$\S1$ SSXC INTRO 1

November 24, 2020 at 13:24

1. Intro. This program is an "exact cover solver" that I'm writing as an experiment in the use of so-called sparse-set data structures instead of the dancing links structures I've played with for thirty years. I plan to write it as if I live on a planet where the sparse-set ideas are well known, but doubly linked links are almost unheard-of. As I begin, I have no idea what the tradeoffs will be.

I shall accept the DLX input format used in the previous solvers, without change, so that a fair comparison can be made. (See the program DLX1 for definitions. Much of the code from that program is used to parse the input for this one.)

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2. After this program finds all solutions, it normally prints their total number on *stderr*, together with statistics about how many nodes were in the search tree, and how many "updates" were made. The running time in "mems" is also reported, together with the approximate number of bytes needed for data storage. One "mem" essentially means a memory access to a 64-bit word. (These totals don't include the time or space needed to parse the input or to format the output.)

Here is the overall structure:

```
\#define o mems ++
                          /* count one mem */
#define oo mems += 2
                               /* count two mems */
                               /* count three mems */
#define ooo mems += 3
                      /* used for percent signs in format strings */
#define O "%"
                      /* used for percent signs denoting remainder in C */
#define mod %
                             /* at most this many options in a solution */
#define max\_level 500
#define max\_cols 100000
                                /* at most this many items */
#define max_nodes 25000000
                                  /* at most this many nonzero elements in the matrix */
#define bufsize (9*max\_cols + 3) /* a buffer big enough to hold all item names */
#include <stdio.h>
#include <stdlib.h>
#include <string.h>
#include <ctype.h>
  typedef unsigned int uint;
                                    /* a convenient abbreviation */
  typedef unsigned long long ullng;
                                            /* ditto */
  \langle \text{Type definitions } 7 \rangle;
  \langle Global \ variables \ 3 \rangle;
  \langle \text{Subroutines } 10 \rangle;
  main(int argc, char *argv[])
    register int cc, i, j, k, p, pp, q, r, s, t, cur_choice, cur_node, best_itm;
    \langle \text{ Process the command line 4} \rangle:
    \langle \text{Input the item names } 14 \rangle;
    \langle \text{Input the options } 16 \rangle;
    if (vbose & show_basics) (Report the successful completion of the input phase 22);
    if (vbose \& show\_tots) \land Report the item totals 23);
    imems = mems, mems = 0;
    \langle Solve the problem 24\rangle;
  done: if (vbose & show_tots) (Report the item totals 23);
    if (vbose & show_profile) \langle Print the profile 38 \rangle;
    if (vbose & show_max_deq)
      fprintf(stderr, "The maximum branching degree was "O"d. \n", maxdeq);
    if (vbose & show_basics) {
      fprintf(stderr, "Altogether_"O"llu_solution"O"s,_"O"llu+"O"llu+"O"llu_mems,", count,
           count \equiv 1? "": "s", imems, mems);
      bytes = (itemlength + setlength) * sizeof(int) + last_node * sizeof(node) + maxl * sizeof(int);
      \langle \text{Close the files 5} \rangle;
```

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3. You can control the amount of output, as well as certain properties of the algorithm, by specifying options on the command line:

- 'v(integer)' enables or disables various kinds of verbose output on stderr, given by binary codes such as show_choices;
- 'm' (integer)' causes every mth solution to be output (the default is m0, which merely counts them);
- 'd(integer)' sets *delta*, which causes periodic state reports on *stderr* after the algorithm has performed approximately *delta* mems since the previous report (default 10000000000);
- 'c (positive integer)' limits the levels on which choices are shown during verbose tracing;
- 'C' positive integer' limits the levels on which choices are shown in the periodic state reports;
- '1 (nonnegative integer)' gives a *lower* limit, relative to the maximum level so far achieved, to the levels on which choices are shown during verbose tracing;
- 't' (positive integer)' causes the program to stop after this many solutions have been found;
- 'T (integer)' sets timeout (which causes abrupt termination if mems > timeout at the beginning of a level);
- ' $S\langle$ filename \rangle ' to output a "shape file" that encodes the search tree.

```
#define show_basics 1
                            /* vbose code for basic stats; this is the default */
#define show_choices 2
                             /* vbose code for backtrack logging */
#define show_details 4
                             /* vbose code for further commentary */
#define show_profile 128
                               /* vbose code to show the search tree profile */
#define show_full_state 256
                                 /* vbose code for complete state reports */
                            /* vbose code for reporting item totals at start and end */
#define show_tots 512
                                  /* vbose code for reporting options without primaries */
#define show_warnings 1024
                                  /* vbose code for reporting maximum branching degree */
#define show_max_deg 2048
\langle \text{ Global variables } 3 \rangle \equiv
  int \ vbose = show\_basics + show\_warnings;
                                            /* level of verbosity */
                 /* solution k is output if k is a multiple of spacing */
  int show\_choices\_max = 1000000;
                                    /* above this level, show_choices is ignored */
  int show\_choices\_gap = 1000000;
                                    /* below level maxl - show\_choices\_gap, show\_details is ignored */
                                    /* above this level, state reports stop */
  int show\_levels\_max = 1000000;
  int maxl = 0:
                   /* maximum level actually reached */
                      /* input buffer */
  char buf [bufsize];
  ullng count;
                  /* solutions found so far */
  ullng options;
                   /* options seen so far */
  ullng imems, mems; /* mem counts */
  ullng updates;
                  /* update counts */
  ullng bytes;
                  /* memory used by main data structures */
  ullng nodes;
                  /* total number of branch nodes initiated */
  ullng thresh = 10000000000; /* report when mems exceeds this, if delta \neq 0 */
  ullng delta = 1000000000000;
                              /* report every delta or so mems */
                                            /* stop after finding this many solutions */
  ullng timeout = #1fffffffffffffff;
                                           /* give up after this many mems */
  FILE *shape_file;
                       /* file for optional output of search tree shape */
  char *shape\_name;
                       /* its name */
                 /* the largest branching degree seen so far */
  int maxdeg;
See also sections 8 and 25.
```

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If an option appears more than once on the command line, the first appearance takes precedence.

```
\langle \text{ Process the command line 4} \rangle \equiv
  for (j = argc - 1, k = 0; j; j - -)
    switch (arqv[j][0]) {
     case 'v': k = (sscanf(argv[j] + 1, ""O"d", \&vbose) - 1); break;
     case 'm': k = (sscanf(argv[j] + 1, ""O"d", \& spacing) - 1); break;
     \mathbf{case} \texttt{ 'd'} \texttt{: } k \mid = (sscanf(argv[j] + 1, \texttt{""}O\texttt{"lld"}, \&delta) - 1), thresh = delta; \texttt{ break};
     case 'c': k = (sscanf(argv[j] + 1, ""O"d", \&show\_choices\_max) - 1); break;
     case 'C': k = (sscanf(argv[j] + 1, ""O"d", \&show\_levels\_max) - 1); break;
     \mathbf{case 'l'} : \ k \mid = (sscanf(argv[j] + 1, ""O"d", \&show\_choices\_gap) - 1); \ \mathbf{break};
     case 't': k = (sscanf(argv[j] + 1, ""O"11d", \& maxcount) - 1); break;
     case 'T': k = (sscanf(argv[j] + 1, ""O"lld", \&timeout) - 1); break;
     case 'S': shape\_name = argv[j] + 1, shape\_file = fopen(shape\_name, "w");
       if (\neg shape\_file)
         fprintf(stderr, "Sorry, \sqcup I \sqcup can't \sqcup open \sqcup file \sqcup `"O"s' \sqcup for \sqcup writing! \n", shape\_name);
       break:
     default: k = 1;
                           /* unrecognized command-line option */
  if (k) {
     fprintf(stderr, "Usage: \_"O"s\_[v<n>]\_[m<n>]\_[s<n>]\_[d<n>] ""\_[c<n>]_[C<n>]_[1<n\]
         exit(-1);
  }
This code is used in section 2.
     \langle Close the files 5\rangle \equiv
  if (shape_file) fclose(shape_file);
```

6. Data structures. Sparse-set data structures were introduced by Preston Briggs and Linda Torczon [ACM Letters on Programming Languages and Systems **2** (1993), 59–69], who realized that exercise 2.12 in Aho, Hopcroft, and Ullman's classic text The Design and Analysis of Computer Algorithms (Addison-Wesley, 1974) was much more than just a slick trick to avoid initializing an array. (Indeed, TAOCP exercise 2.2.6–24 calls it the "sparse array trick.")

The basic idea is amazingly simple, when specialized to the situations that we need to deal with: We can represent a subset S of the universe $U = \{x_0, x_1, \ldots, x_{n-1}\}$ by maintaining two n-element arrays p and q, each of which is a permutation of $\{0, 1, \ldots, n-1\}$, together with an integer s in the range $0 \le s \le n$. In fact, p is the *inverse* of q; and s is the number of elements of S. The current value of the set S is then simply $\{x_{p_0}, \ldots, x_{p_{s-1}}\}$. (Notice that every s-element can be represented in s! (n-s)! ways.)

It's easy to test if $x_k \in S$, because that's true if and only if $q_k < s$. It's easy to insert a new element x_k into S: Swap indices so that $p_s = k$, $q_k = s$, then increase s by 1. It's easy to delete an element x_k that belongs to S: Decrease s by 1, then swap indices so that $p_s = k$ and $q_k = s$. And so on.

Briggs and Torczon were interested in applications where s begins at zero and tends to remain small. In such cases, p and q need not be permutations: The values of p_s , p_{s+1} , ..., p_{n-1} can be garbage, and the values of q_k need be defined only when $x_k \in S$. (Such situations correspond to Aho, Hopcroft, and Ullman, who started with an array full of garbage and used a sparse-set structure to remember the set of nongarbage cells.) Our applications are different: Each set begins equal to its intended universe, and gradually shrinks. In such cases, we might as well maintain inverse permutations. The basic operations go faster when we know in advance that we aren't inserting an element that's already present (nor deleting an element that isn't).

Many variations are possible. For example, p could be a permutation of $\{x_0, x_1, \ldots, x_{n-1}\}$ instead of permutation of $\{0, 1, \ldots, n-1\}$. The arrays that play the role of q in the following routines don't have indices that are consecutive; they live inside of other structures.

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7. This program has an array called *item*, with one entry for each item. The value of item[k] is an index x into a much larger array called set. The set of all options that involve the kth item appears in that array beginning at set[x]; and it continues for s consecutive entries, where s = size(x) is an abbreviation for set[x-1]. If item[k] = x, we maintain the relation pos(x) = k, where pos(x) is an abbreviation for set[x-2]. Thus item plays the role of array p, in a sparse-set data structure for the set of all currently active items; and pos plays the role of q.

Suppose the kth item x currently appears in s options. Those options are indices into nd, which is an array of "nodes." Each node has two fields, itm and loc. If $x \le q < x + s$, let y = set[q]. This is essentially a pointer to a node, and we have nd[y].itm = x, nd[y].loc = q. In other words, the sequential list of s elements that begins at x = item[k] in the set array is the sparse-set representation of the currently active options that contain the kth item. The itm fields remain constant, once we've initialized everything, but the loc fields will change.

The given options are stored sequentially in the nd array, with one node per item, separated by "spacer" nodes. If y is the spacer node following an option with t items, we have nd[y].itm = -t. If y is the spacer node preceding an option with t items, we have nd[y].loc = t.

This probably sounds confusing, until you can see some code. Meanwhile, let's take note of the invariant relations that hold whenever k, q, x, and y have appropriate values:

```
pos(item[k]) = k; nd[set[q]].loc = q; item[pos(x)] = x; set[nd[y].loc] = y.
```

(These are the analogs of the invariant relations p[q[k]] = q[p[k]] = k in the simple sparse-set scheme that we started with.)

The *set* array contains also the item names.

We count one mem for a simultaneous access to the *itm* and *loc* fields of a node.

```
/* number of active options of the kth item, x */
#define size(x) set[(x)-1]
                                  /* where that item is found in the item array */
#define pos(x) set[(x)-2]
#define lname(x) set[(x) - 4] /* the first four bytes of x's name */
#define rname(x) set[(x) - 3]
                                      /* (the last four bytes of x's name */
\langle \text{ Type definitions } 7 \rangle \equiv
  typedef struct node_struct {
                 /* the item x corresponding to this node */
                 /* where this node resides in x's active set */
    int loc;
  } node;
See also section 9.
This code is used in section 2.
     \langle \text{Global variables } 3 \rangle + \equiv
  node nd[max\_nodes];
                            /* the master list of nodes */
  int last_node;
                     /* the first node in nd that's not yet used */
  int item[max_cols];
                        /* the master list of items */
  int second = max\_cols;
                             /* boundary between primary and secondary items */
                   /* the first item in cl that's not yet used */
  int set[max\_nodes + 4 * max\_cols]; /* the sets of active options for active items */
                     /* number of elements used in item */
  int itemlength;
  int setlength;
                    /* number of elements used in set */
  int active;
                 /* current number of active items */
```

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9. We're going to store string data (an item name) in the midst of the integer array *set*. So we've got to do some type coercion using low-level C-ness.

```
\langle \text{Type definitions } 7 \rangle + \equiv
  typedef struct {
     int l, r;
  } twoints;
  typedef union {
     char str[8];
                       /* eight one-byte characters */
     twoints lr;
                       /* two four-byte integers */
  } stringbuf;
  stringbuf namebuf;
       \langle \text{Subroutines } 10 \rangle \equiv
  void print_item_name(int k, FILE *stream)
     namebuf.lr.l = lname(k), namebuf.lr.r = rname(k);
     fprintf(stream, " \sqcup "O".8s", namebuf.str);
See also sections 11, 12, 13, 27, 29, 36, and 37.
This code is used in section 2.
```

11. An option is identified not by name but by the names of the items it contains. Here is a routine that prints an option, given a pointer to any of its nodes. It also prints the position of the option in its item list.

```
\langle Subroutines 10\rangle + \equiv
  void print_option(int p, FILE *stream)
  {
    register int k, q, x;
    x = nd[p].itm;
    if (p \ge last\_node \lor x \le 0) {
       fprintf(stderr, "Illegal_option_"O"d!\n", p);
       return;
    for (q = p; ; ) {}
       print\_item\_name(x, stream);
       q++;
       x = nd[q].itm;
       if (x < 0) q += x, x = nd[q].itm;
       if (q \equiv p) break;
    k = nd[q].loc;
    fprintf(stream, " ("O"d of "O"d) \n", k - x + 1, size(x));
  void prow(int p)
    print\_option(p, stderr);
```

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```
12.
       When I'm debugging, I might want to look at one of the current item lists.
\langle Subroutines 10\rangle + \equiv
  void print_itm(int c)
    register int p;
    if (c < 4 \lor c > setlength \lor pos(c) < 0 \lor pos(c) > itemlength \lor item[pos(c)] \neq c) {
       fprintf(stderr, "Illegal_item_i"O"d!\n", c);
       return:
    fprintf(stderr, "Item");
     print\_item\_name(c, stderr);
     if (pos(c) < second)
       fprintf(stderr, " ("O"d of ""O"d), length "O"d: n", pos(c) + 1, active, size(c));
     else fprintf(stderr, "u(secondaryu"O"d), ulengthu"O"d: \n", pos(c) + 1, size(c));
     for (p = c; p < c + size(c); p \leftrightarrow) prow(set[p]);
13.
       Speaking of debugging, here's a routine to check if redundant parts of our data structure have gone
awry.
#define sanity_checking 0
                                     /* set this to 1 if you suspect a bug */
\langle Subroutines 10\rangle + \equiv
  void sanity(void)
     register int k, x, i, l, r;
     for (k = 0; k < itemlength; k++) {
       x = item[k];
       if (pos(x) \neq k) {
         fprintf(stderr, "Bad_{\square}pos_{\square}field_{\square}of_{\square}item");
          print\_item\_name(x, stderr);
         fprintf(stderr, " \cup ("O"d, "O"d)! \setminus n", k, x);
       }
     for (i = 0; i < last\_node; i++) {
       l = nd[i].itm, r = nd[i].loc;
       if (l \le 0) {
         if (nd[i+r+1].itm \neq -r) fprintf (stderr, "Bad_{\square}spacer_{\square}in_{\square}nodes_{\square}"O"d,_{\square}"O"d!_{n}", i, i+r+1);
         if (l > r) fprintf (stderr, "itm > loc_in_node_i" O"d! \n", i);
          else if (set|r) \neq i) {
            fprintf(stderr, "Bad_lloc_lfield_lfor_loption_l"O"d_lof_litem", r-l+1);
            print\_item\_name(l, stderr);
            fprintf(stderr, "lin_node_l"O"d!\n", i);
       }
    }
  }
```

14. Inputting the matrix. Brute force is the rule in this part of the code, whose goal is to parse and store the input data and to check its validity.

We use only four entries of *set* per item while reading the item-name line.

```
#define panic(m)
          { fprintf(stderr, ""O"s!\n"O"d: "O".99s\n", m, p, buf); exit(-666); }
\langle \text{Input the item names } 14 \rangle \equiv
  while (1) {
     if (\neg fgets(buf, bufsize, stdin)) break;
     if (o, buf[p = strlen(buf) - 1] \neq `\n') panic("Input_line_way_too_long");
     for (p = 0; o, isspace(buf[p]); p \leftrightarrow);
     if (buf[p] \equiv ' \mid ' \vee \neg buf[p]) continue;
                                                       /* bypass comment or blank line */
     last\_itm = 1;
     break;
  if (\neg last\_itm) panic("No_{\bot}items");
  for (; o, buf[p];) {
     o, namebuf.lr.l = namebuf.lr.r = 0;
     for (j = 0; j < 8 \land (o, \neg isspace(buf[p + j])); j \leftrightarrow)
       \mathbf{if}\ (\mathit{buf}[p+j] \equiv \verb"":" \lor \mathit{buf}[p+j] \equiv \verb""|")\ \mathit{panic}(\verb"Illegal" \mathsf{character} \sqcup \mathsf{in} \sqcup \mathsf{item} \sqcup \mathsf{name}");
        o, namebuf.str[j] = buf[p + j];
     if (j \equiv 8 \land \neg isspace(buf[p+j])) \ panic("Item_name_too_long");
     oo, lname(last\_itm \ll 2) = namebuf.lr.l, rname(last\_itm \ll 2) = namebuf.lr.r;
     (Check for duplicate item name 15);
     last_itm ++;
     if (last\_itm > max\_cols) panic("Too\_many\_items");
     for (p += j + 1; o, isspace(buf[p]); p++);
     if (buf[p] \equiv ')
        if (second \neq max\_cols) panic("Item\_name\_line\_contains\_|_twice");
        second = last\_itm;
        for (p++; o, isspace(buf[p]); p++);
  if (second \equiv max\_cols) second = last\_itm;
This code is used in section 2.
       \langle Check for duplicate item name 15\rangle \equiv
  for (k = last_itm - 1; k; k--) {
     if (o, lname(k \ll 2) \neq namebuf.lr.l) continue;
     if (rname(k \ll 2) \equiv namebuf.lr.r) break;
  if (k) panic("Duplicate_item_name");
This code is used in section 14.
```

```
16.
       \langle \text{Input the options } 16 \rangle \equiv
  while (1) {
     if (\neg fgets(buf, bufsize, stdin)) break;
     if (o, buf[p = strlen(buf) - 1] \neq `\n') panic("Option_line_too_long");
     for (p = 0; o, isspace(buf[p]); p \leftrightarrow);
     if (buf[p] \equiv ', ', \lor \neg buf[p]) continue;
                                                      /* bypass comment or blank line */
     i = last\_node; /* remember the spacer at the left of this option */
     for (pp = 0; buf[p];)
       o, namebuf.lr.l = namebuf.lr.r = 0;
       for (j = 0; j < 8 \land (o, \neg isspace(buf[p + j])); j ++) o, namebuf.str[j] = buf[p + j];
       if (j \equiv 8 \land \neg isspace(buf[p+j])) \ panic("Item_name_too_long");
        \langle Create a node for the item named in buf[p] 17\rangle;
       for (p += j + 1; o, isspace(buf[p]); p++);
     if (\neg pp) {
       if (vbose & show_warnings) fprintf(stderr, "Option_ignored_(no_primary_items):_"O"s", buf);
       while (last\_node > i) {
          \langle \text{Remove } last\_node \text{ from its item list } 18 \rangle;
          last\_node ---;
     } else {
                                        /* complete the previous spacer */
       o, nd[i].loc = last\_node - i;
        last\_node ++; /* create the next spacer */
       if (last\_node \equiv max\_nodes) panic("Too_{\square}many_{\square}nodes");
        options ++;
       o, nd[last\_node].itm = i + 1 - last\_node;
  \langle \text{Initialize } item \ 19 \rangle;
  \langle \text{ Expand } set 20 \rangle;
  \langle \text{Adjust } nb \text{ 21} \rangle;
This code is used in section 2.
       We temporarily use pos to recognize duplicate items in an option.
\langle Create a node for the item named in buf[p] 17\rangle \equiv
  for (k = (last\_itm - 1) \ll 2; k; k = 4) {
     if (o, lname(k) \neq namebuf.lr.l) continue;
     if (rname(k) \equiv namebuf.lr.r) break;
  if (\neg k) panic("Unknown_item_name");
  if (o, pos(k) > i) panic("Duplicate_item_name_in_this_option");
  last\_node ++;
  if (last\_node \equiv max\_nodes) panic("Too_l many_l nodes");
                    /* how many previous options have used this item? */
  o, t = size(k);
  o, nd[last\_node].itm = k \gg 2, nd[last\_node].loc = t;
  if ((k \gg 2) < second) pp = 1;
  o, size(k) = t + 1, pos(k) = last\_node;
This code is used in section 16.
```

```
18.
       \langle \text{Remove } last\_node \text{ from its item list } 18 \rangle \equiv
  o, k = nd[last\_node].itm \ll 2;
  oo, size(k) --, pos(k) = i - 1;
This code is used in section 16.
19.
       \langle \text{Initialize } item \ 19 \rangle \equiv
  itemlength = last\_itm - 1;
  for (k = 0, j = 4; k < itemlength; k++) oo, item[k] = j, j += 4 + size((k+1) \ll 2);
  setlength = j - 4;
  active = second = second - 1;
This code is used in section 16.
20.
       Going from high to low, we now move the item names and sizes to their final positions (leaving room
for the pointers into nb).
\langle \text{ Expand } set \ 20 \rangle \equiv
  for (; k; k--) {
     o, j = item[k-1];
     oo, size(j) = size(k \ll 2);
     o, pos(j) = k - 1;
     oo, rname(j) = rname(k \ll 2), lname(j) = lname(k \ll 2);
This code is used in section 16.
21.
     \langle \text{Adjust } nb \text{ 21} \rangle \equiv
  for (k = 1; k < last\_node; k++) {
     if (o, nd[k].itm < 0) continue;
                                             /* skip over a spacer */
     o, j = item[nd[k].itm - 1];
     i = j + nd[k].loc; /* no mem charged because we just read nd[k].itm */
     o, nd[k].itm = j, nd[k].loc = i;
     o, set[i] = k;
This code is used in section 16.
       The "number of entries" includes spacers (because DLX1 includes spacers in its reports). If you want
to know the sum of the option lengths, just subtract the number of options.
\langle Report the successful completion of the input phase 22 \rangle \equiv
  fprintf(stderr, "("O"11d_options, "O"d+"O"d_items, "O"d_entries_successfully_read) \n",
       options, second, last\_itm - second - 1, last\_node);
This code is used in section 2.
       The item lengths after input should agree with the item lengths after this program has finished. I
print them (on request), in order to provide some reassurance that the algorithm isn't badly screwed up.
  [Caution: They will probably appear in a different order than before!]
\langle \text{ Report the item totals } 23 \rangle \equiv
  {
     fprintf(stderr, "Item」totals:");
     for (k = 0; k < itemlength; k++) {
       if (k \equiv second) fprintf (stderr, "_{++}|");
       fprintf(stderr, " \sqcup "O"d", size(item[k]));
     fprintf(stderr, "\n");
```

12 THE DANCING SSXC §24

24. The dancing. Our strategy for generating all exact covers will be to repeatedly choose always an item that appears to be hardest to cover, namely the item with smallest set, from all items that still need to be covered. And we explore all possibilities via depth-first search.

The neat part of this algorithm is the way the lists are maintained. Depth-first search means last-in-firstout maintenance of data structures; and it turns out that we need no auxiliary tables to undelete elements from lists when backing up. The sparse-set representations remember enough of what was done so that we can undo it later.

The basic operation is "covering an item." This means removing it from the set of items needing to be covered, and "hiding" its options: removing them from the sets of the other items they contain.

```
\langle Solve the problem 24 \rangle \equiv
  level = 0:
forward: nodes ++;
  if (vbose & show_profile) profile[level]++;
  if (sanity_checking) sanity();
  \langle Do special things if enough mems have accumulated 26\rangle;
  ⟨ Set best_itm to the best item for branching 33⟩;
  if (t \equiv 0) goto donewithlevel;
  cover(best_itm);
  cur\_choice = best\_itm;
  oo, cur\_node = choice[level] = set[best\_itm];
  goto tryit;
advance: if (o, cur\_choice \ge best\_itm + size(best\_itm)) goto backup;
  oo, cur\_node = choice[level] = set[cur\_choice];
tryit: if ((vbose \& show\_choices) \land level < show\_choices\_max)  {
    fprintf(stderr, "L"O"d:", level);
     print_option(cur_node, stderr);
  \langle \text{ Cover all other items of } cur\_node 31 \rangle;
  if (active \equiv 0) \( \text{Visit a solution and goto } recover \( 34 \);
  if (++level > maxl) {
     if (level \ge max\_level) {
       fprintf(stderr, "Too_many_levels!\n");
       exit(-4):
     maxl = level;
  goto forward;
backup: uncover(best_itm);
donewithlevel: if (level \equiv 0) goto done;
  level ---:
  oo, cur\_node = choice[level], best\_itm = nd[cur\_node].itm, cur\_choice = nd[cur\_node].loc;
recover: (Uncover all other items of cur_node 32);
  cur_choice++; goto advance;
This code is used in section 2.
       \langle \text{Global variables } 3 \rangle + \equiv
25.
  int level;
                  /* number of choices in current partial solution */
                               /* the node chosen on each level */
  int choice [max_level];
  ullng profile[max_level]; /* number of search tree nodes on each level */
```

 $\S26$ SSXC THE DANCING

 \langle Do special things if enough mems have accumulated $26 \rangle \equiv$

26.

13

```
if (delta \land (mems \ge thresh)) {
    thresh += delta;
    if (vbose & show_full_state) print_state();
    else print_progress();
  if (mems \ge timeout) {
    fprintf(stderr, "TIMEOUT!\n"); goto done;
This code is used in section 24.
       When an option is hidden, it leaves all lists except the list of the item that is being covered. Thus a
node is never removed from a list twice.
\langle Subroutines 10\rangle + \equiv
  void cover(int c)
    register int k, a, cc, s, rr, ss, nn, tt, uu, vv, nnp;
    o, k = pos(c);
                            /* update the active list, if c is primary */
    if (k < second) {
       a = active - 1, active = a;
       o, cc = item[a];
       oo, item[a] = c, item[k] = cc;
       oo, pos(cc) = k, pos(c) = a;
       updates ++;
    for (o, rr = c, s = c + size(c); rr < s; rr ++) (Remove the option set[rr] from the other sets it's in 28);
       \langle Remove the option set[rr] from the other sets it's in 28 \rangle \equiv
28.
    for (o, tt = set[rr], nn = tt + 1; nn \neq tt;)
       o, uu = nd[nn].itm, vv = nd[nn].loc;
       if (uu < 0) \{ nn += uu; continue; \}
       o, ss = size(uu) - 1;
       o, nnp = set[uu + ss];
       o, size(uu) = ss;
       oo, set[uu + ss] = nn, set[vv] = nnp;
       oo, nd[nn].loc = uu + ss, nd[nnp].loc = vv;
       updates ++;
  }
This code is used in section 27.
```

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29. To undo the *cover* operation, we need only increase the set size, because the previously deleted element is in position to be seamlessly reinstated. (Inactive elements are never moved.) We need not swap that element back to its former position.

```
\langle Subroutines 10\rangle + \equiv
  void uncover(int c)
     register int k, cc, s, rr, ss, nn, tt, uu;
     for (o, rr = c, s = c + size(c); rr < s; rr ++)
        \langle \text{Unremove the option } set[rr] \text{ from the other sets it was in } 30 \rangle;
     o, k = pos(c);
     if (k < second) active ++;
        \langle \text{Unremove the option } set[rr] \text{ from the other sets it was in } 30 \rangle \equiv
     for (o, tt = set[rr], nn = tt + 1; nn \neq tt;)
       o, uu = nd[nn].itm;
       if (uu < 0) { nn += uu; continue; }
       o, ss = size(uu) + 1;
       o, size(uu) = ss;
        nn ++;
This code is used in section 29.
        \langle \text{ Cover all other items of } cur\_node 31 \rangle \equiv
  for (pp = cur\_node + 1; pp \neq cur\_node;)
     o, cc = nd[pp].itm;
     if (cc < 0) pp += cc;
     else cover(cc), pp ++;
This code is used in section 24.
```

32. Covering and uncovering both traverse options to the right. That's okay—although it takes a bit of thought to verify that all sets are restored correctly. (An item that has lost k options from its set will regain those k options, but not necessarily in the same order.)

But we do need to go left here, not right.

```
 \begin{split} &\langle \, \text{Uncover all other items of } cur\_node \ \ 32 \, \rangle \equiv \\ & \quad \text{for } (pp = cur\_node - 1; \ pp \neq cur\_node; \ ) \ \{ \\ & \quad o, cc = nd[pp].itm; \\ & \quad \text{if } (cc \leq 0) \ pp \ += nd[pp].loc; \\ & \quad \text{else } uncover(cc), pp \ --; \\ & \quad \} \end{split}
```

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33. The "best item" is considered to be an item that minimizes the number of remaining choices. If there are several candidates, we choose the leftmost.

(This program explores the search space in a different order from DLX1, because the ordering of items in the active list is no longer fixed. Thus ties are broken in a different way.)

```
\langle \text{Set } best\_itm \text{ to the best item for branching } 33 \rangle \equiv
  t = max\_nodes;
  if ((vbose \& show\_details) \land level < show\_choices\_max \land level \ge maxl - show\_choices\_gap)
     fprintf(stderr, "Level" O"d:", level);
  for (k = 0; t \land (k < active); k++) {
     oo, s = size(item[k]);
     if ((vbose \& show\_details) \land level < show\_choices\_max \land level \ge maxl - show\_choices\_qap) {
       print\_item\_name(item[k], stderr);
       fprintf(stderr, "("O"d)", s);
     if (s < t) best_itm = item[k], t = s;
  if ((vbose \& show\_details) \land level < show\_choices\_max \land level \ge maxl - show\_choices\_gap) {
     fprintf(stderr, "\_branching\_on");
     print_item_name(best_itm, stderr);
     fprintf(stderr, "("O"d)\n", t);
  if (t > maxdeg) maxdeg = t;
  if (shape_file) {
     fprintf(shape\_file, ""O"d", t);
     print_item_name(best_itm, shape_file);
     fprintf(shape\_file, "\n");
     fflush(shape_file);
This code is used in section 24.
       \langle \text{ Visit a solution and goto } recover 34 \rangle \equiv
34.
                    /* a solution is a special node, see 7.2.2-(4) */
     nodes ++;
     if (level + 1 > maxl) {
       if (level + 1 \ge max\_level) {
          fprintf(stderr, "Too many levels! \n");
          exit(-5);
       }
        maxl = level + 1;
     if (vbose \& show\_profile) profile[level + 1] ++;
     if (shape_file) {
       fprintf(shape_file, "sol\n"); fflush(shape_file);
     \langle \text{ Record solution and goto } recover 35 \rangle;
  }
This code is used in section 24.
```

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```
\langle Record solution and goto recover 35\rangle \equiv
35.
  {
     count ++;
     if (spacing \land (count \bmod spacing \equiv 0)) {
        printf(""O"lld:\n", count);
        for (k = 0; k \le level; k++) print_option(choice[k], stdout);
        fflush(stdout);
     if (count \ge maxcount) goto done;
     goto recover;
This code is used in section 34.
      \langle \text{Subroutines } 10 \rangle + \equiv
  void print_state(void)
  {
     register int l;
     fprintf(stderr, "Current_state_(level_"O"d): \n", level);
     for (l = 0; l < level; l++) {
        print_option(choice[l], stderr);
        if (l \ge show\_levels\_max) {
          fprintf(stderr, " \sqcup ... \ ");
          break;
        }
     fprintf(stderr, "_{\sqcup}"O"11d_{\sqcup}solutions,_{\sqcup}"O"11d_{\sqcup}mems,_{\sqcup}and_{\sqcup}max_{\sqcup}level_{\sqcup}"O"d_{\sqcup}so_{\sqcup}far.\n", count,
          mems, maxl);
```

37. During a long run, it's helpful to have some way to measure progress. The following routine prints a string that indicates roughly where we are in the search tree. The string consists of character pairs, separated by blanks, where each character pair represents a branch of the search tree. When a node has d descendants and we are working on the kth, the two characters respectively represent k and d in a simple code; namely, the values $0, 1, \ldots, 61$ are denoted by

```
0, 1, ..., 9, a, b, ..., z, A, B, ..., Z.
```

All values greater than 61 are shown as '*'. Notice that as computation proceeds, this string will increase lexicographically.

Following that string, a fractional estimate of total progress is computed, based on the naïve assumption that the search tree has a uniform branching structure. If the tree consists of a single node, this estimate is .5; otherwise, if the first choice is 'k of d', the estimate is (k-1)/d plus 1/d times the recursively evaluated estimate for the kth subtree. (This estimate might obviously be very misleading, in some cases, but at least it grows monotonically.)

```
\langle Subroutines 10\rangle + \equiv
        void print_progress(void)
                register int l, k, d, c, p;
                register double f, fd;
                fprintf(stderr, "\_after\_"O"lld\_mems:\_"O"lld\_sols, ", mems, count);
                for (f = 0.0, fd = 1.0, l = 0; l < level; l++) {
                        c = nd[choice[l]].itm, d = size(c), k = nd[choice[l]].loc - c + 1;
                        fd *= d, f += (k-1)/fd;
                                                                                                                                 /* choice l is k of d */
                        fprintf(stderr, "_{\sqcup}"O"c"O"c", k < 10? '0' + k : k < 36? 'a' + k - 10 : k < 62? 'A' + k - 36 : '*', k < 10? '0' + k : k < 36? 'a' + k - 10 : k < 62? 'A' + k - 36 : '*', k < 10? '0' + k : k < 36? 'a' + k - 10 : k < 62? 'A' + k - 36 : '*', k < 10? '0' + k : k < 36? 'a' + k - 10 : k < 62? 'A' + k - 36 : '*', k < 10? '0' + k : k < 36? 'a' + k - 10 : k < 62? 'A' + k - 36 : '*', k < 10? '0' + k : k < 36? 'a' + k - 10 : k < 62? 'a' + k - 36 : '*', k < 10? '0' + k : k < 36? 'a' + k - 10 : k < 62? 'a' + k - 36 : '*', k < 10? 'a' + k - 36 : 'a' + 
                                          d < 10? '0' + d : d < 36? 'a' + d - 10 : d < 62? 'A' + d - 36 : '*');
                        if (l \ge show\_levels\_max) {
                                fprintf(stderr, "...");
                                 break;
               \textit{fprintf} \, (stderr, \verb""-"O".5f\n", f+0.5/fd);
                        \langle \text{ Print the profile } 38 \rangle \equiv
38.
                fprintf(stderr, "Profile:\n");
                \textbf{for} \ (level = 0; \ level \leq maxl; \ level ++) \ fprintf(stderr, ""O"3d: \_"O"11d\n", level, profile[level]);
```

18 INDEX SSXC §39

39. Index.

a: 27. $maxcount: \underline{3}, 4, 35.$ active: 8, 12, 19, 24, 27, 29, 33. maxdeg: 2, 3, 33.advance: $\underline{24}$. maxl: 2, 3, 24, 33, 34, 36, 38. $argc: \underline{2}, 4.$ mems: $2, \underline{3}, 26, 36, 37.$ $argv: \underline{2}, 4.$ mod: $\underline{2}$, 35. backup: $\underline{24}$. namebuf: 9, 10, 14, 15, 16, 17. $best_itm\colon \ \underline{2},\ 24,\ 33.$ nb: 20. nd: 7, 8, 11, 13, 16, 17, 18, 21, 24, 28, 30, buf: 3, 14, 16. bufsize: 2, 3, 14, 16. 31, 32, 37. bytes: $2, \underline{3}$. nn: 27, 28, 29, 30.c: 12, 27, 29, 37. $nnp: \quad \underline{27}, \quad 28.$ cc: 2, 27, 29, 31, 32.node: $2, \frac{7}{2}, 8$. choice: 24, <u>25</u>, 35, 36, 37. node_struct: 7. *cl*: 8. nodes: $2, \underline{3}, 24, 34.$ count: $2, \underline{3}, 35, 36, 37.$ $O: \underline{2}.$ cover: 24, 27, 29, 31. $o: \underline{2}$. oo: 2, 14, 18, 19, 20, 24, 27, 28, 33. cur_choice: 2, 24. $cur_node: \ \ \underline{2}, \ 24, \ 31, \ 32.$ ooo: $\underline{2}$. $d: \ \ \underline{37}.$ options: $\underline{3}$, $\underline{16}$, $\underline{22}$. delta: $\underline{3}$, 4, 26. *p*: <u>2</u>, <u>11</u>, <u>12</u>, <u>37</u>. done: 2, 24, 26, 35.panic: <u>14</u>, 15, 16, 17. pos: 7, 12, 13, 17, 18, 20, 27, 29. done with level: 24.exit: 4, 14, 24, 34. *pp*: <u>2</u>, 16, 17, 31, 32. f: 37.print_item_name: 10, 11, 12, 13, 33. fclose: 5. $print_itm: \underline{12}.$ $print_option\colon \ \underline{11},\ 24,\ 35,\ 36.$ $fd: \underline{37}.$ fflush: 33, 34, 35. $print_progress$: 26, 37. fgets: 14, 16. $print_state$: 26, 36. fopen: 4.printf: 35.profile: 24, <u>25,</u> 34, 38. forward: $\underline{24}$. fprintf: 2, 4, 10, 11, 12, 13, 14, 16, 22, 23, 24, prow: 11, 12.26, 33, 34, 36, 37, 38. $q: \ \ \underline{2}, \ \underline{11}.$ r: $\underline{2}$, $\underline{9}$, $\underline{13}$. $i: \underline{2}, \underline{13}.$ imems: $2, \underline{3}$. recover: 24, 35.*isspace*: 14, 16. rname: 7, 10, 14, 15, 17, 20. rr: 27, 28, 29, 30.item: 7, 8, 12, 13, 19, 20, 21, 23, 27, 33. itemlength: 2, 8, 12, 13, 19, 23. $s: \ \underline{2}, \ \underline{27}, \ \underline{29}.$ itm: 7, 11, 13, 16, 17, 18, 21, 24, 28, 30, 31, 32, 37. sanity: $\underline{13}$, 24. j: $\underline{2}$. $sanity_checking: 13, 24.$ $k: \ \underline{2}, \ \underline{10}, \ \underline{11}, \ \underline{13}, \ \underline{27}, \ \underline{29}, \ \underline{37}.$ second: 8, 12, 14, 17, 19, 22, 23, 27, 29. $l: \ \underline{9}, \ \underline{13}, \ \underline{36}, \ \underline{37}.$ set: 7, 8, 9, 12, 13, 14, 21, 24, 28, 30. last_itm: 8, 14, 15, 17, 19, 22. setlength: 2, 8, 12, 19. last_node: 2, 8, 11, 13, 16, 17, 18, 21, 22. $shape_file: \ \ 3, \ 4, \ 5, \ 33, \ 34.$ level: 24, <u>25,</u> 33, 34, 35, 36, 37, 38. $shape_name: \underline{3}, 4.$ lname: 7, 10, 14, 15, 17, 20. $show_basics: 2, \underline{3}.$ loc: 7, 11, 13, 16, 17, 21, 24, 28, 32, 37. $show_choices: \underline{3}, \underline{24}.$ $lr: \ \underline{9}, \ 10, \ 14, \ 15, \ 16, \ 17.$ $show_choices_gap: 3, 4, 33.$ $show_choices_max: \underline{3}, 4, 24, 33.$ $main: \underline{2}.$ max_cols : $\underline{2}$, 8, 14. $show_details: 3, 33.$ max_level : $\underline{2}$, 24, 25, 34. $show_full_state: \underline{3}, \underline{26}.$ $max_nodes: 2, 8, 16, 17, 33.$ $show_levels_max: \underline{3}, 4, 36, 37.$

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```
show\_max\_deg: 2, \underline{3}.
show\_profile: 2, \underline{3}, 24, 34.
show\_tots: 2, \underline{3}.
show\_warnings: \underline{3}, \underline{16}.
size: 7, 11, 12, 17, 18, 19, 20, 23, 24, 27, 28,
      29, 30, 33, 37.
spacing: \underline{3}, 4, 35.
ss: \ \underline{27}, \ 28, \ \underline{29}, \ 30.
sscanf: 4.
stderr: 2, 3, 4, 11, 12, 13, 14, 16, 22, 23, 24,
      26, 33, 34, 36, 37, 38.
stdin: 14, 16.
stdout: 35.
str: 9, 10, 14, 16.
stream: \underline{10}, \underline{11}.
stringbuf: \underline{9}.
strlen: 14, 16.
t: \underline{2}.
thresh: \underline{3}, 4, 26.
timeout: \underline{3}, 4, 26.
tryit: \underline{24}.
tt: 27, 28, 29, 30.
twoints: 9.
uint: \underline{2}.
ullng: \underline{2}, 3, 25.
uncover \colon \ 24, \ \underline{29}, \ 32.
updates: 2, \underline{3}, 27, 28.
uu: \ \underline{27}, \ 28, \ \underline{29}, \ 30.
vbose: 2, 3, 4, 16, 24, 26, 33, 34.
vv: 27, 28.
x: 11, 13.
```

20 NAMES OF THE SECTIONS SSXC

```
\langle \text{Adjust } nb \text{ 21} \rangle Used in section 16.
 Check for duplicate item name 15 \ Used in section 14.
 Close the files 5 \ Used in section 2.
 Cover all other items of cur\_node 31 Used in section 24.
 Create a node for the item named in \mathit{buf}[p] 17 \rangle Used in section 16.
 Do special things if enough mems have accumulated 26 \) Used in section 24.
 Expand set 20 Used in section 16.
 Global variables 3, 8, 25 Used in section 2.
\langle \text{Initialize } item \ 19 \rangle \quad \text{Used in section } 16.
\langle \text{Input the item names } 14 \rangle Used in section 2.
\langle \text{ Input the options } 16 \rangle \quad \text{Used in section } 2.
(Print the profile 38) Used in section 2.
(Process the command line 4) Used in section 2.
 Record solution and goto recover 35 \ Used in section 34.
 Remove the option set[rr] from the other sets it's in 28 \rangle Used in section 27.
 Remove last\_node from its item list 18 \rangle Used in section 16.
Report the item totals 23 Used in section 2.
 Report the successful completion of the input phase 22 \ Used in section 2.
(Set best_itm to the best item for branching 33) Used in section 24.
 Solve the problem 24 Vsed in section 2.
Subroutines 10, 11, 12, 13, 27, 29, 36, 37 \rangle Used in section 2.
 Type definitions 7, 9 Used in section 2.
 Uncover all other items of cur\_node 32 Used in section 24.
 Unremove the option set[rr] from the other sets it was in 30 \ Used in section 29.
(Visit a solution and goto recover 34) Used in section 24.
```

SSXC

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