

# On the Minimal Average Distortion of Parameter Optimized Quantizers

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## Abstract

In many quantization problems, the distortion function is given by the Euclidean norm to measure the distance of a source sample to any given reproduction point of the quantizer. We will in this work regard distortion functions, which are additively and multiplicatively weighted for each reproduction point resulting in a heterogeneous quantization problem, as used for example in deployment problems of sensor networks. Whereas, in such problems, the average distortion is minimized for given weights (parameters), we will optimize the quantization problem over all weights, i.e., we tune or control the distortion functions in our favour. For a uniform source distribution in one-dimension we derived the unique minimizer, given as the uniform scalar quantizer with an optimal common weight. By numerical simulations, we demonstrate that this result extends to two-dimensions where asymptotically the parameter optimized quantizer is the hexagonal lattice with common weights. As an application we will determine the optimal deployment of unmanned aerial vehicles (UAV) to provide a wireless communication to ground terminals under a minimal communication power cost. Here, the optimal weights refer to the optimal flight heights of the UAVs.

## 1 Introduction

For a convex set  $\Omega \in \mathbb{R}^d$  in  $d = 1, 2$  dimensions a quantizer is given by  $N$  reproduction or quantization points  $\mathbf{Q} = \{\mathbf{q}_1, \dots, \mathbf{q}_N\} \subset \Omega$  associated with  $N$  quantization regions  $\mathcal{R} = \{\mathcal{R}_1, \dots, \mathcal{R}_N\} \subset \Omega$ , defining a partition of  $\Omega$ . To measure the distortion of a given quantizer, the Euclidean distance between the source samples and reproduction points is commonly used as the distortion function. We will study in this work quantizers with parameter depending distortion functions which minimize the average distortion over  $\Omega$  for a given continuous source sample distribution  $\lambda : \Omega \rightarrow [0, 1]$ , as investigated for example in [1]–[3]. Contrary to a fixed parameter selection [1], we will assign to each quantization point  $\mathbf{q}_n$  variable parameters to control the distortion function of the  $n$ th quantization point. Such a controllable distortion function widens the scope of quantization theory and allows to apply quantization techniques to many parameter dependent network and locational problems. In this work, we will consider for the distortion function of  $\mathbf{q}_n$  the Euclidean square-distance, which is multiplicative weighted by some  $a_n > 0$  and additively weighted by some  $b_n > 0$ . Furthermore, we exponentially weight all distortion functions by some fixed exponent  $\gamma \geq 1$ . To minimize the average distortion, the optimal quantization regions are known to be generalized Voronoi (Möbius) regions, which can be non-convex and disconnected sets [4]. In many applications, as in sensor or vehicle deployments, the optimal weights and parameters are usually unknown, but adjustable, and one wishes therefore to optimize

the deployment over all admissible parameter values, see for example [3]. We will in this work characterize such *parameter optimized quantizers* over one-dimensional convex target regions, i.e., over closed intervals. As a motivation we will demonstrate such a parameter driven quantizer for an unmanned aerial vehicle (UAV) deployment to provide energy efficiently a communication to ground terminals in a given target region. Here the parameters refer to the UAVs flight heights.

**Notation** By  $[N] = \{1, 2, \dots, N\}$  we denote the first  $N$  natural numbers of  $\mathbb{N}$ . We will write real numbers in  $\mathbb{R}$  by small letters and row vectors by bold letters. The Euclidean norm of  $\mathbf{x}$  is given by  $\|\mathbf{x}\| = \sqrt{\sum_n x_n^2}$ . The open ball in  $\mathbb{R}^d$  centered at  $\mathbf{c} \in \mathbb{R}^d$  with radius  $r \geq 0$  is denoted by  $\mathcal{B}(\mathbf{c}, r) = \{\boldsymbol{\omega} \mid \|\boldsymbol{\omega} - \mathbf{c}\|^2 \leq r\}$ . We denote by  $\mathcal{V}^c$  the complement of the set  $\mathcal{V} \subset \mathbb{R}^d$ . The positive real numbers are denoted by  $\mathbb{R}_+ := \{a \in \mathbb{R} \mid a > 0\}$ . Moreover, for two points  $\mathbf{a}, \mathbf{b} \in \mathbb{R}^d$ , we denote the generated half space between them, which contains  $\mathbf{a} \in \mathbb{R}^d$  as  $\mathcal{H}(\mathbf{a}, \mathbf{b})$ .

## 2 System model

To motivate the concept of parameter optimized quantizers we will investigate the deployment of  $N$  UAVs positioned at  $\mathbf{P} = \{\mathbf{p}_1, \dots, \mathbf{p}_N\} \subset (\Omega \times \mathbb{R}_+)^N$  to provide a wireless communication link to ground terminals in a given target region  $\Omega \subset \mathbb{R}^d$ . Here, the  $n$ th UAVs position  $\mathbf{p}_n = (\mathbf{q}_n, h_n)$  is given by its ground position  $\mathbf{q}_n = (x_n, y_n) \in \Omega$ , representing the quantization point, and its flight height  $h_n$ , representing its additional parameter. The optimal UAV deployment (parameter quantizer) is then defined by the minimal average communication power (distortion) to serve ground terminals distributed by  $\lambda$  in  $\Omega$  with a minimal given data rate  $R_b$ . Hereby, each ground terminal will select the UAV which requires the smallest communication power, resulting in so called generalized Voronoi (quantization) regions of  $\Omega$ , as used in [1]–[3], [5]–[9]. We also assume that the communication between all users and UAVs is orthogonal, i.e., separated in frequency or time (slotted protocols).

In the recent decade, UAVs with directional antennas have been widely studied in the literature [10]–[15], to increase the efficiency of wireless links. However, in [10]–[15], the antenna gain was approximated by a constant within a 3dB beamwidth and set to zero outside. This ignores the strong angle dependent gain of directional antennas, notably for low-altitude UAVs. To obtain a more realistic model we will consider here an antenna gain, which depends on the actual radiation angle  $\theta_n \in [0, \frac{\pi}{2}]$  from the  $n$ th UAV at  $\mathbf{p}_n$  to a GT at  $\boldsymbol{\omega}$ , see Figure 1. To capture the power falloff versus the line-of-sight distance  $d$  along with the random attenuation about the path loss from shadowing, we adopt the propagation model [16, (2.51)] as:

$$PL_{dB} = 10 \log_{10} K - 10\alpha \log_{10}(d/d_0) - \psi_{dB}, \quad (1)$$

where  $K$  is a unitless constant depending on the antenna characteristics,  $d_0$  is a reference distance,  $\alpha \geq 1$  is the terrestrial path loss exponent, and  $\psi_{dB}$  is a Gaussian random variable following  $\mathcal{N}(0, \sigma_{\psi_{dB}}^2)$ . This air-to-ground or terrestrial path loss

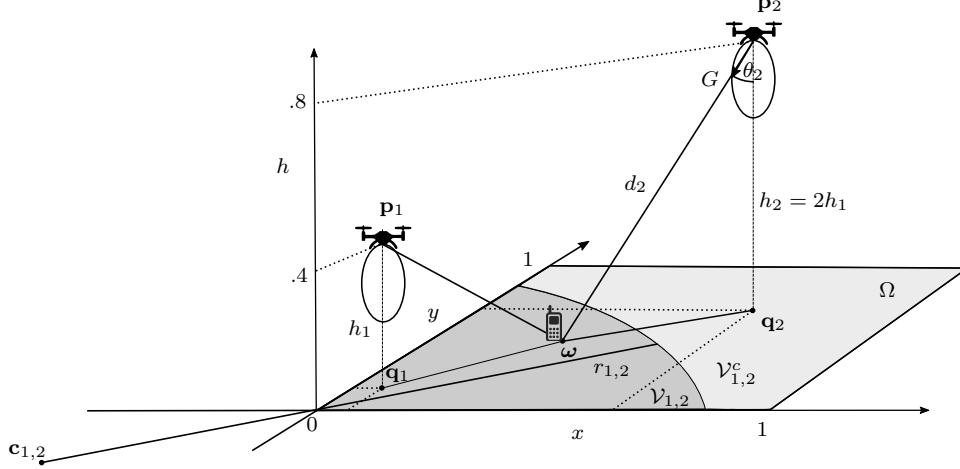


Figure 1: UAV deployment with directed antenna beam and associated GT cells for  $\alpha = 2$  and  $N = 2$  for a uniform GT distribution.

model is widely used for UAV basestation path-loss models [17]. Practical values are between 2 and 6 and depend on the Euclidean distance  $d$  of GT  $\omega$  and UAV  $\mathbf{p}_n$

$$d_n(\omega) = d(\mathbf{p}_n, (\omega, 0)) = \sqrt{\|\mathbf{q}_n - \omega\|^2 + h_n^2} = \sqrt{(x_n - x)^2 + (y_n - y)^2 + h_n^2} \quad (2)$$

and the shadowing due to low altitude. For common practical measurements see for example [18]. Typically maximal heights for UAVs are  $< 1000\text{m}$ , due to flight zone restrictions of aircrafts. Hence, the received power at UAV  $n$  can be represented as  $P_{RX} = P_{TX}G_{TX}G_{RX}PL = P_{TX}G_{TX}G_{RX}Kd_0^\alpha d_n^{-\alpha}(\omega)10^{-\frac{\psi_{dB}}{10}}$ , where  $G_{TX}$  and  $G_{RX}$  are the antenna gains of the transmitter and the receiver, respectively. Here, we assume perfect omnidirectional transmitter (GT) antennas with an isotropic gain and directional receiver (UAV) antennas. The angle dependent antenna gains are

$$G_{GT} > 0 \quad , \quad G_{UAV} = \cos(\theta_n) = h_n/d_n(\omega), \quad (3)$$

see [19, pp.52]. The combined antenna intensity is then proportional to  $G = G_{UAV}G_{GT}K$ , see Figure 1. Accordingly, the received power can be rewritten as

$$P_{RX} = P_{TX}h_nG_{GT}Kd_0^\alpha d_n^{-\alpha-1}(\omega)10^{-\frac{\psi_{dB}}{10}}. \quad (4)$$

To achieve the reliable communication between GT and UAV with bit-rate at least  $R_b$ , we get for a channel bandwidth  $B$  and noise power density  $N_0$  by the Shannon capacity  $B \log_2 \left(1 + \frac{P_{RX}}{BN_0}\right) \geq R_b$ . The minimum transmission power to UAV  $\mathbf{p}_n$  is then given by  $P_{TX} = (2^{\frac{R_b}{B}} - 1)BN_0d(\mathbf{p}_n, (\omega, 0))^{\alpha+1}10^{\frac{\psi_{dB}}{10}}(h_nG_{GT}Kd_0^\alpha)^{-1}$ . The expectation

$$\begin{aligned} D(\omega, \mathbf{q}_n, h_n) &:= \mathbb{E}[P_{TX}] = \frac{(2^{\frac{R_b}{B}} - 1)N_0}{h_nG_{GT}Kd_0^\alpha} \frac{d_n^{\alpha+1}(\omega)}{\sqrt{2\pi}\sigma_{\psi_{dB}}} \int_{\mathbb{R}} \exp\left(-\frac{\psi_{dB}^2}{2\sigma_{\psi_{dB}}^2} + \ln(10)\frac{\psi_{dB}}{10}\right) d\psi_{dB} \\ &= \beta \cdot d_n^{2\gamma}(\omega)h_n^{-1} = \beta \cdot (h_n^{-1/\gamma} \|\mathbf{q}_n - \omega\|_2^2 + h_n^{2-1/\gamma})^\gamma \end{aligned} \quad (5)$$

defines then the  $n$ th *parameter distortion function*  $D(\cdot, \mathbf{q}_n, h_n)$  with the independent and fixed parameters

$$\beta = (2^{\frac{R_b}{B}} - 1)BN_0 \exp\left(-\frac{\sigma_{\psi_{dB}}^2 (\ln 10)^2}{200}\right)(G_{\text{GT}}K)^{-1}d_0^{-\alpha} \quad \text{and} \quad \gamma = \frac{\alpha + 1}{2}. \quad (6)$$

For simplicity, we will set from here on  $\beta = 1$ , since it will not affect the quantizer.

### 3 Parameter Optimized Quantizers under Minimal Average Distortion

The communication power cost (5) defines therefore with  $a_n = h_n^{-1/\gamma}$  and  $b_n = h_n^{2-1/\gamma}$  for fixed  $\gamma \geq 1$  a parameter dependent distortion function for  $\mathbf{q}_n$ . For a given source sample (GT) density  $\lambda$  in  $\Omega$  and UAV deployment, the average power is the average distortion for given *parameter and quantization points*  $(\mathbf{Q}, \mathbf{h})$  with quantization sets  $\mathcal{R} = \{\mathcal{R}_n\}$ , which is called the *average distortion* of the quantizer  $(\mathbf{Q}, \mathbf{h}, \mathcal{R})$

$$\bar{D}(\mathbf{Q}, \mathbf{h}, \mathcal{R}) = \sum_{n=1}^N \int_{\mathcal{R}_n} D(\omega, \mathbf{q}_n, h_n) \lambda(\omega) d\omega. \quad (7)$$

The  $N$  quantization sets, which minimize the average distortion for given quantization and parameter points  $(\mathbf{Q}, \mathbf{h})$ , define a generalized Voronoi tessellation  $\mathcal{V} = \{\mathcal{V}_n(\mathbf{Q}, \mathbf{h})\}$

$$\bar{D}(\mathbf{Q}, \mathbf{h}, \mathcal{V}) := \int_{\Omega} \min_n \{D(\omega, \mathbf{q}_n, h_n)\} \lambda(\omega) d\omega = \sum_n \int_{\mathcal{V}_n} D(\omega, \mathbf{q}_n, h_n) \lambda(\omega) d\omega, \quad (8)$$

where the *generalized Voronoi regions*  $\mathcal{V}_n(\mathbf{Q}, \mathbf{h})$  are defined as the set of sample points  $\omega$  with smallest distortion (distance) to the  $n$ th quantization point  $\mathbf{q}_n$  with parameter  $h_n$ . Minimizing the *average distortion*  $\bar{D}(\mathbf{Q}, \mathbf{h}, \mathcal{V})$  over all parameter and quantization points defines an  $N$ -*facility locational-parameter optimization problem* [5]–[7], [20]. By the definition of the Voronoi regions (8) this is equivalent to the minimal average distortion over all  $N$ -level parameter quantizers

$$\bar{D}(\mathbf{Q}^*, \mathbf{h}^*, \mathcal{V}^*) = \min_{(\mathbf{Q}, \mathbf{h}) \in \Omega^N \times \mathbb{R}_+^N} \bar{D}(\mathbf{Q}, \mathbf{h}, \mathcal{V}) = \min_{(\mathbf{Q}, \mathbf{h}) \in \Omega^N \times \mathbb{R}_+^N} \min_{\mathcal{R} = \{\mathcal{R}_n\} \subset \Omega^N} \bar{D}(\mathbf{Q}, \mathbf{h}, \mathcal{R}). \quad (9)$$

which we call the  $N$ -*level parameter optimized quantizer*. To find local extrema of (8) analytically, we will need that the objective function  $\bar{D}$  is continuously differentiable at any point in  $\Omega^N \times \mathbb{R}_+^N$ , i.e., the gradient exists and is a continuous function, as was shown for piecewise continuous non-decreasing distortion functions in the Euclidean metric over  $\Omega^N$  [21, Thm.2.2] and [5]. We could extend this result in [22] to continuous distortion function over arbitrary  $d$ -dimensional parameter sets in  $\mathbb{R}^d$ , which are analytical multivariate functions if restricted to  $\Omega$ . Then the necessary condition for a local extrema is the vanishing of the gradient at a critical point<sup>1</sup>. First we will derive the generalized Voronoi regions for convex sets  $\Omega$  in  $d$  dimensions for any parameters  $h_n \in \mathbb{R}_+$  for the quantization points  $\mathbf{q}_n$ , which are special cases of *Möbius diagrams*, introduced very recently in [4].

<sup>1</sup>Note, if  $\nabla \bar{D}$  is not continuous in  $\mathcal{P}^N$  than any jump-point is a potential critical point and has to be checked individually.

**Lemma 1.** Let  $\mathbf{Q} = \{\mathbf{q}_1, \mathbf{q}_2, \dots, \mathbf{q}_N\} \subset \Omega^N \subset (\mathbb{R}^d)^N$  for  $d \in \{1, 2\}$  be the quantization points and  $\mathbf{h} = (h_1, \dots, h_N) \in \mathbb{R}_+^N$  the associated parameters. Then the average distortion of  $(\mathbf{Q}, \mathbf{h})$  over all samples in  $\Omega$  distributed by  $\lambda$  for some exponent  $\gamma \geq 1$

$$\bar{D}(\mathbf{Q}, \mathbf{h}) = \sum_{n=1}^N \int_{\mathcal{V}_n} \frac{(\|\mathbf{q}_n - \boldsymbol{\omega}\|^2 + h_n^2)^\gamma}{h_n} \lambda(\boldsymbol{\omega}) d\boldsymbol{\omega} \quad (10)$$

has generalized Voronoi regions  $\mathcal{V}_n = \mathcal{V}_n(\mathbf{Q}, \mathbf{h}) = \bigcap_{m \neq n} \mathcal{V}_{nm}$ , where the dominance regions of quantization point  $n$  over  $m$  are given by

$$\mathcal{V}_{nm} = \Omega \cap \begin{cases} HS(\mathbf{q}_n, \mathbf{q}_m) & , h_m = h_n \\ B(\mathbf{c}_{nm}, r_{nm}) & , h_n < h_m \\ B^c(\mathbf{c}_{nm}, r_{nm}) & , h_n > h_m \end{cases} \quad (11)$$

with center and radii

$$\mathbf{c}_{nm} = \frac{\mathbf{q}_n - h_{nm} \mathbf{q}_m}{1 - h_{nm}} \quad \text{and} \quad r_{nm} = \left( \frac{h_{nm}}{(1 - h_{nm})^2} \|\mathbf{q}_n - \mathbf{q}_m\|^2 + h_n^2 \frac{h_{nm}^{1-2\gamma} - 1}{1 - h_{nm}} \right)^{\frac{1}{2}}. \quad (12)$$

Here, we introduced the parameter ratio of the  $n$ th and  $m$ th quantization point as

$$h_{nm} = (h_m/h_n)^\gamma. \quad (13)$$

*Remark.* It is also possible that two quantization points are equal, but have different parameters. If the parameter ratio is very small or very large, one quantization point can become redundant, i.e., if its optimal quantization set is empty. In fact, if we optimize over all quantizer points, such a case will be excluded, which we will show for one-dimension in Lemma 3.

*Proof.* The minimization (7) of the distortion functions over  $\Omega$  defines an assignment rule for a generalized Voronoi diagram  $\mathcal{V}(\mathbf{Q}, \mathbf{h}) = \{\mathcal{V}_1, \mathcal{V}_2, \dots, \mathcal{V}_N\}$  where

$$\mathcal{V}_n = \mathcal{V}_n(\mathbf{Q}, \mathbf{h}) := \{ \boldsymbol{\omega} \in \Omega \mid a_n \|\mathbf{q}_n - \boldsymbol{\omega}\|^2 + b_n \leq a_m \|\mathbf{q}_m - \boldsymbol{\omega}\|^2 + b_m, m \neq n \} \quad (14)$$

is the  $n$ th generalized Voronoi region, see for example [20, Cha.3]. Here we denoted the weights by the positive numbers

$$a_n = h_n^{-\frac{1}{\gamma}}, \quad b_n = h_n^{2-\frac{1}{\gamma}} \quad (15)$$

which define a *Möbius diagram* [4], [23]. The bisectors of Möbius diagrams are circles or lines in  $\mathbb{R}^2$  as we will show below. The  $n$ th Voronoi region is defined by  $N - 1$  inequalities, which can be written as the intersection of the  $N - 1$  dominance regions of  $\mathbf{q}_n$  over  $\mathbf{q}_m$ , given by

$$\mathcal{V}_{nm} = \{ \boldsymbol{\omega} \in \Omega \mid a_n \|\mathbf{q}_n - \boldsymbol{\omega}\|^2 + b_n \leq a_m \|\mathbf{q}_m - \boldsymbol{\omega}\|^2 + b_m \}. \quad (16)$$

If  $h_n = h_m$  then  $a_n = a_m$  and  $b_n = b_m$ , such that  $\mathcal{V}_{nm} = HS(\mathbf{q}_n, \mathbf{q}_m)$ , the left half-space between  $\mathbf{q}_n$  and  $\mathbf{q}_m$ . For  $a_n > a_m$  we can rewrite the inequality as

$$\|\boldsymbol{\omega}\|^2 - 2 \langle \mathbf{c}_{nm}, \boldsymbol{\omega} \rangle + \frac{a_n^2 \|\mathbf{q}_n\|^2 + a_m^2 \|\mathbf{q}_m\|^2 - a_n a_m (\|\mathbf{q}_n\|^2 + \|\mathbf{q}_m\|^2)}{(a_n - a_m)^2} + \frac{b_n - b_m}{a_n - a_m} \leq 0$$

where the center point is given by

$$\mathbf{c}_{nm} = \frac{a_n \mathbf{q}_n - a_m \mathbf{q}_m}{a_n - a_m} = a_n \frac{\mathbf{q}_n - h_{nm} \mathbf{q}_m}{a_n - a_m} = \frac{\mathbf{q}_n - h_{nm} \mathbf{q}_m}{1 - h_{nm}} \quad (17)$$

where we introduced the *parameter ratio* of the  $n$ th and  $m$ th quantization point as

$$h_{nm} := a_m/a_n = (h_n/h_m)^{\frac{2}{1+\alpha}} > 0. \quad (18)$$

If  $0 < a_n - a_m$ , which is equivalent to  $h_n < h_m$ , then this defines a ball (disc) and for  $h_n > h_m$  its complement. Hence we get

$$\mathcal{V}_{nm} = \begin{cases} B(\mathbf{c}_{nm}, r_{nm}) = \{\boldsymbol{\omega} \in \Omega \mid \|\boldsymbol{\omega} - \mathbf{c}_{nm}\| < r_{nm}\}, & h_n < h_m \\ HS(\mathbf{q}_n, \mathbf{q}_m) = \{\boldsymbol{\omega} \in \Omega \mid \|\boldsymbol{\omega} - \mathbf{q}_n\| \leq \|\boldsymbol{\omega} - \mathbf{q}_m\|\}, & h_n = h_m \\ B^c(\mathbf{c}_{nm}, r_{nm}) = \{\boldsymbol{\omega} \in \Omega \mid \|\boldsymbol{\omega} - \mathbf{c}_{nm}\| > r_{nm}\}, & h_n > h_m \end{cases} \quad (19)$$

where the radius square is given by

$$r_{nm}^2 = a_n a_m \frac{\|\mathbf{q}_n - \mathbf{q}_m\|^2}{(a_n - a_m)^2} + \frac{b_m - b_n}{a_n - a_m} = \frac{a_n}{a_m} \frac{\|\mathbf{q}_n - \mathbf{q}_m\|^2}{(1 - \frac{a_n}{a_m})^2} + \frac{b_m - b_n}{a_n - a_m}. \quad (20)$$

The second summand can be written as

$$\frac{b_m - b_n}{a_n - a_m} = \frac{h_m^{2-\frac{2}{1+\alpha}} - h_n^{2-\frac{2}{1+\alpha}}}{h_n^{-\frac{2}{1+\alpha}} - h_m^{-\frac{2}{1+\alpha}}} = \frac{h_n^2 \left( (h_n/h_m)^{\frac{2}{1+\alpha}-2} - 1 \right)}{1 - (h_n/h_m)^{\frac{2}{1+\alpha}}} = h_n^2 \frac{h_{nm}^{-\alpha} - 1}{1 - h_{nm}}. \quad (21)$$

For any  $\alpha \geq 1$ , we have  $h_{nm} = (h_n/h_m)^{2/(1+\alpha)} < 1$  if  $h_n < h_m$  and  $h_{nm} > 1$  if  $h_m > h_n$ . In both cases (21) is positive, which implies a radius  $r_{nm} > 0$  whenever  $\mathbf{q}_n \neq \mathbf{q}_m$ . Inserting (21) in (20) yields the result.  $\blacksquare$

*Example 1.* We plotted in Figure 1 for  $N = 2$  and  $\Omega = [0, 1]^2$  the GT cells for a uniform distribution with UAVs placed on

$$\mathbf{q}_1 = (0.1, 0.2), h_1 = 0.5, \quad \text{and} \quad \mathbf{q}_2 = (0.6, 0.6), h_2 = 1 \quad (22)$$

If the second UAV reaches an altitude of  $h_2 \geq 2.3$  its Voroni cell  $\mathcal{V}_2 = \mathcal{V}_{2,1}$  will be empty and hence become “inactive”.

### 3.1 Local optimality conditions

To find the optimal  $N$ –level parameter quantizer (8), we have to minimize the average distortion (7) over all possible parameter quantization points in  $\mathcal{P}^N$ , i.e., we have to solve a non-convex  $N$ –facility locational-parameter optimization problem,

$$\bar{D}(\mathbf{Q}^*, \mathbf{h}^*, \mathcal{V}^*) = \min_{\mathbf{Q} \in \Omega^N, \mathbf{h} \in \mathbb{R}_+^N} \sum_{n=1}^N \int_{\mathcal{V}_n(\mathbf{Q}, \mathbf{h})} h_n^{-1} (\|\mathbf{q}_n - \boldsymbol{\omega}\|^2 + h_n^2)^\gamma \lambda(\boldsymbol{\omega}) d\boldsymbol{\omega} \quad (23)$$

where  $\mathcal{V}_n(\mathbf{Q}, \mathbf{h})$  are the Möbius regions given in (11) for each fixed  $(\mathbf{Q}, \mathbf{h})$ . A point  $(\mathbf{Q}^*, \mathbf{h}^*)$  with Möbius regions  $\mathcal{V}^* = \mathcal{V}(\mathbf{Q}^*, \mathbf{h}^*) = \{\mathcal{V}_1^*, \dots, \mathcal{V}_N^*\}$  is a critical point of (23) if all partial derivatives of  $\bar{D}$  are vanishing, i.e., if for each  $n \in [N]$  it holds

$$0 = \int_{\mathcal{V}_n^*} (\mathbf{q}_n^* - \boldsymbol{\omega}) (\|\mathbf{q}_n^* - \boldsymbol{\omega}\|^2 + h_n^{*2})^{\gamma-1} \lambda(\boldsymbol{\omega}) d\boldsymbol{\omega} \quad (24)$$

$$0 = \int_{\mathcal{V}_n^*} (\|\mathbf{q}_n^* - \boldsymbol{\omega}\|^2 + h_n^{*2})^{\gamma-1} \cdot (\|\mathbf{q}_n^* - \boldsymbol{\omega}\|^2 - (2\gamma - 1)h_n^{*2}) \lambda(\boldsymbol{\omega}) d\boldsymbol{\omega} \quad (25)$$

For  $N = 1$  the integral regions will not depend on  $\mathbf{P}$  or  $\mathbf{h}$  and since the integral kernel is continuous differentiable, the partial derivatives will only apply to the integral kernel. For  $N > 1$  the conversation law of mass, can be used to show that the derivatives of the integral domains will cancel each other out, see also [22], [21].

*Remark.* The shape of the regions depend on the parameters, which if different for each quantization point (heterogeneous), generate spherical and not polyhedral regions. We will show later, that homogeneous parameter selection with polyhedral regions will be the optimal regions for  $d = 1$ .

### 3.2 The optimal $N$ –level parameter quantizer in one-dimension for uniform density

In this section, we discuss the parameter optimized quantizer for a one-dimensional convex source  $\Omega \subset \mathbb{R}$ , i.e., for an interval  $\Omega = [s, t]$ . Under such circumstances, the quantization points are degenerated to scalars, i.e.,  $\mathbf{q}_n = x_n \in [s, t]$ ,  $\forall n \in [N]$ . If we shift the interval  $\Omega$  by an arbitrary number  $a \in \mathbb{R}$ , then the average distortion, i.e., the objective function, will not change if we shift all quantization points by the same number  $a$ . Hence, if we set  $a = -s$  we can shift any quantizer for  $[s, t]$  to  $[0, A]$  where  $A = t - s$  without changing the average distortion. Let us assume a uniform distribution on  $\Omega$ , i.e.  $\lambda(\omega) = 1/A$ . To derive the unique  $N$ –level parameter optimized quantizer we need first to derive the optimal 1–level parameter quantizer.

**Lemma 2.** *Let  $A > 0$  and  $\gamma \geq 1$ . The unique 1–level parameter optimized quantizer  $(x^*, h^*)$  with distortion function (5), is given for a uniform source density in  $[0, A]$  by*

$$x^* = \frac{A}{2}, \quad h^* = \frac{A}{2} g(\gamma) \quad \text{and minimal average distortion} \quad \bar{D}(x^*, h^*) = \left(\frac{A}{2}\right)^{2\gamma-1} g(\gamma)$$

where  $g(\gamma) = \arg \min_{u>0} F(u, \gamma) < 1/\sqrt{2\gamma-1}$  is the unique minimizer of

$$F(u, \gamma) = \int_0^1 f(\omega, u, \gamma) d\omega \quad \text{with} \quad f(\omega, u, \gamma) = \frac{(\omega^2 + u^2)^\gamma}{u} \quad (26)$$

which is for fixed  $\gamma$  a continuous and convex function over  $\mathbb{R}_+$ . The global minimum can be derived in closed form for integer valued  $\gamma$  as

$$g(1) = \sqrt{1/3}, \quad g(2) = \sqrt{(\sqrt{32/5} - 1)/9}, \quad g(3) = \sqrt{\left((32/7)^{1/3} - 1\right)/5}. \quad (27)$$

*Proof.* See Appendix A. ■

*Remark.* The convexity of  $F(\cdot, \gamma)$  can be also shown by using extensions of the Hermite-Hadamard inequality [24], which allows to show convexity over any interval. This suggest, that as long as the generalized Voronoi regions remain convex, the objective function might be still convex. Let us note here, that for any fixed parameter  $h_n > 0$ , the average distortion  $\bar{D}(x_n^* \pm \epsilon, h_n)$  is strictly monotone increasing in  $\epsilon > 0$ . Hence,  $x_n^*$  is the unique minimizer for any  $h_n > 0$ . We will use this decoupling property repeatedly in the proofs.

To derive our main result, we need some general properties of the optimal regions.

**Lemma 3.** *Let  $\Omega = [0, A]$  for some  $A > 0$ . The  $N$ -level parameter optimized quantizer  $(\mathbf{Q}^*, \mathbf{h}^*) \in \Omega^N \times \mathbb{R}_+^N$  for a uniform source density in  $\Omega$  has optimal quantization regions  $\mathcal{V}_n(\mathbf{Q}^*, \mathbf{h}^*) = [b_{n-1}^*, b_n^*]$  with  $0 \leq b_{n-1}^* < b_n^* \leq A$  and optimal quantization points  $x_n^* = (b_n^* + b_{n-1}^*)/2$  for  $n \in [N]$ , i.e., each region is a closed interval with positive measure and centroidal quantization points.*

*Proof.* See Appendix B. ■

*Remark.* Hence, for an  $N$ -level parameter optimized quantizer, all quantization points are used, which is intuitively, since each quantization point should reduce the distortion of the quantizer by partitioning the source in non-zero regions.

**Theorem 1.** *Let  $N \in \mathbb{N}$ ,  $\Omega = [0, A]$  for some  $A > 0$ , and  $\gamma \geq 1$ . The unique  $N$ -level parameter optimized quantizer  $(\mathbf{Q}^*, \mathbf{h}^*, \mathcal{R}^*)$  is the uniform scalar quantizer with identical parameter values, given for  $n \in [N]$  by*

$$\mathbf{q}_n^* = x_n^* = \frac{A}{2N}(2n-1), \quad h^* = h_n^* = \frac{A}{2N}g(\gamma), \quad \mathcal{R}_n^* = \left[\frac{A}{N}(n-1), \frac{A}{N}n\right] \quad (28)$$

with minimal average distortion

$$\bar{D}(\mathbf{Q}^*, \mathbf{h}^*, \mathcal{R}^*) = \left(\frac{A}{2N}\right)^{2\gamma-1} \int_0^1 \frac{(\omega^2 + g^2(\gamma))^\gamma}{g(\gamma)} d\omega. \quad (29)$$

For  $\gamma \in \{1, 2, 3\}$  we can derive  $g(\gamma)$  in closed form (27).



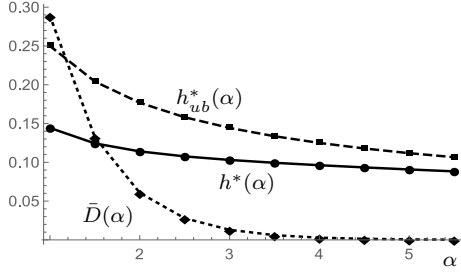


Figure 2: Optimal height (solid) with bound (dashed) and average distortion (dotted) for  $N = 2, A = 1$  and uniform GT density.

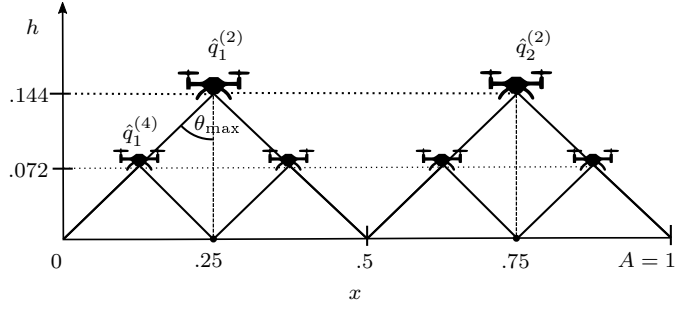


Figure 3: Optimal UAV deployment in one dimension for  $A = 1, \alpha = 1$  and  $N = 2, 4$  over a uniform GT density by (28).

*Proof.* See Appendix C. ■

*Example 2.* We plotted in Figure 2 the optimal heights and optimal average distortion for a uniform GT density in  $[0, 1]$  over various  $\alpha$  and  $N = 2$ . Note, that the factor  $A/2N = 1/4$  will play a crucial role for the height and distortion scaling. Moreover, the distortion decreases exponentially in  $\alpha$  if  $A/2N < 1$ .

Let us set  $\beta = 1 = A$ . Then the optimal UAV deployment is pictured in Figure 3 for  $N = 2$  and  $N = 4$ . The maximal evaluation angle  $\theta_{\max}$  is hereby constant for each UAV and does not change if the number of UAVs  $N$  increase. Moreover, it is also independent of  $A$  and  $\beta$ , since with (28) we have  $d_n = x_n^* - x_{n-1}^* = A/N$  and

$$\cos(\theta_{\max}) = \cos(\theta_n) = \frac{h^*}{d_n^*/2} = \frac{2N}{A} \frac{A}{2N} g(1) = \frac{1}{\sqrt{3}}. \quad (30)$$

## 4 Numerical Algorithms and Simulation Results over Two-Dimensions

In this section, we introduce two Lloyd-like algorithms, Lloyd-A and Lloyd-B, to optimize the quantizer. The proposed algorithms iterate between two steps: (1) The reproduction points are optimized through gradient descent while the partitioning is fixed; (ii) The partitioning is optimized while the reproduction points are fixed. In Lloyd-A, all UAVs (or reproduction points) share the common flight height while Lloyd-B allows UAVs to flight on different heights.

In what follows, we provide the simulation results over the two-dimension target region  $\Omega = [0, 10] \times [0, 10]$  with uniform and non-uniform density functions. The non-uniform density function is a Gaussian mixture of the form  $\sum_{k=1}^3 \frac{A_k}{\sqrt{2\pi}\sigma_k} \exp\left(-\frac{\|\omega - c_k\|^2}{2\sigma_k^2}\right)$ , where the weights,  $A_k$ , are 0.5, 0.25, 0.25, the mean,  $c_k$ , are (3, 3), (6, 7), (7.5, 2.5), the standard deviations,  $\sigma_k$ , are 1.5, 1, and 2.

To evaluate the performance of the proposed algorithms, we compare them with the average distortion of 100 random deployments (RD). Figs. 4a and 4b, show that the proposed algorithms outperform the random deployment on both uniform and

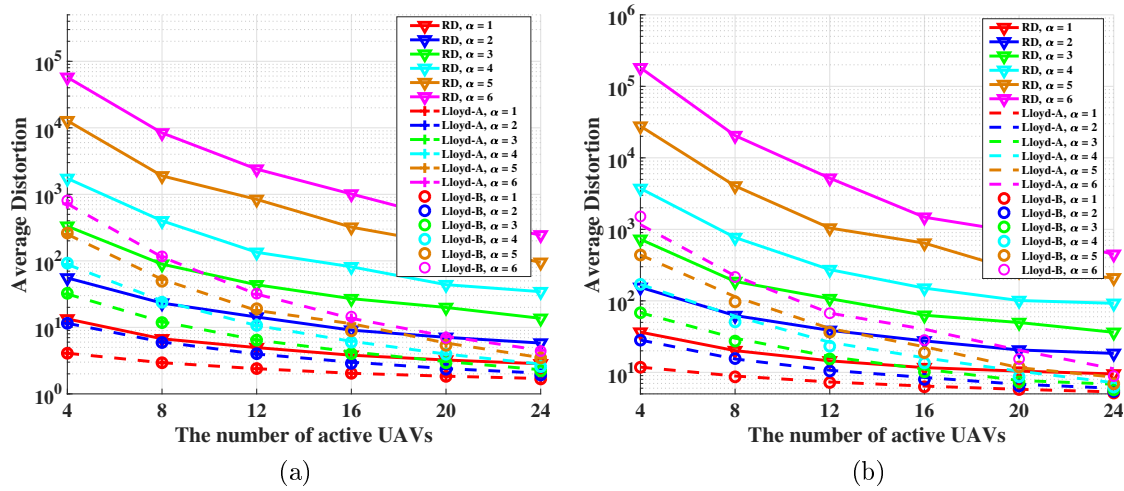


Figure 4: The performance comparison of Lloyd-A, Lloyd-B and Random Deployment (RD). (a) Uniform density. (b) Non-uniform density.

non-uniform distributed target region. From 4a, one can also find that the distortion achieved by Lloyd-A and Lloyd-B are very close, indicating that the common height property in one-dimension region might be extended to two-dimension region when the density function is uniform. However, one can find a nonnegligible gap between Lloyd-A and Lloyd-B from Fig. 4b where the density function is non-uniform. For instance, given 16 UAVs and pass loss exponent  $\alpha = 6$ , Lloyd-A's distortion is 40.17 while Lloyd-B obtains a smaller one, 28.25, by placing UAVs on different heights. Figs. 5a and 5b illustrate the UAV ground projections and their partitions on a uniform distributed region. As the number of UAVs increases, the UAV partitions approximate to be hexagons which implies the common height property (Theorem 1) might be extended to uniform distributed two-dimension. However, our simulations in [25] show that the common height is no longer a necessary condition for the optimal quantizer when distribution is non-uniform.

## 5 Conclusion

A new parameter weighted quantizer and its applications are studied in this paper. Instead of using the traditional mean distance square as the distortion, we introduce a distortion function which models the energy consumption of UAVs in dependence of their heights. We derived the unique parameter optimized quantizer - a uniform scalar quantizer with an optimal common weight - for uniform source density in one-dimensional space. In addition, two Lloyd-like algorithms are designed to minimize the distortion in two-dimensional space. Numerical simulations demonstrate that for a uniform density the common weight property extends to two-dimensional space.

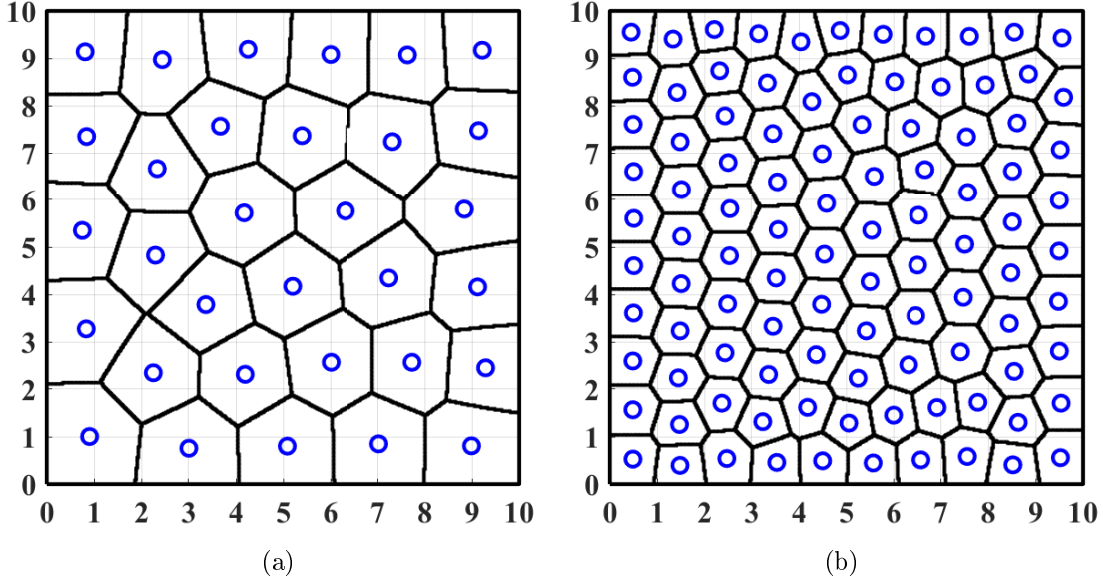


Figure 5: The UAV projections on the ground with generalized Voronoi Diagrams where  $\alpha = 2$ . (a) 32 UAVs. (b) 100 UAVs.

### A Proof of Lemma 2

To find the optimal 1-level parameter quantizer  $(x^*, h^*)$  for a uniform density  $\lambda(\omega) = 1/A$ , we need to satisfy (25), i.e., for<sup>2</sup>  $\Omega = \mathcal{V}_1 = \mathcal{V}_1^* = [0, A]$

$$0 = \int_0^A (x^* - \omega) ((x^* - \omega)^2 + h^{*2})^{\gamma-1} d\omega. \quad (31)$$

Substituting  $x^* - \omega$  by  $\omega$  we get

$$0 = \int_{x^*-A}^{x^*} \omega (\omega^2 + h^{*2})^{\gamma-1} d\omega \quad (32)$$

Since the integral kernel is an odd function in  $\omega$  and  $x^* \in [0, A]$  it must hold

$$0 = - \int_0^{x^*-A} \omega (\omega^2 + h^{*2})^{\gamma-1} d\omega + \int_0^{x^*} \omega (\omega^2 + h^{*2})^{\gamma-1} d\omega \quad (33)$$

by substituting  $\omega$  by  $-\omega$  we get

$$\int_0^{A-x^*} \omega (\omega^2 + h^{*2})^{\gamma-1} d\omega = \int_0^{x^*} \omega (\omega^2 + h^{*2})^{\gamma-1} d\omega. \quad (34)$$

Hence for any choice of  $h^*$  it must hold  $x^* = A - x^*$ , which is equivalent to  $x^* = A/2$ . To find the optimal parameter, we can just insert  $x^*$  into the average distortion

$$\bar{D}(x^*, h) = \frac{1}{A} \int_0^A \frac{(x^* - \omega)^2 + h^2}{h} d\omega = \frac{1}{A} \int_0^{A/2} \frac{(\omega^2 + h^2)^\gamma}{h} d\omega \quad (35)$$

---

<sup>2</sup>Note, there is no optimizing over the regions, since there is only one.

where we substituted again and inserted  $x^* = A/2$ . By substituting  $\omega$  with  $2\omega/A$  and  $h$  with  $u = 2h/A$  we get

$$= \int_0^1 \frac{2}{A} \frac{((A\omega/2)^2 + (Au/2)^2)^\gamma}{u} d\omega = \left(\frac{A}{2}\right)^{2\gamma-1} \int_0^1 f(\omega, u, \gamma) d\omega \quad (36)$$

where for each  $\gamma \geq 1$  the integral kernel  $f$  is a convex function in  $\mathbf{x} = (\omega, u)$  over  $\mathbb{R}_+^2$ . Let us rewrite  $f$  as

$$f(\omega, u, \gamma) = \frac{(\omega^2 + u^2)^\gamma}{u} = \frac{\|(\omega, u)\|_2^{2\gamma}}{u}. \quad (37)$$

Clearly,  $\|\mathbf{x}\|_2$  is a convex and continuous function in  $\mathbf{x}$  over  $\mathbb{R}^2$  and since  $(\cdot)^{2\gamma}$  with  $2\gamma \geq 2$  is a strictly increasing continuous function the concatenation  $f(\mathbf{x}, \gamma)$  is a strict convex and continuous function over  $\mathbb{R}_+^2$ . Hence, for any  $\mathbf{x}_1, \mathbf{x}_2 \in \mathbb{R}^2$  we have

$$\|\lambda \mathbf{x}_1 + (1 - \lambda) \mathbf{x}_2\|_2^{2\gamma} < \lambda \|\mathbf{x}_1\|_2^{2\gamma} + (1 - \lambda) \|\mathbf{x}_2\|_2^{2\gamma} \quad (38)$$

for all  $\lambda \in (0, 1)$ . But then we have also for any  $u_1, u_2 \in \mathbb{R}_+^2$  and  $\omega \geq 0$

$$f(\lambda u_1 + (1 - \lambda) u_2, \omega, \gamma) < \frac{\lambda \|\omega, u_1\|_2^{2\gamma} + (1 - \lambda) \|\omega, u_2\|_2^{2\gamma}}{\lambda u_1 + (1 - \lambda) u_2}. \quad (39)$$

Since it holds

$$\frac{1}{u_1} + \frac{1}{u_2} = \left(\frac{1}{u_1} + \frac{1}{u_2}\right) \frac{\lambda u_1 + (1 - \lambda) u_2}{\lambda u_1 + (1 - \lambda) u_2} = \frac{\left(\lambda + \frac{(1 - \lambda) u_2}{u_1} + (1 - \lambda) + \frac{\lambda u_1}{u_2}\right)}{\lambda u_1 + (1 - \lambda) u_2} > \frac{1}{\lambda u_1 + (1 - \lambda) u_2}$$

we get with (39)

$$f(\lambda u_1 + (1 - \lambda) u_2, \omega, \gamma) < \lambda f(u_1, \omega, \gamma) + (1 - \lambda) f(u_2, \omega, \gamma) \quad (40)$$

for each  $\lambda \in (0, 1)$ . Hence the integral kernel is strict convex for every  $\omega \geq 0, \gamma \geq 1$ , and since the infinite sum (integral) of convex functions is again a convex function for  $u > 0$ , we have shown convexity of  $F(u, \gamma)$ . Note,  $f(u, \omega, \gamma)$  is continuous in  $\mathbb{R}_+^2$  since it is a product of the continuous functions  $\|(u, \omega)\|_2^{2\gamma}$  and  $1/(u + 0 \cdot \omega)$ , and so is  $F(u, \gamma)$ . Therefore, the only critical point of  $F(\cdot, \gamma)$  will be the unique global minimizer

$$g(\gamma) = \arg \min_{u > 0} F(u, \gamma), \quad (41)$$

which is defined by the vanishing of the first derivative:

$$F'(u) = \int_0^1 (\omega^2 + u^2)^{\gamma-1} \left( (2\gamma - 1) - \frac{\omega^2}{u^2} \right) d\omega = \frac{1}{u^2} \int_0^1 (\omega^2 + u^2)^{\gamma-1} ((2\gamma - 1)u^2 - \omega^2) d\omega. \quad (42)$$

Hence,  $F'(u)$  can only vanish if  $u < 1/\sqrt{2\gamma - 1}$ , which is an upper bound on  $g(\gamma)$ . The optimal parameter for minimizing the average distortion (35) is then

$$h^* = \frac{A}{2} g(\gamma) \quad \text{with} \quad \bar{D}(x^*, h^*) = \left(\frac{A}{2}\right)^{2\gamma-1} g(\gamma). \quad (43)$$

Analytical solutions for  $F'(u) = 0$  are possible for integer valued  $\gamma$ . Let us set  $0 < x = u^2$  in (42), then we get for  $\gamma \in \mathbb{N}$  a polynomial in  $\omega$  of degree  $2\gamma$  and in  $x$  of degree  $\gamma$ . For  $\gamma \in \{1, 2, 3\}$  we get

$$(\omega^2 - 1x)(\omega^2 + x)^0 = \omega^2 - x \quad (44)$$

$$(\omega^2 - 3x)(\omega^2 + x)^1 = \omega^4 - 2\omega^2x - 3x^2 \quad (45)$$

$$(\omega^2 - 5x)(\omega^2 + x)^2 = \omega^6 - 3\omega^4x - 9\omega^2x^2 - 5x^3 \quad (46)$$

which yield with the definite integrals to

$$0 = \omega \left( \frac{\omega^2}{3} - x \right) \Big|_{\omega=1} \quad (47)$$

$$0 = \omega \left( \frac{\omega^4}{5} - \frac{2\omega^2x}{3} - 3x^2 \right) \Big|_{\omega=1} \quad (48)$$

$$0 = \omega \left( \frac{\omega^6}{7} - \frac{3\omega^4x}{5} - 3\omega^2x^2 - 5x^3 \right) \Big|_{\omega=1} \quad (49)$$

Solving the first for  $x$  yields to the only feasible solution

$$x = \frac{1}{3} \quad \Rightarrow \quad g(1) = \frac{1}{\sqrt{3}} \approx 0.577. \quad (50)$$

The second yields for  $x$  to

$$x_{1,2} = -\frac{1}{9} \pm \sqrt{\frac{1}{81} + \frac{1}{15}} = \frac{\pm\sqrt{32/5} - 1}{9} \quad (51)$$

Since only positive roots are allowed we get as only feasible solution

$$g(3) = \frac{\sqrt{\sqrt{32/5} - 1}}{3} \approx 0.412. \quad (52)$$

Finally, for  $\alpha = 5$  we get the cubic equation (49)

$$5x^3 + 3x^2 + \frac{3}{5}x - \frac{1}{7} = 0 \quad (53)$$

Then the discriminant is given by, see for example [26, p. 2.3.2],

$$\Delta = q^2 + 4p^3 \quad \text{with} \quad q = \frac{2b^3 - 9abc + 27a^2d}{27a^3}, p = \frac{3ac - b^2}{9a^2} \quad (54)$$

Let us identify  $a = 5, b = 3, c = 3/5$  and  $d = -1/7$ , then we get

$$q = \frac{6 \cdot 9 - 9 \cdot 9 - 27 \cdot 5^2 \cdot 1/7}{27 \cdot 5^3} = -\frac{3}{3 \cdot 5 \cdot 25} - \frac{1}{5 \cdot 7} = -\frac{32}{25 \cdot 35} \quad (55)$$

$$\Delta = q^2 + 4 \left( \frac{3 \cdot 3 - 9}{9 \cdot 5^2} \right)^3 = q^2 > 0 \quad (56)$$

which indicates only one real-valued root, given by

$$x = \alpha_+^{1/3} + \alpha_-^{1/3} - \frac{b}{3a} \quad \text{with} \quad \alpha_{\pm} = \frac{-q \pm \sqrt{\Delta}}{2} = \{0, \frac{32}{25 \cdot 35}\} \quad (57)$$

which computes to

$$x = \left(\frac{32}{5^3 \cdot 7}\right)^{1/3} - \frac{1}{5} = \frac{(\frac{32}{7})^{1/3} - 1}{5} \Rightarrow g(5) = \sqrt{\frac{(\frac{32}{7})^{1/3} - 1}{5}} \approx 0.363. \quad (58)$$

## B Proof of Lemma 3

Although, this statement seems to be trivial, it is not straight forward to show. We will use the quantization relaxation for the average distortion  $\bar{D}$  in (7) to show that the  $N$ -level parameter optimized quantizer has strictly smaller distortion than the  $(N-1)$ -level optimized quantizer (8). We define, as in quantization theory, see for example [27], an  $N$ -level quantizer for  $\Omega$ , by a (disjoint) partition  $\mathcal{R} = \{\mathcal{R}_n\}_{n=1}^N \subset \Omega$  of  $\Omega$  and assign to each partition region  $\mathcal{R}_n$  a parameter-quantization point  $(\mathbf{q}_n, h_n) \in \Omega \times \mathbb{R}_+$ . The assignment rule or *quantization rule* can be anything such that the regions are independent of the value of the parameter and quantization points. Minimizing over all quantizer, that is, over all partitions and possible parameter-quantization points will yield to the parameter optimized quantizer, which is by definition the optimal deployment (reproduction points) which generate the generalized Voronoi regions as the optimal partition (tessellation<sup>3</sup>). This holds for any density function  $\lambda(\omega)$  and target area  $\Omega$ . To see this<sup>4</sup> let us start with any quantizer  $(\mathbf{Q}, \mathbf{h}, \mathcal{R})$  for  $\Omega$  yielding to the average distortion

$$\begin{aligned} \bar{D}(\mathbf{Q}, \mathbf{h}, \mathcal{R}) &= \sum_{n=1}^N \int_{\mathcal{R}_n} D(\mathbf{q}_n, h_n, \omega) \lambda(\omega) d\omega \geq \sum_{n=1}^N \int_{\mathcal{R}_n} \left( \min_{m \in [N]} D(\mathbf{q}_m, h_n, \omega) \right) \lambda(\omega) d\omega \\ &= \int_{\Omega} \min_{m \in [N]} D(\mathbf{q}_m, h_n, \omega) \lambda(\omega) d\omega = \sum_n \int_{\mathcal{V}_n(\mathbf{Q}, \mathbf{h})} D(\mathbf{q}_n, h_n, \omega) \lambda(\omega) d\omega \end{aligned} \quad (59)$$

where the first inequality is only achieved if for any  $\omega \in \mathcal{R}_n$  we have chosen  $(\mathbf{q}_n, h_n)$  to be the optimal quantization point with respect to  $D$ , or vice versa, if every  $(\mathbf{q}, h_n)$  is optimal for every  $\omega \in \mathcal{R}_n$ , which is the definition of the generalized Voronoi region  $\mathcal{V}(\mathbf{Q}, \mathbf{h})$ . Therefore, minimizing over all partitions gives equality, i.e.

$$\min_{\mathcal{R}} \bar{D}(\mathbf{Q}, \mathbf{h}, \mathcal{R}) = \bar{D}(\mathbf{Q}, \mathbf{h}, \mathcal{V}(\mathbf{Q}, \mathbf{h})) \quad (60)$$

for any  $(\mathbf{Q}, \mathbf{h}) \in \Omega^N \times \mathbb{R}_+^N$ . Hence, we have shown that the parameter-quantizer optimization problem is equivalent to the locational-parameter optimization problem

$$\min_{\mathbf{Q} \in \Omega^N, \mathbf{h} \in \mathbb{R}_+^N} \min_{\mathcal{R} \in \Omega^N} \bar{D}(\mathbf{P}, \mathcal{R}) = \min_{\mathbf{Q} \in \Omega^N, \mathbf{h} \in \mathbb{R}_+^N} \bar{D}(\mathbf{Q}, \mathbf{h}, \mathcal{V}(\mathbf{Q}, \mathbf{h})) = \bar{D}(\mathbf{Q}^*, \mathbf{h}^*, \mathcal{V}^*). \quad (61)$$

<sup>3</sup>Since we take here the continuous case, the integral will not distinguish between open or closed sets.

<sup>4</sup>We use the same argumentation as in the prove of [28, Prop.1].

We need to show, that for the optimal  $N$ -parameter-quantizer  $(\mathbf{Q}^*, \mathbf{h}^*, \mathcal{V}^*)$  with  $\mathcal{V}^* = \mathcal{V}(\mathbf{Q}^*, \mathbf{h}^*)$  we have  $\mu(\mathcal{V}_n) > 0$  for all  $n \in [N]$ . Let us first show that each region is indeed a closed interval, i.e.,  $\mathcal{V}_n^* = [b_{n-1}^*, b_n^*]$  with  $0 \leq b_{n-1}^* \leq b_n^* \leq A$ .

By the definition of the Möbius regions in Lemma 1, each dominance region is either a single interval (if it is a ball not contained in the target region or a halfspace) or two disjoint intervals (if its a ball contained in the target region), we can not have more than  $K_n \leq 2N - 2$  disjoint closed intervals for each Möbius (generalized Voronoi) region. Therefore, the  $n$ th optimal Möbius region is given as  $\mathcal{V}_n^* = \bigcup_{k=1}^{K_n} v_{n,k}$ , where  $v_{n,k} = [a_{n,k-1}, a_{n,k}]$  are intervals for some  $0 \leq a_{n,k-1} \leq a_{n,k} \leq A$ .

Let us assume there are quantization points with disconnected regions, i.e.  $K_n > 1$  for  $n \in \mathcal{I}_d$  and some  $\mathcal{I}_d \subset [N]$ . Then we will re-arrange the partition  $\mathcal{V}^*$  by concatenating the  $K_n$  disconnected intervals  $v_{n,k}$  to  $\mathcal{R}_n = [b_{n-1}, b_n]$  for  $n \in \mathcal{I}_d$  and move the connected regions appropriate such that for all  $n \in [N]$  it holds  $\mu(\mathcal{R}_n) = \mu(\mathcal{V}_n^*) = b_n - b_{n-1}$  and  $b_{n-1} \leq b_n$ , where we set  $b_0 = 0$  and  $b_N = A$ . For the new concatenated regions, we move each  $q_n^*$  to the center of the new arranged regions, i.e.,  $\tilde{q}_n = \frac{b_n + b_{n-1}}{2}$  for  $n \in \mathcal{I}_d$ . If for the connected regions  $n \in [N] \setminus \mathcal{I}_d$  the quantization points  $q_n^*$  are not centroidal, than by placing them in the center of the closed interval, will by Lemma 2 obtain a strictly smaller distortion. Hence, for the optimal quantizer, the quantization points must be centroidal and we can assume  $\tilde{q}_n = (b_n + b_{n-1})/2$  for all  $n \in [N]$ . In this rearrangement we did not changed the parameters  $h_n^*$  at all. The so rearranged partition  $\mathcal{R} = \{\mathcal{R}_n\}$  and replaced quantization points  $\tilde{\mathbf{q}} = (\tilde{q}_1, \dots, \tilde{q}_N)$  has the average distortion

$$\bar{D}(\tilde{\mathbf{q}}, \mathbf{h}^*, \mathcal{R}) = \sum_{n=1}^N \int_{b_{n-1}}^{b_n} \frac{((\tilde{q}_n - \omega)^2 + h_n^{*2})^\gamma}{h_n^*} d\omega = 2 \sum_{n=1}^N \int_0^{\frac{b_n - b_{n-1}}{2}} \frac{(\omega^2 + h_n^{*2})^\gamma}{h_n^*} d\omega \quad (62)$$

where we substituted  $\omega$  by  $\tilde{q}_n - \omega$ . Since the parameters did not changed and the function  $(\omega^2 + h_n^{*2})^\gamma$  is strictly monotone increasing in  $\omega$  for each  $\gamma > 0$ , it holds for the average distortion of regions  $n \in \mathcal{I}_d$

$$\bar{D}_n(\tilde{q}_n, h_n^*, \mathcal{R}_n) = 2 \int_0^{\frac{b_n - b_{n-1}}{2}} \frac{(\omega^2 + h_n^{*2})^\gamma}{h_n^*} d\omega < \sum_{k=1}^{K_n} \int_{a_{n,k} - q_n^*}^{a_{n,k+1} - q_n^*} \frac{(\omega^2 + h_n^{*2})^\gamma}{h_n^*} d\omega \quad (63)$$

since the non-zero gaps in  $\bigcup_k [a_{n,k} - q_n^*, a_{n,k+1} - q_n^*]$  will lead to larger  $\omega$  in the RHS integral and therefore to a strictly larger average distortion. Therefore the points  $(\tilde{\mathbf{q}}, \mathbf{h}^*)$  with closed interval  $\mathcal{R} = \{\mathcal{R}_n\}$  have a strictly smaller average distortion, which contradicts the assumption that  $(\mathbf{q}^*, \mathbf{h}^*)$  is the parameter optimized quantizer (9). Hence  $K_n = 1$  for each  $n \in [N]$  and every  $\gamma \geq 1$ . Moreover, the optimal quantization points must be centroids of the intervals, i.e.  $x_n^* = (b_n^* + b_{n-1}^*)/2$ .

Now, we have to show that the optimal quantization regions  $\mathcal{V}_n^* = \{[b_{n-1}^*, b_n^*]\}_{n=1}^N$  are not points, i.e., it should hold  $b_n^* > b_{n-1}^*$  for each  $n \in [N]$ . If  $b_n^* = b_{n-1}^*$  for some  $n$ , then the  $n$ th average distortion  $\bar{D}_n$  would be zero for this quantization point, since the integral is vanishing. But then we only optimize over  $N - 1$  quantization points. So we only need to show, that an additional quantization point strictly decreases the minimal average distortion. Hence, take any non-zero optimal quantization region

$\mathcal{V}_n^* = [b_{n-1}^*, b_n^*]$ . We know by Lemma 2 that the optimal quantizer  $q_n^*$  for some closed interval  $\mathcal{V}_n^*$  must be its centroidal for any parameter  $h_n$ . Hence, if we split  $\mathcal{V}_n^*$  with  $A_n = b_n^* - b_{n-1}^*$  by a half and put two quantizers  $q_{n_1}$  and  $q_{n_2}$  with same parameter  $h_n^*$  in the center we will get with (63)

$$\bar{D}_{n_1} + \bar{D}_{n_2} = \frac{1}{h_n^*} \left( \int_{b_{n-1}}^{b_{n-1} + \frac{A_n}{2}} ((q_{n_1} - \omega)^2 + h_n^{*2})^\gamma d\omega + \int_{b_{n-1} + \frac{A_n}{2}}^{b_n} ((q_{n_2} - \omega)^2 + h_n^{*2})^\gamma d\omega \right)$$

substituting  $q_{n_i} - \omega$  by  $\omega$  we get

$$= \int_{-\frac{A_n}{4}}^{\frac{A_n}{4}} \frac{(\omega^2 + h_n^{*2})^\gamma}{h_n^*} d\omega + \int_{-\frac{A_n}{4}}^{\frac{A_n}{4}} \frac{(\omega^2 + h_n^{*2})^\gamma}{h_n^*} d\omega \quad (64)$$

$$= 2 \int_0^{\frac{A_n}{4}} \frac{(\omega^2 + h_n^{*2})^\gamma}{h_n^*} d\omega + 2 \int_0^{\frac{A_n}{4}} \frac{(\omega^2 + h_n^{*2})^\gamma}{h_n^*} d\omega \quad (65)$$

$$< 2 \int_0^{\frac{A_n}{4}} \frac{(\omega^2 + h_n^{*2})^\gamma}{h_n^*} d\omega + 2 \int_{\frac{A_n}{4}}^{\frac{A_n}{2}} \frac{(\omega^2 + h_n^{*2})^\gamma}{h_n^*} d\omega = 2 \int_0^{\frac{A_n}{2}} \frac{(\omega^2 + h_n^{*2})^\gamma}{h_n^*} d\omega = \bar{D}_n$$

Hence, the average distortion will strictly decrease if  $A_n > 0$ . Therefore, the  $N$ -level parameter optimized quantizer will have quantization boundaries  $b_n > b_{n-1}$  for  $n \in [N]$ .

## C Proof of Theorem 1

We know by Lemma 3 that the optimal quantization regions are closed non-vanishing intervals  $\mathcal{V}_n^* = [b_{n-1}^*, b_n^*]$  for some  $b_{n-1}^* < b_n^*$  with quantization points

$$\mathbf{q}_n^* = x_n^* = \frac{b_n + b_{n-1}}{2} \quad (66)$$

for  $n \in [N]$ . Let us set  $\mu_n = b_n^* - b_{n-1}^*$  for  $n \in [N]$ , then we get by substituting  $\frac{2(x_n^* - \omega)}{\mu_n} = \tilde{\omega}$  and  $h_n^* = \tilde{h}_n \mu_n / 2$  in the minimal average distortion

$$\begin{aligned} \bar{D}(\mathbf{Q}^*, \mathbf{h}^*) &= \sum_{n=1}^N \int_{b_{n-1}^*}^{b_n^*} \frac{((x_n^* - \omega)^2 + h_n^{*2})^\gamma}{h_n^*} \frac{d\omega}{A} = \sum_n \int_1^{-1} - \frac{(\mu_n^2 \tilde{\omega}^2 / 4 + \tilde{h}_n^2 \mu_n^2 / 4)^\gamma}{\tilde{h}_n \mu_n / 2} \frac{\mu_n}{2A} d\tilde{\omega} \\ &= \frac{1}{2^{2\gamma-1} A} \sum_n \mu_n^{2\gamma} \cdot \int_0^1 \frac{(\omega^2 + \tilde{h}_n^2)^\gamma}{\tilde{h}_n} d\omega \end{aligned} \quad (67)$$

where we used (66) to get for the integral boundaries  $2(x_n^* - b_{n-1}^*)/\mu_n = 1 = -2(x_n^* - b_n^*)/\mu_n$ . We do not know the value of  $\tilde{h}_n$  but we know it is the optimal parameter to achieve the minimal average distortion for  $x_n^*$  and  $\mathcal{V}_n^*$ . Hence, by minimizing  $\bar{D}(\mathbf{Q}^*, \tilde{\mathbf{h}}, \mathcal{V}^*)$  over  $\tilde{\mathbf{h}}$ , i.e., minimizing over each  $\tilde{h}_n$  gives by Lemma 2

$$\frac{1}{2^{2\gamma-1} A} \sum_n \mu_n^{2\gamma} \cdot \left( \min_{\tilde{h}_n > 0} \int_0^1 \frac{(\omega^2 + \tilde{h}_n^2)^\gamma}{\tilde{h}_n} d\omega \right) = \frac{1}{2^{2\gamma-1} A} \int_0^1 \frac{(\omega^2 + g^2(\alpha))^\gamma}{g(\alpha)} d\omega \cdot \sum_n \mu_n^{2\gamma}.$$



Since  $\mu_n$  also depends on  $\tilde{h}_n$  we minimize over all  $\{\mu_n\}$  under the constraint  $\sum_n \mu_n = A$ , which will define a valid parameter-quantizer and must therefore be the optimal parameter-quantizer (9). By the Hölder inequality we get for  $p = 2\gamma, q = 2\gamma/(2\gamma - 1)$

$$\sum_n \mu_n^{2\gamma} = \sum_n \mu_n^p = \sum_n \mu_n^p \cdot \left( \sum_n (1/N)^q \right)^{p/q} \cdot N \geq \left( \sum_n \frac{\mu_n}{N} \right)^p \cdot N = \left( \frac{A}{N} \right)^{2\gamma} N$$

which is achieved if and only if  $\mu_n = A/N$ . Hence, the optimal parameter-quantizer is the uniform scalar quantizer  $x_n^* = (2n - 1)A/2N$  with identical parameters  $h^* = (A/2N)g(\gamma)$  resulting in the minimal average distortion (29).

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