

Data Structures and Algorithms

(ESO207)

Lecture 9:

Inventing a new Data Structure with

- Flexibility of **lists** for updates
- Efficiency of **arrays** for search

Important Notice

There are basically two ways of introducing a new/innovative solution of a problem.

1. One way is to just explain it without giving any clue as to how the person who invented the concept came up with this solution.
2. Another way is to start from scratch and take a journey of the route which the inventor might have followed to arrive at the solution.

This journey goes through various hurdles and questions, each hinting towards a better insight into the problem if we have patience and open mind.

Which of these two ways is better ?

I believe that the second way is better and more effective.

The current lecture is based on this way. The data structure we shall **invent** is called **a Binary Search Tree**. This is the most fundamental and versatile data structure. We shall realize this fact many times during the course ...

Doubly Linked List based implementation versus array based implementation of “List”

Operation	Time Complexity per operation for array based implementation	Time Complexity per operation for doubly linked list based implementation
IsEmpty (L)	$O(1)$	$O(1)$
Search (x,L)	$O(n)$	$O(n)$
Successor (p,L)	$O(1)$	$O(1)$
Predecessor (p,L)	$O(1)$	$O(1)$
CreateEmptyList (L)	$O(1)$	$O(1)$
Insert (x,p,L)	$O(n)$	$O(1)$
Delete (p,L)	$O(n)$	$O(1)$
MakeListEmpty (L)	$O(1)$	$O(1)$



Arrays are very **rigid**


Problem

Maintain a telephone directory

Operations:

- Search the phone # of a person with ID no. x
- Insert a new record (ID no., phone #,...)

Array based solution	Linked list based solution
$\text{Log } n$	$O(n)$
$O(n)$	$\text{Log } n$



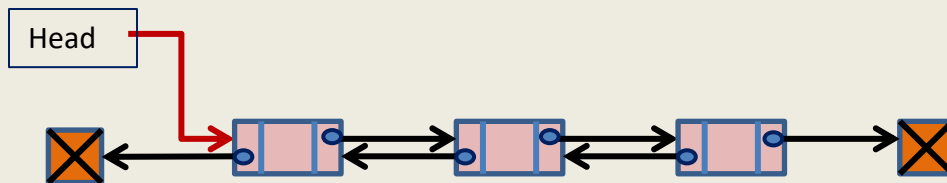
Can we achieve **the best of** the two data structure simultaneously ?

We shall together invent such a **novel data structure** today

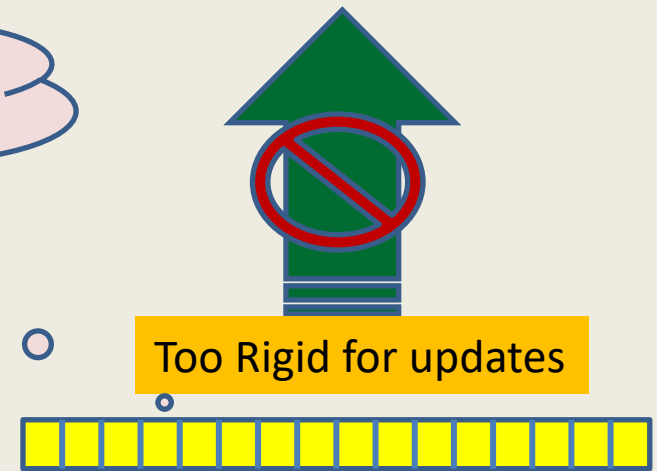
Inventing a new data structure

New Data structure

Lists are flexible, so let us try modifying the linked list structure to achieve fast **search** time.

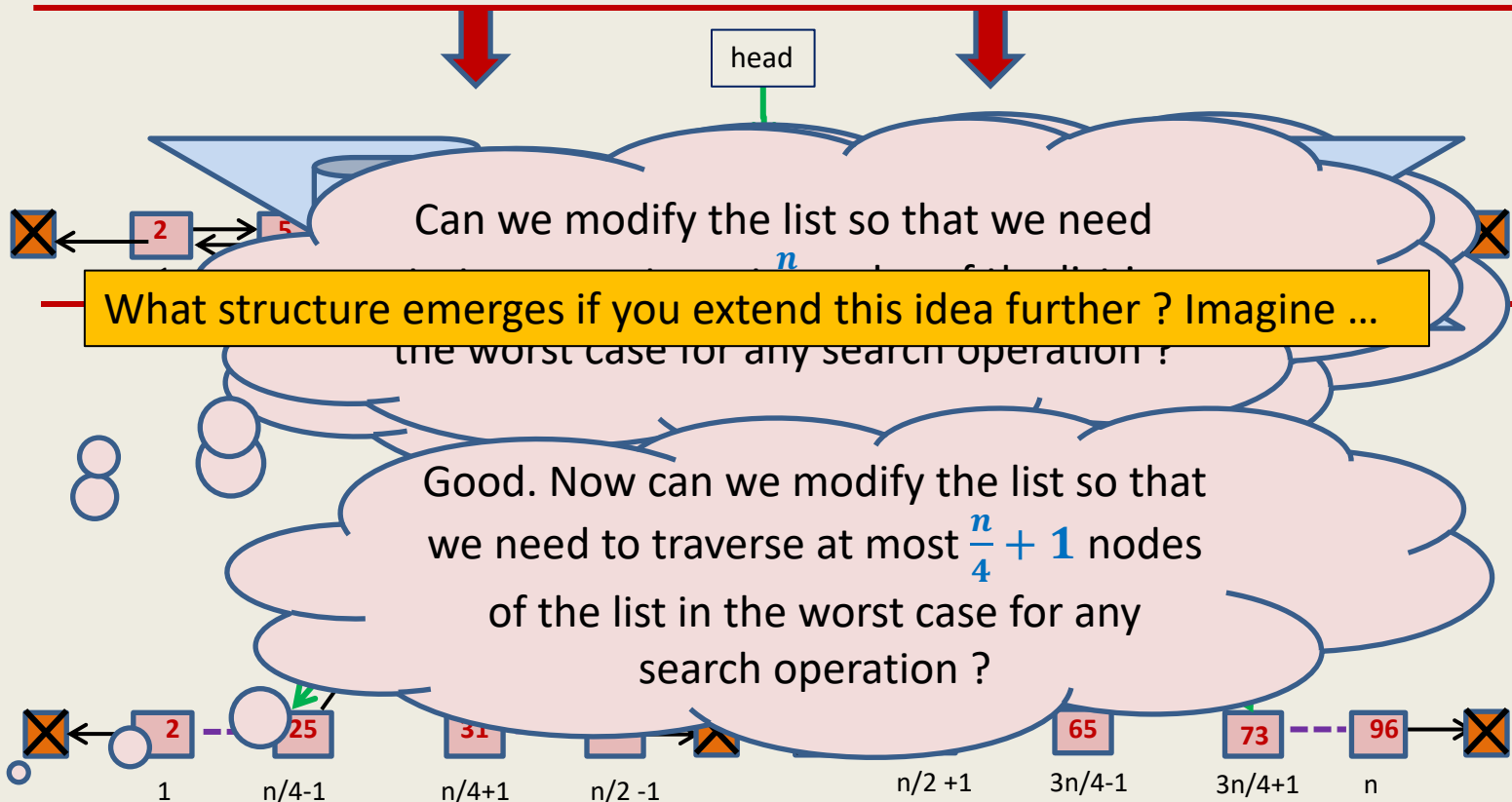
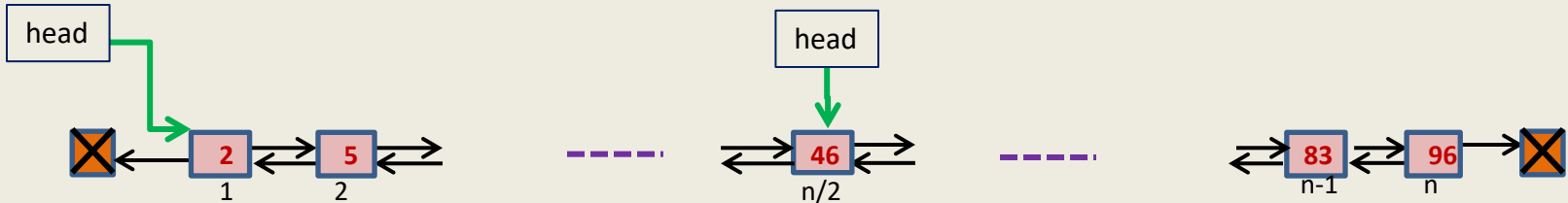


Lists

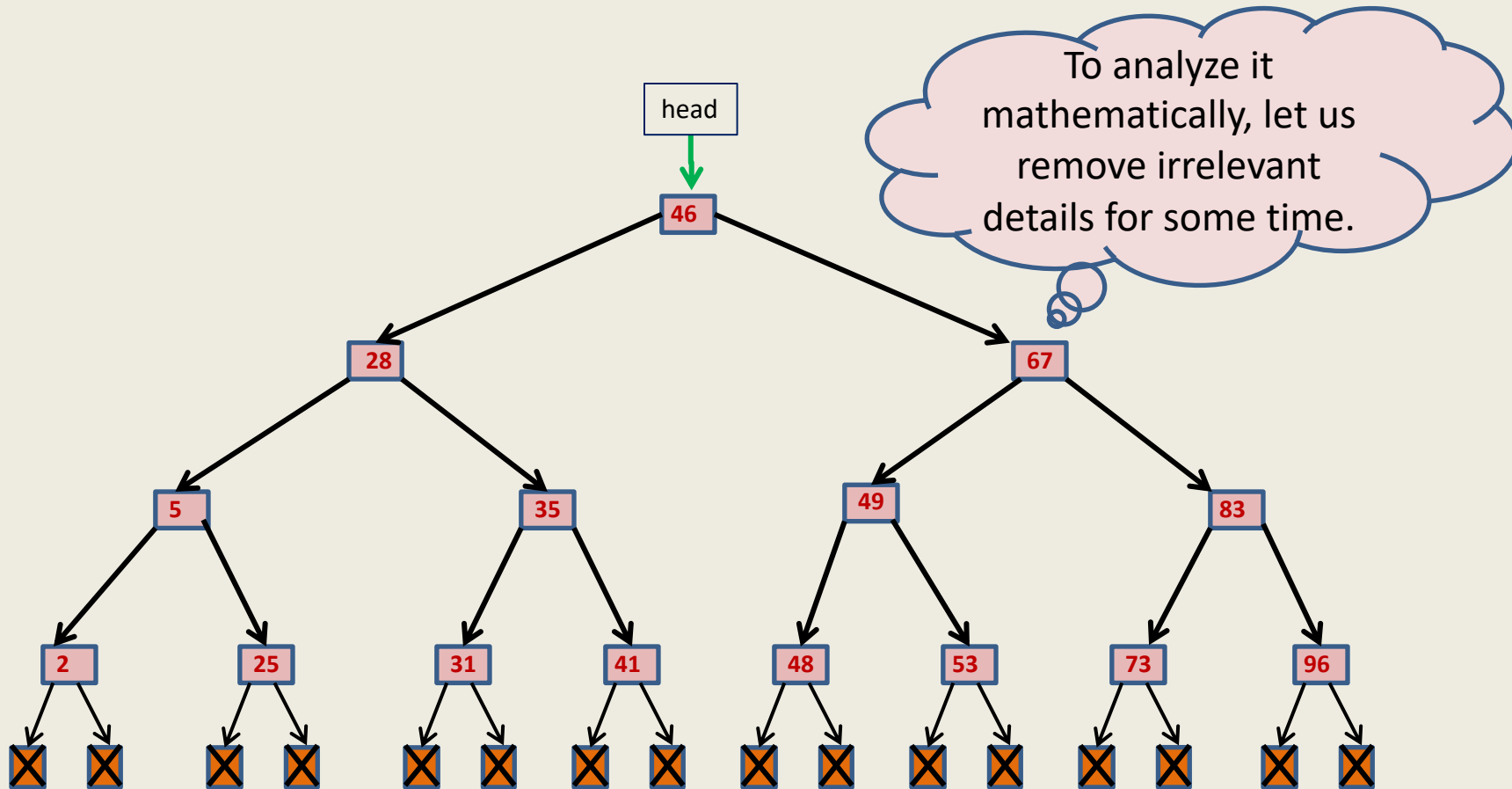


Array

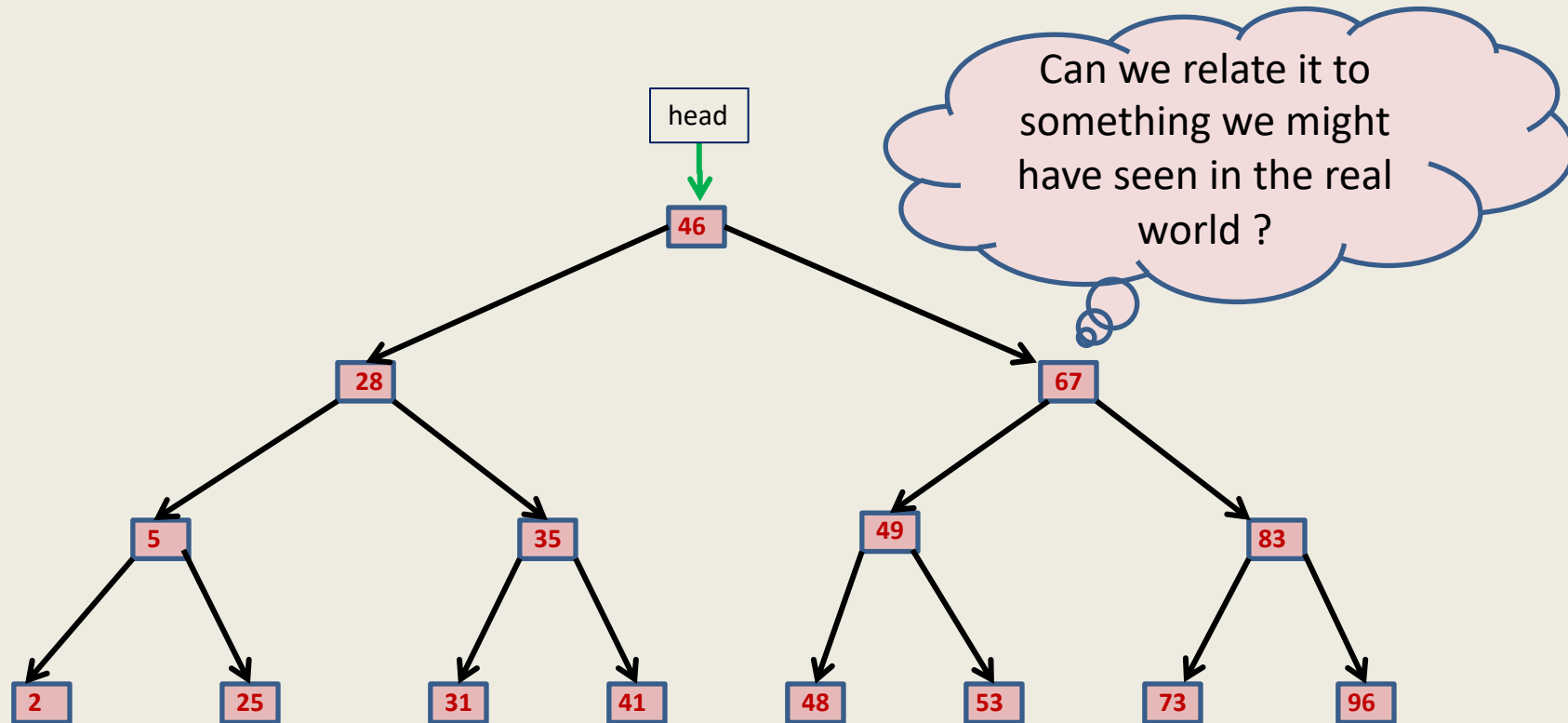
Restructuring doubly linked list



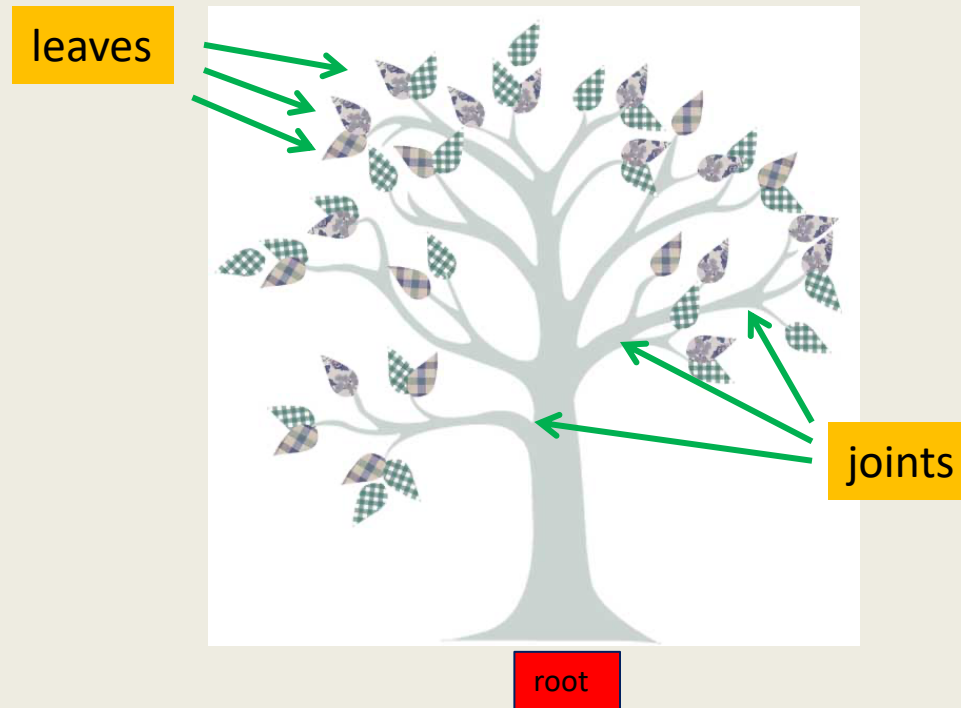
A new data structure emerges



A new data structure emerges



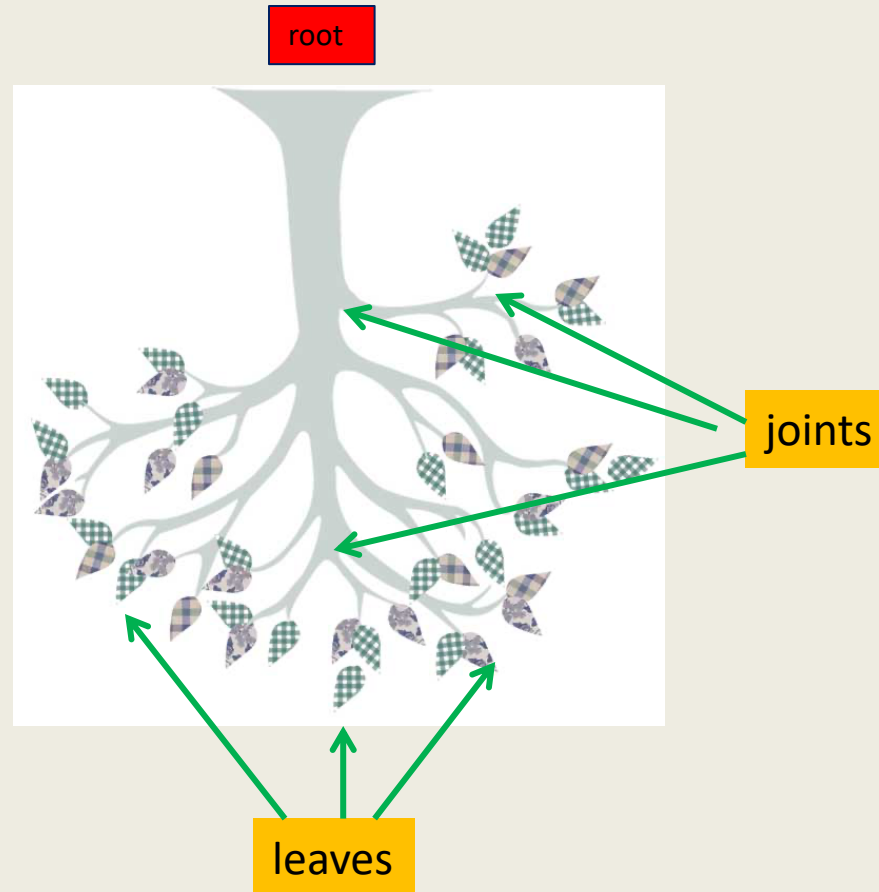
Nature : a great source of **inspiration**



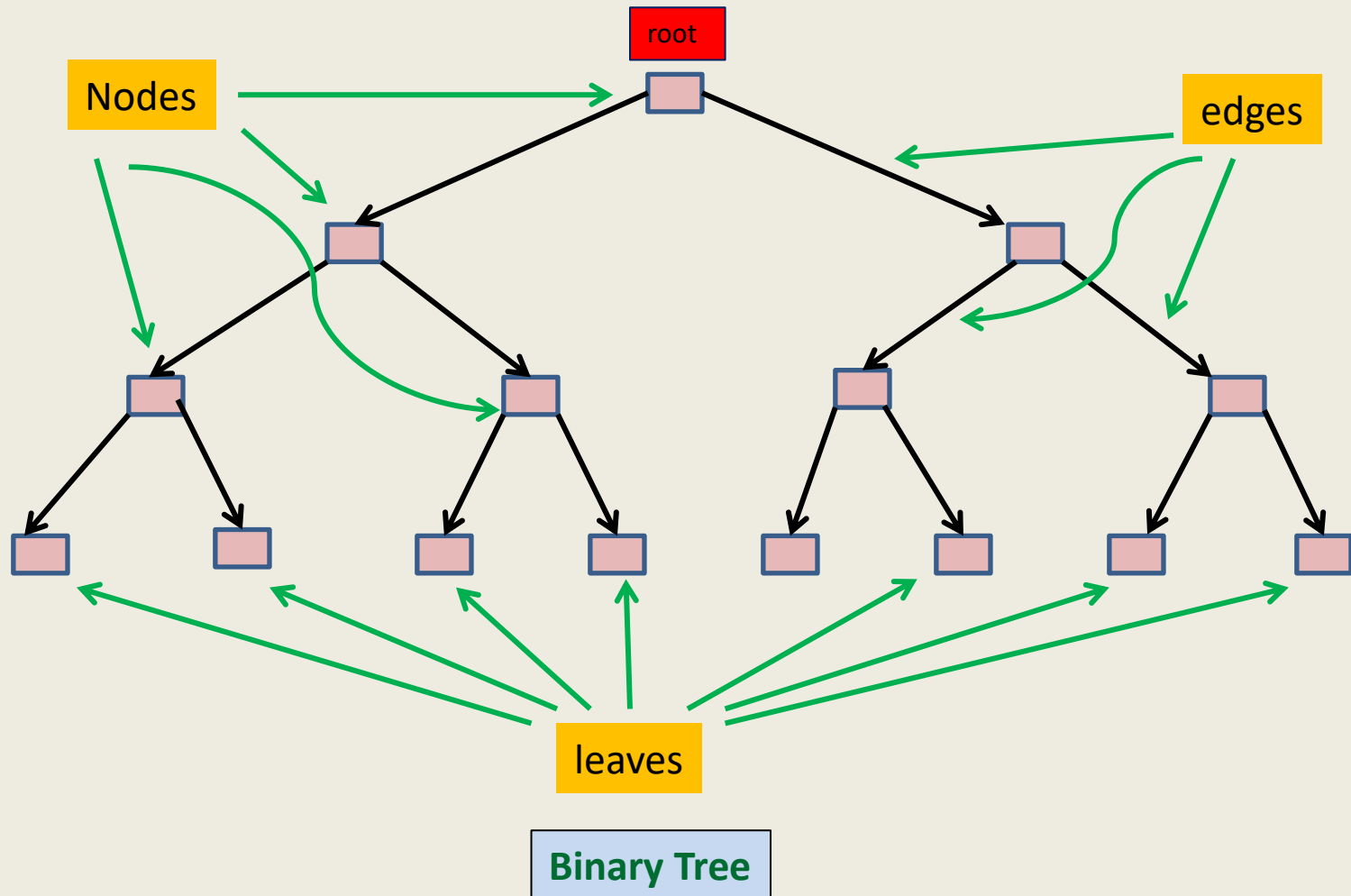
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Nature : a great source of **inspiration**



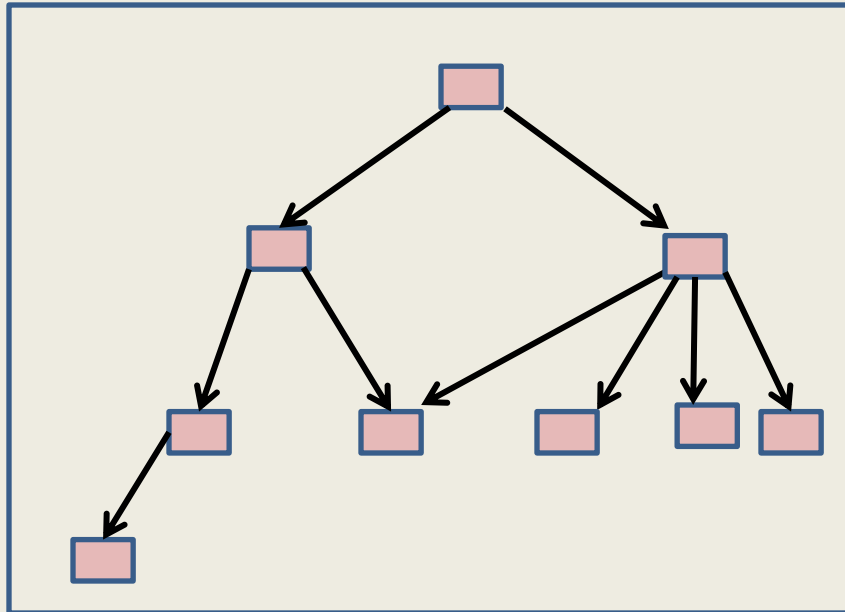
Nature : a great source of **inspiration**



Binary Tree: A mathematical model

Definition: A collection of nodes is said to form a **binary tree** if

1. There is exactly one node with no incoming edge.
This node is called the **root** of the tree.
2. Every node other than root node has **exactly one** incoming edge.
3. Each node has **at most two** outgoing edges.

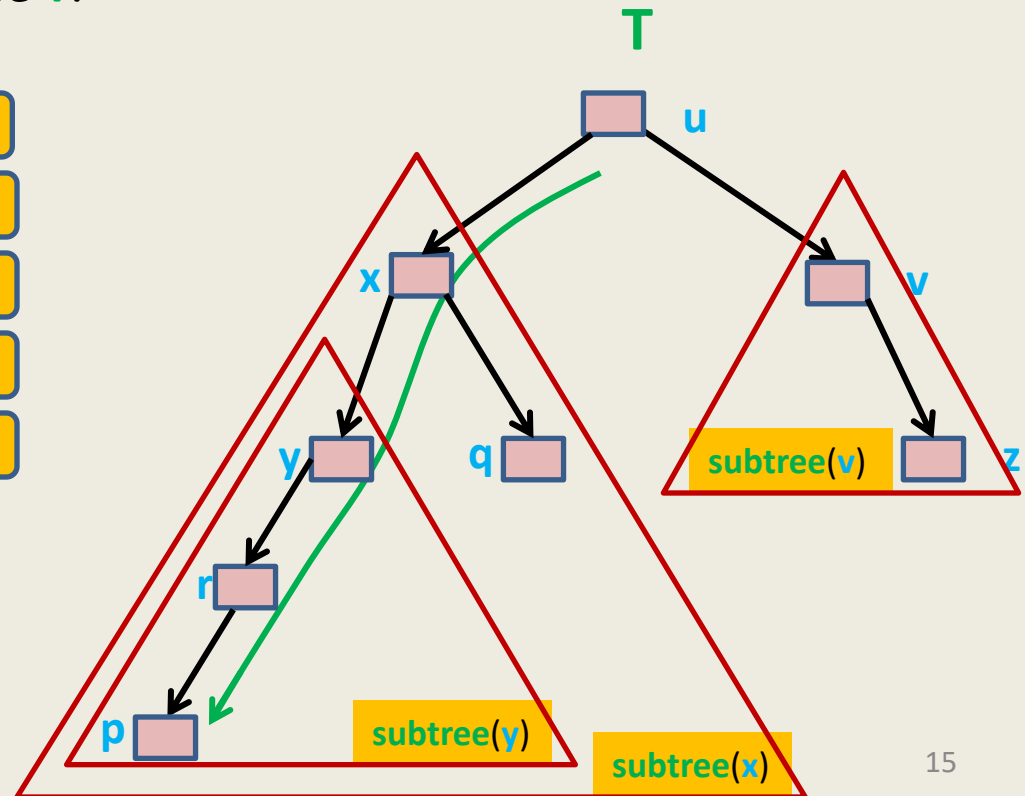


Which of these
are **not** **binary**
trees ?

Binary Tree: some terminologies

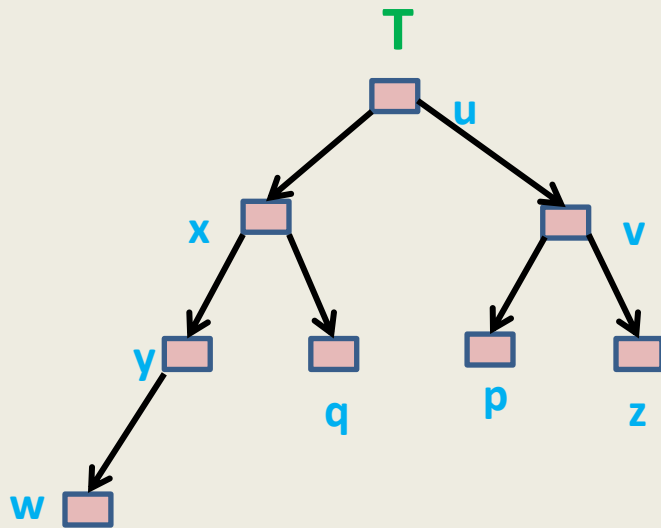
- If there is an edge from node u to node v ,
then u is called **parent** of v , and v is called **child** of u .
- The **Height** of a Binary tree T is the maximum number of edges from the root to any leaf node in the tree T .

$\text{parent}(y)$	=	x
$\text{parent}(v)$	=	u
$\text{children}(y)$	=	$\{r\}$
$\text{children}(x)$	=	$\{y, q\}$
$\text{height}(T)$	=	4



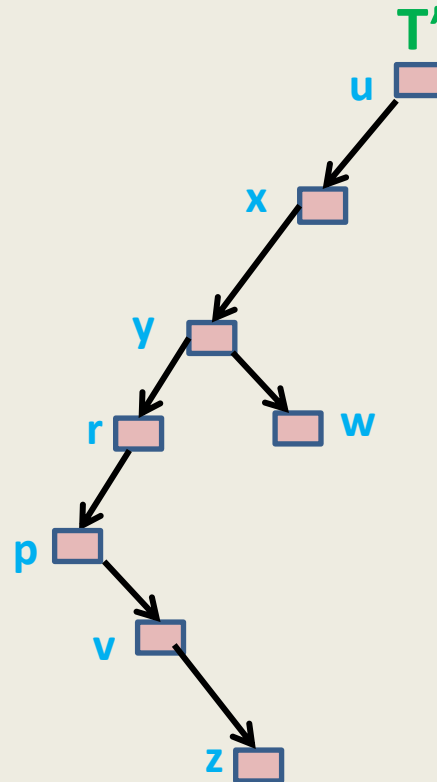
Varieties of Binary trees

We call it **Perfectly balanced**



For every node, the number of nodes in the **subtrees of its two children** differ at **atmost by 1**.

skewed

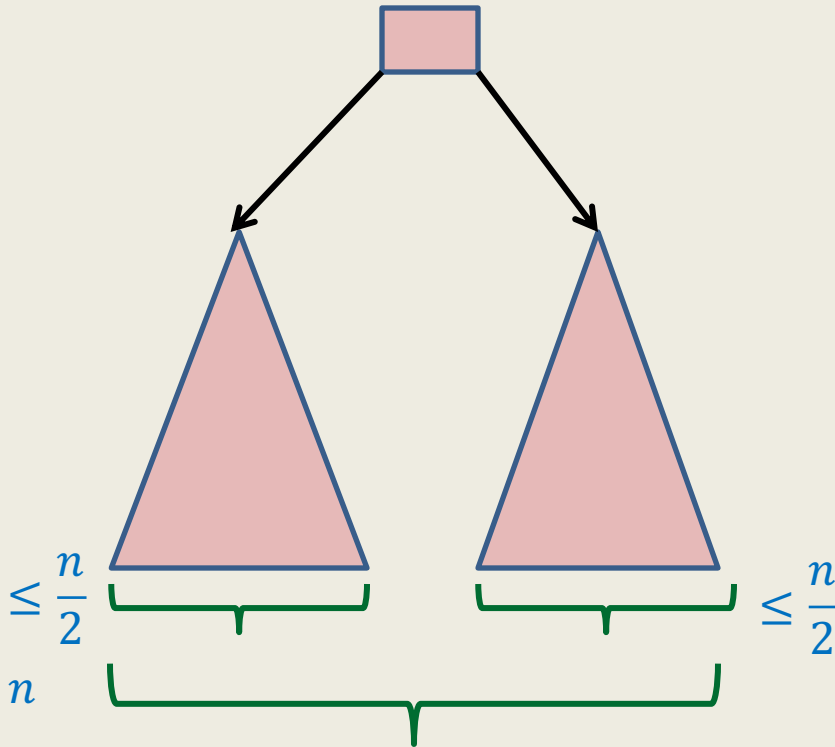


Height of a perfectly balanced Binary tree

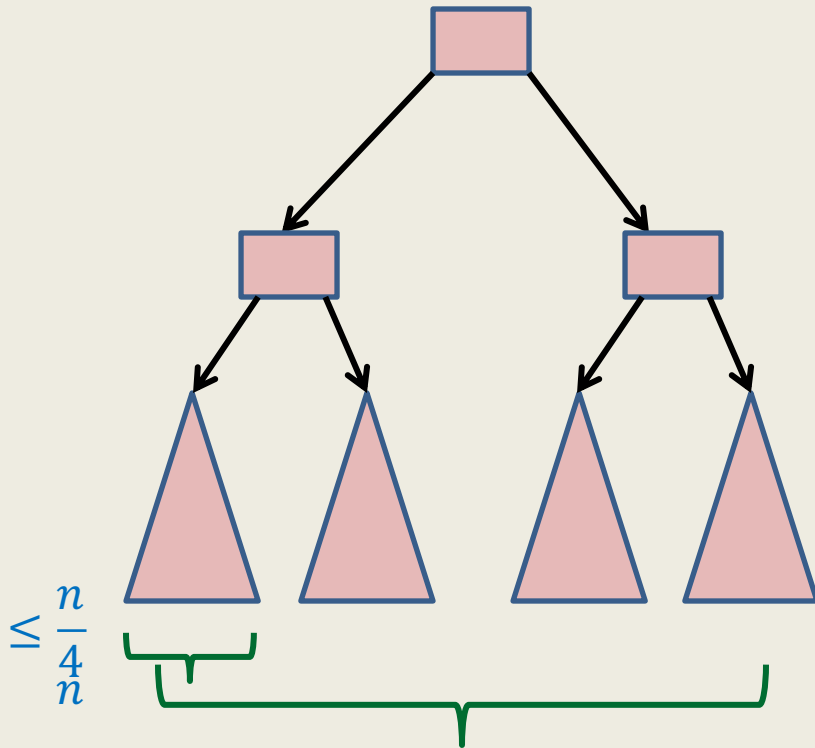
$H(n)$: Height of a perfectly balanced binary tree on n nodes.

$$H(1) = 0$$

$$H(n) \leq 1 + H\left(\frac{n}{2}\right)$$



Height of a perfectly balanced Binary tree

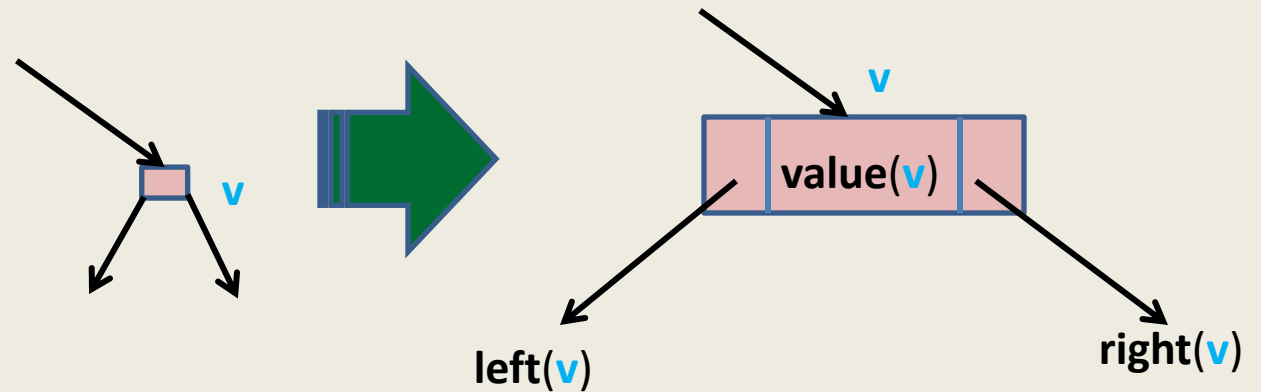


$H(n)$: Height of a perfectly balanced binary tree on n nodes.

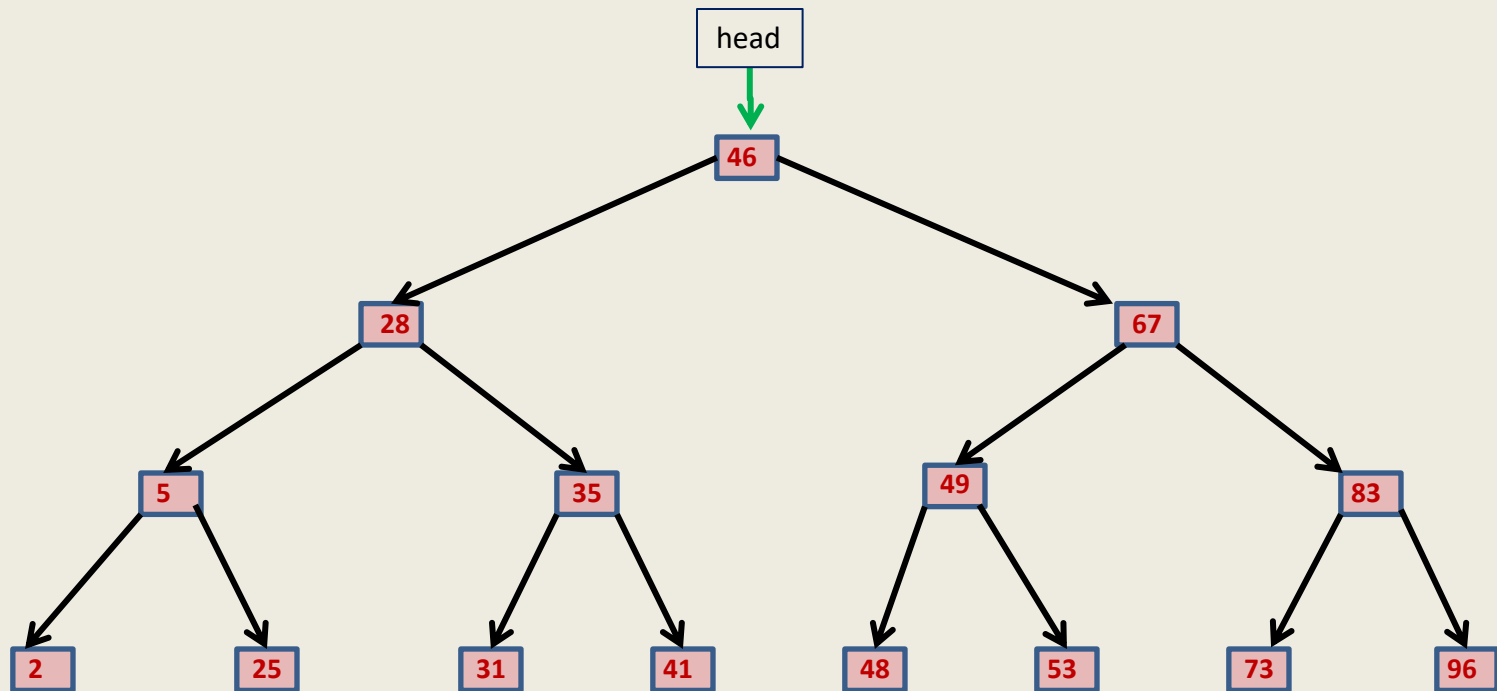
$$H(1) = 0$$

$$\begin{aligned}
 H(n) &\leq 1 + H\left(\frac{n}{2}\right) \\
 &\leq 1 + 1 + H\left(\frac{n}{4}\right) \\
 &\leq \underbrace{1 + 1 + \dots + 1}_{i \text{ times}} + H\left(\frac{n}{2^i}\right) \\
 &\leq \log_2 n
 \end{aligned}$$

Implementing a Binary tree



Binary Search Tree (BST)

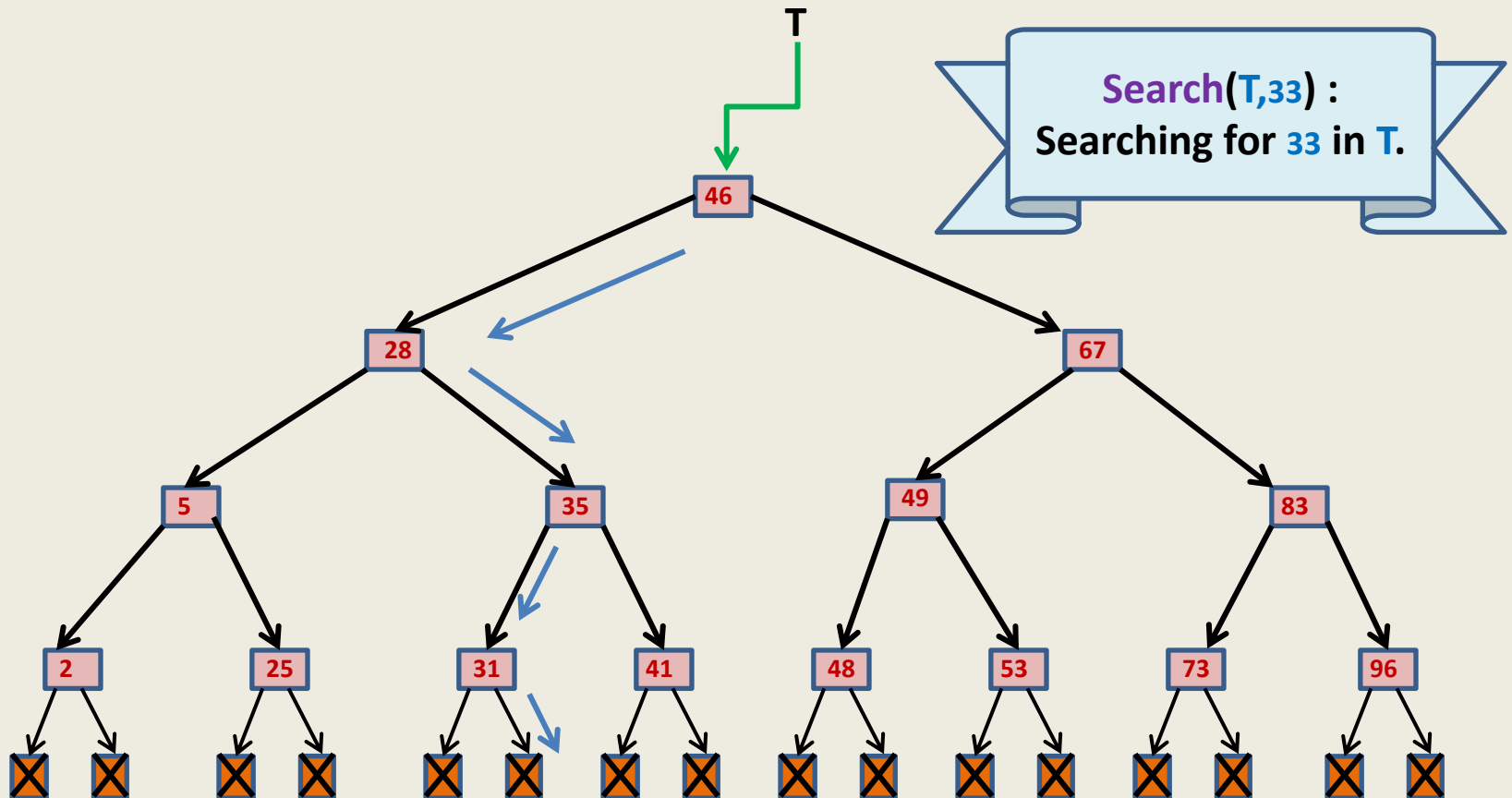


Definition: A Binary Tree T storing values is said to be **Binary Search Tree** if for each node v in T

- If $\text{left}(v) \neq \text{NULL}$, then $\text{value}(v) > \text{value}$ of every node in $\text{subtree}(\text{left}(v))$.
- If $\text{right}(v) \neq \text{NULL}$, then $\text{value}(v) < \text{value}$ of every node in $\text{subtree}(\text{right}(v))$.

Search(T, x)

Searching in a Binary Search Tree



Search(T,x)

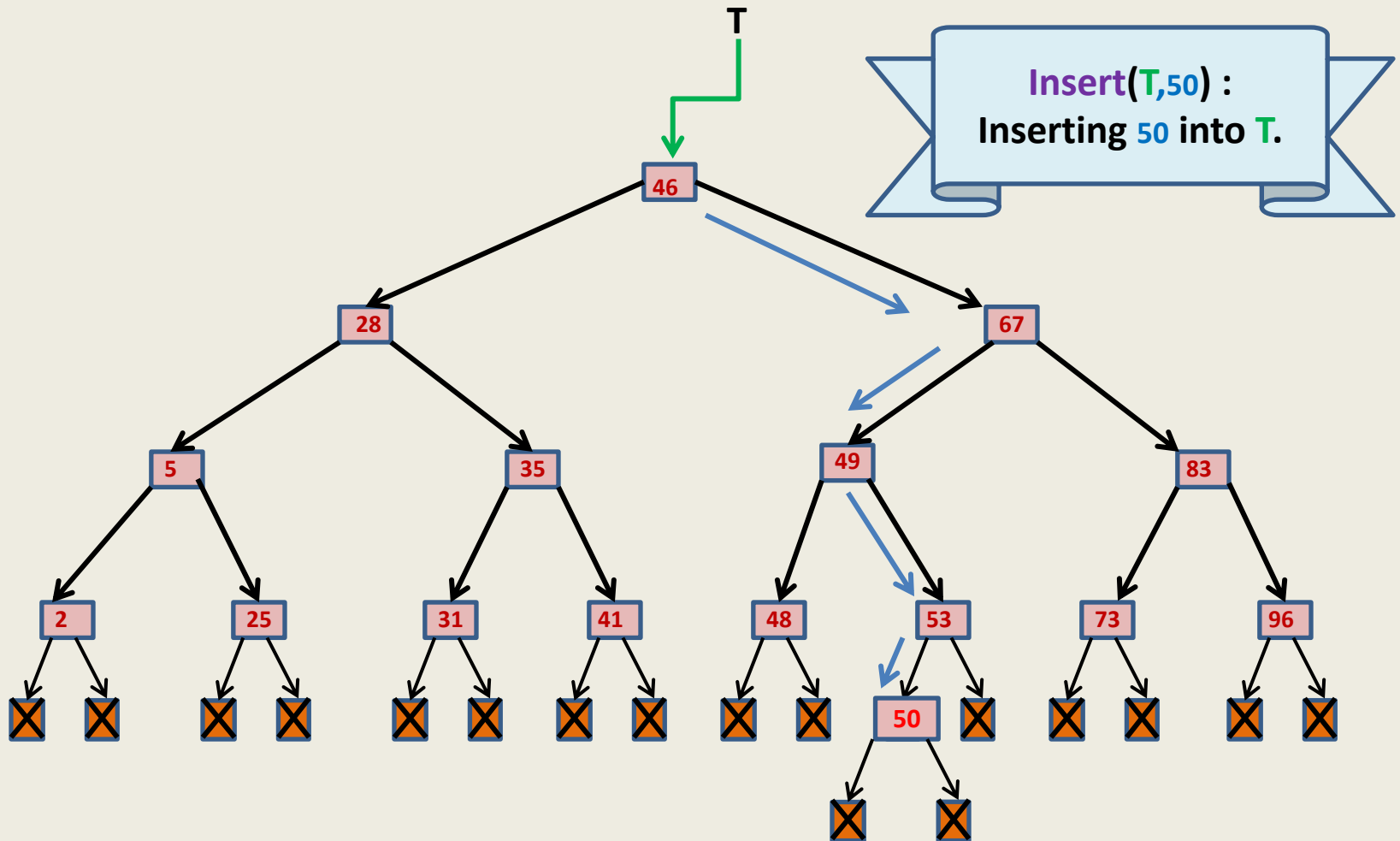
Searching in a Binary Search Tree

Search(T,x)

```
{  p ← T;
   Found ← FALSE;
   while( Found = FALSE & p <> NULL )
   {   if(value(p) = x) Found ← TRUE ;
       else if (value(p) < x) p ← right(p) ;
       else p ← left(p) ;
   }
   return p;
}
```

Insert(T,x)

Insertion in a Binary Search Tree



A question

Time complexity of

Search(T, x) and **Insert**(T, x) in a Binary Search Tree $T = O(\text{Height}(T))$

Homeworks

1. Write pseudocode for **Insert**(**T**,**x**) operation similar to the pseudocode we wrote for **Search**(**T**,**x**).
2. Design an algorithm for the following problem:

Given a sorted array **A** storing n elements,
build a “perfectly balanced” BST storing all elements of **A**
in $O(n)$ time.

Homework 3

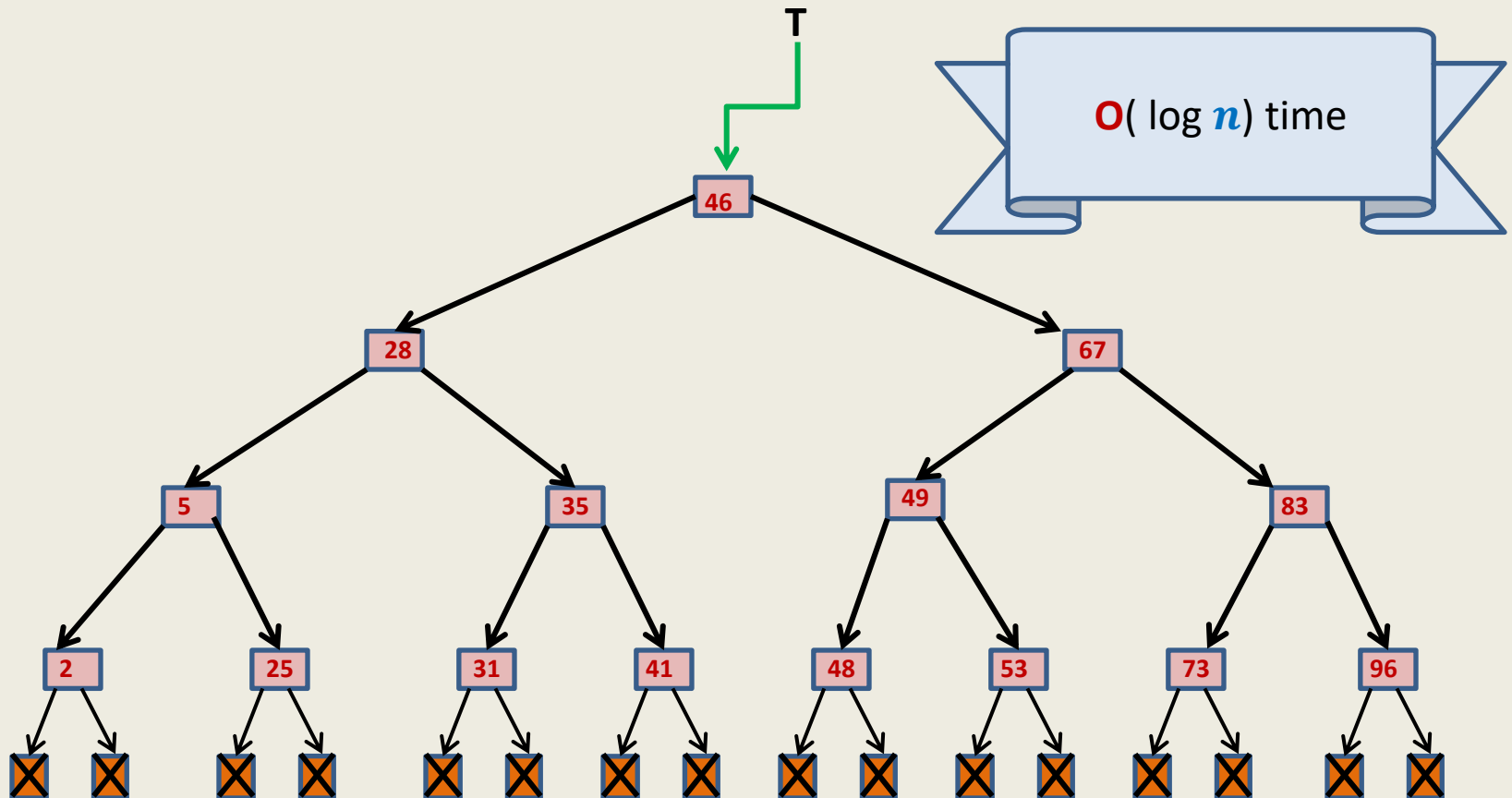
What does the following algorithm accomplish ?

Traversal(T)

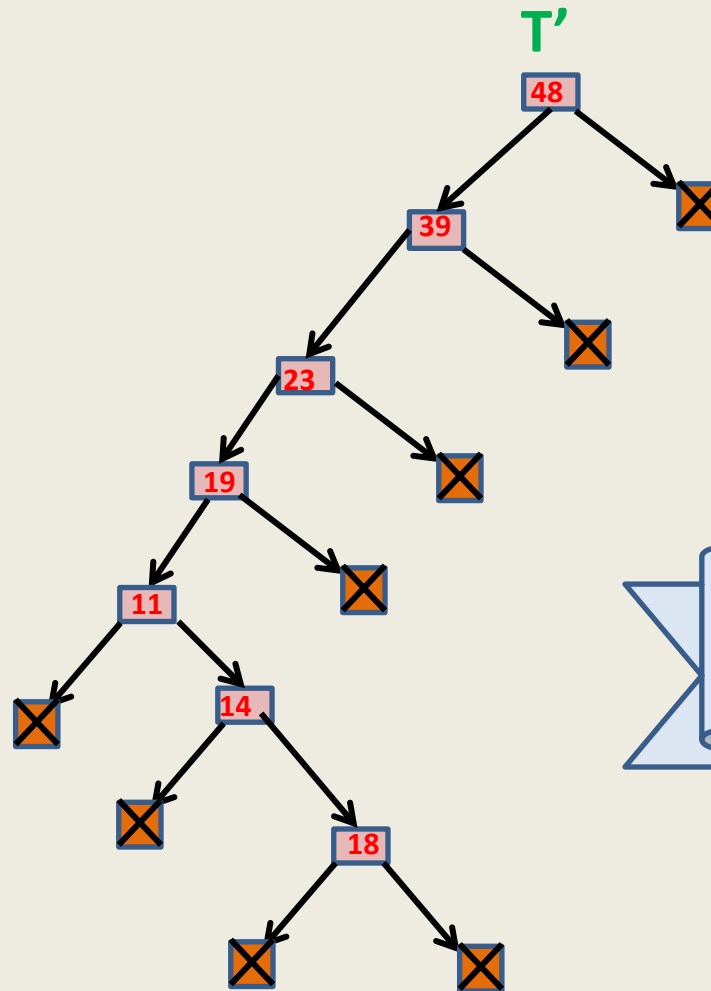
```
{  p ← T;
    if(p=NULL) return;
    else{  if(left(p) <> NULL)  Traversal(left(p));
           print(value(p));
           if(right(p) <> NULL) Traversal(right(p));
        }
}
```

Ponder over this algorithm for a few minutes to know what it is doing. You might like to try it out on some example of BST.

Time complexity of any search and any single insertion in a perfectly balanced Binary Search Tree on n nodes



Time complexity of any search and any single insertion in a **sqewed** Binary Search Tree on n nodes



$O(n)$ time !☹

Our Original Problem

Maintain a telephone directory

Operations:

- Search the phone # of a person with ID no. x
- Insert a new record (ID no., phone #,...)

Array based solution	Linked list based solution
$\text{Log } n$	$O(n)$
$O(n)$	$\text{Log } n$

Solution : We may keep **perfectly balanced** BST.

Hurdle: What if we insert records in increasing order of ID ?

➔ BST will be skewed ☹️