

# **Logical relations, fibrations, and definability**

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(jww Ohad Kammar & Shin-ya Katsumata)

**14th April 2022, Tallinn**

↗ loosely based on POPL '21 paper

# Motivation

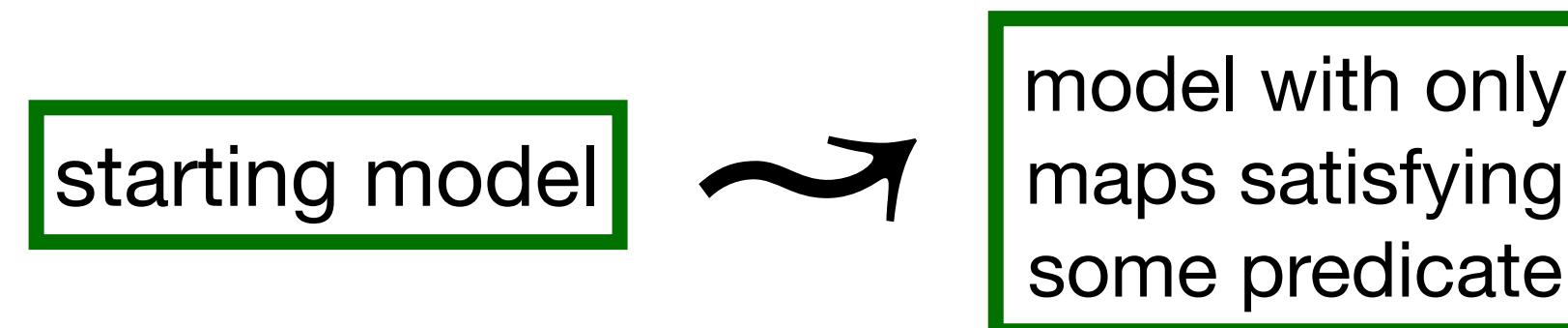
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Strategy: use fibrations, logical relations, and glueing

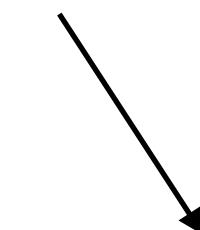
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2. Logical relations for effectful languages
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related to full abstraction and completeness

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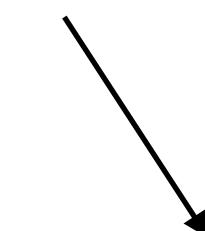
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= building a model in which every map is definable

+

conjecture extension to an  
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terms:  $x \mid \xi \in \text{Prim} \mid \text{op} \in \text{EfOp}$   
 $\mid MN \mid \lambda x . M \mid \pi_1(M) \mid \pi_2(M) \mid \langle M, M' \rangle \mid ()$

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 $\mid \text{return}(M) \mid \text{let } x = N \text{ in } M$

# Semantics of $\lambda_{ml}$

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- $s[M : \sigma]$  as for simply-typed lambda calculus
- $s[\text{return}(M) : T\sigma] = \eta \circ s[\sigma]$
- $s[\text{let...}]$  interpreted using monadic bind

# **1: Concrete relations (by example)**

a flexible method for restricting models

# Example: read-only state ( $\text{Set}, T, s$ )

## **syntax:**

base types: bool

primitives: tt : bool, ff : bool, or : bool  $\times$  bool  $\rightarrow$  bool, etc

effect operations: read : 1  $\rightarrow$   $T(\text{bool})$

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interpretation of base types and constants:

$$s(\text{bool}) = 2 = \{ \top, \perp \}$$

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# $(\text{Set}, T, s)$ has too many maps

(Matache & Staton)

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$$\kappa : (1 \Rightarrow T2) \rightarrow T2$$

$$\kappa(g) = \begin{cases} \lambda i. \top & \text{if } g(\bullet) = \lambda i. \top \\ \lambda i. \perp & \text{else} \end{cases}$$

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$$\exists M, M'. (M \simeq_{ctx} M' \text{ but } \llbracket M \rrbracket(\kappa) \neq \llbracket M' \rrbracket(\kappa))$$



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$\kappa$  is a **bad map**: want to remove it

# Idea: restrict to maps preserving relations

**Define a category  $\mathbb{L}$  of ‘predicates’**

- objects:  
 $(X, R_0, R_1)$  with  $X \in \text{Set}$ ,  $R_i \subseteq X^2$
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- exponentials:

$$(X, R_0, R_1) \Rightarrow (Y, S_0, S_1) := (X \Rightarrow Y, R_0 \supset S_0, R_1 \supset S_1)$$

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- terminal object:

$$(1, \top, \top) \quad \top = \{\langle \bullet, \bullet \rangle\} \quad (f, g) \in (R_i \supset S_i) \iff ((x, x') R_i \Rightarrow (fx, gx') \in S_i)$$

- products:

$$(X, R_0, R_1) \times (Y, S_0, S_1) = (X \times Y, R_0 \star S_0, R_1 \star S_1)$$

$$((x_1, y_1), (x_2, y_2)) \in (R_i \star S_i) \iff (x_1, x_2) \in R_i \text{ and } (y_1, y_2) \in S_i$$

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$$\hat{T}(X, R_0, R_1) = (TX, (\hat{TR})_0, (\hat{TR})_1)$$

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$\kappa$  is not a map

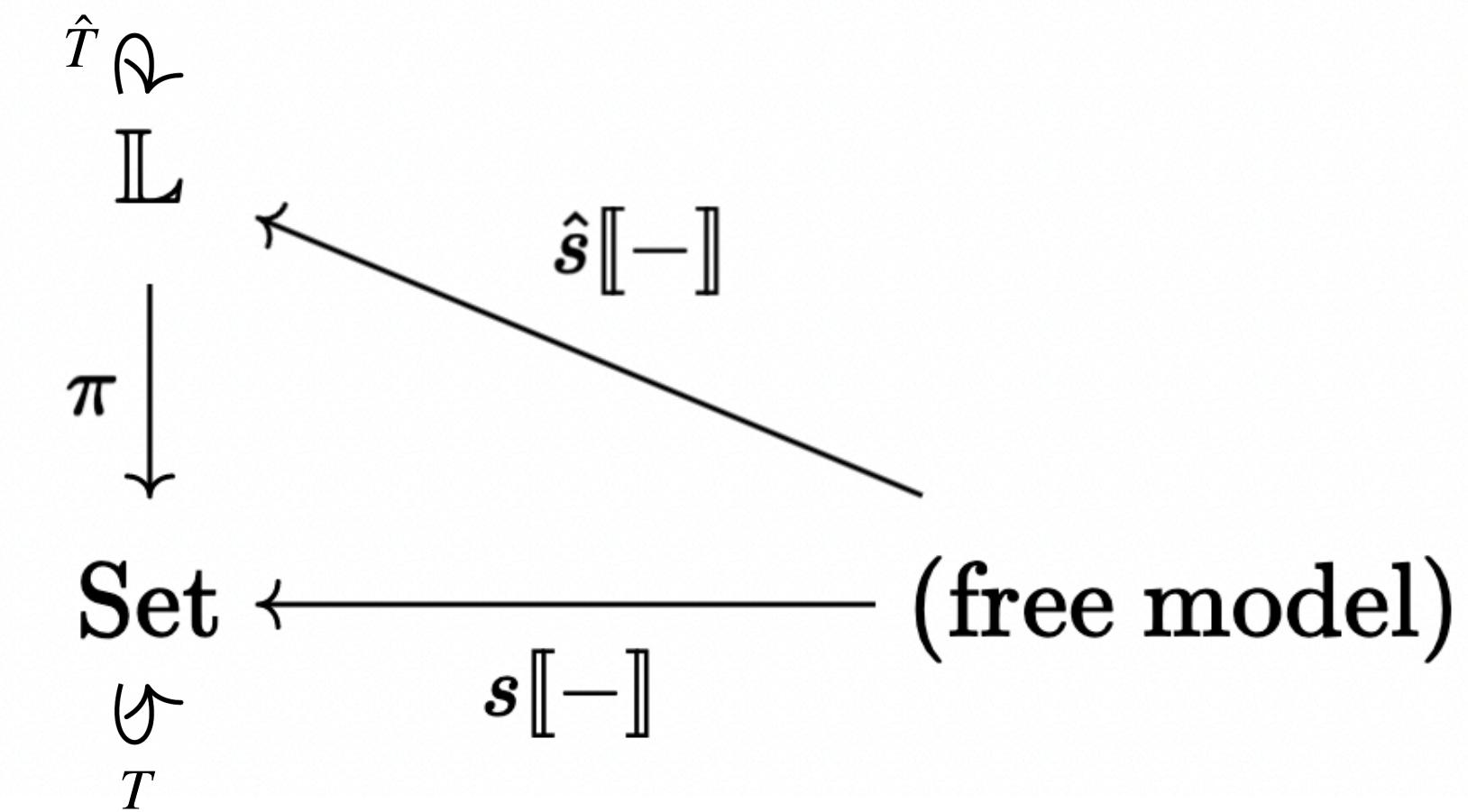
$$\kappa : (1 \Rightarrow \hat{T}\hat{s}[\text{bool}]) \rightarrow \hat{T}\hat{s}[\text{bool}]$$

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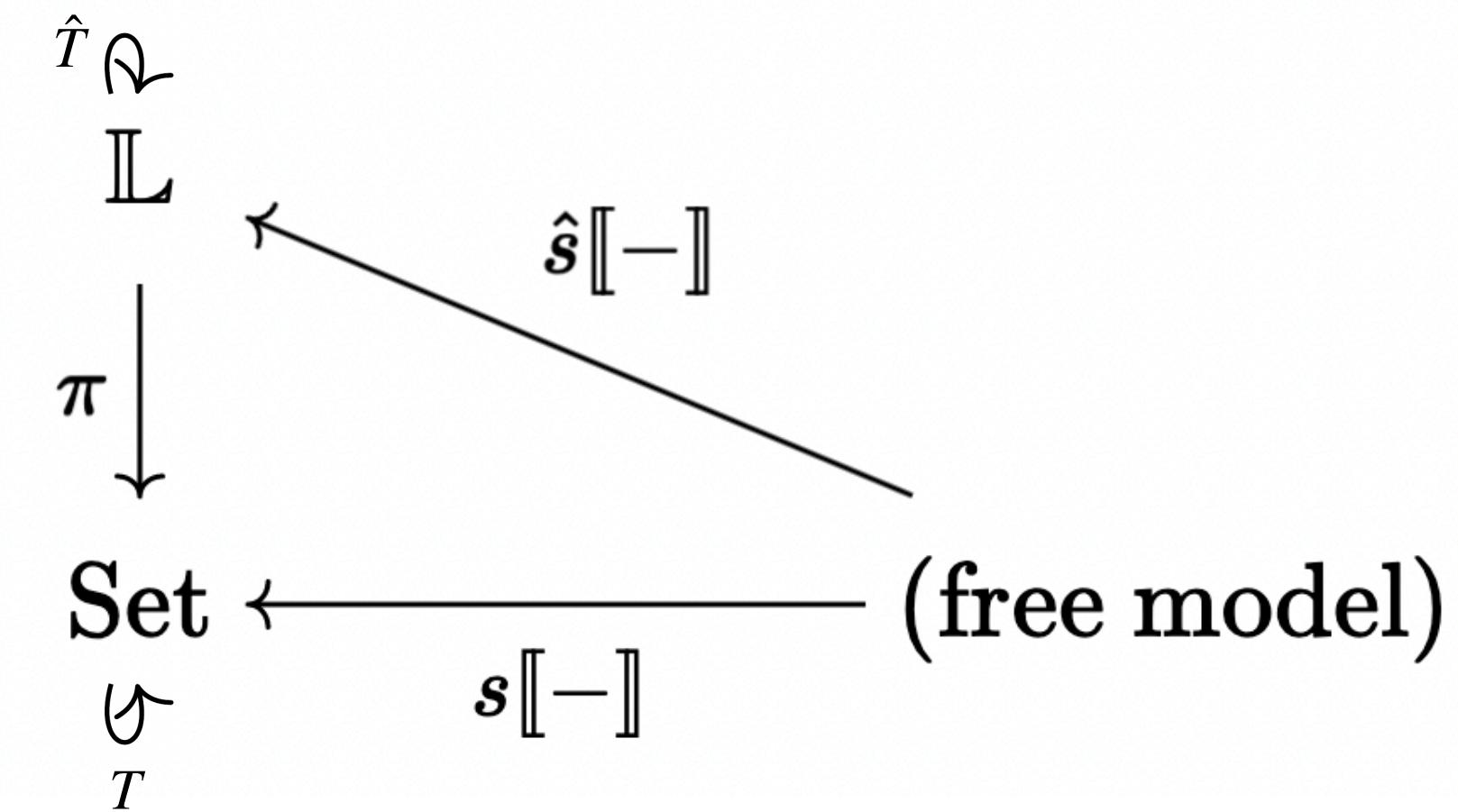
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**problem:**  $\kappa \in s[(1 \rightarrow T\text{bool}) \rightarrow T\text{bool}]$   
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$\mathbb{L}$  removes  $\kappa$  from the hom-set, but not the function space

can still distinguish contextually-equivalent terms!

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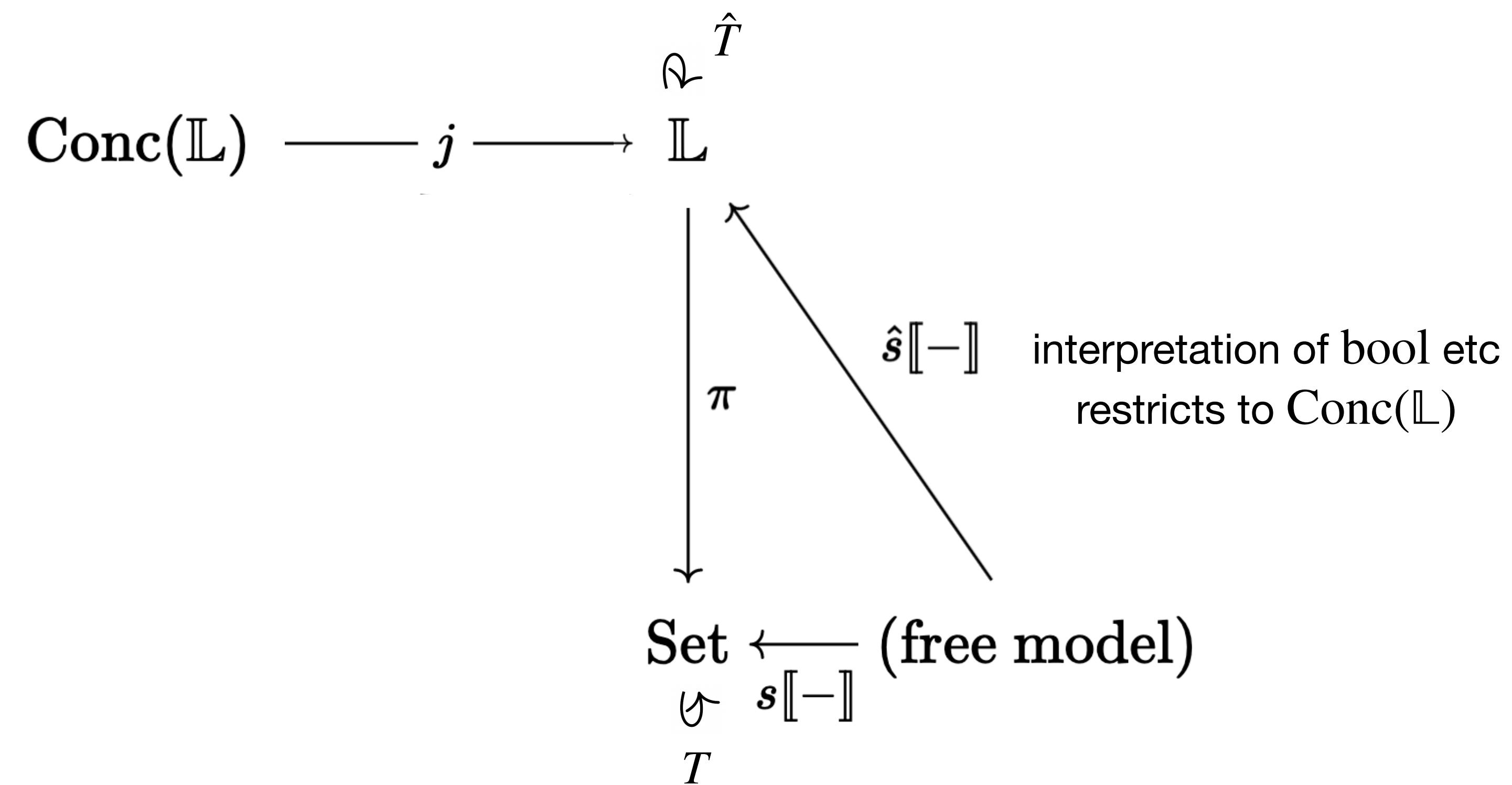
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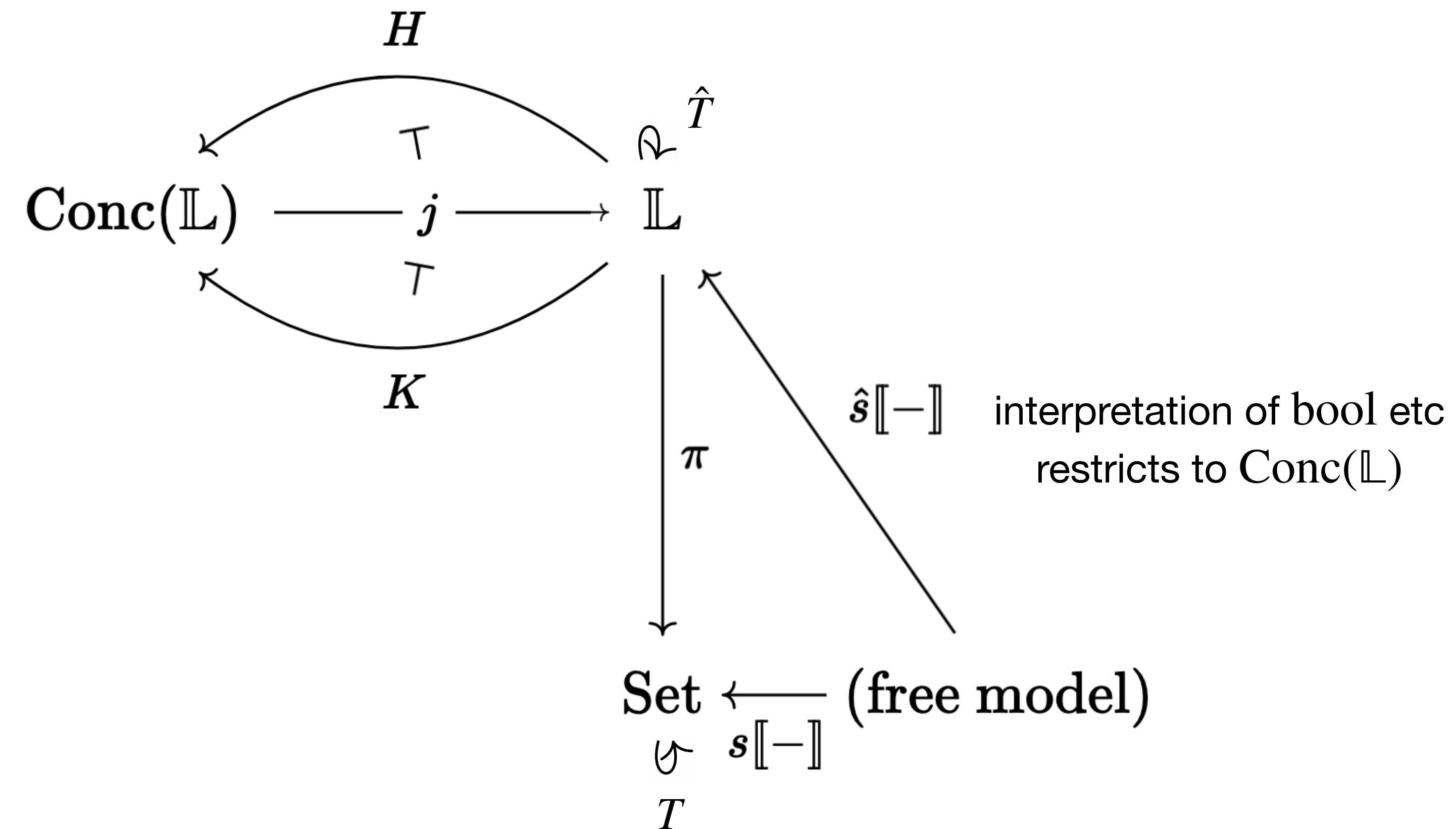
$(X, R_0, R_1)$  is concrete if every  $x : 1 \rightarrow X$  in Set lifts to  $(1, \top, \top) \rightarrow (X, R_0, R_1)$

$$x \in X \implies (x, x) \in R_i \quad (i = 1, 2)$$

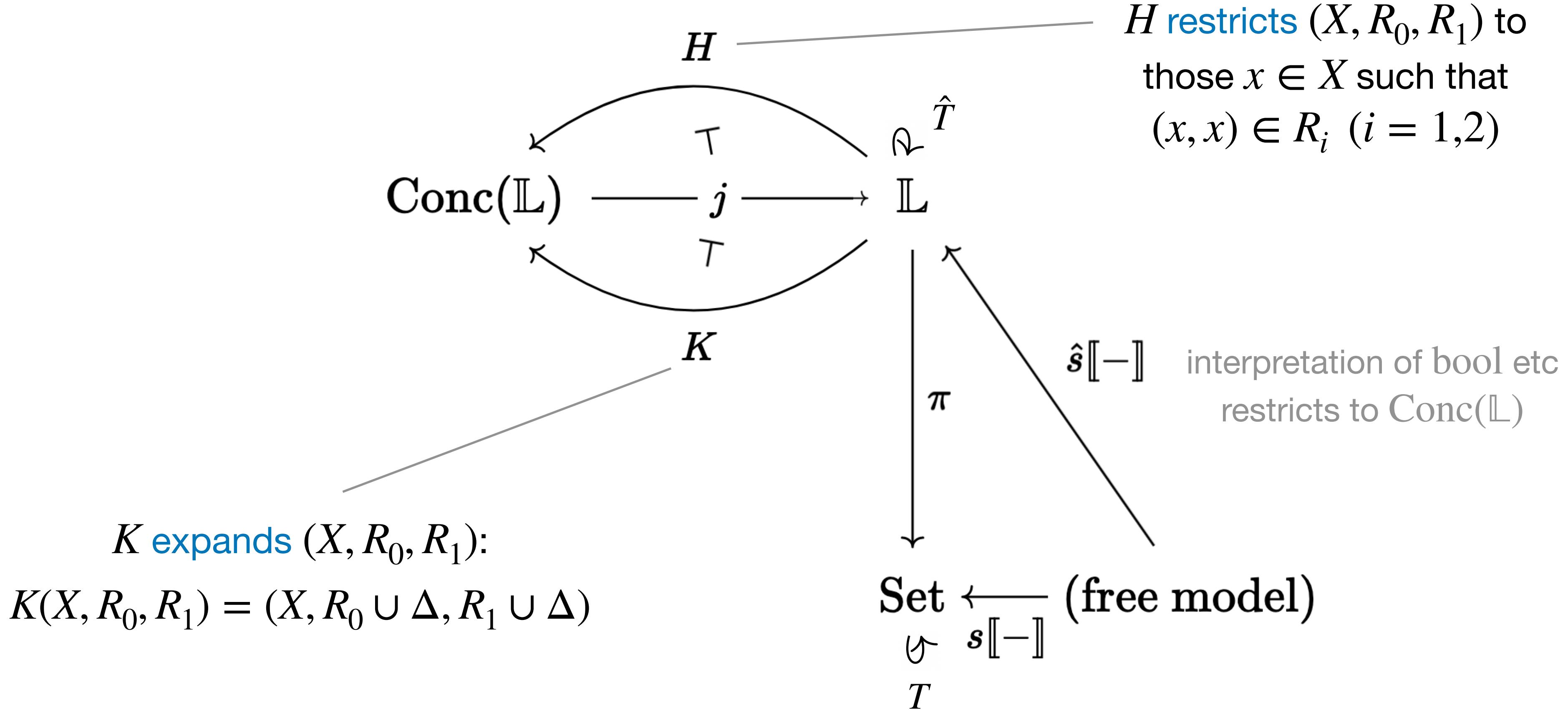
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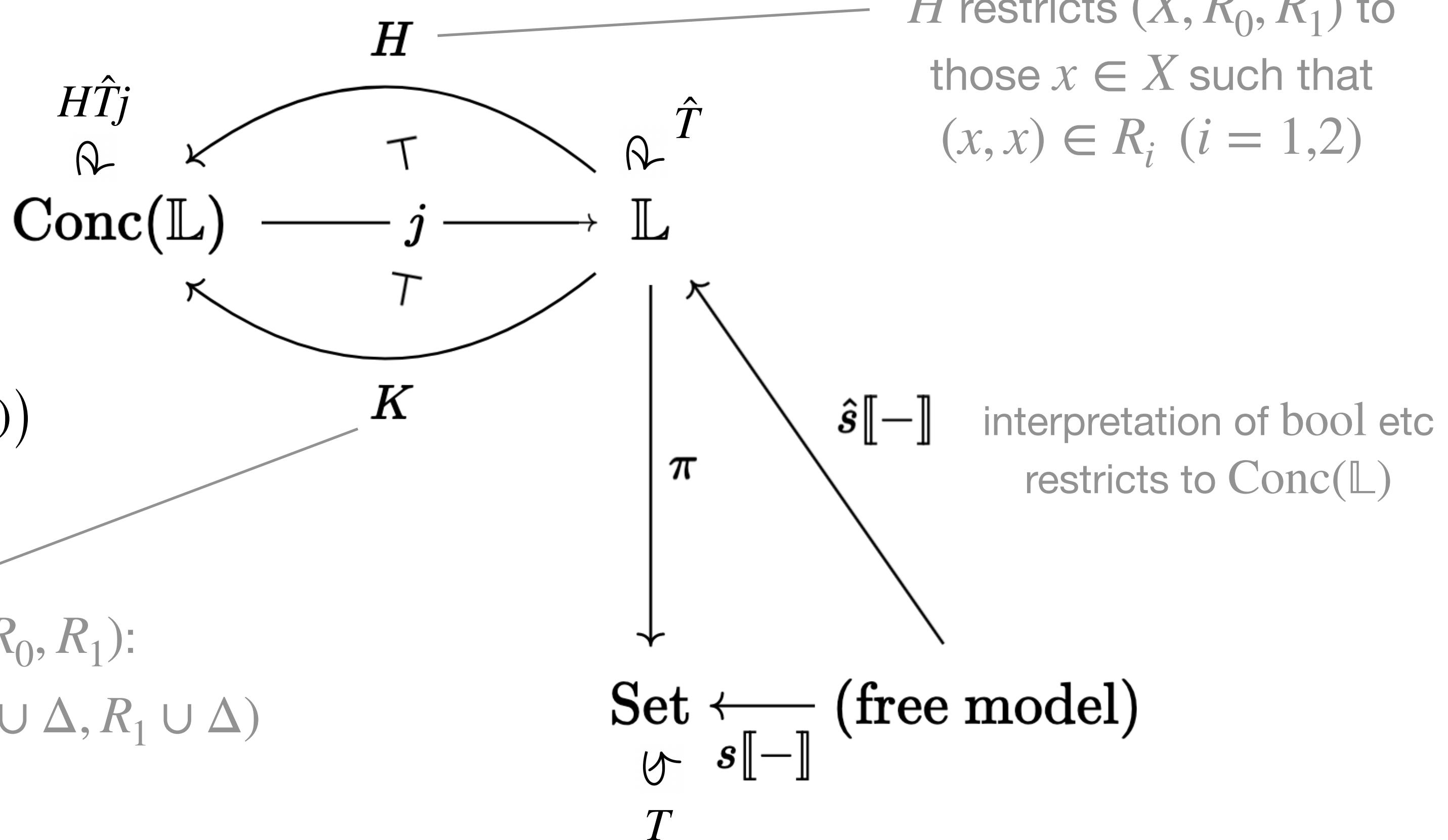
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$$[- \Rightarrow =]_{\text{Conc}} = H(j(-) \Rightarrow j(=))$$

$K$  expands  $(X, R_0, R_1)$ :

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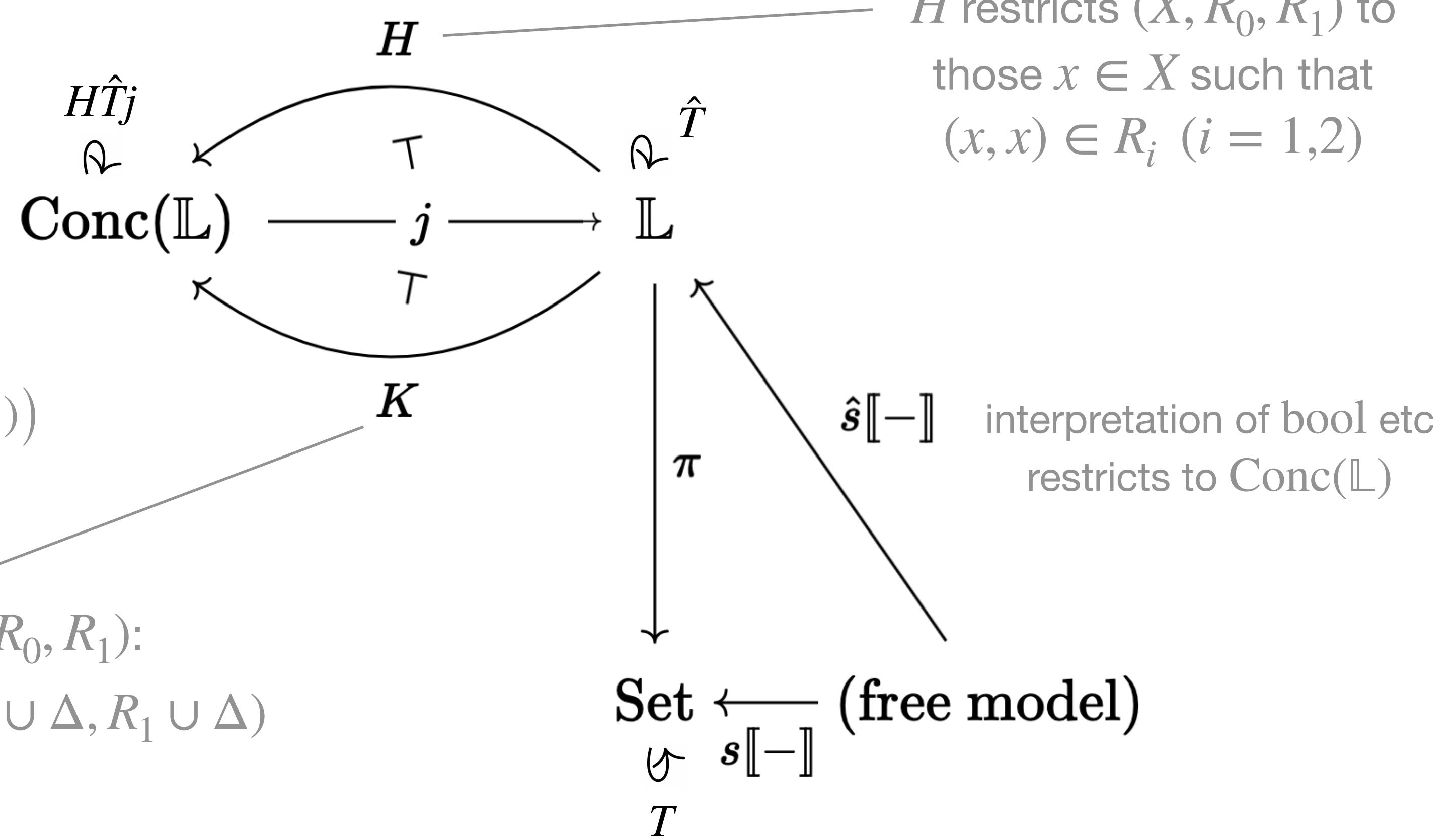
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$H$  restricts  $(X, R_0, R_1)$  to  
those  $x \in X$  such that  
 $(x, x) \in R_i$  ( $i = 1, 2$ )

$\hat{s}[-]$  interpretation of bool etc  
restricts to Conc( $\mathbb{L}$ )

key property:  $[(X, \dots) \Rightarrow (Y, \dots)]_{\text{Conc}} \cong \mathbb{L}(j(X, \dots), j(Y, \dots)) \subseteq \text{Set}(X, Y)$

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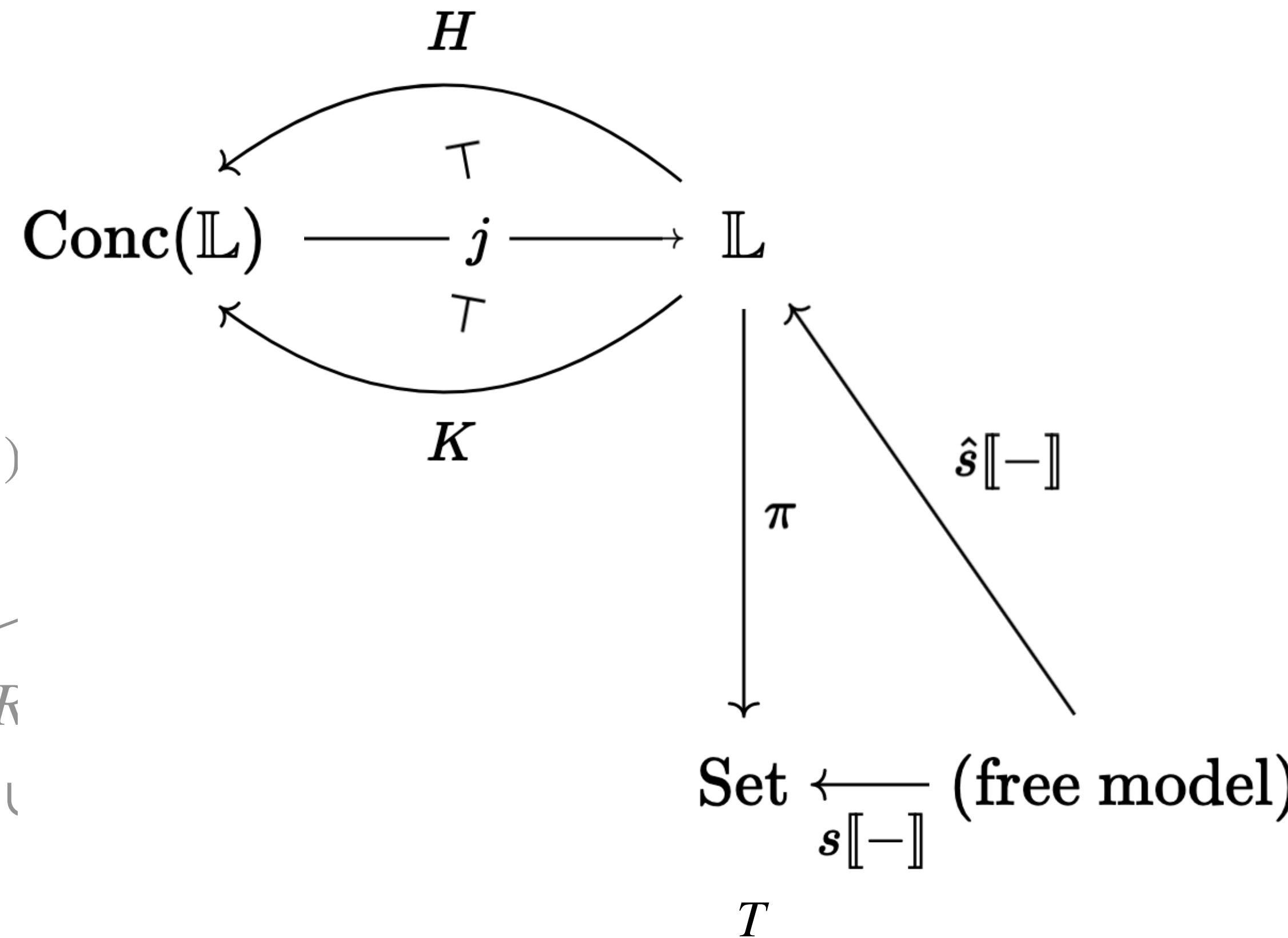
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on of bool etc  
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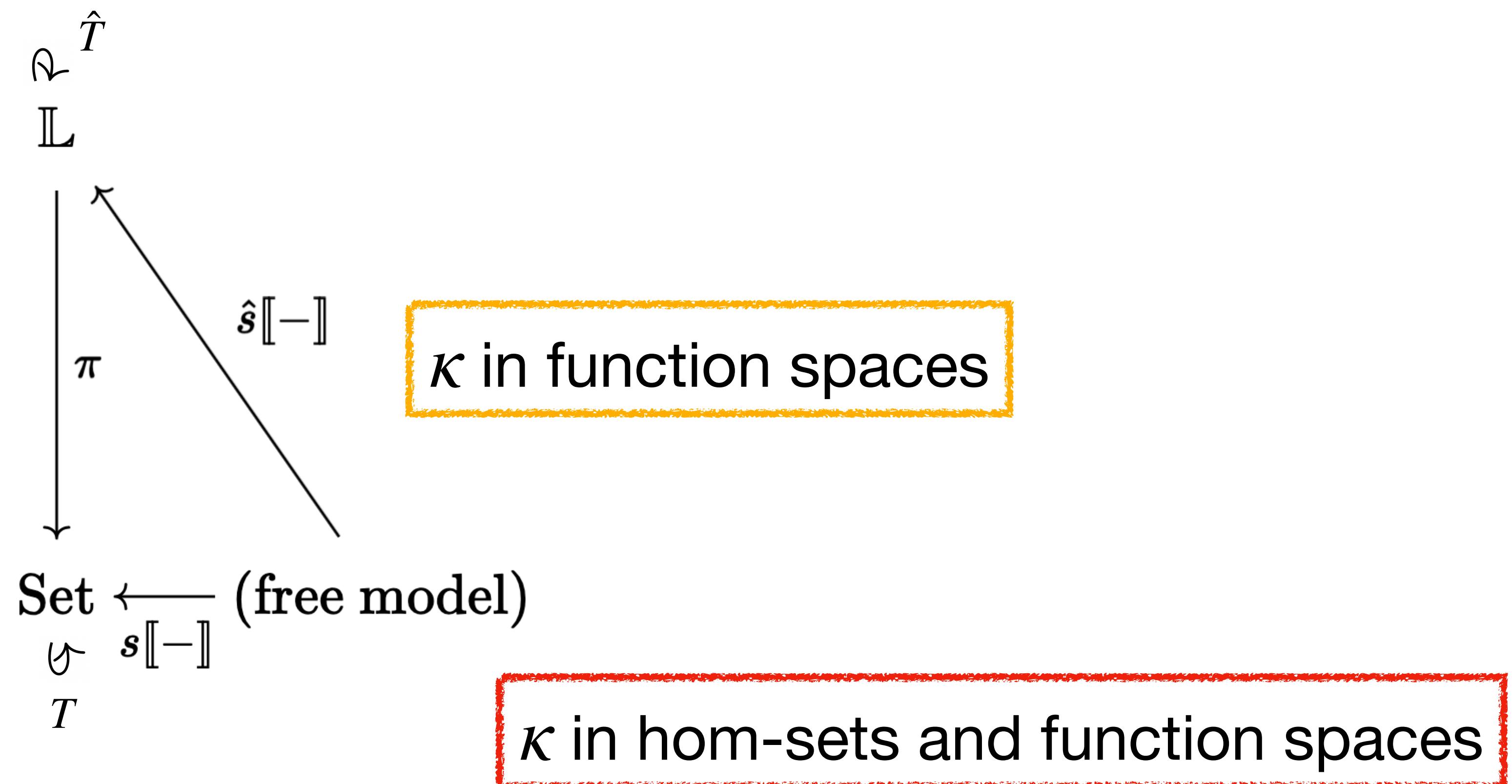
internalises the preservation condition

# Summing up

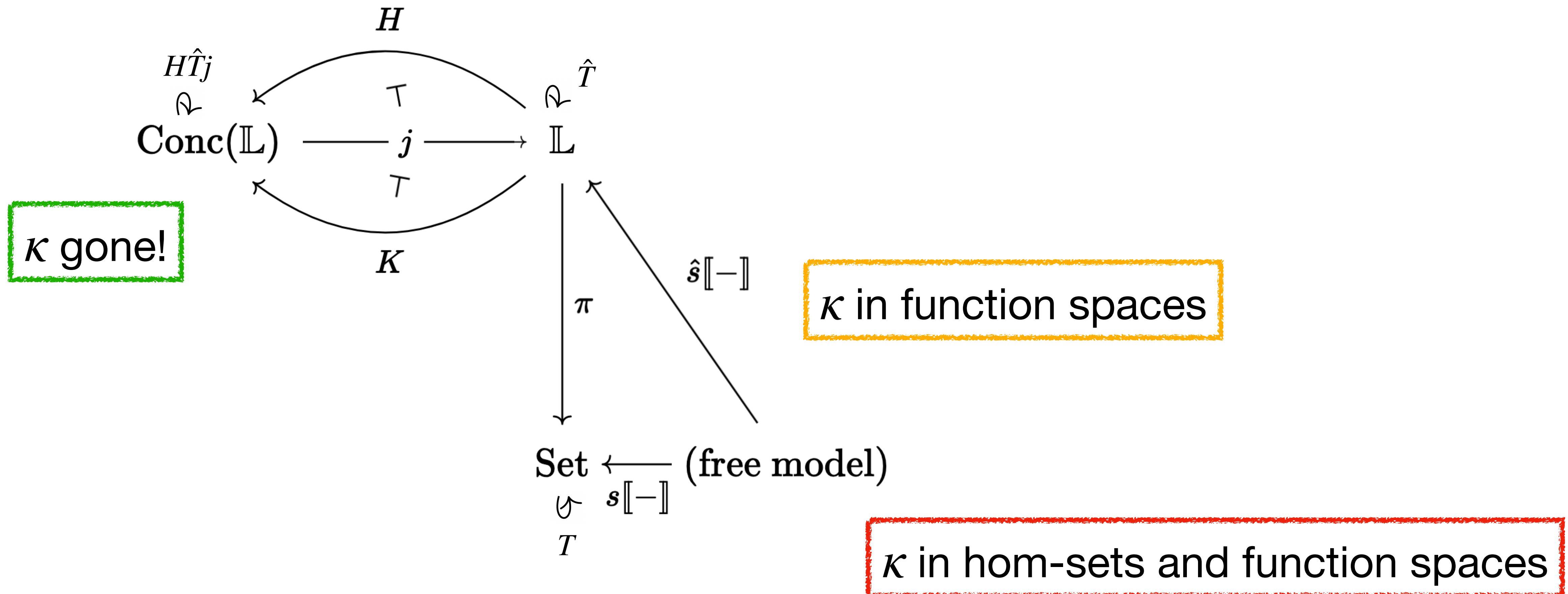
$\text{Set} \xleftarrow[s[-]]{} \text{(free model)}$

$\kappa$  in hom-sets and function spaces

# Summing up



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# Abstracting away: categories of concrete relations

**idea:**

1. axiomatise relations by **fibrations**
2. ccc-structure via structured fibrations
3. monad defined using fibration
4. restrict to concrete objects

# Abstracting away: categories of relations

1. axiomatise relations by **fibrations**

$$\begin{array}{ccc} \mathbb{E} & & \\ \downarrow p & \text{fibration} & \\ \mathcal{M} & \xrightarrow{F} & \mathbb{B} \end{array}$$

‘change-of-base’

# Abstracting away: categories of relations

## 1. axiomatise relations by fibrations

objects:  $(X, R) \in \mathcal{M} \times \mathbb{E}$  such that  $FX = pR$

maps:  $(f, \hat{f})$  in  $\mathcal{M} \times \mathbb{E}$  such that  $Ff = p(\hat{f})$

$$\begin{array}{ccc} \mathbb{K} & \longrightarrow & \mathbb{E} \\ \pi \downarrow & \lrcorner & \downarrow p \quad \text{fibration} \\ \mathcal{M} & \xrightarrow{F} & \mathbb{B} \end{array}$$

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$$\begin{array}{ccc} & \nearrow & \\ & \mathbb{K} & \longrightarrow \mathbb{E} \\ \text{fibration} & \pi \downarrow & \downarrow p \quad \text{fibration} \\ (X, R) \mapsto X & & \\ & \searrow & \\ & \mathcal{M} & \longrightarrow \mathbb{B} \\ & F & \end{array}$$

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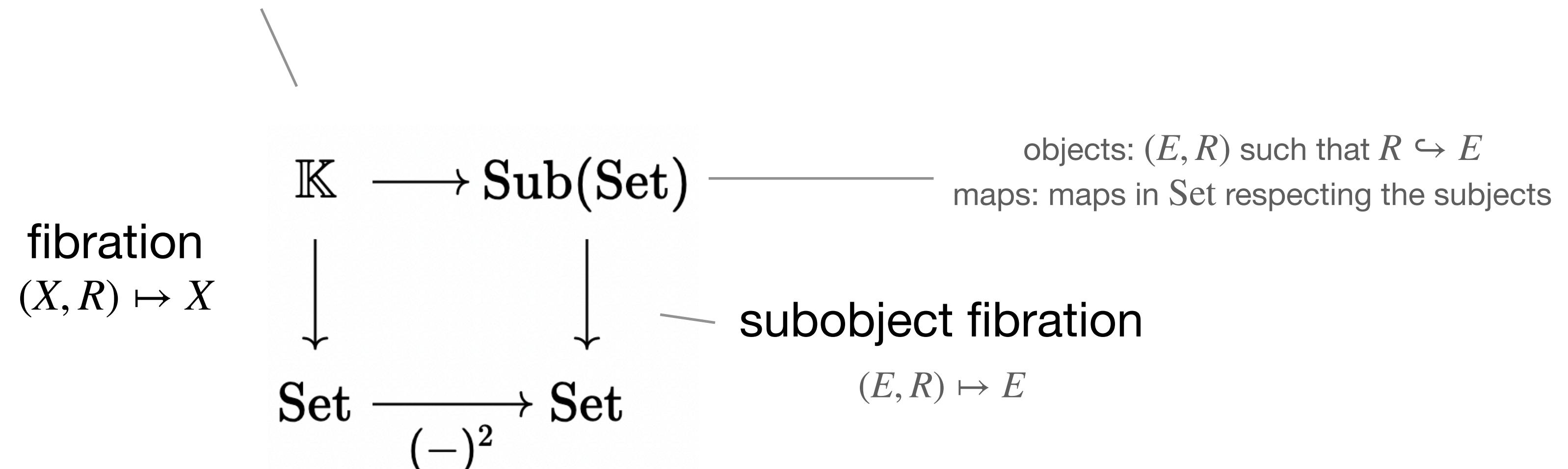
# Abstracting away: categories of relations

## 1. axiomatise relations by fibrations

objects:  $(X, R) \in \text{Set} \times \text{Sub}(\text{Set})$  such that  $R \hookrightarrow X^2$

maps:  $f$  in Set s.t.  $f$  preserves the subobject

eg



‘change-of-base’

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**idea:**

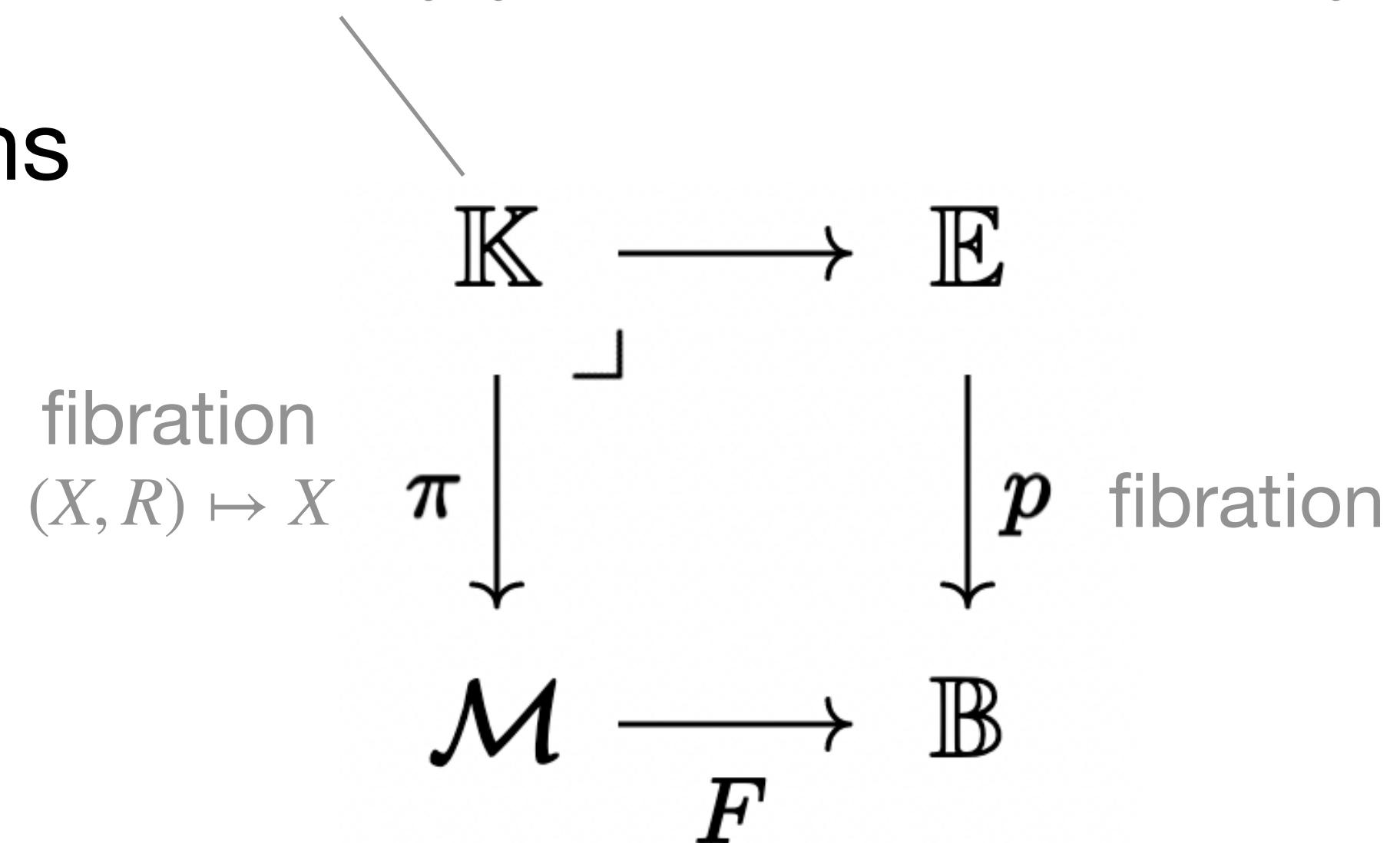
1. axiomatise relations by fibrations
2. ccc-structure via structured fibrations
3. monad defined using fibration
4. restrict to concrete objects

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objects:  $(X, R) \in \mathcal{M} \times \mathbb{E}$  such that  $FX = pR$   
maps:  $(f, \hat{f})$  in  $\mathcal{M} \times \mathbb{E}$  such that  $Ff = p(\hat{f})$



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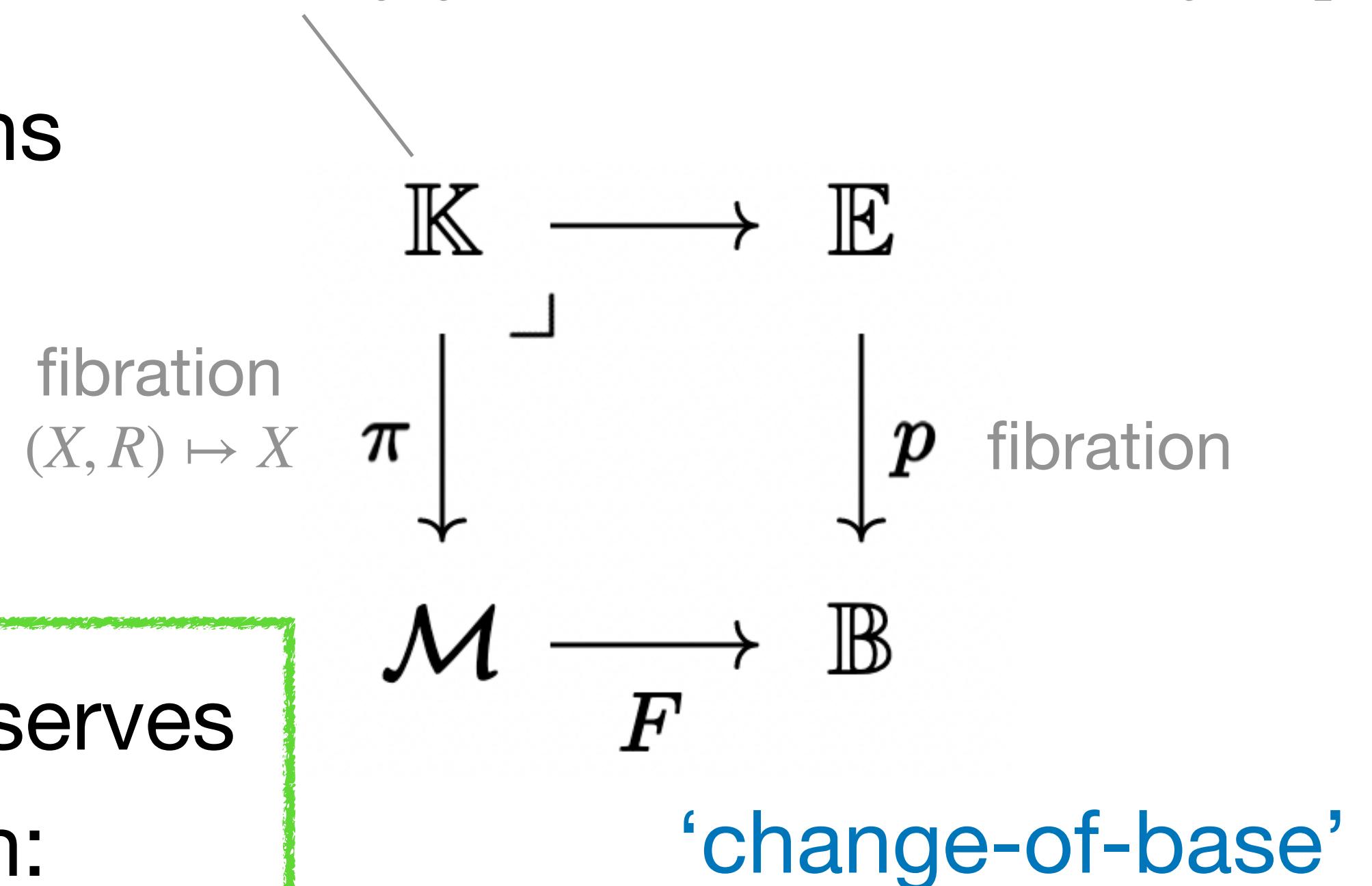
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**Fact:**

if  $\mathbb{E}$ ,  $\mathbb{B}$  and  $\mathcal{M}$  are CCCs,  $p$  strictly preserves CCC-structure, and  $F$  is cartesian, then:

$\mathbb{K}$  is a CCC, and  $\pi$  strictly preserves CCC-structure

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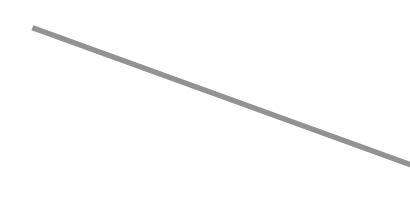
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- 
- eg.  $\mathbf{TT}$ -lifting, free lifting, ...

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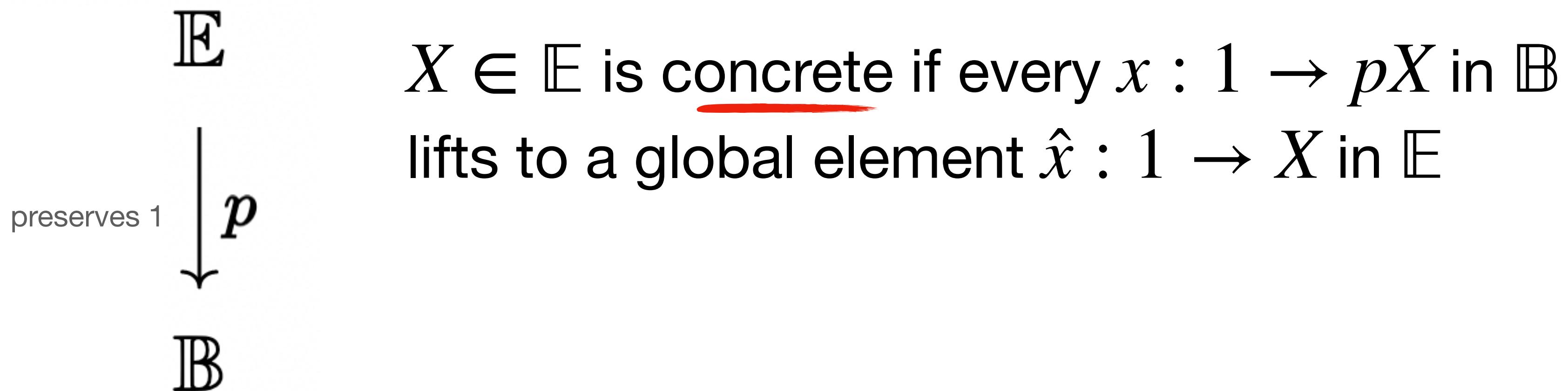
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# Abstracting away: concreteness

$(X, R_0, R_1)$  is concrete if every  $x : 1 \rightarrow X$  in Set lifts to  $(1, \top, \top) \rightarrow (X, R_0, R_1)$

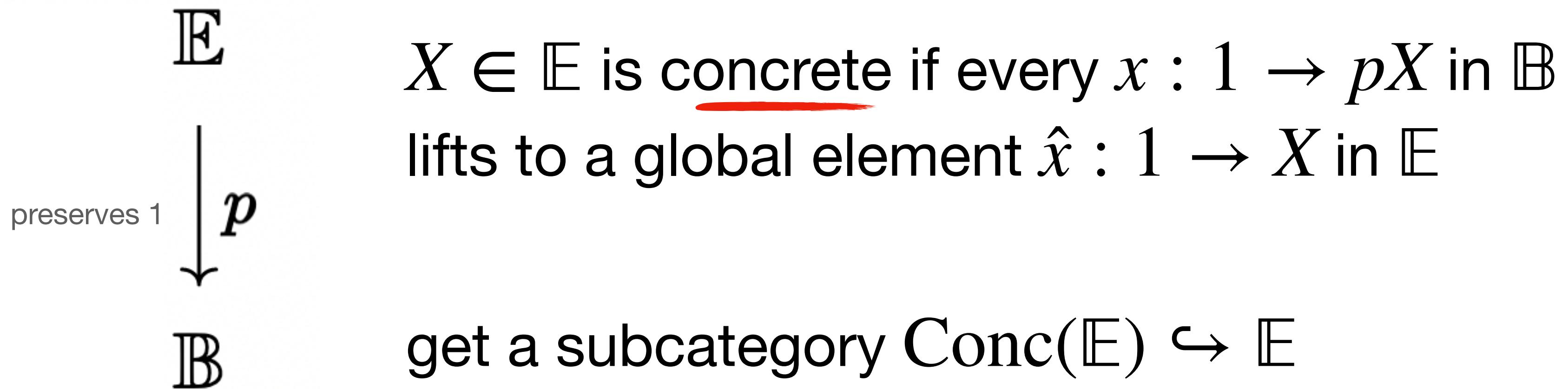
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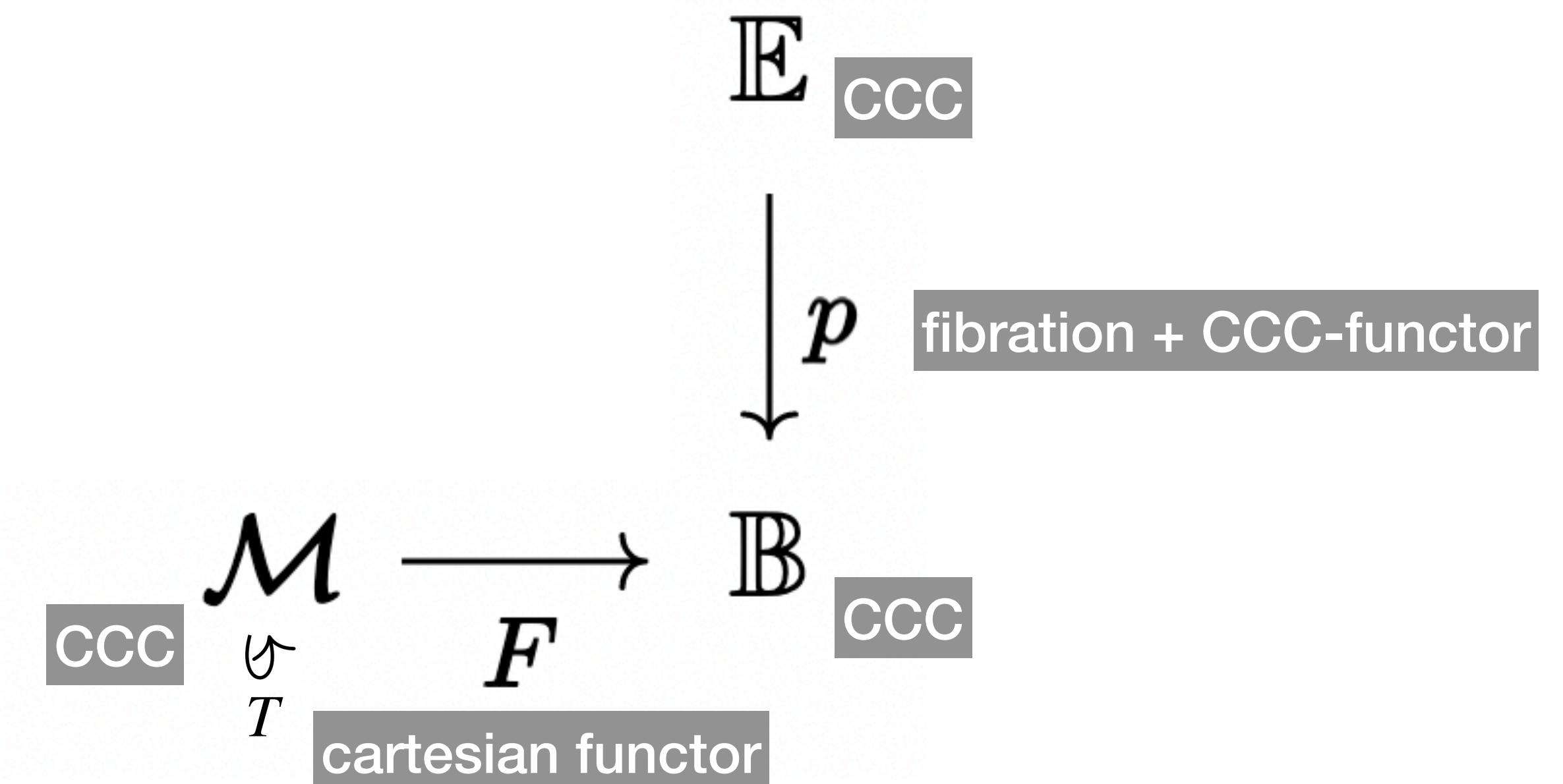


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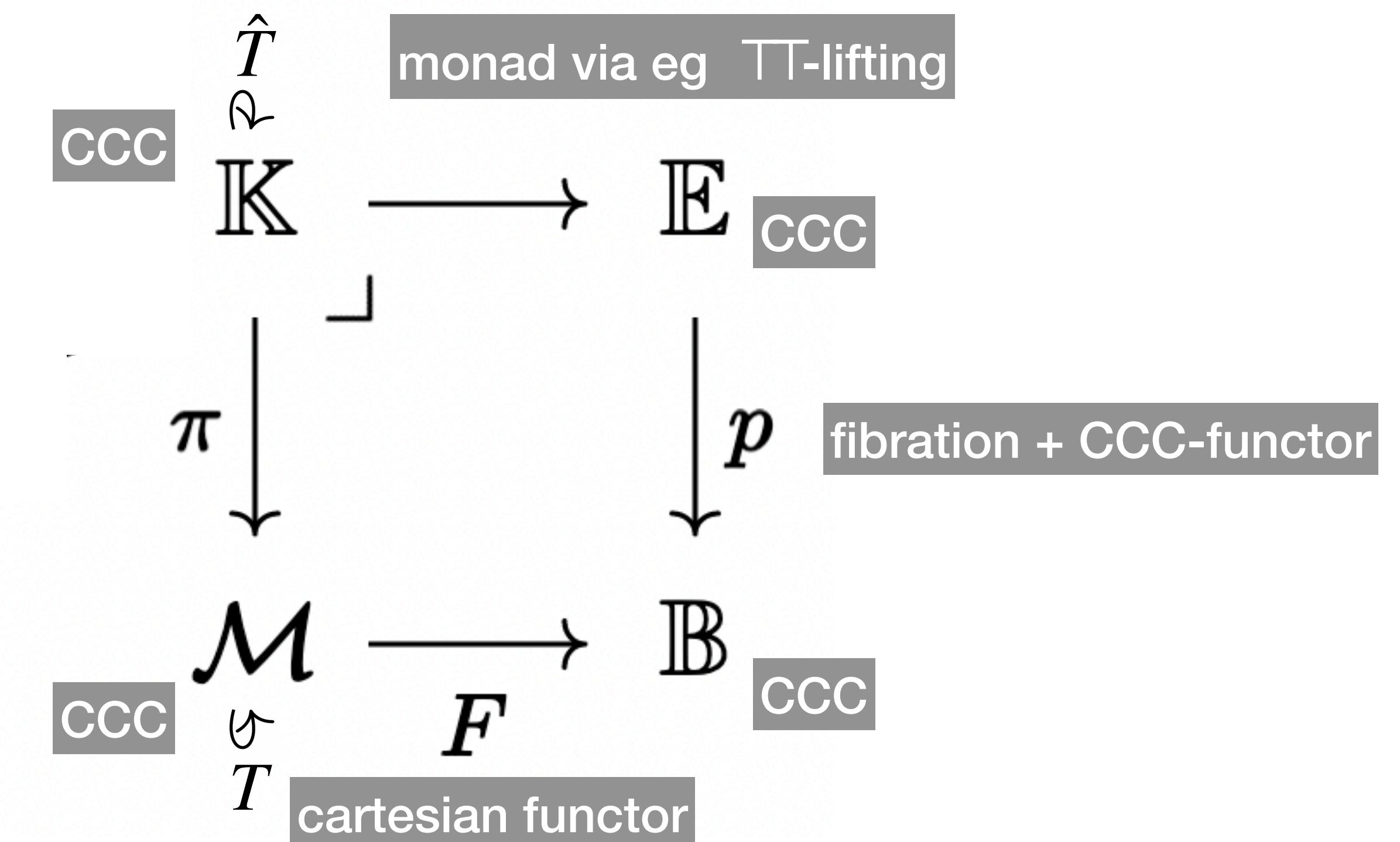
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# Categories of concrete relations (for nice enough $\mathcal{M}$ )

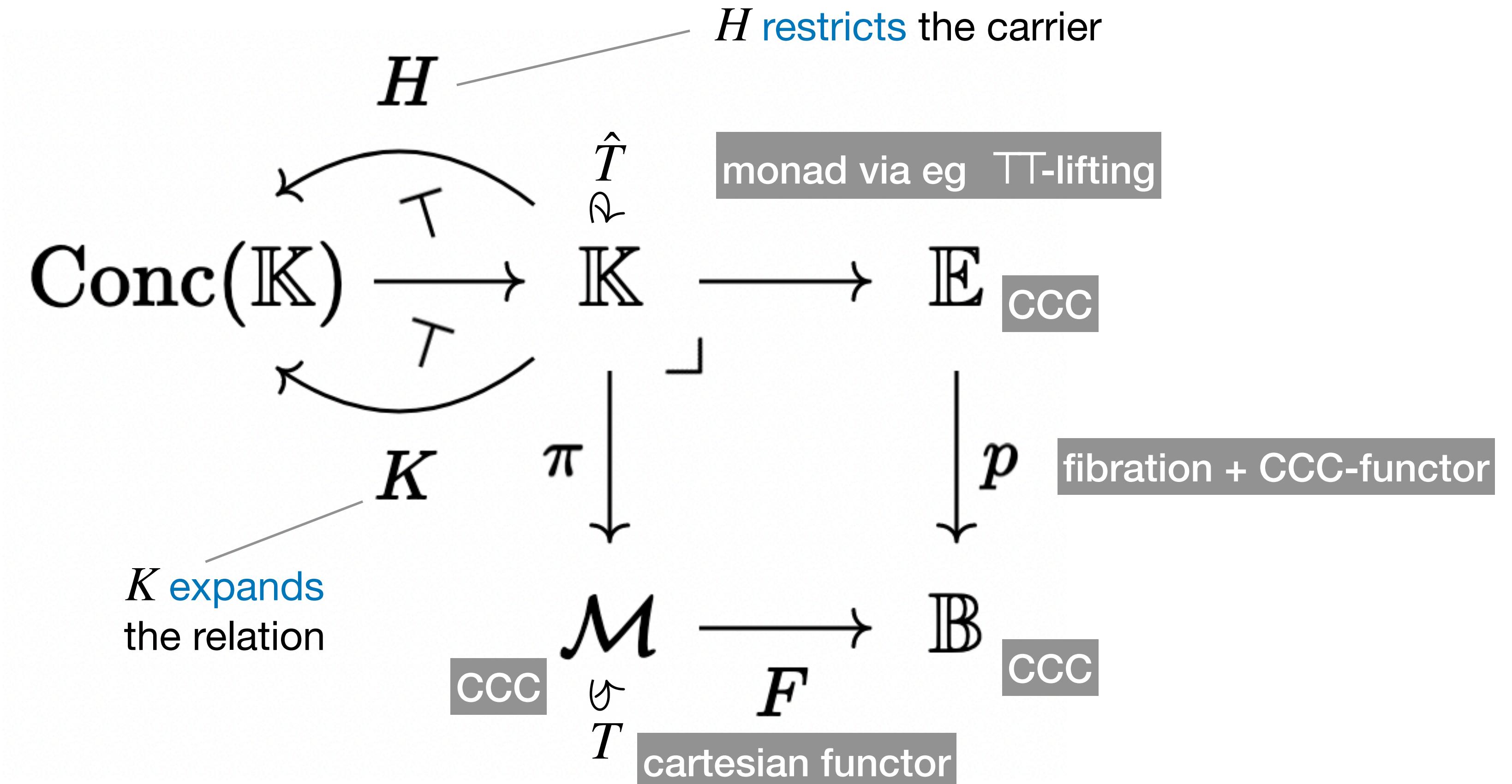


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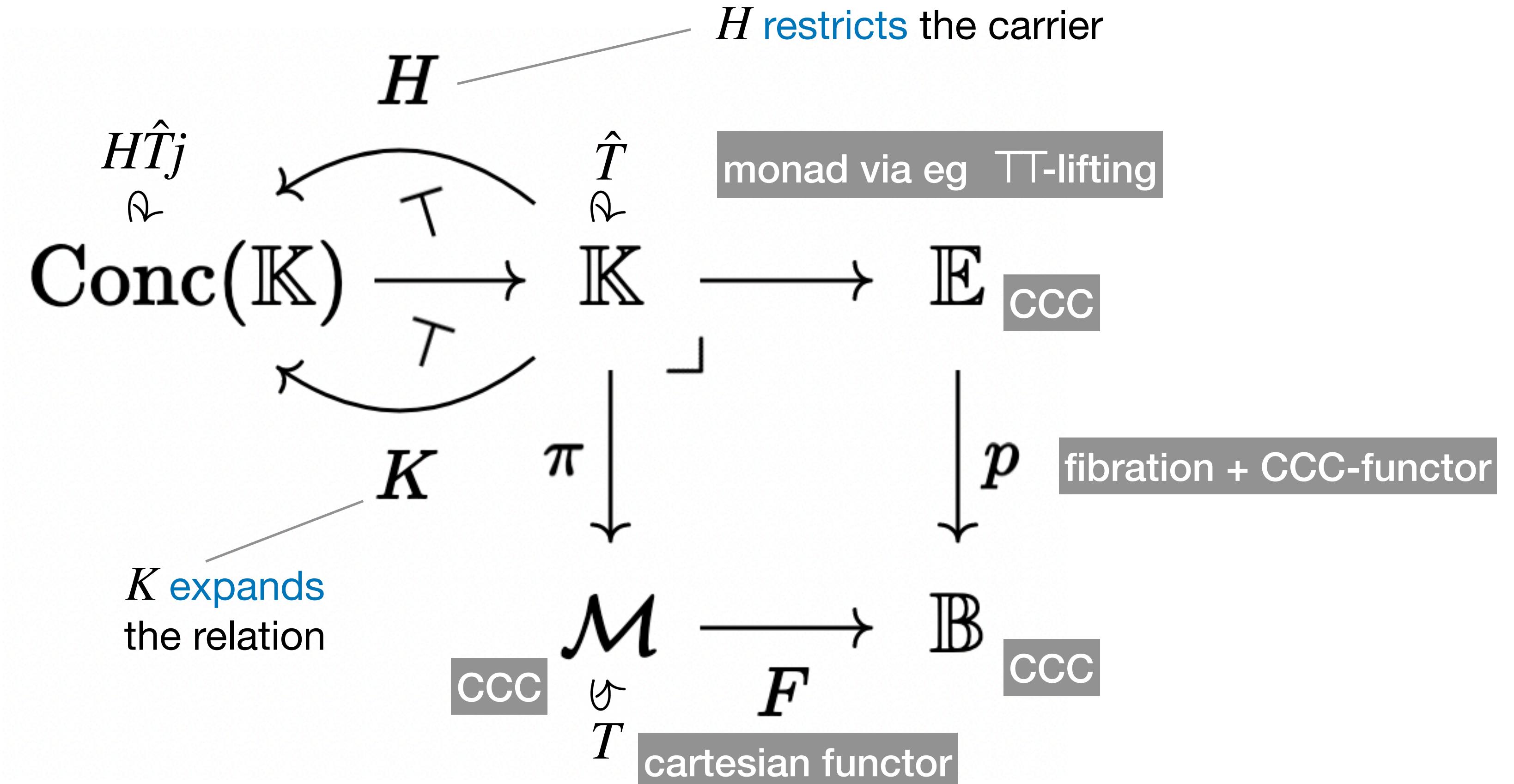
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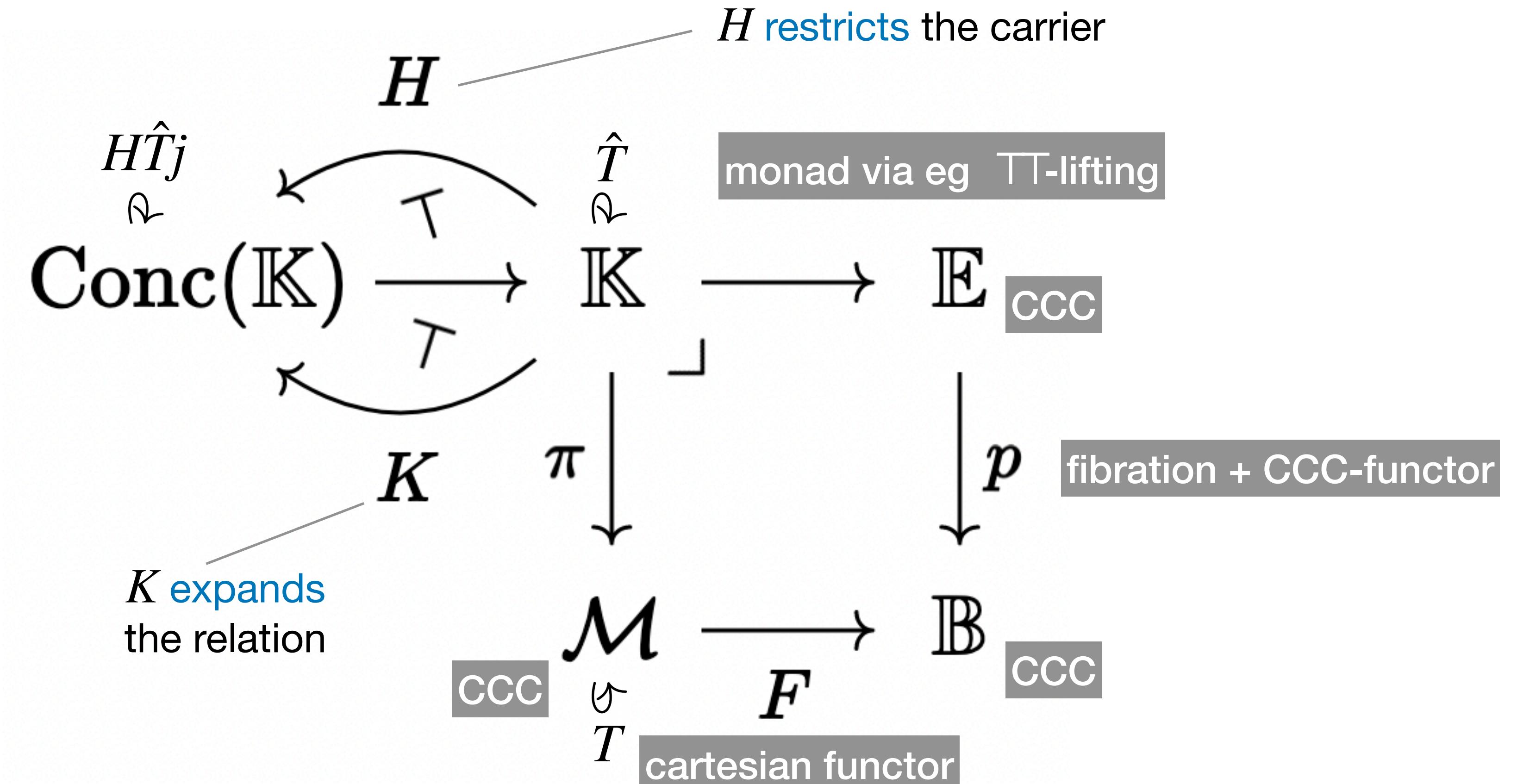
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 $[X \Rightarrow Y]_{\text{Conc}} = H(jX \Rightarrow jY)$



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monad by abstract nonsense  
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key property:  $[X \Rightarrow Y]_{\text{Conc}} \cong \mathbb{L}(jX, jY)$

internalises the preservation condition

# Summing up: categories of concrete relations

## Motivation

**Aim:** restrict the maps in a semantic model  
to those satisfying some property



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starting model  $\rightsquigarrow$  model with only  
maps satisfying some predicate

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the induced model  $(\text{Conc}(\mathbb{K}), \hat{H}\hat{T}j, \hat{s})$   
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in fact, encodes  
preservation of a  
**logical** relation

# **2: Logical relations**

# What is a logical relation?

the classical story:  
Plotkin + many others

logical relation  $R$  =

A family of relations  $\{R_\sigma \mid \sigma \in \text{Type}\}$   
such that:

- (1)  $R_\sigma$  is a relation on  $\llbracket \sigma \rrbracket$
- (2) the family is compatible with the language's type structure

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Basic Lemma  $=$

$$(M : \sigma) \implies \llbracket M \rrbracket \in R_\sigma$$

useful for relating models, or proving facts about models

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**Basic Lemma\***      =       $f$  is definable  $\iff f$  'satisfies' every logical relation

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(the classical story)

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- $$((x_1, y_1), \dots, (x_n, y_n)) \in (R \star S) \iff (x_1, \dots, x_n) \in R \text{ and } (y_1, \dots, y_n) \in S$$

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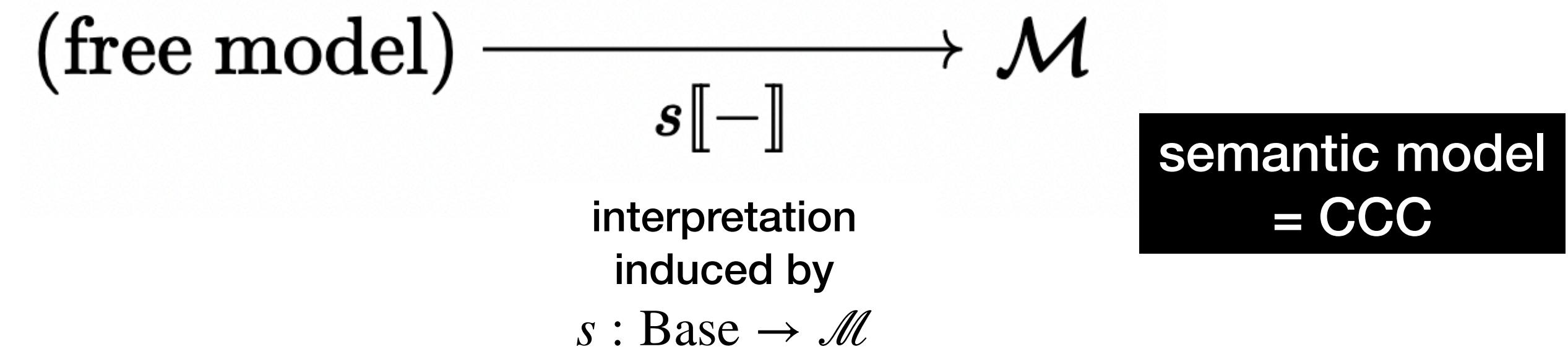
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what's a principled extension to monadic structure?

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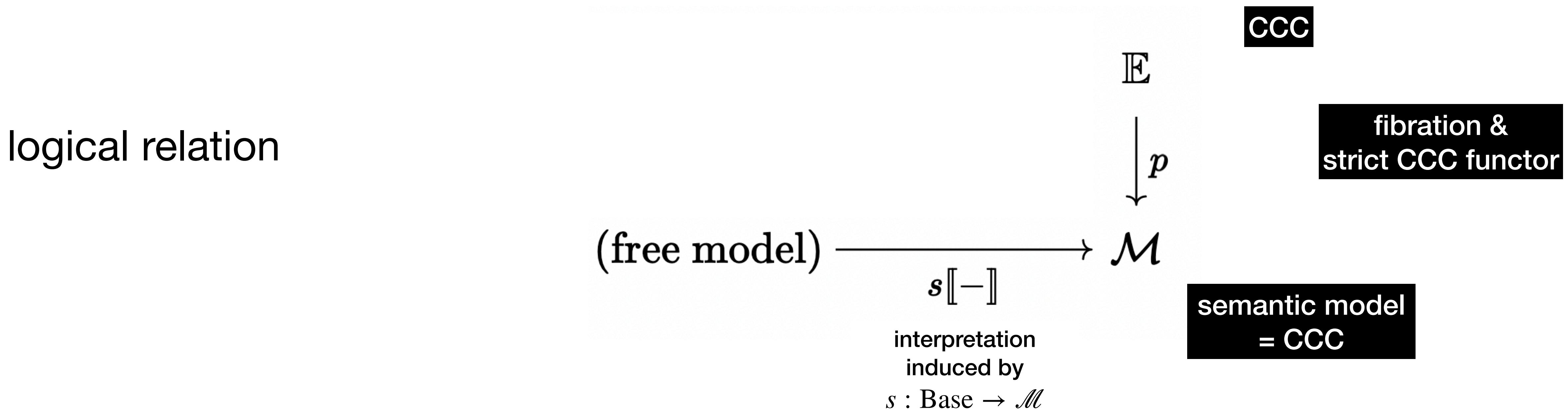
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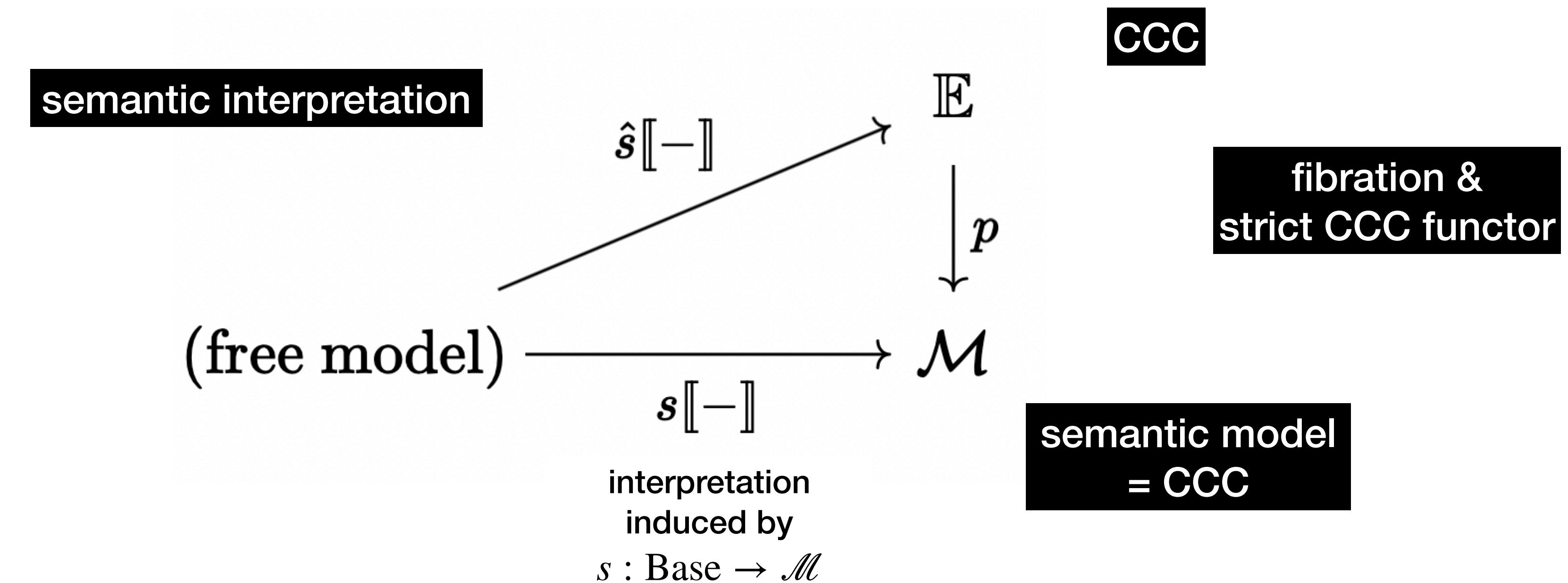
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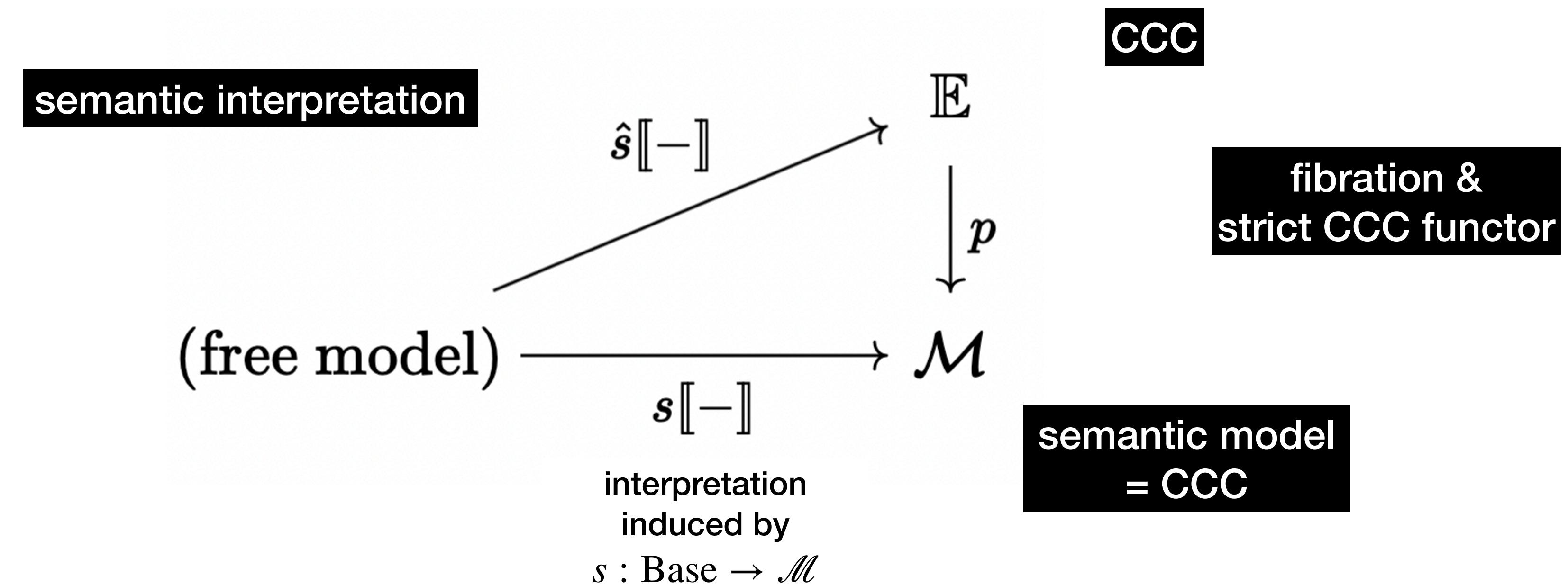
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# What is a logical relation?

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$p(\hat{s}[\sigma]) = s[\sigma]$  for all  
types  $\sigma$

# What is a logical relation? The canonical example

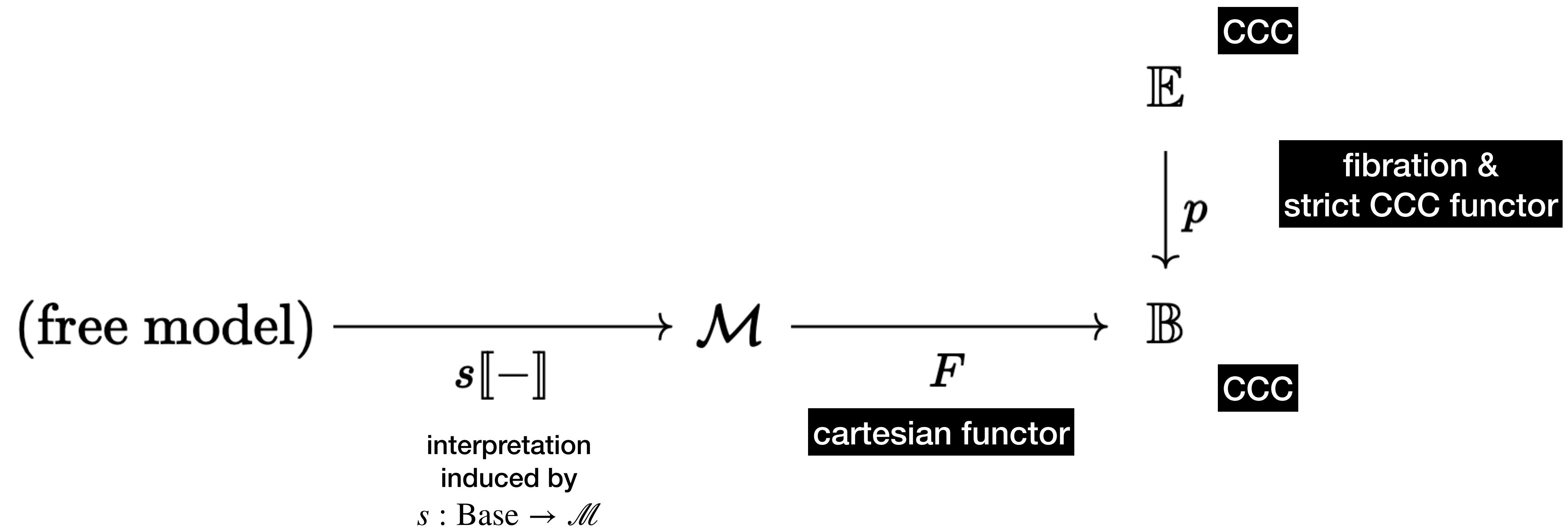
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$$(\text{free model}) \xrightarrow{s[-]} \mathcal{M}$$

interpretation  
induced by  
 $s : \text{Base} \rightarrow \mathcal{M}$

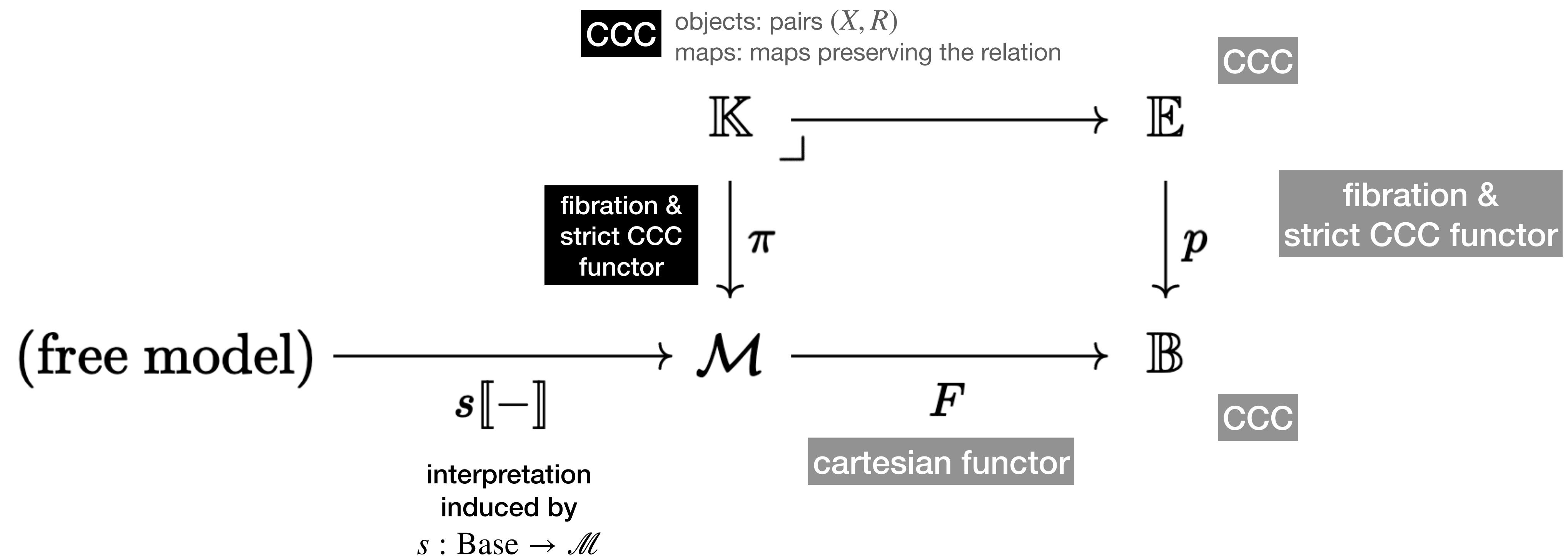
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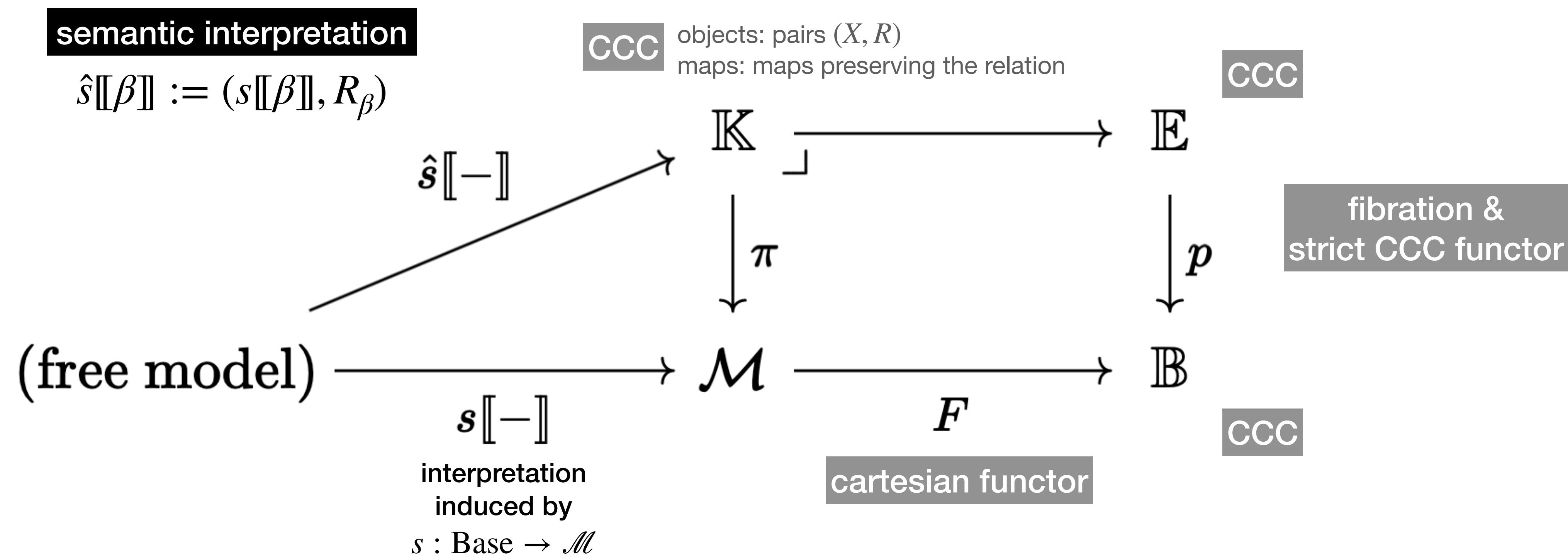
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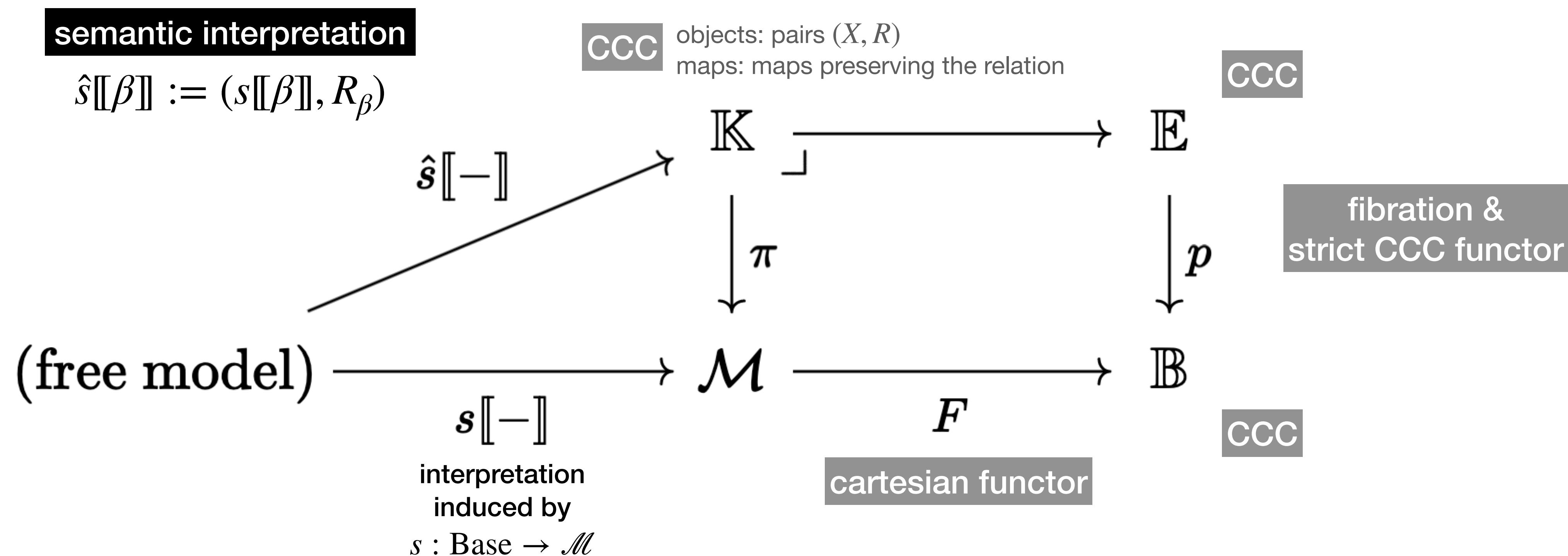
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Some examples:

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for a ‘suitable’  
equational theory

note the parametrisation  
by contexts

# Kripke relations of varying arity

(for a CCC  $\mathcal{M}$ )

$$\text{Def}_\sigma(\Gamma) = \{\llbracket M \rrbracket \mid \Gamma \vdash M : \sigma\} \subseteq \mathcal{M}(\llbracket \Gamma \rrbracket, \llbracket \sigma \rrbracket)$$

satisfies monotonicity:

$$\llbracket M \rrbracket \in \text{Def}_\sigma(\Gamma) \text{ and } \Gamma \subseteq \Delta \implies \llbracket M^{\text{wkn}} \rrbracket \in \text{Def}_\sigma(\Delta)$$

$\text{Def}_\sigma$  is a presheaf over a category of contexts

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A Kripke relation of varying arity on  $X \in \mathcal{M}$

is a subpresheaf  $R \hookrightarrow \mathcal{M}(\llbracket - \rrbracket, X)$

$$R(\Gamma) \subseteq \mathcal{M}(\llbracket \Gamma \rrbracket, X)$$

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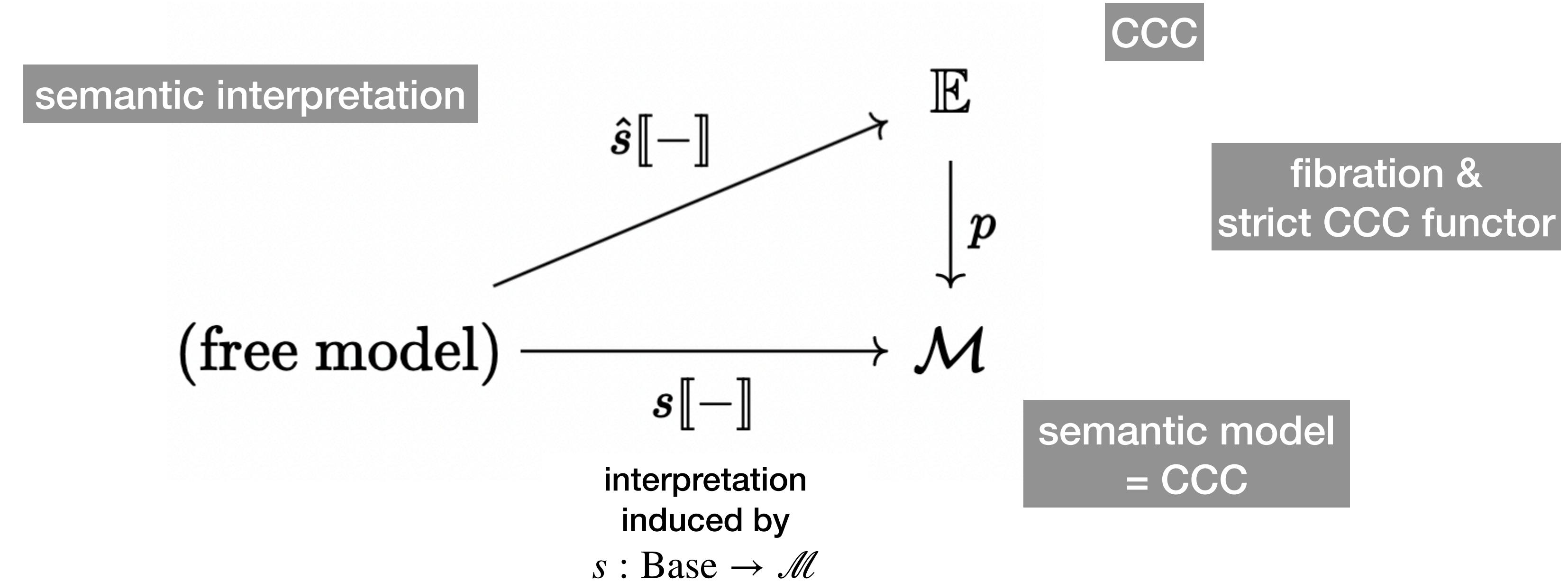
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$$\begin{array}{ccc} \text{Krip} & \longrightarrow & \text{Sub}(\widehat{\text{Con}}) \\ \pi \downarrow & & \downarrow \text{cod} \\ \mathcal{M} & \xrightarrow[X \mapsto \mathcal{M}(\llbracket - \rrbracket, X)]{} & \widehat{\text{Con}} \end{array}$$

# What is a logical relation?

(Hermida, Jacobs, ...)

logical relation



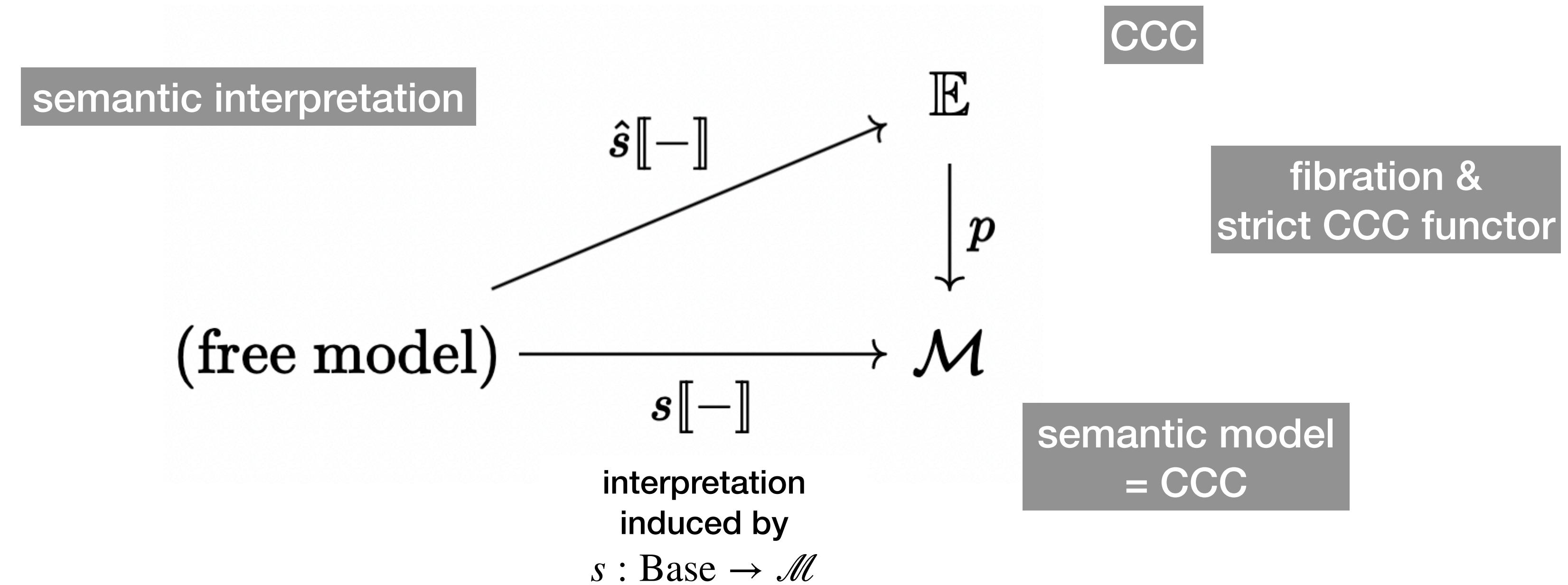
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$$(M : \sigma) \implies [[M]] \in R_\sigma$$

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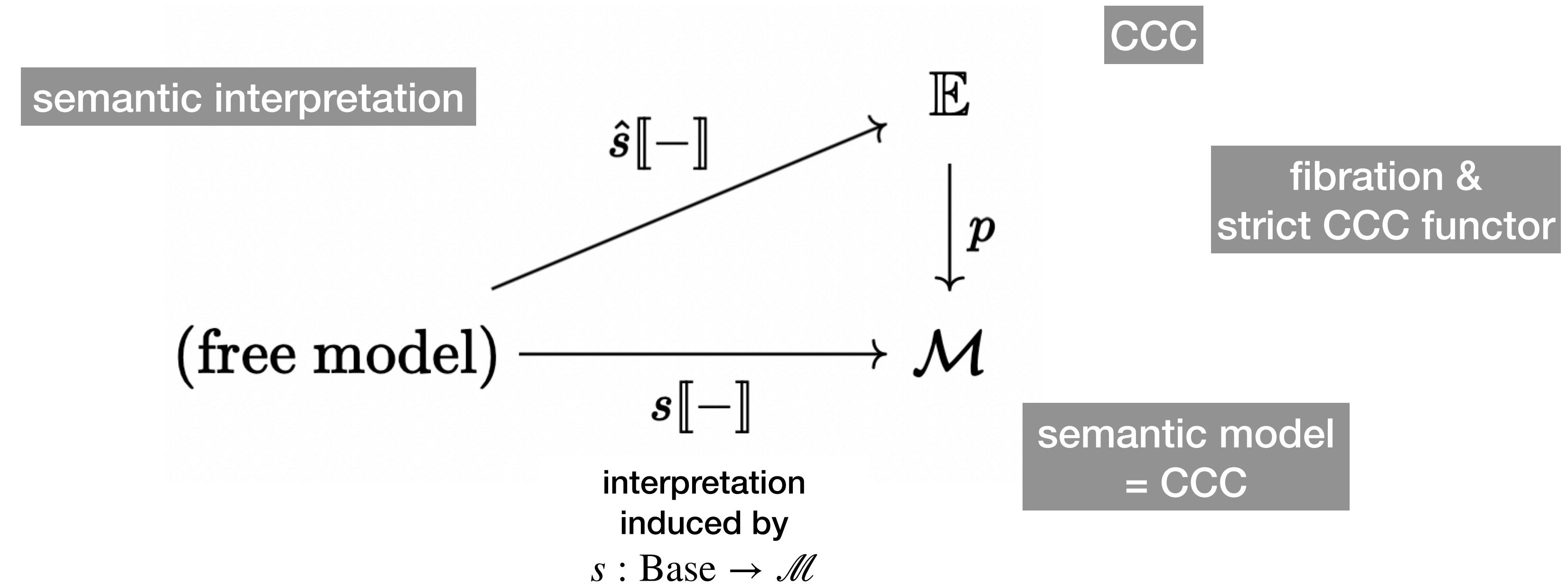
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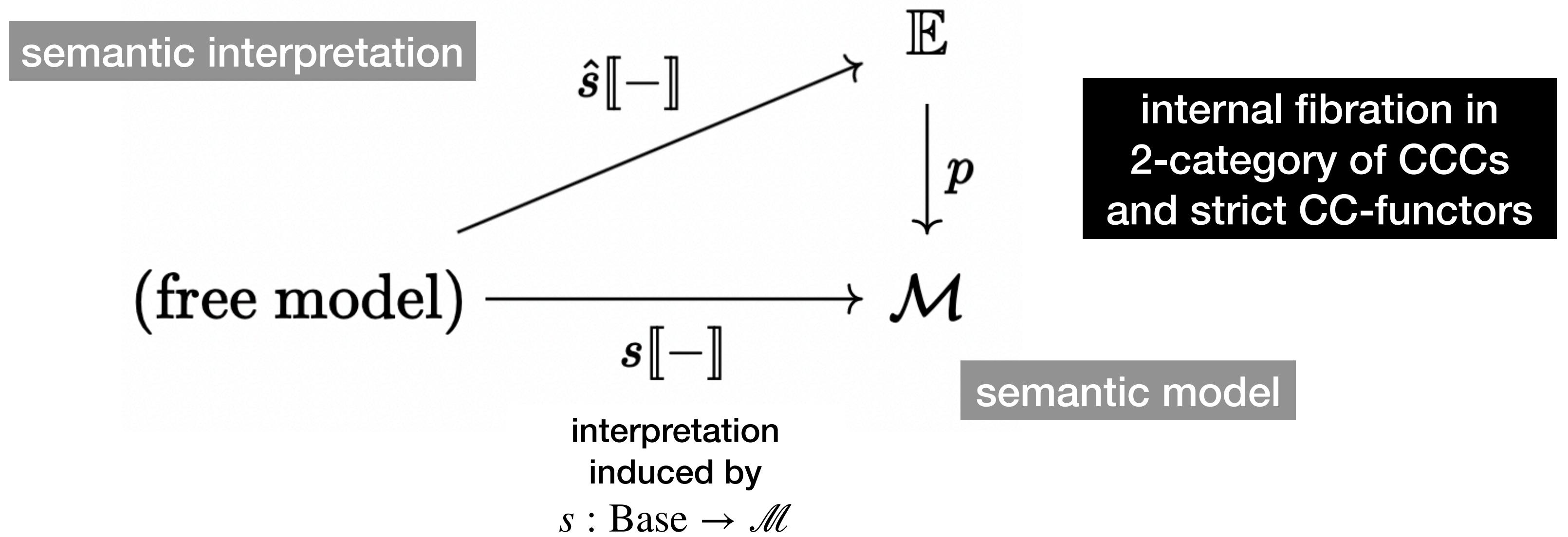
$f$  is definable  $\implies f$  satisfies  $R$

97 Proof:  $f = s[M] \implies f = p(\hat{s}[M])$

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(a 2-categorical perspective – WIP)

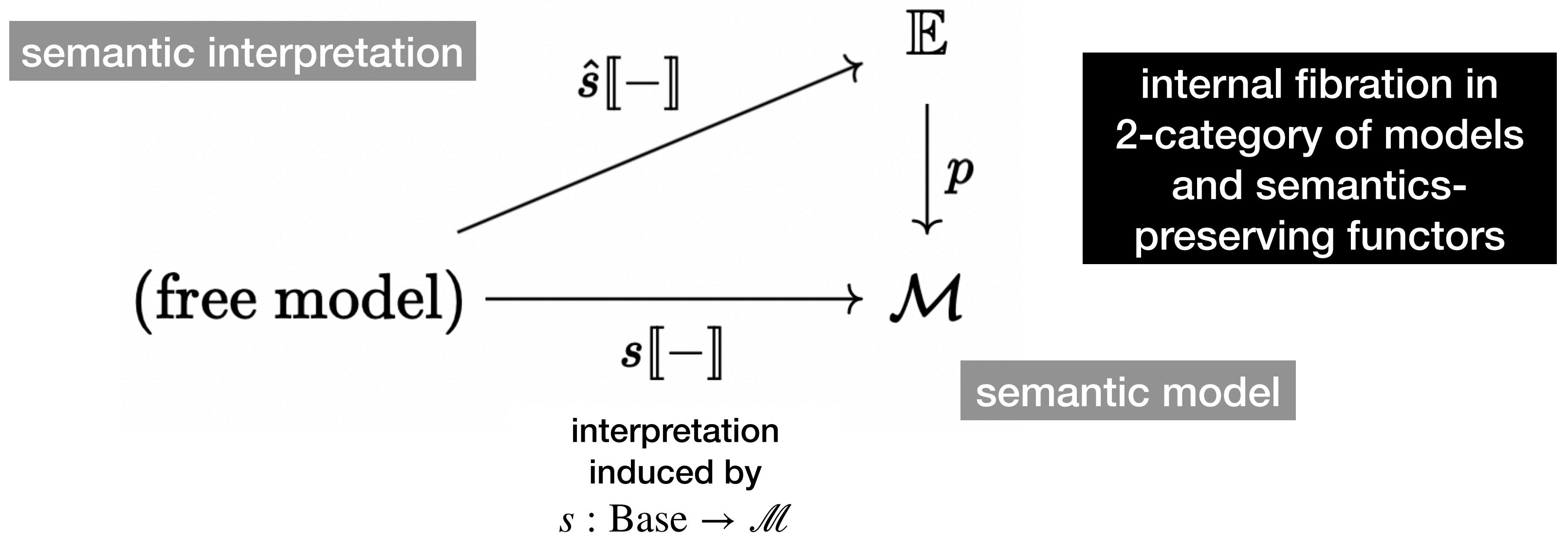
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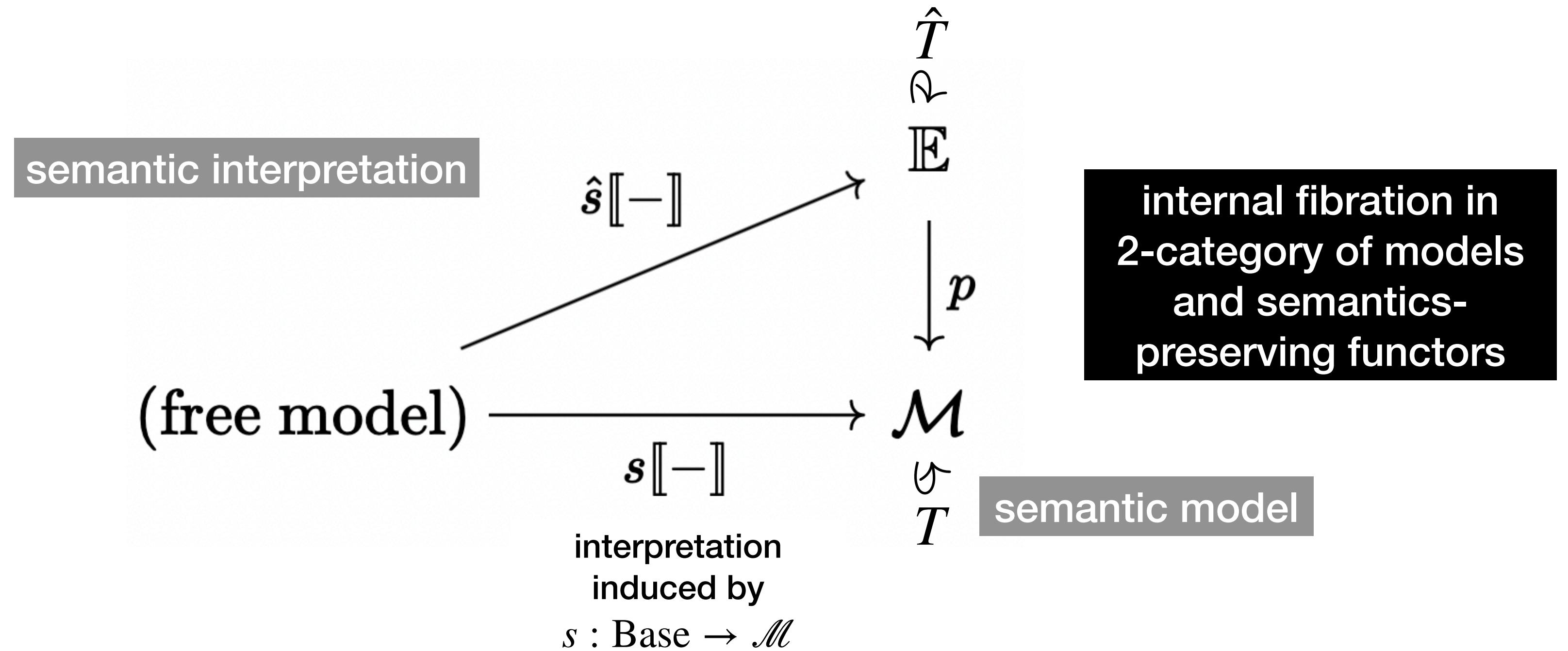
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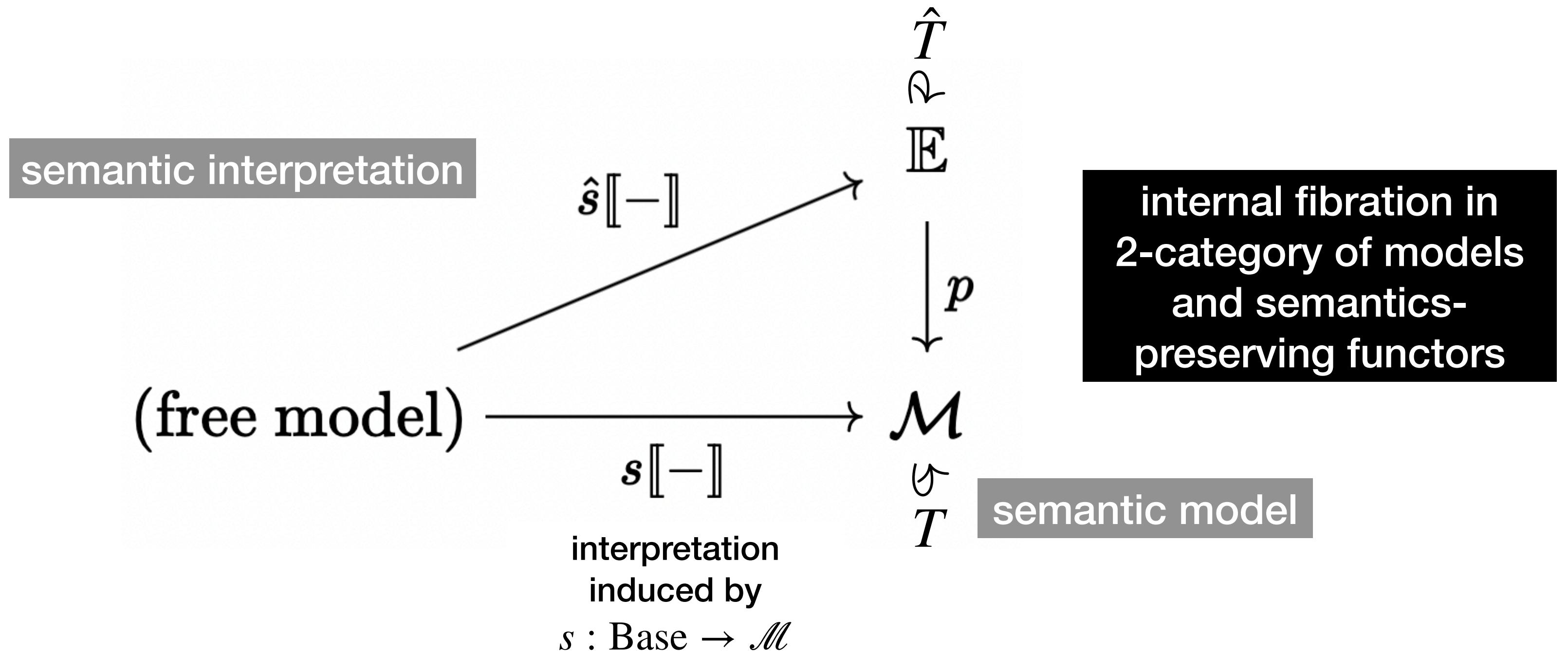
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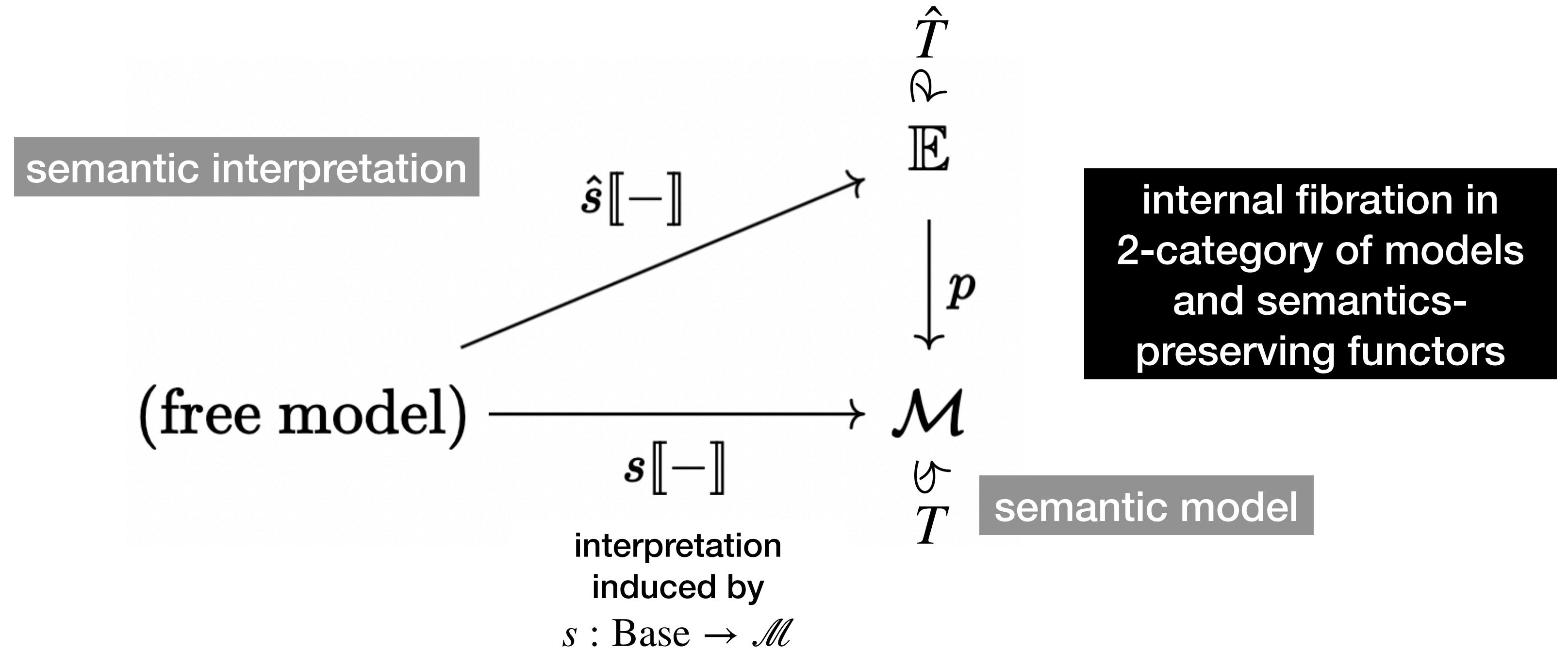
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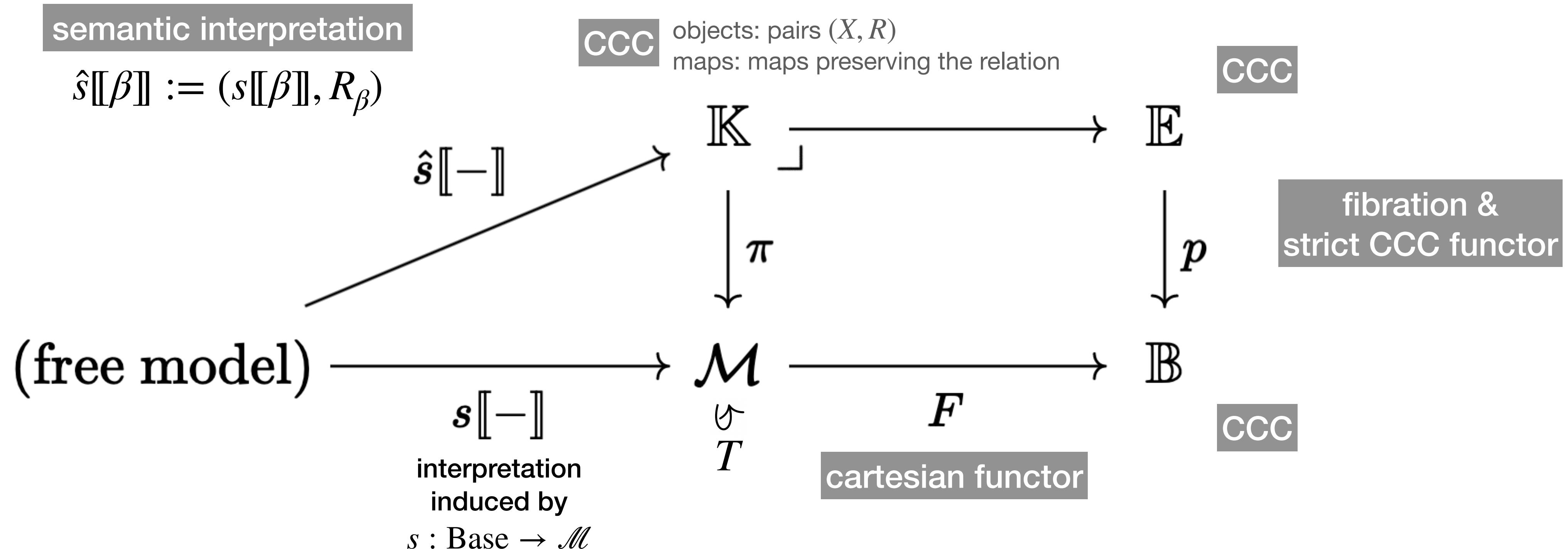


logical relation

Fibration  $p$  such that

- $p$  strictly preserves cc-structure
- $p$  commutes with the monads:  $p \circ \hat{T} = T \circ p, \dots$

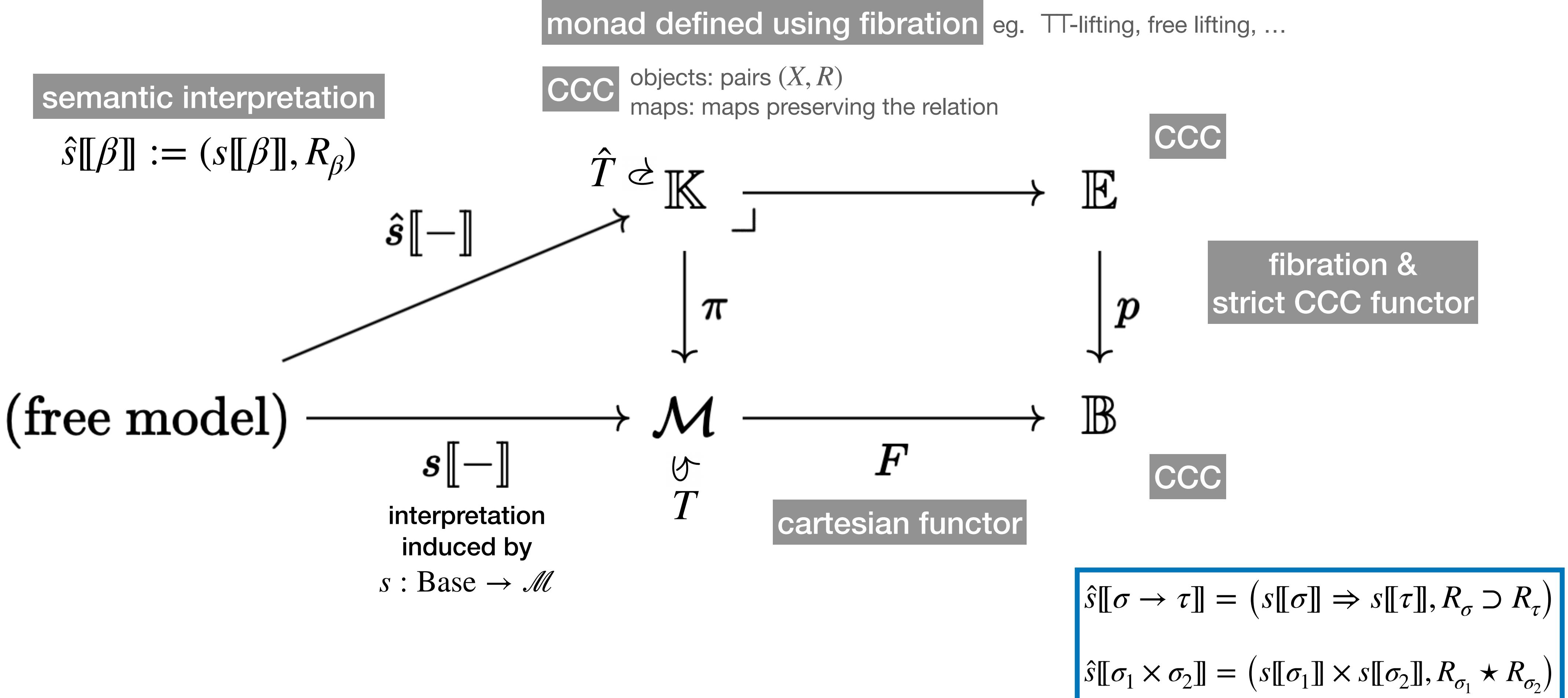
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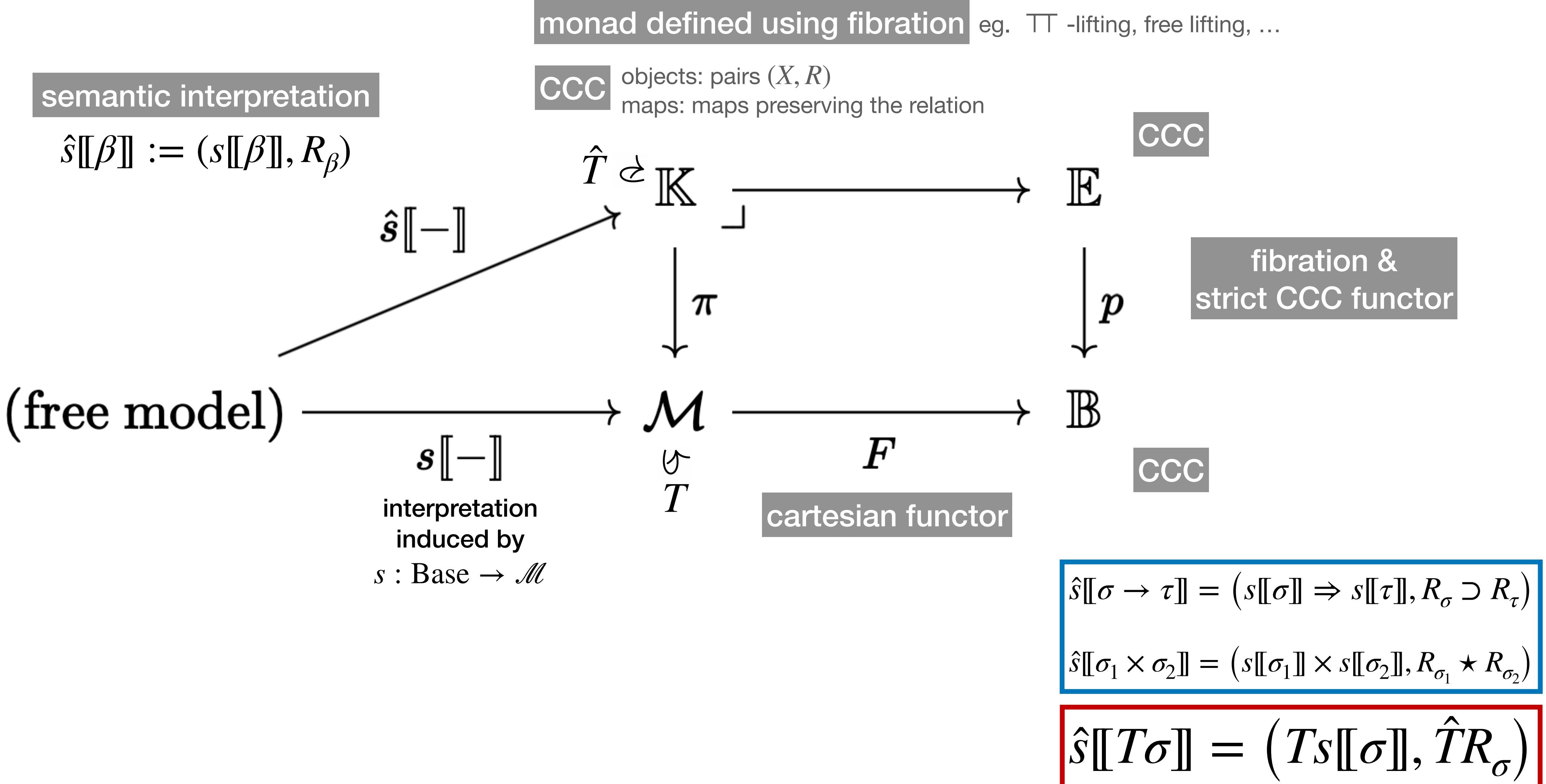
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note the parametrisation  
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for a ‘suitable’  
equational theory

difficulty = choice of monad  $\hat{T}$

TT-lifting very useful for this (cf. Lindley-Stark, biorthogonality,...)

# What is a logical relation for $\lambda_c$ ?

$$\begin{aligned}s[\![\sigma \rightarrow \tau]\!] &= s[\![\sigma]\!] \Rightarrow T(s[\!\![\tau]\!]) \\s[\![\sigma_1 \times \sigma_2]\!] &= s[\![\sigma_1]\!] \times s[\![\sigma_2]\!]\end{aligned}$$

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Restrict to values:  $\text{for } R \subseteq (T[\![\sigma]\!])^n,$   
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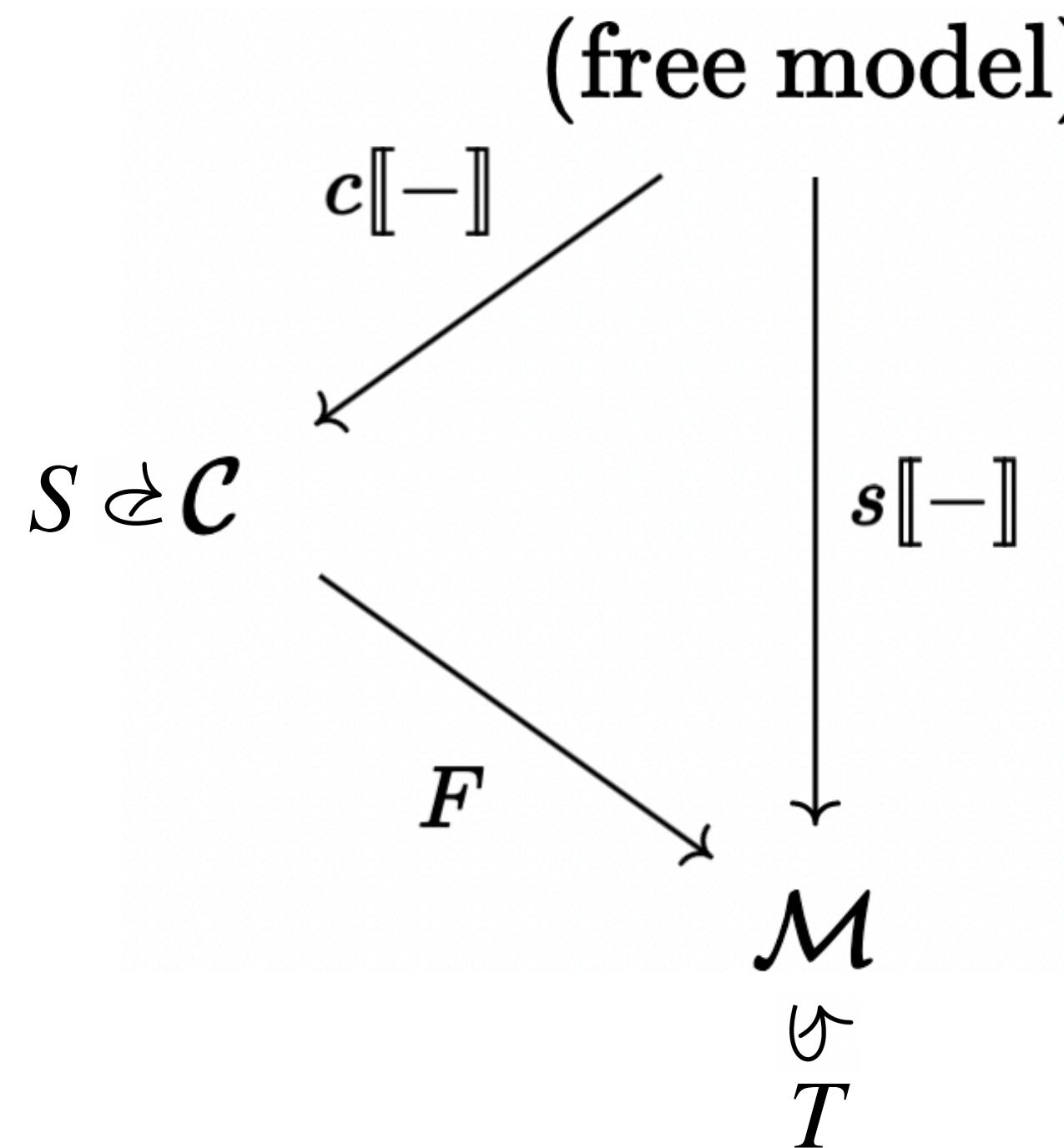
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$$T\hat{s}[\![\sigma]\!] = (Ts[\![\sigma]\!], R_\sigma)$$

$$\hat{T}(s[\![\sigma]\!], R_\sigma^{\text{vals}}) = (Ts[\![\sigma]\!], R_\sigma)$$

# Converse to the Basic Lemma

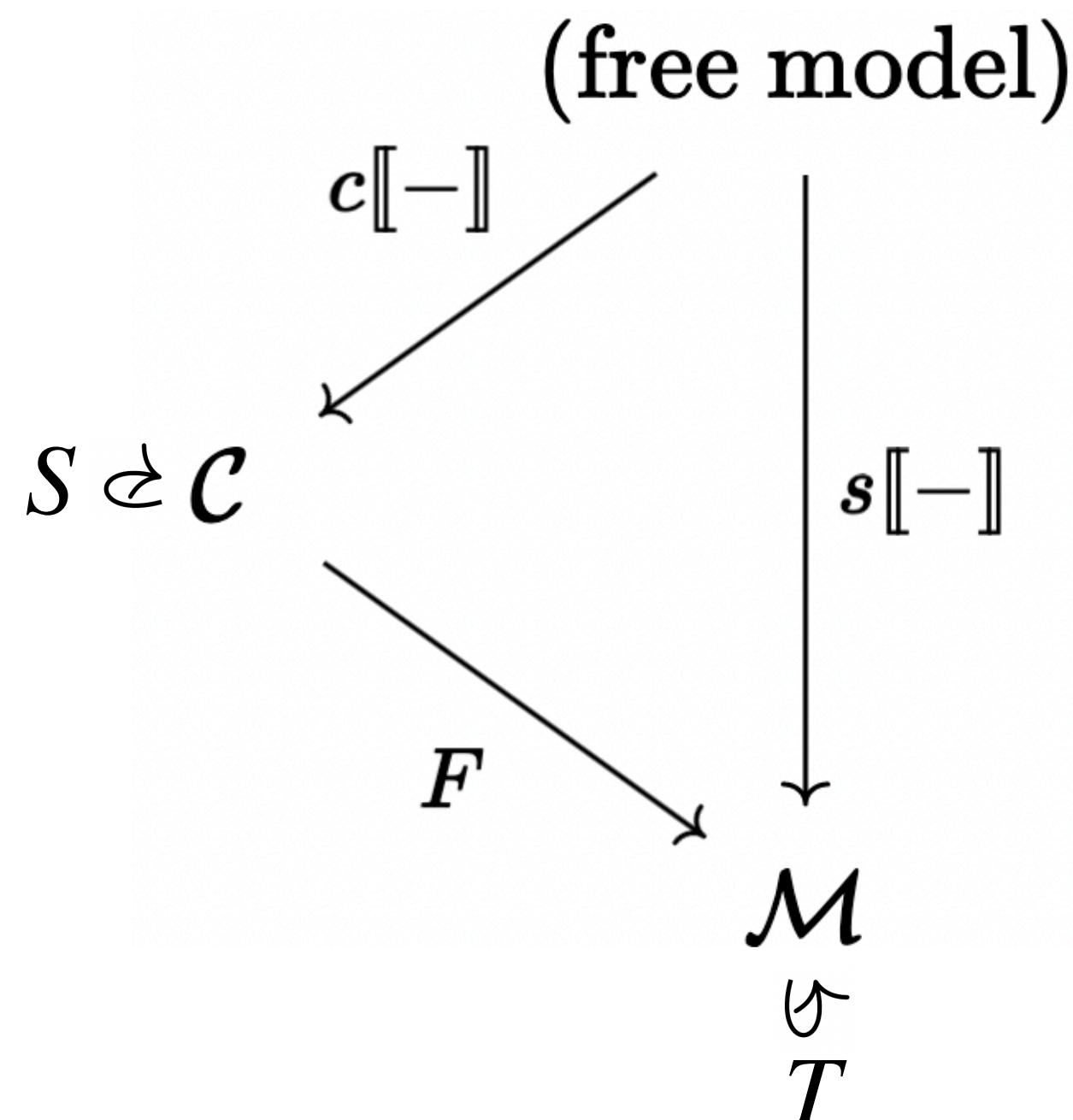
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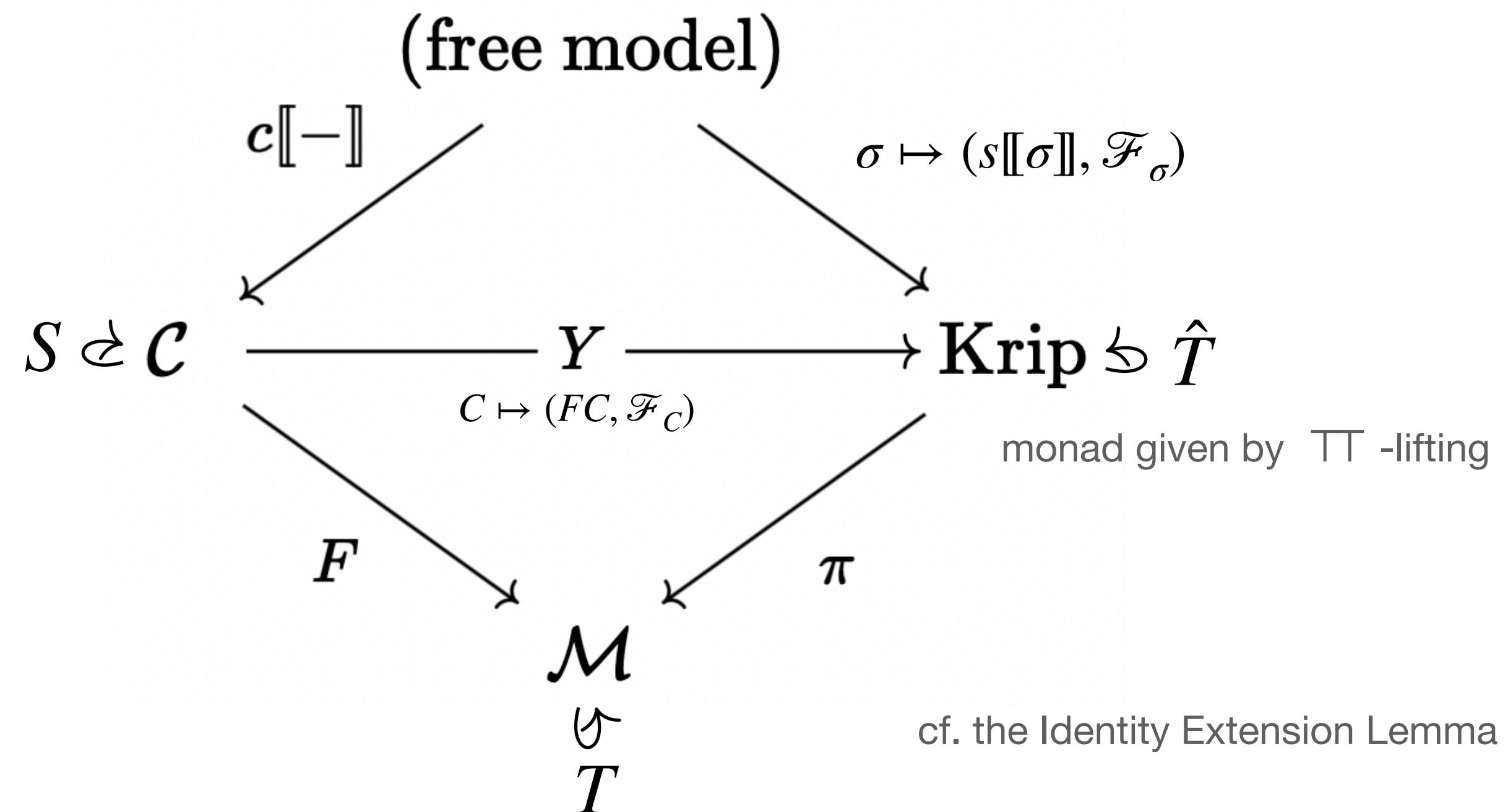
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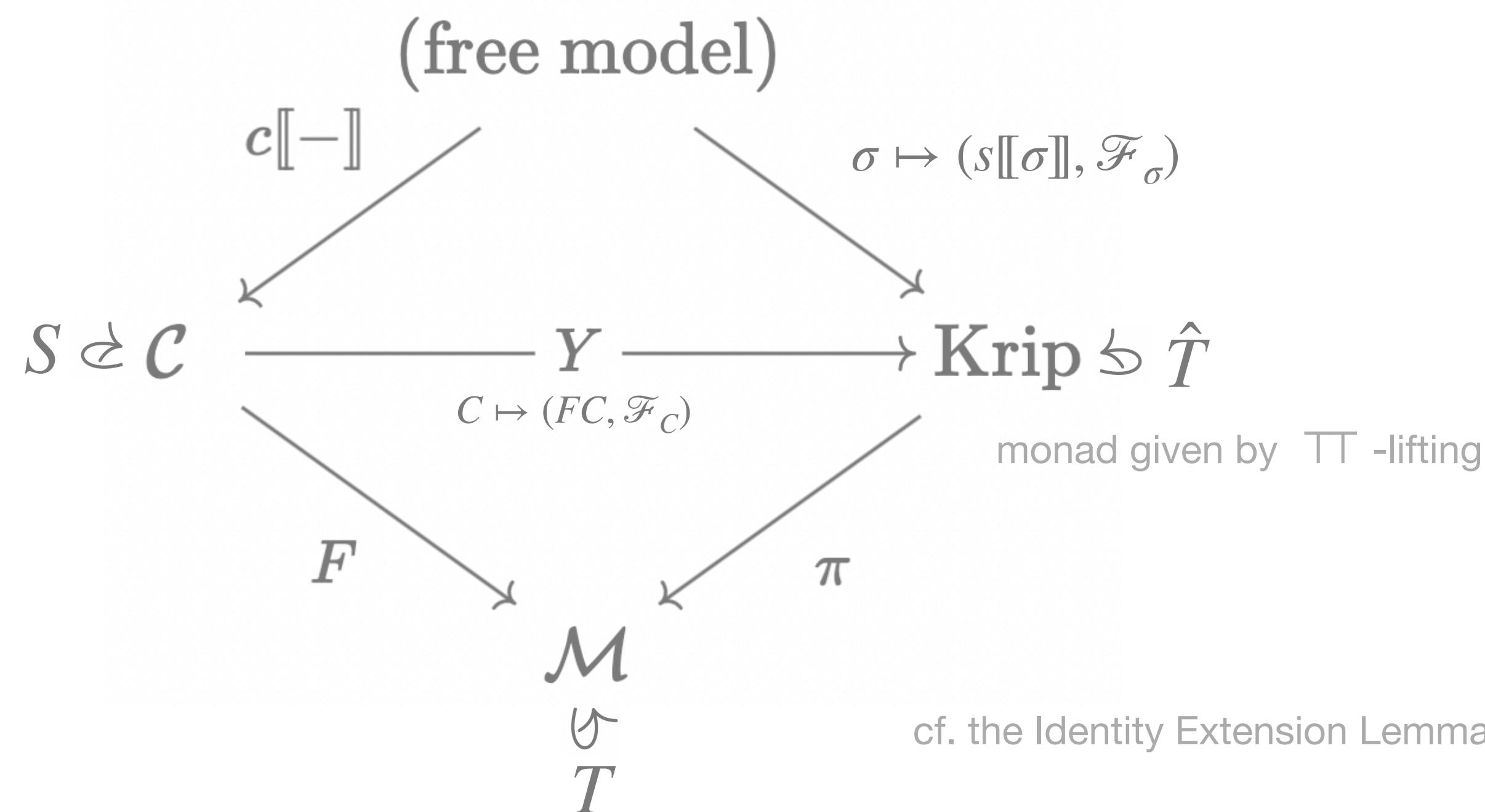
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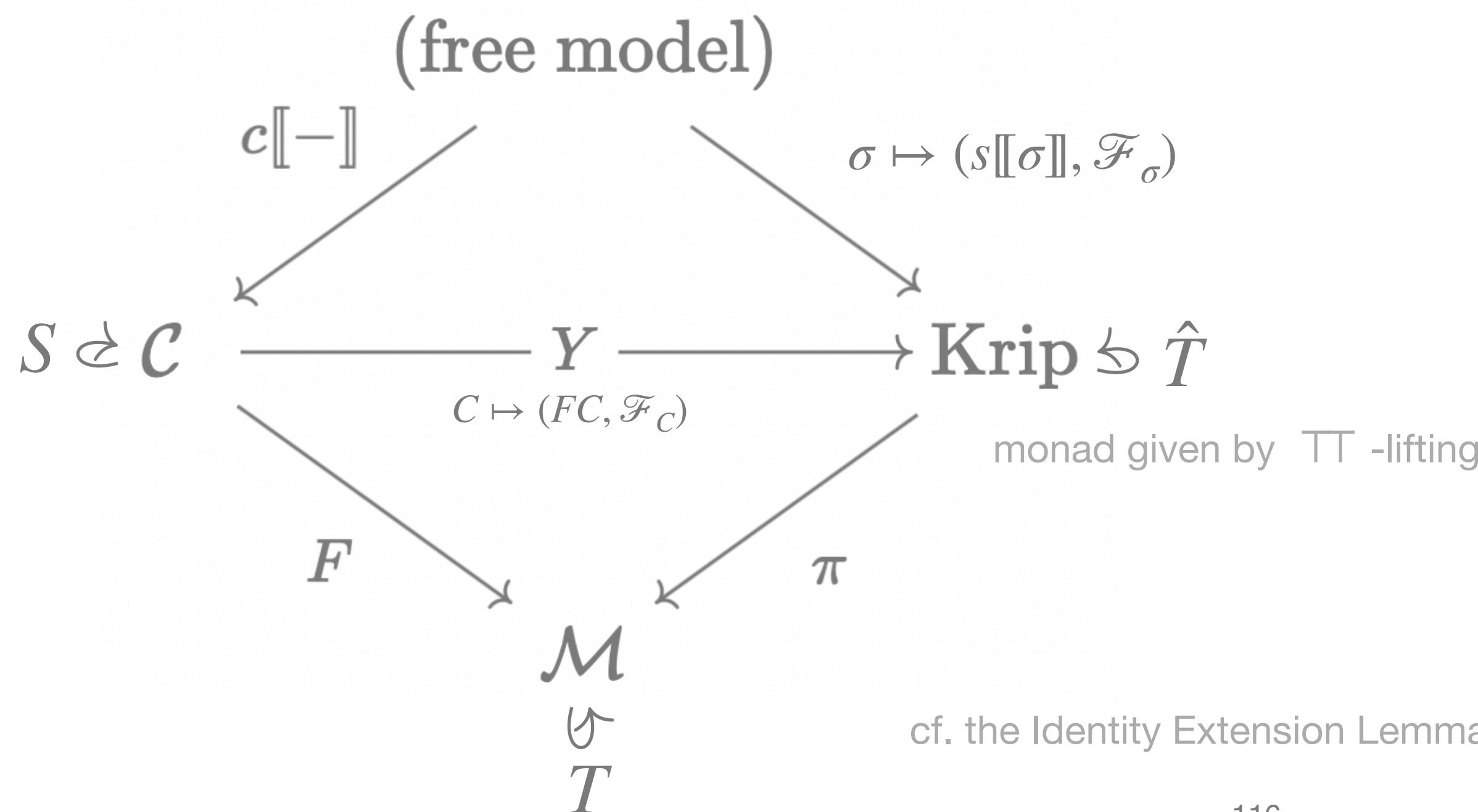
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Every morphism of models defines a **hungry** logical relation:

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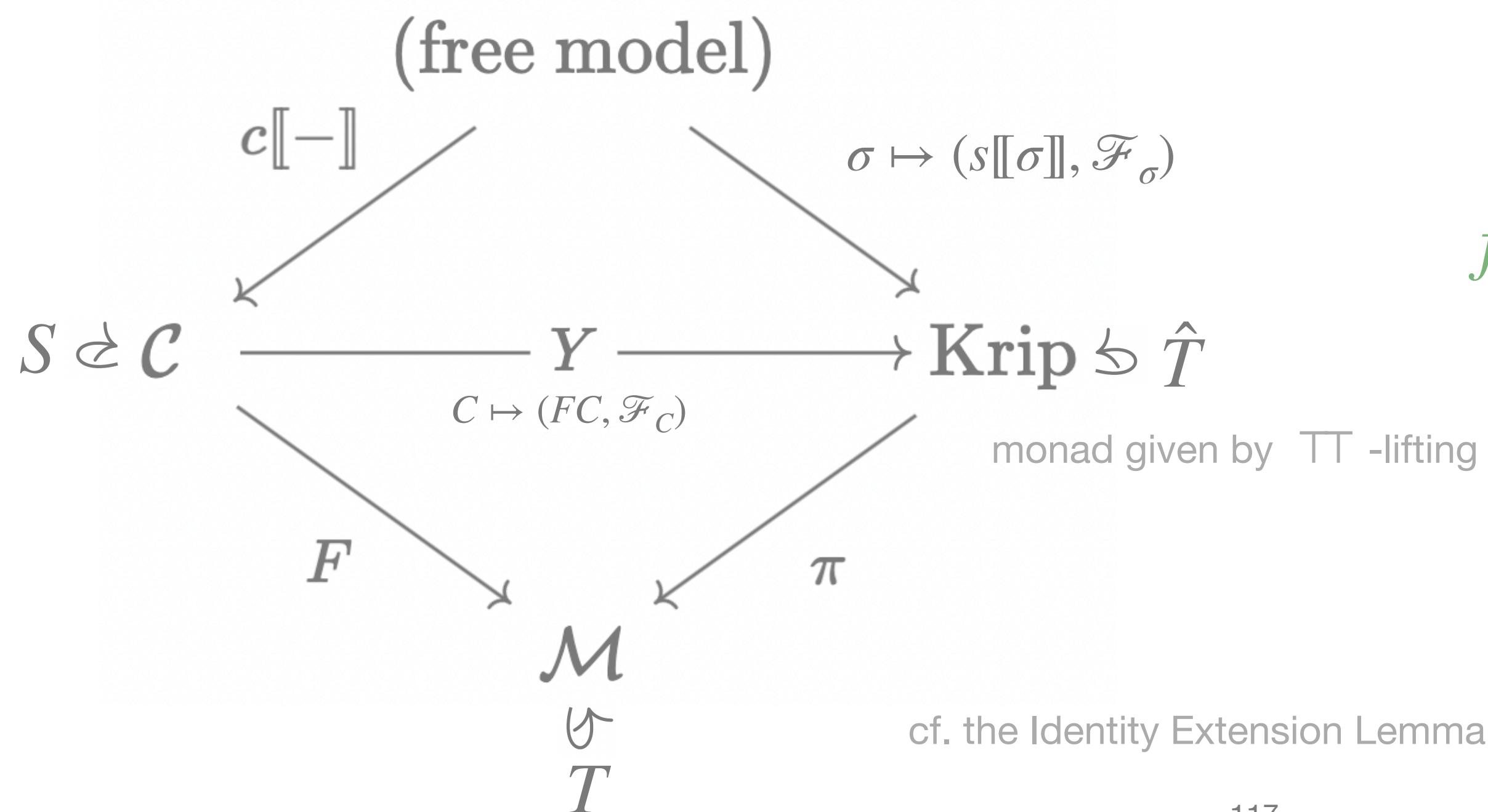
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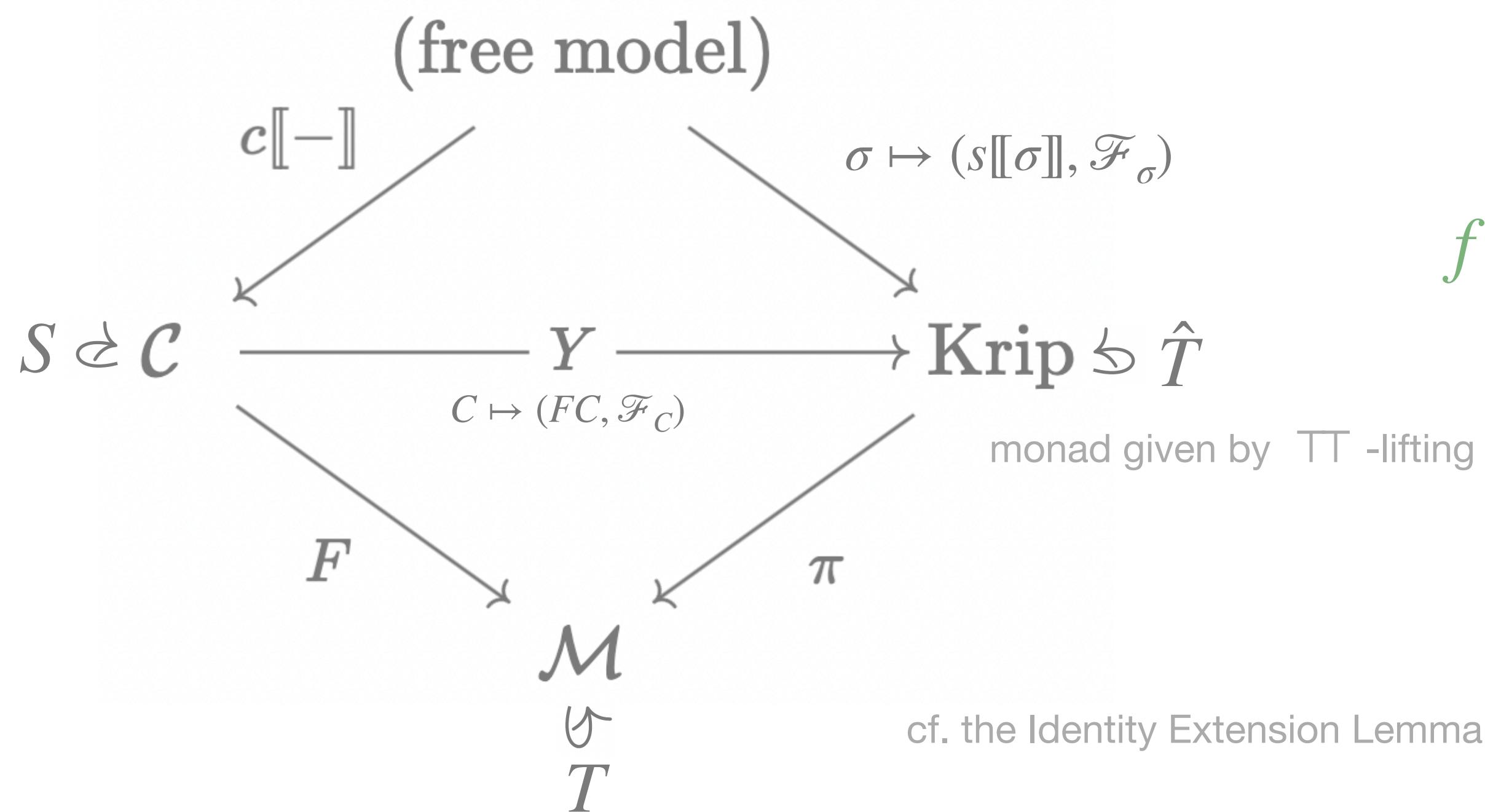
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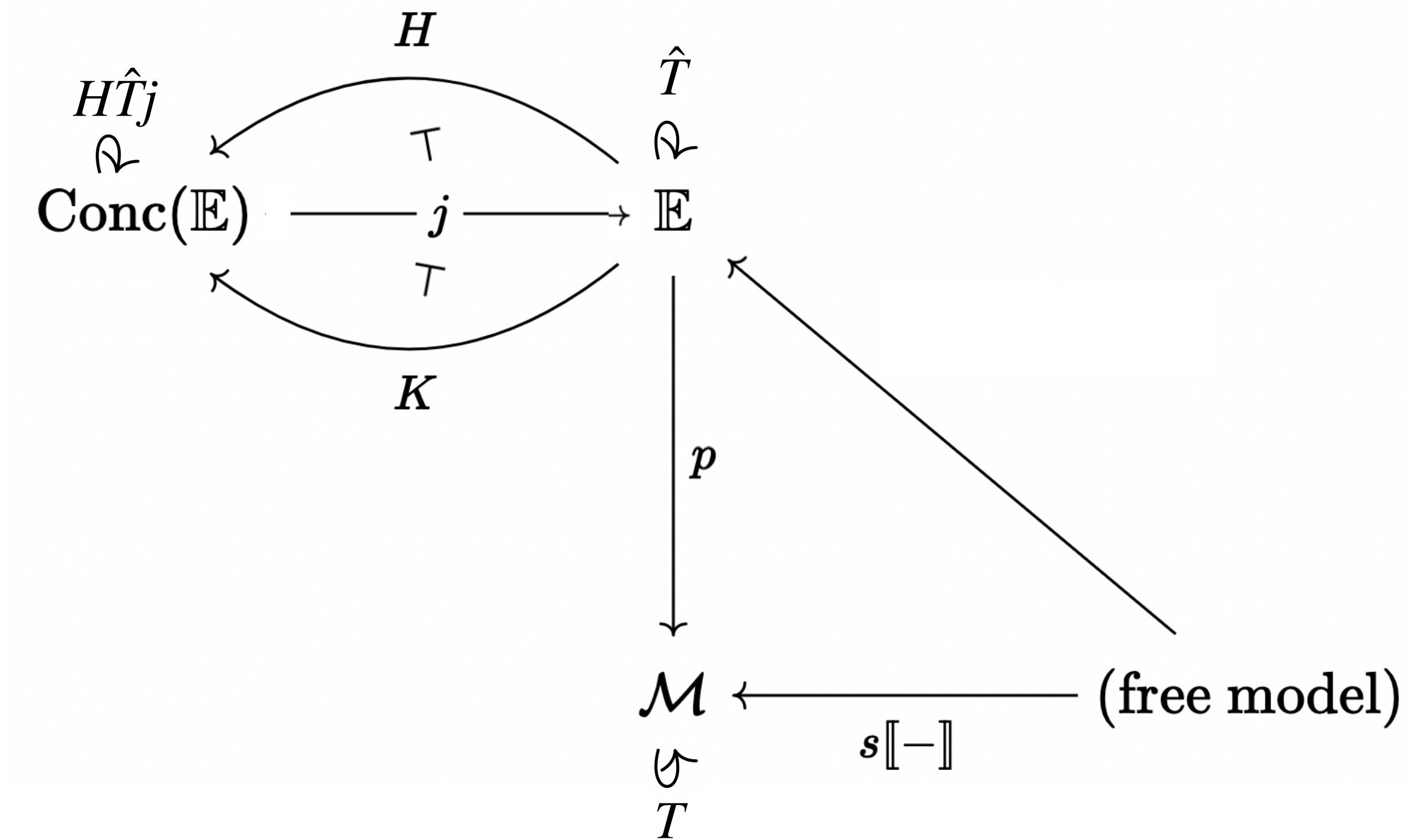
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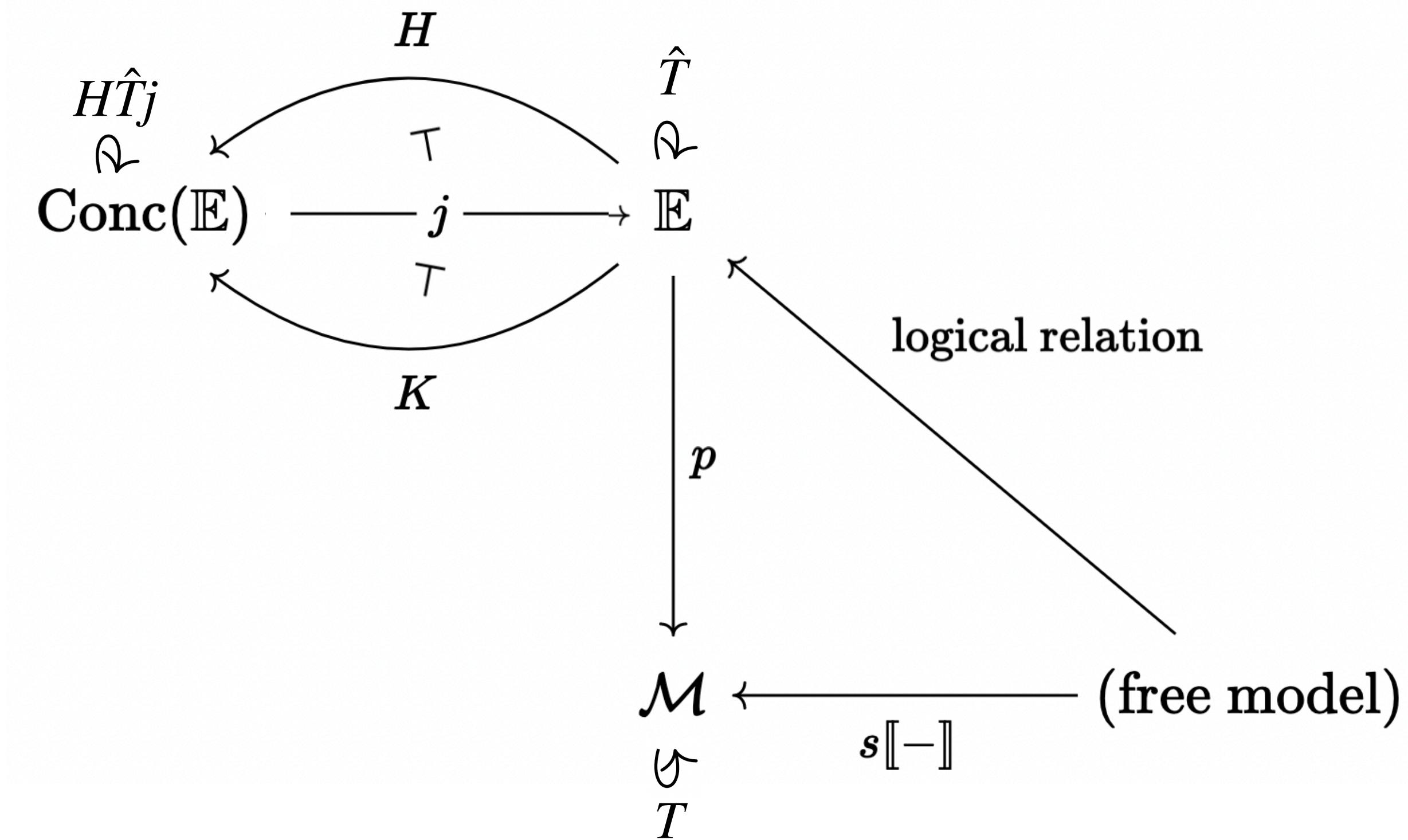
$f: [[\sigma]] \rightarrow [[\tau]]$  satisfies every logical relation  $\iff f$  is definable

# **Logical relations and categories of concrete relations**

# Logical relations and categories of concrete relations



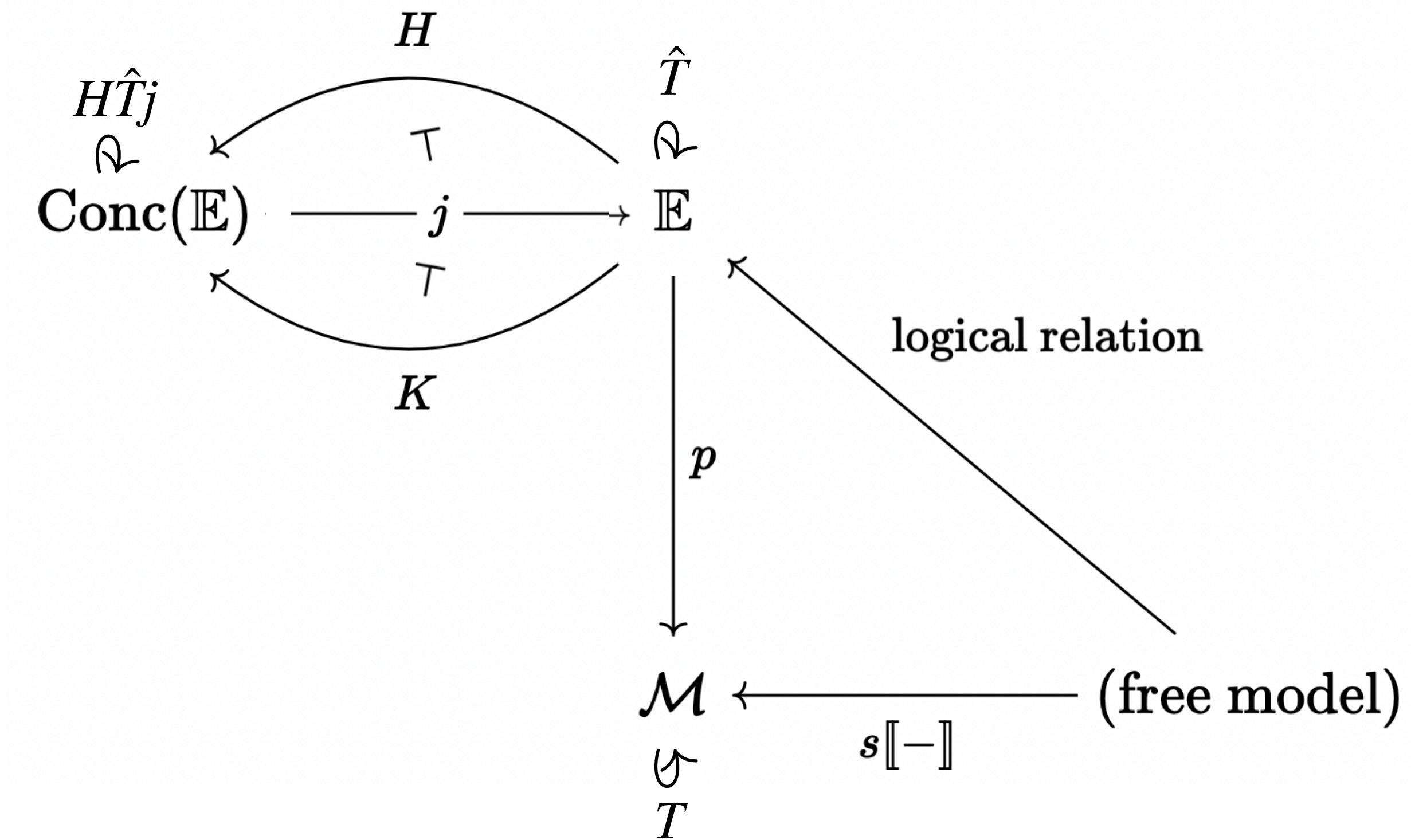
# Logical relations and categories of concrete relations



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Category of concrete relations

≈ model with maps satisfying a logical relation



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Category of concrete relations

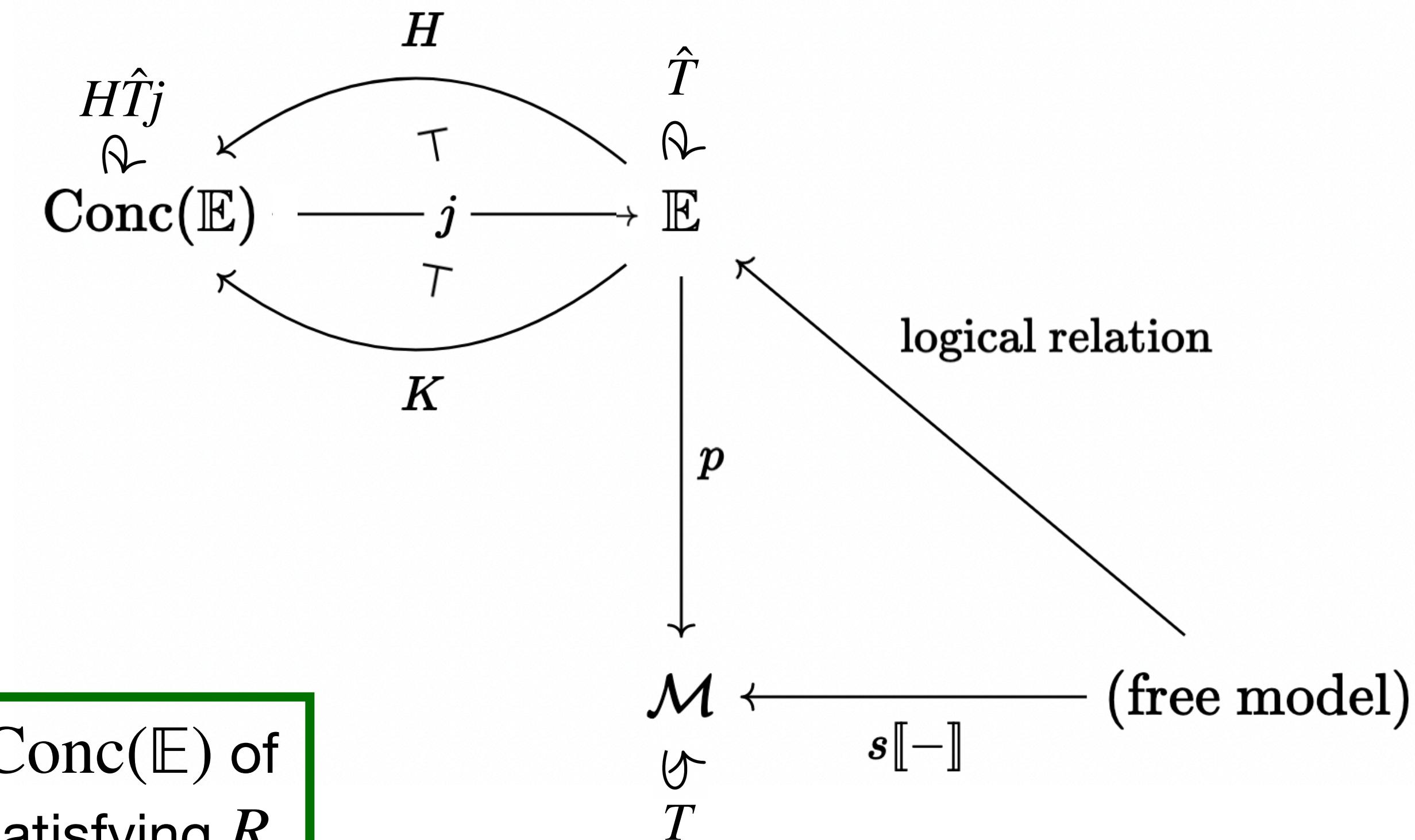
$\approx$  model with maps satisfying a logical relation

If every  $R_\sigma$  is concrete:

model  $\mathcal{M}$   
+  
logical relation  $R$



model  $\text{Conc}(\mathbb{E})$  of  
maps satisfying  $R$



# Summing up: logical relations

1. Logical relations can be defined via internal fibrations (at least for STLC,  $\lambda_{ml}$  and  $\lambda_c$ )
2. 2-categorical perspective  $\Rightarrow$  a simple characterisation of definability
3.  $\text{Conc}(\mathbb{E})$  is a model with maps satisfying some logical relation  
not always obvious what this is from the start!

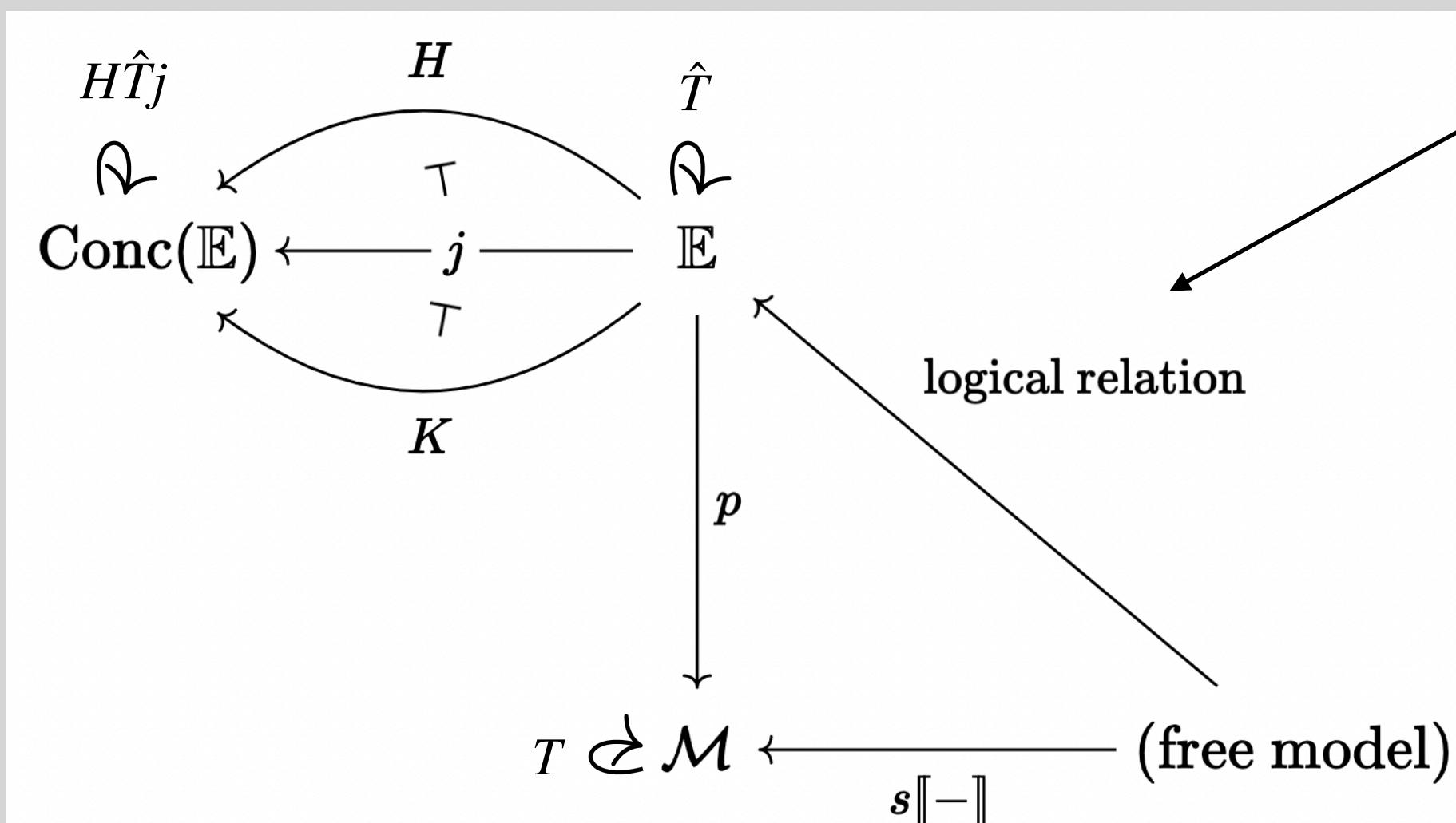
# **3: Models with every map definable**

**(‘full completeness’)**

# What doesn't work

## Logical relations and categories of concrete relations

Every logical relation determines a category of concrete relations

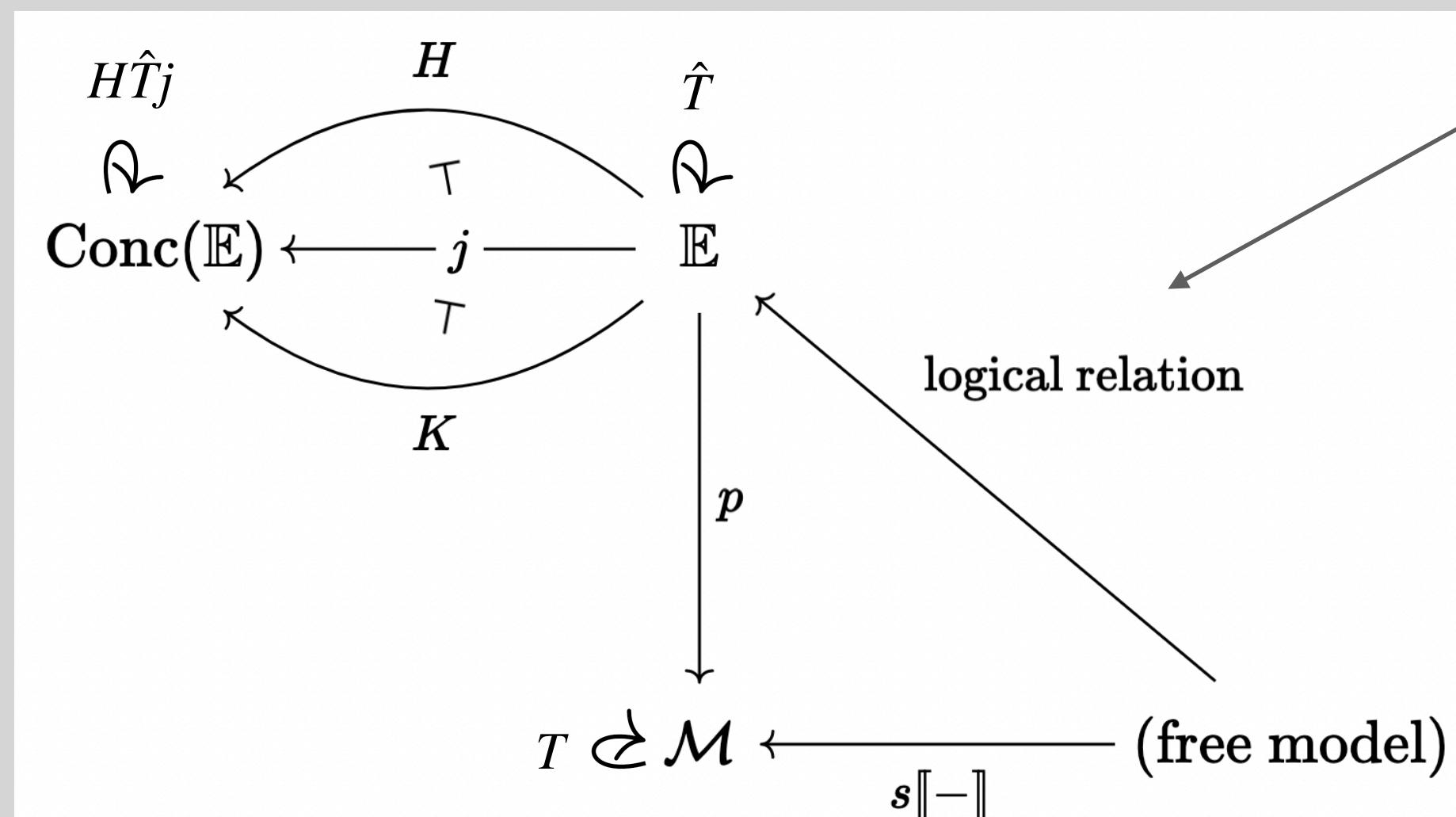


take this to be Def

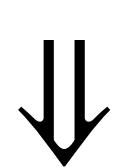
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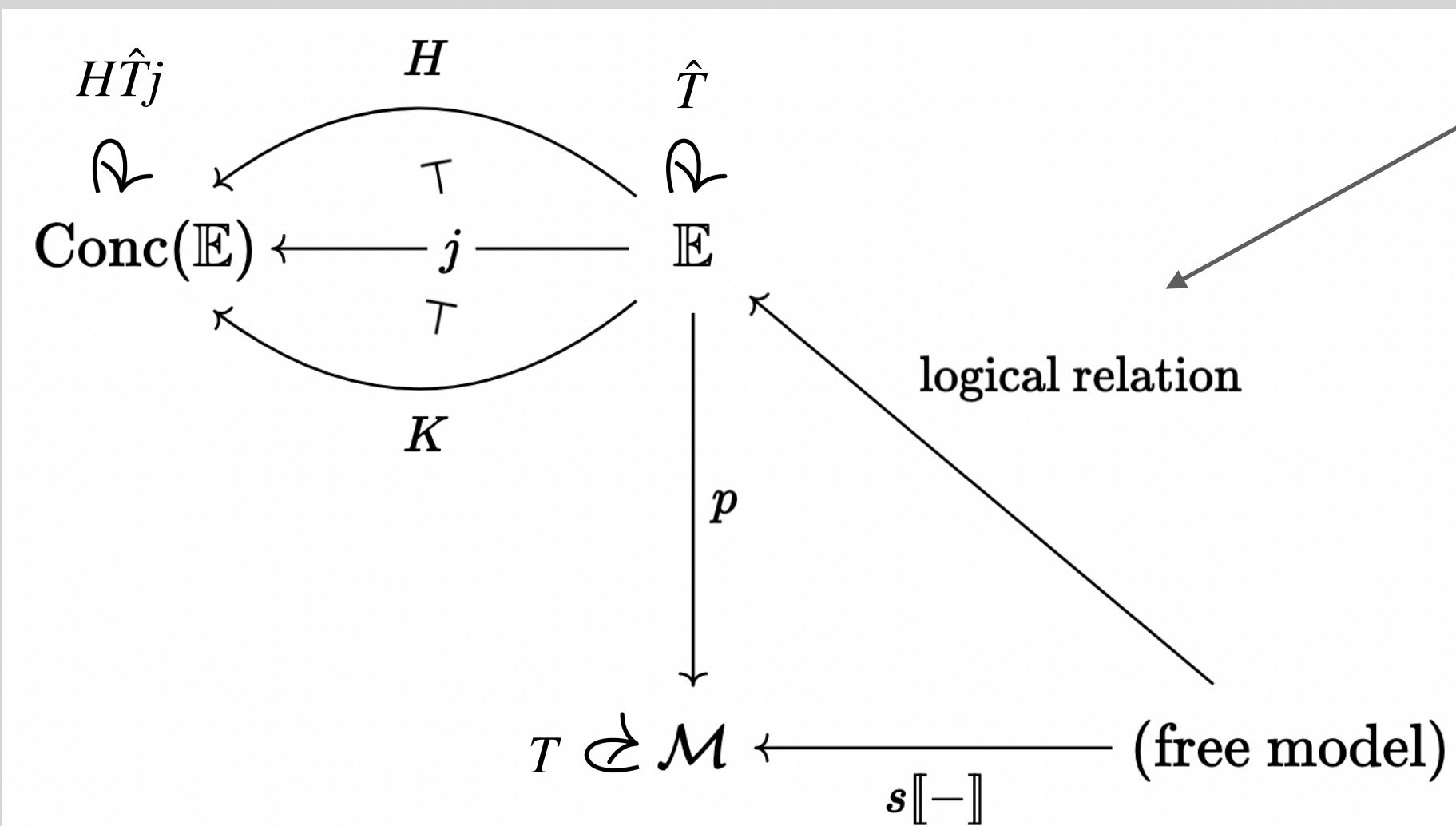


every map in  
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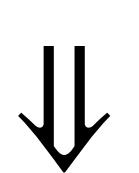
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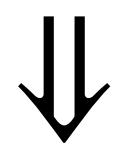
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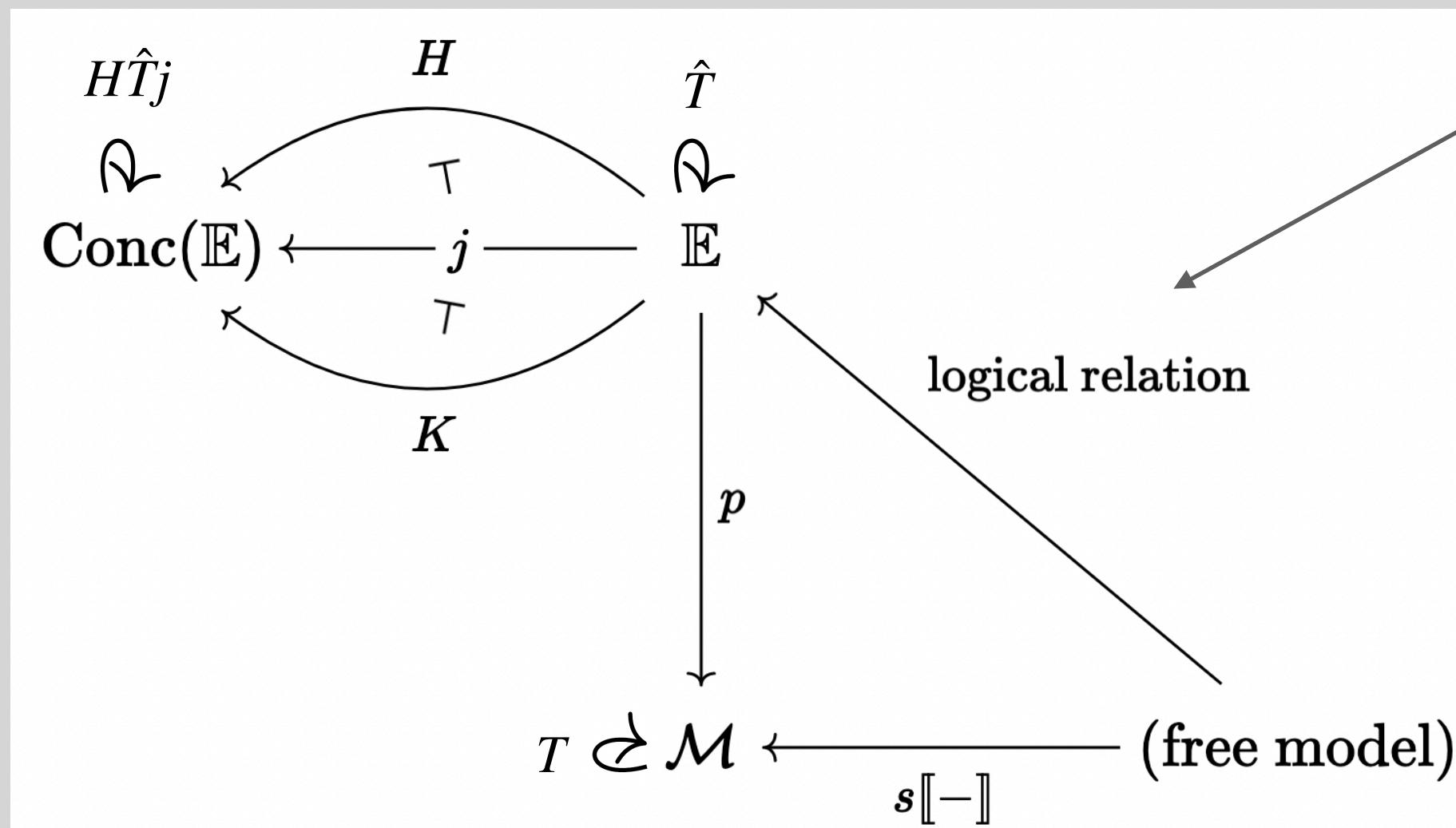


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# What doesn't work

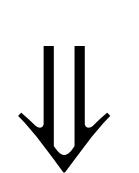
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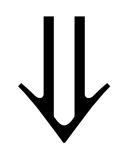


Def for  $\text{Conc}(\mathbb{E})$  is not the same as Def for  $\mathcal{M}$ !

take this to be Def



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every map in  
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# The strategy

(cf. O'Hearn & Riecke)

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then:

$f$  satisfies every logical relation, so is definable

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**Circular dependencies!**

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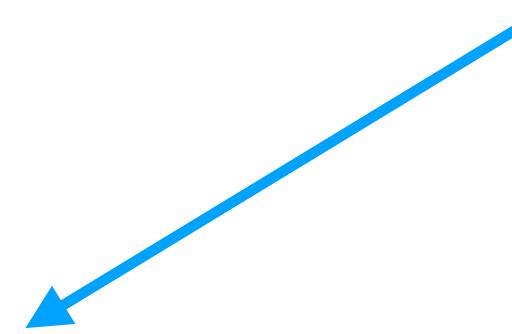
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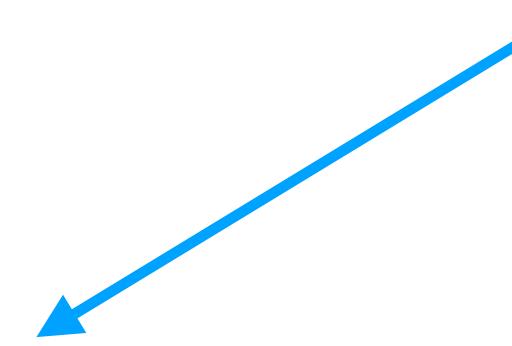
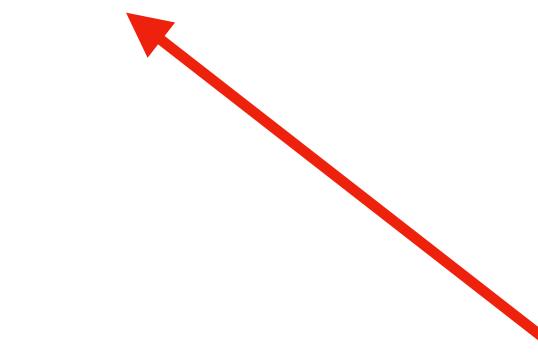
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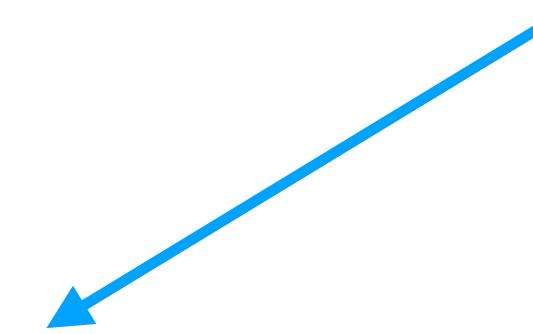
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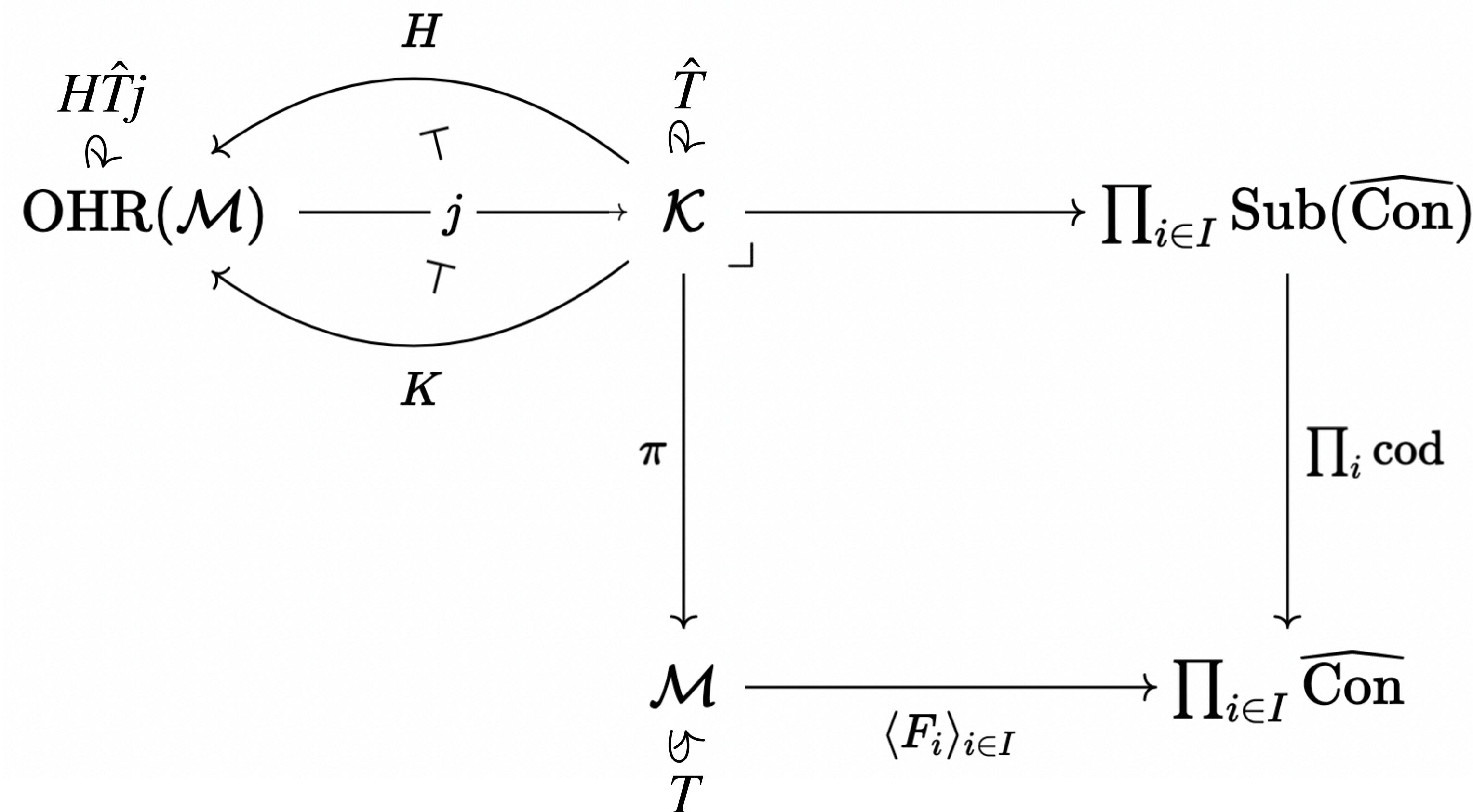
choose  $I$  so every possible  
relation over  $\mathcal{M}$  appears

identify logical relations over  
 $\text{OHR}(\mathcal{M})$  amongst relations  
over  $\mathcal{M}$



# The OHR construction (cf. O'Hearn & Riecke)

Choose  $I$  as above, then construct the following category of concrete relations:



# Summary

- Categories of concrete relations are a flexible way to ‘cut down’ models
- Viewed from a general enough perspective, these restrict to maps satisfying a logical relation
- Basic properties of logical relations follow from abstract nonsense
- Combining this theory  $\rightsquigarrow$  can construct fully complete models

## Future work

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