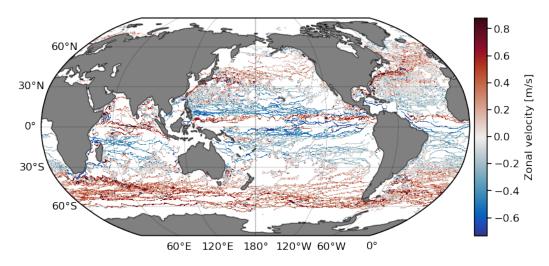
In order to keep track of computing times of each test, we create a variable benchmark_times.

```
[15]: benchmark_times = np.zeros((3,3)) # 3 benchmark tests for the 3 different → processing libraries
```

We calculate the mean zonal velocity using the binned_statistic_2d function from the stats module of the scipy package:

We now plot the results:

```
cmap=cmap,
                    transform=ccrs.PlateCarree(),
                    vmin=v1, vmax=v2)
# gridlines and labels
gl = ax.gridlines(color='k', linewidth=0.1, linestyle='-',
                  xlocs=np.arange(-180, 181, 60), ylocs=np.arange(-90, 91, 30),
                  draw_labels=True)
gl.top_labels = False
gl.right_labels = False
# add land and coastline
ax.add_feature(cfeature.LAND, facecolor='grey', zorder=1)
ax.add_feature(cfeature.COASTLINE, linewidth=0.25, zorder=1)
divider = make_axes_locatable(ax)
cax = divider.append_axes("right", size="3%", pad=0.05, axes_class=plt.Axes)
cb = fig.colorbar(pcm, cax=cax);
cb.ax.set_ylabel('Zonal velocity [m/s]');
```



4.2 Xarray test 2: Extract a given region

Extracting a subregion of the dataset is also a very common operation. *xarray* provides efficient way to apply mask to the data. One important thing to note is that since we have two dimensions, a mask is applied on the obs as well as on the traj dimensions. We first define a function to do the data extraction:

```
Arqs:
      ds: xarray Dataset
      lon: longitude slice of the subregion
      lat: latitude slice of the subregion
      time: tiem slice of the subregion
  Returns:
      ds_subset: Dataset of the subregion
  # define the mask for the 'obs' dimension
  mask = np.ones(ds.dims['obs'], dtype='bool')
  if lon:
      mask &= (ds.coords['longitude'] >= lon[0]).values
      mask &= (ds.coords['longitude'] <= lon[1]).values</pre>
  if lat:
      mask &= (ds.coords['latitude'] >= lat[0]).values
      mask &= (ds.coords['latitude'] <= lat[1]).values</pre>
  if time:
      mask &= (ds.coords['time'] >= np.datetime64(time[0])).values
      mask &= (ds.coords['time'] <= np.datetime64(time[1])).values</pre>
  \hookrightarrow masked observation
  mask_id = np.in1d(ds.ID, np.unique(ds.ids[mask]))
  ds_subset = ds.isel(obs=np.where(mask)[0], traj=np.where(mask_id)[0])
  return ds_subset.compute()
```

Next we use this function to extract the data from the Gulf of Mexico for years 2000 to 2020:

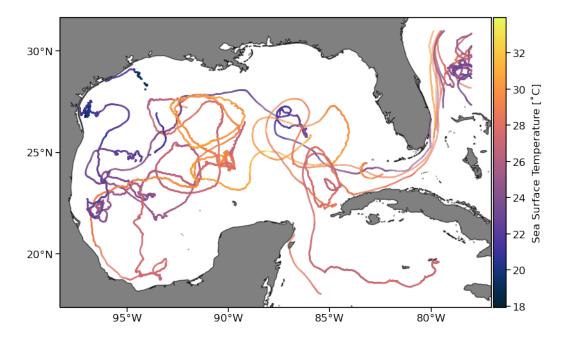
```
[19]: t0 = time.time()

# we need to record the time for benchmarking in the end
lon = [-98, -78]
lat = [18, 31]
day0 = datetime(2000,1,1).strftime('%Y-%m-%d')
day1 = datetime(2020,12,31).strftime('%Y-%m-%d')
days = [day0, day1]
ds_subset = retrieve_region(ds, lon, lat, days)

benchmark_times[0,1] = time.time() - t0
```

For visualization of the results, the sea surface temperature estimates along trajectories from the extracted subregion are plotted in the next cell.

```
[20]: fig = plt.figure(dpi=150)
      ax = fig.add_subplot(1,1,1,projection=ccrs.PlateCarree())
      # temperature data are in Kelvin so we convert to degree Celsius
      pcm = ax.scatter(ds_subset.longitude, ds_subset.latitude,
                     s=0.05, c=ds_subset.sst-273.15, transform=ccrs.PlateCarree(),
                     cmap=cmocean.cm.thermal, vmin=-2, vmax=50)
      ax.add_feature(cfeature.LAND, facecolor='grey', zorder=1)
      ax.add_feature(cfeature.COASTLINE, linewidth=0.25, zorder=1)
      ax.set_xticks(np.arange(-95, -79, 5), crs=ccrs.PlateCarree())
      ax.set_yticks([20, 25, 30], crs=ccrs.PlateCarree())
      ax.xaxis.set_major_formatter(LongitudeFormatter())
      ax.yaxis.set_major_formatter(LatitudeFormatter())
      divider = make_axes_locatable(ax)
      cax = divider.append_axes('right', size='3%', pad=0.02, axes_class=plt.Axes)
      cb = fig.colorbar(pcm, cax=cax)
      cb.set_label('Sea Surface Temperature [°C]')
```



4.3 Xarray test 3: Operations per trajectory

4.3.1 Single statistic per trajectory

The next type of common operation with Lagrangian data is to compute statistics, or perform time series analysis, per trajectory. In order to do this with an *xarray* dataset, we need to create first a variable that indexes the beginning of each trajectory, i.e. we create an array traj_idx in which traj_idx[i] is the starting index of the data for the (i+1)th trajectory (since *Python* uses

zero-based indexing.).

```
[21]: traj_idx = np.insert(np.cumsum(ds.rowsize.values), 0, 0)
     Now, we can retrieve a given variable of a specified trajectory but note that we need to indicate
     both the start and the end indices of the data for that trajectory. For example, get the SST time
     series for the 11th trajectory:
[22]: i = 10
      # .compute() is necessary here to explicitely load the data from the file and
       ⇔execute the operation
      ds.sst[slice(traj_idx[i], traj_idx[i+1])].compute()
[22]: <xarray.DataArray 'sst' (obs: 4151)>
                 nan, 290.941, 290.967, ..., 281.062, 281.037,
      array([
                                                                    nan],
            dtype=float32)
      Coordinates:
          longitude
                      (obs) float32 -55.01 -55.01 -55.01 -55.0 ... -25.87 -25.85 -25.84
          latitude
                      (obs) float32 -39.96 -39.95 -39.95 ... -45.79 -45.78 -45.78
          time
                      (obs) datetime64[ns] 2002-12-18T19:00:00 ... 2003-06-13T07:00:00
                      (obs) int64 27131 27131 27131 27131 ... 27131 27131 27131 27131
          ids
      Dimensions without coordinates: obs
      Attributes:
          long_name: Fitted sea water temperature
          units:
                       Kelvin
                       Estimated near-surface sea water temperature from drifting bu...
          comments:
     The same sst variable can be retrieved by using the drifter's ID:
[23]: id ex = ds.ID[i].values # id of the i+1 th trajectory as an example
      id_ex
[23]: array(27131)
[24]: | j = int(np.where(ds.ID == id ex)[0]) # retrieve back the index
      ds.sst[slice(traj_idx[j], traj_idx[j+1])].compute()
[24]: <xarray.DataArray 'sst' (obs: 4151)>
                 nan, 290.941, 290.967, ..., 281.062, 281.037,
      array([
                                                                    nan],
            dtype=float32)
      Coordinates:
          longitude (obs) float32 -55.01 -55.01 -55.01 -55.0 ... -25.87 -25.85 -25.84
                      (obs) float32 -39.96 -39.95 -39.95 ... -45.79 -45.78 -45.78
          latitude
          time
                      (obs) datetime64[ns] 2002-12-18T19:00:00 ... 2003-06-13T07:00:00
                      (obs) int64 27131 27131 27131 27131 ... 27131 27131 27131 27131
          ids
      Dimensions without coordinates: obs
      Attributes:
```

long_name: Fitted sea water temperature

units: Kelvin comments: Estimated near-surface sea water temperature from drifting bu...

Once we know the indices of the trajectory of interest, we can calculate statistics like mean() or other reducing operations on one, or a list of variables:

```
Dimensions: ()
Coordinates:
ID int64 27131
Data variables:
```

float32 0.1437 ve πv float32 -0.04261 float32 1.479e+04 gap float32 0.007155 err_lat err lon float32 0.01117 sst float32 285.7 sst1 float32 285.7 sst2 float32 -0.003311 err_sst float32 0.07059 err sst1 float32 0.02489 err_sst2 float32 0.06399

4.3.2 Operations per trajectory

As previously noted, to perform the same operation per trajectory, it is more efficient to align the *chunks* with the trajectories. This is done by settings the size of the *chunks* equal to the dimensions of the trajectories. However, here we need to know the lengths of all the trajectories in the order they are arranged in the ragged array *before* actually loading the dataset with *xarray*. This is clearly not practical as *xarray* does not provide an obvious mechanism to align the data chunks with specified indices.

```
[26]: rowsize = np.zeros(len(files), dtype='int')
for i, file in enumerate(files):
    with xr.open_dataset(file, decode_times=False) as ds_t:
        rowsize[i] = ds_t.sizes['obs']
```

```
[27]: ds.close() # close previously loaded xr.Dataset

# align chunks of the dask array with the length of each individual trajectory
chunk_settings = {'obs': tuple(rowsize.tolist())}
```

```
ds = xr.open_dataset('data/gdp_subset.nc', chunks=chunk_settings)
```

Variables such as the longitude, are now split into subset_nb_drifters chunks which have different sizes and where the maximum size is indicated by the Shape characteristic of the *xarray.DataArray* objects. As an example for longitude:

```
[28]: ds.longitude
[28]: <xarray.DataArray 'longitude' (obs: 4786301)>
      dask.array<open_dataset-b0032d8d79852f020f9824537549ec49longitude,
      shape=(4786301,), dtype=float32, chunksize=(50655,), chunktype=numpy.ndarray>
      Coordinates:
          longitude
                     (obs) float32 dask.array<chunksize=(1095,), meta=np.ndarray>
                     (obs) float32 dask.array<chunksize=(1095,), meta=np.ndarray>
          latitude
                     (obs) datetime64[ns] dask.array<chunksize=(1095,),
          time
     meta=np.ndarray>
                     (obs) int64 dask.array<chunksize=(1095,), meta=np.ndarray>
          ids
     Dimensions without coordinates: obs
      Attributes:
          long_name: Longitude
          units:
                      degrees east
     Naturally, now loading a trajectory always require loading only one chunk.
[29]: i = 10
      ds.longitude.isel({'obs': slice(traj_idx[i], traj_idx[i+1])})
[29]: <xarray.DataArray 'longitude' (obs: 4151)>
      dask.array<getitem, shape=(4151,), dtype=float32, chunksize=(4151,),
      chunktype=numpy.ndarray>
      Coordinates:
          longitude (obs) float32 dask.array<chunksize=(4151,), meta=np.ndarray>
                     (obs) float32 dask.array<chunksize=(4151,), meta=np.ndarray>
          latitude
                     (obs) datetime64[ns] dask.array<chunksize=(4151,),
          time
     meta=np.ndarray>
                     (obs) int64 dask.array<chunksize=(4151,), meta=np.ndarray>
          ids
      Dimensions without coordinates: obs
      Attributes:
          long_name: Longitude
```

Simple operation by chunks (or blocks) To perform arbitrary operation per trajectory, we can now use map_blocks() that applies a function per chunk (block is also used for chunks). In the example below we simply define the function func that calculates the mean of each block of an array x. Note that this requires loading nb_subset_drifters chunks and applying the operation.

degrees_east

units:

More complex operation where dimensions can change between input and output Alternatively, one can use the *xarray* function apply_ufunc to map a function per chunks. In this case, the function to be applied can be a bit more complex, but this method gives more flexibility to handle the input and output formats and dimensions of the function.

In a first example, the eastward velocity is passed as an argument by chunk to the function which will calculate the anomaly per trajectory. The length of the output will be of the same length as the input. Please note here that:

- 1. The input and output sizes are not modified.
- 2. An argument must be passed to the function. It shouldn't be ds.ve (the xarray DataArray), but the ds.ve.data (the underlying dask core data array) must be used.

```
[32]: def per_chunk_anomaly(array):
    # MUST return an array (https://github.com/dask/dask/issues/8822)
    return array.map_blocks(lambda x: x-np.nanmean(x), chunks=array.chunks)
```

CPU times: user 102 ms, sys: 27.4 ms, total: 129 ms Wall time: 110 ms

And display some results:

```
[34]: display(ret) # only a few values will be shown by default display(len(ret)) # length of the output should be same as length of input
```

```
array([0.02569735, 0.02569735, 0.02599735, ..., 0.13741829, 0.13441828, 0.12031829], dtype=float32)
```

4786301

In a second example, we calculates mean() values per trajectory. This means that for our datasets consisting of nb_subset_drifters chunks, ttput will have nb_subset_drifters values, that is one value per chunk. In other words, the length of the output will be different from the length of the input.

```
ret = xr.apply_ufunc(
    per_chunk_mean,
    ds.ve.data,
    input_core_dims=[['obs']], # input dimension to the per_block function
    output_core_dims=[['obs']], # output still has one dimension
    exclude_dims=set(['obs']]), # size of x changes so it has to be in the_
exclude_dims param
    dask='allowed',
).compute()
```

```
CPU times: user 94.6 ms, sys: 18.6 ms, total: 113 ms Wall time: 96.2 ms
```

And display some results:

```
[37]: display(ret[:10]) # show only first 10 values display(len(ret)) # length of output should be the number of trajectories
```

```
array([-0.03099735, 0.14964446, 0.152546 , 0.15595299, 0.01793322, -0.01830383, 0.03096705, -0.03741267, -0.00138449, 0.03001278], dtype=float32)
```

500

In a last example, we estimate ocean velocity rotary spectra of the complex-valued time series u+vi via the periodogram method (and using the *NumPy* fft function). Here, the combination of the two methods apply_ufunc and map_blocks is a powerful tool which can serve as templates for a range of advanced processing applied per trajectory.

First, we create the new complex-valued variable cv which inherits the chunks from ve and vn:

```
[38]: ds = ds.assign(cv = ds['ve'] + 1j*ds['vn'])
      ds.cv
[38]: <xarray.DataArray 'cv' (obs: 4786301)>
      dask.array<add, shape=(4786301,), dtype=complex64, chunksize=(50655,),
      chunktype=numpy.ndarray>
      Coordinates:
          longitude (obs) float32 dask.array<chunksize=(1095,), meta=np.ndarray>
                     (obs) float32 dask.array<chunksize=(1095,), meta=np.ndarray>
          latitude
                     (obs) datetime64[ns] dask.array<chunksize=(1095,),
          time
     meta=np.ndarray>
          ids
                     (obs) int64 dask.array<chunksize=(1095,), meta=np.ndarray>
      Dimensions without coordinates: obs
[39]: def per_chunk_periodogram(array):
          dt = 1/24 \# [-]
          return array.map_blocks(lambda x: dt*np.abs(np.fft.fft(x))**2, chunks=array.
       ⇔chunks)
```

Here we benchmark this last, more complex, operation:

```
[40]: t0 = time.time()

ret = xr.apply_ufunc(
    per_chunk_periodogram,
    ds['cv'].data,
    input_core_dims=[["obs"]], # input dimension to the per_block function
    output_core_dims=[["obs"]], # output still has one dimension
    dask='allowed'
).compute()

benchmark_times[0,2] = time.time() - t0
```

The return variable is the same length as ds['cv'], and is a ragged array of estimated rotary spectra by the periodogram method.

(Please note: the periodogram is not a good spectral estimator at all and alternative methods such as multitaper methods should be used to estimate spectra. Please also note that we assumed that the individual trajectories were gap-free at regular hourly intervals which is required to apply a FFT. However, some velocity time series have gaps, in which case the FFT calculation is not valid)

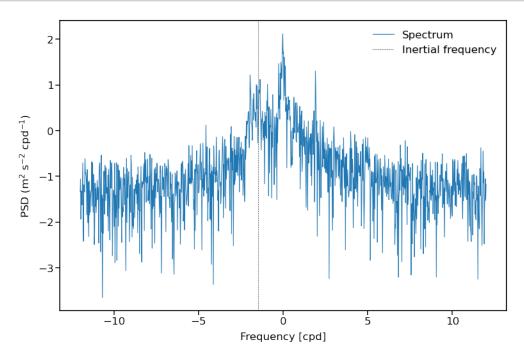
Display one example spectrum:

```
[41]: i = 0 # drifter index

[42]: # calculate inertial frequency in cycle per day [cpd] from the mean latitude of □

→ trajectory
```

```
omega = 7.2921159e-5 # Earth's rotation rate [rad/s]
seconds_per_day = 60*60*24 # [s]
fi = -2*omega*(seconds_per_day/(2*np.pi))*np.sin(np.radians(ds.
 →latitude[traj_idx[i]:traj_idx[i+1]]).mean().compute())
# define frequency scale/abscissa
dt = 1/24 \# [-]
f = np.fft.fftfreq(int(ds.rowsize[i].compute()), dt)
ss = np.log10(ret[traj_idx[i]:traj_idx[i+1]]) # spectrum of the ith trajectory
fig = plt.figure(dpi=150)
ax = fig.add_subplot(1,1,1)
h1, = ax.plot(np.fft.fftshift(f), np.fft.fftshift(ss), scaley=True,
 →label='Spectrum', linewidth=0.5)
h2 = ax.axvline(x=fi,color='k',linestyle=':', label='Inertial frequency', L
 ⇒linewidth=0.5)
ax.legend(frameon=False)
ax.set_ylabel('PSD (m$^2$ s$^{-2}$ cpd$^{-1}$)')
ax.set_xlabel('Frequency [cpd]');
```



At this stage it is necessary to *clear the memory* (RAM) of the *Python* process because in the next step, the data are fully loaded in memory by using Pandas.

```
[43]: del ds, ds_subset, ret, traj_idx, stats_traj, rowsize, x_c, y_c
```

5 Pandas

In this section, we test the use of the *Pandas* package for our Lagrangian data. Since the previously-created NetCDF file containing the ragged array has two dimensions ['traj'] and ['obs'], it cannot be read directly into the table structure of a pandas.DataFrame(). As a workaround, we load the data with *xarray*, drop the ['traj'] dimension, and convert the resulting data to a pandas.DataFrame(). The disadvantage is that it requires to form a second dataset with the dimension['traj'] to have access to all variables (not presented here).

CPU times: user 156 ms, sys: 243 ms, total: 399 ms

Wall time: 410 ms

In this case, the data is organized in a table format where each variable is associated with a column, and each row contains one observation. A *DataFrame* is easy to quickly look at, with the .head(), .tail() functions as an example:

[45]:	df.	head()								
[45]:	obs	ve	vn	gap	err_lat	err_lon	err_ve	err_vn	drogue_status	\
	0	-0.0053	0.0004	15638.0	0.00391	0.00797	0.0650	0.0279	False	
	1	-0.0053	0.0007	15638.0	0.00345	0.00676	0.0603	0.0284	False	
	2	-0.0050	0.0015	15638.0	0.00313	0.00586	0.0548	0.0290	False	
	3	-0.0037	0.0035	15638.0	0.00288	0.00503	0.0511	0.0297	False	
	4	-0.0044	0.0031	13651.0	0.00292	0.00475	0.0509	0.0313	False	
			sst	sst1	err_ss	t err_ss	st1 err_	sst2 flg	g_sst \	
	obs				•••					
	0	273.471		.126007	0.07			. 236	5	
	1	273.100	006 273	3.760010	0.07			. 185	5	
	2	272.869	995 273	5.513000	0.08	6 0.1	.13 0	.142	5	
	3	272.752	991 273	3.334015	0.09	6 0.0	089	.123	5	
	4	272.743	988 273	3.213013	0.10	2 0.0	78 0	.118	5	
		flg_sst	1 flg_s	st2 long	gitude l	atitude		tin	ne ids	
	obs									
	0		5	4 -52.3	393002 47	.307461 2	2001-05-0	1 08:00:0	00 2592	
	1		5	4 -52.3	393070 47	.307590 2	2001-05-0	1 09:00:0	00 2592	
	2		5	4 -52.3	392960 47	.307861 2	2001-05-0	1 10:00:0	00 2592	
	3		5	4 -52.3	392208 47	.308449 2	2001-05-0	1 11:00:0	00 2592	
	4		5	4 -52.3	391151 47	.309021 2	2001-05-0	1 12:00:0	00 2592	

[5 rows x 21 columns]

```
[46]: print(f'This subset contains {len(np.unique(df.ids))} drifters and {len(df):,}

→observations.')
```

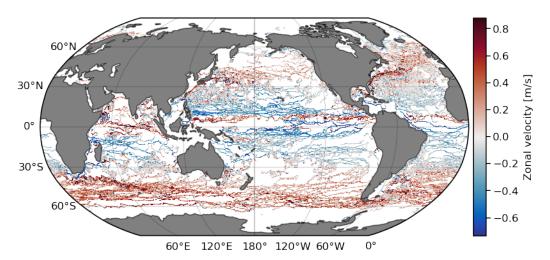
This subset contains 500 drifters and 4,786,301 observations.

5.1 Pandas test 1: Geographical binning of any variable

Here we reconduct the first bench test: calculate the mean zonal velocity from all drifters of the dataset.

```
[48]: x c = np.convolve(lon, [0.5, 0.5], mode='valid')
      y_c = np.convolve(lat, [0.5, 0.5], mode='valid')
      # get 1st and 99th percentiles of values to plot to get a useful range for the
      v1,v2 = np.nanpercentile(ret.statistic.T,[1,99])
      fig = plt.figure(dpi=150)
      ax = fig.add_subplot(1,1,1,projection=ccrs.Robinson(central_longitude=-180))
      cmap = cmocean.tools.crop(cmocean.cm.balance, vmin=v1, vmax=v2, pivot=0)
      pcm = ax.pcolormesh(x_c, y_c,
                          ret.statistic.T,
                          cmap=cmap,
                          transform=ccrs.PlateCarree(),
                          vmin=v1, vmax=v2)
      # gridlines and labels
      gl = ax.gridlines(color='k', linewidth=0.1, linestyle='-',
                        xlocs=np.arange(-180, 181, 60), ylocs=np.arange(-90, 91, 30),
                        draw labels=True)
      gl.top_labels = False
      gl.right_labels = False
      # add land and coastline
      ax.add_feature(cfeature.LAND, facecolor='grey', zorder=1)
      ax.add_feature(cfeature.COASTLINE, linewidth=0.25, zorder=1)
```

```
divider = make_axes_locatable(ax)
cax = divider.append_axes("right", size="3%", pad=0.05, axes_class=plt.Axes)
cb = fig.colorbar(pcm, cax=cax);
cb.ax.set_ylabel('Zonal velocity [m/s]');
```



5.2 Pandas test 2: Extract a given region

The extraction of a region is slightly simpler with *Pandas* than with *xarray*, because the same mask can be applied to all variables of interest that share the obs dimension.

```
df.time < day1,
                                 )
                                 )
         return df.loc[mask]
[50]: t0 = time.time()
      lon = [-98, -78]
      lat = [18, 31]
      day0 = datetime(2000, 1, 1)
      day1 = datetime(2020, 12, 31)
      days = [day0, day1]
      df_subset = retrieve_region_pd(df, lon, lat, days)
      benchmark_times[1,1] = time.time() - t0
[51]: df_subset.head()
[51]:
                                 gap err_lat err_lon err_ve err_vn \
                 ve
                          νn
      obs
      902352 -0.3192 -0.0632
                             10886.0 0.00611 0.01212 0.1262 0.0633
      902353 -0.4248 -0.0653
                             10886.0 0.00193 0.01652 0.1244
                                                               0.0656
      902354 -0.1182 -0.0115
                              4925.0 0.00219 0.01249 0.1813 0.0548
      902355 -0.0863 -0.0425
                              1210.0 0.00063 0.00932 0.1501
                                                                0.0463
      902356 0.0080 -0.0562
                              4925.0 0.00415 0.00690 0.1936 0.0922
             drogue_status
                                                       err_sst err_sst1 \
                                   sst
                                              sst1 ...
      obs
      902352
                     False 301.339996
                                        301.382996
                                                         0.022
                                                                   0.007
      902353
                     False 301.377014
                                        301.386993
                                                         0.021
                                                                   0.007
                     False 301.417999
                                        301.390015
      902354
                                                         0.018
                                                                   0.006
      902355
                     False 301.457001 301.391998 ...
                                                         0.015
                                                                   0.006
      902356
                     False 301.487000 301.394012 ...
                                                         0.013
                                                                   0.005
             err_sst2 flg_sst flg_sst1 flg_sst2 longitude
                                                                latitude \
      obs
      902352
                0.019
                             5
                                       5
                                                 5 -78.007187
                                                               30.198179
      902353
                0.018
                             5
                                       5
                                                 2 -78.036041
                                                               30.196760
                                                 5 -78.044693
                             5
                                       5
      902354
                0.016
                                                               30.193790
      902355
                0.014
                             5
                                       5
                                                 5 -78.048553
                                                               30.192690
      902356
                0.012
                             5
                                       5
                                                 5 -78.045929
                                                               30.191130
                           time
                                   ids
      obs
      902352 2006-09-15 14:00:00 54388
      902353 2006-09-15 15:00:00
                                 54388
```

```
      902354
      2006-09-15
      16:00:00
      54388

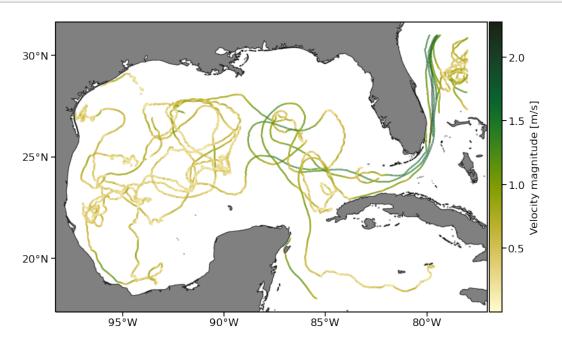
      902355
      2006-09-15
      17:00:00
      54388

      902356
      2006-09-15
      18:00:00
      54388
```

[5 rows x 21 columns]

Here we plot the result as before but displaying the speed for each data point:

```
[52]: fig = plt.figure(dpi=150)
      ax = fig.add_subplot(1,1,1,projection=ccrs.PlateCarree())
      pcm = ax.scatter(df_subset.longitude, df_subset.latitude,
                     s=0.05, c=np.sqrt(df_subset.ve**2+df_subset.vn**2),__
       ⇔transform=ccrs.PlateCarree(),
                     cmap=cmocean.cm.speed)
      ax.add_feature(cfeature.LAND, facecolor='grey', zorder=1)
      ax.add_feature(cfeature.COASTLINE, linewidth=0.25, zorder=1)
      ax.set_xticks(np.arange(-95, -79, 5), crs=ccrs.PlateCarree())
      ax.set_yticks([20, 25, 30], crs=ccrs.PlateCarree())
      ax.xaxis.set_major_formatter(LongitudeFormatter())
      ax.yaxis.set_major_formatter(LatitudeFormatter())
      divider = make_axes_locatable(ax)
      cax = divider.append_axes('right', size='3%', pad=0.02, axes_class=plt.Axes)
      cb = fig.colorbar(pcm, cax=cax)
      cb.set_label('Velocity magnitude [m/s]')
```



5.3 Pandas test 3: Single statistic per trajectory

Single operation per trajectory can be easily implemented in a *Pandas DataFrame* by locating the drifter ID by index (iloc) or by value (loc).

```
[53]: i = 10
              # drifter index
[54]:
     df.iloc[np.where(df['ids'] == np.unique(df['ids'])[i])].mean(numeric_only=False)
[54]: ve
                                              0.143713
                                             -0.042606
      vn
                                           14790.94043
      gap
      err_lat
                                              0.007155
      err_lon
                                               0.01117
      err_ve
                                              0.095038
                                              0.064061
      err_vn
      drogue_status
                                              0.998073
      sst
                                            285.678101
      sst1
                                            285.681427
                                             -0.003311
      sst2
                                              0.070594
      err_sst
      err_sst1
                                              0.024895
                                              0.063991
      err_sst2
      flg_sst
                                              4.997591
      flg_sst1
                                              4.997591
      flg_sst2
                                              3.857866
      longitude
                                            -45.807869
      latitude
                                            -45.521275
      time
                        2003-03-17 05:04:36.656227328
      ids
                                               27131.0
      dtype: object
```

Assuming we know a drifter ID id_ex (here we simply use the value associated to the ith index above), we can retrieve the associated data by value as follow.

```
[55]: id_ex = np.unique(df['ids'])[i]
      id_ex
[55]: 27131
[56]: df.loc[df['ids'] == id_ex].mean(numeric_only=False)
[56]: ve
                                              0.143713
                                             -0.042606
      vn
                                           14790.94043
      gap
                                              0.007155
      err_lat
      err_lon
                                               0.01117
      err_ve
                                              0.095038
```

```
0.064061
err_vn
                                        0.998073
drogue_status
sst
                                      285.678101
sst1
                                      285.681427
sst2
                                       -0.003311
err_sst
                                        0.070594
                                        0.024895
err_sst1
err_sst2
                                        0.063991
flg sst
                                        4.997591
flg_sst1
                                        4.997591
flg sst2
                                        3.857866
longitude
                                      -45.807869
latitude
                                      -45.521275
time
                  2003-03-17 05:04:36.656227328
ids
                                         27131.0
dtype: object
```

Name: sst, Length: 500, dtype: float32

For more complicated operations per trajectory, the pandas <code>groupby()</code> function allows us to split the <code>DataFrame</code>. Applied to the <code>ids['obs']</code> (which repeat the ID of a drifter for each of its observations), we can obtain a <code>grouped object</code> containing one trajectory per group. Following this operation, one can apply a predefined set of operations, such as <code>mean()</code>, or any user defined functions using <code>.apply()</code>. As before, we provide an example where we can calculate the mean of SST per trajectory, and another example of estimating velocity spectra by the periodogram method.

```
[57]: %%time
      ret = df.groupby("ids").sst.mean()
      ret
     CPU times: user 50.2 ms, sys: 9.67 ms, total: 59.9 ms
     Wall time: 59.5 ms
[57]: ids
      2592
                   275.799561
      6428
                   280.232697
      13566
                  277.829529
      17927
                   282.865875
      18706
                   293.740936
      68243870
                  273.882111
      68244460
                   273.744598
      68244730
                  273.515564
      68246720
                  296.040009
      68248530
                  286.112793
```

Again, to estimate the velocity spectra we have to create the new complex-valued variable cv from ve and vn:

```
[58]: df['cv'] = df['ve'] + 1j*df['vn']
```

And calculate the periodogram per trajectory using this new variable:

```
[59]: def periodogram_per_group(array):
    dt = 1/24
    return dt*np.abs(np.fft.fft(array))**2
```

```
[60]: t0 = time.time()
ret = df.groupby("ids").cv.apply(periodogram_per_group)
benchmark_times[1,2] = time.time() - t0
```

This time, the results are stored into a *dictionary* where the keys are the drifters' ID:

```
[61]: ret
[61]: ids
                   [48.01623216121766, 52.74661085729767, 4.63967...
      2592
                   [341830.5755422627, 53330.50826245666, 857.134...
      6428
      13566
                   [46152.48004791965, 6588.974982702436, 1458.62...
      17927
                   [325605.62376754, 63676.24022864982, 11794.261...
      18706
                   [1076.965552542786, 773.9372866187755, 311.397...
                   [127.7695912061453, 215.20477509848652, 315.08...
      68243870
      68244460
                   [301.4939495649164, 64.02978051130118, 424.069...
                   [383.1069530335443, 67.31997165755263, 7.50842...
      68244730
                   [308.7263083474708, 1125.3042873617292, 4771.3...
      68246720
      68248530
                   [49.898529559024354, 2.2134116883119983, 15.66...
      Name: cv, Length: 500, dtype: object
```

As an example, we display the first 10 values of the sprctrum for drifter id_ex:

```
[62]: ret[id_ex][:10]

[62]: array([16131.45017614, 16040.80300053, 3017.6902432, 6700.41755415, 311.93297982, 1555.5981903, 757.26867001, 7200.28268359, 891.88959794, 1036.35997949])

[63]: del df, df_subset, ret, x_c, y_c # again free the memory before the next⊔ ⇒section
```

6 Awkward Array

Awkward Array is a library for nested, variable-sized data, including arbitrary-length lists, records, mixed types, and missing data, using NumPy-like idioms. Such arrays are dynamically typed (defined at runtime as a function of the variable to store), but operations on them are compiled

into machine code and therefore executes faster than regular interpreted Python function. This allow to generalizes NumPy array manipulation routines, even with variable-sized data.

A schematic view of the data structure is presented in the figure below. The higher level ak.Array and ak.Record hides the nested structure of the data structure, but can always be accessed with ak.Array.layout (or ds_ak.layout in our case). This structure also stores parameters or metadata such as *units*, *long name*, etc. associated with the data. The library stores data into standard NumPyArray alongside a ListOffsetArray for fast and efficient access to variables, even with nonuniform data length.

The main advantages of Awkward Array are: - the indexing is already taken care of by the library, e.g. sst[400] returns the sea surface temperature along the 401st trajectory; - ability to efficiently perform operations per trajectory; - easy integration with Numba (demonstrated below in section 7.4).

An Awkward array can easily be created by reading our NetCDF file:

```
[64]: ds_ak = create_ak(xr.open_dataset('data/gdp_subset.nc', decode_times=False))
```

Awkward Arrays supports N-dimensional datasets by storing nested arrays. The main dataset contains variables (type = subset_nb_drifters * {various type}) containing the metadata.

```
[65]: ds_ak
```

Awkward Array doesn't (yet!) have an html representation of its structure, but it is possible to list the fields.

```
[66]: ds_ak.fields # list of fields/variables
```

```
[66]: ['ID',
       'rowsize',
       'location_type',
       'WMO',
       'expno',
       'deploy_date',
       'deploy_lat',
       'deploy_lon',
       'end_date',
       'end_lat',
       'end lon',
       'drogue lost date',
       'type_death',
       'type_buoy',
       'DeploymentShip',
       'DeploymentStatus',
       'BuoyTypeManufacturer',
       'BuoyTypeSensorArray',
```

```
'CurrentProgram',
'PurchaserFunding',
'SensorUpgrade',
'Transmissions',
'DeployingCountry',
'DeploymentComments',
'ManufactureYear',
'ManufactureMonth',
'ManufactureSensorType',
'ManufactureVoltage',
'FloatDiameter',
'SubsfcFloatPresence',
'DrogueType',
'DrogueLength',
'DrogueBallast',
'DragAreaAboveDrogue',
'DragAreaOfDrogue',
'DragAreaRatio',
'DrogueCenterDepth',
'DrogueDetectSensor',
'obs']
```

If we peak inside one of the field (or variable), we can see the associated type. For example, the drifters' ID have a type=subset_nb_drifters * int64.

```
[67]: ds_ak.ID # one variable
```

```
[67]: <Array [2592, 6428, ... 68246720, 68248530] type='500 *
    int64[parameters={"attrs...'>
```

If we display the last field 'obs', we can see that it is a nested Awkward Array and contains all variables with type=(subset_nb_drifters * var * dtype) containing data along trajectories.

```
[68]: ds_ak.obs
```

```
[68]: <Array [{longitude: [-52.4, -52.4, ... 5, 5]}] type='500 * {"longitude": [var * ...'>
```

The library includes the function ak.flatten(), similar to np.flatten() to concatenate all observations for one variable into a unidimensional array. As an example, with 'sst':

Total length of the data inside 'obs' field 'sst' are 4,786,301.

```
[69]: <Array [273, 273, 273, 273, ... 287, 288, 288] type='4786301 * float32'>
```

A nested structure is utilized to efficiently store N-dimensional dataset, in our case we first have the fields with dimension ['traj'], followed by the fields with dimension ['obs'] stored inside the array ds_ak.obs.

```
[70]: ds_ak.obs.fields
[70]: ['longitude',
       'latitude',
       'time',
       'ids',
       've',
       'vn',
       'gap',
       'err_lat',
       'err_lon',
       'err_ve',
       'err_vn',
       'drogue_status',
       'sst',
       'sst1',
       'sst2',
       'err_sst',
       'err_sst1',
       'err_sst2',
       'flg_sst',
       'flg_sst1',
       'flg_sst2']
```

For example, the type of the sst field, stored at ds_ak.obs.sst, is 17324 * var * float32. This means that there are 17324 trajectories of variable size of float32.

```
[71]: ds_ak.obs.sst
```

```
[71]: <Array [[273, 273, 273, ... 287, 288, 288]] type='500 * [var * float32, paramete...'>
```

The data along the trajectory of a specific drifter can easily be retrieved using an index.

```
[72]: i = 0
ds_ak.obs.sst[i]
```

```
[72]: <Array [273, 273, 273, 273, ... 280, 280, nan] type='1095 * float32'>
```

The same data can be extracted from the drifter ID:

```
[73]: id_ex = ds_ak.ID[i] # id of the ith trajectory as an example display(id_ex)
i = np.where(ds_ak.ID == id_ex)[0][0]
ds_ak.obs.sst[i]
```

```
[73]: <Array [273, 273, 273, 273, ... 280, 280, nan] type='1095 * float32'>
```

And the data along a specific trajectory can be accessed using a second index. As an example, the first sst value of the ith trajectory:

```
[74]: ds_ak.obs.sst[i][0] # [K]
```

[74]: 273.47198486328125

Metadata or attributes are store for the global Array ds_ak.layout.parameters, as well as for each individual variable (ds_ak.obs.sst.layout.parameters), similarly to global attributes and variable attributes in a NetCDF file. Those attributes are stored as a parameter in the ak.RecordArray (obtained with the .layout member), which summarizes the data fields, the types, and the shapes of the data itself

```
of the data itself.
[75]: ds_ak.layout.parameters
[75]: {'attrs': {'title': 'Global Drifter Program hourly drifting buoy collection',
        'history': 'Version 2.00. Metadata from dirall.dat and deplog.dat',
        'Conventions': 'CF-1.6',
        'date_created': '2022-04-15T15:08:31.898904',
        'publisher_name': 'GDP Drifter DAC',
        'publisher_email': 'aoml.dftr@noaa.gov',
        'publisher_url': 'https://www.aoml.noaa.gov/phod/gdp',
        'licence': 'MIT License',
        'processing_level': 'Level 2 QC by GDP drifter DAC',
        'metadata link': 'https://www.aoml.noaa.gov/phod/dac/dirall.html',
        'contributor_name': 'NOAA Global Drifter Program',
        'contributor_role': 'Data Acquisition Center',
        'institution': 'NOAA Atlantic Oceanographic and Meteorological Laboratory',
        'acknowledgement': 'Elipot et al. (2022) to be submitted. Elipot et al.
      (2016). Global Drifter Program quality-controlled hourly interpolated data from
      ocean surface drifting buoys, version 2.00. NOAA National Centers for
      Environmental Information.
      https://agupubs.onlinelibrary.wiley.com/doi/full/10.1002/2016JC011716TBA.
      Accessed [date].',
        'summary': 'Global Drifter Program hourly data'}}
[76]: ds_ak.layout.parameters
[76]: {'attrs': {'title': 'Global Drifter Program hourly drifting buoy collection',
        'history': 'Version 2.00. Metadata from dirall.dat and deplog.dat',
        'Conventions': 'CF-1.6',
        'date_created': '2022-04-15T15:08:31.898904',
        'publisher_name': 'GDP Drifter DAC',
        'publisher_email': 'aoml.dftr@noaa.gov',
```

```
'publisher_url': 'https://www.aoml.noaa.gov/phod/gdp',
        'licence': 'MIT License',
        'processing_level': 'Level 2 QC by GDP drifter DAC',
        'metadata_link': 'https://www.aoml.noaa.gov/phod/dac/dirall.html',
        'contributor_name': 'NOAA Global Drifter Program',
        'contributor_role': 'Data Acquisition Center',
        'institution': 'NOAA Atlantic Oceanographic and Meteorological Laboratory',
        'acknowledgement': 'Elipot et al. (2022) to be submitted. Elipot et al.
      (2016). Global Drifter Program quality-controlled hourly interpolated data from
      ocean surface drifting buoys, version 2.00. NOAA National Centers for
      Environmental Information.
     https://agupubs.onlinelibrary.wiley.com/doi/full/10.1002/2016JC011716TBA.
      Accessed [date].',
        'summary': 'Global Drifter Program hourly data'}}
[77]: ds_ak.obs.sst.layout.parameters
[77]: {'attrs': {'long_name': 'Fitted sea water temperature',
```

6.1 Awkward Array test 1: Geographical binning of any variable

'units': 'Kelvin',

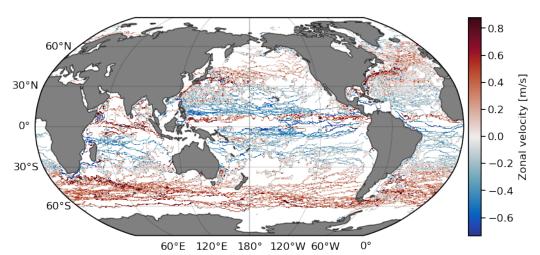
occur because of rounding.'}}

Again, we reconduct the first bench test: calculate the mean zonal velocity from all drifters of the dataset. For operations apply on the full dataset, we use the ak.flatten() function to retrieve the underlying unidimensional NumPy array containing all the observations for all drifters.

'comments': 'Estimated near-surface sea water temperature from drifting buoy measurements. It is the sum of the fitted near-surface non-diurnal sea water temperature and fitted diurnal sea water temperature anomaly. Discrepancies may

```
[79]: x_c = np.convolve(lon, [0.5, 0.5], mode='valid')
y_c = np.convolve(lat, [0.5, 0.5], mode='valid')
```

```
# get 1st and 99th percentiles of values to plot to get a useful range for the _{f U}
 ⇔colorscale
v1,v2 = np.nanpercentile(ret.statistic.T,[1,99])
fig = plt.figure(dpi=150)
ax = fig.add subplot(1,1,1,projection=ccrs.Robinson(central longitude=-180))
cmap = cmocean.tools.crop(cmocean.cm.balance, vmin=v1, vmax=v2, pivot=0)
pcm = ax.pcolormesh(x_c, y_c,
                    ret.statistic.T,
                    cmap=cmap,
                    transform=ccrs.PlateCarree(),
                    vmin=v1, vmax=v2)
# gridlines and labels
gl = ax.gridlines(color='k', linewidth=0.1, linestyle='-',
                  xlocs=np.arange(-180, 181, 60), ylocs=np.arange(-90, 91, 30),
                  draw labels=True)
gl.top_labels = False
gl.right labels = False
# add land and coastline
ax.add_feature(cfeature.LAND, facecolor='grey', zorder=1)
ax.add_feature(cfeature.COASTLINE, linewidth=0.25, zorder=1)
divider = make_axes_locatable(ax)
cax = divider.append_axes("right", size="3%", pad=0.05, axes_class=plt.Axes)
cb = fig.colorbar(pcm, cax=cax);
cb.ax.set_ylabel('Zonal velocity [m/s]');
```



6.2 Awkward Array test 2: Extract a given region

With Awkward Array, the extraction of a subregion is slightly more complex than with Pandas, since here the dataset contains both variables with dimension ['traj'] and variables with dimension ['obs']. Note that comparisons can be performed on the bidimensional non-regular ak.Array (e.g. ds.obs.longitude, ds.obs.latitude, ds.obs.time) without looping.

```
[80]: def retrieve_region_ak(ds: ak.Array, lon: list = None, lat: list = None, days:
       ⇔list = None) -> ak.Array:
          '''Subset the dataset for a region in space and time
          Arqs:
              ds: Awkward Array
              lon: longitude slice of the subregion
              lat: latitude slice of the subregion
              days: days slice of the subregion
          Returns:
              ds_subset: Dataset of the subregion
          mask = functools.reduce(np.logical_and,
                                       ds.obs.longitude > lon[0],
                                       ds.obs.longitude < lon[1],
                                       ds.obs.latitude > lat[0],
                                       ds.obs.latitude < lat[1],</pre>
                                       ds.obs.time > days[0],
                                       ds.obs.time < days[1],</pre>
                                  )
                                  )
          ds_s = ak.copy(ds)
          mask_id = np.in1d(ds s.ID, np.unique(ak.flatten(ds s.obs.ids[mask])))
          ds_s = ds_s[mask_id] # mask for variables with dimension ['traj']
          ds s.obs = ds s.obs[mask[mask id]] # mask for variables with dimension
       →['obs']
          return ds_s
```

```
[81]: t0 = time.time()

lon = [-98, -78]
lat = [18, 31]
day0 = (datetime(2000,1,1) - datetime(1970,1,1)).total_seconds()
day1 = (datetime(2020,12,31) - datetime(1970,1,1)).total_seconds()
days = [day0, day1]
ds_subset = retrieve_region_ak(ds_ak, lon, lat, days)
```

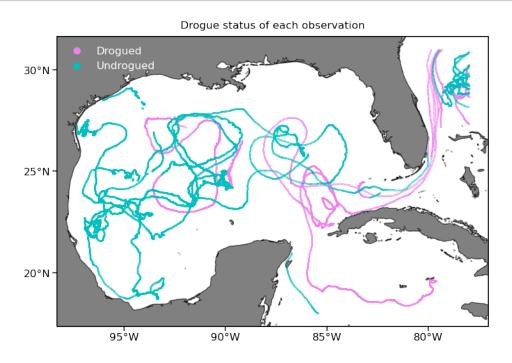
```
benchmark_times[2,1] = time.time() - t0
```

Here we plot the result as before but displaying the drogue status of drifters along their trajectory. Note: the drogue is a sea anchor centered at 15 m depth that can become detached, after which the drifter is more strongly affected by surface winds and waves.

```
[82]: fig = plt.figure(dpi=150)
      ax = fig.add subplot(1,1,1,projection=ccrs.PlateCarree())
      cond = ds_subset.obs.drogue_status # True: droqued, False: undroqued
      pcm = ax.scatter(ak.flatten(ds_subset.obs.longitude[cond]), ak.

¬flatten(ds_subset.obs.latitude[cond]),
                     s=0.05, c='violet', transform=ccrs.PlateCarree(),
       ⇔label='Drogued')
      pcm = ax.scatter(ak.flatten(ds_subset.obs.longitude[~cond]), ak.

¬flatten(ds_subset.obs.latitude[~cond]),
                     s=0.05, c='c', transform=ccrs.PlateCarree(), label='Undrogued')
      ax.add feature(cfeature.LAND, facecolor='grey', zorder=1)
      ax.add_feature(cfeature.COASTLINE, linewidth=0.25, zorder=1)
      ax.set_xticks(np.arange(-95, -79, 5), crs=ccrs.PlateCarree())
      ax.set_yticks([20, 25, 30], crs=ccrs.PlateCarree())
      ax.xaxis.set_major_formatter(LongitudeFormatter())
      ax.yaxis.set_major_formatter(LatitudeFormatter())
      ax.set_title('Drogue status of each observation', size=8);
      ax.legend(markerscale=20, labelcolor='w', frameon=False);
```



6.3 Awkward Array test 3: Single statistic per trajectory

Without the need for indices, we can perform reduction operation per trajectory directly with Awkward array, which simplify considerably the notations, and should ease the development of new functionality.

Note: At this point, the dataset ds_ak is loaded in memory, which explains the speed of the operations. For larger dataset that cannot be held completely in memory, an option could be to interface with Dask, currently under development.

For basic operations, we can also use *Awkward Array* to perform averages along a specific axis. In our situation, ak.mean(longitude, axis=1) perform the same mean operation per trajectory, see that the type is then reduced to subset_nb_drifters * float64.

*Note: The nan versions of those operations (ak.nanmean, ak.nanstd, ak.nanmax, etc.) is not yet available but is currently in development.

```
[84]: avg_lon = ak.mean(ds_ak.obs.longitude, axis=1)
avg_lon
```

```
[84]: <Array [-53.5, -6.68, -74.5, ... -151, -143] type='500 * ?float64'>
```

Applying more complex operation can be easily implemented with simple for-loop, since the indexing is taken care by Awkward Array.

```
[85]: def periodogram_per_traj(uv):
    dt = 1/24
    d = []
    for i in range(0, len(uv)):
```

```
d.append(dt*np.abs(np.fft.fft(uv[i]))**2)
return d
```

```
[86]: t0 = time.time()

ret = periodogram_per_traj(
    ds_ak.obs.ve + 1j*ds_ak.obs.vn
)

benchmark_times[2,2] = time.time() - t0
```

Each element of the list ret now contains the fast Fourier transform of the complex velocity u + iv per trajectory:

```
[87]: ret[:5]
```

6.4 Numba

Awkward Array can be combined with Numba to accelerate calculations. Here, the goal is not to show the most efficient way of performing a calculation, but to present how simply adding the numba decorator <code>@nb.njit</code> to a function can improved the performance by order of magnitude(s).

Here we show an example that calculates the kinetic energy of a drifter trajectory from its velocity time series:

```
[88]: def kinetic_energy(u, v):
    ke = np.zeros(len(u))
    for i in range(0, len(u)):
        ke[i] = np.sqrt(u[i]**2 + v[i]**2)
    return ke

@nb.njit
def kinetic_energy_nb(u, v):
    ke = np.zeros(len(u))
    for i in range(0, len(u)):
        ke[i] = np.sqrt(u[i]**2 + v[i]**2)
    return ke
```

```
[89]: # select a random trajectory
i = 10
```

CPU times: user 148 ms, sys: 1.63 ms, total: 150 ms $\,$

Wall time: 150 ms

The function is compiled just in time when we execute the function for the first time, future executions are *numba fast*.

```
[91]: kinetic_energy_nb(ds_ak.obs.ve[i], ds_ak.obs.vn[i])
```

```
[91]: array([0.18460563, 0.19851099, 0.19387937, ..., 0.32900888, 0.32915556, 0.32936901])
```

```
631 \mu s \pm 92 \mu s per loop (mean \pm std. dev. of 10 runs, 10 loops each)
```

This results in more than a 500x sped up (!) for the same function simply by adding the <code>Qnb.njit</code> decorators!

```
[93]: del ds_ak, ret, x_c, y_c
```

7 Discussion

We presented a commonly used Lagrangian oceanographic dataset (the GDP dataset) which is currently available as individual NetCDF files (one of the means of distribution). Next, we presented an efficient data structure, the contiguous ragged array, to combine the variables from these individual NetCDF files. We then compared three different packages—xarray, Pandas, and Awkward Array—by performing typical Lagrangian workflow tasks.

Xarray

xarray is a well-known and established package used to perform operations on N-Dimensional datasets. It is natively integrated with *Dask* for parallel computing(not demonstrated in this Notebook), and allows users to *lazy load* data when the dataset is larger than the available memory of the computing environment.

However, it is not typically used with contiguous ragged array, so applying operation per trajectory requires to manually index through the dataset. One possibility is to set the size of the $Dask\ chunks$ equals to the size of the trajectories, which allows for mapping operation in parallel per trajectory. However, the typical high number of chunks is less than ideal: it hinders performance since a simple operation like computing mean values across a dataset containing n trajectories requires to conduct n reading operation on the data file.

Pandas

Pandas is a predominantly used *Python* data analysis package. Since it is designed to perform operations on tabular data, it is required to form two *DataFrame* tables to represent the complete

GDP dataset: one containing the variables with one value per trajectory (['traj']), and a second one containing the variables with one value per observations along the trajectory (['obs']). Unfortunately, this creates a separation the data and their metadata.

In this Notebook we used only a subset of the GDP dataset by randomly selecting only 500 trajectories. The data were therefore entirely contained within the active memory and the operations performed were *fast* and written in an elegant and intuitive fashion. However, if these operations are conducted on the entire dataset (17324 trajectories) we found the execution to be much slower than with *xarray* or *Awkward Array* which seem able to manage memory much more efficiently.

Awkward Array

Awkward Array is a novel library specifically designed to deal with ragged and heterogeneous data (in type or size). With the example of the heterogeneous GDP dataset, we find that this library simplifies considerably Lagrangian analysis. Furthermore, integration with Numba allows to speed up calculations without major redesign. We anticipate that these two advantages will lead to faster development of Lagrangian analysis functions as part of the CloudDrift project.

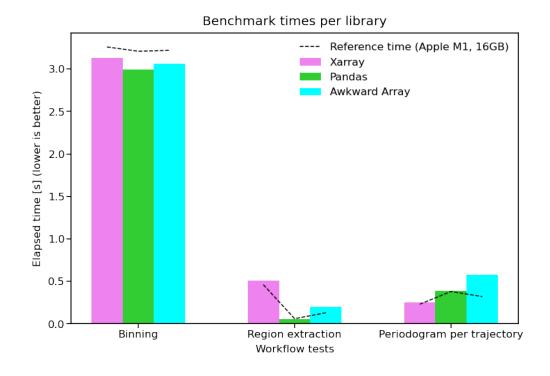
Initially developed for particle physics applications, $Awkward\ Array$ is missing some useful features (e.g. html representation, import from NetCDF, and especially Dask integration) when compared to more mature libraries like xarray or Pandas. During the development of this Notebook, we had the opportunity to engage with the authors of this library, and we plan on collaborating to fill those gaps during the development the clouddrift libraries. We believe that this collaboration will elevate both projects, which are sponsored by the National Science Foundation during similar time frame ($Awkward\ Array\ \#2103945$).

7.1 Benchmark speed

The following figure presents the benchmark times obtain for the current execution. As a comparison, we include a reference time (red dashed line) obtained on a 2021 Mac Mini with a 8 cores Apple M1 ARM processor, 16GB of RAM, running macOS Monterey 12.3.

```
t3 = plt.bar(index + 2*bar_width, benchmark_times[2,:], bar_width,
                 color='aqua',
                 label='Awkward Array')
# add reference times
for i in range(0, len(index)):
   x = np.array([index[i], index[i] + bar_width, index[i] + 2*bar_width])
   ax.plot(x, ref_times[:,i], 'k', linewidth=0.75, linestyle='dashed',_
 →label='Reference time (Apple M1, 16GB)')
plt.xlabel('Workflow tests')
plt.ylabel('Elapsed time [s] (lower is better)')
plt.title('Benchmark times per library')
plt.xticks(index + bar_width, ('Binning', 'Region extraction', 'Periodogram per_
 ⇔trajectory'))
handles, labels = plt.gca().get_legend_handles_labels()
by_label = dict(zip(labels, handles))
plt.legend(by_label.values(), by_label.keys(), frameon=False)
```

[95]: <matplotlib.legend.Legend at 0x168d67c10>



7.2 Feedback

If you ran this notebook on a local computer, please help us guide the development of *CloudDrift* by sharing one or all following points to the discussion thread on Github Discussion: 1. your comments on the ease of use of Xarray and/or Pandas and/or Awkward Arrays for Lagrangian data analysis; 2. the figure of your benchmark timings in the previous cell; 2. your system information generated by executing the next cell; 4. any other comments!

Thanks!

```
[96]: # summary of the system for log purpose
      # inspired from https://stackoverflow.com/a/58420504/1558320
      def getSystemInfo():
          info={}
          info['platform']=platform.system()
          info['platform-release']=platform.release()
          info['platform-version']=platform.version()
          info['architecture']=platform.machine()
          info['processor']=platform.processor()
          try:
              info['frequency']=psutil.cpu_freq(percpu=False)
          except:
              info['frequency']='N/A'
          info['cores']=psutil.cpu_count(logical=False)
          info['threads']=psutil.cpu_count(logical=True)
          info['ram']=str(round(psutil.virtual_memory().total / (1024.0 **3)))+" GB"
          return info
      getSystemInfo()
```

7.3 Future development

We hope you will follow the development of CloudDrift, and encourage everyone to share this Notebook as well as submit feedback and comments to team members.

8 References

- Elipot et al. (2016), "A global surface drifter dataset at hourly resolution", J. Geophys. Res. Oceans, 121, doi:10.1002/2016JC011716
- Hansen, D. V., & Poulain, P. M. (1996). Quality control and interpolations of WOCE-TOGA drifter data. Journal of Atmospheric and Oceanic Technology, 13(4), 900-909, doi:10.1175/1520-0426(1996)013%3C0900:QCAIOW%3E2.0.CO;2