# Forcing over choiceless models (4/4)

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### Outline

- 0. Introduction
- 1. Adding Cohen subsets by Add(A, 1)
  - Preliminaries
  - · Cohen's first model and Dedekind finite sets A
  - Properties of  $Add(\kappa, 1)$  and fragments of DC
  - Adding Cohen subsets over  $L(\mathbb{R})$
- 2. Chain conditions and cardinal preservation
  - · Variants of the ccc
  - · An iteration theorem
  - A ccc<sub>2</sub> forcing that collapses  $\omega_1$
- 3. Generic absoluteness principles inconsistent with choice
  - Hartog numbers
  - · Very strong absoluteness and consequences
  - · Gitik's model
- 4. Random algebras without choice
  - · Completeness
  - CCC<sub>2</sub>\*

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### Fact

Any random real over V is random over every inner model  $M \subseteq V$  of ZF.

Any Borel subset A of  $2^{\alpha}$  with the product topology has a countable support, so its Lebesgue measure  $\mu(A)$  is well-defined.

In ZFC, the random algebra on  $\alpha$  consists of Borel subsets of  $2^{\alpha}$ . Let  $A \leq B$  if  $A \subseteq_{\mu} B$ .

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 $\mathbb{R}_{\omega+\omega}$  is

- · a "product" of random reals with "random support"
- · a 2-step iteration of random forcing

Always suppose that  $\alpha$  is multiplicatively closed.

#### Definition

An  $\alpha$ -Borel code (for a subset of  $2^{\alpha}$ ) is an element x of  $2^{\alpha}$ .

- If  $x_0x_1 = 00$ , then x codes a basic open set in the rest of x
- If  $x_0x_1 = 01$ , then x codes the complement of the set in the rest of x
- If  $x_0x_1 = 10$ , then x codes the union of the sets listed in the rest of x

A Borel code for a subset of  $2^{\alpha}$  is an  $\alpha$ -Borel code with countable support.

• Let  $B_X$  denote the Borel ( $\alpha$ -Borel) set coded by x.

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### Definition

The random algebra on  $\alpha$  is the set of Borel codes for subsets of  $2^{\alpha}$ . It is quasi-ordered by  $x \leq y$  if  $B_x \subseteq_{\mu} B_y$ .

#### Fact

- If  $2^\omega$  is a countable union of countable sets, then every subset of  $2^\omega$  is Borel
- If  $\omega_1$  is singular, then there exists a Borel subset of  $2^\omega$  without a Borel code.

# Proposition

The random algebra on any  $\boldsymbol{\alpha}$  is

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- · locally complete
- uniformly narrow

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Local completeness is a property reminiscent of the fact that a maximal antichain in random forcing in an inner model is an antichain in *V*.

It is used to show that random algebras are narrow.

### Corollary

· Random algebras can be iterated without collapsing cardinals.

All iterations have finite support.

### Exercise

- 1. Show that a countable support iteration of Cohen forcing collapses  $\omega_1$ , if  $\omega_1$  is singular.
- 2. Find a sufficient condition on a forcing  $\mathbb{P}$  that shows this for  $\mathbb{P}$ .

### Corollary

 $\cdot \mathbb{R}_*$ -absoluteness implies that all uncountable cardinals are singular.

 $\mathbb{R}_*$ -absoluteness states that any  $\mathbb{R}_\kappa$ -generic extension has the same theory as V.

 $\mathbb{R}_{\alpha}$  is a quasi-Boolean algebra. Its quotient by  $=_{\mu}$  is a Boolean algebra. To show that  $\mathbb{R}_{\alpha}$  is complete, it suffices to show that every subset has a supremum.

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### Theorem (Lebesgue's density theorem)

Suppose that A is a Lebesuge measurable subset of  $2^{\omega}$ . The set

$$D(A) := \{ x \in 2^{\omega} \mid \lim_{n \to \infty} \frac{\mu(A \cap N_t)}{\mu(N_t)} = 1 \}$$

of its density points satisfies  $\mu(A \triangle D(A)) = 0$ .

Hence A can be reconstructed up to a nullset from relative measures on basic open sets.

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We modify this reconstruction to  $2^{\alpha}$ . Let  $2^{(\alpha)}$  denote the set of finite partial functions  $f: \alpha \to 2$ .

### Definition

For any  $A \in \mathbb{R}_{\alpha}$ , call

$$\underline{\mu_{\mathsf{A}}} = \langle \mu_{\mathsf{A},\mathsf{t}} := \frac{\mu(\mathsf{A} \cap \mathsf{N}_{\mathsf{t}})}{\mu(\mathsf{N}_{\mathsf{t}})} \mid \mathsf{t} \in 2^{(\alpha)} \rangle$$

its footprint.

We have  $A \leq B \Leftrightarrow \mu_{A,t} \leq \mu_{B,t}$  for all  $t \in 2^{(\alpha)}$ .

### Definition

Suppose that  $x \in 2^{\alpha}$  and  $\vec{\mu} = \langle \mu_t \mid t \in 2^{(\alpha)} \rangle$  is a sequence in  $\mathbb{R}_{\geq 0}$ .

1. For any  $\epsilon > 0$ , x is an  $\epsilon$ -density point of  $\vec{\mu}$  if

$$\exists s \ \forall t \supseteq s \ \mu_t > 1 - \epsilon.$$

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Let  $D(\mu)$  denote the  $\alpha$ -Borel code induced by 2. Its definition is absolute between transitive models of ZF.

 $D(\mu)$  is not a Borel code, but we can reduce it to one.

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Any lpha-Borel code A can be reduced to a Borel code as follows.

### Definition

The reduct re(A) of A is the following Borel code.

- 1. If A codes a basic open set, then re(A) = A.
- 2. If  $A_0$  codes  $\neg A_1$ , then  $re(A_0)$  codes  $\neg re(A_1)$ .
- 3. If A codes  $\bigcup_{i < \alpha} A_i$ , then re(A) codes  $\bigcup_{i \in I} re(A_i)$ , where
  - I is the largest subset of  $\alpha$  such that for each  $j \in I$ ,  $A_j$  adds measure to  $\bigcup_{j \in I \cap j} \operatorname{re}(A_i)$ .

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#### Fact

In every outer model M where  $\alpha$  is countable,

- $\operatorname{re}(A) =_{\mu} A$
- $D(\mu_A) =_{\mu} A$  by Lebesgue's density theorem in M.

 $\operatorname{re}(A) =_{\mu} A$  may fail in V, since A may be an  $\omega_1$  length union of singletons and CH holds.

By the previous fact,  $A^* := \operatorname{re}(D(\mu_A)) =_{\mu} A$  in V. The map  $A \mapsto A^*$  picks a representative in each equivalence class.

• We can replace  $\mathbb{R}_{\alpha}$  by the set of  $A^*$ . This definition of  $\mathbb{R}_{\alpha}$  is absolute between transitive models of ZF.

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Given a subset X of  $\mathbb{R}_{\alpha}$ , we construct its supremum. Let

$$egin{aligned} \mu_{\mathsf{X},\mathsf{t}} &:= \sup_{\mathsf{A} \in \mathsf{X}} \mu_{\mathsf{A},\mathsf{t}} \ \\ \mu_{\mathsf{X}} &:= \langle \mu_{\mathsf{X},\mathsf{t}} \mid \mathsf{t} \in 2^{(lpha)} 
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#### Fact

In any outer model M of V where  $\alpha$  is countable,  $D(\mu_X)$  is a least upper bound for X.

**Proof.** If B is an upper bound for X, then  $\mu_A \leq \mu_B$  for all  $A \in X$  and hence  $\mu_X \leq \mu_B$ . Then  $D(\mu_X) \leq D(\mu_B) =_{\mu} B$ .

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#### **Fact**

 $\mathbb{R}_{\alpha}$  is complete.

**Proof.**  $\operatorname{re}(D(\mu_X))$  is a least upper bound for X in some outer model and hence in V.  $\square$ 

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- It implies that any  $\mathbb{P}$ -generic filter over V is  $(\mathbb{P} \cap \mathsf{HOD}_X)$ -generic over  $\mathsf{HOD}_X$ .
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A forcing  $\mathbb P$  is locally complete if there exists a finite set containing  $\mathbb P$  such that: For any nonempty  $A\subseteq \mathbb P$ , there exists some  $p\leq \sup(A)$  in  $\mathbb P\cap HOD_{x\cup\{A\}}$ .

### Lemma

Suppose that  $\mathbb{P}$  is a locally complete forcing.

- 1. If  $\mathbb{P}$  is ccc, then it is narrow.
- 2. If  ${\mathbb P}$  is locally ccc, then it is uniformly narrow.

#### Lemma

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**Proof sketch.** We prove 2. The proof of 1 is similar.

Suppose that  $f: \mathbb{P} \to \mu$  is a partial  $\parallel$ -homomorphism (generalised antichain).

Let 
$$A := \operatorname{dom}(f)$$
,  $A_{\alpha} := f^{-1}(\{\alpha\})$  and  $\vec{A} = \langle A_{\alpha} \mid \alpha \in \operatorname{ran}(f) \rangle$ .

Let  $H := \mathsf{HOD}_{x \cup \{\vec{A}\}}$ , where x witnesses that  $\mathbb P$  is locally complete.

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For each  $\alpha \in \operatorname{ran}(f)$ , there exists some  $p_{\alpha} \in \mathbb{P} \cap H$  with  $p_{\alpha} \leq \sup(A_{\alpha})$ . We can assume that  $p_{\alpha}$  is least such p in the canonical well-order of H.

• Then  $p_{\alpha} \perp p_{\beta}$  for all  $\alpha \neq \beta$ .

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Let  $\lambda \leq \theta^+$  be the chain condition of  $\mathbb{P} \cap H$  in H, i.e., the least  $\nu$  such that there exists no antichain of size  $\nu$ . This is always a regular cardinal in models of ZFC.

• Then  $|\operatorname{ran}(f)|^H < \lambda \le \theta^+$ .

Let G(f) be the least injective function  $F: \operatorname{ran}(f) \to \theta$  in H.

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### Problem

Over Gitik's model, can you add fresh subsets of some uncountable cardinal?