# Forcing over choiceless models (2/4)

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120 Years of the Axiom of Choice, Leeds, 8-12 July 2024

### Outline

- 0. Introduction
- 1. Adding Cohen subsets by Add(A, 1)
  - Preliminaries
  - · Cohen's first model and Dedekind finite sets A
  - Properties of  $Add(\kappa, 1)$  and fragments of DC
  - · Adding Cohen subsets over  $L(\mathbb{R})$
- 2. Chain conditions and cardinal preservation
  - Variants of the ccc
  - · An iteration theorem
  - A ccc<sub>2</sub> forcing that collapses  $\omega_1$
- 3. Generic absoluteness principles inconsistent with choice
  - Hartog numbers
  - Very strong absoluteness and consequences
  - · Gitik's model
- 4. Random algebras without choice
  - · Completeness
  - · CCC2\*

#### We aim for:

- · A variant of the ccc the preserves cardinals and cofinalities
- · An iteration theorem this variant

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- Suppose 1 forces that  $\dot{f}: \omega \to \omega_1^V$  is surjective.
- Pick a maximal antichain of  $p_n^i$  for  $i \in \omega$  such that  $p_n^i \Vdash \dot{f}(n) = \alpha_n^i$ .
- Then  $\operatorname{ran}(f)$  is bounded by  $\sup_{n,i\in\omega}\alpha_n^i<\omega_1$ .

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In ZFC, a forcing has the  $\kappa$ -cc if there exist no antichains of size  $\kappa$ . However, there are other equivalent formulations.

## Definition (Karagila, Schweber)

- ·  $ccc_1$ : Every maximal antichain in  $\mathbb P$  is countable.
- $ccc_2$ : Every antichain in  $\mathbb{P}$  is countable.
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Karagila and Schweber showed that the implications

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#### Exercise

There exists a  $ccc_2^*$  forcing collapsing  $\omega_1$  if there is no  $\omega_1$ -sequence of distinct reals.

The following theorem of Bukovsky gives us a new variant of the ccc.

### Theorem (Bukovsky)

Suppose that  $V \subseteq W$  are models of ZFC. Then W is a generic extension of V by a ccc forcing if and only if for every  $x \in V$  and  $f \colon x \to V$  in W, there exists a function  $g \colon x \to V$  such that

- 1.  $V \models |g(u)| < \omega_1$  for all  $u \in x$ , and
- 2.  $W \models f(u) \in g(u)$  for all  $u \in x$ .

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### Lemma (Karagila, Schweber)

ccc3 implies Bukowsky's condition.

### Problem (Karagila, Schweber)

Does Bukowsky's condition imply ccc<sub>3</sub>?

## Proposition (Karagila, Schweber)

If  $\mathbb P$  satisfies Bukovský's condition, then  $\mathbb P$  preserves any cardinal  $\kappa > \omega_1$ . If  $\omega_1$  is regular, then it is not collapsed.

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**Proof sketch.** Suppose that  $\kappa < \lambda$  are cardinals and  $f: \kappa \to \lambda$  is a surjective function in V[G].

Pick some  $F: \kappa \to [\lambda]^{<\omega_1}$  such that  $f(\alpha) \in F(\alpha)$  for all  $\alpha < \kappa$ .

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But  $\bigcup_{\alpha < \kappa} F(\alpha)$  has size at most  $\kappa \cdot \omega_1 = \kappa$ .

If  $\omega_1$  is regular,  $\kappa = \omega$  and  $\lambda = \omega_1$ , then  $\bigcup_{n < \omega} F(n)$  is countable.

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### Problem (Karagila, Schweber)

Is it consistent that a  $ccc_3$  forcing collapses  $\omega_1$ ?

The above variants of the ccc do not seem to suffice.

We'd like to isolate a variant of the ccc that includes all  $\sigma$ -linked forcings.

#### Exercise

 $\sigma$ -linked forcings preserve all cardinals.

#### Definition

A forcing  $\mathbb P$  is  $\sigma$ -linked ( $\omega$ -linked) if there exists a (linking) function  $f: \mathbb P \to \omega$  such that for all  $p, q \in \mathbb P$ :

$$f(p) = f(q) \Rightarrow p \parallel q$$
.

 ${\mathbb P}$  is split into countably many pieces, each one consisting of pairwise compatible conditions.

The definition of  $\kappa$ -linked is analogous.

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### Example

Hechler forcing is  $\sigma$ -linked:

$$\mathbb{H} := \{ (s, f) \mid s \in \omega^{<\omega}, f \in \omega^{\omega}, s \subseteq f \}$$

where  $(t,g) \leq (s,f)$  if  $s \subseteq t$  and  $f(n) \leq g(n)$  for all  $n \in \omega$ .

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Every  $\sigma$ -linked forcing satisfies ccc<sub>2</sub>.

#### Problem

Does every  $\sigma$ -linked forcing satisfy ccc<sub>3</sub>?

The definition of  $\kappa$ -linked could say

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We equip Ord with the discrete partial order =. This suggests a generalisation of  $\kappa$ -linked relative to a forcing  $\mathbb{Q}$ :

#### Definition

 $\mathbb{P}$  is  $\mathbb{Q}$ -linked if there exists a  $\perp$ -homomorphism  $f \colon \mathbb{P} \to \mathbb{Q}$ , i.e., such that for all  $p,q \in \mathbb{P}$ 

$$p \perp q \Rightarrow f(p) \perp f(q)$$
.

In ZFC, if  $\mathbb P$  is  $\mathbb Q$ -linked and  $\mathbb Q$  is ccc, then  $\mathbb P$  is ccc.

#### Exercise

Well-ordered c.c.c. forcings preserve cardinals. (To see this, work in HOD with the relevant parameters.)

 $\mathbb{C}:=\{p\mid p\colon n\to 2, n\in\omega\}$  denotes Cohen forcing and  $\mathbb{C}^\kappa$  the finite support product of  $\kappa$  many copies. They are well-ordered.

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#### Lemma

Suppose that  $\mathbb{P}$  is  $\mathbb{Q}$ -linked and  $\mathbb{Q}$  is well-ordered and c.c.c. Then  $\mathbb{P}$  preserves all cardinals.

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**Proof sketch.** Suppose that  $1_{\mathbb{P}} \Vdash \dot{f} : \omega \to \check{\omega}_1$  is surjective.

Let  $g \colon \mathbb{P} \to \mathbb{Q}$  be a  $\bot$ -homomorphism. Define  $q \Vdash^* \varphi \Leftrightarrow \exists p \ f(p) = q \land p \Vdash \varphi$ .

· If  $q \Vdash^* \varphi$ ,  $q' \Vdash^* \psi$  and  $\varphi$ ,  $\psi$  are contradictory, then  $q \bot q'$ , since

$$p \Vdash \varphi \land p' \vdash \psi \Rightarrow p \perp p' \Rightarrow f(p) \perp f(p').$$

- · Let  $A_n$  be a maximal antichain of  $q \in \mathbb{Q}$  with  $q \Vdash^*$  " $\dot{f}(n) = \alpha$ "
- This can be done in  $M := HOD_{\mathbb{P} \cap \widehat{H}}$ , since  $\mathbb{Q} \subseteq M$ .
- · In M,  $\omega_1^V$  is regular,  $\bigcup_{n \in \omega} A_n$  is countable and  $\omega_1^V \leq^* \bigcup_{n \in \omega} A_n$ .

#### Exercise

Let  $\mathbb{P}_{\alpha}$  denote  $\alpha$  with the discrete partial order. Then  $\prod_{\alpha<\omega_1}\mathbb{P}_{\alpha}$  collapses  $\omega_1$ .

We therefore need a uniformity requirement on an iteration.

A product or iteration of  $\sigma$ -linked forcings is called uniform if it comes with a sequence of names for linking functions.

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#### Theorem

Any uniform finite support iteration of  $\sigma$ -linked forcings of length  $\kappa$  is  $\mathbb{C}^{\kappa}$ -linked.

Hence cardinals are preserved.

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#### Problem

Do Cohen and Hechler models over V have different theories?

- A Cohen model is a  $\mathbb{C}^{\kappa}$ -generic extension for some  $\kappa \geq \omega_2$ .
- A Hechler model is obtained by a finite support iteration of  $\mathbb H$  of some length  $\kappa \ge \omega_2.$

Woodin's argument that Cohen and random models have different theories uses Truss' result that Cohen and random reals don't commute.

## Proposition (cont.)

Any uniform finite support iteration of  $\sigma$ -linked forcings of length  $\kappa$  is  $\mathbb{C}^{\kappa}$ -linked.

**Proof idea.** Let  $\langle \mathbb{P}_{\alpha}, \dot{\mathbb{P}}_{\alpha}, \dot{f}_{\alpha} \mid \alpha < \kappa \rangle$  denote such an iteration, where  $\dot{f}_{\alpha}$  is a  $\mathbb{P}_{\alpha}$ -name for a  $\sigma$ -linking function for  $\dot{\mathbb{P}}_{\alpha}$ .

Show that the set  $\tilde{\mathbb{P}}$  of all  $p \in \mathbb{P}_{\kappa}$  such that for all  $\alpha \in \text{supp}(p)$ ,  $p \upharpoonright \alpha$  decides  $\dot{f}_{\alpha}(p(\alpha))$ , is dense.

Use the values of these functions to read off a  $\perp$ -homomorphism from  $\tilde{\mathbb{P}}$  to the set  $\operatorname{Fun}_{<\omega}(\kappa,\omega)$  of finite partial functions  $p\colon \kappa \to \omega$ .

 $\operatorname{Fun}_{<\omega}(\kappa,\omega)$  can be densely embedded into  $\mathbb{C}^{\kappa}$ .

The following is just the  $ccc_2^*$  for  $\mathbb{B}(\mathbb{P})$ .

#### Definition

 $\mathbb P$  is called  $(\omega,1)$ -narrow if all partial  $\parallel$ -homomorphisms  $f\colon \mathbb P o \operatorname{Ord}$  have countable range.

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- A partial  $\parallel$ -homomorphism f corresponds to a function on the set D all  $p \in \mathbb{P}$  deciding a statement, for instance  $p \Vdash \dot{g}(n) = \alpha_p$ . f sends  $p \in D$  to  $\alpha_p$ .
- A partial  $\parallel$ -homomorphism f can be thought of a generalised antichain consisting of "blocks"  $f^{-1}(\alpha)$ . Different blocks are incompatible.
- In a complete Boolean algebra, a partial ||-homomorphism corresponds to an antichain, since subsets A and B of P are elementwise incompatible if and only if sup(A) is incompatible with sup(B).

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- In a complete Boolean algebra, a partial ||-homomorphism corresponds to an antichain, since subsets A and B of P are elementwise incompatible if and only if sup(A) is incompatible with sup(B).

However, when trying to prove cardinal preservation via a function  $\dot{f}$ :  $\omega \to \omega_1$ , an  $\omega$ -sequence of such homomorphisms appears.

This is captured by a uniform version of ccc<sup>\*</sup> for many homomorphisms.

### Definition

1. Suppose that  $\nu$  is an ordinal.

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\mathbb{P} is called (\omega, \nu)-narrow if for any sequence \vec{f} = \langle f_i \mid i < \mu \rangle of partial \parallel-homomorphisms f_i \colon \mathbb{P} \to \operatorname{Ord}, where \mu \leq \nu,
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#### Exercise

 $(\omega, 1)$ -narrow implies  $(\omega, \nu)$ -narrow for all  $\nu \geq \omega_1$ .

#### Lemma

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**Proof sketch.** Let  $\lambda \geq \omega_2$  be a cardinal.

Suppose that  $\mu < \lambda$  is a cardinal and  $p \Vdash_{\mathbb{P}}$  " $\dot{f}$ :  $\mu \to \lambda$  is surjective".

- For each  $\alpha < \mu$ , let  $D_{\alpha}$  be the set of  $q \leq p$  deciding  $f(\alpha)$ .
- Let  $f_{\alpha} : D_{\alpha} \to \lambda$  send q to the unique  $\beta < \lambda$  with  $q \Vdash \dot{f}(\alpha) = \beta$ .
- Each  $f_{\alpha}$  is a partial  $\parallel$ -homomorphism.

### Lemma

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- Each  $f_{\alpha}$  is a partial  $\parallel$ -homomorphism.

Since  $\mathbb{P}$  is  $(\omega, 1)$ -narrow,  $\mathsf{otp}(\mathsf{ran}(f_\alpha)) < \omega_1$  for each  $\alpha < \mu$ . Hence

$$|\bigcup_{\alpha<\mu}\operatorname{ran}(f_{\alpha})|\leq |\operatorname{\mathsf{max}}(\omega_1,\mu)|<\lambda.$$

But 
$$\bigcup_{\alpha<\mu} \operatorname{ran}(f_{\alpha}) = \lambda$$
.

A similar argument works for cofinalities.

### Lemma

Every narrow forcing  $\ensuremath{\mathbb{P}}$  preserves all cardinals and cofinalities.

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**Proof.** It suffices to show that  $\mathbb{P}$  preserves  $\omega_1$ .

Suppose that  $p \Vdash_{\mathbb{P}}$  " $\dot{f}$ :  $\omega \to \omega_1$  is surjective".

- For each  $n < \omega$ , let  $D_n$  denote the set of  $q \le p$  deciding f(n).
- Let  $f_n: D_n \to \omega_1$  send q to the unique  $\beta < \omega_1$  with  $q \Vdash \dot{f}(n) = \beta$ .
- Since  $\mathbb{P}$  is narrow, we have  $|\bigcup_{n<\omega} \operatorname{ran}(f_n)| \leq \omega$ . But  $\bigcup_{n<\omega} \operatorname{ran}(f_n) = \omega_1$ .

A similar argument works for preserving cofinality  $\omega_1$ .

### Exercise

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### Lemma

If  $\mathbb{Q}$  is  $(\omega, 1)$ -narrow and  $f: \mathbb{P} \to \mathbb{Q}$  is a  $\perp$ -homomorphism, then  $\mathbb{P}$  is  $(\omega, 1)$ -narrow.

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**Proof.** Suppose that  $g: \mathbb{P} \to \text{Ord}$  is a partial  $\parallel$ -homomorphism.

Let D := ran(f) and define  $h: D \to Ord$  as follows.

- For all  $p, r \in \mathbb{P}$  with f(p) = f(r), we have g(p) = g(r), since f is a  $\perp$ -homomorphism and g is a  $\parallel$ -homomorphism.
- For  $f(p) = q \in D$ , we can thus define h(q) := g(p).

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- For  $f(p) = q \in D$ , we can thus define h(q) := g(p).

We claim that h is a partial  $\parallel$ -homomorphism.

- Suppose that  $q, s \in D$  with f(p) = q, f(r) = s and  $q \parallel s$ .
- Since f is a  $\perp$ -homomorphism,  $p \parallel r$ .
- Since g is a  $\parallel$ -homomorphism,  $h(q) = g(p) \parallel g(r) = h(s)$  as desired.

Since ran(g) = ran(h) and  $\mathbb{Q}$  is  $(\omega, 1)$ -narrow, the claim follows.

We need a stronger variant of narrow and a uniformity requirement for an iteration.

### Definition

 $\mathbb{P}$  is called <u>uniformly narrow</u> if there exists a function G that sends each partial  $\parallel$ -homomorphism  $f \colon \mathbb{P} \to \operatorname{Ord}$  to an injective function  $G(f) \colon \operatorname{ran}(f) \to \omega$ .

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### Theorem

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### Example

One can iterate combinations of  $\mathbb{C}^{\kappa}$ ,  $\sigma$ -linked forcings such as Hechler forcing or eventually different forcing and (as we see later) random algebras, while preserving cardinals and cofinalities.

### Theorem (cont.)

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**Proof.** Let  $\vec{\mathbb{P}} = \langle \mathbb{P}_{\alpha}, \dot{\mathbb{P}}_{\beta}, \dot{g}_{\beta} \mid \alpha \leq \delta, \beta < \delta \rangle$  denote the iteration.

We construct a sequence  $\langle G_{\gamma} \mid \gamma \leq \delta \rangle$  of functions by recursion on  $\gamma \leq \delta$  from  $\vec{\mathbb{P}}$  and  $\theta$ , where  $G_{\gamma}$  witnesses that  $\mathbb{P}_{\gamma}$  is uniformly narrow.

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**Case.**  $\gamma$  is a successor.

Suppose that  $\gamma = \beta + 1$  and  $G_{\beta}$  has been constructed. Let  $f: \mathbb{P}_{\beta} * \dot{\mathbb{P}}_{\beta} \longrightarrow \operatorname{Ord}$  be a partial  $\parallel$ -homomorphism. and

$$\dot{f} := \{ ((\dot{q}, \check{\alpha})^{\bullet}, p) \mid f(p, \dot{q}) = \alpha \}.$$

#### Claim

 $1_{\mathbb{P}_{\beta}}$  forces that  $\hat{f}$  is a partial  $\parallel$ -homomorphism on  $\dot{\mathbb{P}}_{\beta}$ .

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### Claim (cont.)

 $1_{\mathbb{P}_{\beta}}$  forces that  $\dot{f}$  is a partial  $\parallel$ -homomorphism on  $\dot{\mathbb{P}}_{\beta}$ .

**Proof.** Suppose that G is  $\mathbb{P}_{\beta}$ -generic over V.

In V[G], take  $q_0, q_1 \in \dot{\mathbb{P}}^G_\beta$  with  $\dot{f}^G(q_i) = \alpha_i$  for i < 2. Suppose that  $q_0 ||q_1|$ 

- There exist  $\dot{q}_i$  with  $\dot{q}_i^G = q_i$  and  $p_i \in G$  with  $((\dot{q}_i, \check{\alpha}_i)^{\bullet}, p_i) \in \dot{f}$  for i < 2.
- Since  $q_0||q_1$ , some  $p \in G$  forces  $\dot{q}_0||\dot{q}_1$ .
- Since we can assume  $p \le p_0, p_1$ , we have  $(p_0, \dot{q}_0) \| (p_1, \dot{q}_1)$ .
- $\alpha_0 = f(p_0, \dot{q}_0) = f(p_1, \dot{q}_1) = \alpha_1$ , since f is a  $\parallel$ -homomorphism.

### Claim (cont.)

 $1_{\mathbb{P}_{\beta}}$  forces that  $\dot{f}$  is a partial  $\parallel$ -homomorphism on  $\dot{\mathbb{P}}_{\beta}$ .

By the claim,

$$1 \Vdash_{\mathbb{P}_{\beta}} \dot{g}_{\beta}(\dot{f}) \colon \operatorname{ran}(\dot{f}) \to \omega$$
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We can read off a  $\mathbb{P}_{\beta}$ -name  $\dot{h}$  for a function extending  $\dot{g}_{\beta}(\dot{f})^{-1}$ . Then

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For each  $n < \omega$ , let  $D_n$  denote the set of all  $p \in \mathbb{P}_{\beta}$  that decide  $\dot{h}(n)$ .

Let  $h_n: D_n \to \operatorname{Ord}$ , where  $h_n(p)$  is the unique  $\delta$  such that  $p \Vdash \dot{h}(n) = \delta$ .  $h_n$  is a  $\parallel$ -homomorphism.

Since  $G_{\beta}$  witnesses that  $\mathbb{P}_{\beta}$  is uniformly narrow,  $\langle G_{\beta}(h_n) \mid n < \omega \rangle$  consists of injective functions  $G_{\beta}(h_n)$ :  $\operatorname{ran}(h_n) \to \omega$ .

Glue them to an injective function  $i: \bigcup_{n < \omega} \operatorname{ran}(h_n) \to \omega$ .

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Since  $1_{\mathbb{P}} \Vdash \operatorname{ran}(\dot{f}) \subseteq \bigcup_{\alpha < \theta} \operatorname{ran}(h_{\alpha})$ ,  $\operatorname{ran}(f) \subseteq \bigcup_{\alpha < \theta} \operatorname{ran}(h_{\alpha})$  by the definition of  $\dot{f}$ .

Thus  $i \upharpoonright \operatorname{ran}(f) \to \theta$  is injective. Let  $G_{\gamma}(f) := i \upharpoonright \operatorname{ran}(f)$ .

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Case. \gamma is a limit.
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Suppose that  $f: \mathbb{P}_{\gamma} \rightharpoonup \operatorname{Ord}$  is a partial  $\parallel$ -homomorphism.

It suffices to show  $\operatorname{\mathsf{HOD}}_{\vec{\mathbb{P}},f} \models \operatorname{ran}(f) \leq \theta$ . Then take the least injective function  $G_{\gamma}(f) \colon \operatorname{ran}(f) \to \theta$  in  $\operatorname{\mathsf{HOD}}_{\vec{\mathbb{P}},f}$ . Work in  $\operatorname{\mathsf{HOD}}_{\vec{\mathbb{P}},f}$ .

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Otherwise  $ran(f) > \theta$ . We can assume  $ran(f) = \theta^+$  by restricting f.

Let  $s_{\alpha} \in [\gamma]^{<\omega}$  for  $\alpha \in \operatorname{ran}(f)$  be least in  $[\operatorname{Ord}]^{<\omega}$  such that there exists some  $p \in \mathbb{P}_{\gamma}$  with support  $s_{\alpha}$  and  $f(p) = \alpha$ . Let  $\vec{s} = \langle s_{\alpha} \mid \alpha \in \operatorname{ran}(f) \rangle$ .

#### We can assume:

- All  $p \in \mathbb{P}_{\gamma}$  with  $f(p) = \alpha$  have support  $s_{\alpha}$ .
- $\vec{s}$  forms a  $\Delta$ -system with root r.

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Fix  $\gamma' < \gamma$  such that  $\alpha + 1 < \gamma_0$  for all  $\alpha \in r$ . Let  $D := \{p \upharpoonright \gamma' \mid p \in \mathsf{dom}(f)\}$  be the projection of  $\mathsf{dom}(f)$  to  $\mathbb{P}_{\gamma'}$ .

Let 
$$g: D \to \operatorname{Ord}$$
, where  $g(p) := \alpha$  if 
$$\exists q \in \operatorname{dom}(f) \ (q \upharpoonright \gamma' = p \land f(q) = \alpha)$$

g well-defined by the next claim.

Recall 
$$g: D \to \operatorname{Ord}, g(p) := \alpha \text{ if } \exists q \in \operatorname{dom}(f) \ (q \upharpoonright \gamma' = p \land f(q) = \alpha).$$

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ran(f) = ran(g).

The inductive hypothesis for  $\gamma'$  yields an injective function  $G_{\gamma'}(g) \colon \operatorname{ran}(g) \to \theta$ . Since  $G_{\gamma'}, g \in \operatorname{HOD}_{\overline{\mathbb{F}}, f'}$  we have  $\operatorname{HOD}_{\overline{\mathbb{F}}, f} \models \operatorname{ran}(f) = \operatorname{ran}(g) \le \theta$ , contradicting the assumption.

We're done!

The next result uses a standard technique for symmetric models.

Let  $\mathcal{L}$  be a first-order language and M an  $\mathcal{L}$ -structure. Suppose that  $\mathscr{G} \subseteq \operatorname{Aut}(M)$  is a group and  $\mathscr{I}$  an ideal of subsets of M.

- A subgroup of  $\mathscr{G}$  is called <u>large</u> if it contains  $fix(A) = \{\pi \in \mathscr{G} \mid \pi \upharpoonright A = id\}$  for some  $A \in \mathscr{I}$ .
- A subset X of M is called stable if there exists a large subgroup  $\mathcal{H}$  of  $\mathcal{G}$  such that  $\pi[X] = X$  for all  $\pi \in \mathcal{H}$ .

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### Theorem (Karagila, Schweber)

In a model of ZFC, let  $\mathcal{L}$ , M,  $\mathscr{G}$  and  $\mathscr{I}$  be as above. There is a symmetric extension of the universe in which there exists an isomorphic copy N of M such that every subset of  $N^k$  in the symmetric extension is a stable isomorphic copy of a subset of  $M^k$ .

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In addition, we can require:

- $DC_{<\kappa}$  holds in the extension, if  $\mathscr I$  is  $<\kappa$ -complete.
- The extension has no new  $\lambda$ -sequences for any prescribed cardinal  $\lambda$ .

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It is consistent with ZF + DC that there is a  $ccc_2$  forcing which collapses  $\omega_1$ .

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**Proof sketch.** We construct a symmetric model over a model of ZFC. Let  $\mathbb{P}$  denote  $\mathrm{Add}(\omega,\omega_1)$  without 1.  $\mathbb{P}$  is productively c.c.c.

 $\mathbb{P}_{\infty}:=igoplus_{\langle n,lpha
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Let  $\mathscr{G}$  act on each  $\mathbb{P}_{n,\alpha}$  individually for countably many  $\langle n,\alpha\rangle$  at the same time. Let  $\mathscr{I}$  be the ideal of countable subsets of  $\mathbb{P}_{\infty}$ .

We get a symmetric extension M of V and working in M, an isomorphic copy of  $\mathbb{P}_{\infty}$ , such that M is a model of DC and  $\omega_1$  remains uncountable in M.

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For any subset A of  $\mathbb{P}_{\infty}^k$ , there is a countable  $\alpha < \omega_1$  such that if  $\alpha \leq \beta$  and  $p(i) \in \mathbb{P}_{n,\beta}$  for any  $p \in A^k$ , i < k and  $n \in \omega$ , then any condition q obtained by replacing p(i) by an arbitrary condition in  $\mathbb{P}_{n,\beta}$  is in A.

In N,  $\mathbb{Q}$  consists of pairs  $\langle t, \vec{b} \rangle$  such that:

- 1.  $t \in \omega_1^{<\omega}$  and dom(t) = n.
- 2.  $\vec{b} = \langle b_0, \dots, b_{n-1} \rangle$  and  $b_i \in \mathbb{P}_{i,t(i)}$ .

Let 
$$\langle t, \vec{b} \rangle \leq \langle t', \vec{b}' \rangle$$
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This two-step iteration first adds a surjection  $f: \omega \to \omega_1$  and then forces with the product  $\prod_{\langle n,\alpha \rangle} \mathbb{P}_{n,\alpha}$ . Forcing with  $\mathbb{Q}$  collapses  $\omega_1$ .

### A counterexample with ccc<sub>2</sub>

To see that every antichain in  $\mathbb{Q}$  is countable, let  $\pi$  be the projection of  $\mathbb{Q}$  to  $\omega_1^{<\omega}$  and  $\pi_{n,\alpha}$  the projection to  $\mathbb{P}_{n,\alpha}$ .

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Let D be an uncountable subset of  $\mathbb{Q}$ .

It suffices to show that  $\pi^{-1}(t) \cap D$  is uncountable for some  $t \in \omega_1^{<\omega}$ , since it is a subset of  $\{t\} \times \prod_{i \in \mathsf{dom}(t)} \mathbb{P}_{i,t(i)}$  and  $\mathbb{P} = \mathrm{Add}(\omega, \omega_1)$  is productively ccc.

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#### Case

 $\pi(D)$  is uncountable. We can assume that for some  $k \in \omega$ , dom(t) = k for all  $t \in \pi(D)$  by shrinking D. We can then identify D with a subset of  $\mathbb{P}^k_{\infty}$ .

- Pick  $\alpha < \omega_1$  as above by stability of D.
- Since  $\pi(D)$  is uncountable, there exists some  $t \in \pi(D)$  with  $t(i) \ge \alpha$  for some i < k. Then  $\pi^{-1}(t) \cap D$  is uncountable.