Adversarial Attacks and Defenses for Image-Based Recommendation Systems using Deep Neural

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# Adversarial Attacks and Defenses for Image-Based Recommendation Systems using Deep Neural Networks Master Thesis

#### Philipp Normann

Department of Computer Science University of Applied Sciences Wedel

November 5, 2020







#### Overview

Adversarial Attacks and Defenses for Image-Based Recommendation Systems using Deep Neural Networks

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#### Motivation

Adversarial Attacks and Defenses for Image-Based Recommendation Systems using Deep Neural

Networks

Motivation

#### Recommendation systems have reached widespread adoption

Numerous companies, ranging from e-commerce marketplaces, to streaming services, as well as social networks and news aggregators, successfully deploy such systems.

#### Malicious actors try to exploit these systems to their advantage

Depending on the application area of the system, a successful compromise can have far-reaching consequences.

#### A better understanding of attacks and defenses is needed

This thesis closes this research gap by developing targeted attacks and defenses using standard techniques from the field of adversarial examples for a visual recommendation system.

#### Recommendation Systems

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Viewed by both users



Viewed by her, recommended to him

(a) Collaborative Filtering

Viewed by user

Similar items

Recommend to user

(b) Content-based Filtering

Figure: Typical categorization for recommendation systems.

# Visual Recommendation Systems

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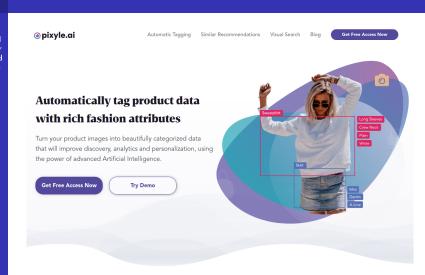


Figure: Pixyle.ai: Visual AI in fashion e-commerce

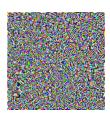
#### Adversarial Examples

Adversarial Attacks and Defenses for Image-Based Recommendation Systems using Deep Neural Networks

Background

"pig"

+ 0.005 x



"airliner"



Figure: On the left, we have an image of a pig that is correctly classified as such by a state-of-the-art CNN. After perturbing the image slightly, the network now returns class "airliner" with high confidence (Madry & Schmidt, 2018).

#### Adversarial Examples

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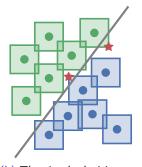
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(a) A set of points that can be easily separated with a simple decision boundary.



(b) The simple decision boundary does not separate the  $I_{\infty}$ -balls around the data points. Hence there are adversarial examples that will be misclassified.

Figure: Adapted from Madry et al., 2017



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Figure: Separating the  $l_{\infty}$ -balls requires a significantly more complicated decision boundary. The resulting classifier is robust to adversarial examples with bounded  $l_{\infty}$ -norm perturbations.

#### Related Work

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Adversarial Training Towards Robust Multimedia Recommender System

Jinhui Tang, Senior Member, IEEE, Xiaoyu Du, Xiangnan He, Fajie Yuan, Qi Tian, Fellow, IEEE, and Tat-Seng Chua

TABLE 3: Performance drop (relatively decreasing ratio in NDCG@10) of VBPR and AMR in the presence of adversarial perturbations during the testing phase.

	$\epsilon = 0.05$		$\epsilon = 0.1$		$\epsilon = 0.2$	
Dataset	VBPR	AMR	VBPR	AMR	VBPR	AMR
Pinterest	-4.2%	-2.6%	-11.9%	-6.2%	-31.8%	-18.4%
Amazon	-8.7%	-1.4%	-30.4%	-5.3%	-67.7%	-20.2%

Figure: Tang et al., 2019 explored the general vulnerability of content-based recommenders using CNNs to untargeted attacks.

#### Related Work

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# TAaMR: Targeted Adversarial Attack against Multimedia Recommender Systems

Tommaso Di Noia Politecnico di Bari tommaso.dinoia@poliba.it Daniele Malitesta Politecnico di Bari daniele.malitesta@poliba.it Felice Antonio Merra Politecnico di Bari felice.merra@poliba.it



(a) original (sock) probability: 60% rec. position: 180th



(b) attacked (running shoe) probability: 100% rec. position: 14th

Fig. 2: Example of a product image before (a) and after (b) a PGD attack ( $\epsilon=8$ ) against VBPR on Amazon Men.

Figure: Di Noia et al., 2020 explored the vulnerability of content-based recommenders using CNNs to targeted misclassification attacks.

#### Dataset

Adversarial Attacks and Defenses for Image-Based Recommendation Systems using Deep Neural Networks

Dataset

■ We use the DeepFashion Attribute Prediction <sup>1</sup> dataset published by Liu et al., 2016

	Classification		Samples
Dataset	Туре	No.	Total
DeepFashion Category DeepFashion Texture	Multinomial Multinomial		•

Table: Summary of the preprocessed DeepFashion dataset.

<sup>&</sup>lt;sup>1</sup>http://mmlab.ie.cuhk.edu.hk/projects/DeepFashion/ AttributePrediction.html 4□ → 4□ → 4 □ → □ ● 900

#### Dataset

Adversarial Attacks and Defenses for Image-Based Recommendation Systems using Deep Neural Networks

Dataset











striped

(a) Cardigan (b) Tank

(c) Tee striped

stripe

(e) Sweater striped







(g) Shorts houndstooth



(h) Dress chevron



(i) Skirt dotted



(j) Poncho tribal

Figure: Randomly sampled images from the DeepFashion dataset.

#### Model

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Reproduced model, published by Tuinhof et al., 2018.

■ Two-stage model using a CNN classifier and a k-NN search

CNN classifier is trained to predict category and texture

 Latent embeddings of the trained CNN classifier are used for similarity based k-NN recommendations

As a similarity measure, cosine distance is used

Category	Ours	Tuinhof et al., 2018
Accuracy	68.25	63.00
Top-5 Accuracy	93.14	84.00

Table: Our category classifier results in comparison to the results reported in the original paper by Tuinhof et al., 2018.

#### Model

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Figure: t-SNE visualization of articles from the DeepFashion dataset, using their feature vectors from the penultimate layer of the classifier.

#### Model

Adversarial Attacks and Defenses for Image-Based Recommendation **Systems** using Deep Neural Networks

Model

**Query** image



dist = 0.0141



dist = 0.0181



dist = 0.0197



dist = 0.0293



**Query** image



dist = 0.0129



dist = 0.0130



dist = 0.0155



dist = 0.0157



Figure: Ranked k-NN results for two randomly selected items

#### **Attacks**

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Threat model based on guidelines by Carlini and Wagner, 2017:

- adversary goal: The adversary is interested in minimizing the cosine distance between the latent-space embeddings of an attack article image to a pre-existing target article image. By minimizing this distance, the chosen attack article decreases its rank in the list of nearest neighbors of the target article, thereby promoting the attack article.
- adversary knowledge: We assume a white-box knowledge setting, in which the adversary holds full knowledge of the feature extraction model parameters.
- **adversary capability:** We restrict the adversary capability to make  $I_{\infty}$ -norm constrained perturbations to the image.

#### Attacks

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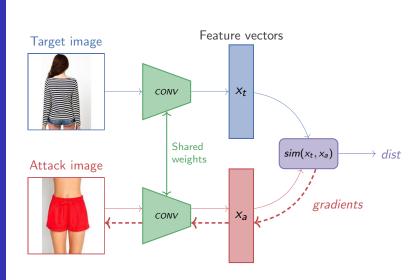
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Original





Figure: Adversarial example, created using the FGSM with  $\epsilon=0.03$ . The perturbation is normalized for visualization purposes.

Cosine distances before and after FGSM attack for this example:

$$dist(\mathcal{F}(A), \mathcal{F}(T)) = 0.6247 \tag{1}$$

$$dist(\mathcal{F}(A+\delta),\,\mathcal{F}(T))=0.5267\tag{2}$$

# Projected Gradient Descent

Adversarial Attacks and Defenses for Image-Based Recommendation Systems using Deep Neural Networks

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Figure: Adversarial example, created using PGD with  $\epsilon = 0.03$  and 32 iterations. The perturbation is normalized for visualization purposes.

Cosine distances before and after PGD attack for this example:

$$dist(\mathcal{F}(A), \mathcal{F}(T)) = 0.6247 \tag{3}$$

$$dist(\mathcal{F}(A+\delta),\,\mathcal{F}(T))) = 0.0500 \tag{4}$$

## Projected Gradient Descent

Adversarial Attacks and Defenses for Image-Based Recommendation Systems using Deep Neural

Attacks

Query image Networks







dist = 0.0656











Figure: Recommendation results for original k-NN index (top) and manipulated index with injected PGD adversarial example (bottom)

# Carlini & Wagner Method

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Figure: Adversarial example, created using the CW method with  $\epsilon=0.03$  and 1,000 iterations. The perturbation is normalized for visualization purposes.

Cosine distances before and after CW attack for this example:

$$dist(\mathcal{F}(A), \mathcal{F}(T)) = 0.6247 \tag{5}$$

$$dist(\mathcal{F}(A+\delta), \mathcal{F}(T)) = 0.0049 \tag{6}$$



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dist = 0.0656











Figure: Recommendation results for original k-NN index (top) and manipulated index with injected CW adversarial example (bottom)

#### Comparison

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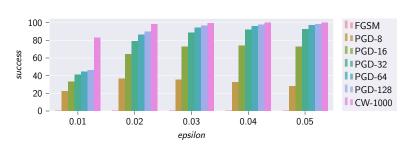


Figure: Success rates (%) for  $rank_{min} = 3$ , calculated over 10,000 random tuples (1,000 in the case of CW) for all attacks and  $\epsilon$  values.

#### Defenses

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How can we defend our recommendation system against adversarial inputs?

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Train on adversarial examples using correct labels

 Adversary objective, is to increase the likelihood of misclassification for the category, and texture attributes

• Adversarial examples during training are generated using PGD-8 and restricting  $I_{\infty}$  perturbations to  $\epsilon=0.03$ 

Category	Adversarial	Regular	Δ
Clean Accuracy	56.06	68.25	- 12.19
Adversarial Accuracy	48.71	0.02	+ 48.69

Table: Category classification results on a clean and adversarial test set for a adversarially trained and regular classifier. The adversarial test set was generated using the PGD-8 attack and  $\epsilon=0.03$ .

Adversarial Attacks and Defenses for Image-Based Recommendation Systems using Deep Neural

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**Query** image



dist = 0.0306



dist = 0.0350



dist = 0.0434



dist = 0.0446



Figure: A recommendation result of our adversarially trained model after a targeted attack. The adversarial example generated using the CW-1000 method for  $\epsilon = 0.3$ , which we injected into the product catalog ranks on place 39 and is therefore not visible in the nearest neighbors displayed above.

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(a)  $\epsilon=0.01$  (b)  $\epsilon=0.05$  (c)  $\epsilon=0.1$  (d)  $\epsilon=0.2$  (e)  $\epsilon=0.3$ 

Figure: Adversarial examples generated using CW-1000 for our adversarially trained recommendation system with increasing  $\epsilon$  values ranging from 0.01 to 0.3. The target item for the attack is the same striped sweater. Interestingly the adversarial images with high epsilon values start to show relevant features of the target image.

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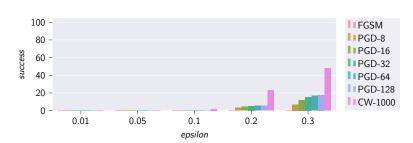


Figure: Success rates (%) for  $rank_{min}=3$ , targeting an adversarially trained model, calculated over 10,000 random tuples (1,000 in the case of CW) for all attacks and  $\epsilon$  values.

Adversarial Attacks and Defenses for Image-Based Recommendation Systems using Deep Neural Networks

Defenses

 Trade robustness for clean performance by increasing attack strength during training, starting with k=0

 Adversarial examples are generated using PGD attacks with up to k=8, restricting  $l_{\infty}$  perturbations to  $\epsilon=0.03$ 

Category	Curriculum	Regular	Δ
Clean Accuracy	62.29	68.25	- 5.96
Adversarial Accuracy	27.45	0.02	+ 27.43

Table: Category classification results on a clean and adversarial test set for a classifier trained using curriculum adversarial training and a regular classifier. The adversarial test set was generated using the PGD-8 with  $\epsilon = 0.03$ 

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Figure: A recommendation result of our CAT model after a targeted attack. The adversarial example generated using the CW method for  $\epsilon=0.2$ , which we injected into the product catalog, ranks first among the target's neighbors.

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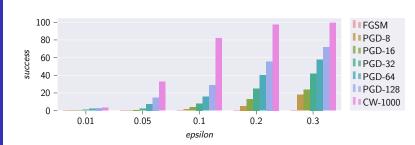


Figure: Attack success rates for  $rank_{min}=3$  calculated over 10,000 random article tuples (1,000 in the case of CW) for all evaluated attacks and various  $\epsilon$  values.

#### Comparison

Adversarial Attacks and Defenses for Image-Based Recommendation Systems using Deep Neural Networks

**Defenses** 

	Attack				
Defense	FGSM	PGD-128	CW-1000		
Unsecured	0.07	98.32	99.70		
AT	0.03	0.07	0.30		
CAT	0.00	14.89	32.80		

Table: Attack success rates for  $rank_{min} = 3$  calculated over 10,000 random article tuples (1,000 in the case of CW) for all evaluated models and  $\epsilon = 0.05$ .

#### Conclusion

Adversarial Attacks and Defenses for Image-Based Recommendation Systems using Deep Neural Networks

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- We developed a new type of targeted item-to-item attack using state-of-the-art white-box methods and observed their effectiveness in compromising the integrity of the attacked visual recommendation system.
- We tested two defense mechanisms utilizing adversarial training (AT) and were able to show that AT had a significant positive impact on the robustness of our system.
- Although our experiments demonstrated a strong robustness against our evaluated white-box attacks, it is unclear if and how far these results generalize for black-box or future unknown attacks.

#### Conclusion

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Also, the effect of similar attacks and defenses on hybrid RS using DNN remains to be explored.

- Additionally, the trade-off in recommendation quality and robustness caused by AT remains to be quantified, possibly by conducting user-surveys or A/B testing.
- Overall, our findings have once again demonstrated the inherent vulnerability of DNN, but have also given us hope that adversarially robust recommendation system models using DNN might be within current reach.

#### Technical Details

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Source code and results are published on GitHub <sup>2</sup> Implemented in *Python* using the following libraries:

- Deep Learning Framework: PyTorch <sup>3</sup>
- Experiment Monitoring: TensorBoard <sup>4</sup>
- Approximate K-NN search: NMSLIB<sup>5</sup>
- Image Deduplication: *imagededup* <sup>6</sup>
- Data Preprocessing: pandas 7
- Visualizations: *seaborn* <sup>8</sup>

<sup>&</sup>lt;sup>2</sup>https://github.com/philippnormann/master-thesis

<sup>&</sup>lt;sup>3</sup>https://github.com/pytorch/pytorch

 $<sup>^4</sup>$ https://github.com/tensorflow/tensorboard

<sup>&</sup>lt;sup>5</sup>https://github.com/nmslib/nmslib

<sup>&</sup>lt;sup>6</sup>https://github.com/idealo/imagededup

<sup>&</sup>lt;sup>7</sup>https://github.com/pandas-dev/pandas

<sup>\*</sup>https://github.com/mwaskom/seaborn | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A | D | A |

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	Maximal Perturbation				
rank <sub>min</sub>	$\epsilon = 0.01$	$\epsilon = 0.02$	$\epsilon = 0.03$	$\epsilon = 0.04$	$\epsilon = 0.05$
1	0.12	0.07	0.06	0.02	0.01
3	0.27	0.16	0.14	0.09	0.07
10	0.64	0.44	0.32	0.18	0.13
100	2.87	2.45	1.83	1.36	0.99

Table: Success rates (%) using FGSM for 10,000 random tuples.

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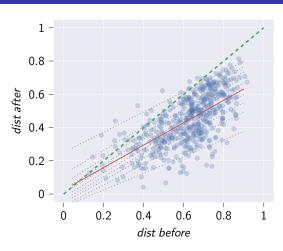


Figure: Quantile regression plot of cosine distances between target and attack article, before and after FGSM attacks, using  $\epsilon=0.05$ 

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		Maximal Perturbation				
rank <sub>min</sub>	$\epsilon = 0.01$	$\epsilon = 0.02$	$\epsilon = 0.03$	$\epsilon = 0.04$	$\epsilon = 0.05$	
1	36.44	77.81	86.81	89.65	91.02	
3	44.33	86.40	94.06	96.13	97.09	
10	50.21	89.61	95.90	97.56	98.22	
100	62.55	94.13	97.95	98.74	99.13	

Table: Success rates (%) using PGD-64 for 10,000 random tuples.

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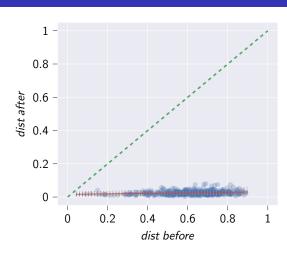


Figure: Quantile regression plot of cosine distances between target and attack article, before and after PGD-32 attacks, using  $\epsilon = 0.05$ 

# Carlini & Wagner Method

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	Maximal Perturbation				
rank <sub>min</sub>	$\epsilon = 0.01$	$\epsilon = 0.02$	$\epsilon = 0.03$	$\epsilon = 0.04$	$\epsilon = 0.05$
1	74.60	94.10	96.40	97.60	97.80
3	83.10	98.10	99.40	99.70	99.70
10	86.60	98.40	99.50	99.90	99.90
100	91.30	99.40	99.90	100.00	100.00

Table: Success rates (%) using CW-1000 for 1,000 random tuples.

# Carlini & Wagner Method

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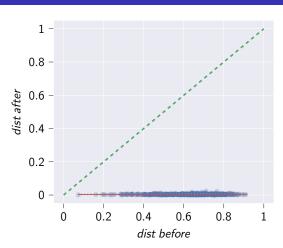


Figure: Quantile regression plot of cosine distances between target and attack article, before and after CW-1000 attacks, using  $\epsilon = 0.05$ 

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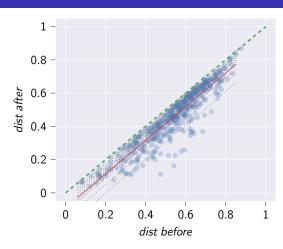


Figure: Quantile regression plot of cosine distances between target and attack article, before and after performing CW-1000 attacks targeting an adversarially trained model, using  $\epsilon = 0.05$ .

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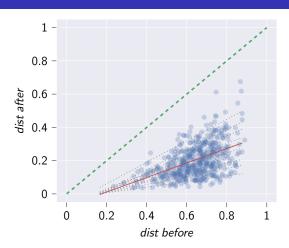


Figure: Quantile regression plot of cosine distances between target and attack article, before and after performing CW-1000 attacks targeting a model trained using curriculum AT for  $\epsilon = 0.05$ .

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