

Data Structures and Algorithms

(ESO207)

Lecture 21

- **Analyzing average running time of Quick Sort**

Overview of this lecture

Main Objective:

- Analyzing average time complexity of **QuickSort** using **recurrence**.
 - Using mathematical induction.
 - Solving the recurrence exactly.
- The outcome of this analysis will be quite surprising!

Extra benefits:

- You will learn a standard way of using mathematical induction to bound time complexity of an algorithm. You must try to internalize it.

QuickSort

Pseudocode for QuickSort(S)

QuickSort(S)

```
{    If ( $|S| > 1$ )
        Pick and remove an element  $x$  from  $S$ ;
         $(S_{<x}, S_{>x}) \leftarrow \text{Partition}(S, x);$ 
        return( Concatenate(QuickSort( $S_{<x}$ ),  $x$ , QuickSort( $S_{>x}$ )))
}
```

Pseudocode for QuickSort(S)

When the input S is stored in an array

QuickSort(A, l, r)

```
{    If ( $l < r$ )
         $i \leftarrow \text{Partition}(A, l, r);$ 
        QuickSort( $A, l, i - 1$ );
        QuickSort( $A, i + 1, r$ )
}
```

Partition :

$x \leftarrow A[l]$ as a pivot element,

permutes the subarray $A[l \dots r]$ such that
elements preceding x are smaller than x ,

$A[i] = x$,

and elements succeeding x are greater than x .

Analyzing average time complexity of QuickSort

Part 1

Deriving the recurrence

Analyzing average time complexity of QuickSort

Assumption (just for a neat analysis):

- All elements are distinct.
- Each recursive call selects the first element of the subarray as the pivot element.

Analyzing average time complexity of QuickSort

A useful Fact: **Quick sort** is a comparison based algorithm.

0	1	2	3	4	5	6	7	8
6	11	42	37	24	5	16	27	2
e_3	e_4	e_9	e_8	e_6	e_2	e_5	e_7	e_1

0	1	2	3	4	5	6	7	8
15	20	49	41	29	4	23	36	3
e_3	e_4	e_9	e_8	e_6	e_2	e_5	e_7	e_1

Let e_i : i th smallest element of \mathbf{A} .

Observation: The execution of **Quick sort** depends upon the permutation of e_i 's and not on the values taken by e_i 's.

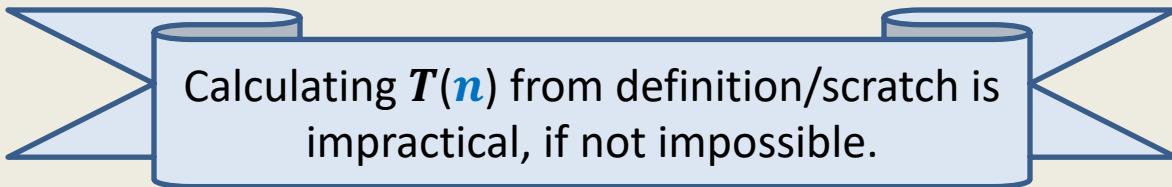
Analyzing average time complexity of QuickSort

$T(n)$: Average running time for Quick sort on input of size n .

(average over all possible permutations of $\{e_1, e_2, \dots, e_n\}$)

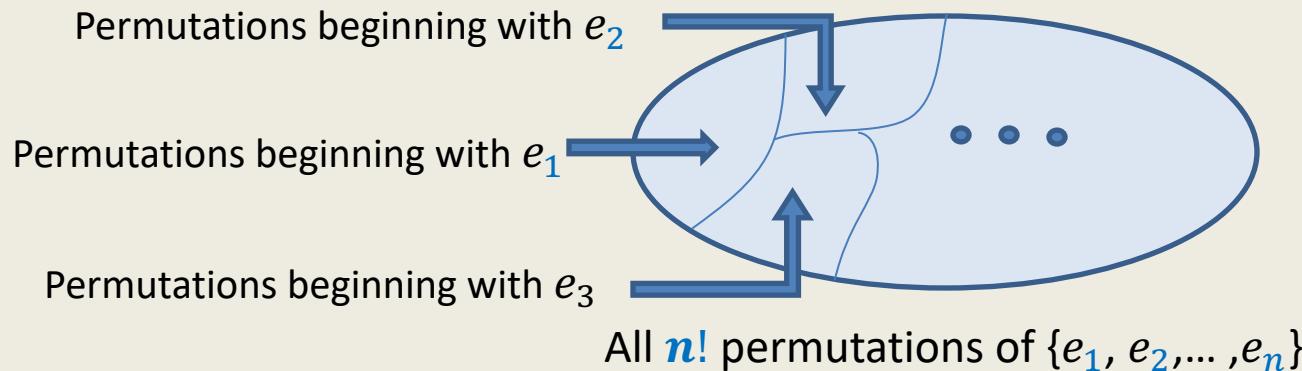
$$\text{Hence, } T(n) = \frac{1}{n!} \sum_{\pi} Q(\pi),$$

where $Q(\pi)$ is the time complexity (or no. of comparisons) when the input is permutation π .



Calculating $T(n)$ from definition/scratch is impractical, if not impossible.

Analyzing average time complexity of QuickSort



Let $P(i)$ be the set of all those permutations of $\{e_1, e_2, \dots, e_n\}$ that begin with e_i .

Question: What fraction of all permutations constitutes $P(i)$?

Answer: $\frac{1}{n}$

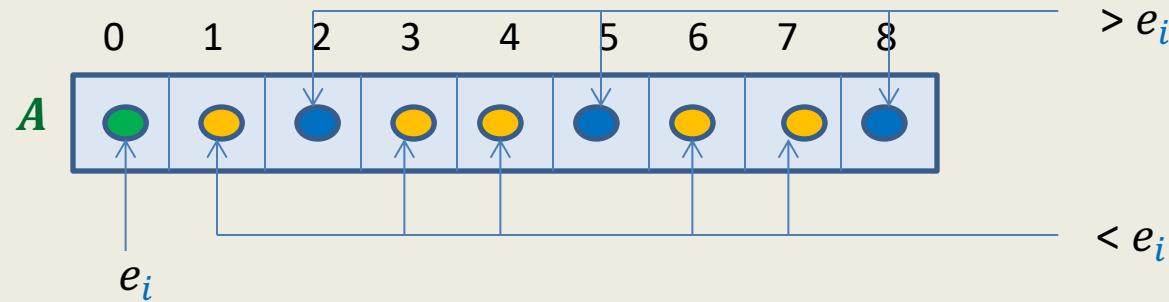
Let $G(n, i)$ be the average running time of QuickSort over $P(i)$.

Question: What is the relation between $T(n)$ and $G(n, i)$'s ?

Answer: $T(n) = \frac{1}{n} \sum_{i=1}^n G(n, i)$

Observation: We now need to derive an expression for $G(n, i)$. For this purpose, we need to have a closer look at the execution of QuickSort over $P(i)$.

Quick Sort on a permutation from $P(i)$.



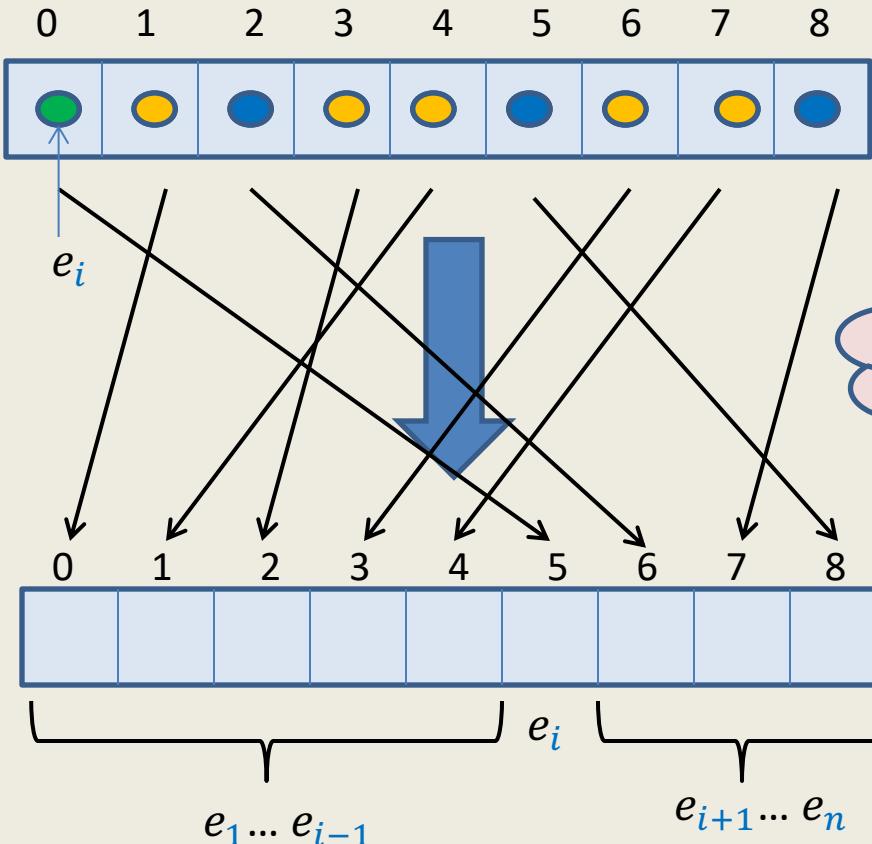
What happens during
Partition($A, 0, 8$)

Quick Sort on a permutation from $P(i)$.

$P(i)$ 
 $(n - 1)!$

$S(i)$ 
 $(i - 1)! \times (n - i)!$

Many-to-one mapping



Lemma 1:

There are exactly $\binom{n-1}{i-1}$ permutations from $P(i)$ that get mapped to one permutation in $S(i)$.

$S(i)$: Permutations resulting from `Partition()`.

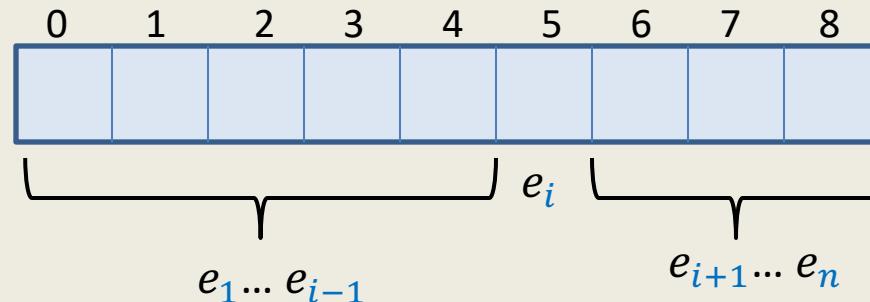
Quick Sort on a permutation from $P(i)$.

$P(i)$ 
 $(n - 1)!$



 Many-to-one mapping
↓

$S(i)$ 
 $(i - 1)! \times (n - i)!$



Using **Lemma 1** Can you now express $G(n, i)$ recursively ?

Lemma 1:

There are exactly $\binom{n-1}{i-1}$ permutations from $P(i)$ that get mapped to one permutation in $S(i)$.

Analyzing average time complexity of QuickSort

$$G(n, i) =$$

$$T(i - 1) + T(n - i) + dn \quad \text{----1}$$

We showed previously that :

$$T(n) = \frac{1}{n} \sum_{i=1}^n G(n, i) \quad \text{----2}$$

Question: Can you express $T(n)$ recursively using 1 and 2?

$$T(n) = \frac{1}{n} \sum_{i=1}^n (T(i - 1) + T(n - i)) + dn$$

$$T(1) = C$$

Analyzing average time complexity of QuickSort

Part 2

Solving the recurrence through
mathematical induction

$$T(1) = c$$

$$\begin{aligned} T(n) &= \frac{1}{n} \sum_{i=1}^n (T(i-1) + T(n-i)) + dn \\ &= \frac{2}{n} \sum_{i=1}^{n-1} T(i) + dn \end{aligned}$$

Assertion A(m): $T(m) \leq am \log m + b$ for all $m \geq 1$

Base case A(0): Holds for $b \geq c$

Induction step: Assuming A(m) holds for all $m < n$, we have to prove A(n).

$$\begin{aligned} T(n) &\leq \frac{2}{n} \sum_{i=1}^{n-1} (ai \log i + b) + dn \\ &\leq \frac{2}{n} \left(\sum_{i=1}^{n-1} ai \log i \right) + 2b + dn \\ &= \frac{2}{n} \left(\sum_{i=1}^{n/2} ai \log i + \sum_{i=\frac{n}{2}+1}^{n-1} ai \log i \right) + 2b + dn \\ &\leq \frac{2}{n} \left(\sum_{i=1}^{n/2} ai \log n/2 + \sum_{i=\frac{n}{2}+1}^{n-1} ai \log n \right) + 2b + dn \\ &= \frac{2}{n} \left(\sum_{i=1}^{n-1} ai \log n - \sum_{i=1}^{n/2} ai \right) + 2b + dn \\ &= \frac{2}{n} \left(\frac{n(n-1)}{2} a \log n - \frac{\frac{n}{2}(\frac{n}{2}+1)}{2} a \right) + 2b + dn \\ &\leq a(n-1) \log n - \frac{n}{4} a + 2b + dn \\ &\leq an \log n + b - \frac{n}{4} a + b + dn \\ &\leq an \log n + b \quad \text{for } a > 4(b+d) \end{aligned}$$

Analyzing average time complexity of QuickSort

Part 3

Solving the recurrence exactly

Some elementary tools

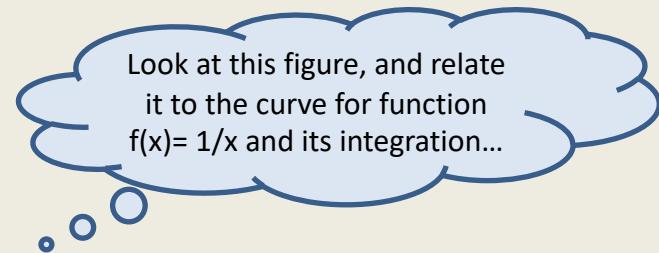
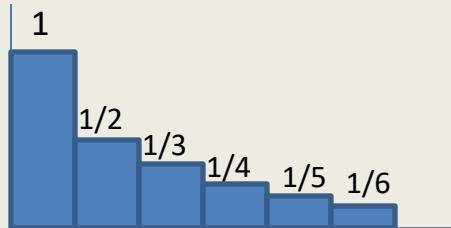
$$H(n) = \sum_{i=1}^n \frac{1}{i}$$

Question: How to approximate $H(n)$?

Answer: $H(n) \rightarrow \log_e n + \gamma$, as n increases

where γ is Euler's constant ~ 0.58

Hint: →



We shall calculate average number of comparisons during **QuickSort** using:

- our knowledge of solving recurrences by substitution
- our knowledge of solving recurrence by unfolding
- our knowledge of simplifying a partial fraction (from JEE days)

Students should try to internalize the way the above tools are used.

$T(n)$: average number of comparisons during **QuickSort** on n elements.

$$T(1) = 0, \quad T(0) = 0,$$

$$\begin{aligned} T(n) &= \frac{1}{n} \sum_{i=1}^n (T(i-1) + T(n-i)) + n - 1 \\ &= \frac{2}{n} \sum_{i=1}^n (T(i-1)) + n - 1 \end{aligned}$$

$$\rightarrow nT(n) = 2 \sum_{i=1}^n (T(i-1)) + n(n-1) \quad \text{----1}$$

Question: How will this equation appear for $n-1$?

$$(n-1)T(n-1) = 2 \sum_{i=1}^{n-1} (T(i-1)) + (n-1)(n-2) \quad \text{----2}$$

Subtracting 2 from 1, we get

$$nT(n) - (n-1)T(n-1) = 2T(n-1) + 2(n-1)$$

$$\rightarrow nT(n) - (n+1)T(n-1) = 2(n-1)$$

Question: How to solve/simplify it further ?

$$\frac{T(n)}{n+1} - \frac{T(n-1)}{n} = \frac{2(n-1)}{n(n+1)}$$

$$\frac{T(n)}{n+1} - \frac{T(n-1)}{n} = \frac{2(n-1)}{n(n+1)}$$

$$\rightarrow g(n) - g(n-1) = \frac{2(n-1)}{n(n+1)}, \quad \text{where } g(m) = \frac{T(m)}{m+1}$$

Question: How to simplify RHS ?

$$\frac{2(n-1)}{n(n+1)} = \frac{2(n+1)-4}{n(n+1)} =$$

$$= \frac{2}{n} - \frac{4}{n(n+1)}$$

$$= \frac{2}{n} - \frac{4}{n} + \frac{4}{n+1}$$

$$= \frac{4}{n+1} - \frac{2}{n}$$

$$\rightarrow g(n) - g(n-1) = \frac{4}{n+1} - \frac{2}{n}$$

$$g(n) - g(n-1) = \frac{4}{n+1} - \frac{2}{n}$$

Question: How to calculate $g(n)$?

$$g(n-1) - g(n-2) = \frac{4}{n} - \frac{2}{n-1}$$

$$g(n-2) - g(n-3) = \frac{4}{n-1} - \frac{2}{n-2}$$

$$\dots \qquad \qquad \qquad = \dots$$

$$g(2) - g(1) = \frac{4}{3} - \frac{2}{2}$$

$$g(1) - g(0) = \frac{4}{2} - \frac{2}{1}$$

$$\begin{aligned} \text{Hence } g(n) &= \frac{4}{n+1} + (2 \sum_{j=2}^n \frac{1}{j}) - 2 = \frac{4}{n+1} + (2 \sum_{j=1}^n \frac{1}{j}) - 4 \\ &= \frac{4}{n+1} + 2H(n) - 4 \end{aligned}$$

$$\begin{aligned} \rightarrow T(n) &= (n+1) (\frac{4}{n+1} + 2H(n) - 4) \\ &= 2(n+1)H(n) - 4n \end{aligned}$$

$$\begin{aligned}
 T(n) &= 2(n+1)H(n) - 4n \\
 &= 2(n+1) \log_e n + 1.16(n+1) - 4n \\
 &= 2n \log_e n - 2.84n + O(1) \\
 &= 2n \log_e n
 \end{aligned}$$

Theorem: The average number of comparisons during **QuickSort** on n elements approaches $2n \log_e n - 2.84n$.

$$= 1.39n \log_2 n - O(n)$$

The best case number of comparisons during **QuickSort** on n elements = $n \log_2 n$

The worst case no. of comparisons during **QuickSort** on n elements = $n(n-1)$

Quick sort versus Merge Sort

No. of Comparisons	Merge Sort	Quick Sort
Average case	$n \log_2 n$	$1.39 n \log_2 n$
Best case	$n \log_2 n$	$n \log_2 n$
Worst case	$n \log_2 n$	$n(n - 1)$

After seeing this table, no one would prefer Quick sort to Merge sort

But **Quick sort** is still the most preferred algorithm in practice. Why ?

Data Structures and Algorithms

(ESO207)

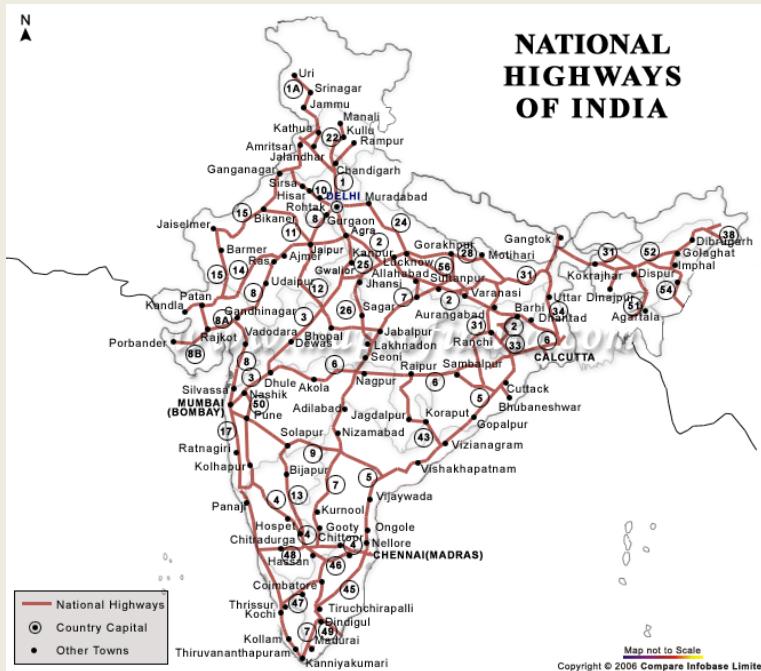
Lecture 22

Graphs

- Notations and terminologies
- Data structures for graphs
- A few algorithmic problems in graphs

Why Graphs ??

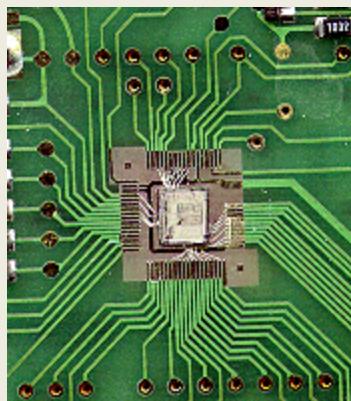
Finding shortest route between cities



Given a network of **roads** connecting various cities, compute the shortest route between any two **cities**.

Just imagine how you would solve/approach this problem.

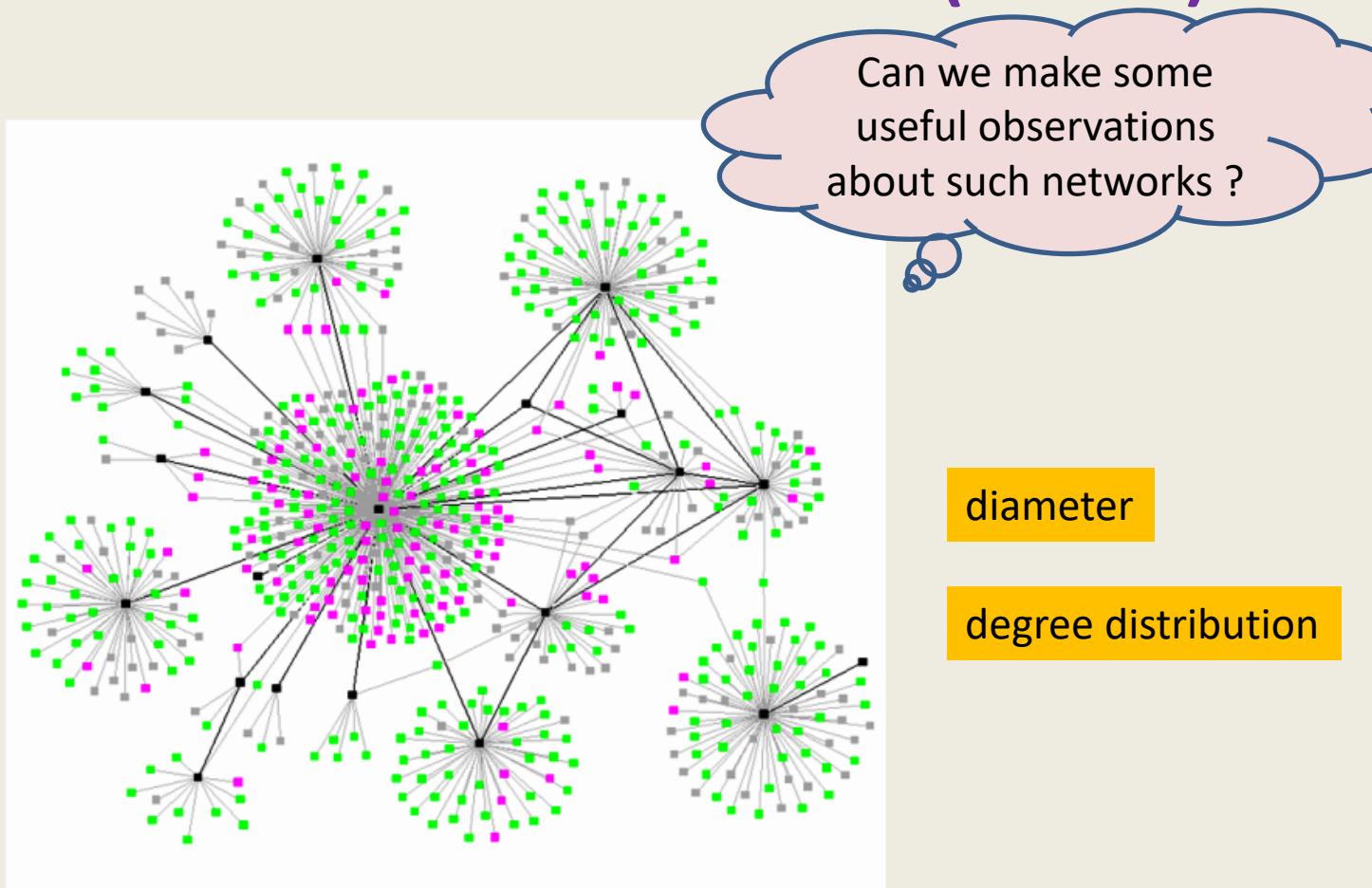
Embedding an integrated circuit on mother board



How to embed **ports** of various ICs on a plane and make **connections** among them so that

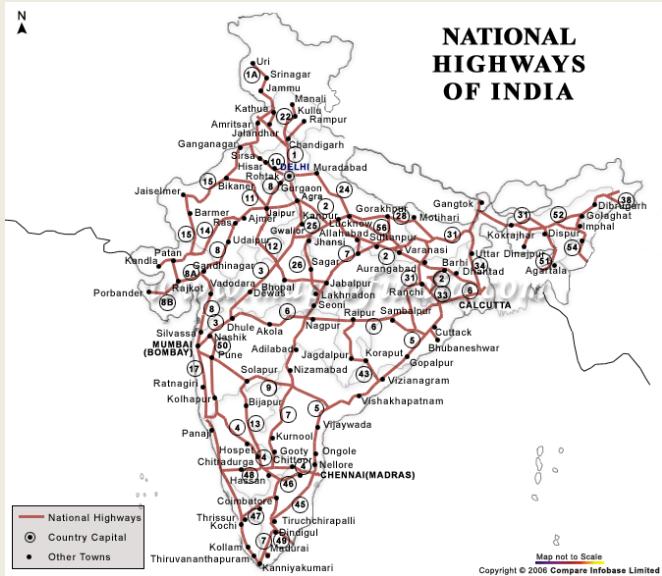
- No two connections intersect each other
- The total length of all the connections is minimal

A social network or world wide web (WWW)

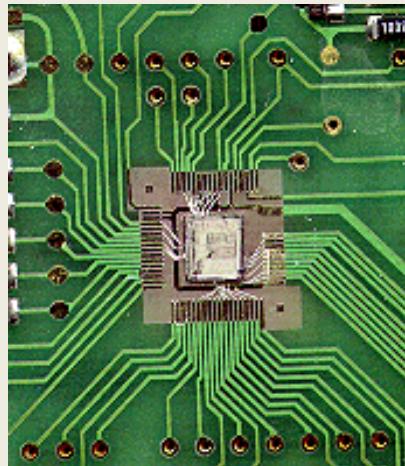


Do you know about the “6 degree of separation principle” of the world ?
Visit the site https://en.wikipedia.org/wiki/Six_degrees_of_separation

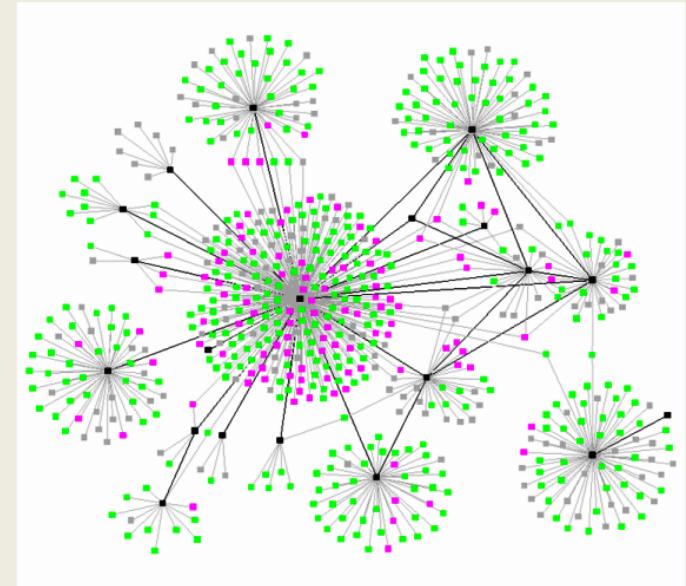
How will you **model** these problems ?



1

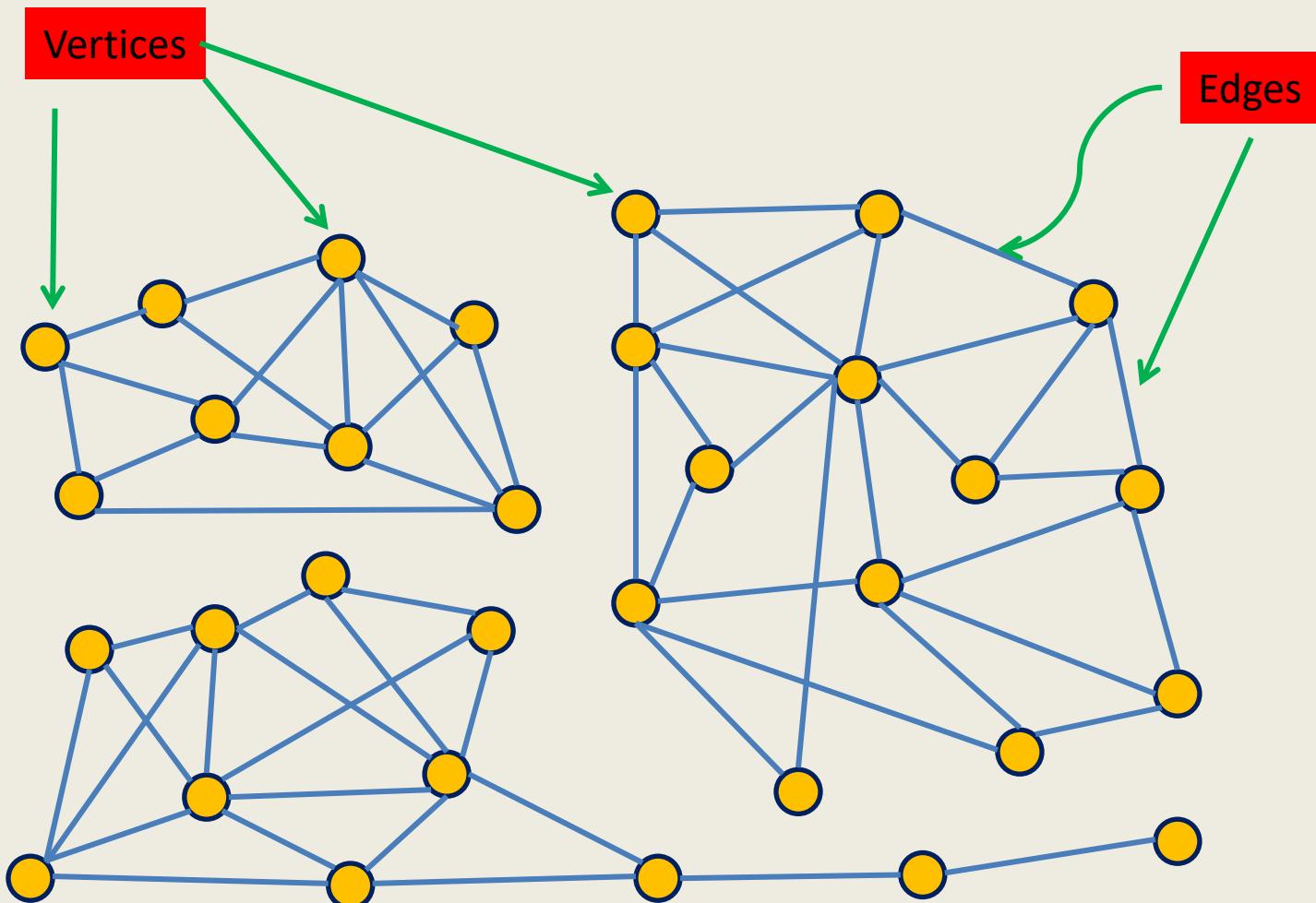


1



11

Graph



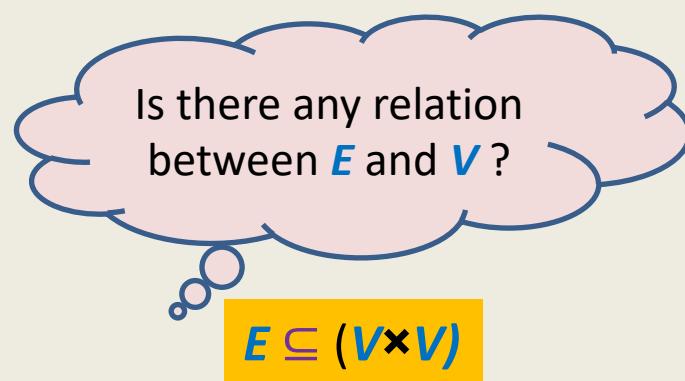
Graph

Definitions, notations, and terminologies

Graph

A graph G is defined by two sets

- V : set of vertices
- E : set of edges

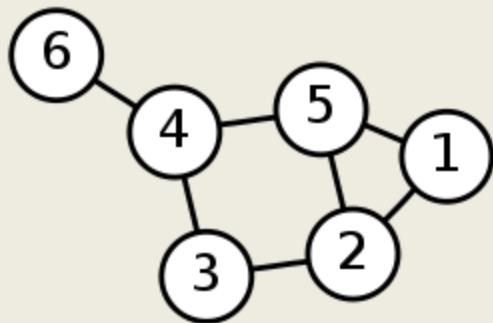


Notation:

- A graph G consisting of vertices V and edges E is denoted by (V, E)

Types of graphs

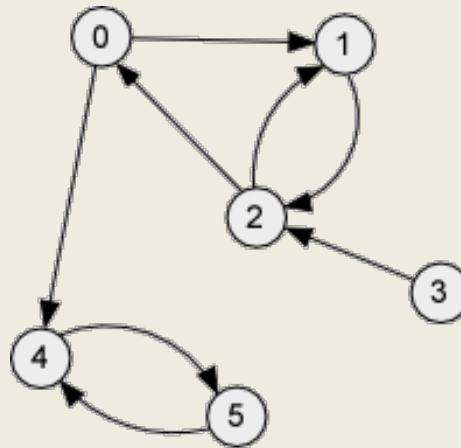
Undirected Graph



$$V = \{1, 2, 3, 4, 5, 6\}$$

$$E = \{(1,2), (1,5), (2,5), (2,3), (3,4), (4,5), (4,6)\}$$

Directed Graph



$$V = \{0, 1, 2, 3, 4, 5\}$$

$$E = \{ (0,1), (0,4), (1,2), (2,0), (2,1), (3,2), (4,5), (5,4) \}$$

Notations

Notations:

- $n = |V|$
- $m = |E|$

Note: For directed graphs, $m \leq n(n-1)$

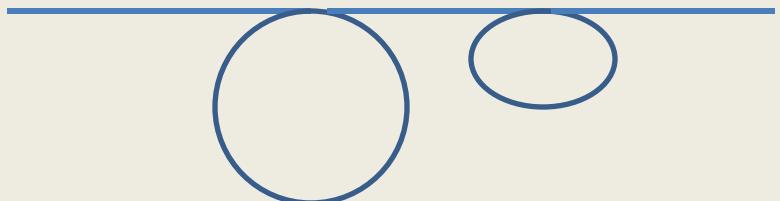
For undirected graphs, $m \leq n(n-1)/2$

Walks, paths, and cycles

Walk:

A sequence $\langle v_0, v_1, \dots, v_k \rangle$ of vertices is said to be a **walk** from x to y

- $x = v_0$
- $y = v_k$
- For each $i < k$, $(v_i, v_{i+1}) \in E$



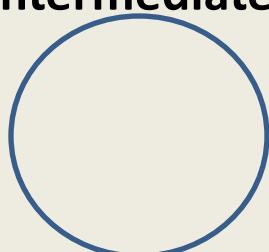
Path:

A walk $\langle v_0, v_1, \dots, v_k \rangle$ on which no vertex appears twice.

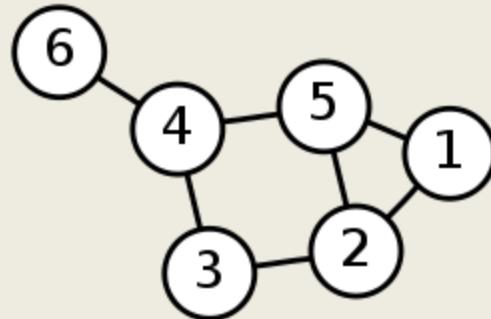


Cycle:

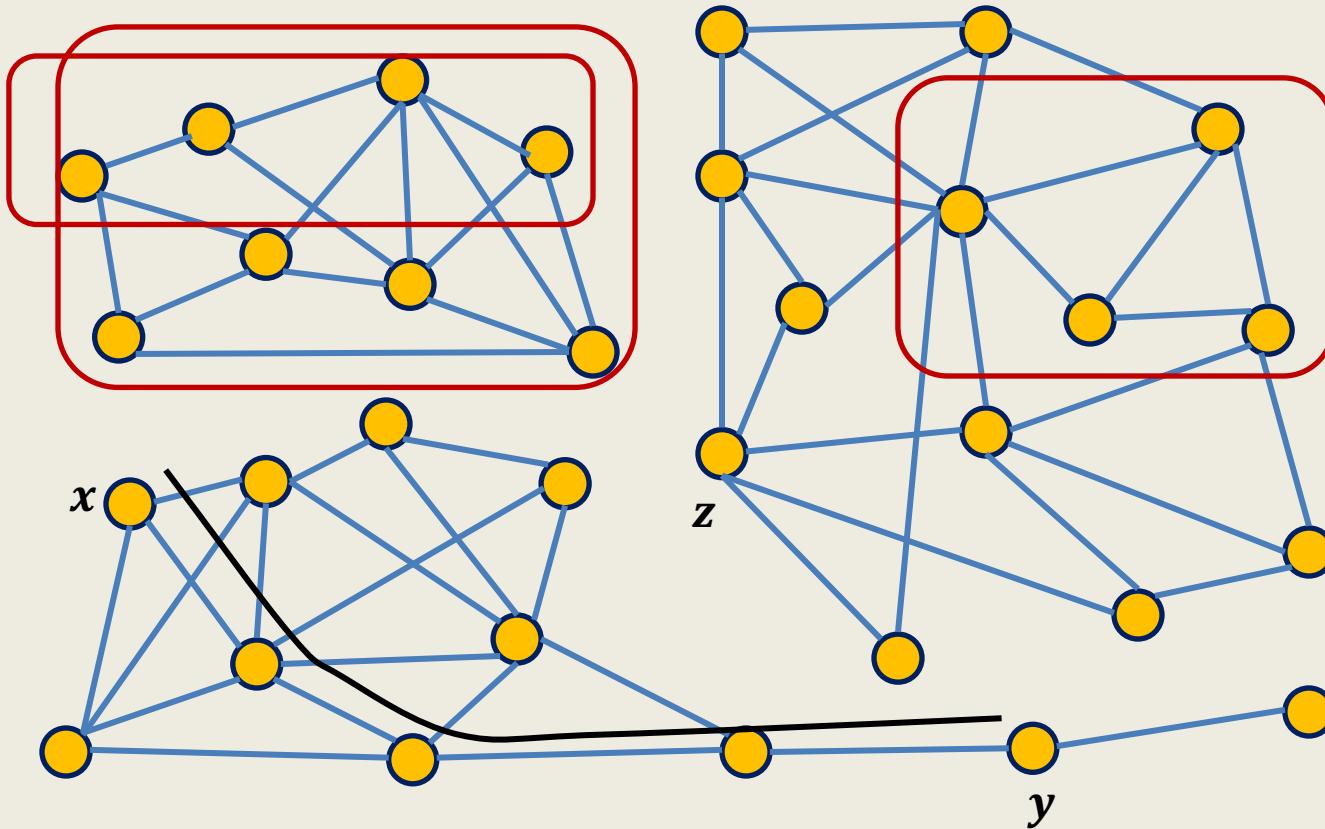
A walk $\langle v_0, v_1, \dots, v_k \rangle$ where no **intermediate** vertex gets repeated and $v_0 = v_k$



Examples



- $\langle 1, 5, 4 \rangle$ is a **walk** from **1** to **4**.
- $\langle 1, 3, 2, 5 \rangle$ is **not** a **walk**.
- $\langle 1, 2, 5, 2, 3, 4, 5, 4, 6 \rangle$ is a **walk** from **1** to **6**.
- $\langle 1, 2, 5, 4, 6 \rangle$ is a **path** from **1** to **6**.
- $\langle 2, 3, 4, 5, 2 \rangle$ is a **cycle**.



two vertices are said to be ***connected*** if there is a **path** between them

Connected component:

A **maximal** subset of connected vertices

You can not add any more vertex to the subset
and still keep it connected.

Data Structures for Graphs

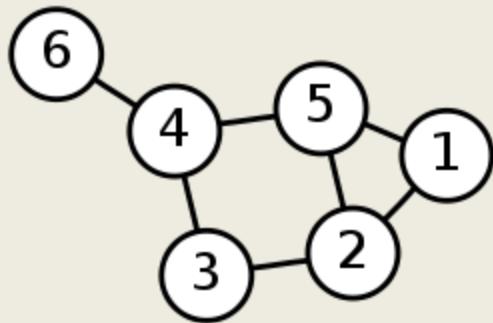
Vertices are always numbered

1, ..., n

Or **0, ..., $n - 1$**

Link based data structure for graph

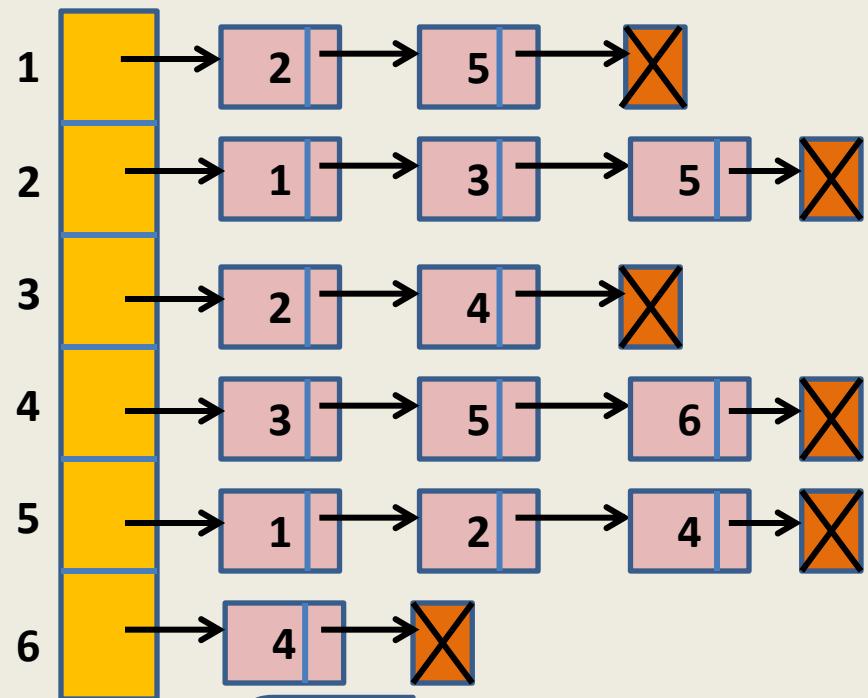
Undirected Graph



$$V = \{1, 2, 3, 4, 5, 6\}$$

$$E = \{(1, 2), (1, 5), (2, 5), (2, 3), (3, 4), (4, 5), (4, 6)\}$$

Adjacency Lists



Size = $O(n + m)$

Link based data structure for graph

Advantage of Adjacency Lists :

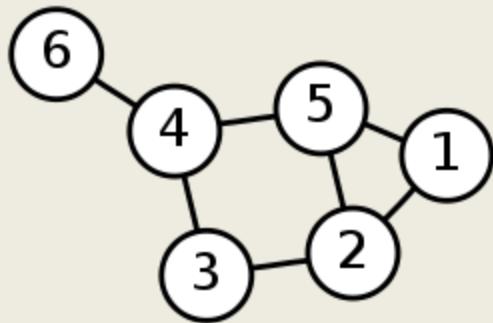
- Space efficient
- Computing all the neighbors of a vertex in optimal time.

Disadvantage of Adjacency Lists :

- How to determine if there is an edge from x to y ?
($O(n)$ time in the worst case).

Array based data structure for graph

Undirected Graph



$$V = \{1, 2, 3, 4, 5, 6\}$$

$$E = \{(1, 2), (1, 5), (2, 5), (2, 3), (3, 4), (4, 5), (4, 6)\}$$

Adjacency Matrix

	1	2	3	4	5	6
1	0	1	0	0	1	0
2	1	0	1	0	1	0
3	0	1	0	1	0	0
4	0	0	1	0	1	1
5	1	1	0	1	0	0
6	0	0	0	1	0	0

Size = $O(n^2)$

Array based data structure for graph

Advantage of Adjacency Matrix :

- Determining whether there is an edge from x to y in $O(1)$ time for any two vertices x and y .

Disadvantage of Adjacency Matrix :

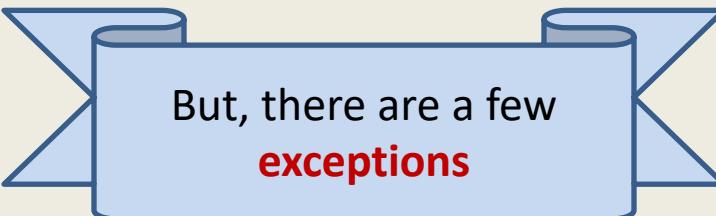
- Computing all neighbors of a given vertex x in $O(n)$ time
- It takes $O(n^2)$ space.

Which data structure is commonly used for storing graphs ?

Adjacency lists

Reasons:

- Graphs in real life are sparse ($m \ll n^2$).
 - Most algorithms require processing neighbors of each vertex.
- Adjacency matrix will enforce $O(n^2)$ bound on time complexity for such algorithm.



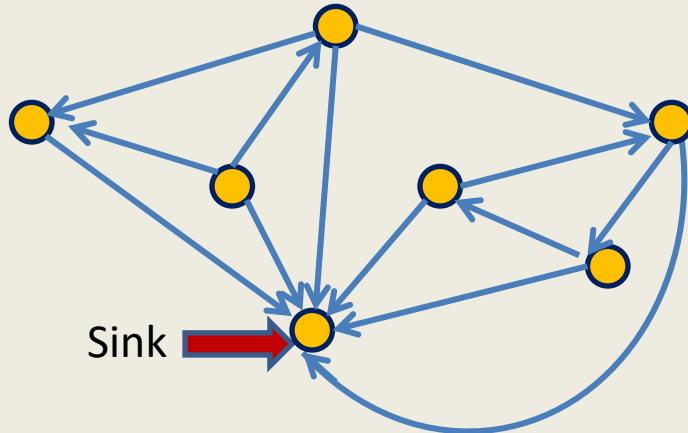
But, there are a few
exceptions

An interesting problem

(Finding a sink)

A vertex x in a given directed graph is said to be a **sink** if

- There is no edge **emanating** from (leaving) x
- Every other vertex has an edge **into** x .



Given a directed graph $G=(V,E)$ in an **adjacency matrix** representation, design an $O(n)$ time algorithm to determine if there is any **sink** in G .

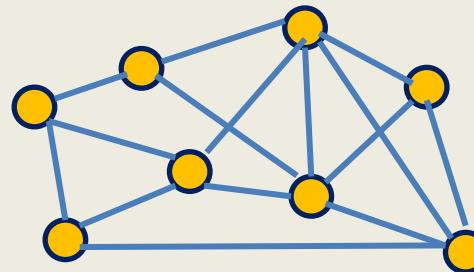
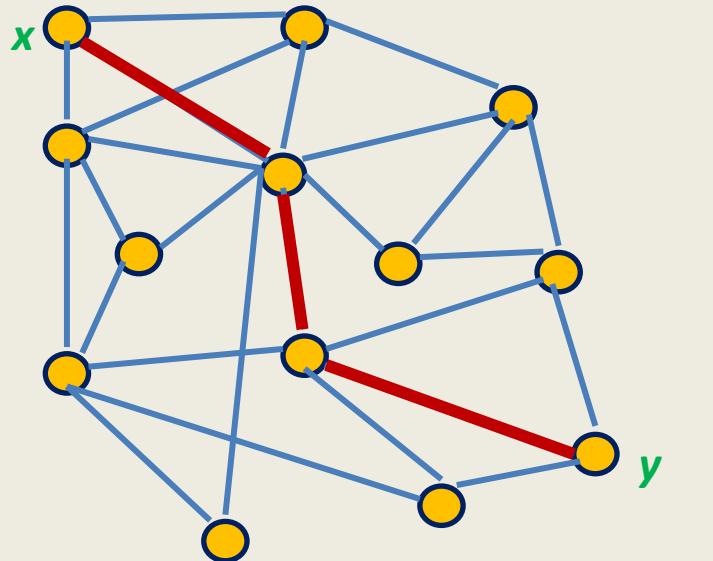
Graph traversal

Topic for the next class

Graph traversal

Definition:

A vertex y is said to be reachable from x if there is a **path** from x to y .



Graph traversal from vertex x : Starting from a given vertex x , the aim is to visit all vertices which are reachable from x .

Non-triviality of graph traversal

- **Avoiding loop:**

How to avoid visiting a vertex multiple times ?

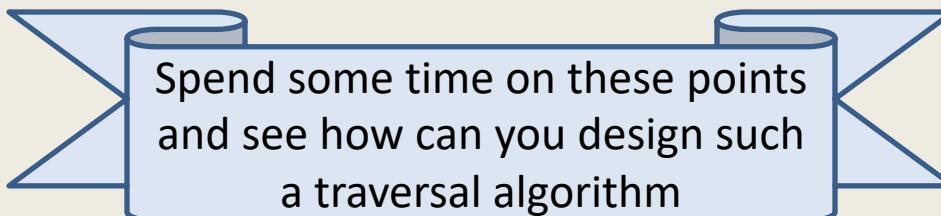
(keeping track of vertices already visited)

- **Finite number of steps :**

The traversal **must stop** in finite number of steps.

- **Completeness :**

We must visit **all** vertices reachable from the start vertex **x**.



A sample of Graph algorithmic Problems

- Are two vertices x and y connected ?
- Find all connected components in a graph.
- Is there is a cycle in a graph ?
- Compute a path of shortest length between two vertices ?
- Is there is a cycle passing through all vertices ?

Data Structures and Algorithms

(ESO207)

Lecture 23

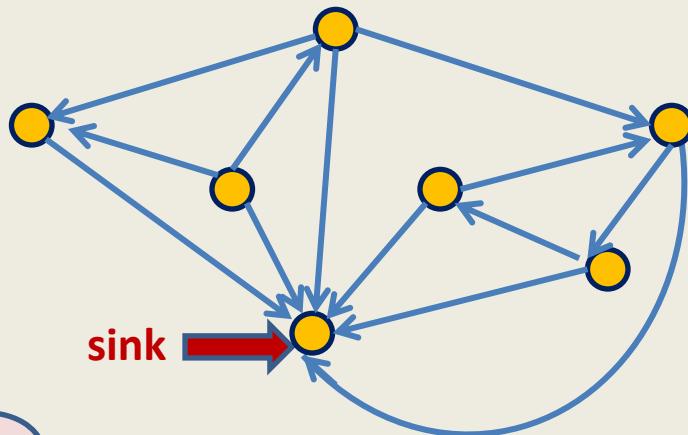
- **Finding a sink in a directed graph**
- **Graph Traversal**
 - **Breadth First Search** Traversal and its simple applications

An interesting problem

(Finding a **sink**)

Definition: A vertex x in a given directed graph is said to be a **sink** if

- There is no edge **emanating from** (leaving) x
- Every other vertex has an edge **into** x .



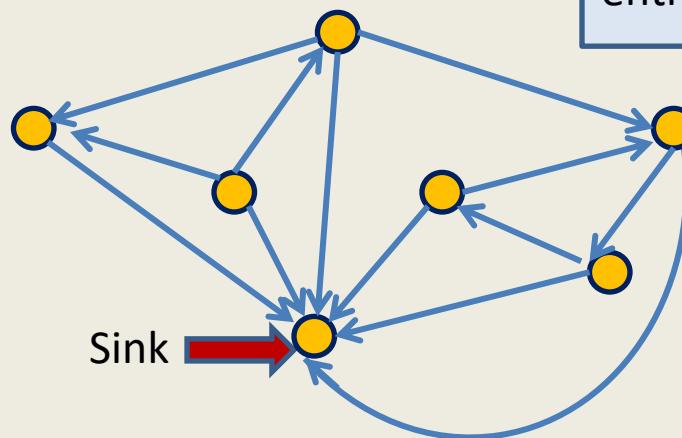
How many
sinks can there
be in G ?

At most 1.

An interesting problem

(Finding a sink)

Problem: Given a directed graph $G=(V,E)$ in an **adjacency matrix** representation, design an $O(n)$ time algorithm to determine if there is any **sink** in G .



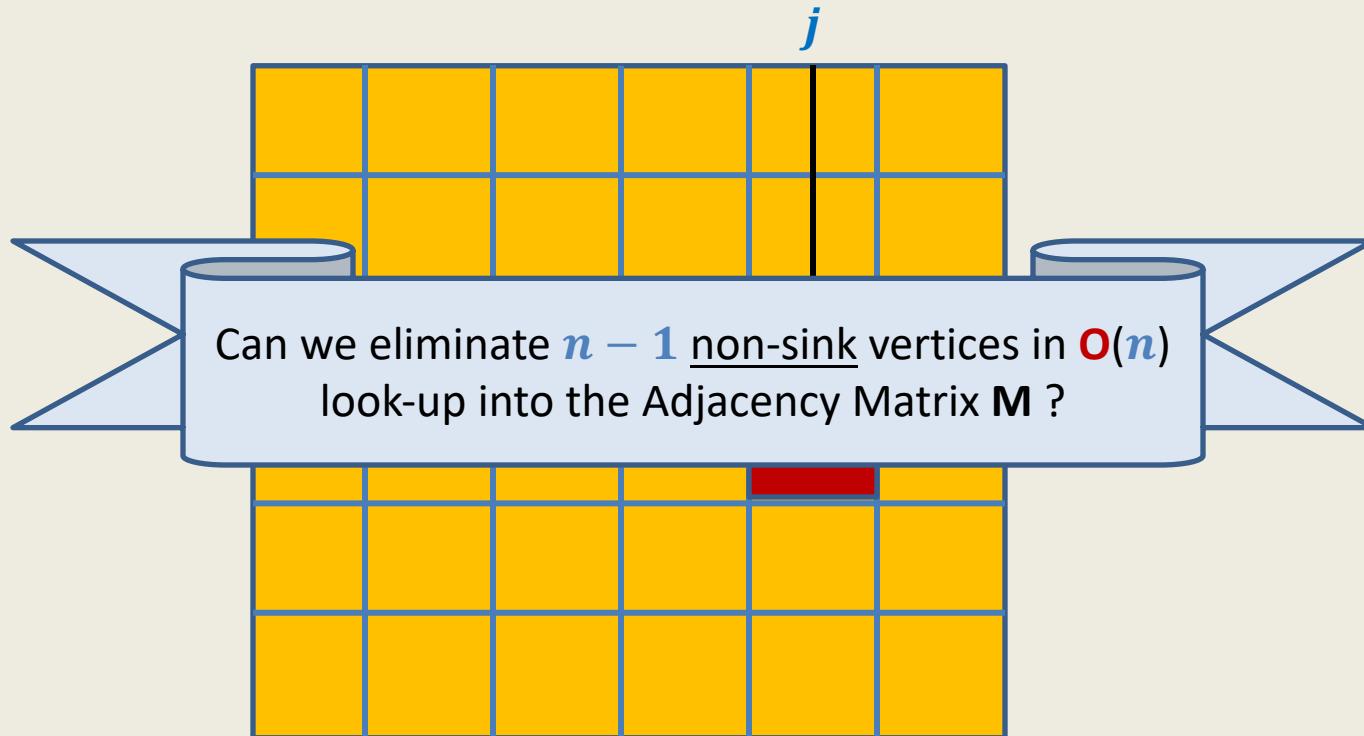
We are allowed to look into only $O(n)$ entries of the **Adjacency matrix M**.

Question: Can we verify efficiently whether any given vertex i is a sink ?

Answer: Yes, in $O(n)$ time only ☺

Look at i th row and i th column of **M**.

Key idea



If $\mathbf{M}[i, j] = 0$, then j can not be sink

If $\mathbf{M}[i, j] = 1$, then i can not be sink



Algorithm to find a **sink** in a graph

Key ideas:

- Looking at a single entry in **M** allows us to discard one vertex from being a sink.
- It takes **O(n)** time to verify if a vertex **i** is a sink.

Find-Sink(M) // **M** is the adjacency matrix of the given directed graph.

s \leftarrow 0;

For(**i**=1 to **n** – 1)

{

If (**M[s,i]** = ?)?...;

}

Verify if s is a sink and output accordingly.

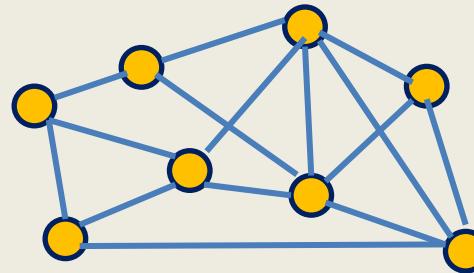
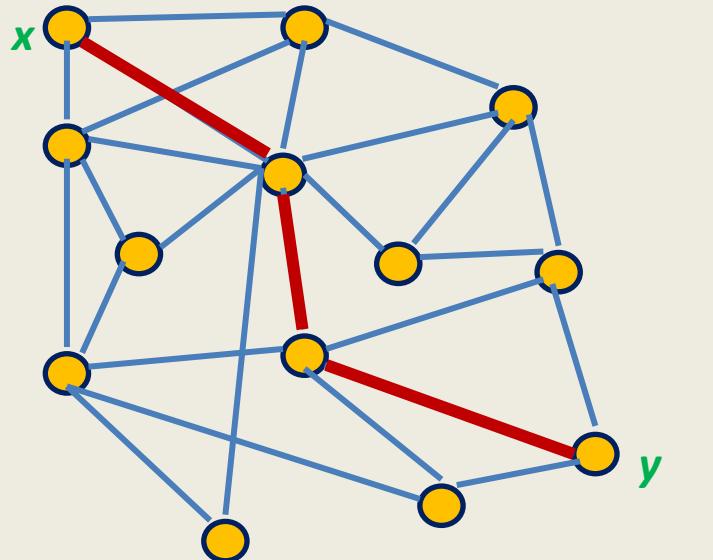
(Fill in the details of this pseudo code as a **Homework.**)

What is Graph traversal ?

Graph traversal

Definition:

A vertex y is said to be reachable from x if there is a **path** from x to y .



Graph traversal from vertex x :

Starting from a given vertex x , the aim is
to visit all vertices which are reachable from x .

Non-triviality of graph traversal

- **Avoiding loop:**

How to avoid visiting a vertex multiple times ?

(keeping track of vertices already visited)

- **Finite number of steps :**

The traversal **must stop** in finite number of steps.

- **Completeness :**

We must visit **all** vertices reachable from the start vertex **x**.

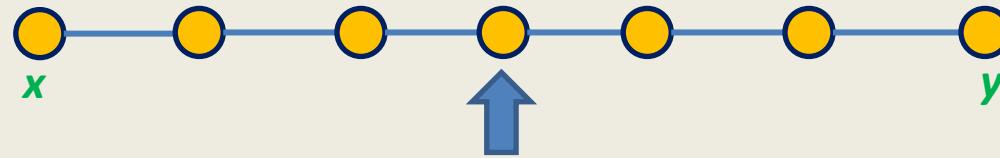
Breadth First Search traversal

We shall introduce this traversal technique through an interesting problem.

computing distances from a vertex.

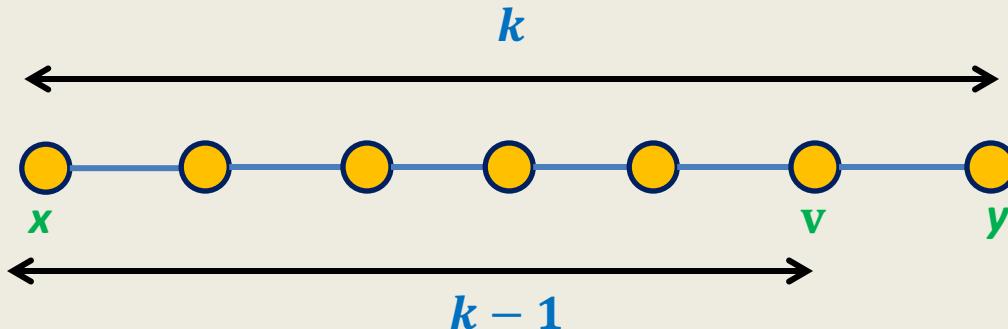
Notations and Observations

Length of a path: the number of edges on the path.



A path of length 6 between x and y

Notations and Observations



Observation:

If $\langle x, \dots, v, y \rangle$ is a path of length k from x to y ,
then what is the length of the path $\langle x, \dots, v \rangle$?

Answer: $k - 1$

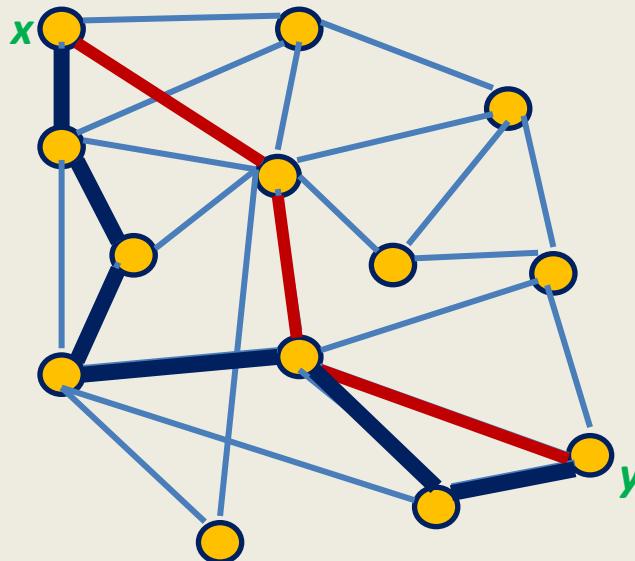
Question: What can be the maximum length of any path in a graph ?

Answer: $n - 1$

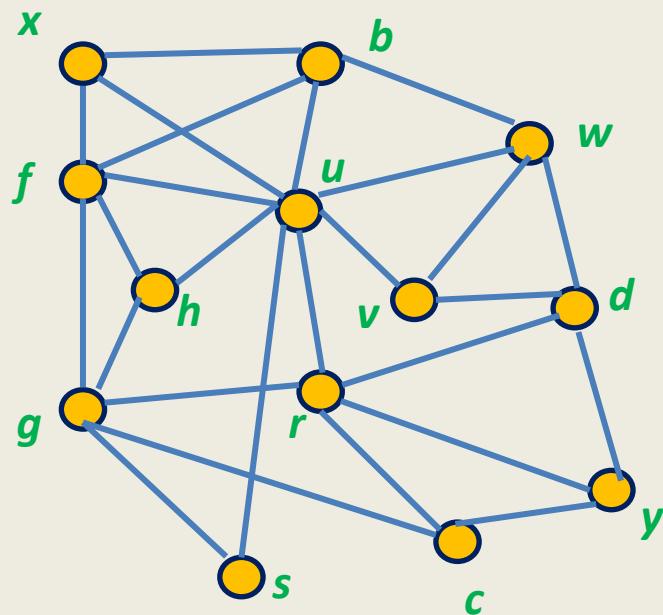
Notations and Observations

Shortest Path from x to y : A path from x to y of least length

Distance from x to y : the length of the shortest path from x to y .



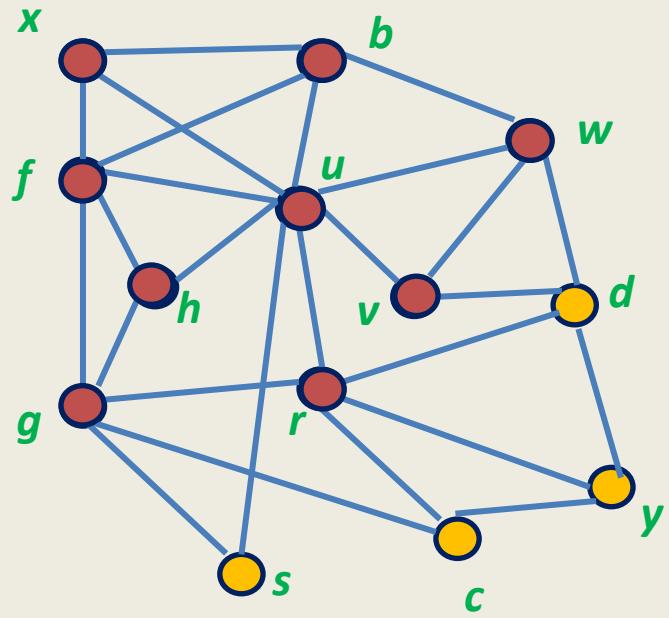
Shortest Paths in Undirected Graphs



Problem:

How to compute distance to all vertices
reachable from *x* in a given undirected graph ?

Shortest Paths in Undirected Graphs



V_0 : Vertices at distance 0 from x :

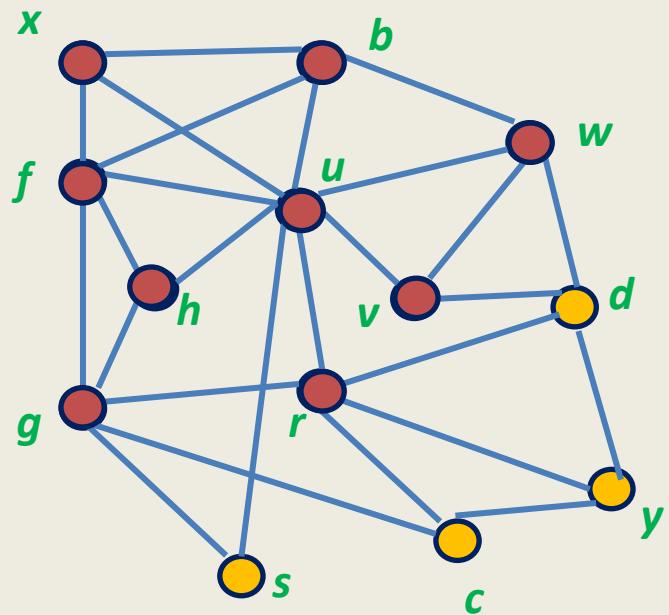
V_1 : Vertices at distance 1 from x :

V_2 : Vertices at distance 2 from x :

Why ?

While reporting V_2 , you have (sub)consciously used an **important property** of shortest paths.
Can you state this property ?

Shortest Paths in Undirected Graphs



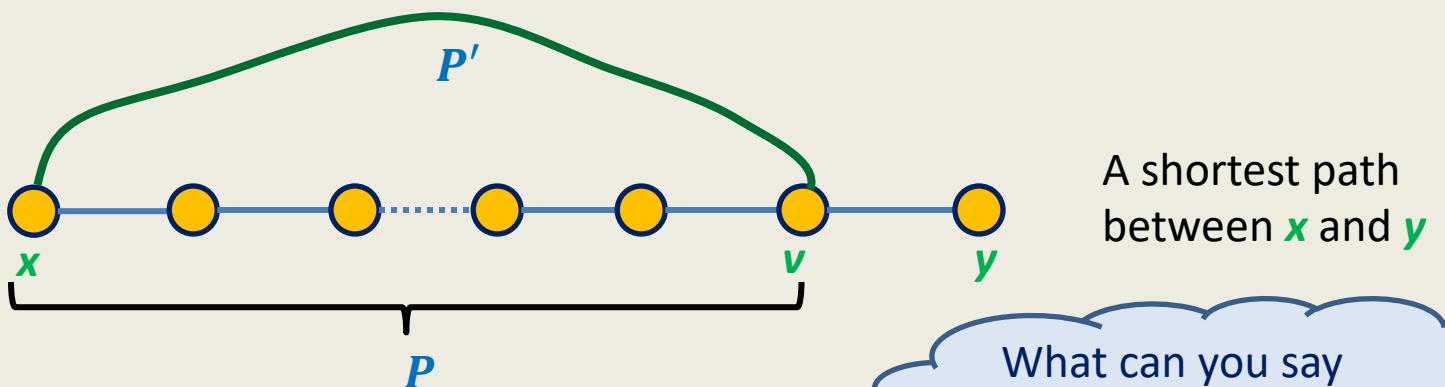
V_0 : Vertices at distance **0** from x :

V_1 : Vertices at distance **1** from x :

V_2 : Vertices at distance 2 from x :

Why ?

An important property of shortest paths



Observation:

If $\langle x, \dots, v, y \rangle$ is a shortest path from x to y ,
then $\langle x, \dots, v \rangle$ is also a shortest path.

Proof:

Suppose $P = \langle x, \dots, v \rangle$ is not a shortest path between x and v .

Then let P' be a shortest path between x and v .

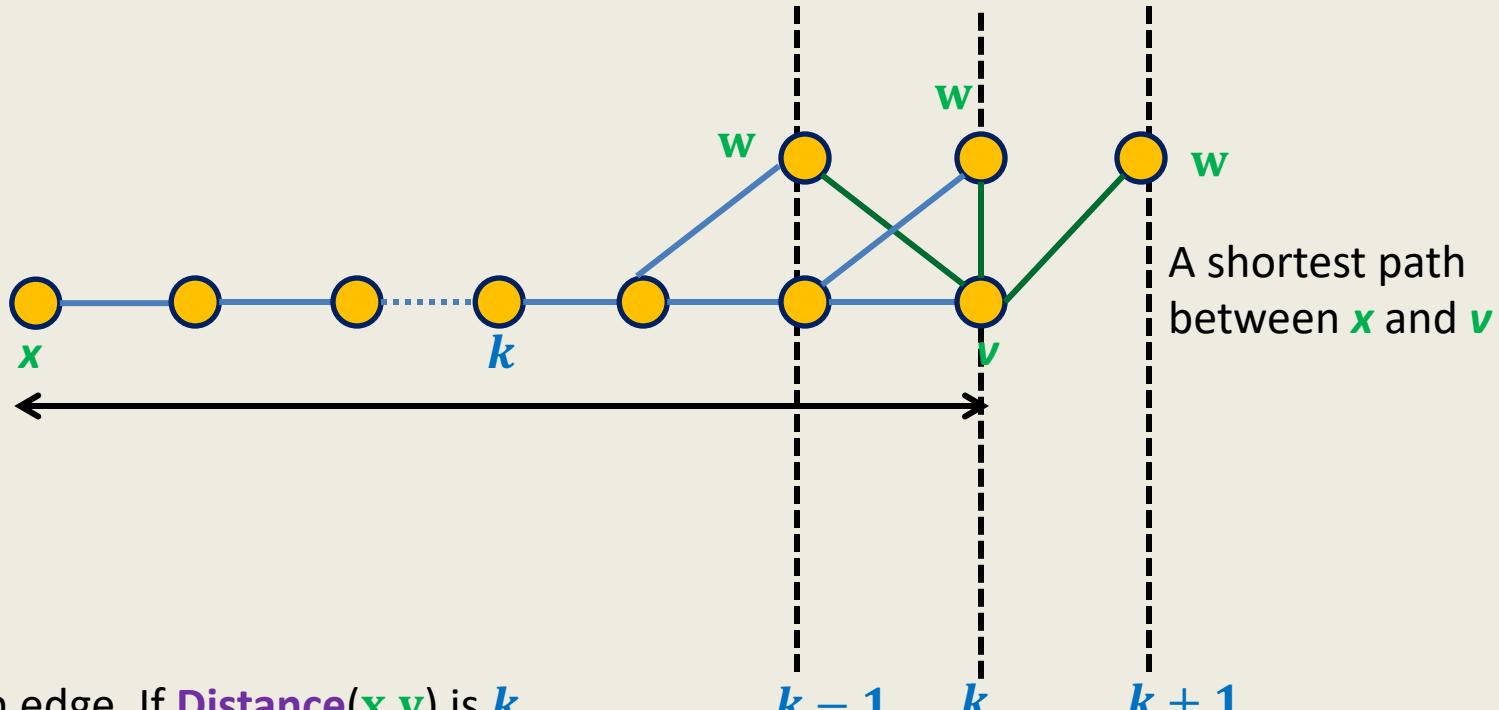
$\text{Length}(P') < \text{Length}(P)$.

Question: What happens if we concatenate P' with edge (v, y) ?

Answer: a path between x and y shorter than the shortest-path $\langle x, \dots, v, y \rangle$.

→ Contradiction.

An important question



Question:

Let (v,w) be an edge. If $\text{Distance}(x,v)$ is k ,

then what can be $\text{Distance}(x,w)$?

Answer: an element from the set $\{k-1, k, k+1\}$ only.

Relationship among vertices at different distances from x

V_0 : Vertices at distance **0** from x = $\{x\}$

V_1 : Vertices at distance **1** from x =

Neighbors of V_0

V_2 : Vertices at distance **2** from x =

Those Neighbors of V_1 which do not belong to V_0 or V_1

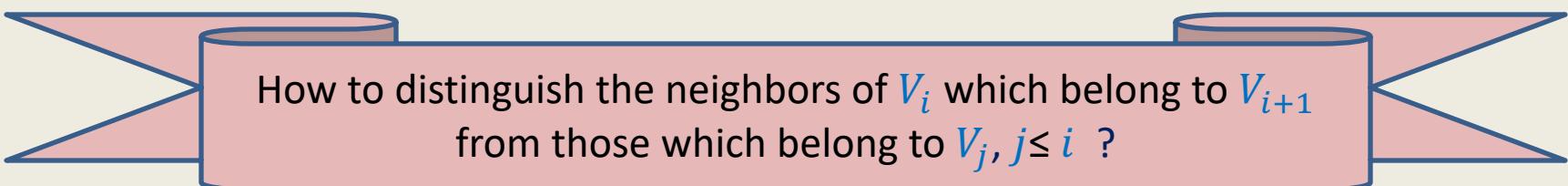
.

.

.

V_{i+1} : Vertices at distance **i+1** from x =

Those Neighbors of V_i which do not belong to V_{i-1} or V_i



How can we compute V_{i+1} ?

Key idea: compute V_i 's in increasing order of i .

Initialize $\text{Distance}[v] \leftarrow \infty$ of each vertex v in the graph.

Initialize $\text{Distance}[x] \leftarrow 0$.

- First compute V_0 .
- Then compute V_1 .
- ...
- Once we have computed V_i , for every neighbor v of a vertex in V_i ,

If v is in V_j for some $j \in \{i, i - 1\}$, then $\text{Distance}[v] =$

a number $\leq i$

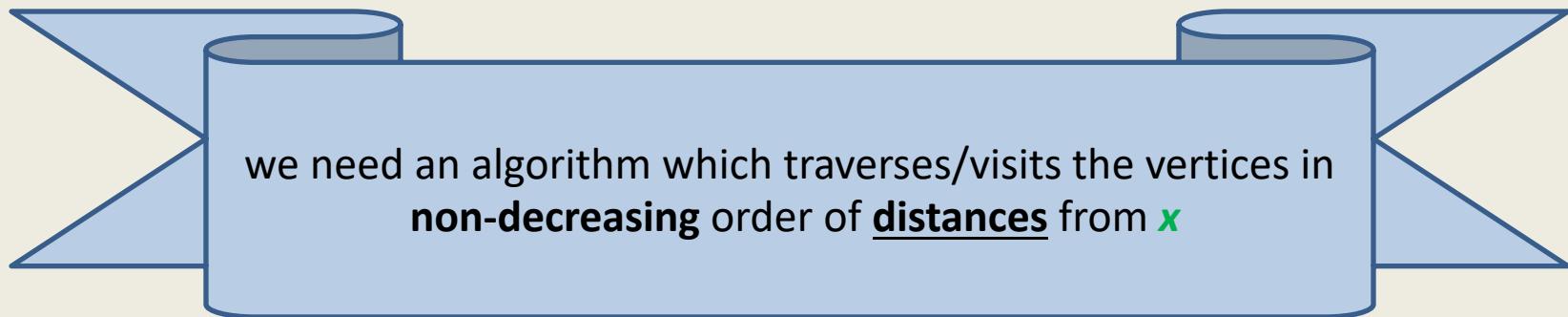
If v is in V_{i+1} , $\text{Distance}[v] =$

∞



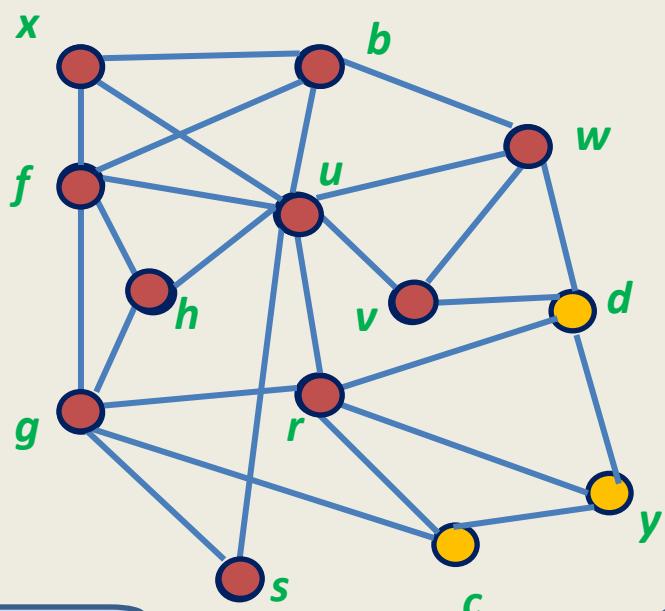
We can thus distinguish the neighbors of V_i which belong to V_{i+1} from those which belong to V_j .

A neat algorithm for computing distances from x

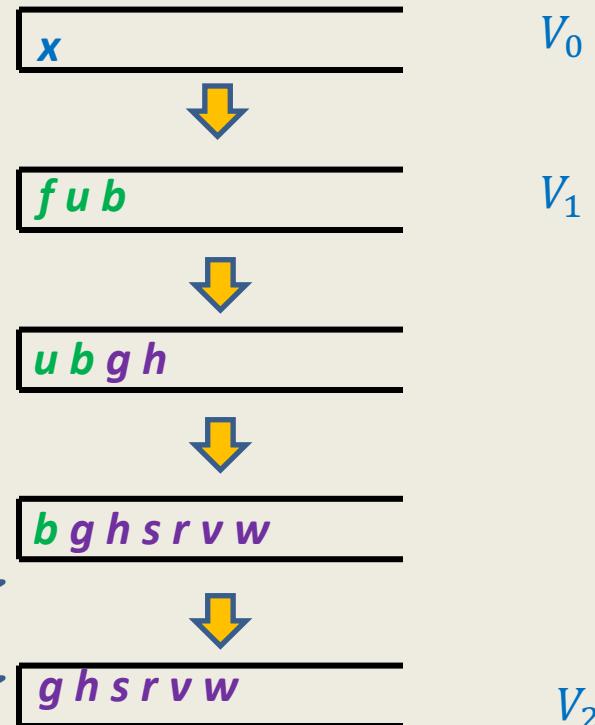


This traversal algorithm is called **BFS** (breadth first search) traversal

Using a queue for traversing vertices in non-decreasing order of distances



Compute distance of vertices from x :



Remove x and for each neighbor of x that was unvisited, mark it visited and put it into queue.

Remove f and for each neighbor of f that was unvisited, mark it visited and put it into queue.

Remove b and for each neighbor of b that was unvisited, mark it visited and put it into queue.

BFS traversal from a vertex

BFS(G, x)

CreateEmptyQueue(Q);

Distance(x) $\leftarrow 0$;

Enqueue(x, Q);

While(Not IsEmptyQueue(Q))

{ **$v \leftarrow Dequeue(Q)$;**

For each neighbor w of v

{

if ($Distance(w) = \infty$)

{ **$Distance(w) \leftarrow Distance(v) + 1$;**

Enqueue(w, Q); ;

}

}

}

Running time of BFS traversal

$\text{BFS}(G, x)$

```
CreateEmptyQueue(Q);  
Distance(x)  $\leftarrow 0$ ;  
Enqueue(x, Q);  
While(      Not IsEmptyQueue(Q) )  
{      v  $\leftarrow$  Dequeue(Q);
```

For each neighbor w of v

{

 if (Distance(w) = ∞)

 { Distance(w) \leftarrow

 Distance(v) + 1

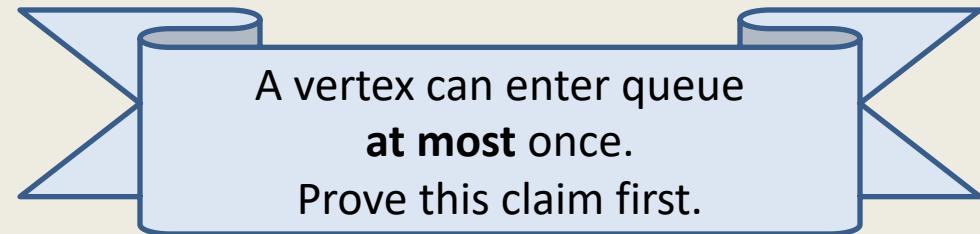
 Enqueue(w, Q);

;

}

}

Running time of $\text{BFS}(x)$ = no. of edges in the connected component of x.



$O(\deg(v))$

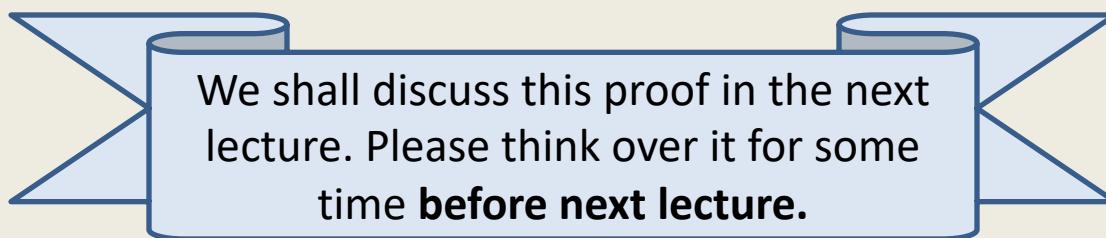
Correctness of BFS traversal

Question: What do we mean by correctness of BFS traversal from vertex x ?

Answer:

- All vertices reachable from x get visited.
- Vertices get visited in the non-decreasing order of their distances from x .
- At the end of the algorithm,

Distance(v) is the distance of vertex v from x .



Data Structures and Algorithms

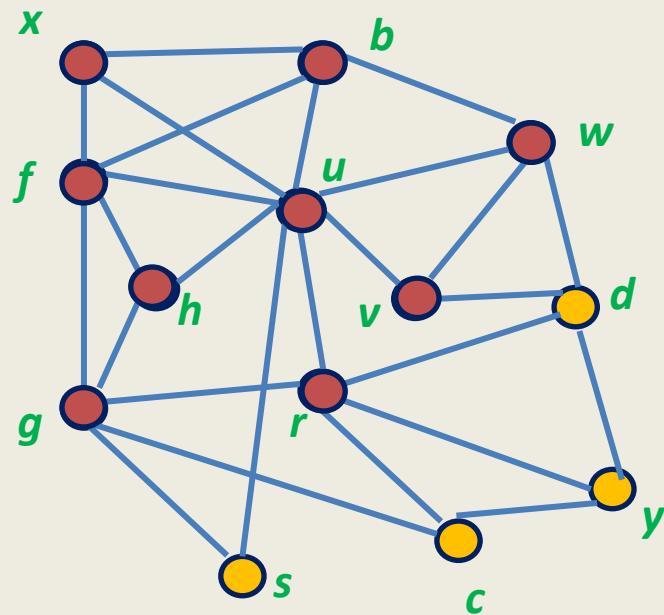
(ESO207)

Lecture 24

- BFS traversal (proof of correctness)
- BFS tree
- An important application of BFS traversal

Breadth First Search traversal

BFS Traversal in Undirected Graphs



BFS traversal of G from a vertex x

```
BFS( $G, x$ )      //Initially for each  $v$ , Distance( $v$ )  $\leftarrow \infty$  , and Visited( $v$ )  $\leftarrow \text{false}$ .  
{   CreateEmptyQueue(Q);  
    Distance( $x$ )  $\leftarrow 0$ ;  
    Enqueue( $x, Q$ );    Visited( $x$ )  $\leftarrow \text{true}$ ;  
    While(Not IsEmptyQueue(Q))  
    {       $v \leftarrow \text{Dequeue}(Q)$ ;  
        For each neighbor  $w$  of  $v$   
        {  
            if (Distance( $w$ ) =  $\infty$ )  
            {              Distance( $w$ )  $\leftarrow \text{Distance}(v) + 1$  ;  Visited( $w$ )  $\leftarrow \text{true}$ ;  
                Enqueue( $w, Q$ );  
            }  
        }  
    }  
}
```

Observations about $\text{BFS}(x)$

Observations:

- Any vertex v enters the queue at most once.
- Before entering the queue, $\text{Distance}(v)$ is updated.
- When a vertex v is dequeued, v processes all its unvisited neighbors as follows
 - its distance is **computed**,
 - It is **enqueued**.
- A vertex v in the queue is surely removed from the queue during the algorithm.

Correctness of BFS traversal

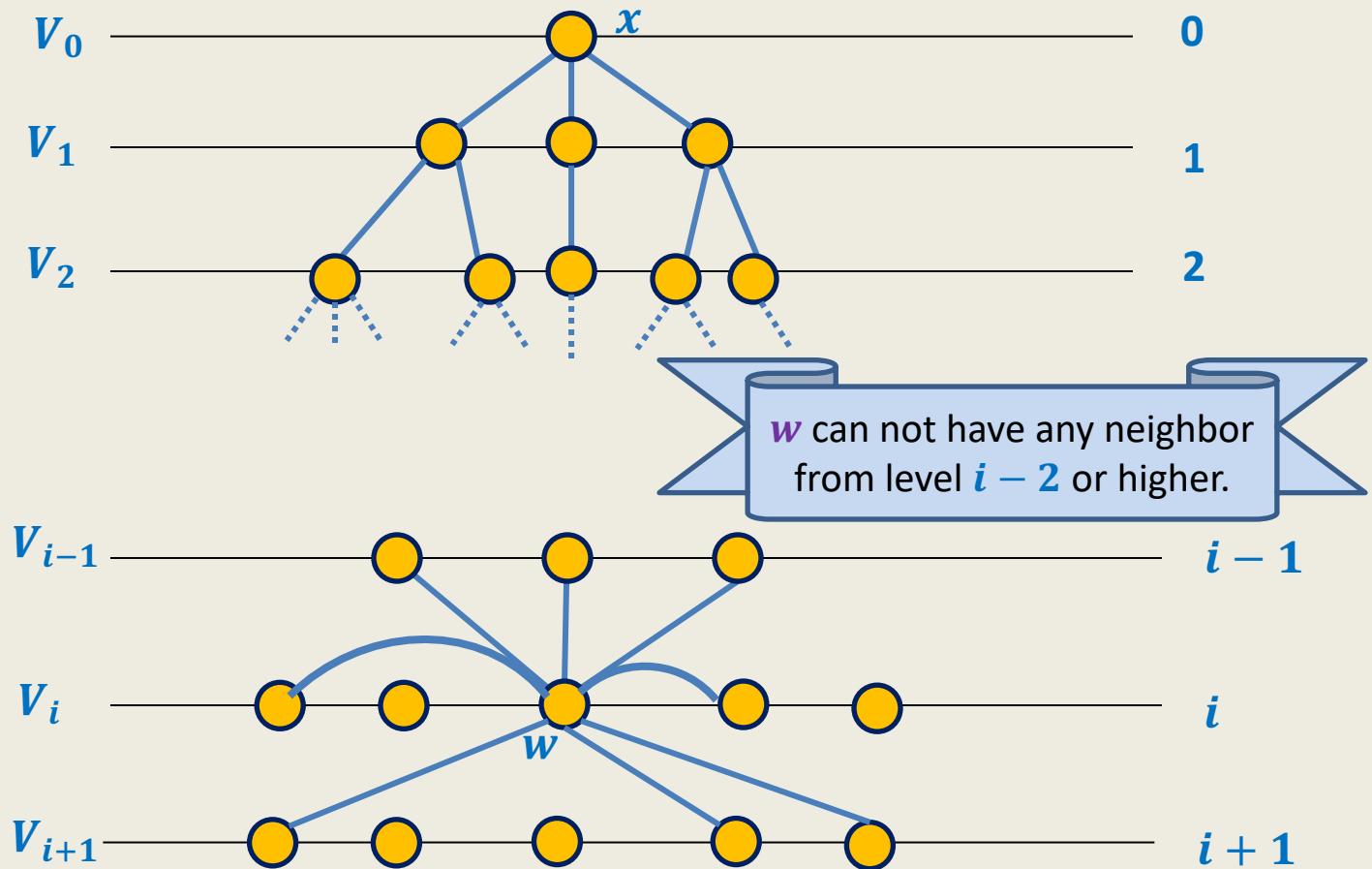
Question: What do we mean by correctness of $\text{BFS}(G, x)$?

Answer:

- All vertices reachable from x get visited.
- Vertices are visited in non-decreasing order of distance from x (Try as exercise).
- At the end of the algorithm, $\text{Distance}(v)$ is the distance of vertex v from x (Try as exercise).

The key idea

Partition the vertices according to their distance from x .



Correctness of $\text{BFS}(x)$ traversal

Part 1

All vertices reachable from x get visited

Proof of Part 1

Theorem: Each vertex v reachable from x gets visited during $\text{BFS}(G, x)$.

Proof:

(By **induction** on **distance from x**)

Inductive Assertion A(i) :

Every vertex v at distance i from x get visited.

Base case: $i = 0$.

x is the only vertex at distance 0 from x .

Right in the beginning of the algorithm $\text{Visited}(x) \leftarrow \text{true}$;

Hence the assertion $A(0)$ is true.

Induction Hypothesis: $A(j)$ is true for all $j < i$.

Induction step: To prove that $A(i)$ is true.

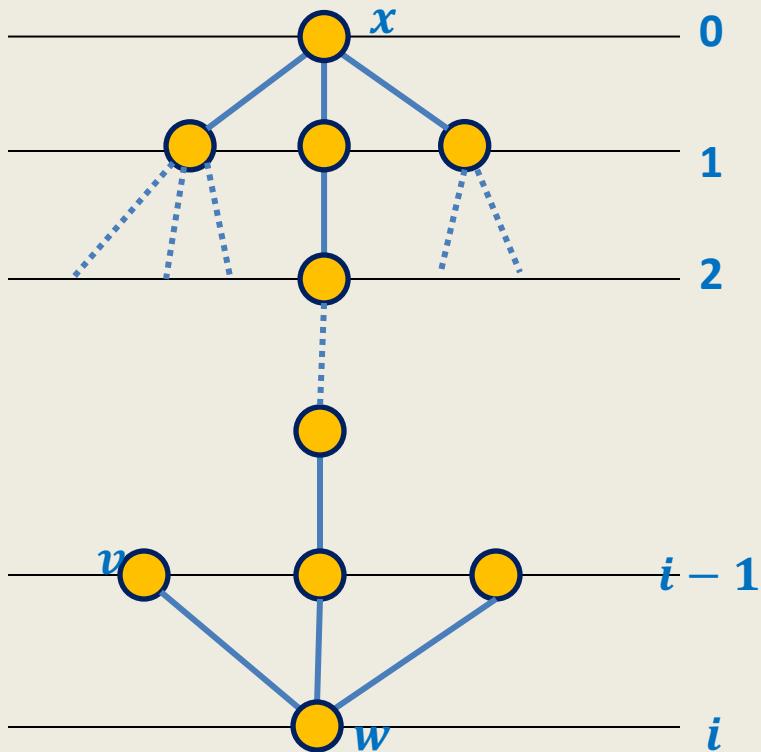
Let $w \in V_i$.

BFS traversal of G from a vertex x

```
BFS( $G, x$ )      //Initially for each  $v$ , Distance( $v$ )  $\leftarrow \infty$  , and Visited( $v$ )  $\leftarrow \text{false}$ .  
{   CreateEmptyQueue(Q);  
    Distance( $x$ )  $\leftarrow 0$ ;  
    Enqueue( $x, Q$ );    Visited( $x$ )  $\leftarrow \text{true}$ ;  
    While(Not IsEmptyQueue(Q))  
    {       $v \leftarrow \text{Dequeue}(Q)$ ;  
        For each neighbor  $w$  of  $v$   
        {  
            if (Distance( $w$ ) =  $\infty$ )  
            {              Distance( $w$ )  $\leftarrow \text{Distance}(v) + 1$  ;  Visited( $w$ )  $\leftarrow \text{true}$ ;  
                Enqueue( $w, Q$ );  
            }  
        }  
    }  
}
```

Induction step:

To prove that $w \in V_i$ is visited during $\text{BFS}(x)$



Let $v \in V_{i-1}$ be any neighbor of w .

By induction hypothesis,

v gets visited during $\text{BFS}(x)$.

So v gets Enqueued.

Hence v gets dequeued.

Focus on the moment when v is dequeued,



v scans all its neighbors and marks all its unvisited neighbors as visited.

Hence w gets visited too

This proves the induction step. If not already visited.

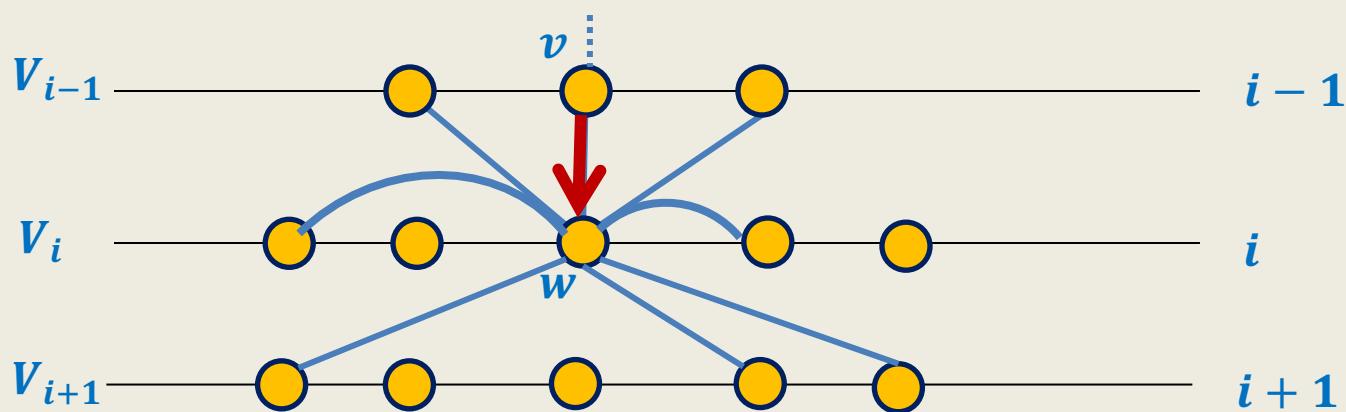
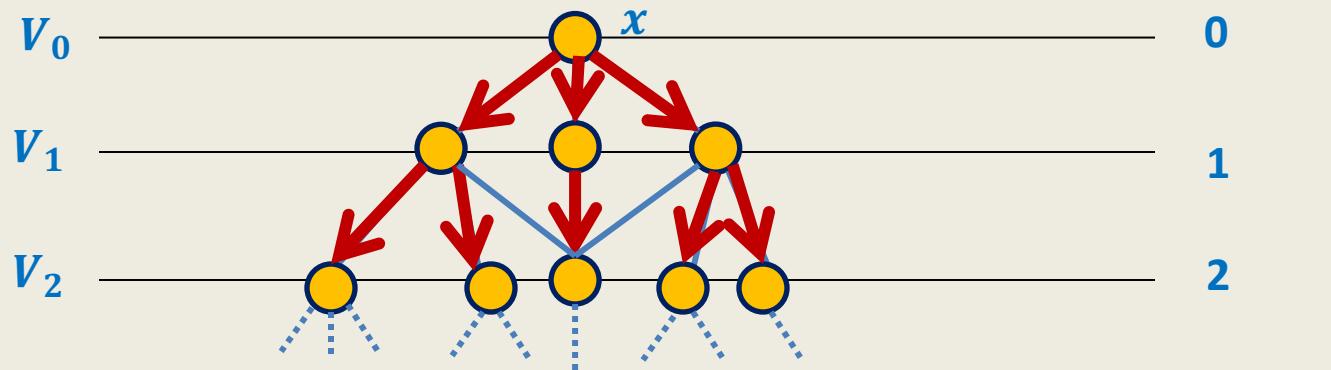
Hence by the principle of mathematical induction, $A(i)$ holds for each i .

This completes the proof of **part 1**.

BFS tree

BFS traversal gives a tree

Perform BFS traversal from x .

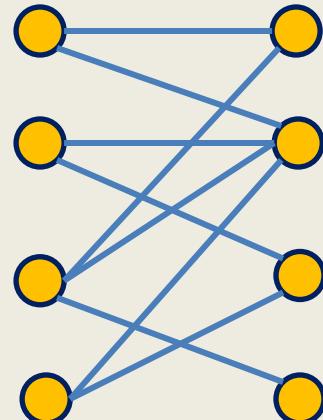


A nontrivial application of BFS traversal

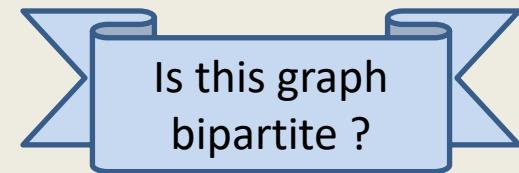
Determining
if a graph is bipartite

Bipartite graph

Definition: A graph $\mathbf{G}=(\mathbf{V},\mathbf{E})$ is said to be bipartite if its vertices can be partitioned into two sets \mathbf{A} and \mathbf{B} such that every edge in \mathbf{E} has one endpoint in \mathbf{A} and another in \mathbf{B} .

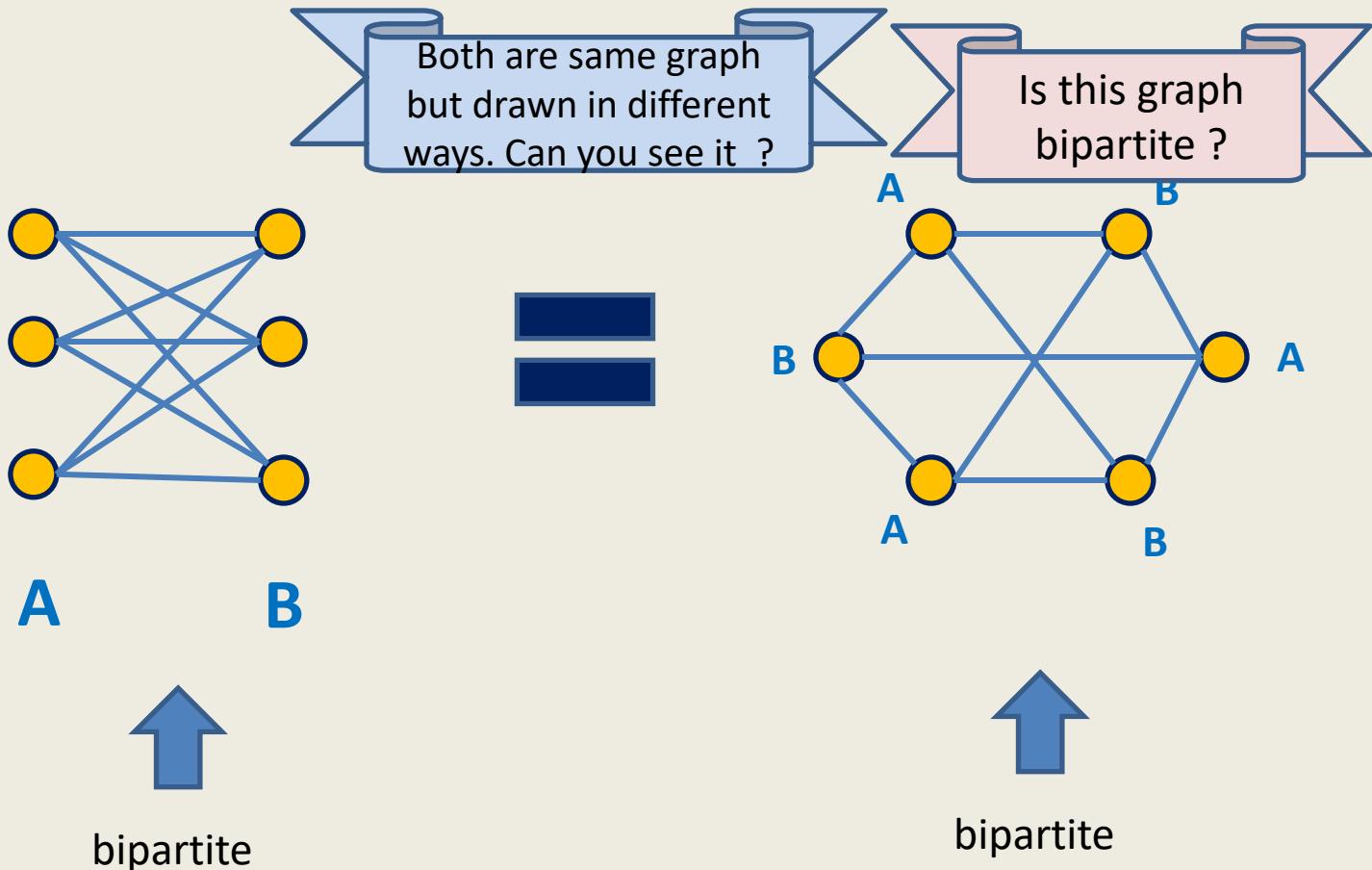


A B



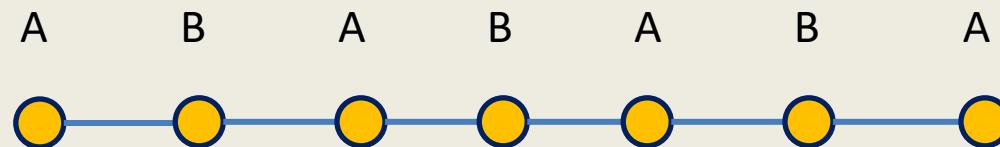
YES

Nontriviality in determining whether a graph is bipartite



Bipartite graph

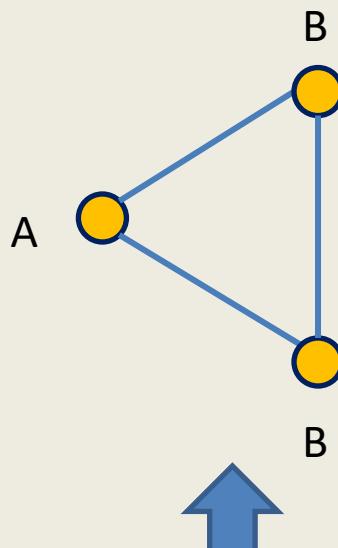
Question: Is a path bipartite ?



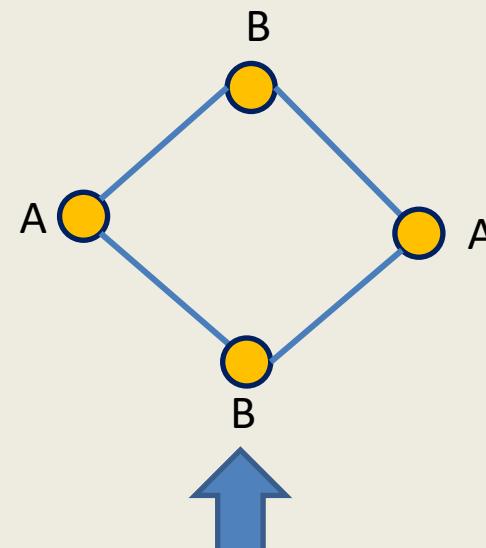
Answer: Yes

Bipartite graph

Question: Is a cycle bipartite ?



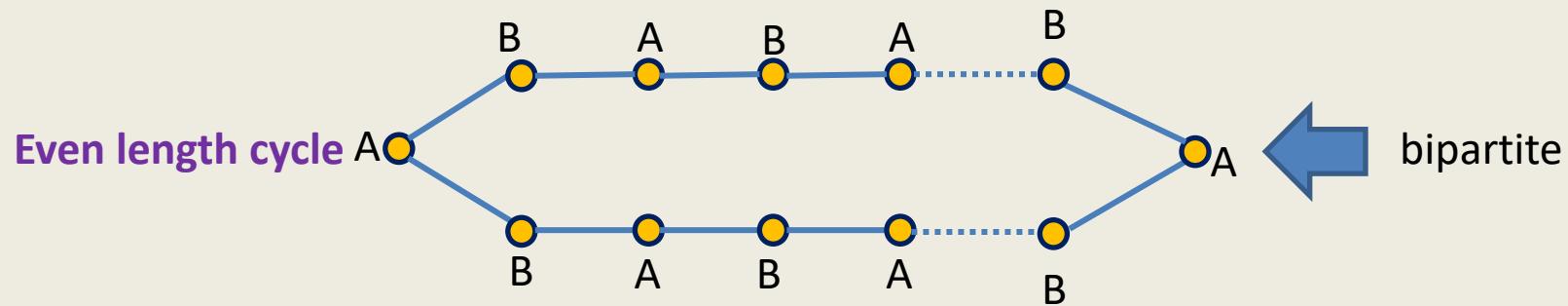
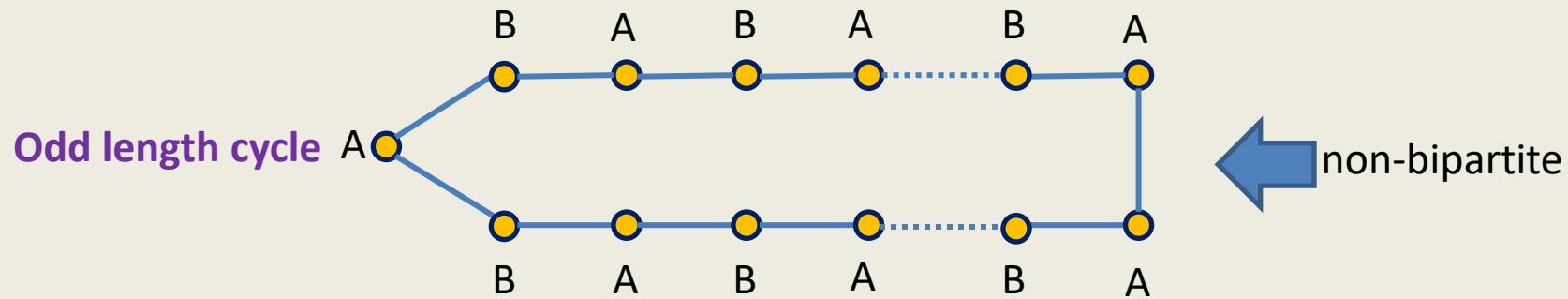
non-bipartite



bipartite

Bipartite graph

Question: Is a cycle bipartite ?



Subgraph

A subgraph of a graph $\mathbf{G}=(\mathbf{V},\mathbf{E})$

is a graph $\mathbf{G}'=(\mathbf{V}',\mathbf{E}')$ such that

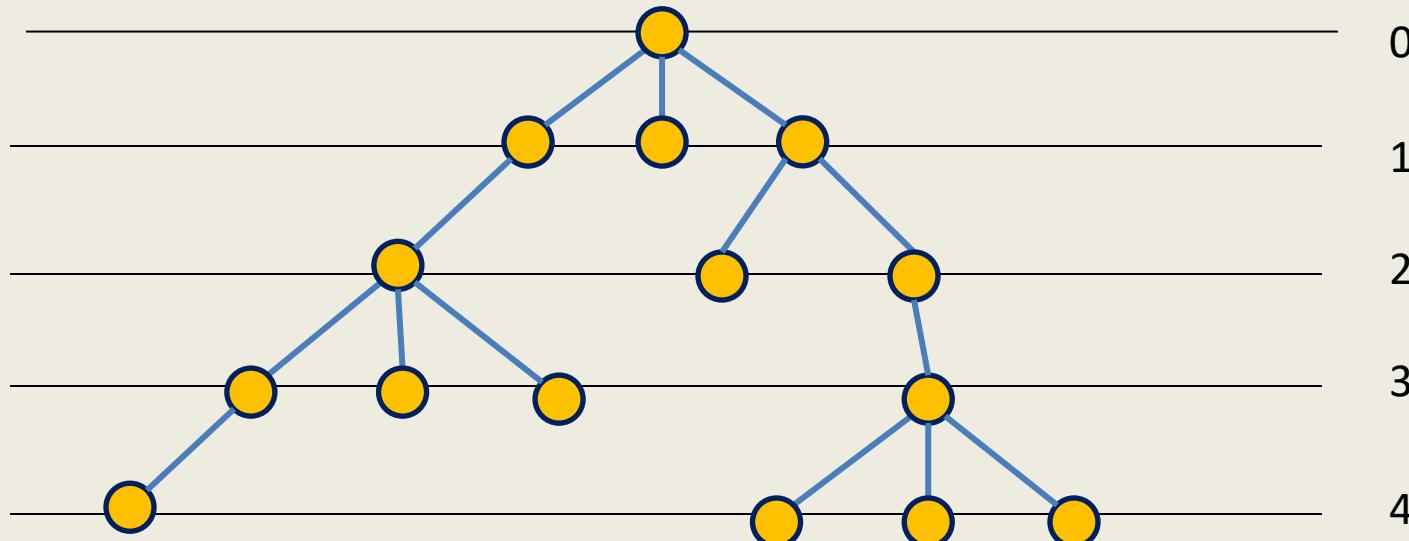
- $\mathbf{V}' \subseteq \mathbf{V}$
- $\mathbf{E}' \subseteq \mathbf{E} \cap (\mathbf{V}' \times \mathbf{V}')$

Question: If \mathbf{G} has a subgraph which is **an odd cycle**, is \mathbf{G} bipartite ?

Answer: **No.**

Bipartite graph

Question: Is a tree bipartite ?



Answer: Yes

Even level vertices: A

Odd level vertices: B

An algorithm for determining if a given graph is bipartite

Assumption:

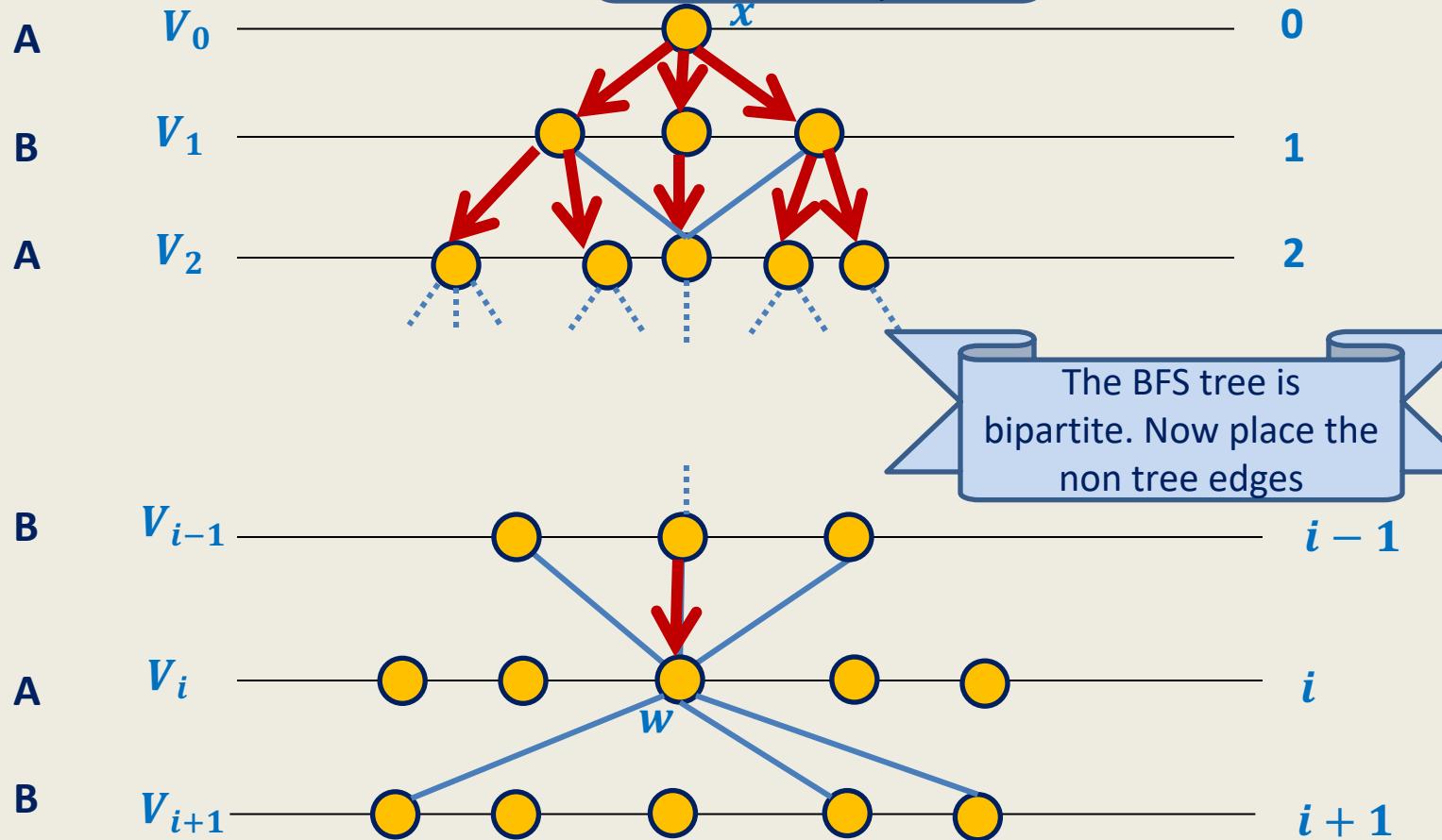
the graph is a single connected component

Compute a BFS tree at any vertex x .

If every nontree edge goes between two consecutive levels, what can we say ?



The graph is bipartite



Observation:

If every non-tree edge goes between two consecutive levels of **BFS** tree, then the graph is bipartite.

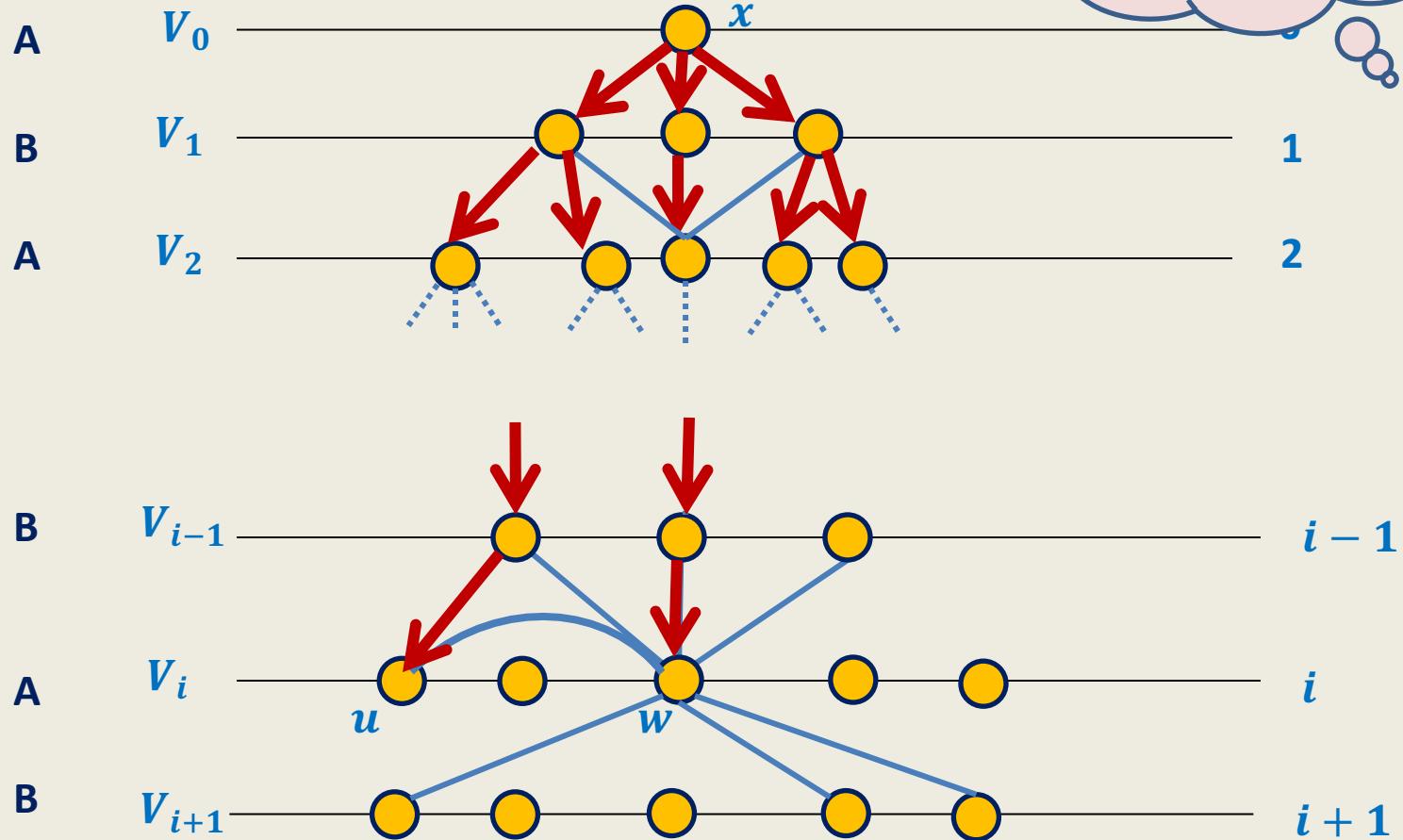
Question:

What if there is an edge with both end points at same level ?

What if there is an edge with both end points at same level ?

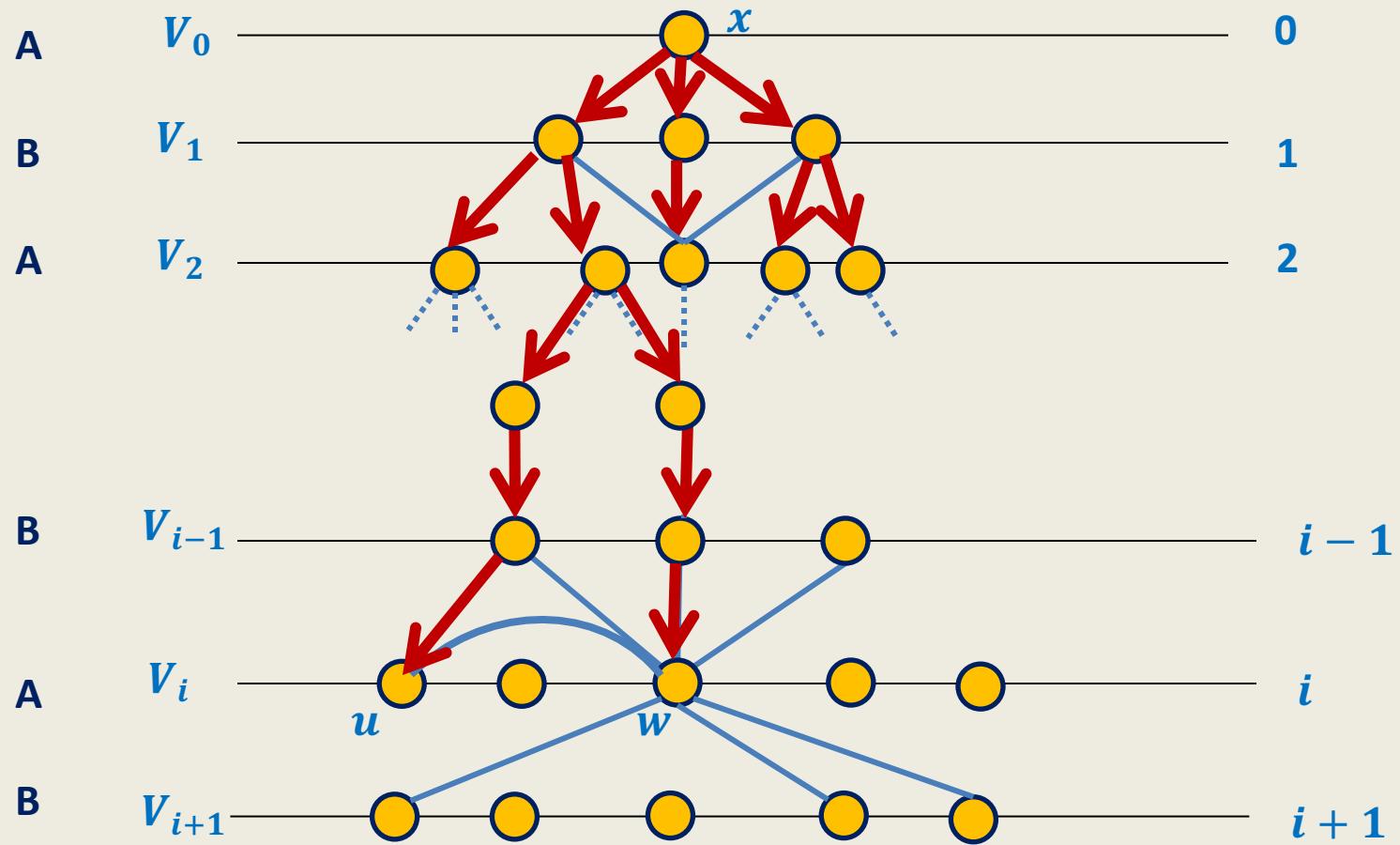
Keep following parent pointer from u and w simultaneously until we reach a common ancestor. What do we get ?

Can you spot an odd length cycle here ?





An odd cycle
containing u and w



Observation:

If there is **any** non-tree edge with **both endpoints at the same level** then the graph has **an odd length cycle**.

Hence the graph is **not** bipartite.

Theorem:

There is an $O(n + m)$ time algorithm to determine if a given graph is **bipartite**.

In the next 3 lectures, we are going to discuss **Depth First Traversal**: the most nontrivial, elegant graph traversal technique with wide applications.

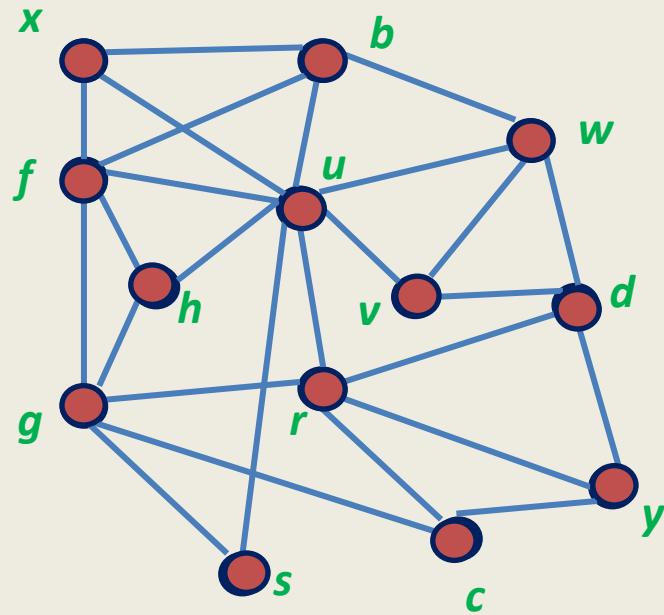
Data Structures and Algorithms

(ESO207)

Lecture 25

- A data structure problem for graphs.
- Depth First Search (DFS) Traversal
- Novel application: computing biconnected components of a graph

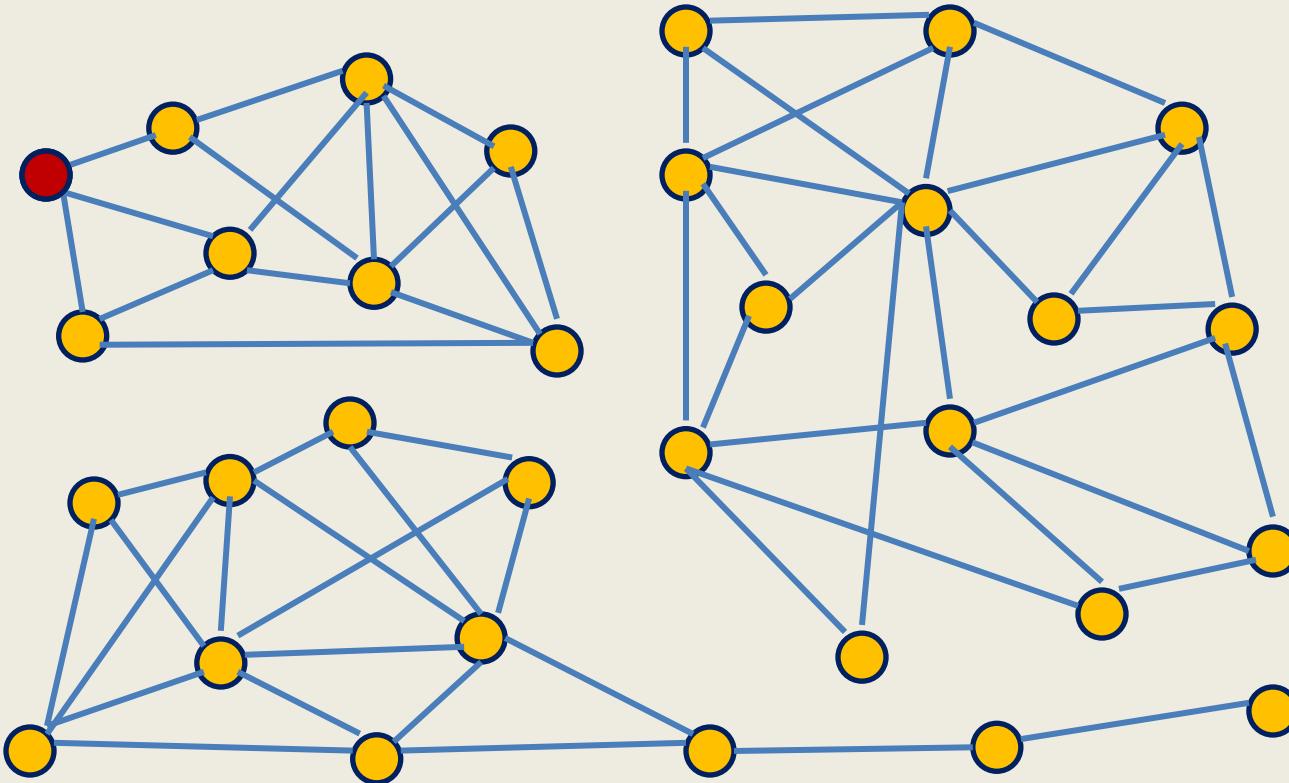
BFS Traversal in Undirected Graphs



Theorem:

BFS Traversal from **x** visits all vertices reachable from **x** in the given graph.

Connectivity problem in a Graph

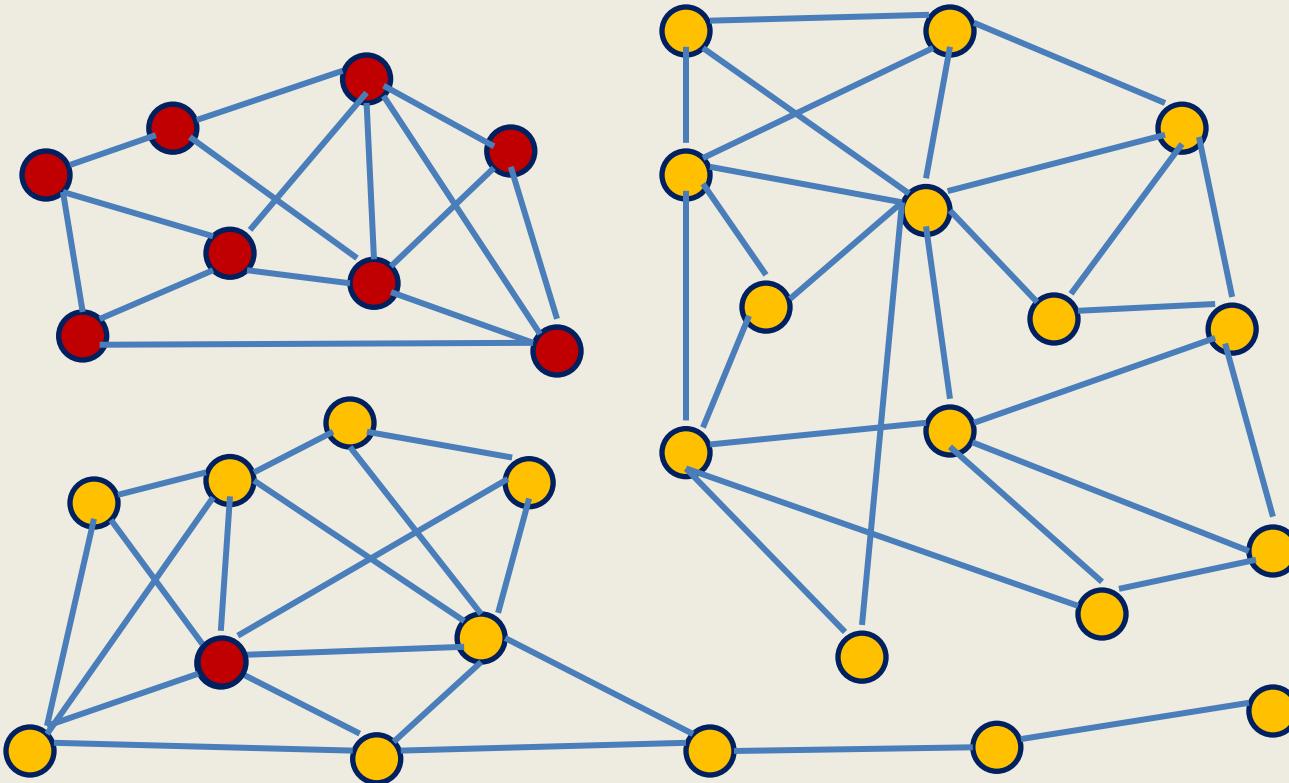


Problem:

Build an $O(n)$ size data structure for a given undirected graph s.t.
the following query can be answered in $O(1)$ time.

Is vertex i reachable from vertex j ?

Connectivity problem in a Graph

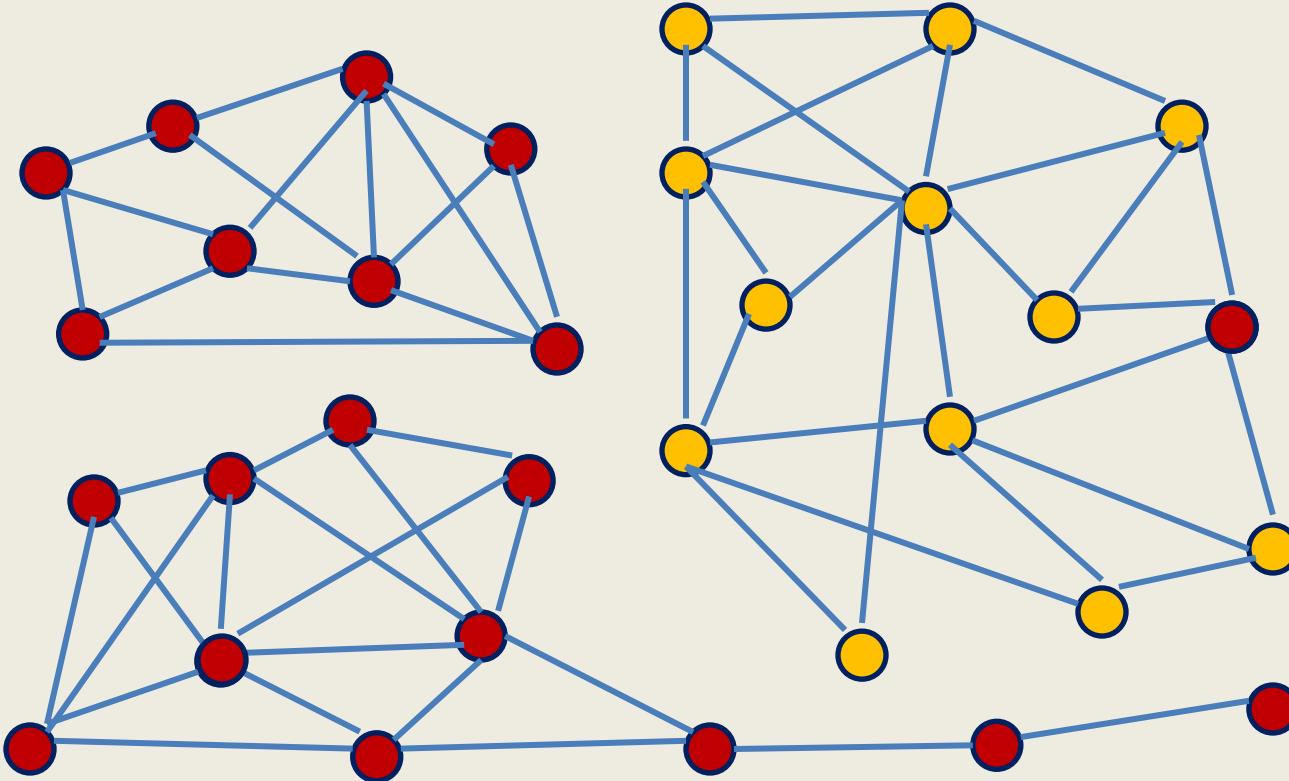


Problem:

Build an $O(n)$ size data structure for a given undirected graph s.t.
the following query can be answered in $O(1)$ time.

Is vertex i reachable from vertex j ?

Connectivity problem in a Graph

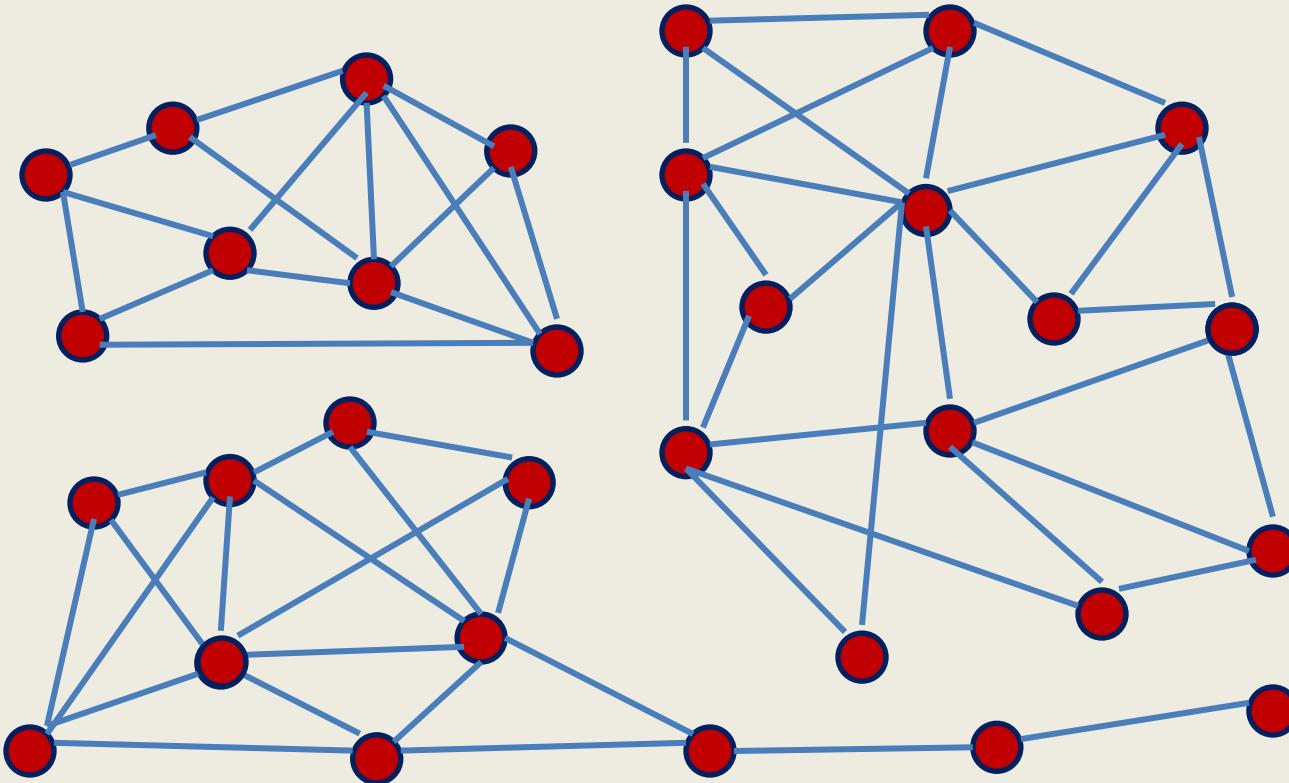


Problem:

Build an $O(n)$ size data structure for a given undirected graph s.t.
the following query can be answered in $O(1)$ time.

Is vertex i reachable from vertex j ?

Connectivity problem in a Graph



Problem:

Build an $O(n)$ size data structure for a given undirected graph s.t.
the following query can be answered in $O(1)$ time.

Is vertex i reachable from vertex j ?

Connectivity problem in a Graph

BFS(x)

```
CreateEmptyQueue(Q);
Visited( $x$ )  $\leftarrow$  true; Label[ $x$ ]  $\leftarrow$   $x$ ;
Enqueue( $x$ , Q);
While(Not IsEmptyQueue(Q))
{
     $v \leftarrow$  Dequeue(Q);
    For each neighbor  $w$  of  $v$ 
    {
        if (Visited( $w$ ) = false)
        {
            Visited( $w$ )  $\leftarrow$  true ; Label[ $w$ ]  $\leftarrow$   $x$  ;
            Enqueue( $w$ , Q);
        }
    }
}
```

Connectivity(G)

```
{ For each vertex  $x$  Visited( $x$ )  $\leftarrow$  false; Create an array Label;
    For each vertex  $v$  in  $V$ 
        If (Visited( $v$ ) = false) BFS( $x$ );
    return Label;
}
```

Analysis of the algorithm

Output of the algorithm:

Array **Label[]** of size **O(*n*)** such that

Label[x]=Label[y] if and only if **x** and **y** belong to same connected component.

Running time of the algorithm :

$$O(n + m)$$

Theorem:

An undirected graph can be processed in **O(*n* + *m*)** time
to build an **O(*n*)** size data structure
which can answer any connectivity query in **O(1)** time.

Is there alternate way to traverse a graph ?

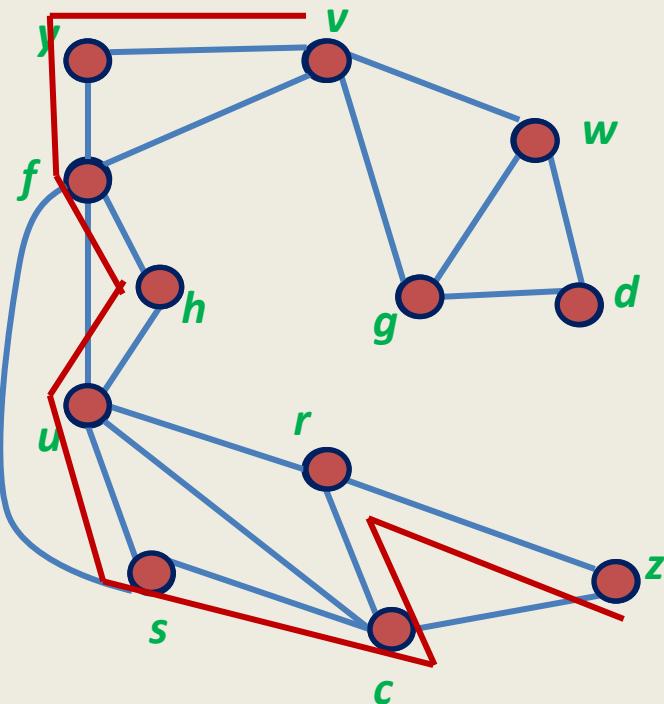


Try to get inspiration from your “human executable method”
to design
“**a machine executable algorithm**” for traversing a graph.



How will you do it without any map or
asking any one for directions ?

A recursive way to traverse a graph



We need a **mechanism** to

- **Avoid** visiting a vertex multiple times
We can solve it by keeping a label “**Visited**” for each vertex like in BFS traversal.
- **Trace back** in case we reach a dead end.

Recursion takes care of it ☺

DFS traversal of G

$\text{DFS}(v)$

```
{ Visited(v)  $\leftarrow$  true;  
  For each neighbor w of v  
  {    if (Visited(w) = false)  
      {        DFS(w);  
          .....;  
      }  
      .....;  
  }  
}
```

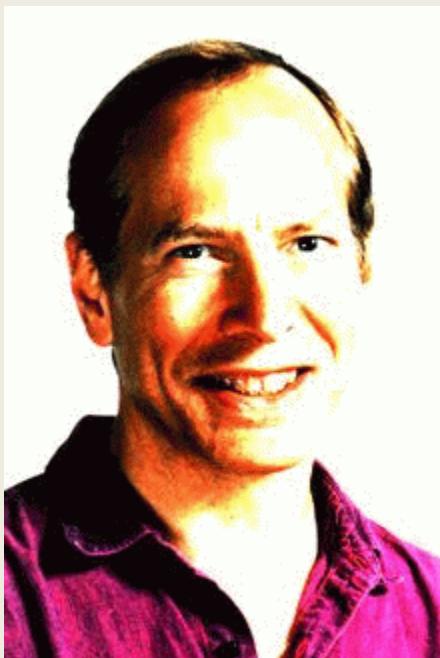
Add a few extra statements here
to get an efficient algorithm
for a new problem ☺

$\text{DFS-traversal}(G)$

```
{ For each vertex  $v \in V$  { Visited(v)  $\leftarrow$  false; }  
  For each vertex  $v \in V$  {  
    If (Visited(v) = false) DFS(v);  
  }  
}
```

DFS traversal

a **milestone** in the area of graph algorithms



Invented by **Robert Endre Tarjan** in 1972

- One of the **pioneers** in the field of data structures and algorithms.
- Got the **Turing award** (equivalent to **Nobel prize**) for his fundamental contribution to data structures and algorithms.
- **DFS traversal** has proved to be a very powerful tool for graph algorithms.

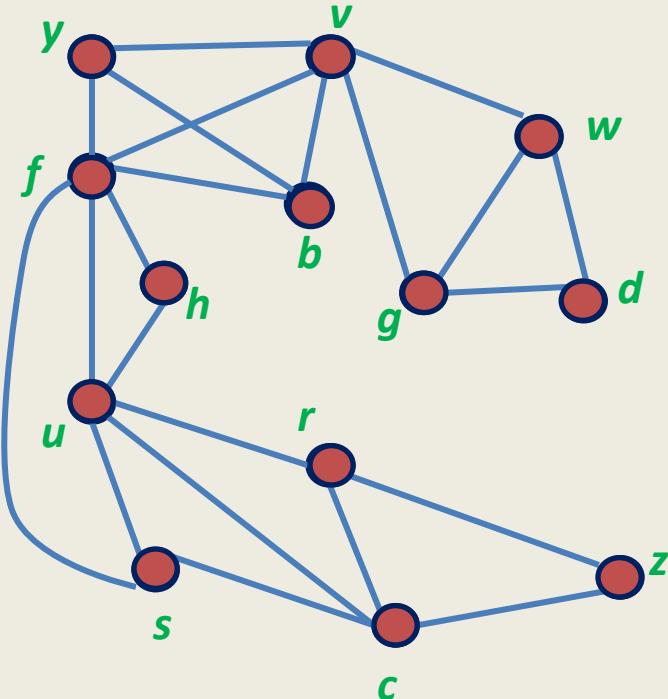
DFS traversal

a **milestone** in the area of graph algorithms

Applications:

- **Connected** components of a graph.
- **Biconnected** components of a graph.
(Is the connectivity of a graph robust to failure of any node ?)
- Finding **bridges** in a graph.
(Is the connectivity of a graph robust to failure of any edge)
- **Planarity testing** of a graph
(Can a given graph be embedded on a plane so that no two edges intersect ?)
- **Strongly connected** components of a directed graph.
(the extension of connectivity in case of directed graphs)

Insight into DFS through an example



$\text{DFS}(v)$ begins

v visits *y*

$\text{DFS}(y)$ begins

y visits *f*

$\text{DFS}(f)$ begins

f visits *b*

$\text{DFS}(b)$ begins

all neighbors of *b* are already visited

$\text{DFS}(b)$ ends

control returns to $\text{DFS}(f)$

f visits *h*

$\text{DFS}(h)$ begins

.... and so on

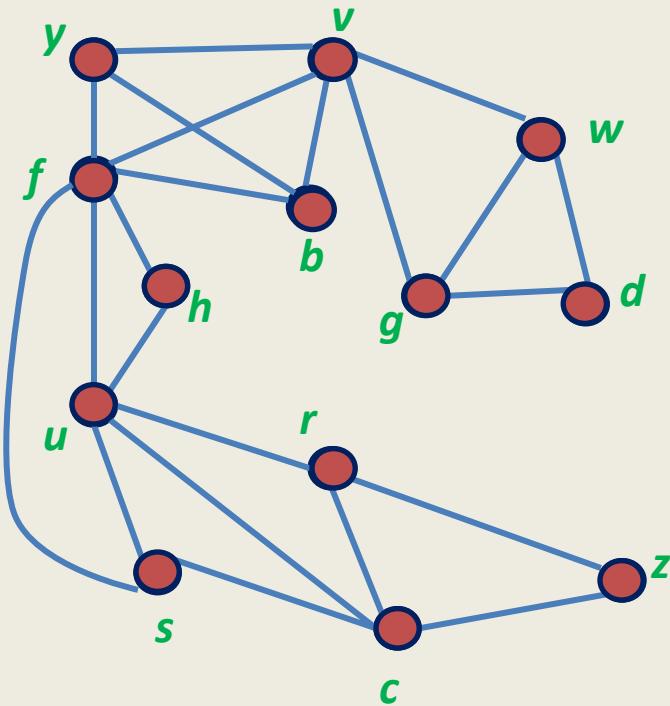
After visiting *z*, control returns to $r \rightarrow c \rightarrow s \rightarrow u \rightarrow h \rightarrow f \rightarrow y \rightarrow v$

v visits *w*

$\text{DFS}(w)$ begins

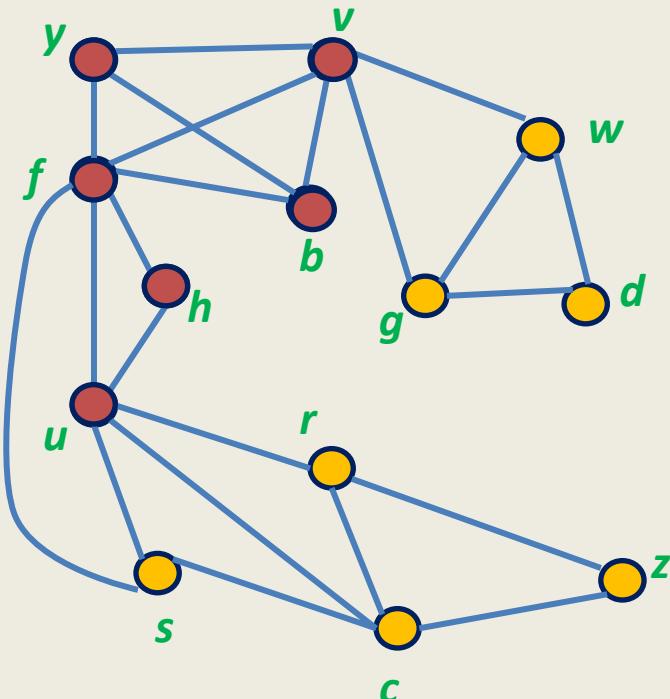
.... and so on

Insight into DFS through an example



Observation1: (Recursive nature of DFS)
If $\text{DFS}(v)$ invokes $\text{DFS}(w)$, then
 $\text{DFS}(w)$ finishes **before** $\text{DFS}(v)$.

Insight into DFS through an example

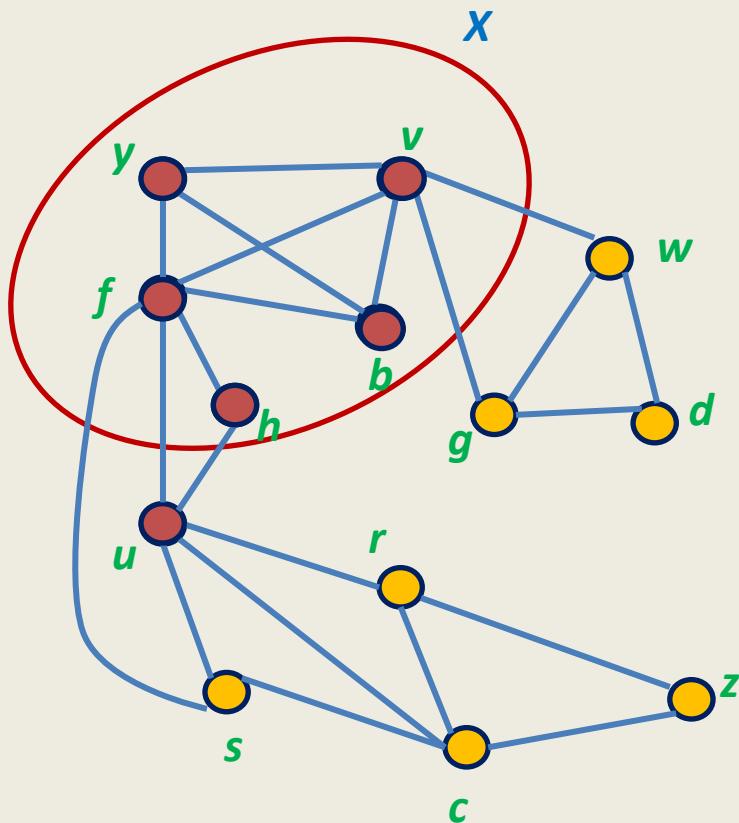


Question :

When **DFS** reaches a vertex **u**, what is the role of vertices already visited ?

The traversal will not proceed along the vertices which are **already visited**. Hence the visited vertices act as a **barrier** for the traversal from **u**.

Insight into DFS through an example



Observation 2:

Let X be the set of vertices visited before **DFS** traversal reaches vertex u for the first time.

The **DFS(u)** pursued now is like

fresh **DFS(u)** executed in graph $G \setminus X$.

NOTE:

$G \setminus X$ is the graph G after removal of all vertices X along with their edges.

Proving that $\text{DFS}(v)$ visits all vertices reachable from v

By **induction** on the

size of connected component of v

Can you figure out the **inductive assertion** now?

Think over it. It is given on the following slide...

Inductive assertion

A(*i*):

If a connected component has **size = *i***, then **DFS** from any of its vertices **will visit** all its vertices.

PROOF:

Base case: *i* = 1.

The component is $\{v\}$ and the first statement of **DFS(*v*)** marks it visited.

So **A(1)** holds.

Induction hypothesis:

If a connected component has **size < *i***, then **DFS** from any of its vertices **will visit** all its vertices.

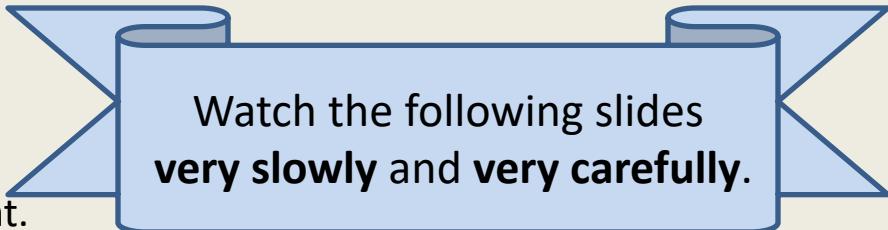
Induction step:

We have to prove that **A(*i*)** holds.

Consider any connected component of size *i*.

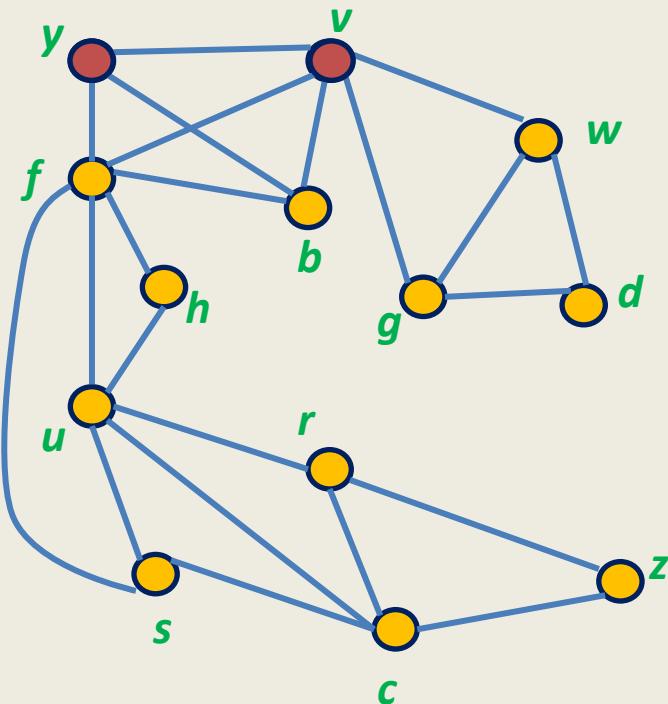
Let V^* be the set of its vertices. $|V^*| = i$.

Let *v* be any vertex in the connected component.



Watch the following slides
very slowly and very carefully.

DFS(v)



Let y be the first neighbor visited by v .

$B =$ the set of vertices such that **every path** from y to them passes through v .

$$C = V^* \setminus B.$$

$$B = \{v, g, w, d\}$$

$|B| < i$ since $y \notin B$

$$C = \{y, b, f, h, u, s, c, r, z\}$$

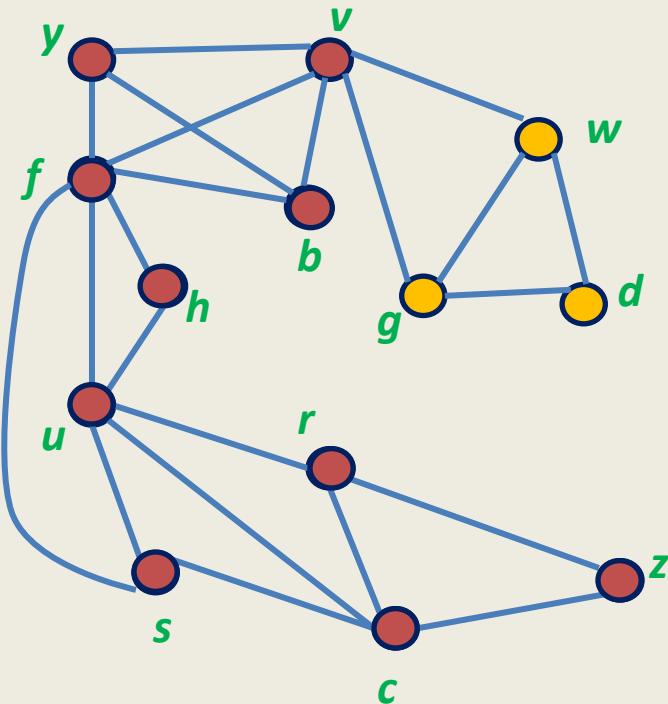
$|C| < i$ since $v \notin C$

Question: What is $\text{DFS}(y)$ like ?

Answer: $\text{DFS}(y)$ in $G \setminus \{v\}$.

Question: What is the connected component of y in $G \setminus \{v\}$?

DFS(v)



Let y be the first neighbor visited by v .

$B =$ the set of vertices such that **every path** from y to them passes through v .

$$C = V^* \setminus B.$$

$$B = \{v, g, w, d\}$$

$|B| < i$ since $y \notin B$

$$C = \{y, b, f, h, u, s, c, r, z\}$$

$|C| < i$ since $v \notin C$

Question: What is $\text{DFS}(y)$ like ?

Answer: $\text{DFS}(y)$ in $G \setminus \{v\}$.

Question: What is the connected component of y in $G \setminus \{v\}$?

Answer: C .

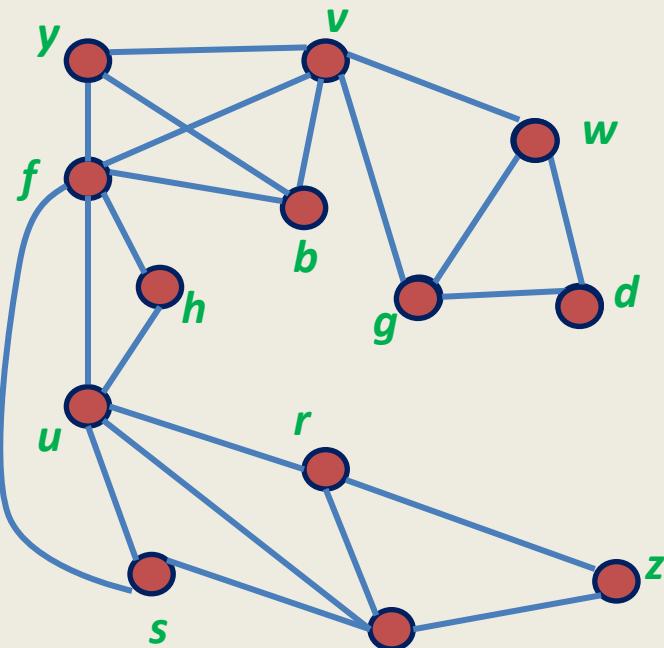
$|C| < i$, so by I.H., $\text{DFS}(y)$ visits entire set C & we return to v .

Question: What is $\text{DFS}(v)$ like when $\text{DFS}(y)$ finishes ?

Answer: $\text{DFS}(v)$ in $G \setminus C$.

Question: What is the connected component of v in $G \setminus C$?

DFS(v)



Hence entire component
of v gets visited

Let y be the first neighbor visited by v .

$B =$ the set of vertices such that **every path** from y to them passes through v .

$$C = V^* \setminus B.$$

$$B = \{v, g, w, d\}$$

$|B| < i$ since $y \notin B$

$$C = \{y, b, f, h, u, s, c, r, z\}$$

$|C| < i$ since $v \notin C$

Question: What is $\text{DFS}(y)$ like ?

Answer: $\text{DFS}(y)$ in $G \setminus \{v\}$.

Question: What is the connected component of y in $G \setminus \{v\}$?

Answer: C .

$|C| < i$, so by I.H., $\text{DFS}(y)$ visits entire set C & we return to v .

Question: What is $\text{DFS}(v)$ like when $\text{DFS}(y)$ finishes ?

Answer: $\text{DFS}(v)$ in $G \setminus C$.

Question: What is the connected component of v in $G \setminus C$?

Answer: B .

$|B| < i$, so by I.H., $\text{DFS}(v)$ pursued after finishing $\text{DFS}(y)$ visits entire set B .

Theorem: $\text{DFS}(v)$ visits all vertices of the connected component of v .

Homework:

Use **DFS** traversal to compute all connected components of a given **G** in time **$O(m + n)$** .

Data Structures and Algorithms

(ESO207)

Lecture 26

- Depth First Search (**DFS**) Traversal
- **DFS Tree**
- **Novel application:** computing **biconnected components of a graph**

DFS traversal of G

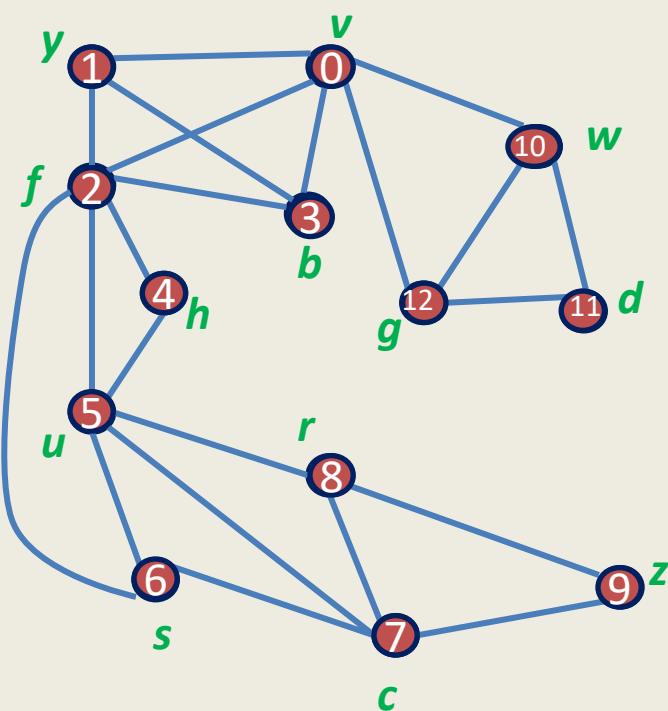
DFS(v)

```
{ Visited( $v$ )  $\leftarrow$  true; DFN[ $v$ ]  $\leftarrow$  dfn ++;  
    For each neighbor  $w$  of  $v$   
    {      if (Visited( $w$ ) = false)  
        { DFS( $w$ ) ;  
            .....;  
        }  
        .....;  
    }  
}
```

DFS-traversal(G)

```
{ dfn  $\leftarrow$  0;  
    For each vertex  $v \in V$  { Visited( $v$ )  $\leftarrow$  false }  
    For each vertex  $v \in V$  { If (Visited( $v$ ) = false) DFS( $v$ ) }  
}
```

DFN number

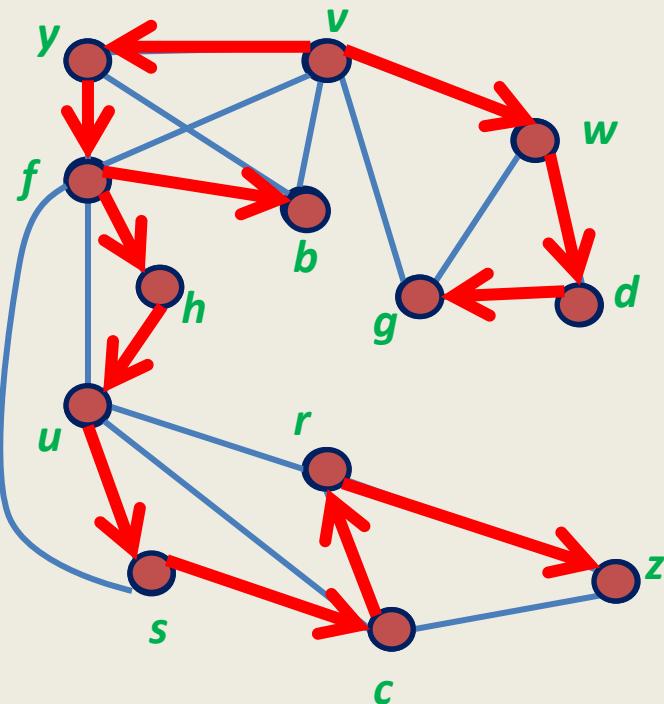


DFN[*x*] :

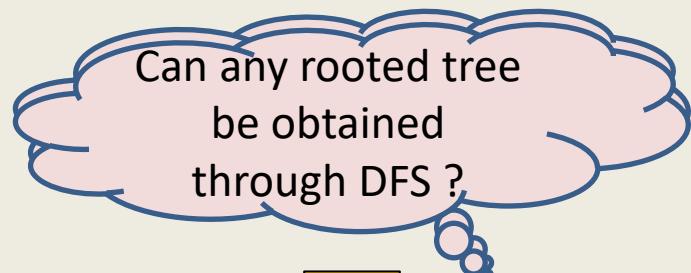
The number at which *x* gets visited during DFS traversal.

DFS tree

$\text{DFS}(v)$ computes a tree rooted at v

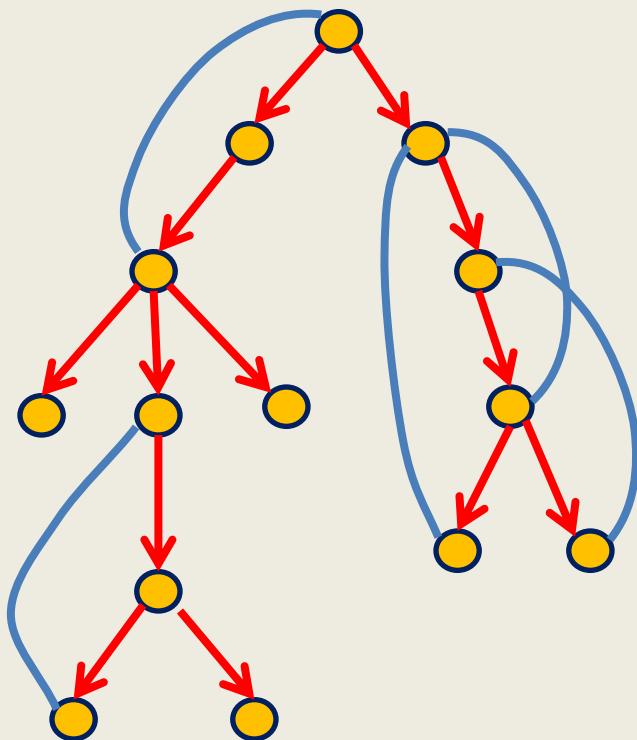


A DFS tree rooted at v



No

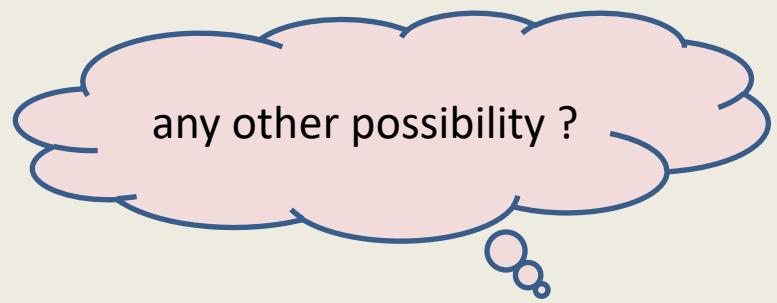
How will an edge appear in DFS traversal ?



- as a **tree-edge**.

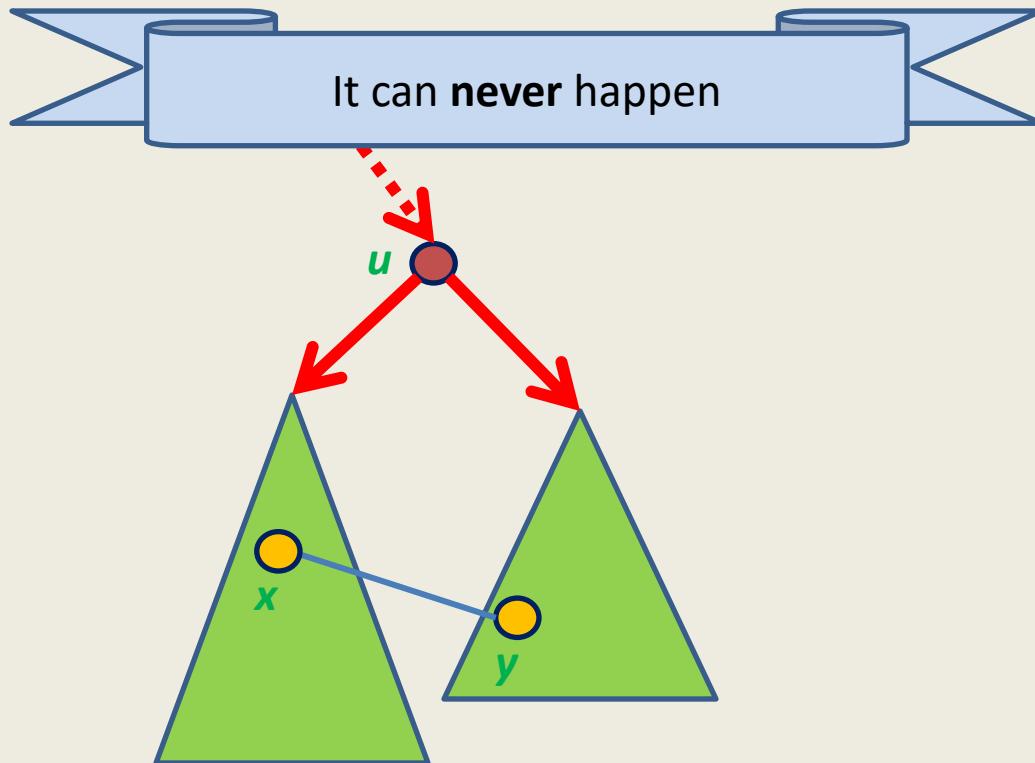
If the edge is a **non-tree** edge :

- Edge between **ancestor** and **descendant** in DFS tree.



No

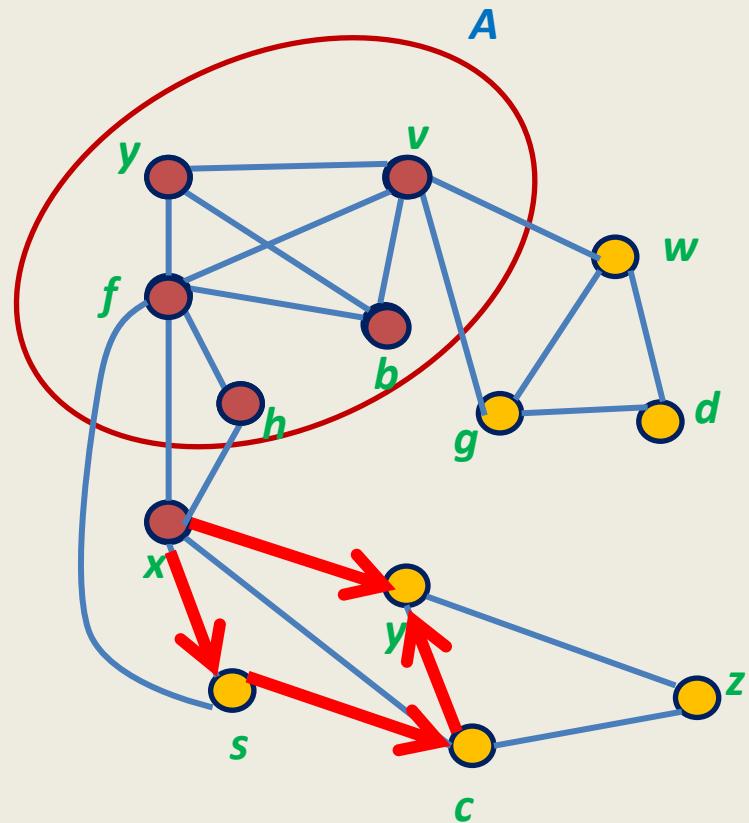
How will an edge appear in DFS traversal ?



How will an edge appear in DFS traversal ?



How will an edge appear in DFS traversal ?



How will an edge appear in DFS traversal ?

A short proof:

Let (x,y) be a non-tree edge.

Let x get visited before y .

Question:

If we remove all vertices visited prior to x , does y still lie in the connected component of x ?

Answer: yes.

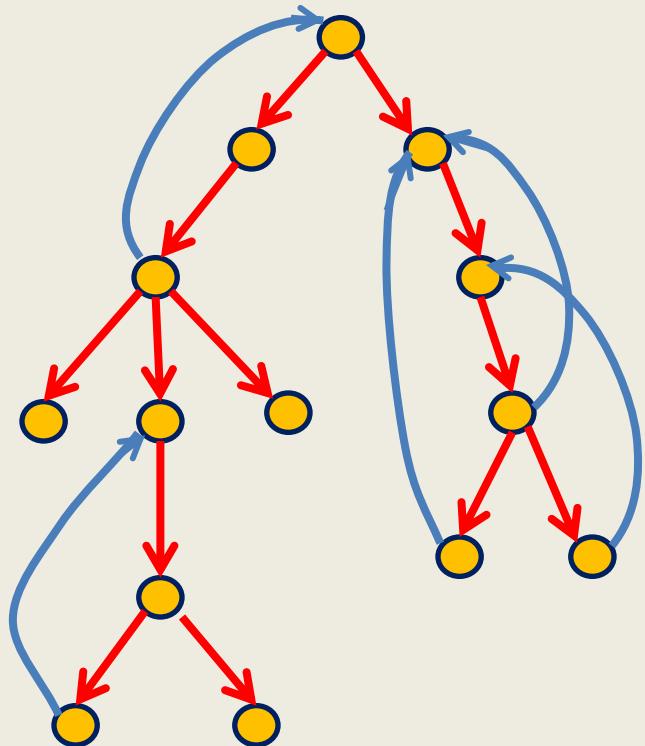


DFS pursued from x will have a path to y in DFS tree.

Hence x must be ancestor of y in the DFS tree.

Always remember

the following **picture** for DFS traversal



non-tree edge → **back** edge

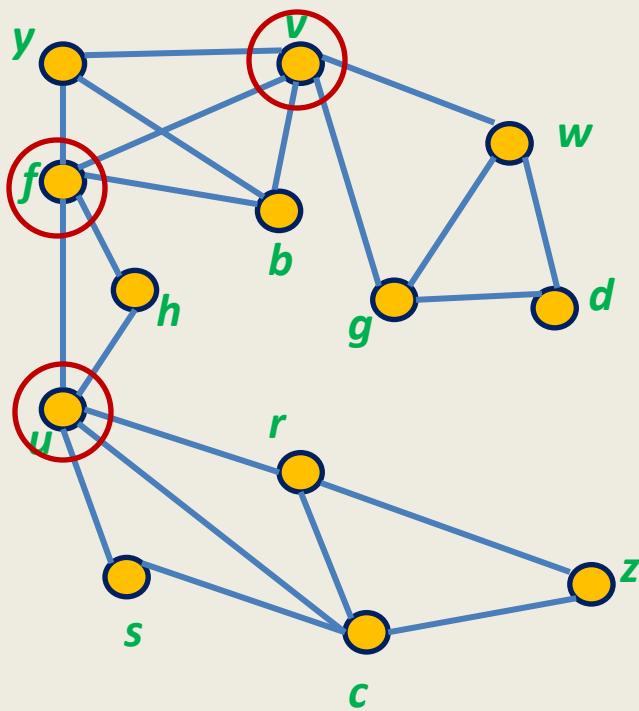
This is called **DFS representation** of the graph.
It plays a key role in the design of every efficient algorithm.

A novel application of DFS traversal

Determining if a graph G is **biconnected**

Definition: A connected graph is said to be **biconnected** if there does not exist any vertex whose removal disconnects the graph.

Motivation: To design **robust** networks
(immune to any single node failure).



Is this graph biconnected ?

No.

A trivial algorithms for checking bi-connectedness of a graph

- For each vertex v , determine if $G \setminus \{v\}$ is connected
(One may use either **BFS** or **DFS** traversal here)

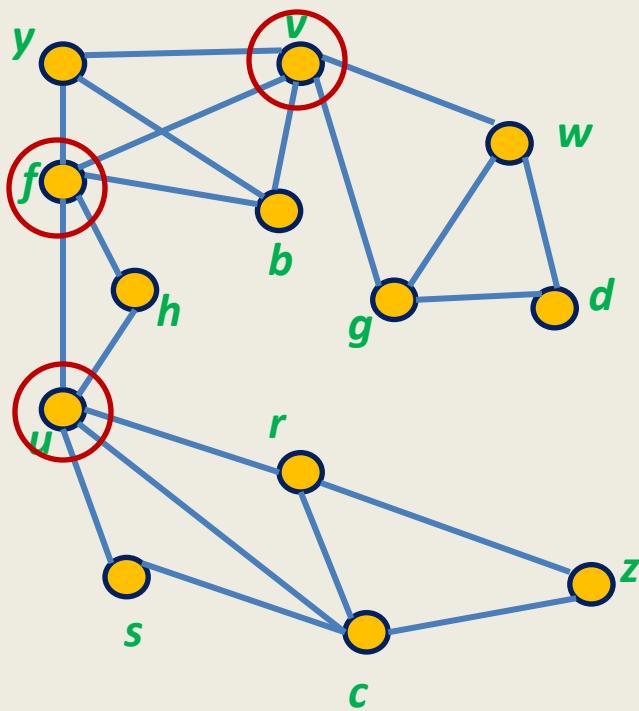
Time complexity of the trivial algorithm : **O(mn)**

An $\mathbf{O(m + n)}$ time algorithm

A single DFS traversal

An $\mathbf{O}(m + n)$ time algorithm

- A formal **characterization** of the problem.
(articulation points)
- Exploring relationship between articulation point & DFS tree.
- Using the relation **cleverly** to design an efficient algorithm.



This graph is NOT **biconnected**

The removal of any of $\{v, f, u\}$ can destroy connectivity.

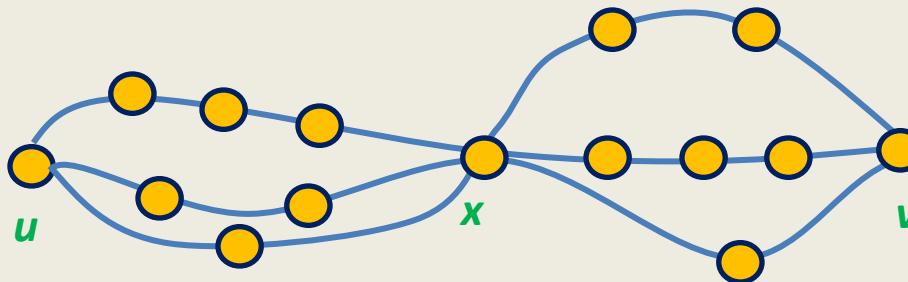
v, f, u are called the **articulation points** of G .

A formal definition of articulation point

Definition: A vertex x is said to be **articulation point** if

$\exists \ u, v$ different from x

such that every path between u and v passes through x .

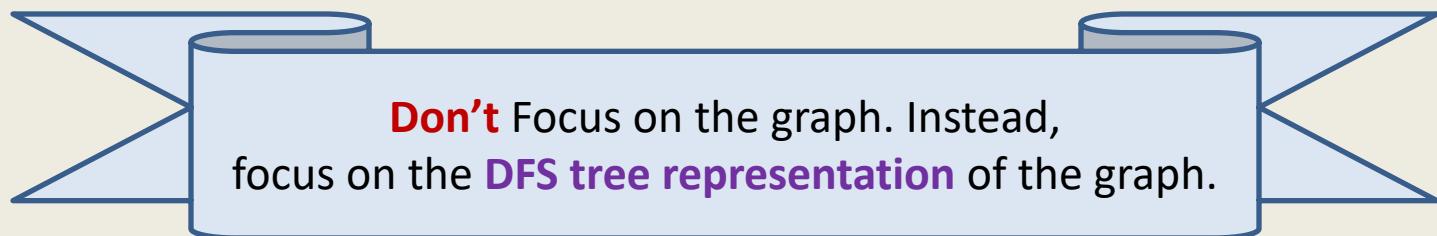


Observation: A graph is biconnected if none of its vertices is an articulation point.

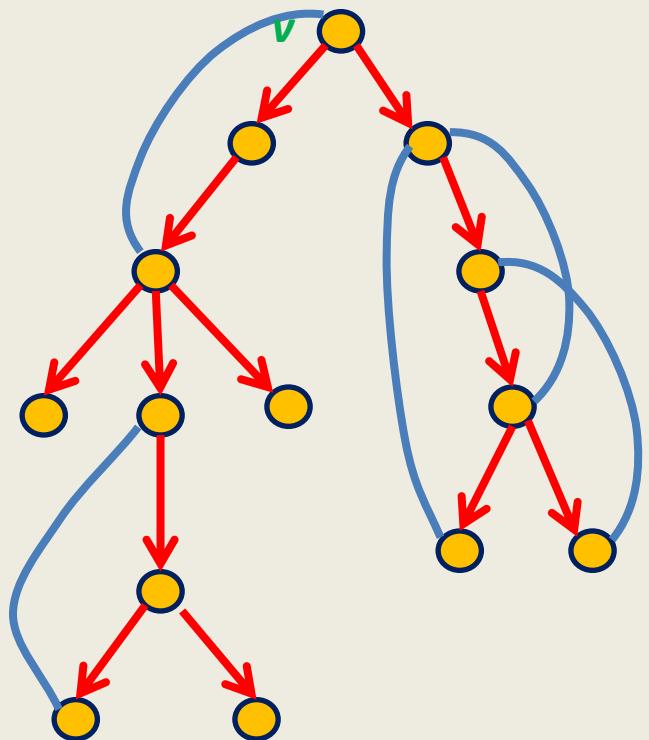
AIM:

Design an **algorithm** to compute all **articulation points** in a given graph.

Articulation points and DFS traversal



Some observations

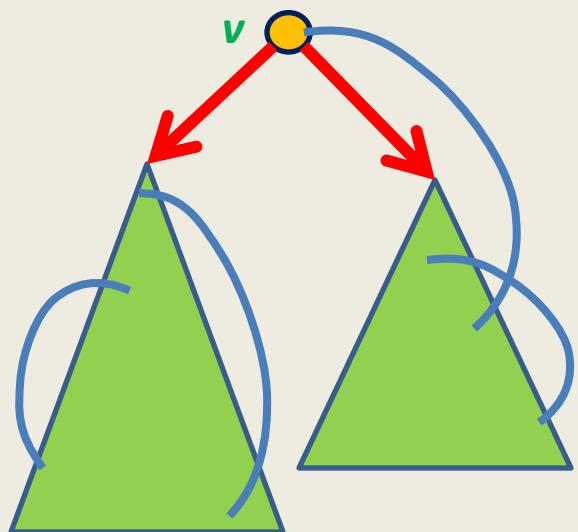


Question: When can a leaf node be an a.p. ?

Answer: Never

Question: When can root be an a.p. ?

Some observations

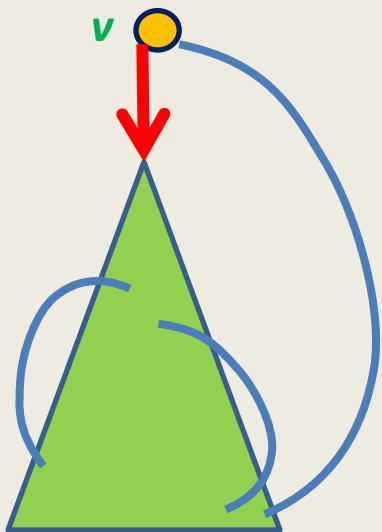


Question: When can a **leaf node** be an **a.p.** ?

Answer: Never

Question: When can **root** be an **a.p.** ?

Some observations



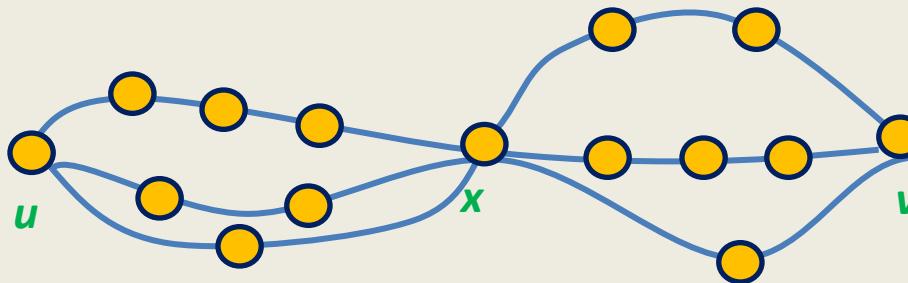
Question: When can a **leaf node** be an a.p. ?

Answer: Never

Question: When can **root** be an a.p. ?

Answer: Iff it has two or more children.

Some observations

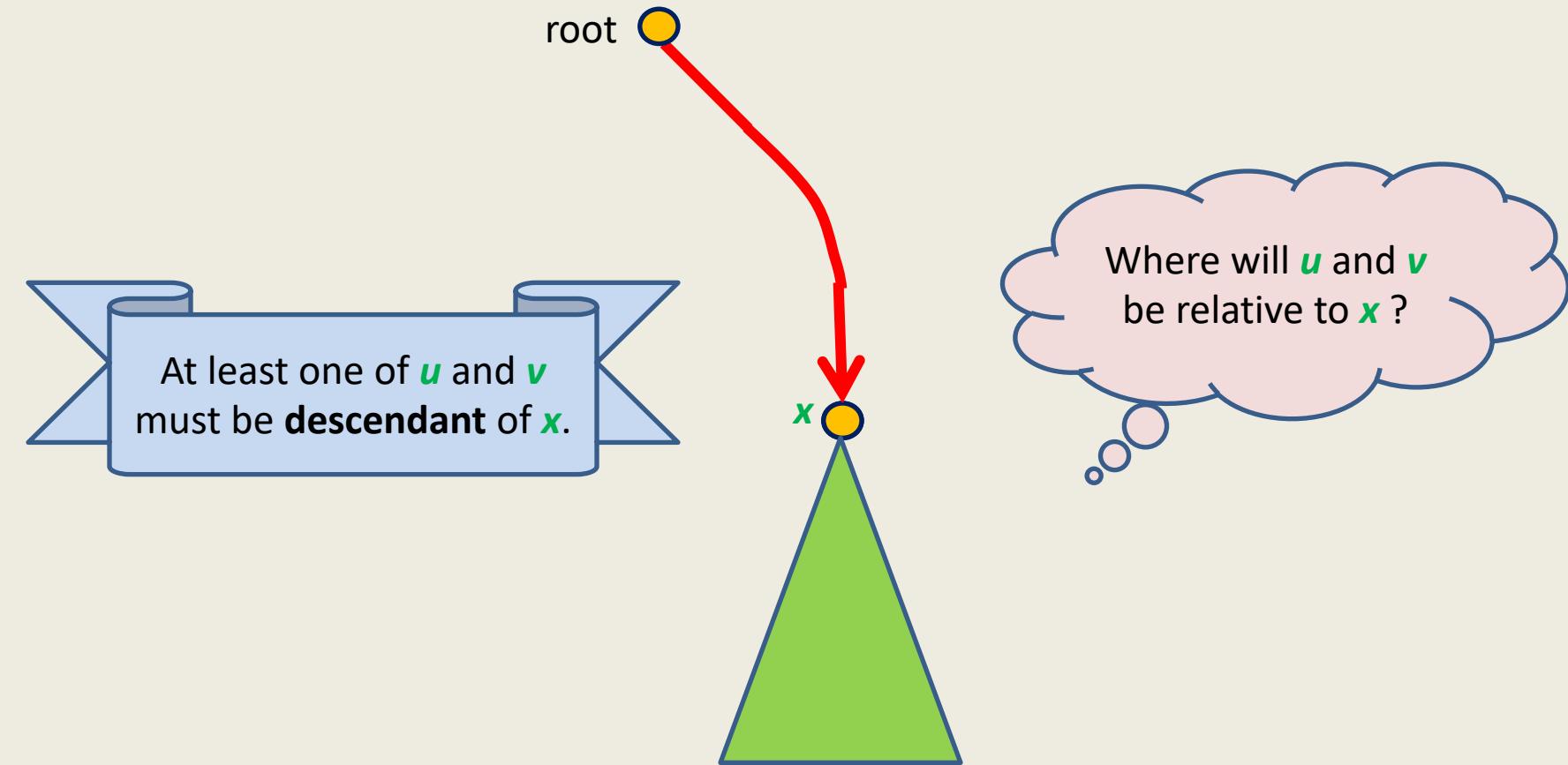


AIM:

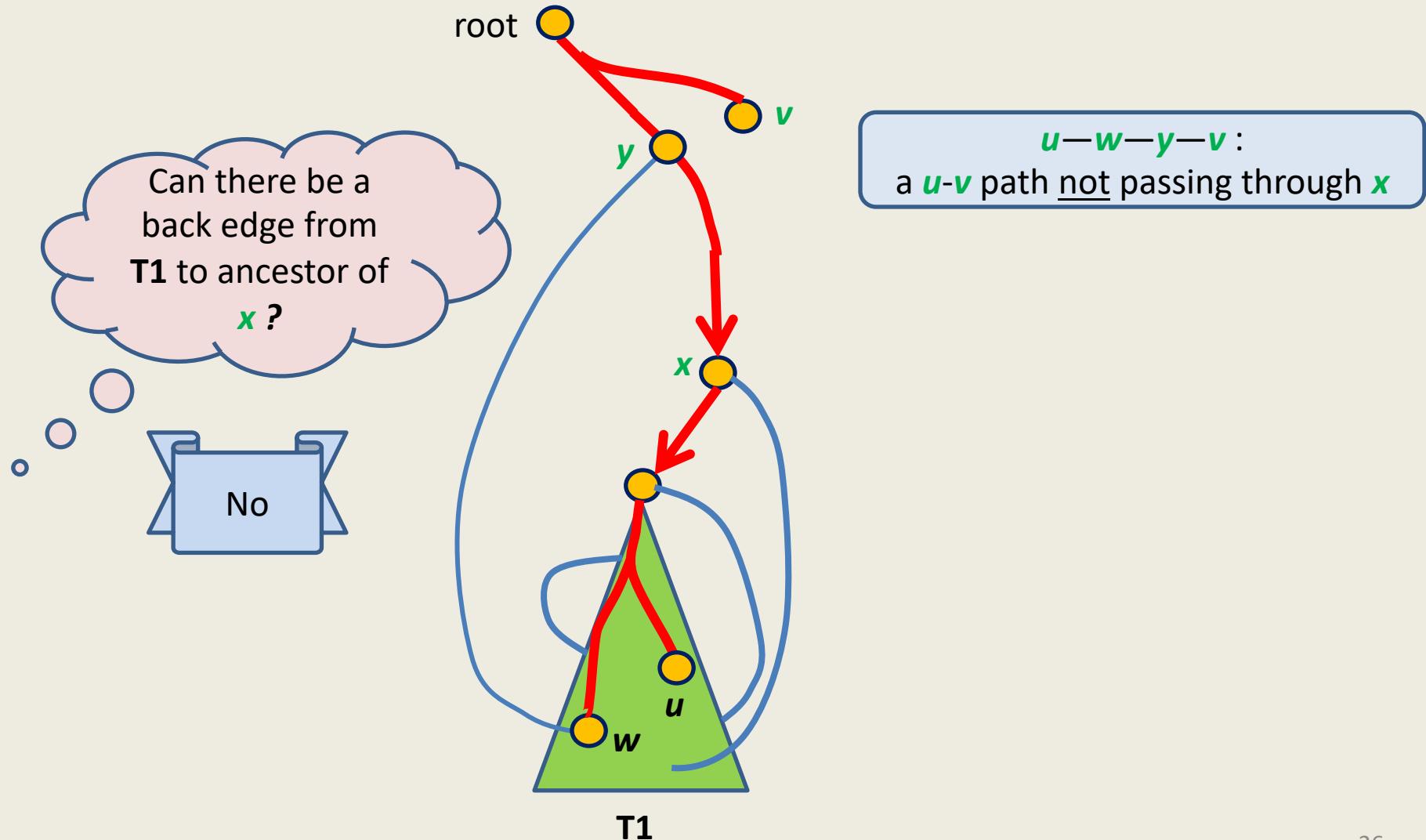
To find **necessary and sufficient conditions** for an **internal node** to be **articulation point**.

How will **x** look like
in **DFS tree** ?

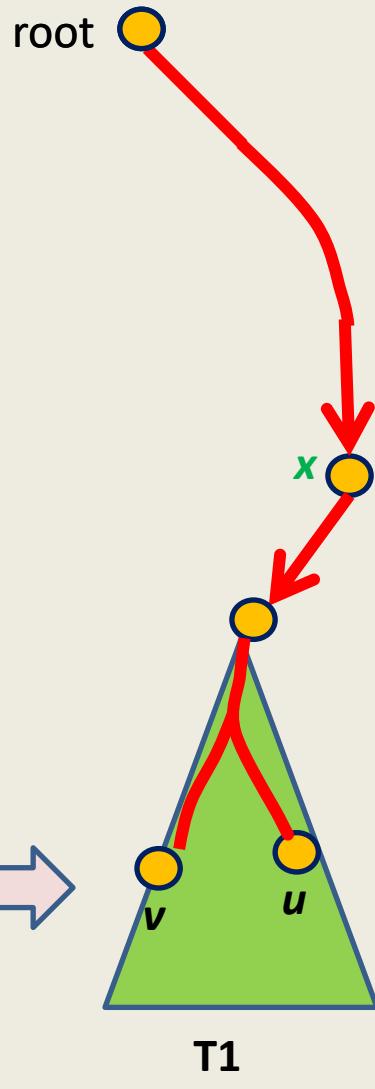
conditions for an internal node to be articulation point.



Case 1: Exactly one of u and v is a descendant of x in DFS tree



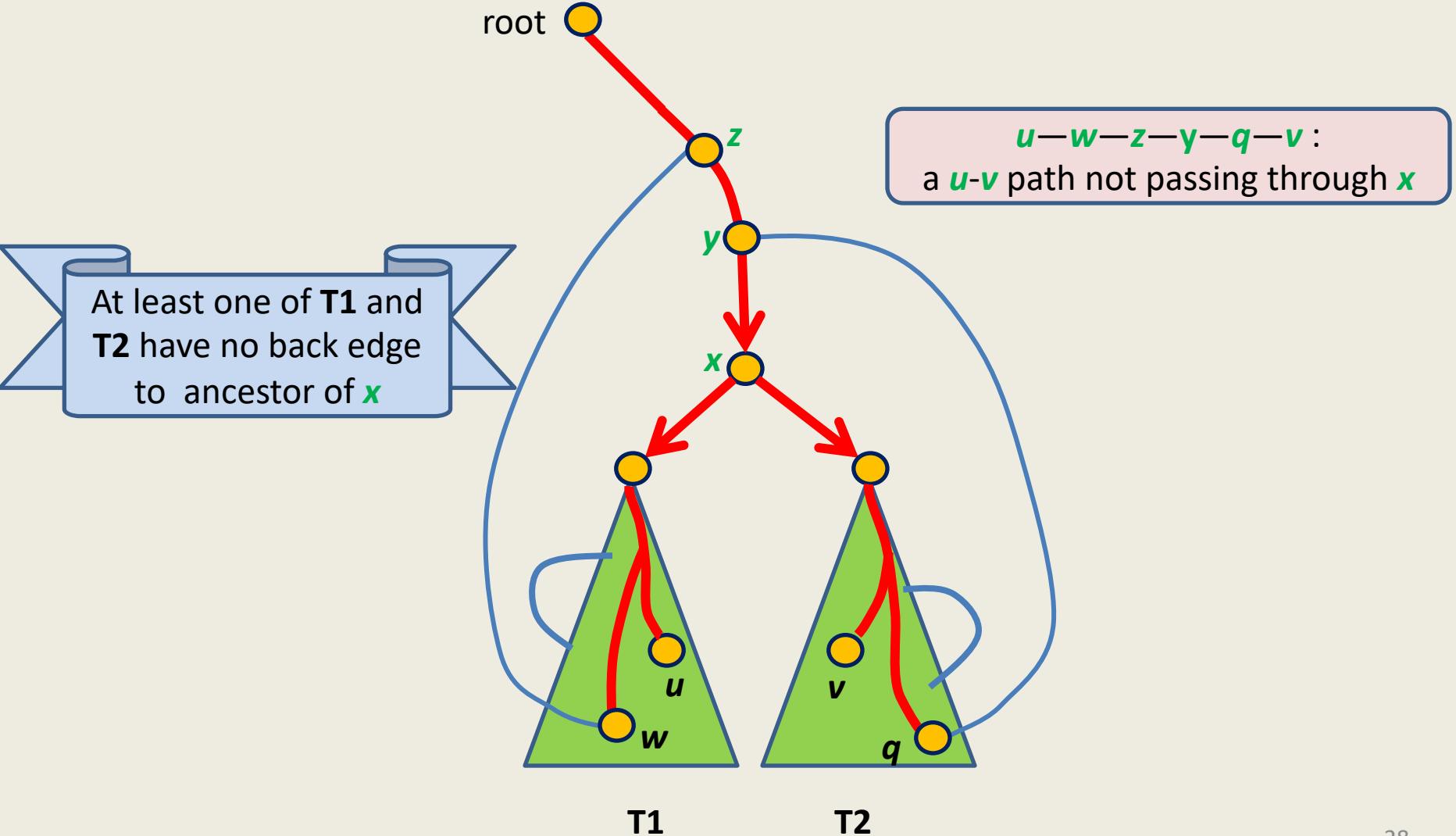
Case 2: both u and v are descendants of x in DFS tree



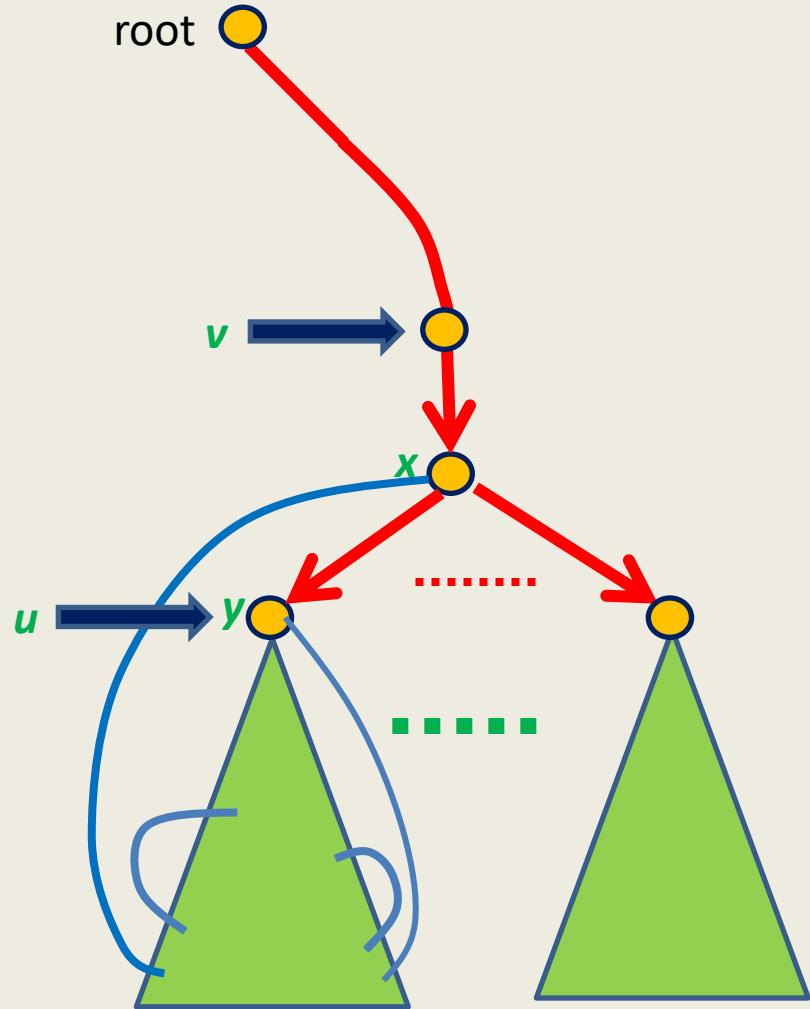
Can u and v belong to
T1 simultaneously?

No

Case 2: both u and v are descendants of x in DFS tree

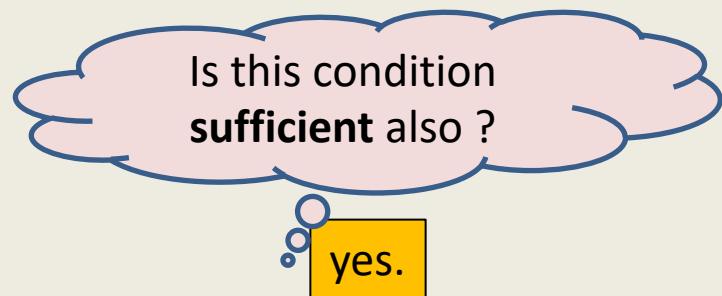


Necessary condition for x to be articulation point



Necessary condition:

x has **at least one child y** s.t.
there is **no** back edge
from **subtree(y)** to **ancestor of x** .



Articulation points and DFS

Let $G=(V,E)$ be a connected graph.

Perform **DFS** traversal from any graph and get a DFS tree T .

- No leaf of T is an **articulation point**.
- root of T is an **articulation point** if and only if it has more than one child.
- For any internal node ... ??

Theorem1 : An internal node x is **articulation point if and only if**

it has a child y such that

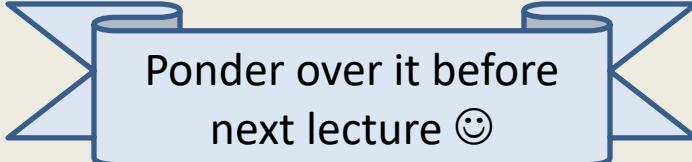
there is **no** back edge

from **subtree(y)** to any ancestor of x .

Efficient algorithm for Articulation points

Use Theorem 1

Exploit recursive nature of DFS



Ponder over it before
next lecture ☺

Data Structures and Algorithms

(ESO207)

Lecture 27

- Quick revision of Depth First Search (**DFS**) Traversal
- An $O(m + n)$:algorithm for **biconnected components** of a graph

Quick revision of Depth First Search (DFS**) Traversal**

DFS traversal of G

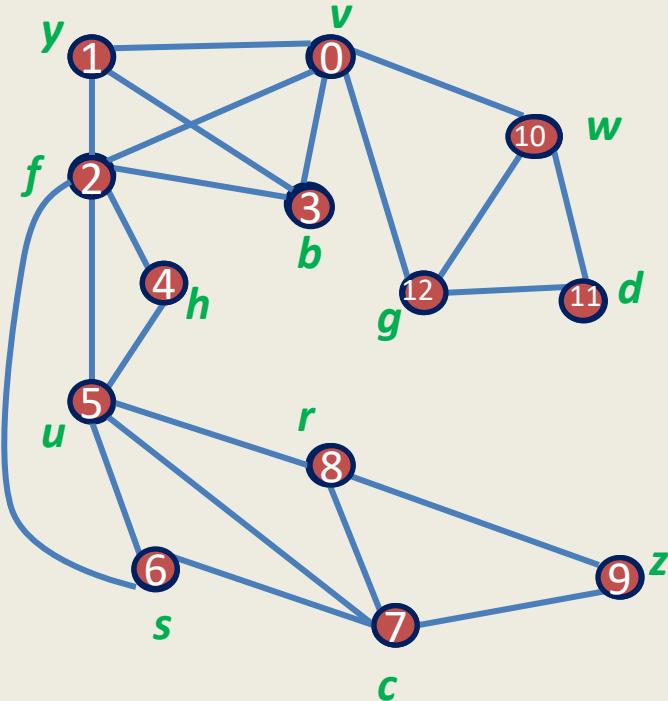
DFS(v)

```
{ Visited( $v$ )  $\leftarrow$  true; DFN[ $v$ ]  $\leftarrow$  dfn ++;  
    For each neighbor  $w$  of  $v$   
    {      if (Visited( $w$ ) = false)  
        { DFS( $w$ ) ;  
            .....;  
        }  
        .....;  
    }  
}
```

DFS-traversal(G)

```
{ dfn  $\leftarrow$  0;  
    For each vertex  $v \in V$  { Visited( $v$ )  $\leftarrow$  false }  
    For each vertex  $v \in V$  { If (Visited( $v$ ) = false) DFS( $v$ ) }  
}
```

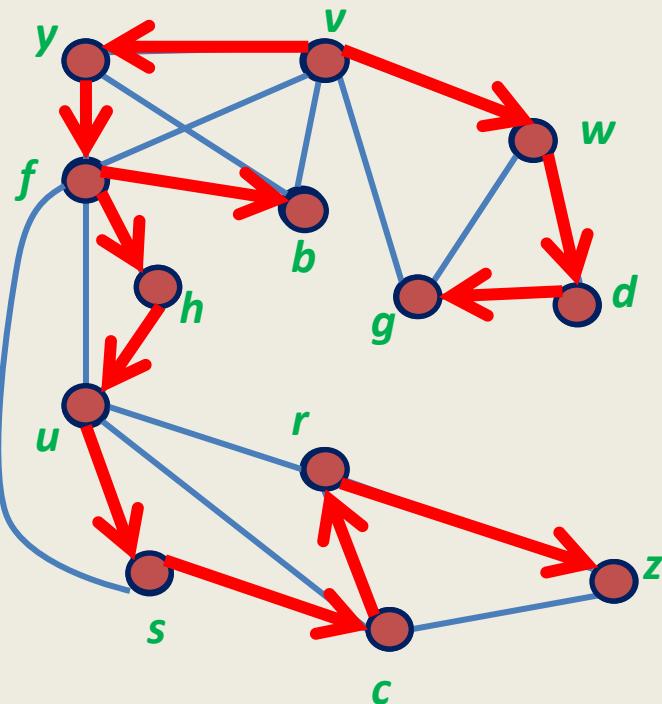
DFN number



DFN[*x*] :

The number at which *x* gets visited during DFS traversal.

$\text{DFS}(v)$ computes a tree rooted at v



A DFS tree rooted at v

If x is ancestor of y then

$$\text{DFN}[x] < \text{DFN}[y]$$

Question: Is a DFS tree unique ?

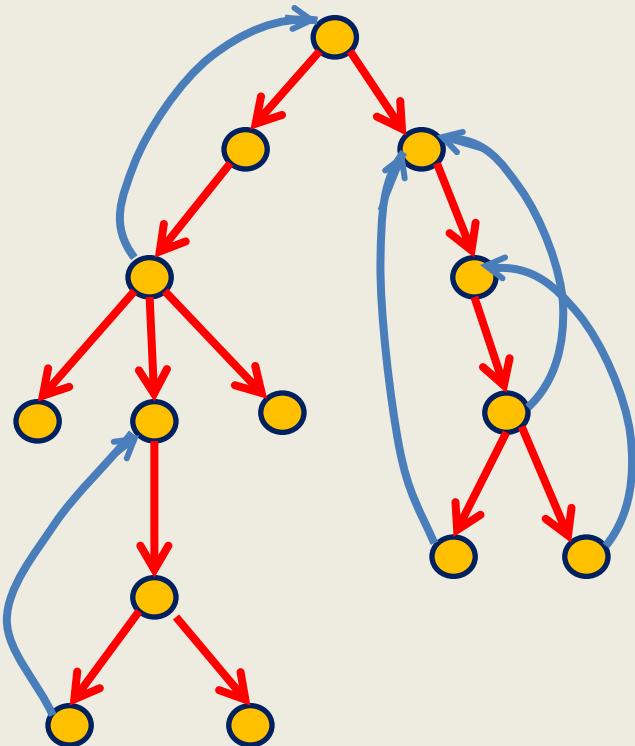
Answer: No.

Question:

Can any rooted tree be obtained through DFS ?

Answer: No.

**Always remember
this picture**



non-tree edge → **back** edge

A DFS representation of the graph

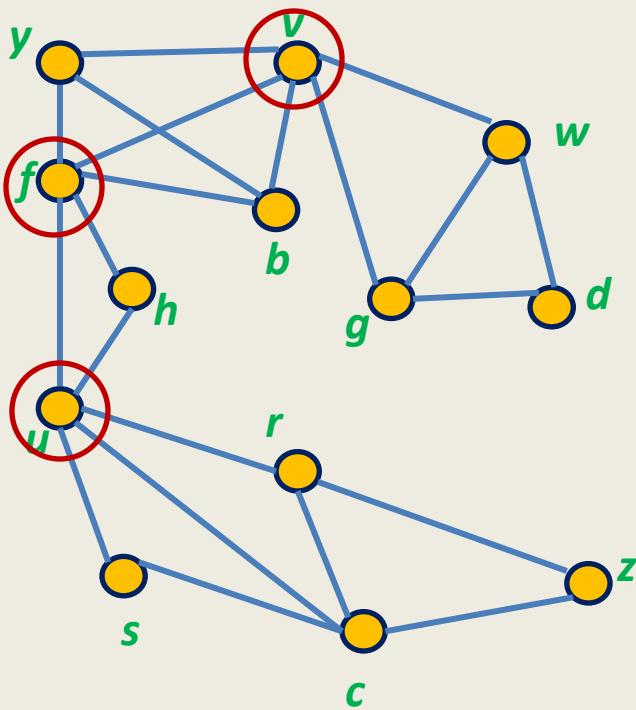
Verifying bi-connectivity of a graph

An $O(m + n)$ time algorithm

A single DFS traversal

An $\mathbf{O}(m + n)$ time algorithm

- A formal **characterization** of the problem.
(articulation points)
- Exploring relationship between articulation point & DFS tree.
- Using the relation **cleverly** to design an efficient algorithm.



This graph is NOT **biconnected**

The removal of any of $\{v, f, u\}$ can destroy connectivity.

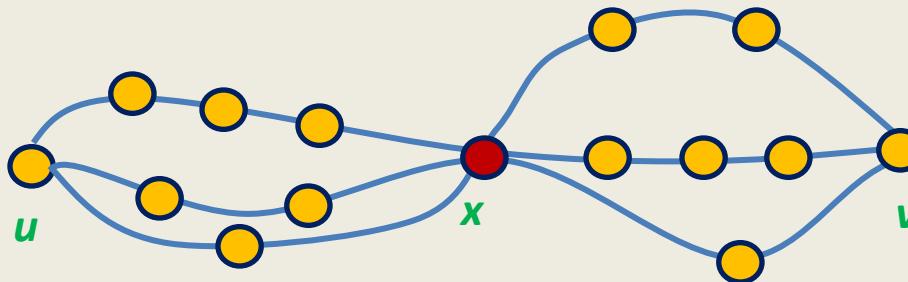
v, f, u are called the **articulation points** of G .

A formal definition of articulation point

Definition: A vertex x is said to be **articulation point** if

$\exists \ u, v$ different from x

such that every path between u and v passes through x .

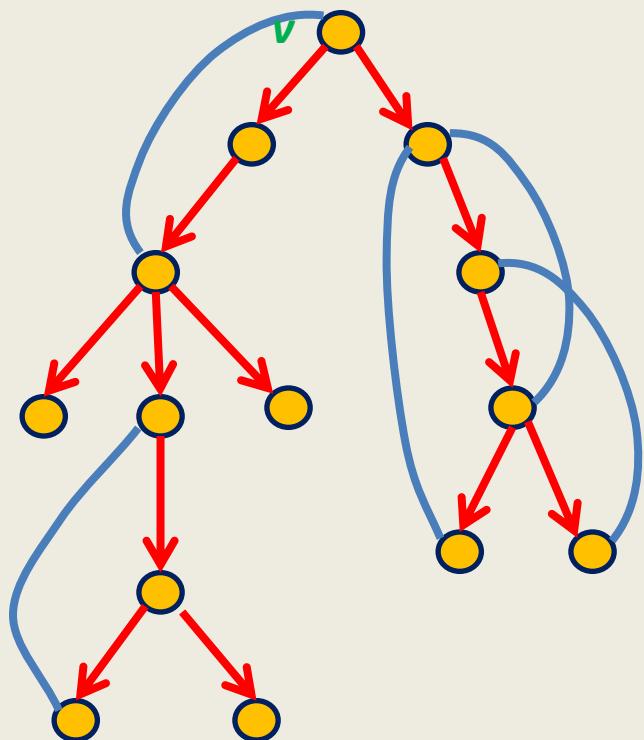


Observation: A graph is biconnected if none of its vertices is an articulation point.

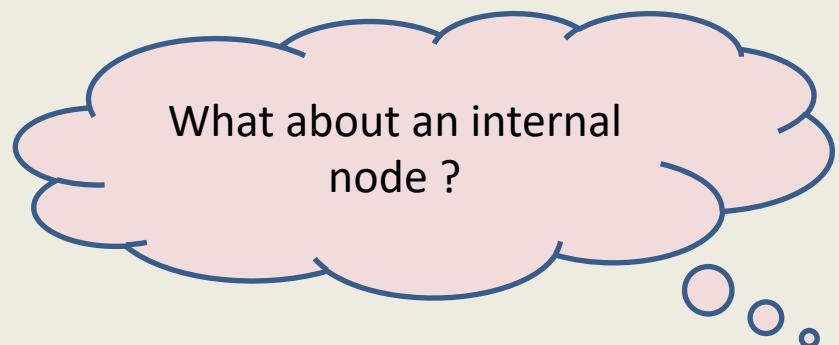
AIM:

Design an **algorithm** to compute all **articulation points** in a given graph.

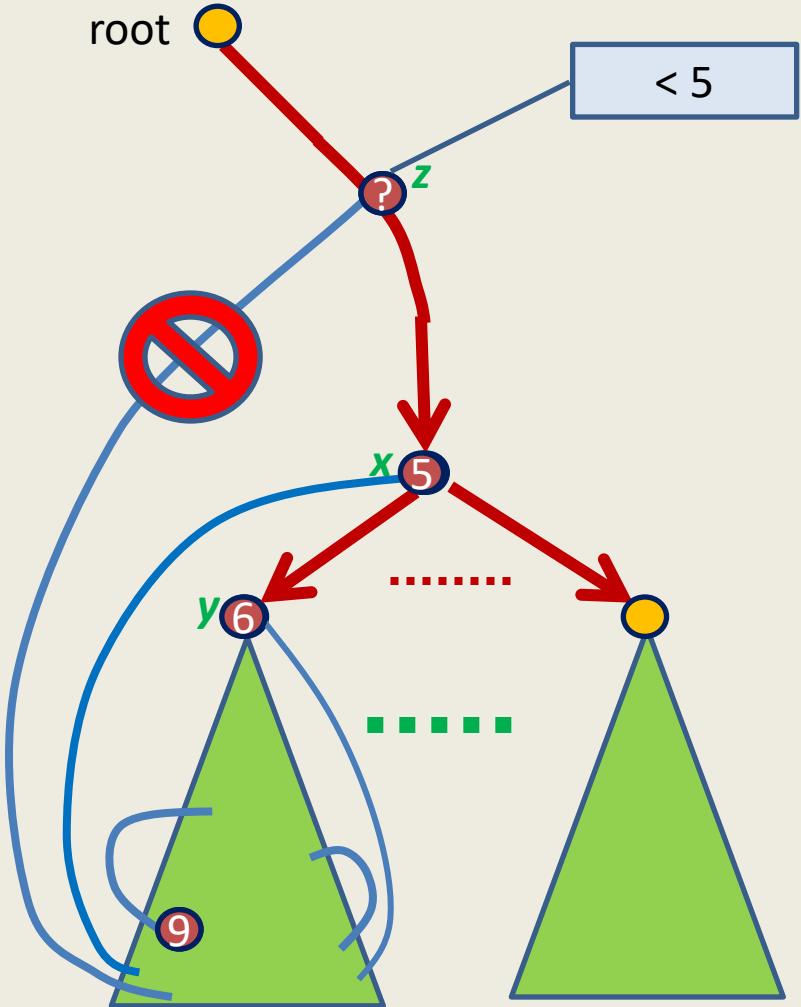
Some observations



- A **leaf node** can never be an **a.p.** ?
- **Root** is an **a.p.** iff it has two or more children.



Necessary and Sufficient condition for x to be articulation point



Theorem1:

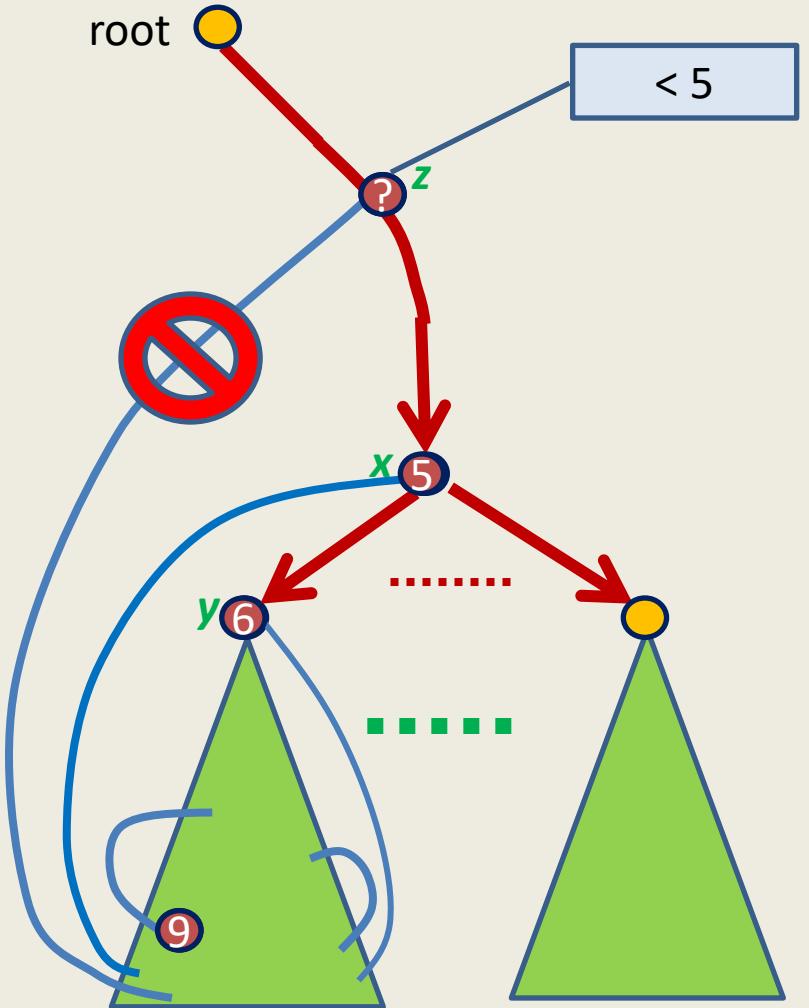
An internal node x is **articulation point** iff
 x has at least one child y s.t.
no back edge from **subtree(y)** to **ancestor of x** .

→ No back edge from **subtree(y)** going to a vertex “higher” than x .

How to define the notion
“higher” than x ?

Use **DFN** numbering

Necessary and Sufficient condition for x to be articulation point



Theorem1:

An internal node x is **articulation point** iff
 x has at least one child y s.t.
no back edge from **subtree(y)** to **ancestor of x**

Invent a new function

High_pt(v):

DFN of the highest ancestor of v
to which there is a back edge from **subtree(v)**.

Theorem2:

An internal node x is **articulation point** iff it has a child, say y , in **DFS** tree such that

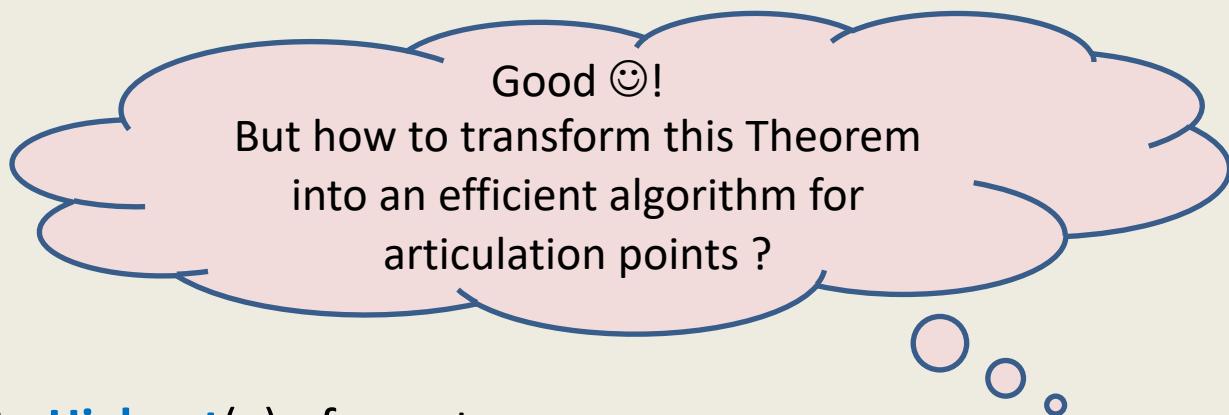
$$\text{High_pt}(\mathbf{y}) \geq \text{DFN}(\mathbf{x}).$$

Theorem2:

An internal node x is **articulation point iff**

it has a child, say y , in **DFS** tree such that

$$\text{High_pt}(y) \geq \text{DFN}(x).$$

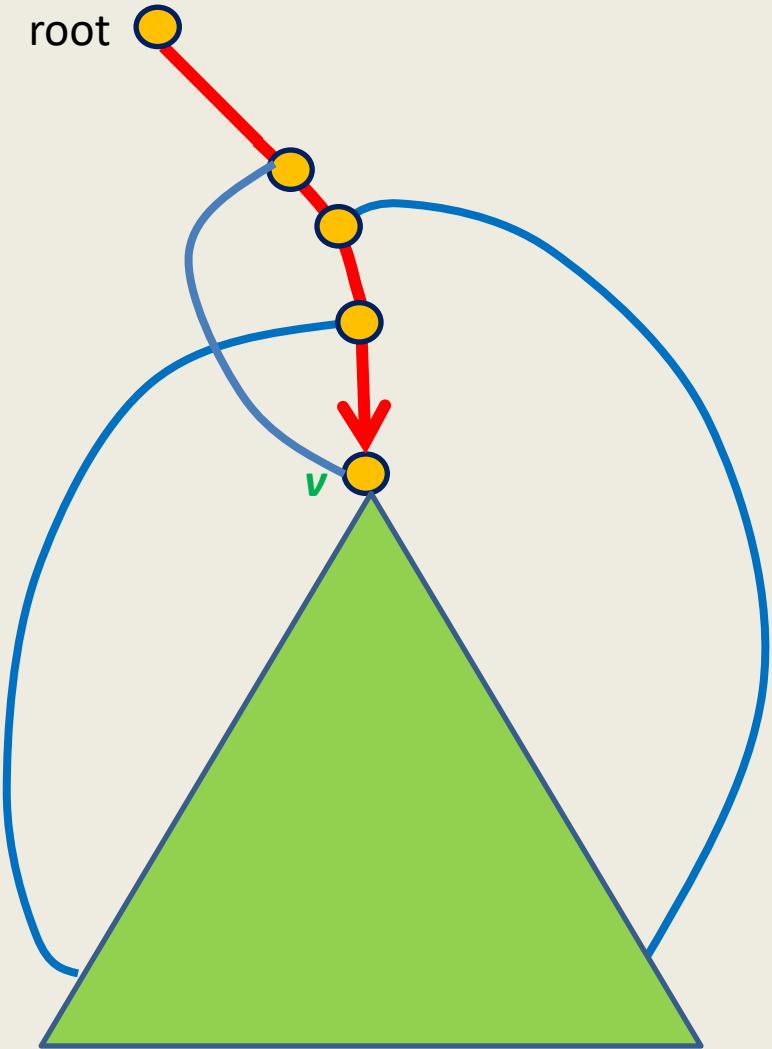


In order to compute **High_pt(v)** of a vertex v ,
we have to traverse the adjacency lists of all vertices of subtree $T(v)$.

→ **O(m)** time in the worst case to compute **High_pt(v)** of a vertex v .

→ **O(mn)** time algorithm 😞

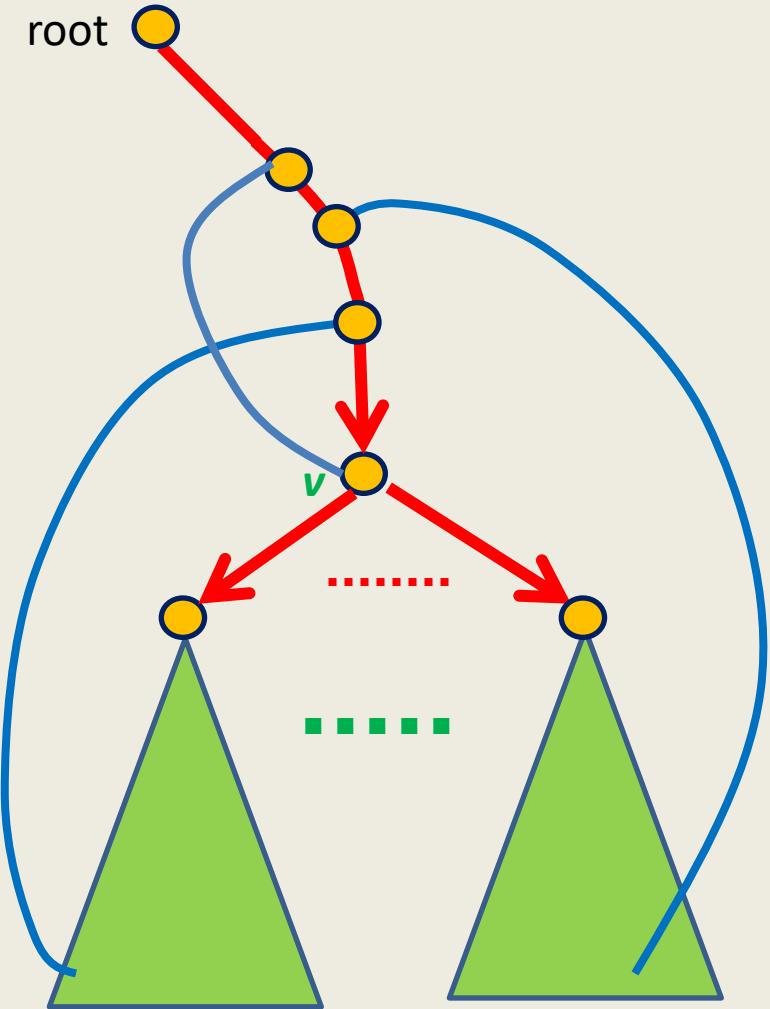
How to compute $\text{High_pt}(v)$ efficiently ?



Question: Can we express $\text{High_pt}(v)$ in terms of its **children** and **proper ancestors**?

Exploit
recursive structure of
DFS tree.

How to compute $\text{High_pt}(v)$ efficiently ?



Question: Can we express $\text{High_pt}(v)$ in terms of its **children** and **proper ancestors**?

$\text{High_pt}(v) =$

$$\min_{(v,w) \in E} \left\{ \begin{array}{l} \text{High_pt}(w) \\ \text{DFN}(w) \end{array} \right. \begin{array}{l} \text{If } w = \text{child}(v) \\ \text{If } w = \text{proper} \\ \text{ancestor of } v \end{array}$$

The **novel** algorithm

Output : an array **AP[]** s.t.

AP[*v*]= true if and only if *v* is an articulation point.

Algorithm for articulation points in a graph G

DFS(v)

```
{ Visited( $v$ )  $\leftarrow$  true; DFN[ $v$ ]  $\leftarrow$  dfn ++; High_pt[ $v$ ]  $\leftarrow$   $\infty$  ;
```

For each neighbor w of v

```
{ if (Visited( $w$ ) = false)
```

```
{   DFS( $w$ ) ; Parent( $w$ )  $\leftarrow$   $v$ ;
```

```
.....;
```

```
.....;
```

```
}
```

```
.....;
```

```
}
```

```
}
```

DFS-traversal(G)

```
{ dfn  $\leftarrow$  0;
```

For each vertex $v \in V$ { Visited(v) \leftarrow false; AP[v] \leftarrow false }

For each vertex $v \in V$ { If (Visited(v) = false) DFS(v) }

```
}
```

Algorithm for articulation points in a graph G

DFS(v)

{ Visited(v) \leftarrow true; DFN[v] \leftarrow dfn ++; High_pt[v] \leftarrow ∞ ;

For each neighbor w of v

{ if (Visited(w) = false)

{ Parent(w) $\leftarrow v$; DFS(w);

High_pt(v) $\leftarrow \min(\text{High_pt}(v), \text{High_pt}(w))$;

If High_pt(w) \geq DFN[v] AP[v] \leftarrow true

}

Else if (Parent(v) $\neq w$)

High_pt(v) $\leftarrow \min(\text{DFN}(w), \text{High_pt}(v))$

}

}

DFS-traversal(G)

{ dfn $\leftarrow 0$;

For each vertex $v \in V$ { Visited(v) \leftarrow false; AP[v] \leftarrow false }

For each vertex $v \in V$ { If (Visited(v) = false) DFS(v) }

}

Conclusion

Theorem2 : For a given graph $G=(V,E)$, all **articulation points** can be computed in $O(m + n)$ time.

Data Structures

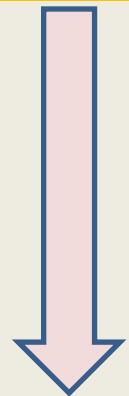
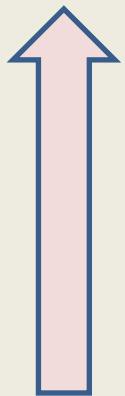
Lists: (arrays, linked lists)

Range of
efficient functions

Binary Heap

Binary Search Trees

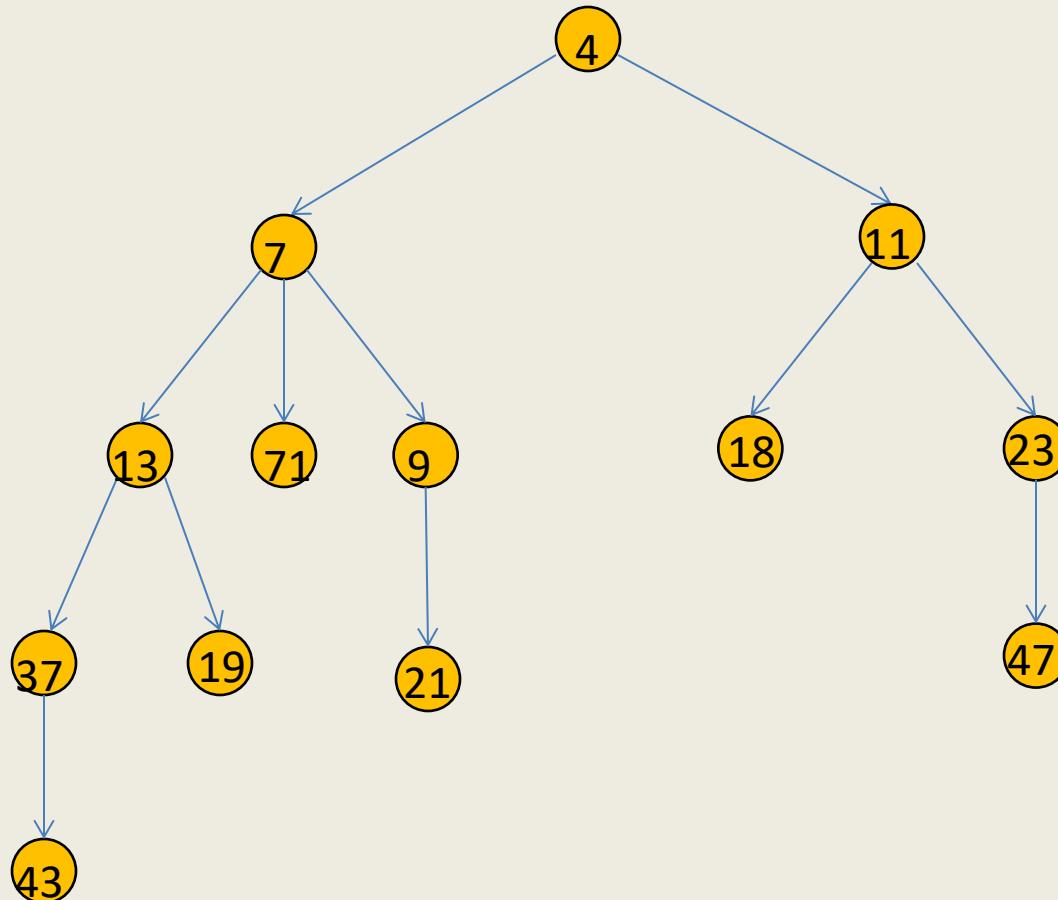
Simplicity



Heap

Definition: a tree data structure where :

value stored in a node < value stored in each of its children.



Operations on a heap

Query Operations

- **Find-min**: report the smallest key stored in the heap.

Update Operations

- **CreateHeap(H)** : Create an empty heap H .
- **Insert(x, H)** : Insert a new key with value x into the heap H .
- **Extract-min(H)** : delete the smallest key from H .
- **Decrease-key(p, Δ, H)** : decrease the value of the key p by amount Δ .
- **Merge(H_1, H_2)** : Merge two heaps H_1 and H_2 .

Why heaps when we can use a binary search tree ?

Compared to binary search trees, a heap is usually

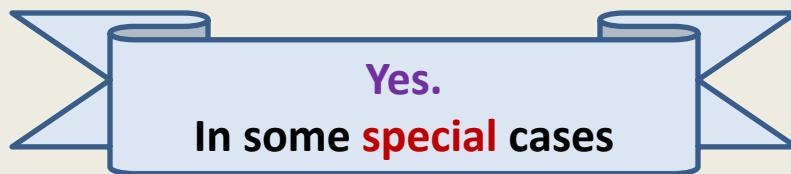
-- much simpler and

-- more efficient

Existing heap data structures

- **Binary heap**
- **Binomial heap**
- **Fibonacci heap**
- **Soft heap**

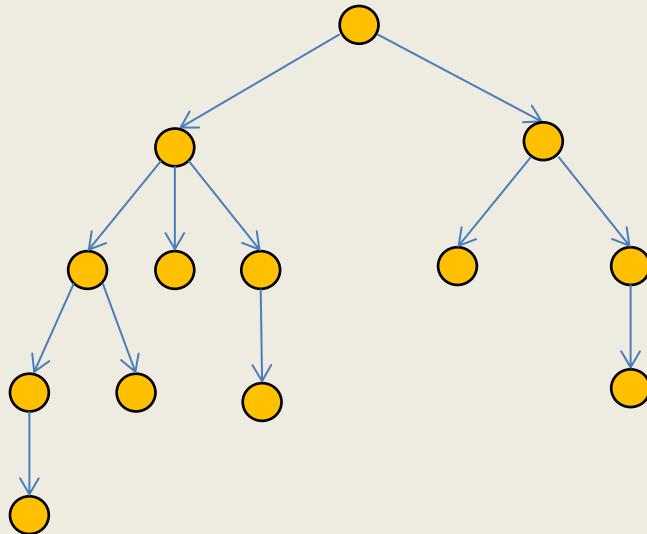
Can we implement a binary tree using an array ?





fundamental question

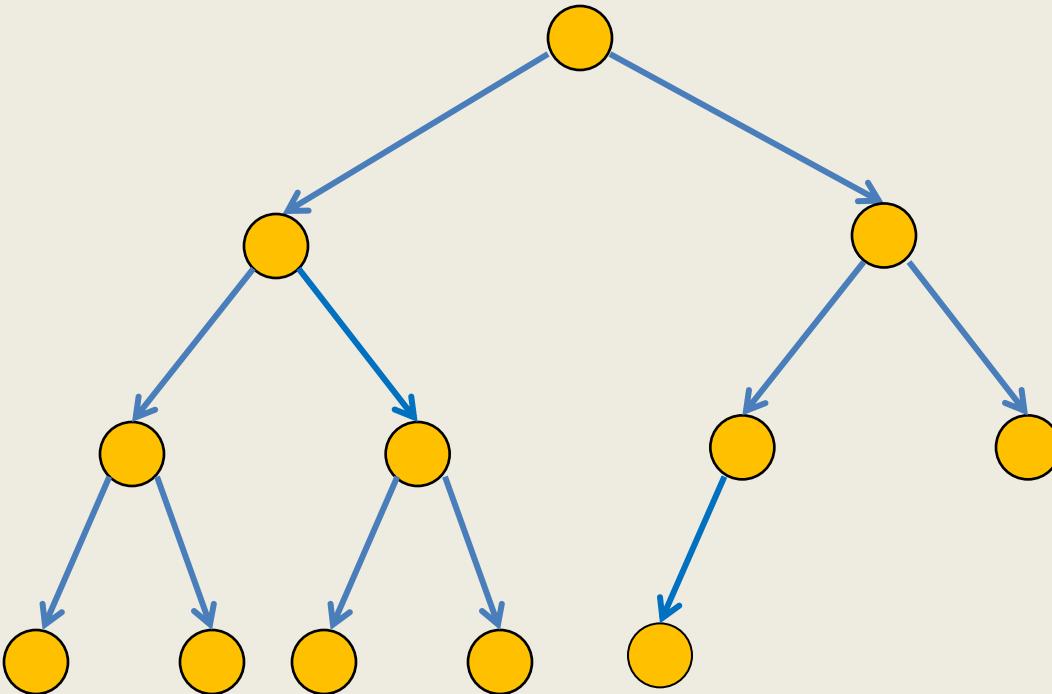
Question: What does the implementation of a tree data structure require ?



Answer: a mechanism to

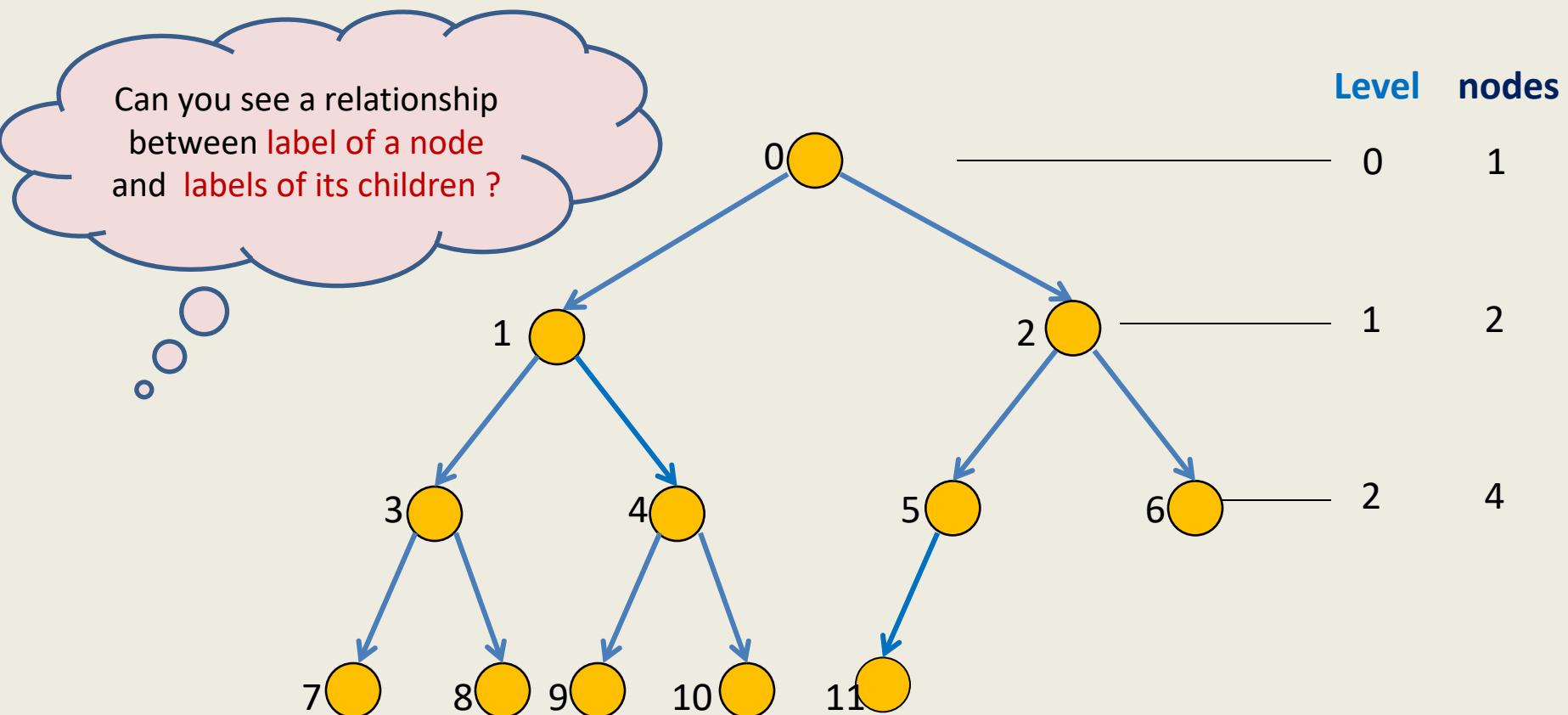
- access **parent** of a node
- access **children** of a node.

A **complete** binary tree



A complete binary of 12 nodes.

A complete binary tree



Think over it before next lecture.

Data Structures and Algorithms

(ESO207)

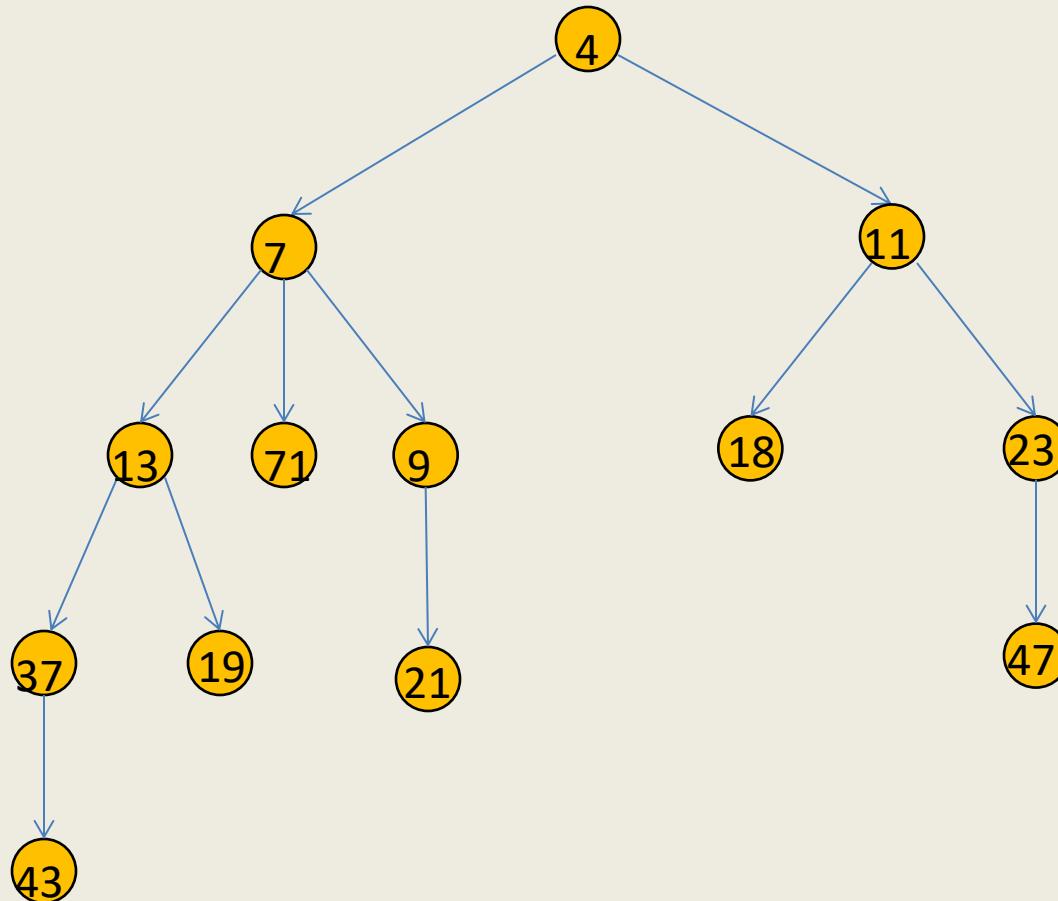
Lecture 28:

- **Heap** : an important tree data structure
- Implementing some **special binary tree** using an **array** !
- **Binary heap**

Heap

Definition: a tree data structure where :

value stored in a node < value stored in each of its children.



Operations on a heap

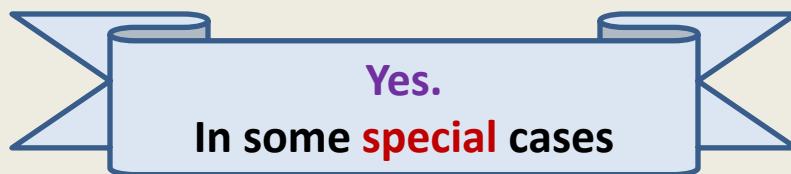
Query Operations

- **Find-min**: report the smallest key stored in the heap.

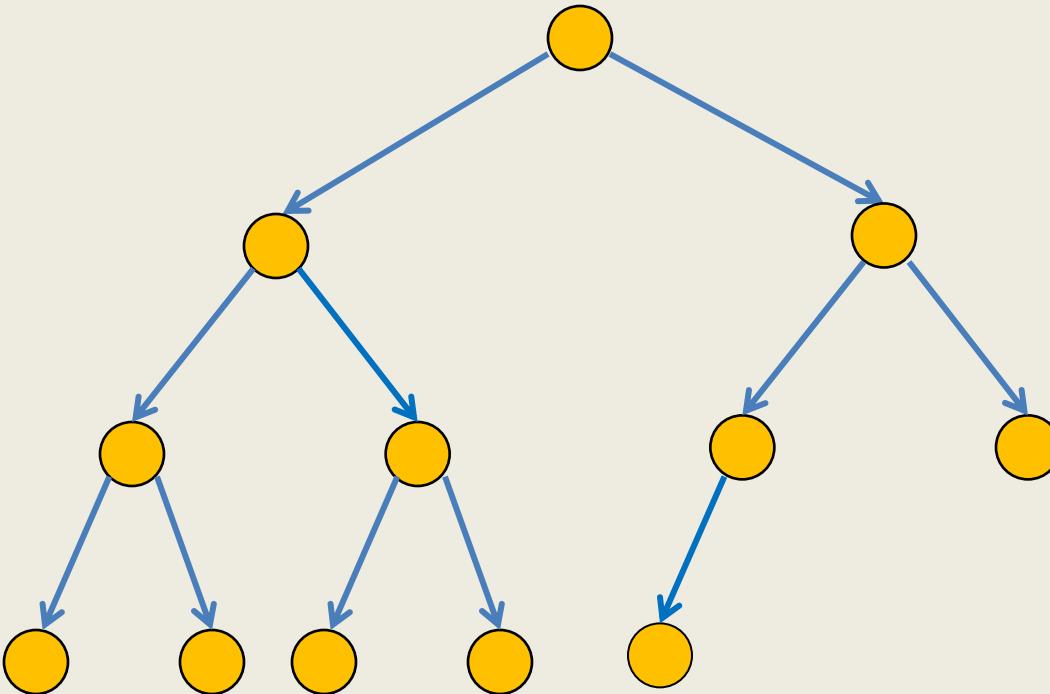
Update Operations

- **CreateHeap(H)** : Create an empty heap H .
- **Insert(x, H)** : Insert a new key with value x into the heap H .
- **Extract-min(H)** : delete the smallest key from H .
- **Decrease-key(p, Δ, H)** : decrease the value of the key p by amount Δ .
- **Merge(H_1, H_2)** : Merge two heaps H_1 and H_2 .

Can we implement a binary tree using an array ?

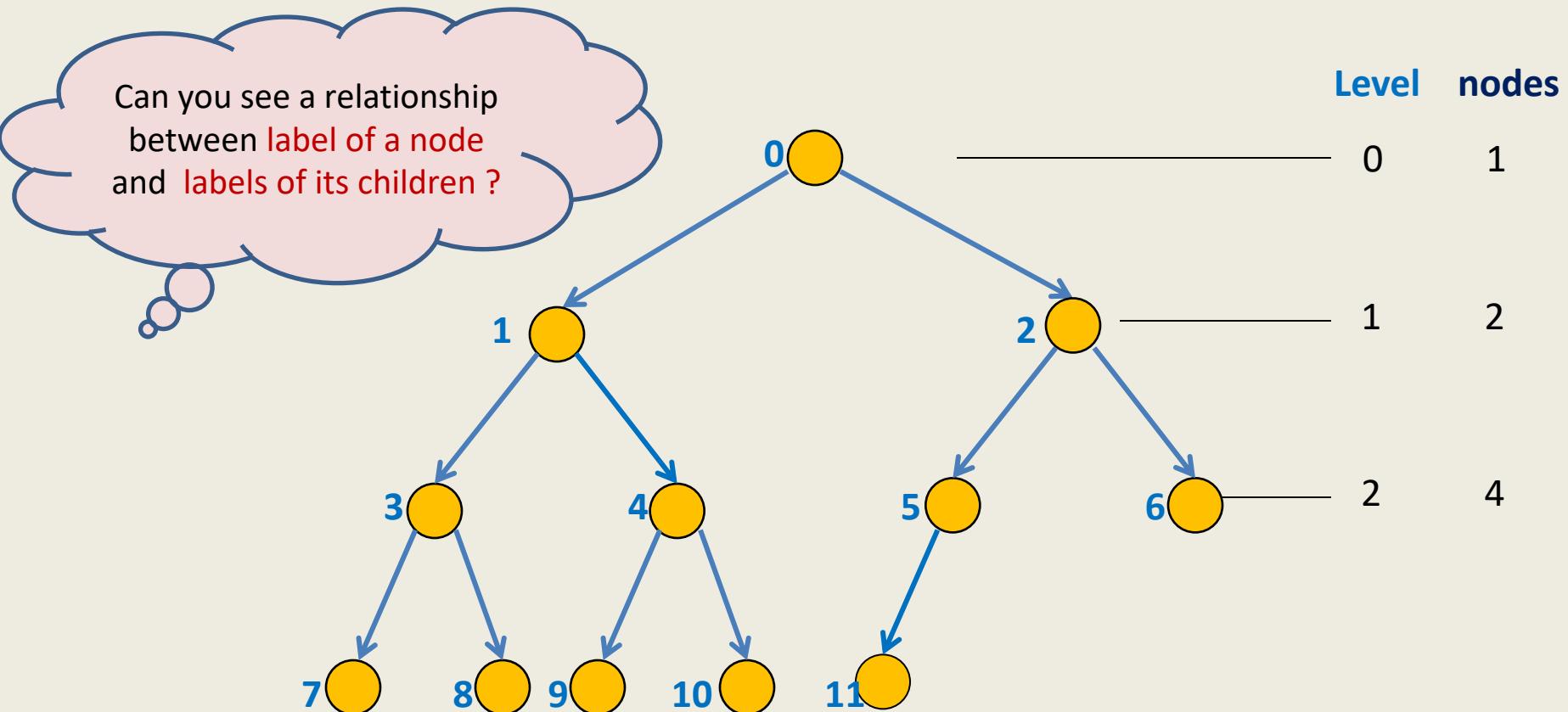


A **complete** binary tree



A complete binary of 12 nodes.

A complete binary tree



The label of the **leftmost node** at level $i = 2^i - 1$

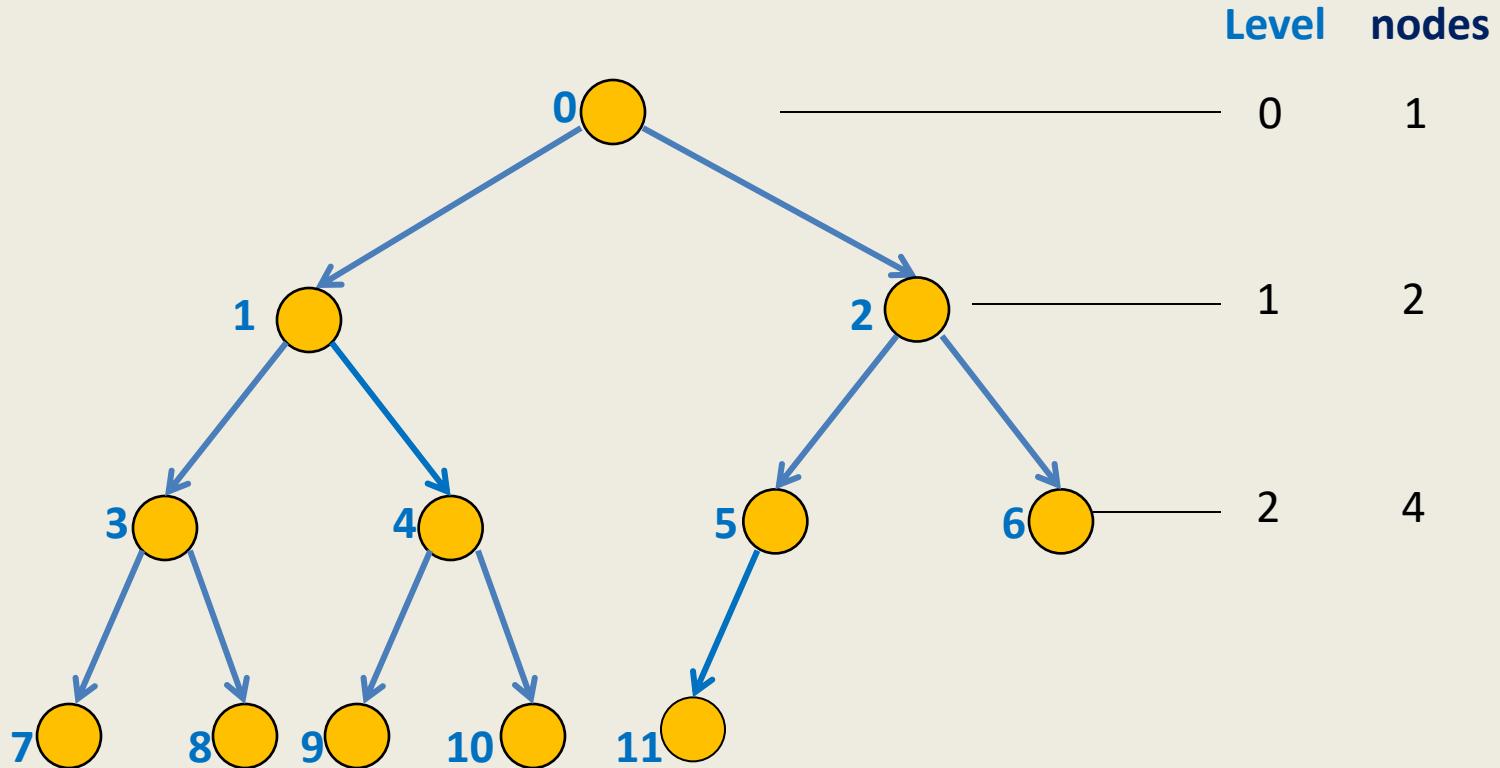
The label of a **node v** at level i

The label of the **left child** of v is= $2^{i+1} - 1 + 2(k - 1)$

The label of the **right child** of v is= $2^{i+1} + 2k - 2$

$i - 2$

A complete binary tree



Let v be a node with label j .

$$\text{Label of left child}(v) = 2j + 1$$

$$\text{Label of right child}(v) = 2j + 2$$

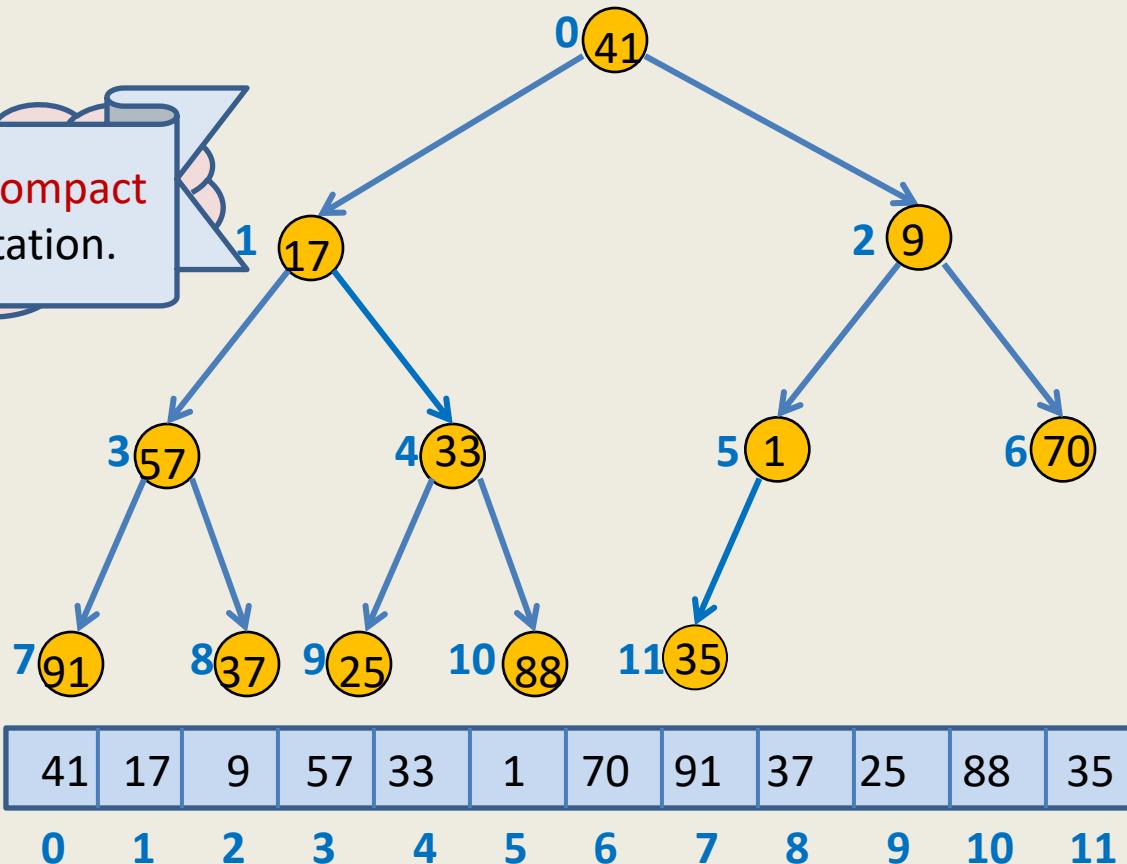
$$\text{Label of parent}(v) = \lfloor (j - 1)/2 \rfloor$$

A complete binary tree and array

Question: What is the relation between a complete binary trees and an array ?

Answer: A complete binary tree can be **implemented** by an array.

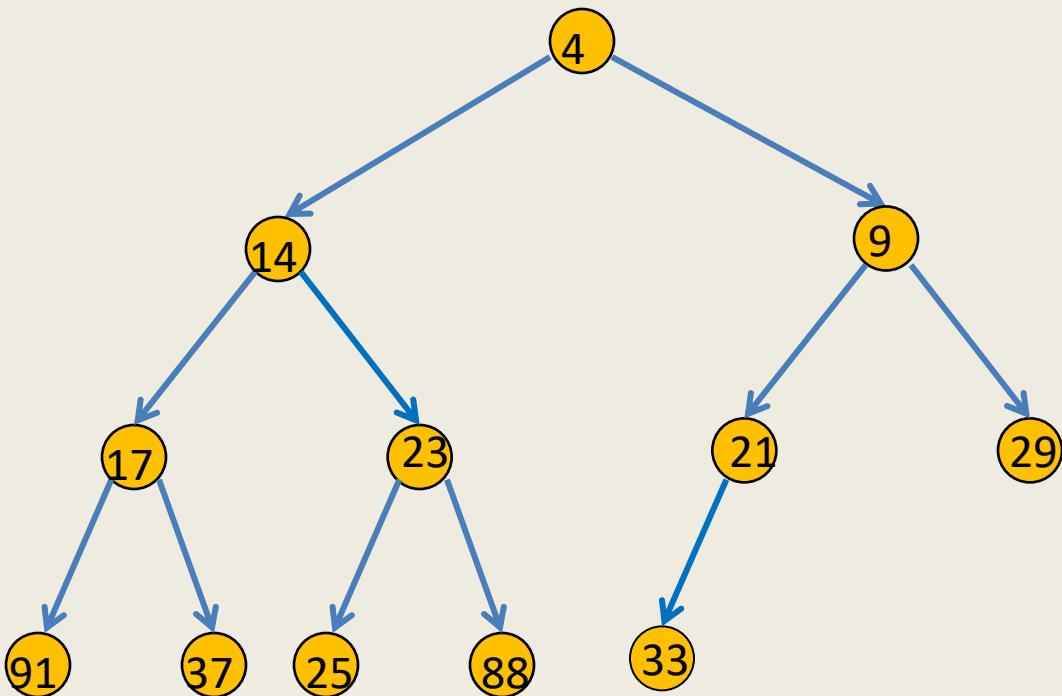
The most **compact** representation.



Binary heap

Binary heap

a **complete binary tree** satisfying **heap** property at each node.



H

4	14	9	17	23	21	29	91	37	25	88	33			
---	----	---	----	----	----	----	----	----	----	----	----	--	--	--

Implementation of a Binary heap

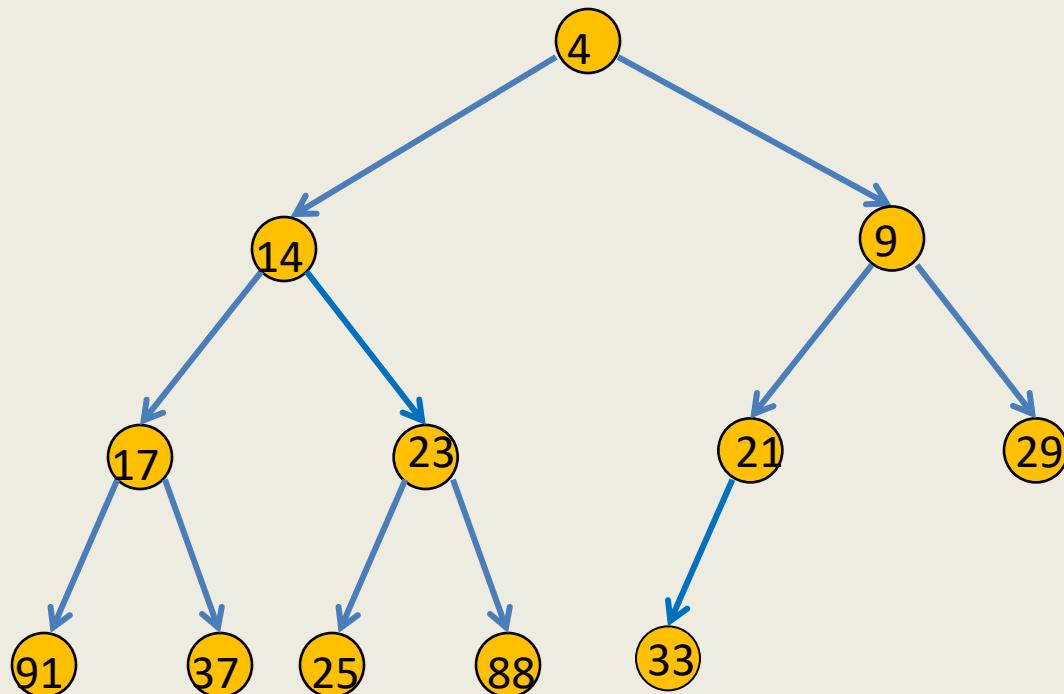
n

then we keep

- **H[]** : an **array** of size **n** used for storing the binary heap.
- **size** : a **variable** for the total number of keys currently in the heap.

Find_min(H)

Report $H[0]$.



H

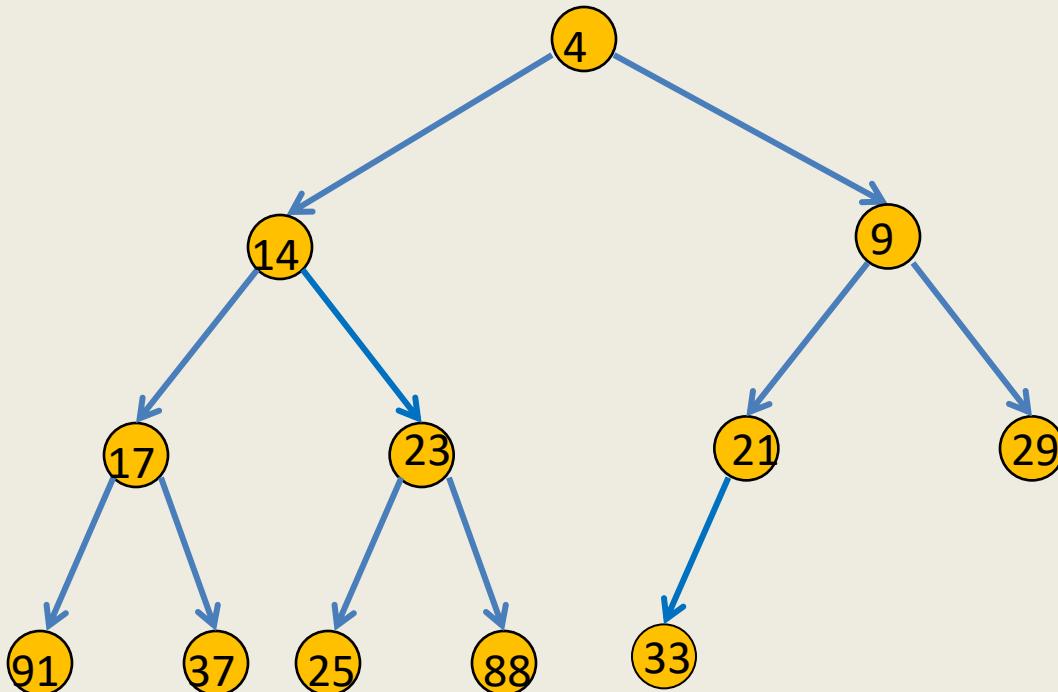
4	14	9	17	23	21	29	91	37	25	88	33			
---	----	---	----	----	----	----	----	----	----	----	----	--	--	--

Extract_min(H)

Think hard on designing efficient algorithm for this operation.

The challenge is:

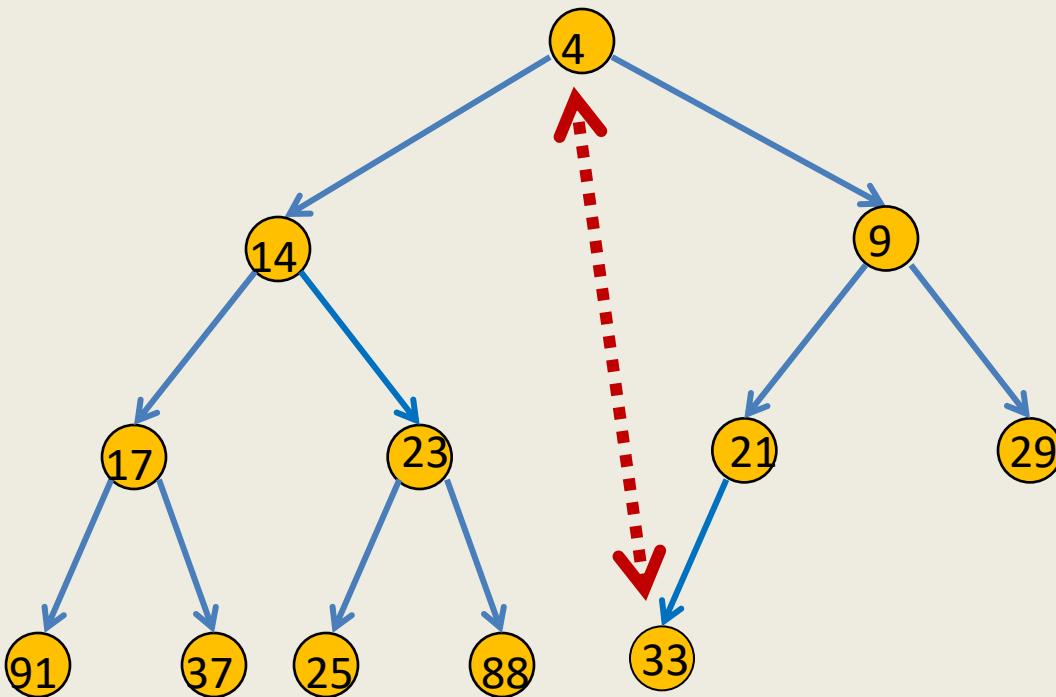
how to preserve the complete binary tree structure as well as the heap property ?



H

4	14	9	17	23	21	29	91	37	25	88	33			
---	----	---	----	----	----	----	----	----	----	----	----	--	--	--

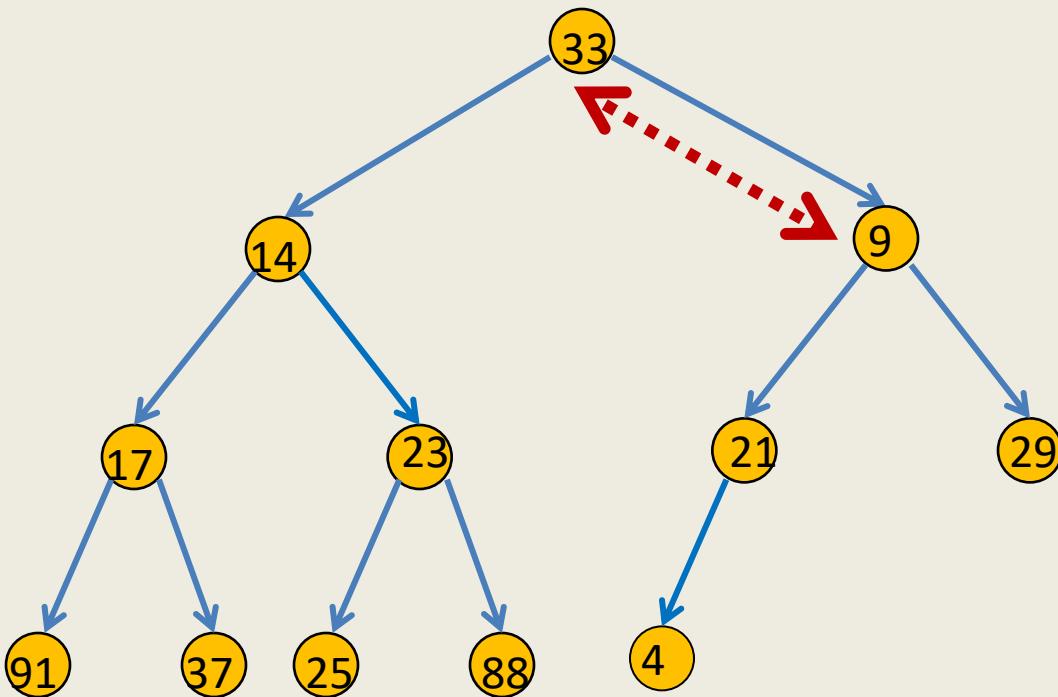
Extract_min(H)



H

4	14	9	17	23	21	29	91	37	25	88	33			
---	----	---	----	----	----	----	----	----	----	----	----	--	--	--

Extract_min(H)

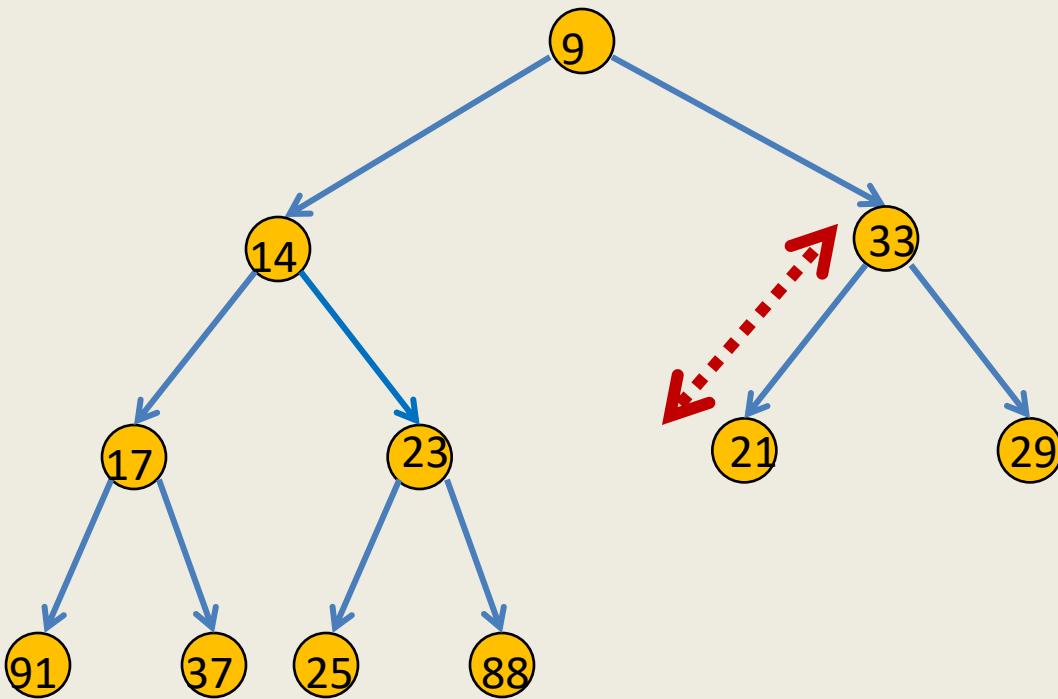


H

33	14	9	17	23	21	29	91	37	25	88	4				
----	----	---	----	----	----	----	----	----	----	----	---	--	--	--	--



Extract_min(H)



H

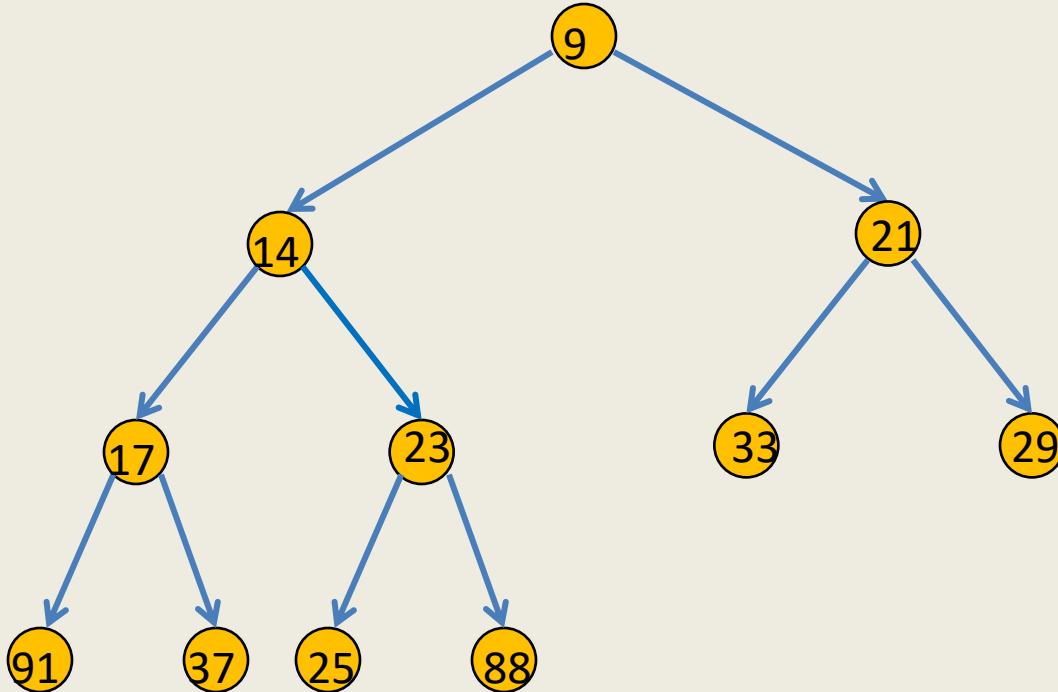
9	14	33	17	23	21	29	91	37	25	88				
---	----	----	----	----	----	----	----	----	----	----	--	--	--	--

Extract_min(H)

We are done.

The no. of operations performed = **O**(no. of levels in binary heap)

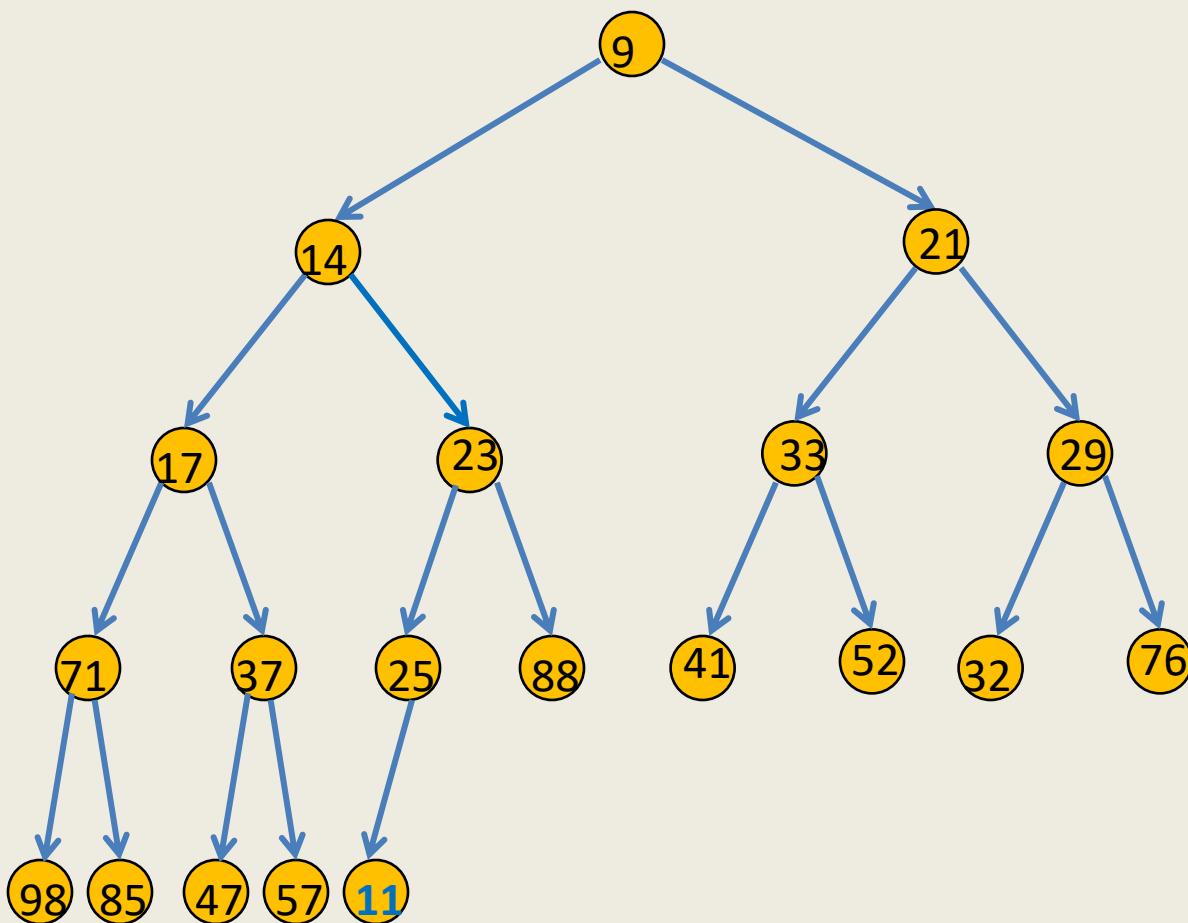
= **O**($\log n$) ...show it as an **homework exercise**.



H

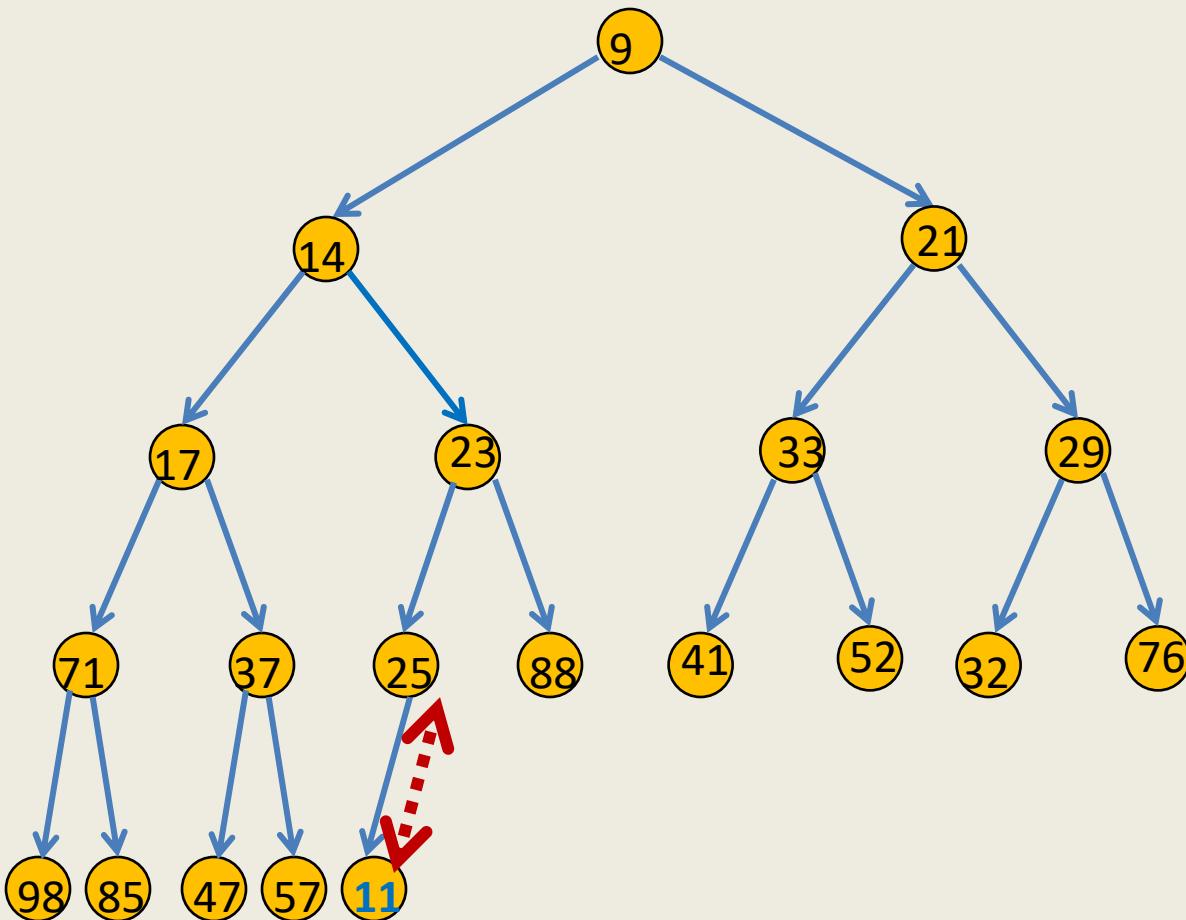
9	14	21	17	23	33	29	91	37	25	88				
---	----	----	----	----	----	----	----	----	----	----	--	--	--	--

Insert(x , H)



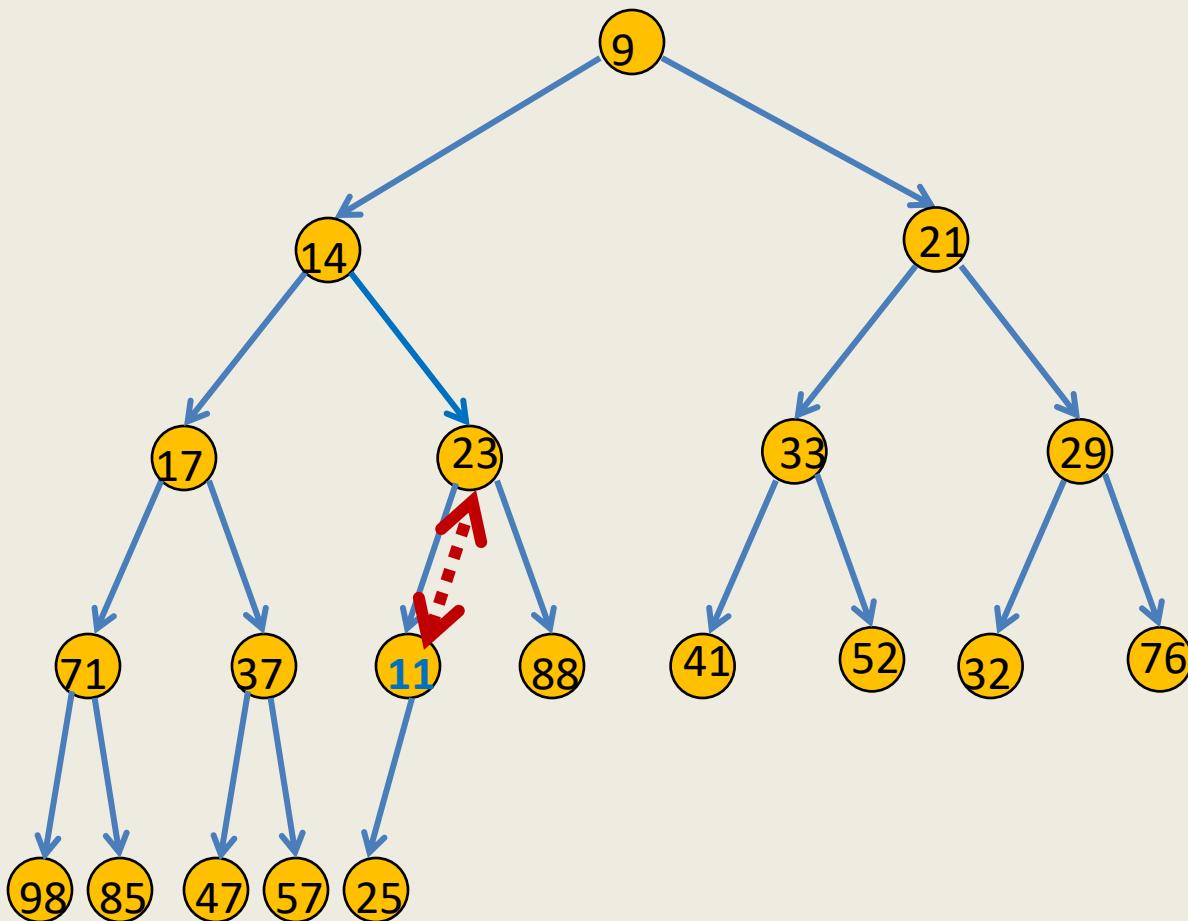
H	9	14	21	17	23	33	29	71	37	25	88	41	52	32	76	98	85	47	57	11
---	---	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----

Insert(x, H)

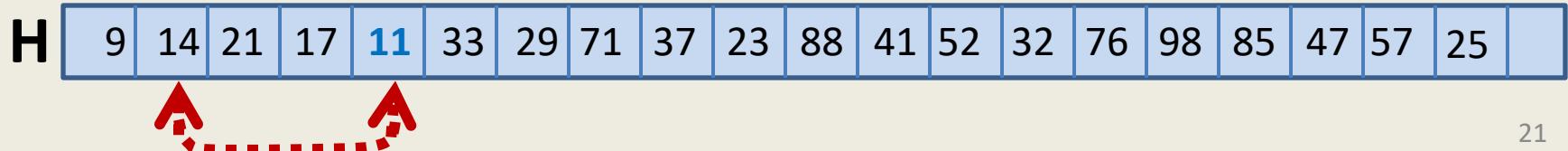
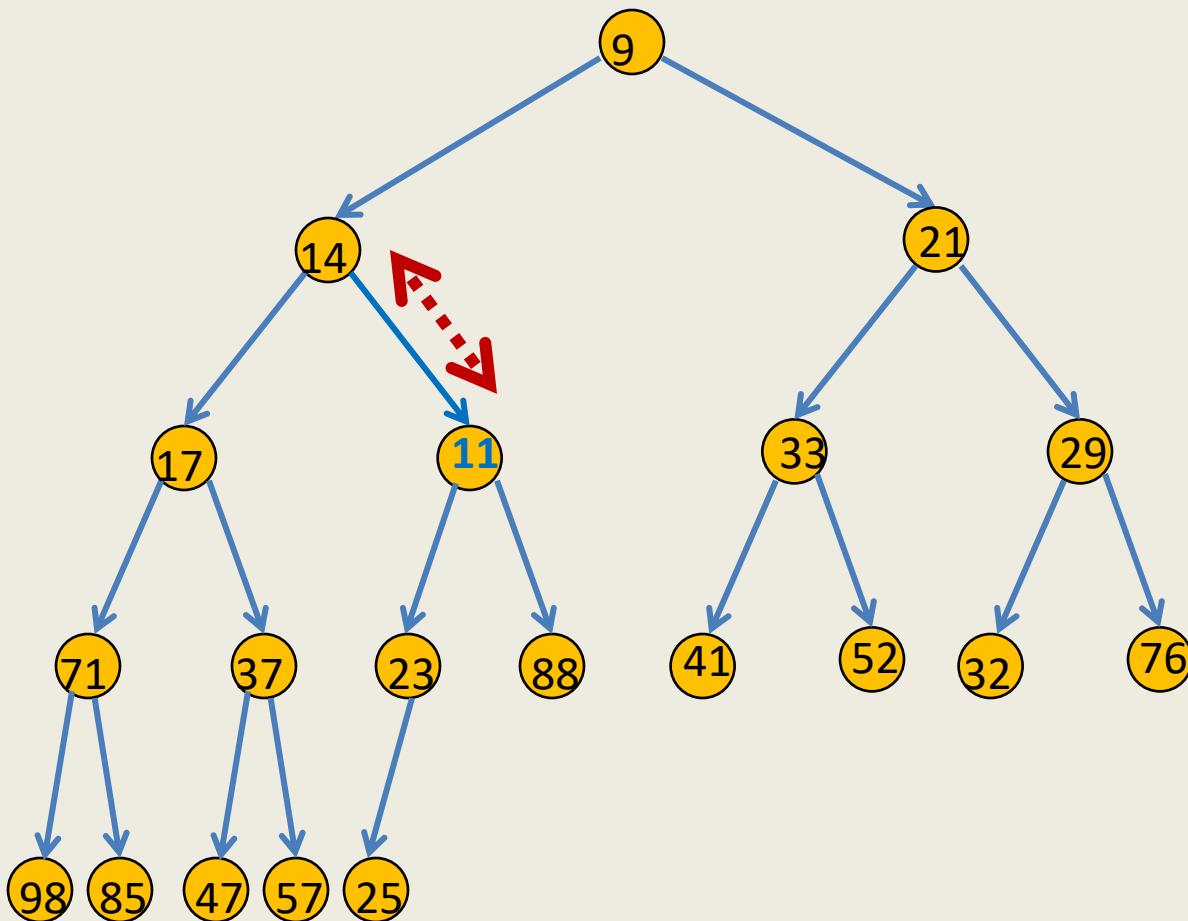


H	9	14	21	17	23	33	29	71	37	25	88	41	52	32	76	98	85	47	57	11
---	---	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----

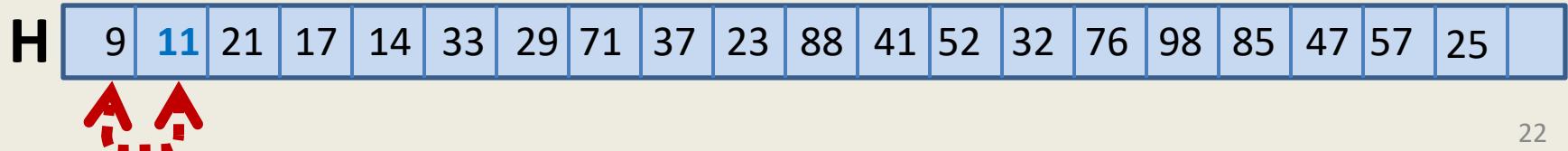
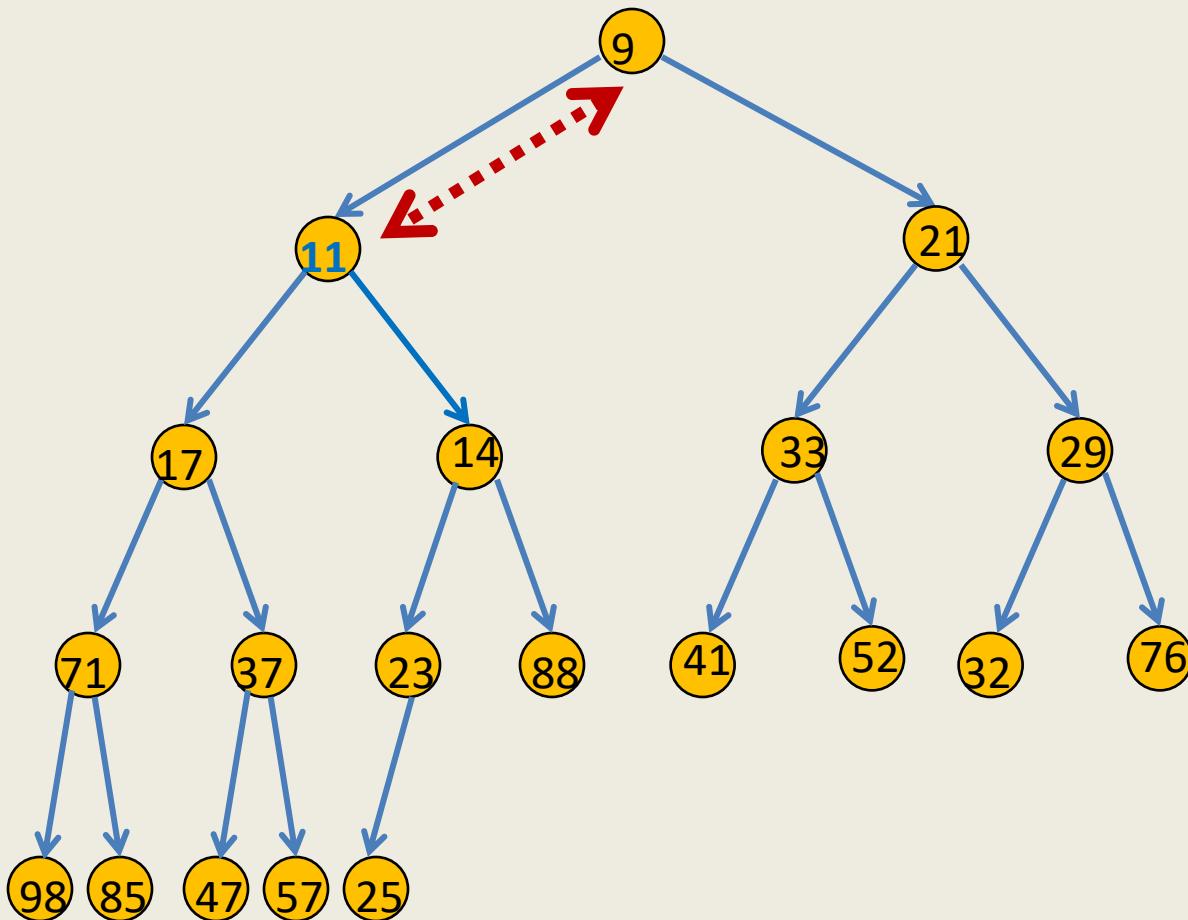
Insert(x , H)



Insert(x, H)



Insert(x, H)



Insert(x,H)

Insert(x,H)

```
{   i < size(H);  
    H(size) < x;  
    size(H) < size(H) + 1;  
    While(           i > 0           and  H(i) < H([(i - 1)/2]) )  
    {  
        H(i) ↔ H([(i - 1)/2]);  
        i <- [(i - 1)/2];  
    }  
}
```

Time complexity: $O(\log n)$

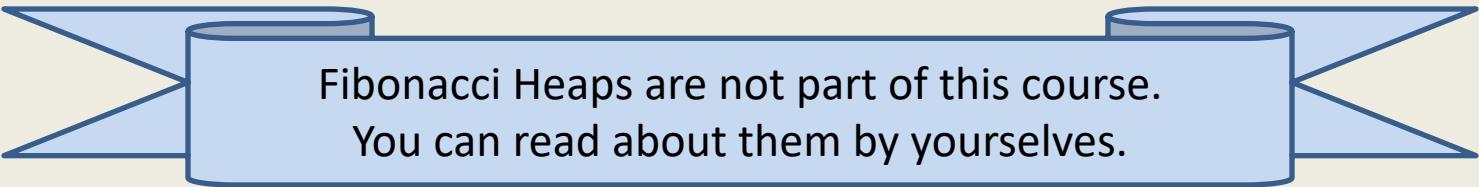
The remaining operations on Binary heap

- **Decrease-key(p, Δ, H):** decrease the value of the key p by amount Δ .
- Similar to **Insert(x, H)**.
- **$O(\log n)$ time**
- Do it as an exercise
- **Merge(H_1, H_2):** Merge two heaps H_1 and H_2 .
- **$O(n)$ time** where n = total number of elements in H_1 and H_2
(This is because of the array implementation)

Other heaps

Fibonacci heap : a link based data structure.

	Binary heap	Fibonacci heap
Find-min(H)	$O(1)$	$O(1)$
Insert(x, H)	$O(\log n)$	$O(1)$
Extract-min(H)	$O(\log n)$	$O(\log n)$
Decrease-key(p, Δ, H)	$O(\log n)$	$O(1)$
Merge(H_1, H_2)	$O(n)$	$O(1)$



Fibonacci Heaps are not part of this course.
You can read about them by yourselves.

Building a Binary heap

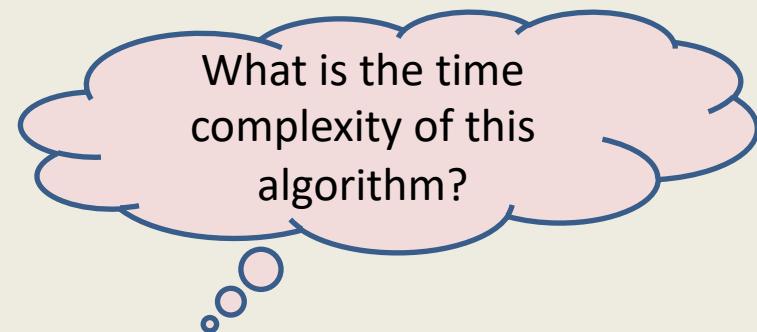
Building a Binary heap

Problem: Given n elements $\{x_0, \dots, x_{n-1}\}$, build a binary **heap H** storing them.

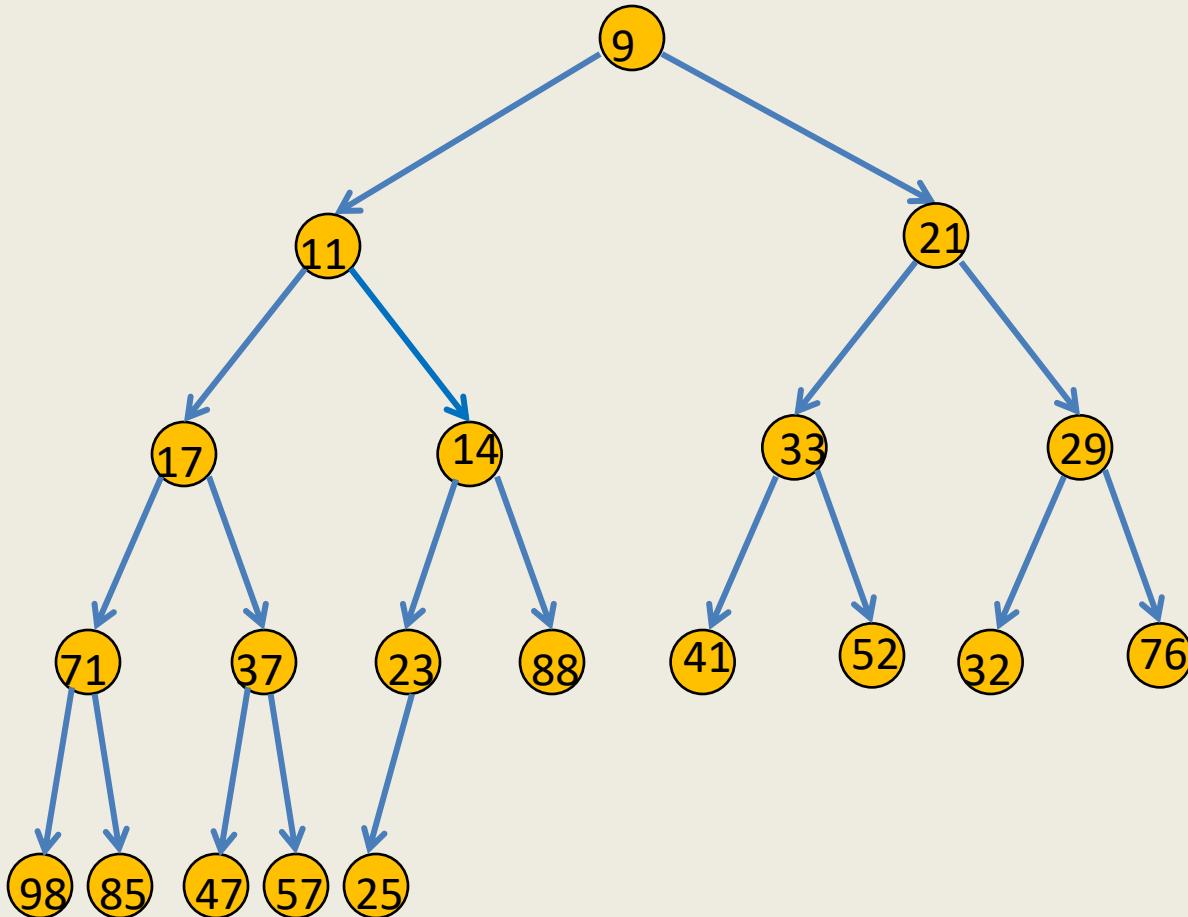
Trivial solution:

(Building the Binary heap **incrementally**)

```
CreateHeap( $H$ );  
For(  $i = 0$  to  $n - 1$  )  
    Insert( $x_i, H$ );
```



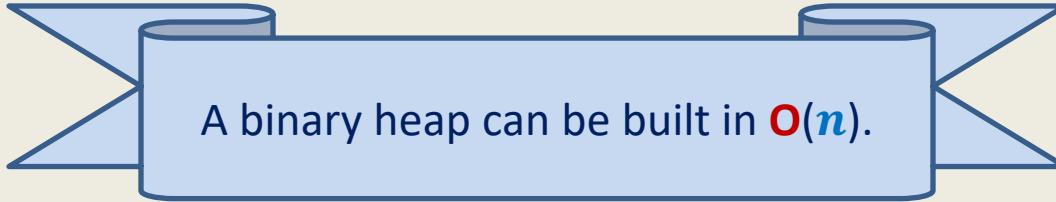
Building a Binary heap incrementally



H	9	11	21	17	14	33	29	71	37	23	88	41	52	32	76	98	85	47	57	25
---	---	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----

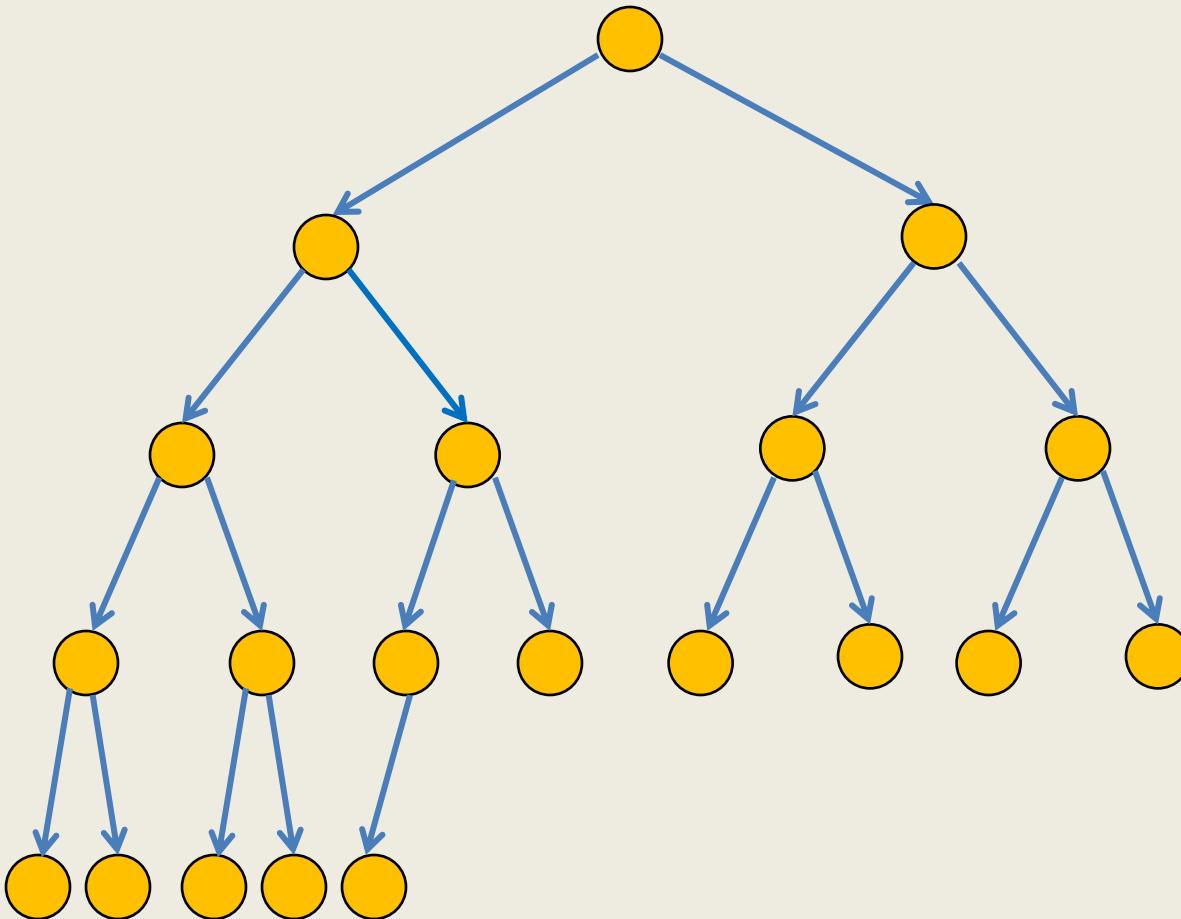
Time complexity

Theorem: Time complexity of building a binary heap **incrementally** is $O(n \log n)$.



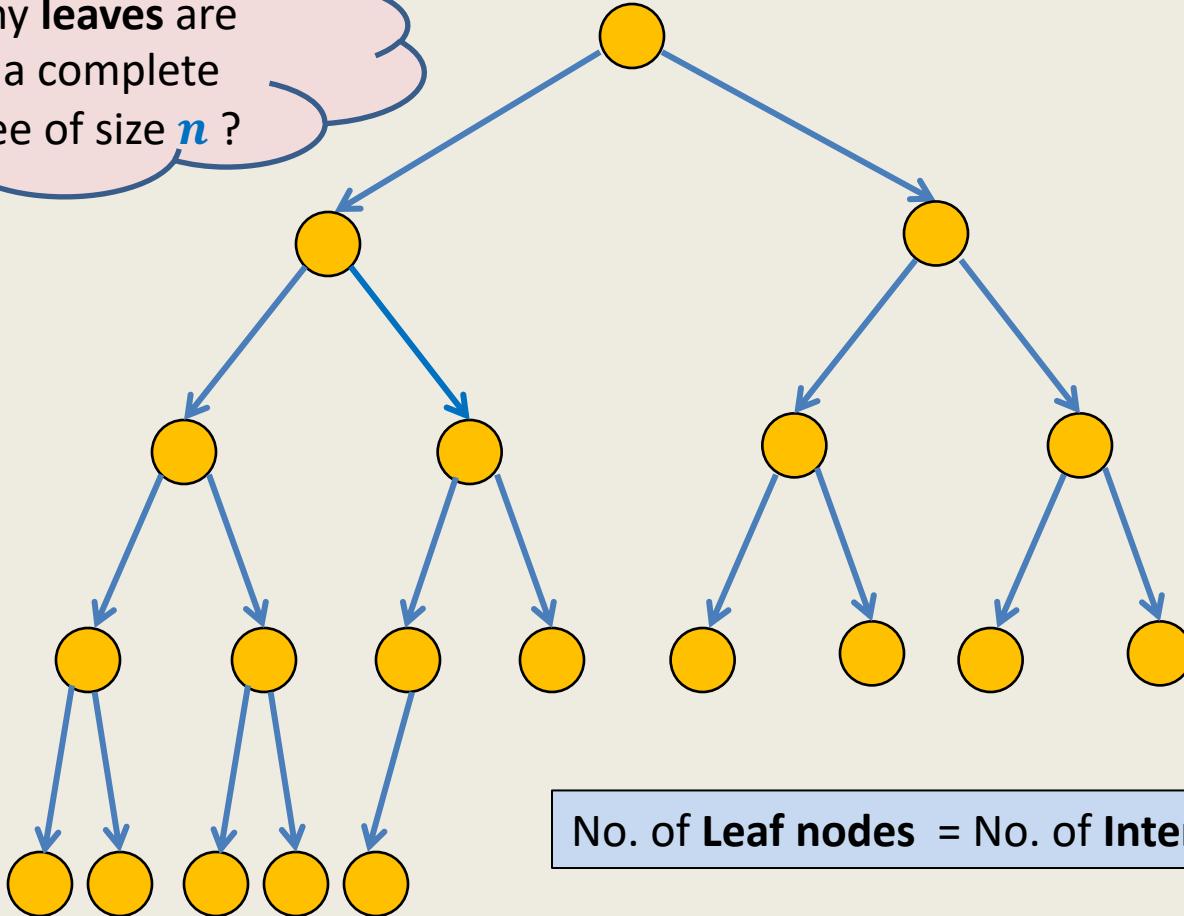
A binary heap can be built in $O(n)$.

A complete binary tree



A complete binary tree

How many **leaves** are there in a complete Binary tree of size n ?

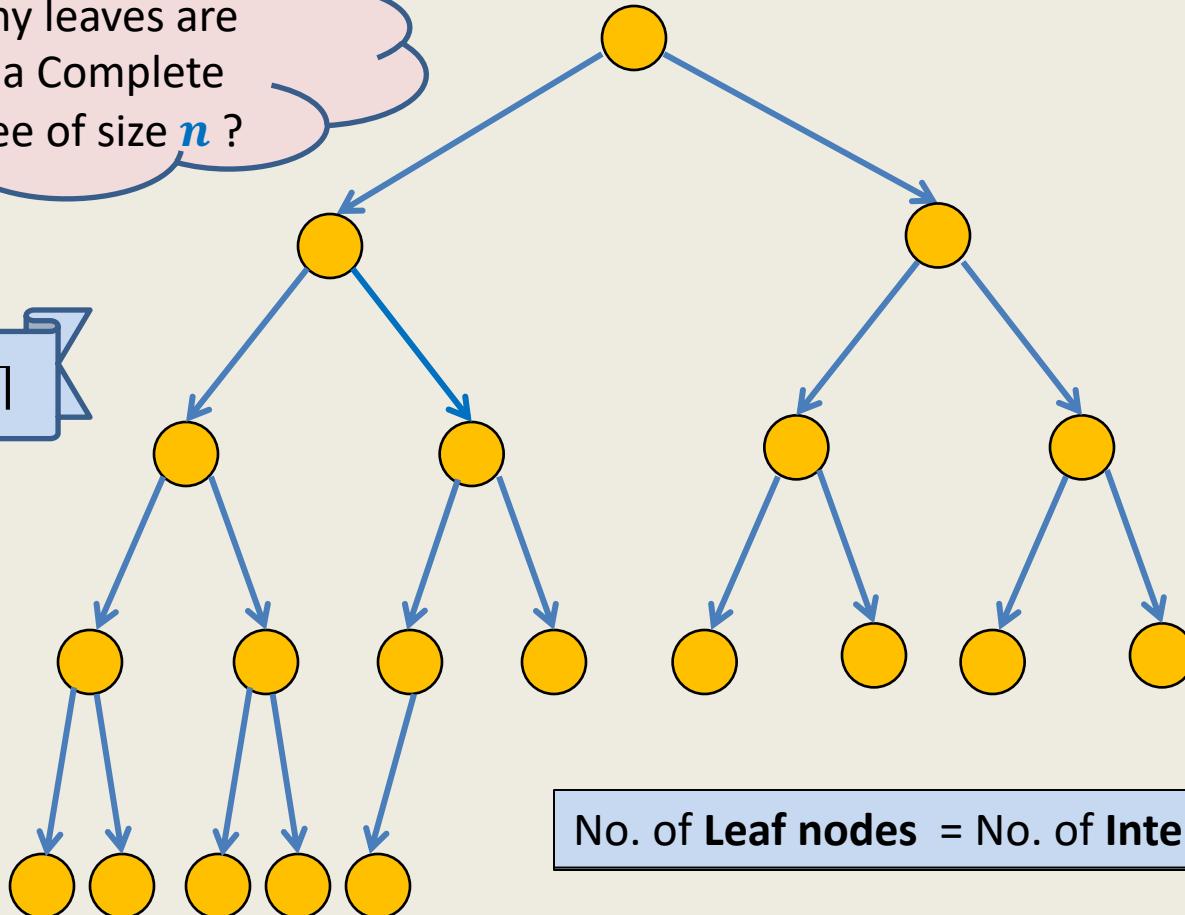


No. of Leaf nodes = No. of Internal nodes + 1

A complete binary tree

How many leaves are there in a Complete Binary tree of size n ?

$[n/2]$

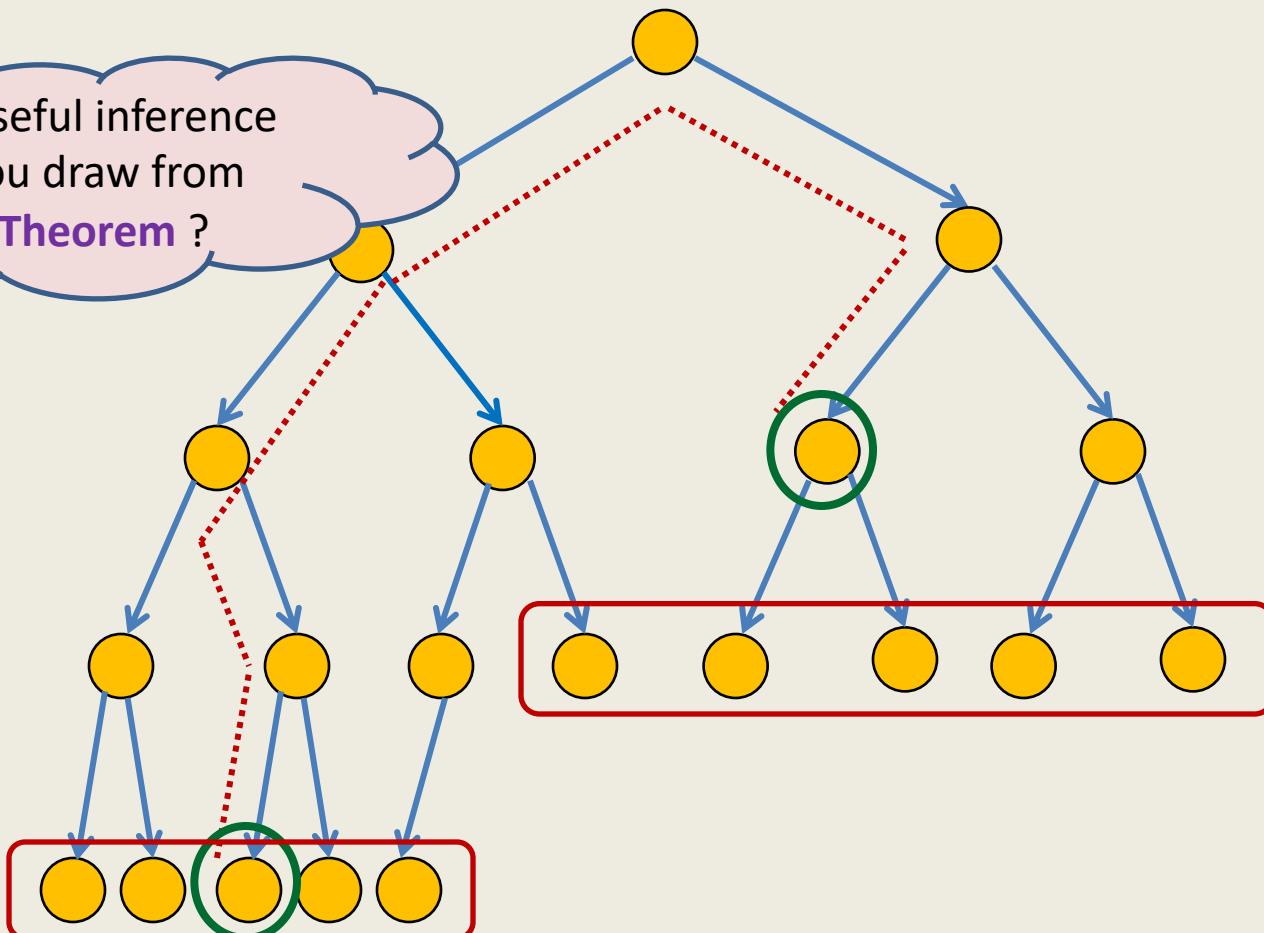


No. of Leaf nodes = No. of Internal nodes

1

Building a Binary heap incrementally

What useful inference
can you draw from
this **Theorem** ?



Top-down
approach

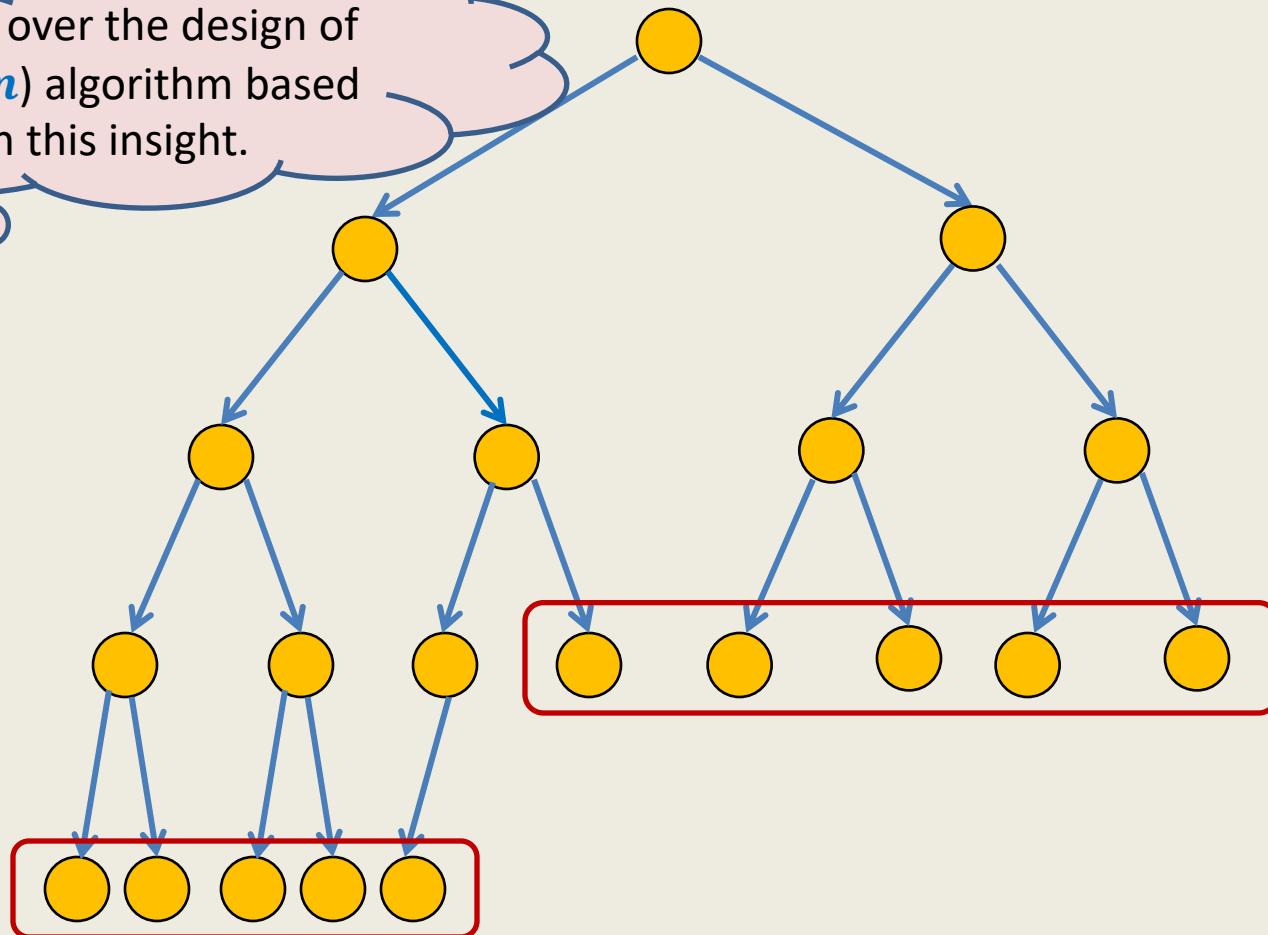
The time complexity for inserting a leaf node = $O(\log n)$

leaf nodes = $\lceil n/2 \rceil$,

→ **Theorem:** Time complexity of building a binary heap incrementally is $O(n \log n)$.

Building a Binary heap incrementally

Ponder over the design of the $O(n)$ algorithm based on this insight.



Top-down approach

The $O(n)$ time algorithm must take $O(1)$ time for each of the $[n/2]$ leaves.

Data Structures and Algorithms

(ESO207)

Lecture 29:

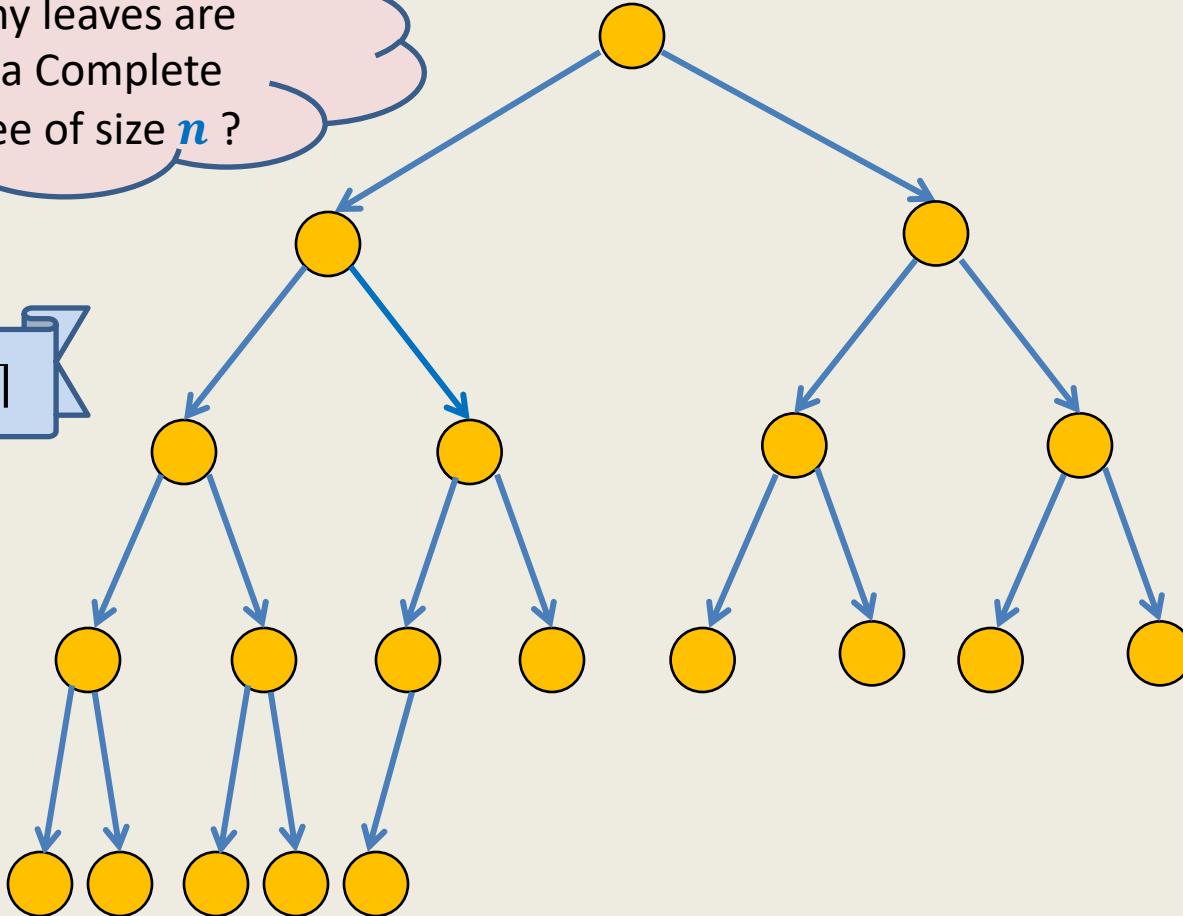
- Building a Binary heap on n elements in $O(n)$ time.
- Applications of Binary heap : sorting
- Binary trees: beyond searching and sorting

Recap from the last lecture

A complete binary tree

How many leaves are there in a Complete Binary tree of size n ?

$[n/2]$



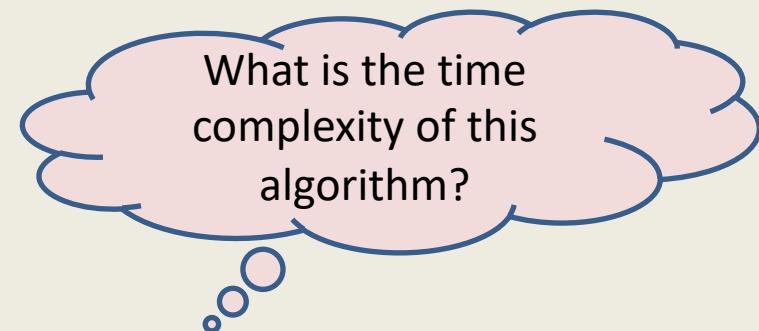
Building a Binary heap

Problem: Given n elements $\{x_0, \dots, x_{n-1}\}$, build a binary heap H storing them.

Trivial solution:

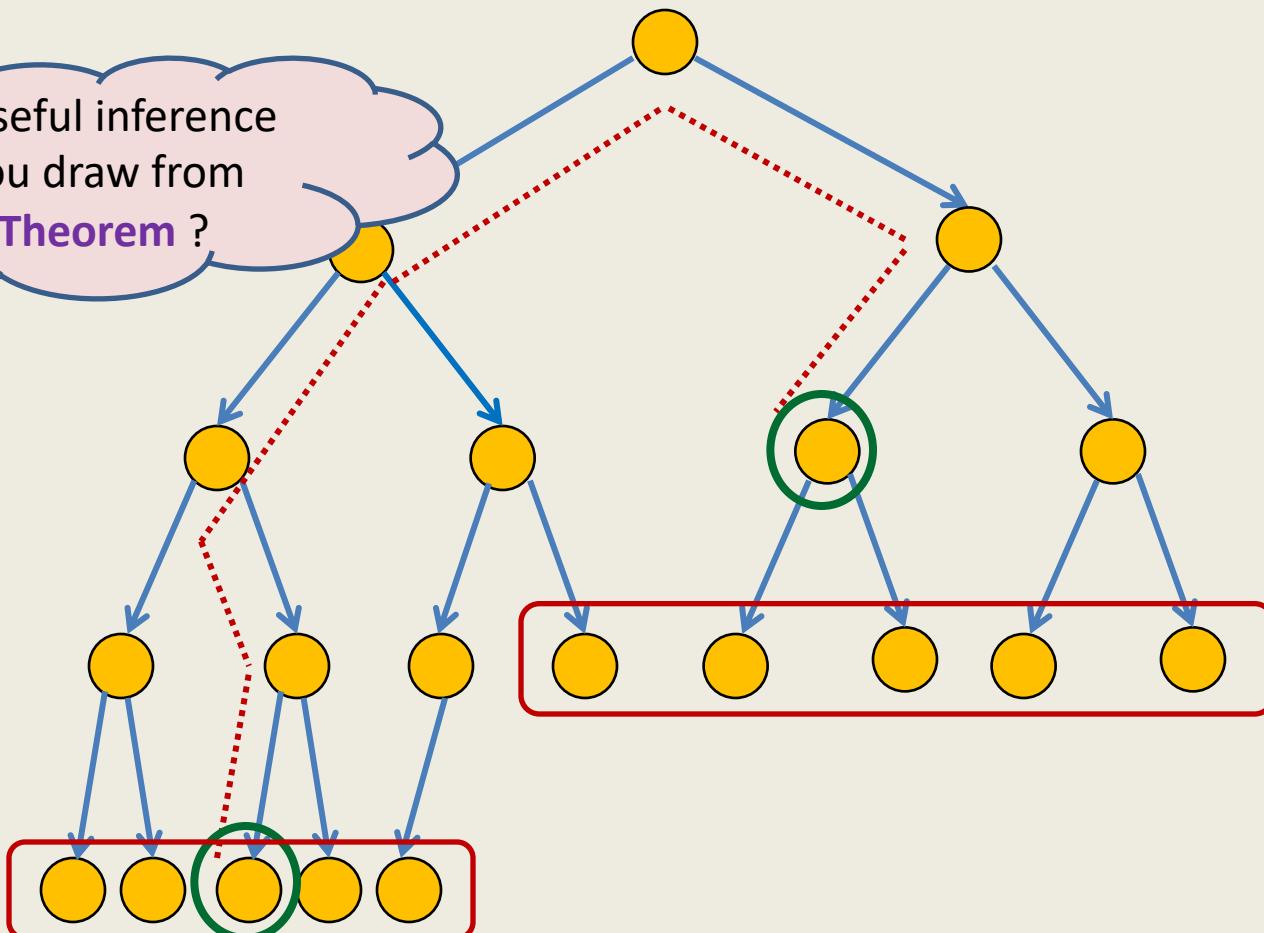
(Building the Binary heap **incrementally**)

```
CreateHeap(H);  
For(  $i = 0$  to  $n - 1$  )  
    Insert( $x_i, H$ );
```



Building a Binary heap incrementally

What useful inference
can you draw from
this **Theorem** ?



Top-down
approach



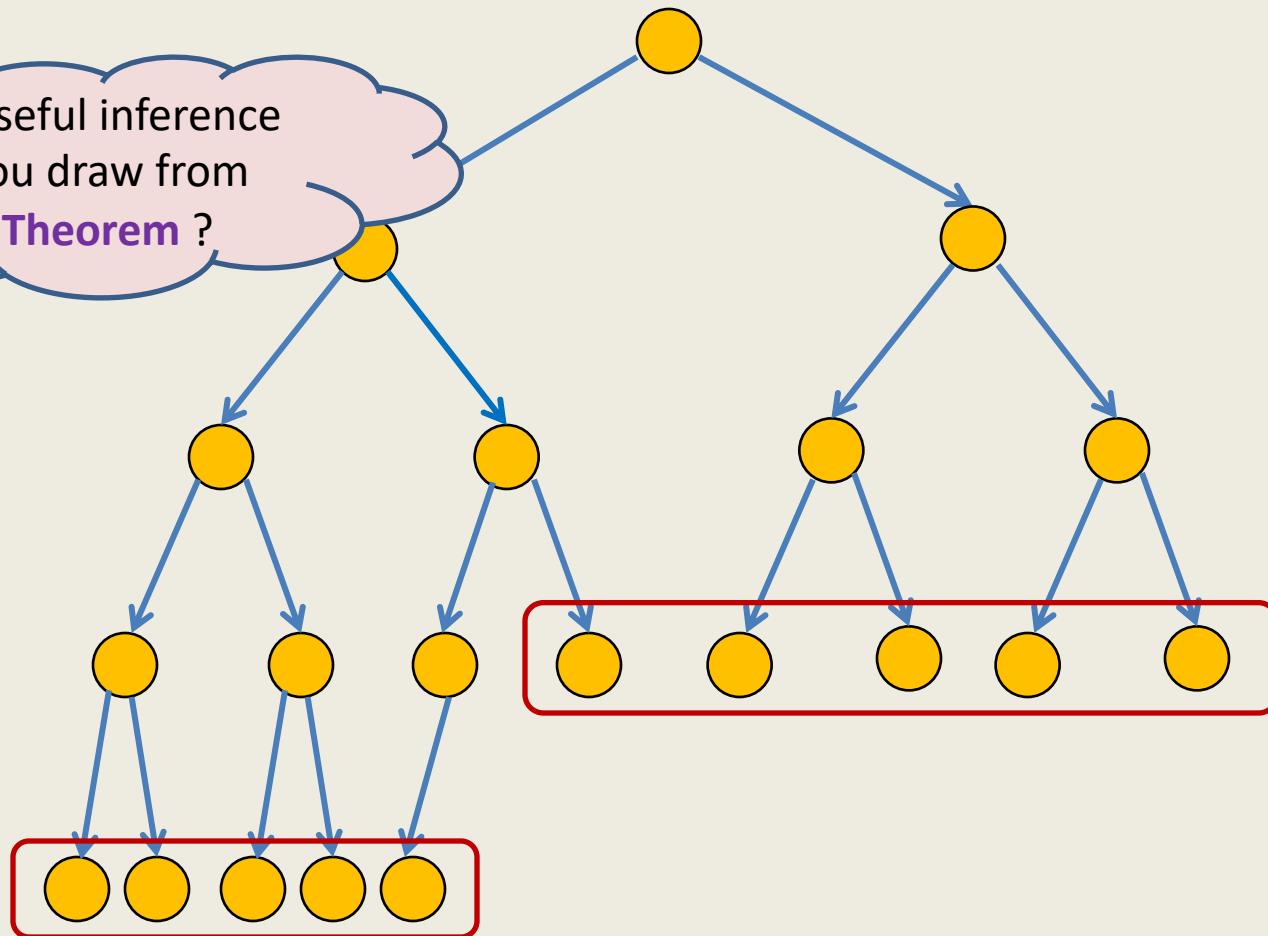
The time complexity for inserting a leaf node = $O(\log n)$

leaf nodes = $\lceil n/2 \rceil$,

→ **Theorem:** Time complexity of building a binary heap incrementally is $O(n \log n)$.

Building a Binary heap incrementally

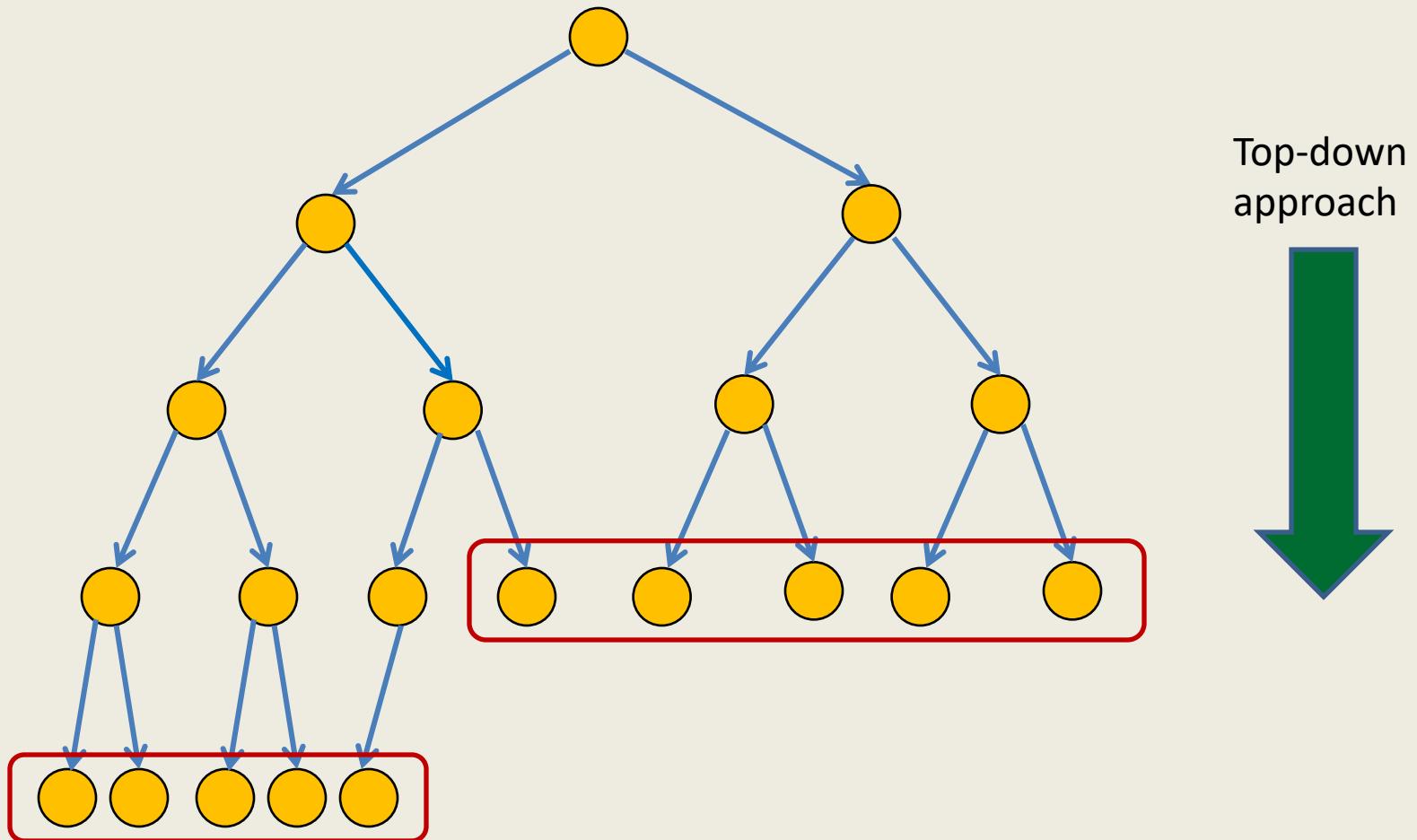
What useful inference
can you draw from
this **Theorem** ?



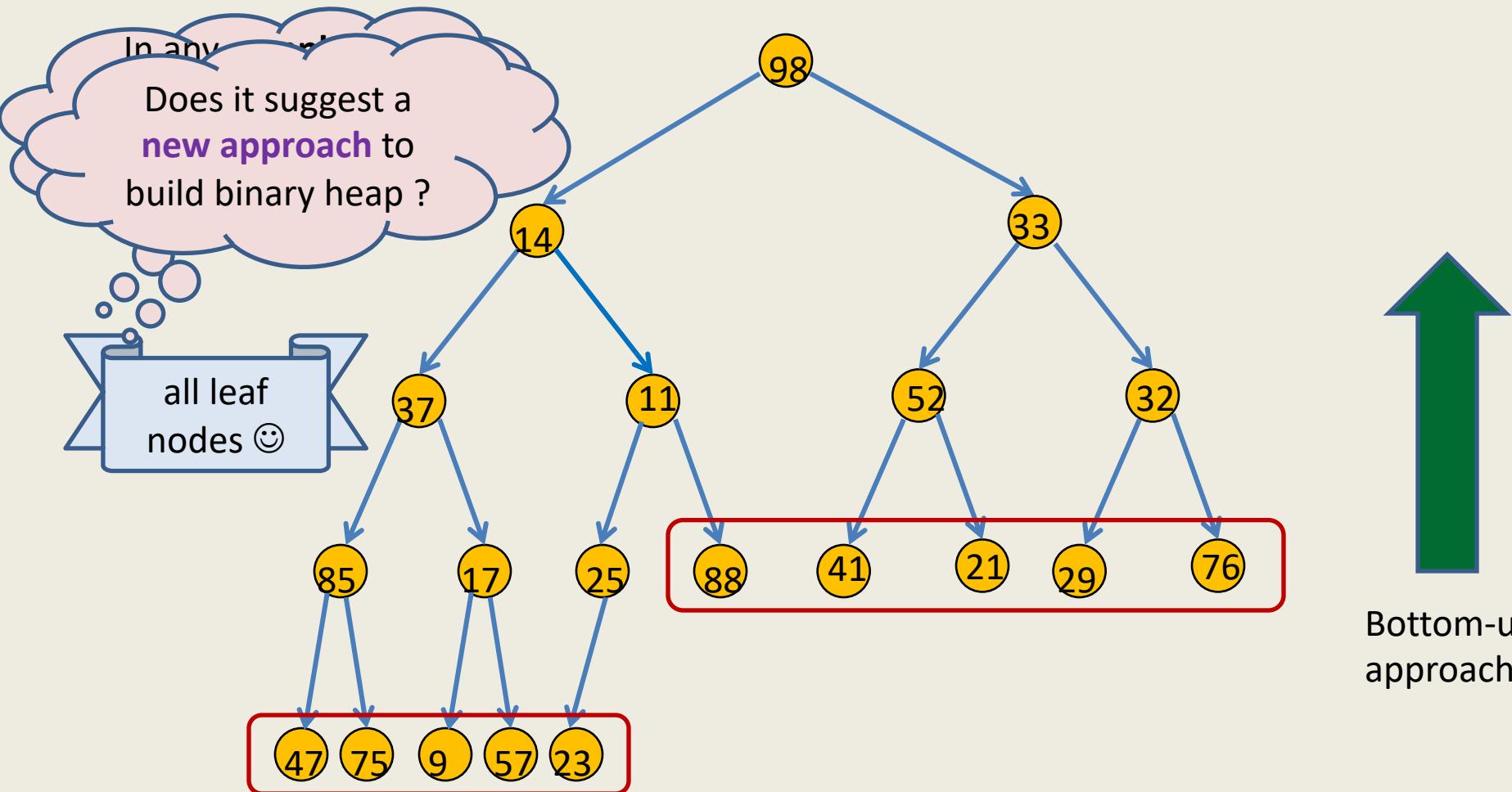
Top-down
approach

The **O(n)** time algorithm must take **O(1)** time
for each of the **[$n/2$]** leaves.

Building a Binary heap incrementally



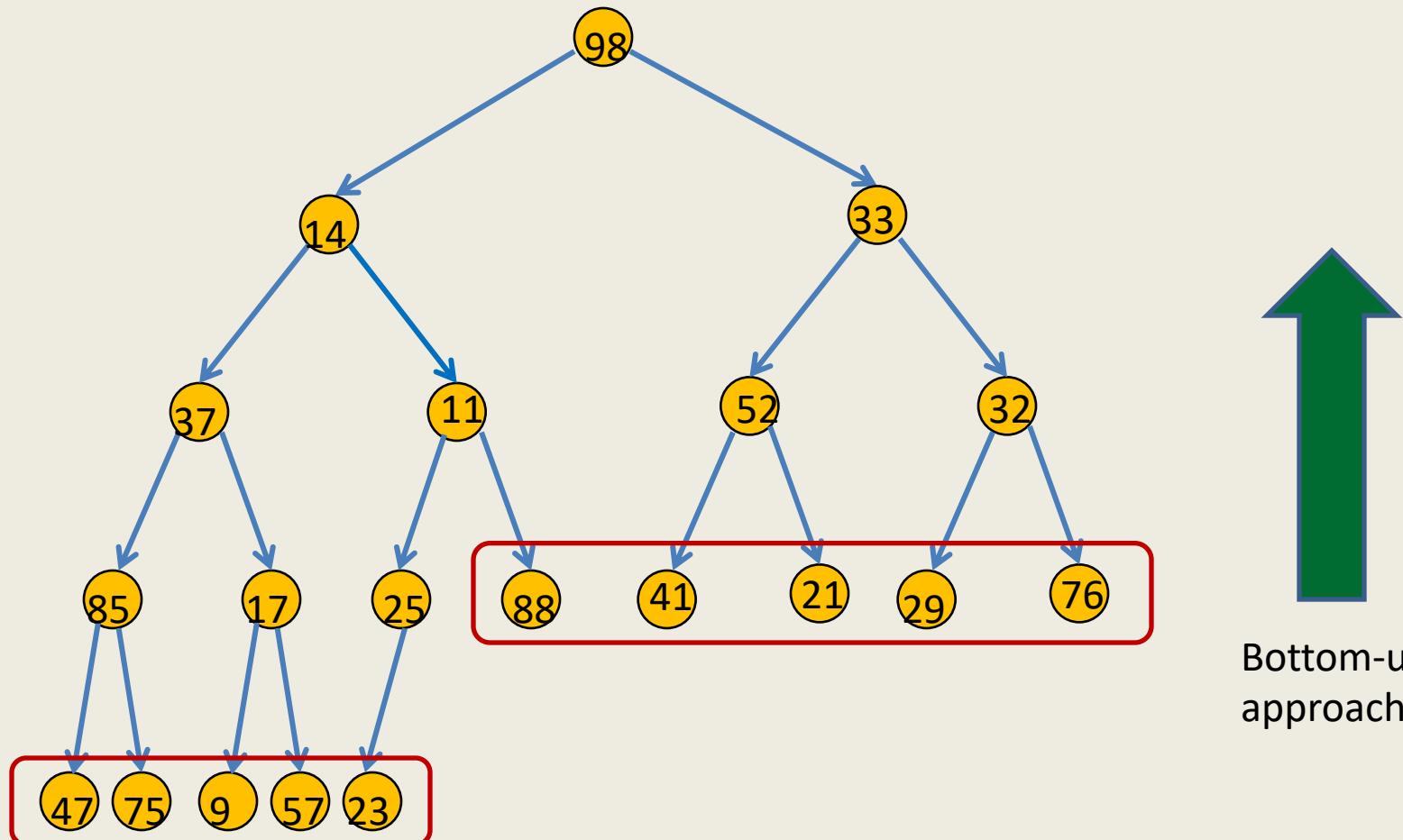
Think of alternate approach for building a binary heap



heap property: “Every **node** stores value smaller than its **children**”

We just need to ensure this property at each node.

Think of alternate approach for building a binary heap



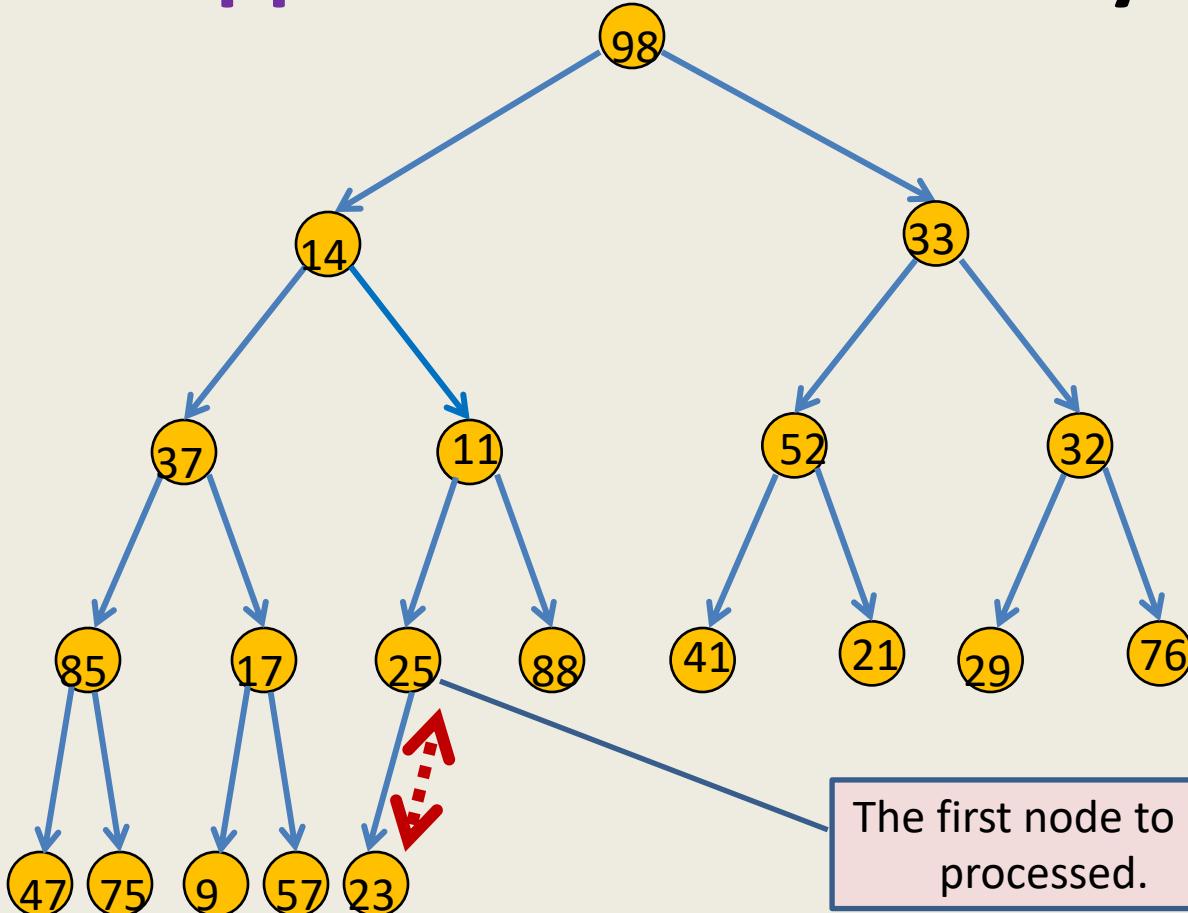
heap property: “Every **node** stores value smaller than its **children**”

We just need to ensure this property at each node.

A new approach to build binary heap

1. Just copy the given n elements $\{x_0, \dots, x_{n-1}\}$ into an array H .
2. The **heap property** holds for all the leaf nodes in the corresponding complete binary tree.
3. Leaving all the leaf nodes,
process the elements in the decreasing order of their numbering
and set the heap property for each of them.

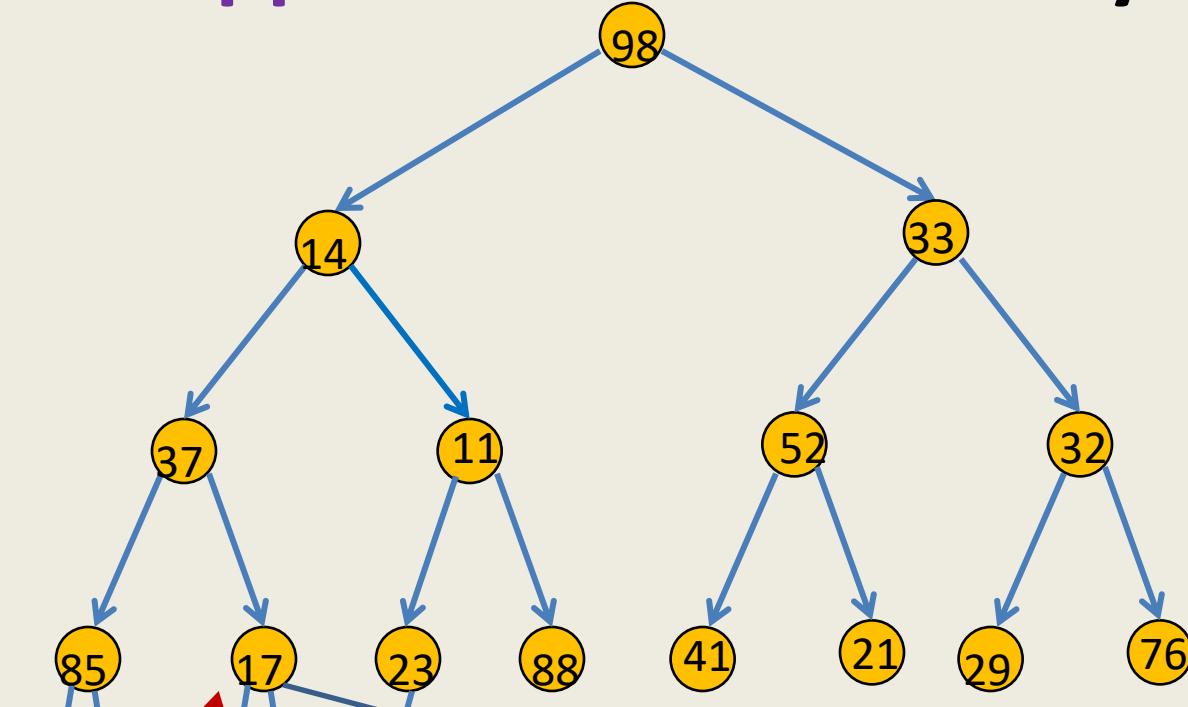
A new approach to build binary heap



H	98	14	33	37	11	52	32	85	17	25	88	41	21	29	76	47	75	9	57	23
---	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	---	----	----



A new approach to build binary heap

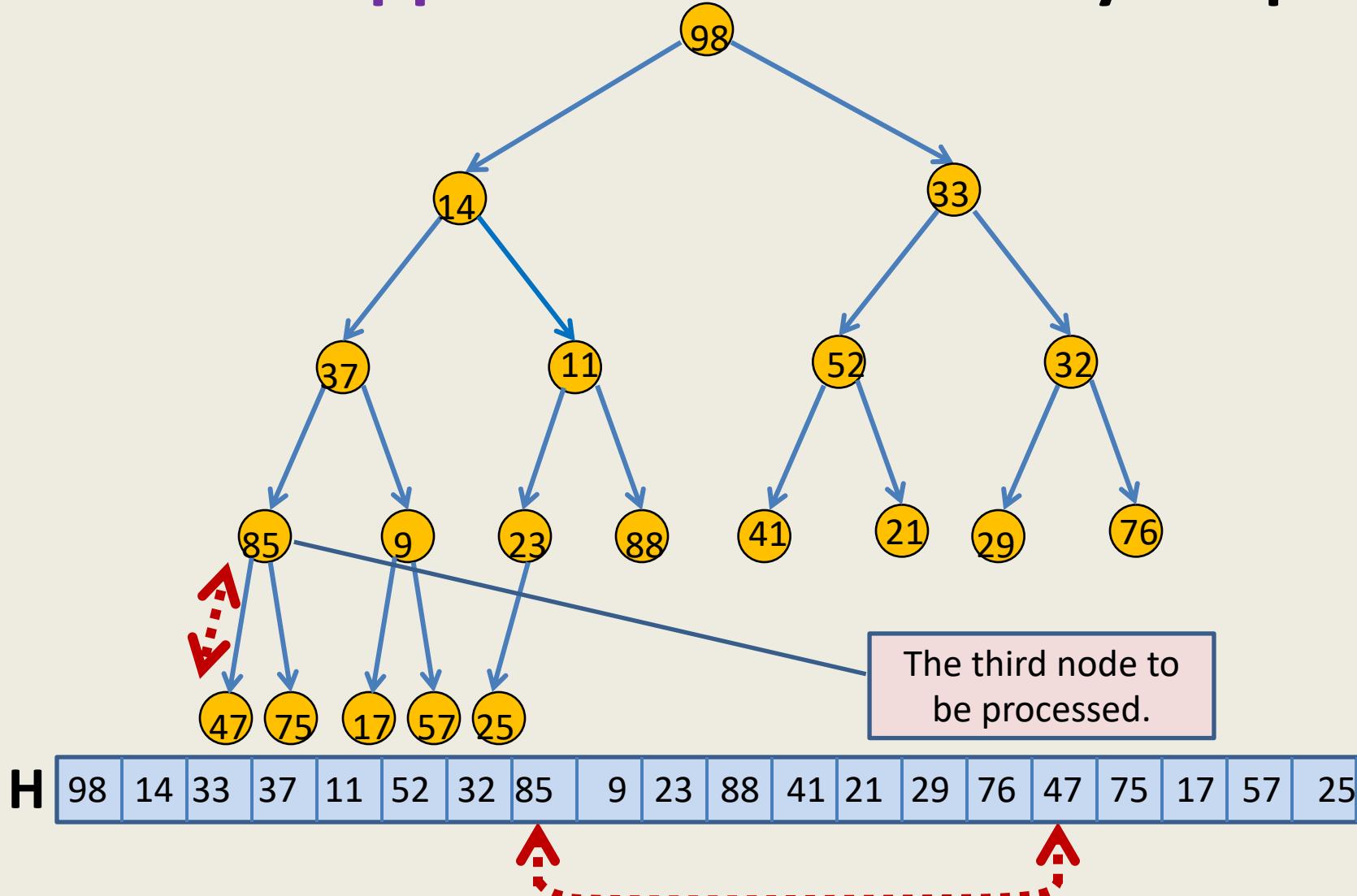


The second node to be processed.

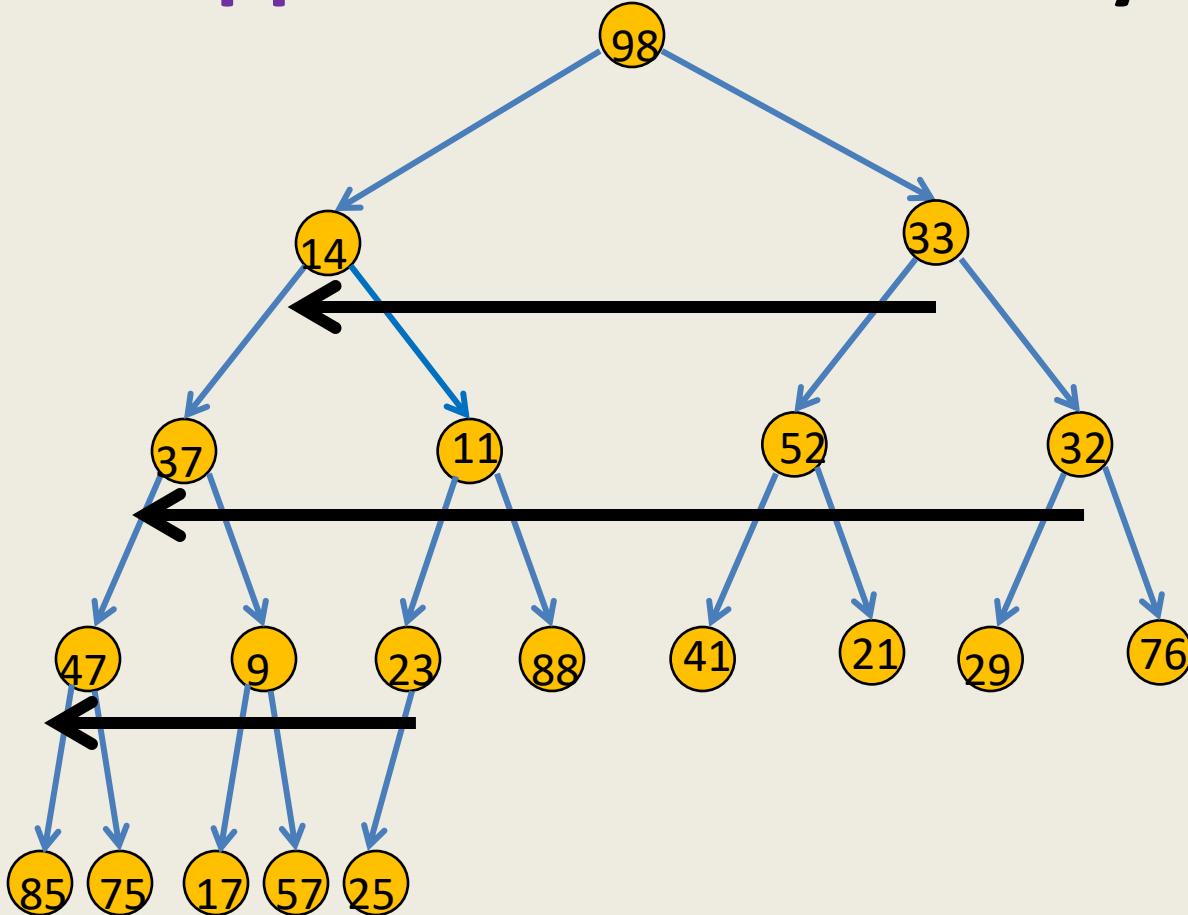
H	98	14	33	37	11	52	32	85	17	23	88	41	21	29	76	47	75	9	57	25
---	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	---	----	----



A new approach to build binary heap

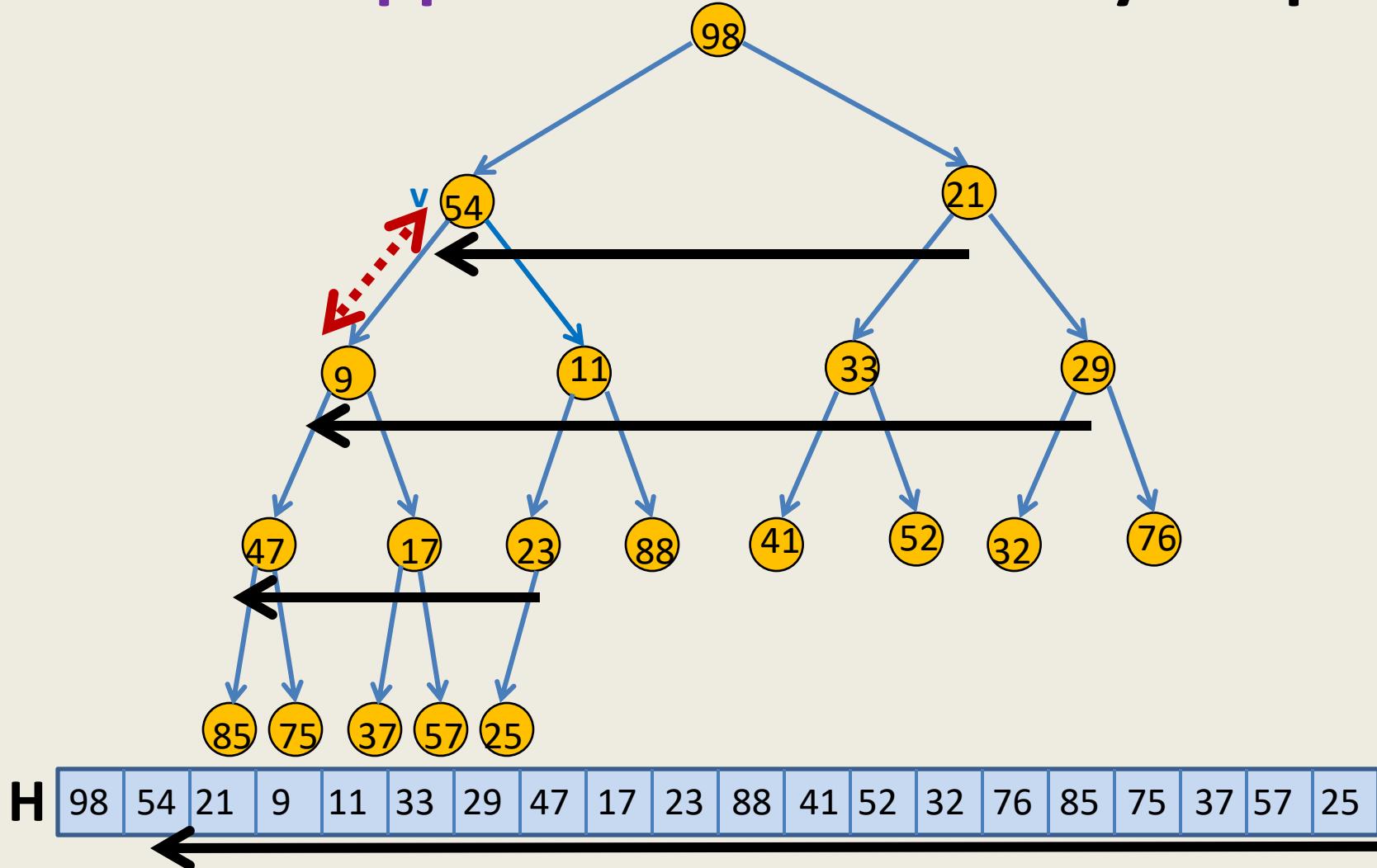


A new approach to build binary heap

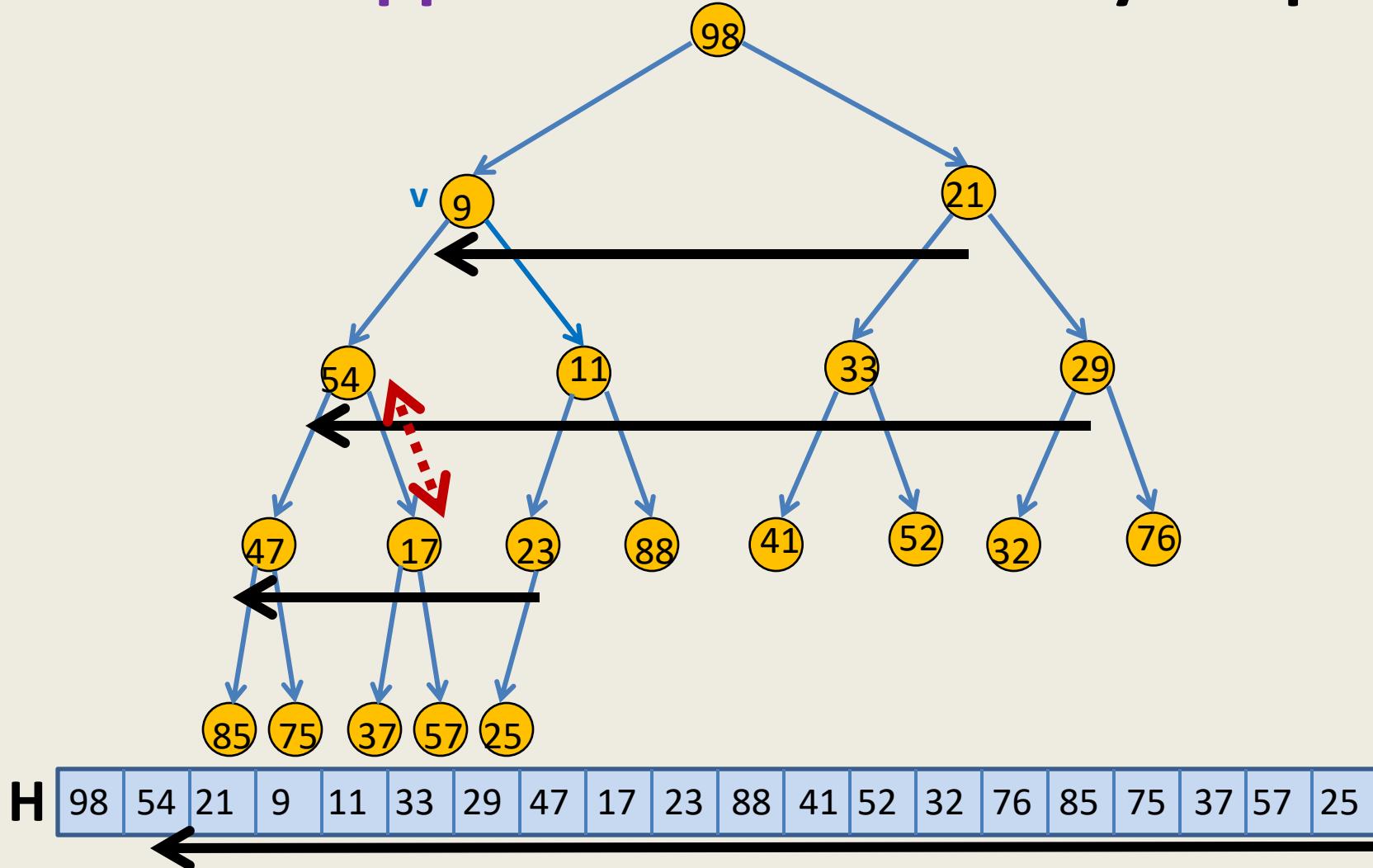


H	98	14	33	37	11	52	32	47	9	23	88	41	21	29	76	85	75	17	57	25
---	----	----	----	----	----	----	----	----	---	----	----	----	----	----	----	----	----	----	----	----

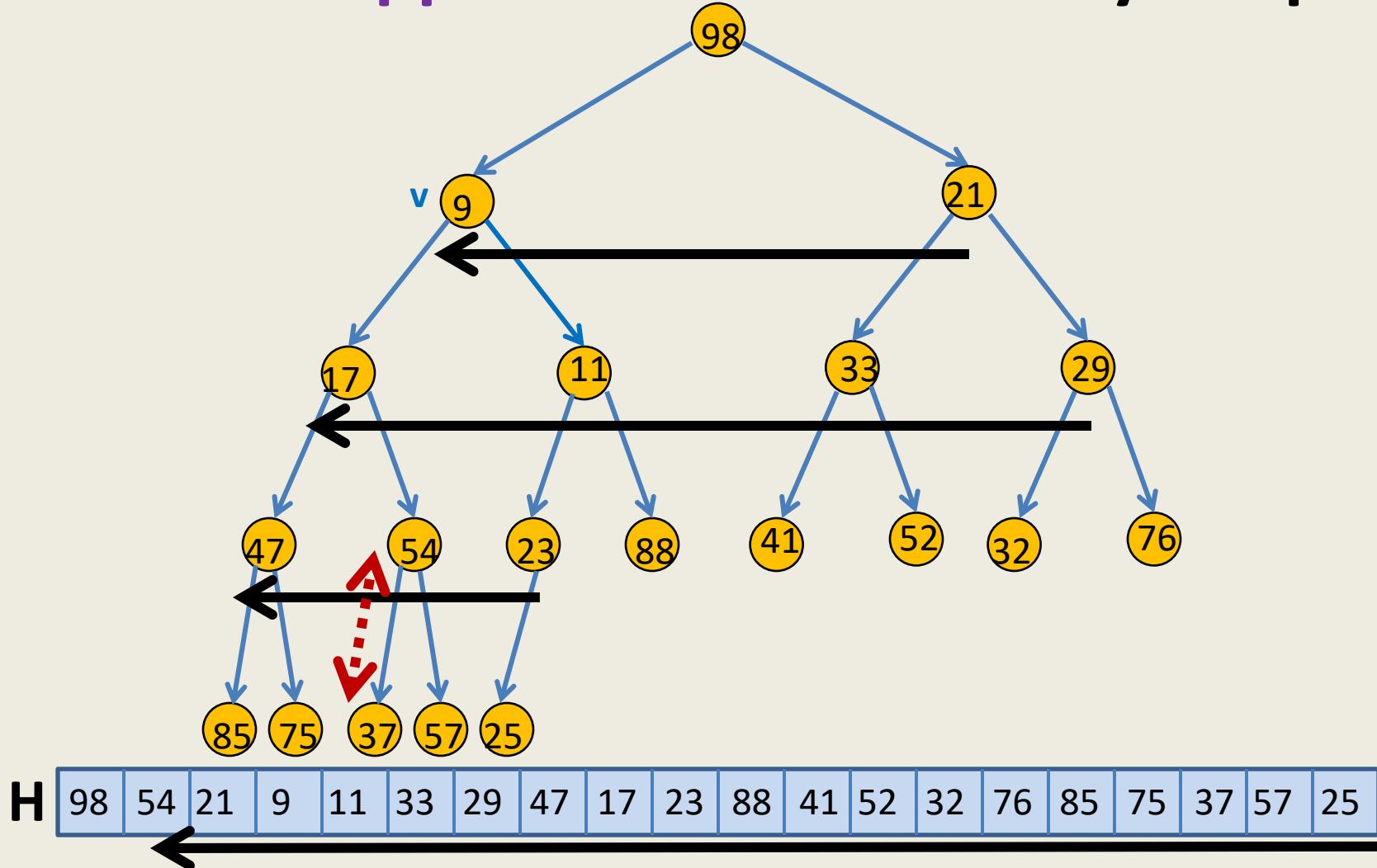
A new approach to build binary heap



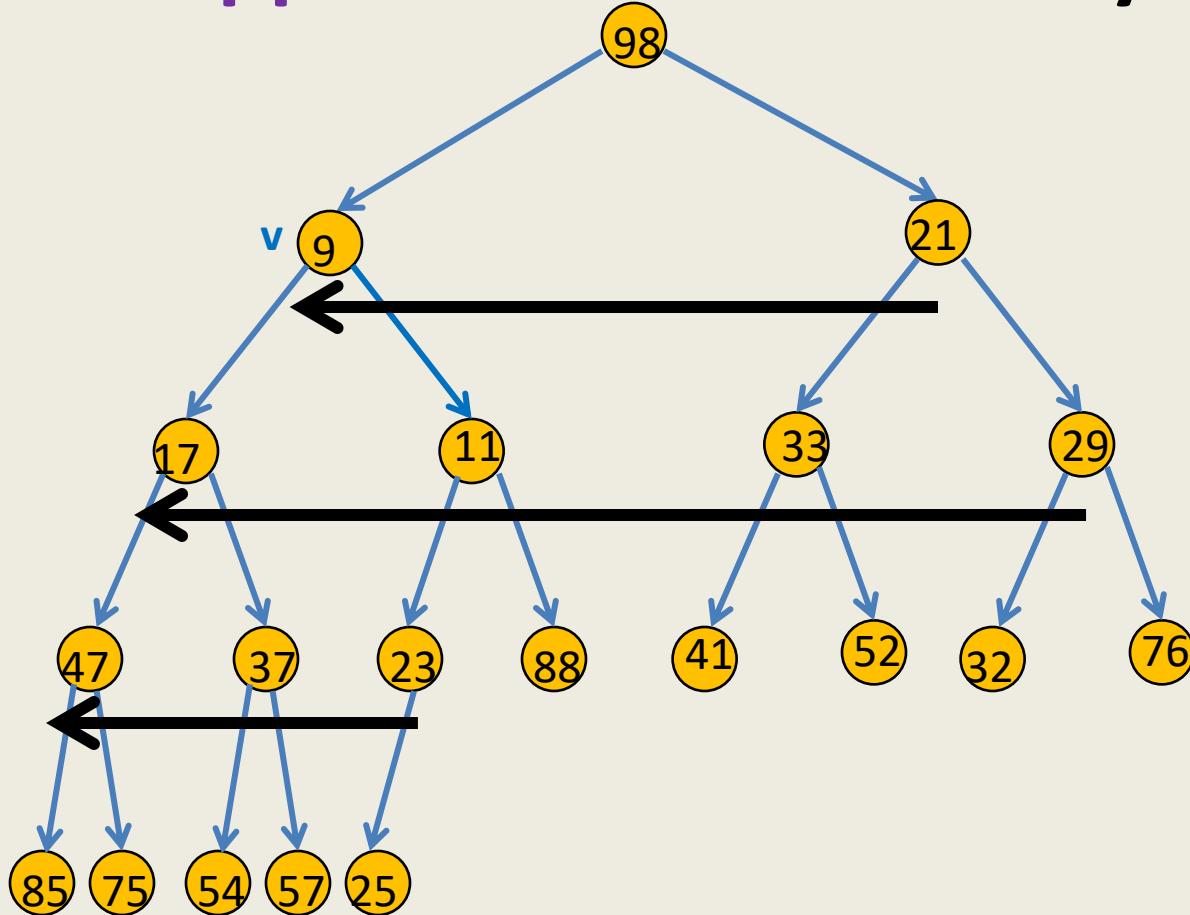
A new approach to build binary heap



A new approach to build binary heap



A new approach to build binary heap



Let v be a node corresponding to index i in H .
The process of restoring heap property at i called **Heapify(i, H)**.

Heapify(i, H)

Heapify(i, H)

{ $n \leftarrow \text{size}(H) - 1$;

While (? and ?)

{

For node i , compare its value with those of its children

If it is smaller than any of its children

→ Swap it with **smallest** child
and move down ...

Else stop !

}

}

Heapify(*i*,H)

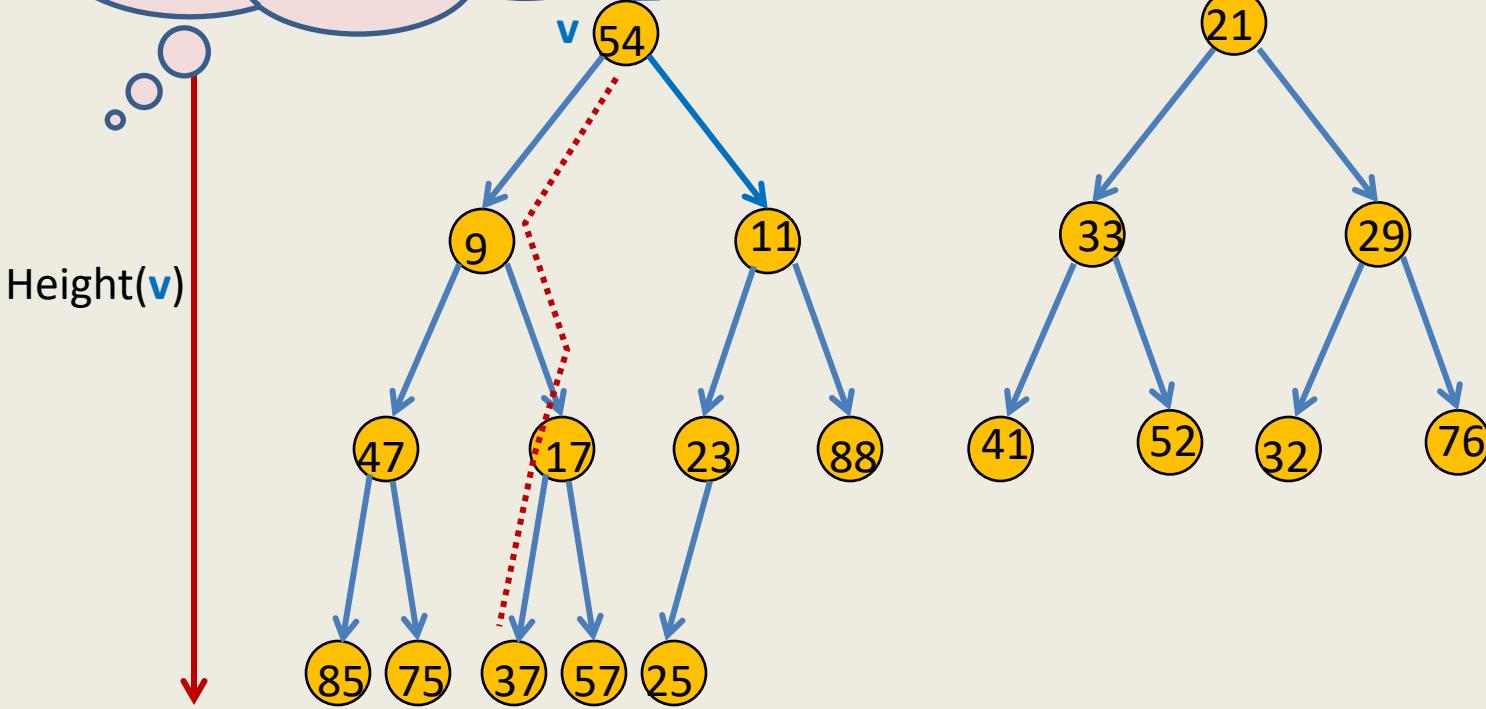
Heapify(*i*,H)

```
{   n < size(H) -1 ;
    Flag < true;
    While ( i ≤ ⌊(n-1)/2⌋ and Flag = true )
    {
        min < i;
        If( H[i]>H[2i + 1] ) min < 2i + 1;
        If( 2i + 2 ≤ n and H[min]>H[2i + 2] ) min < 2i + 2;
        If(min ≠ i)
            { H(i) ↔ H(min);
              i < min; }
        else
            Flag < false;
    }
}
```

Building Binary heap in $O(n)$ time

How many nodes of height h can there be in a complete Binary tree of n nodes ?

Time to heapify node v ?



H	98	54	21	9	11	33	29	47	17	23	88	41	52	32	76	85	75	37	57	25
---	----	----	----	---	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----

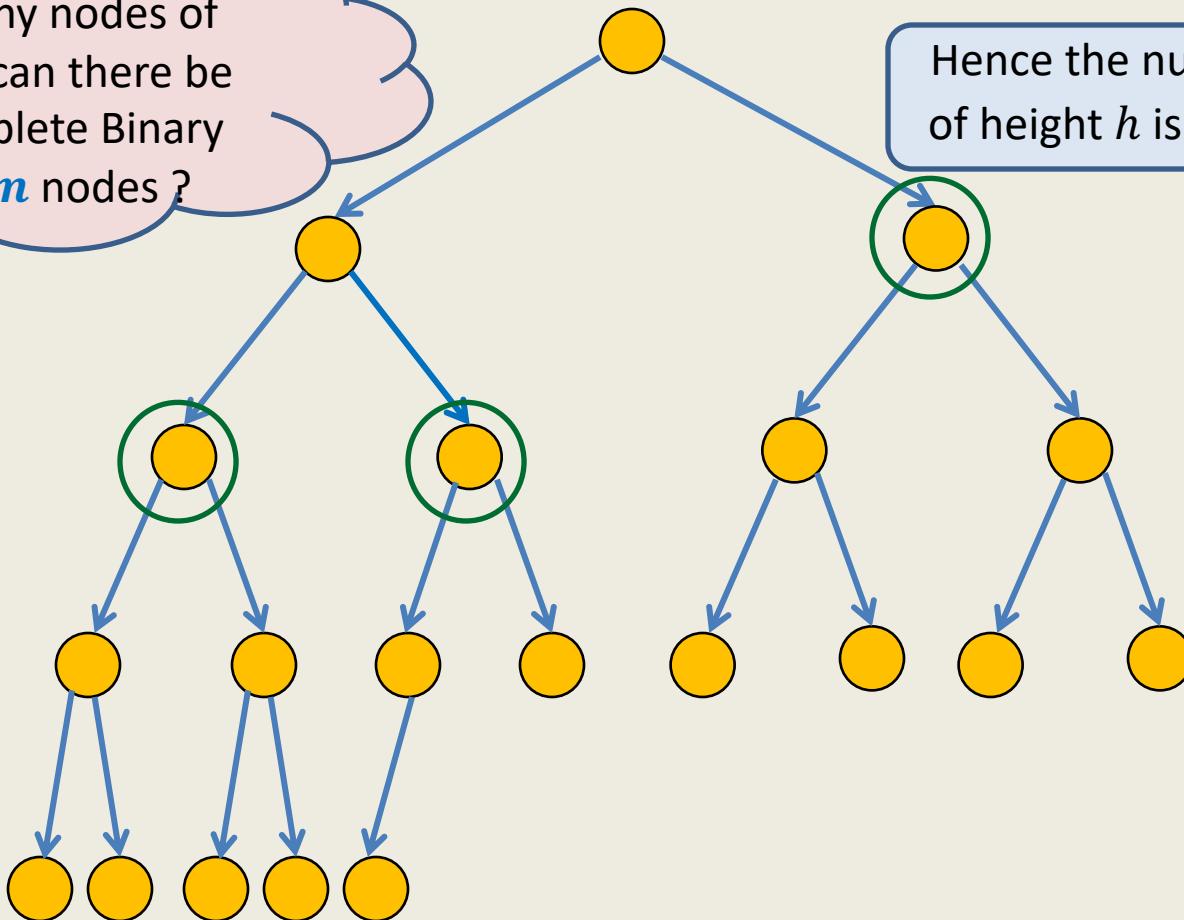
Time complexity of algorithm = $\sum_h O(h) \cdot N(h)$

No. of nodes of height h

A complete binary tree

How many nodes of height h can there be in a complete Binary tree of n nodes?

Hence the number of nodes of height h is bounded by $\frac{n}{2^h}$



Each subtree is also a complete binary tree.

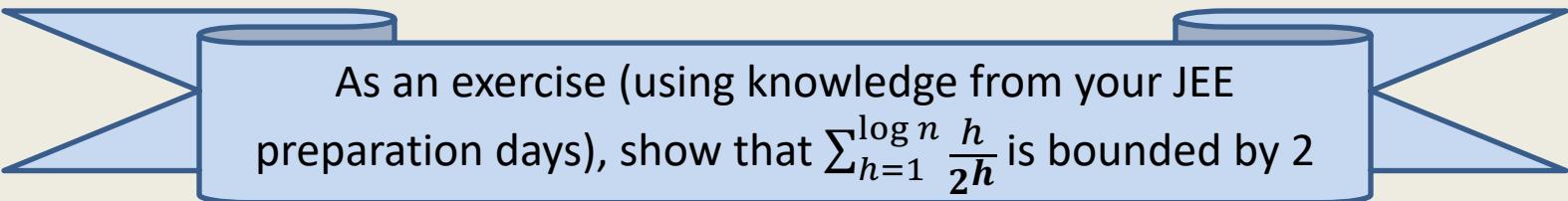
→ A subtree of height h has at least 2^h nodes

Moreover, no two subtrees of height h in the given tree have any element in common

Building Binary heap in $O(n)$ time

Lemma: the number of nodes of height h is bounded by $\frac{n}{2^h}$.

$$\begin{aligned}\text{Hence Time complexity to build the heap} &= \sum_{h=1}^{\log n} \frac{n}{2^h} O(h) \\ &= n \cdot c \cdot \sum_{i=1}^{\log n} \frac{h}{2^h} \\ &= O(n)\end{aligned}$$



As an exercise (using knowledge from your JEE preparation days), show that $\sum_{h=1}^{\log n} \frac{h}{2^h}$ is bounded by 2

Sorting using a Binary heap

Sorting using heap

Build heap H on the given n elements;

While (H is not empty)

```
{    $x \leftarrow \text{Extract-min}(H);$ 
    print  $x$ ;
}
```

This is **HEAP SORT** algorithm

Time complexity : $O(n \log n)$

Question:

Which is the best sorting algorithm : (**Merge** sort, **Heap** sort, **Quick** sort) ?

Answer: Practice programming assignment ☺

Binary trees: beyond searching and sorting

- **Elegant solution for two interesting problem**
- **An important lesson:**

Lack of **proper understanding** of a problem is a big hurdle to solve the problem

Two interesting problems on sequences

What is a sequence ?

A sequence $\mathbf{S} = \langle x_0, \dots, x_{n-1} \rangle$

- Can be viewed as a mapping from $[0, n]$.
- Order does matter.

Problem 1

Multi-increment

Problem 1

Given an initial sequence $S = \langle x_0, \dots, x_{n-1} \rangle$ of numbers,
maintain a compact data structure to perform the following operations:

- **ReportElement(i):**

Report the current value of x_i .

- **Multi-Increment(i, j, Δ):**

Add Δ to each x_k for each $i \leq k \leq j$

Example:

Let the initial sequence be $S = \langle 14, 12, 23, 12, 111, 51, 321, -40 \rangle$

After **Multi-Increment(2,6,10)**, S becomes

$\langle 14, 12, 33, 22, 121, 61, 331, -40 \rangle$

After **Multi-Increment(0,4,25)**, S becomes

$\langle 39, 37, 58, 47, 146, 61, 331, -40 \rangle$

After **Multi-Increment(2,5,31)**, S becomes

$\langle 39, 37, 89, 78, 177, 92, 331, -40 \rangle$

Problem 1

Given an initial sequence $S = \langle x_0, \dots, x_{n-1} \rangle$ of numbers,
maintain a compact data structure to perform the following operations:

- **ReportElement(i):**

Report the current value of x_i .

- **Multi-Increment(i, j, Δ):**

Add Δ to each x_k for each $i \leq k \leq j$

Trivial solution :

Store S in an array $A[0.. n-1]$ such that $A[i]$ stores the current value of x_i .

- **Multi-Increment(i, j, Δ)**

```
{  
    For ( $i \leq k \leq j$ )      A[k]  $\leftarrow$  A[k] +  $\Delta$ ;  
}
```

```
ReportElement( $i$ ){      return A[i]  }
```

$O(j - i) = O(n)$

$O(1)$

Problem 1

Given an initial sequence $S = \langle x_0, \dots, x_{n-1} \rangle$ of numbers,
maintain a compact data structure to perform the following operations:

- **ReportElement(i):**
Report the current value of x_i .
 - **Multi-Increment(i, j, Δ):**
Add Δ to each x_k for each $i \leq k \leq j$
-

Trivial solution :

Store S in an array $A[0.. n-1]$ such that $A[i]$ stores the current value of x_i .

Question: the source of difficulty in breaking the $O(n)$ barrier for **Multi-Increment()** ?

Answer: we need to explicitly maintain S .

Question: who asked/inspired us to maintain S explicitly.

Answer: 1. incomplete understanding of the problem
2. conditioning based on incomplete understanding

Towards efficient solution of Problem 1

Assumption: without loss of generality assume n is power of 2.

Explore ways to maintain sequence S **implicitly** such that

- **Multi-Increment(i, j, Δ)** is efficient
- **Report(i)** is efficient too.

Main hurdle: To perform **Multi-Increment(i, j, Δ)** efficiently

Problem 2

Dynamic Range-minima

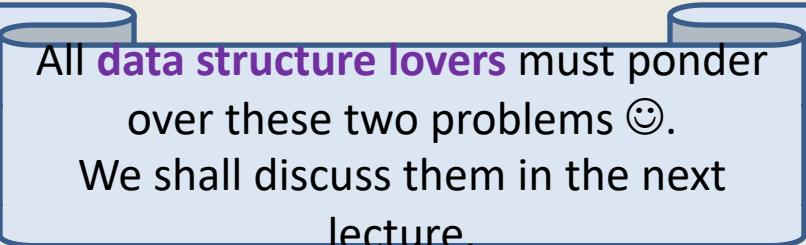
Problem 2

Given an initial sequence $S = \langle x_0, \dots, x_{n-1} \rangle$ of numbers,
maintain a compact data structure to perform the following operations efficiently for
any $0 \leq i < j < n$.

- **ReportMin(i, j):**
Report the minimum element from $\{x_k \mid \text{for each } i \leq k \leq j\}$
- **Update(i, a):**
 a becomes the new value of x_i .

AIM:

- $O(n)$ size data structure.
- ReportMin(i, j) in $O(\log n)$ time.
- Update(i, a) in $O(\log n)$ time.



All **data structure lovers** must ponder
over these two problems 😊.
We shall discuss them in the next
lecture.

Data Structures and Algorithms

(ESO207)

Lecture 30

Binary Trees

Magical applications

Two interesting problems on sequences

Problem 1

Multi-increment

Problem 1

Given an initial sequence $S = \langle x_0, \dots, x_{n-1} \rangle$ of n numbers,
maintain a compact data structure to perform the following operations efficiently :

- **Report(i):**

Report the current value of x_i .

- **Multi-Increment(i, j, Δ):**

Add Δ to x_k

Example:

Let the initial sequence be $S = \langle 14, 12, 23, 12, 111, 51, 321, -40 \rangle$

After **Multi-Increment(2,6,10)**, S becomes

$\langle 14, 12, 33, 22, 121, 61, 331, -40 \rangle$

Trivial solution discussed in the last class :

- $O(n)$ time per **Multi-Increment(i, j, Δ)**
- $O(1)$ time per **Report(i)**

Towards efficient solution of Problem 1

Explore ways to maintain sequence S **implicitly** such that

- **Multi-Increment(i, j, Δ)** is efficient.
- **Report(i)** is efficient too.

Main hurdle: To perform **Multi-Increment(i, j, Δ)** efficiently

Assumption: without loss of generality assume n is power of 2.

A SYSTEMATIC JOURNEY TO THE SOLUTION

A motivating problem

$$S = \{1, 2, 3, \dots, 2^n\}$$

Question:

Can we have a small set $X \subset S$ of numbers s.t.

Every number from S can be expressed as a sum of a few numbers from X ?

Answer: $X = \{1, 2, 4, 8, \dots, 2^n\}$

$$|X| = n$$

1 0 0 0 0 0 0 0 0
1 0 0 0 0 0 0
1 0 0 0 0
1 0 0 0
1

1 0 0 1 0 1 1 0 0 1

If it is too trivial, try to answer the problem of next slide. ☺

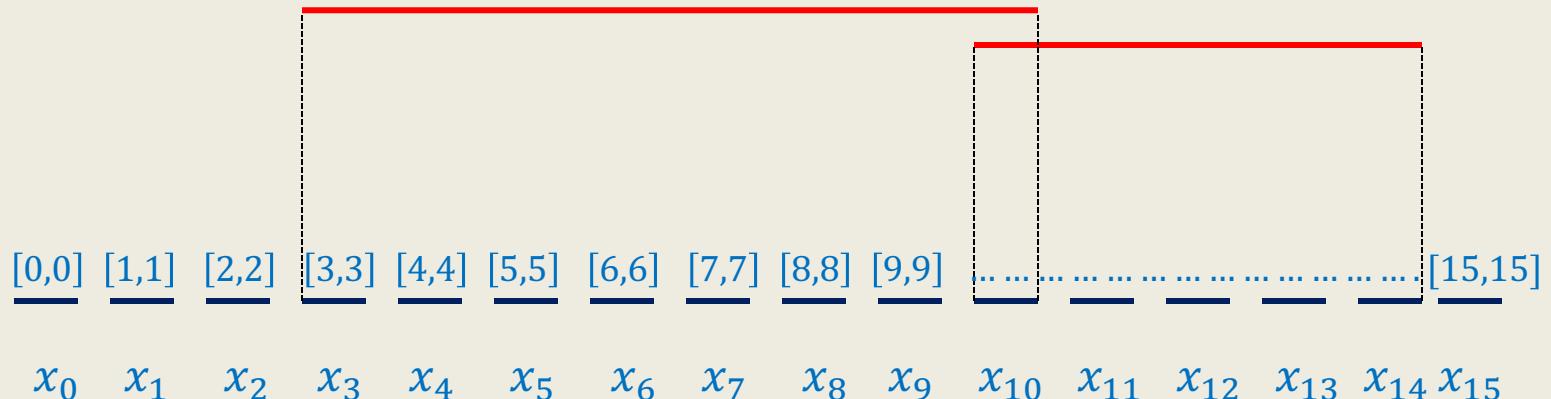
Extension to intervals

$$S = \{[i, j], 0 \leq i \leq j < n\}$$

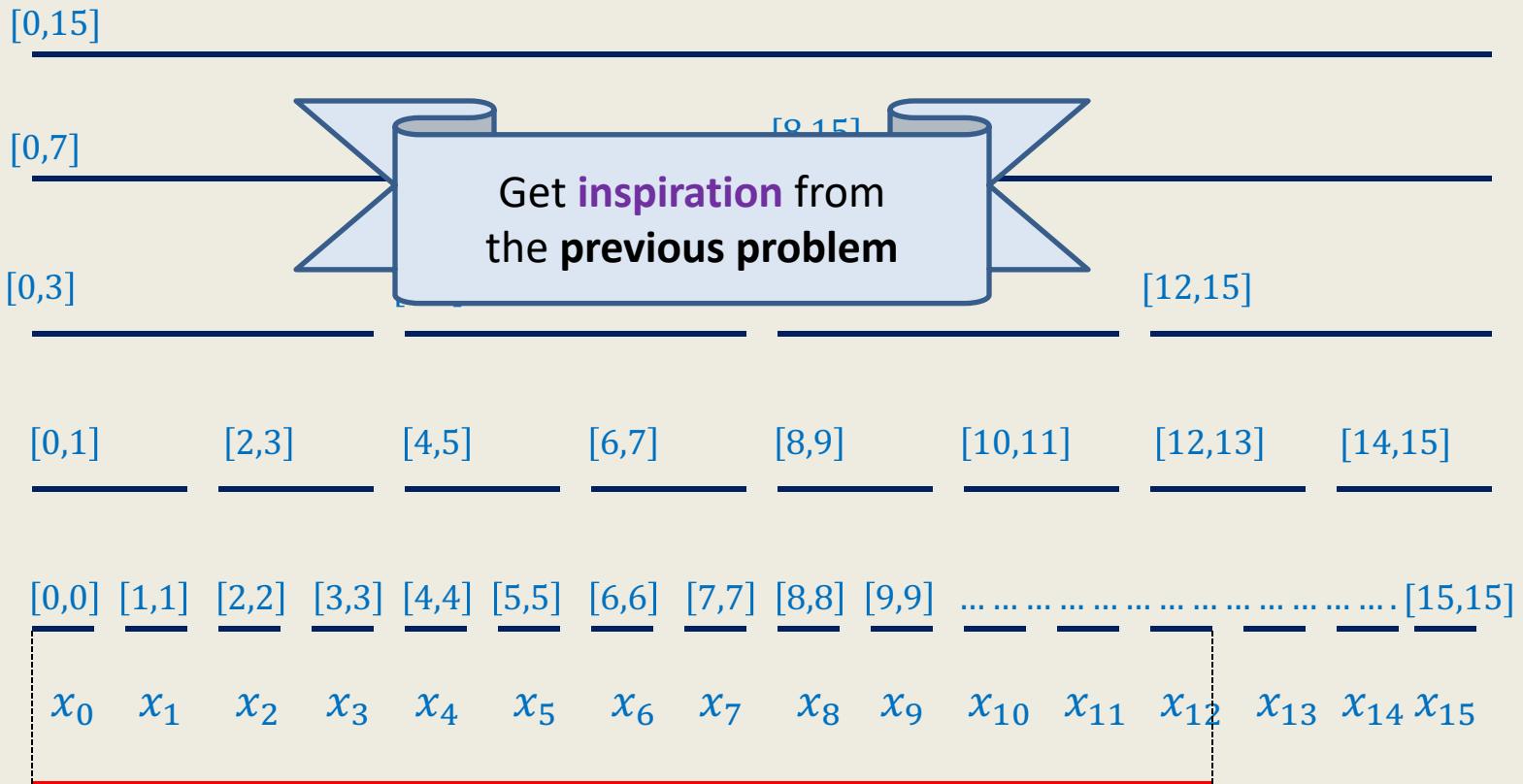
Question:

Can we have a small set $X \subset S$ of **intervals** s.t.

every interval in S can be expressed as a union of a few intervals from X ?

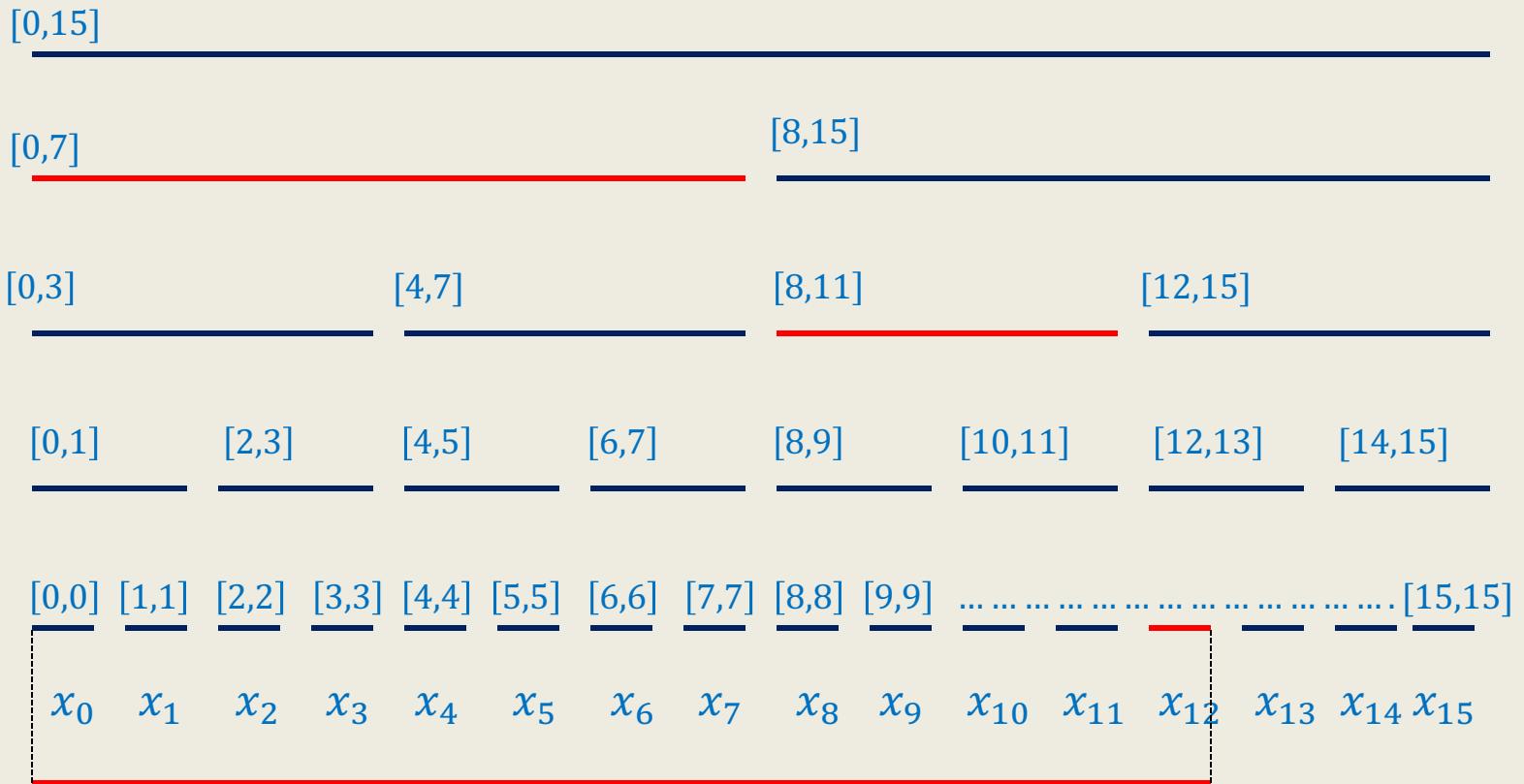


Extension to intervals



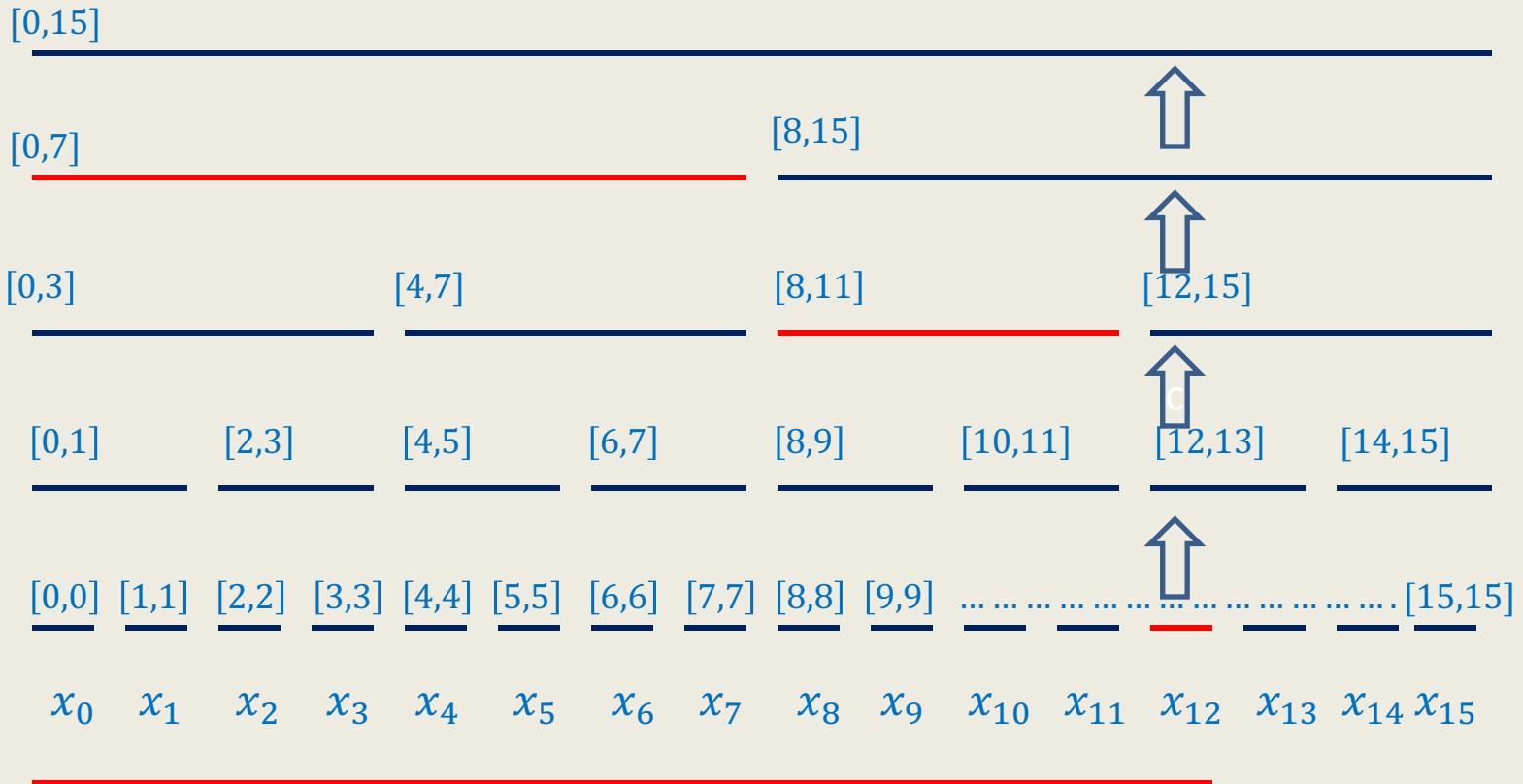
How to express [0, 12] ?

Extension to intervals



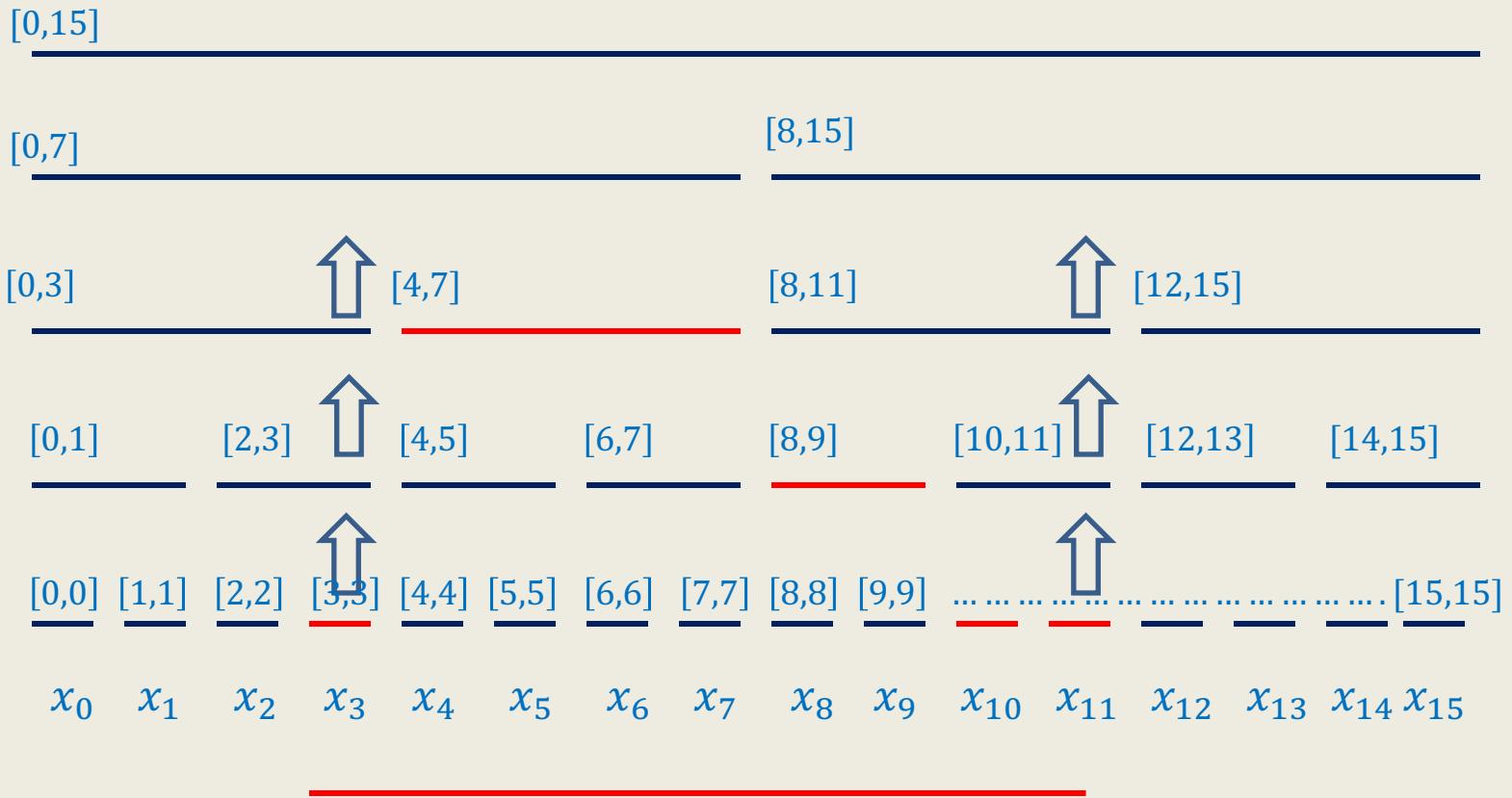
How to express [0, 12] ?

Extension to intervals



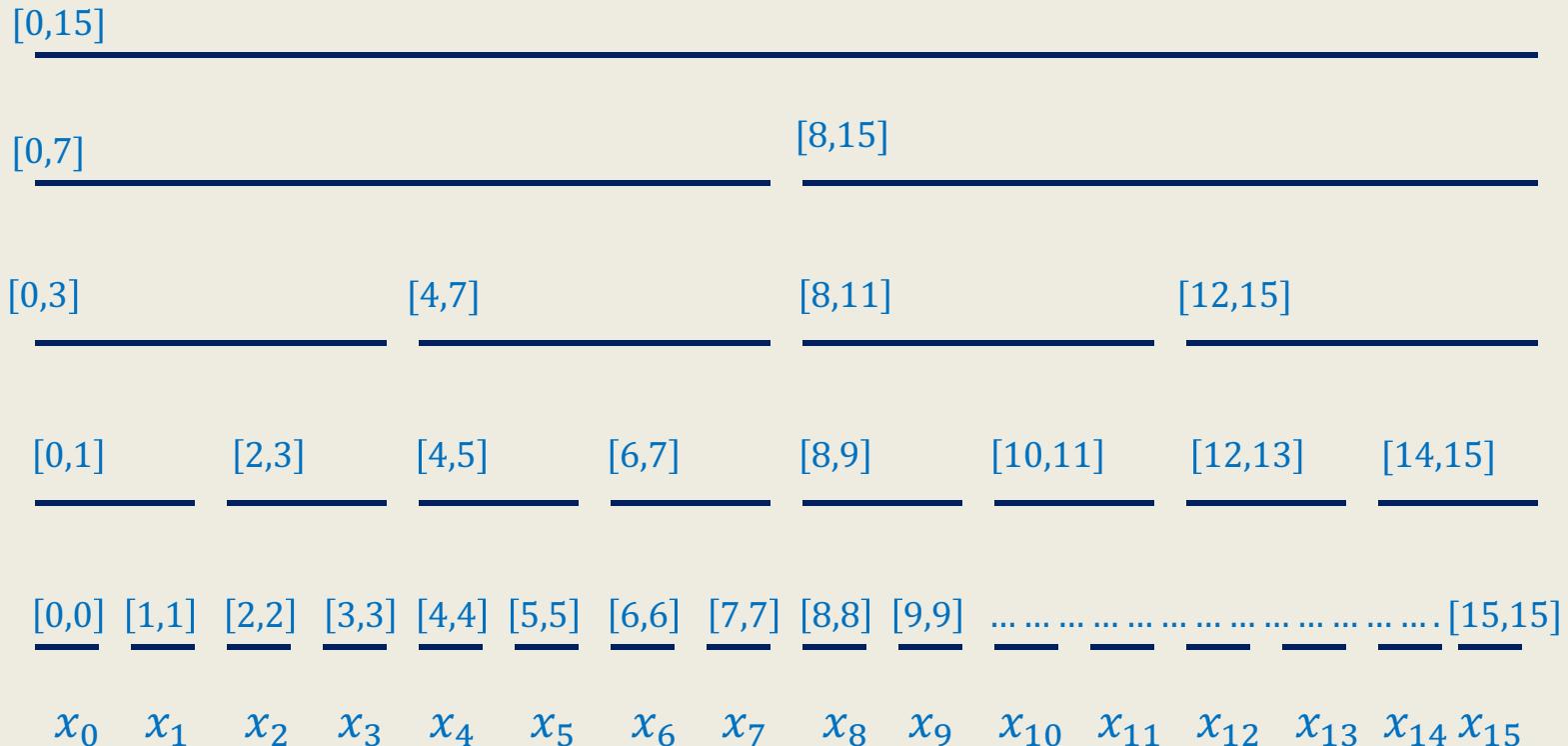
How to express $[0, 12]$?

Extension to intervals



How to express $[3, 11]$?

How to use this Observation to perform Multi-Increment(i, j, Δ) efficiently?

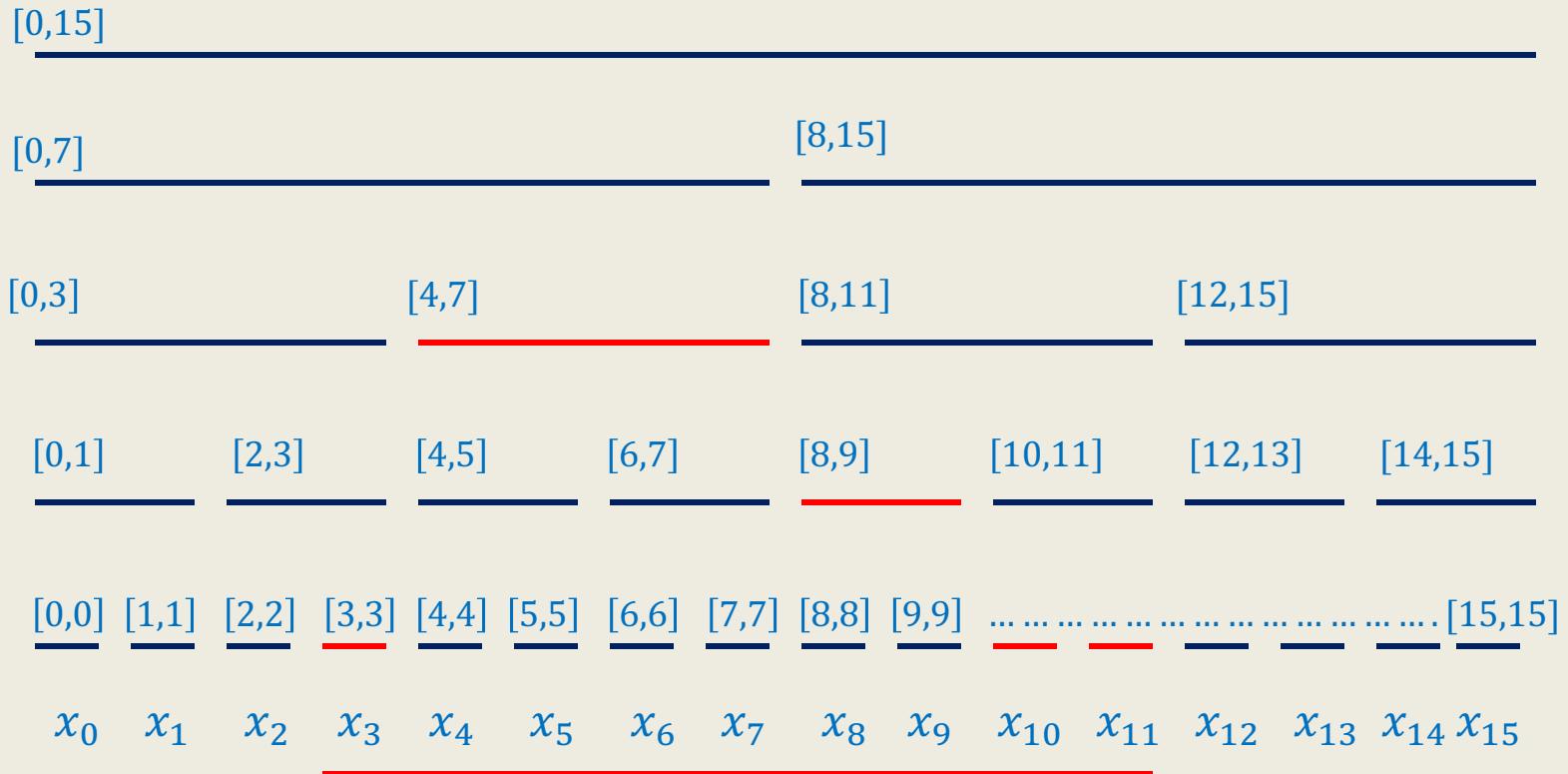


Observation:

There are $2n$ intervals such that

any interval $[i, j]$ can be expressed as union of $O(\log n)$ basic intervals ☺

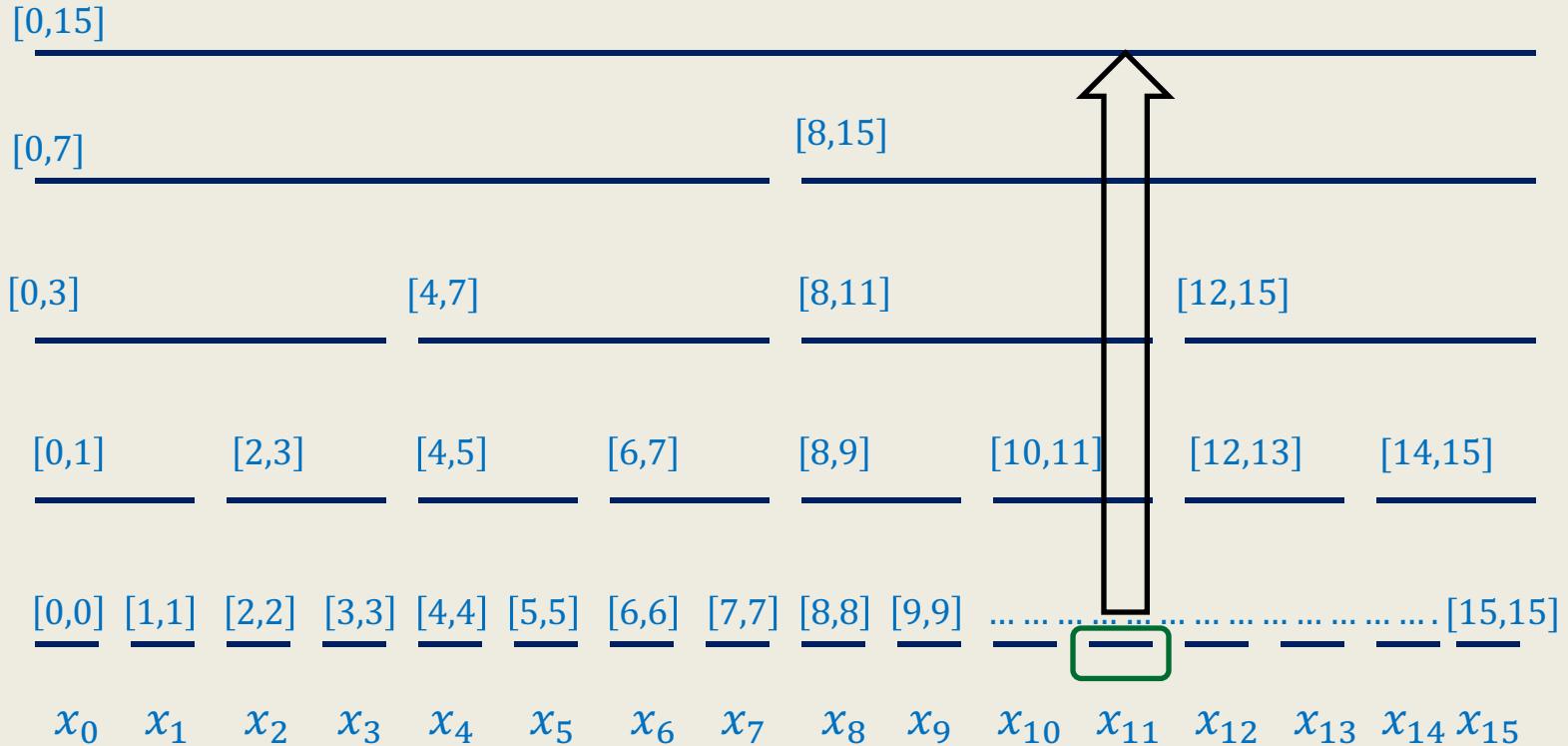
How to use this **Observation** to perform Multi-Increment(i, j, Δ) efficiently?



Maintain $2n$ intervals with a field **increment**

Multi-Increment(i, j, Δ) \Rightarrow add Δ to **increment** field of its $O(\log n)$ intervals.

How to use this **Observation** to perform Multi-Increment(i, j, Δ) efficiently?

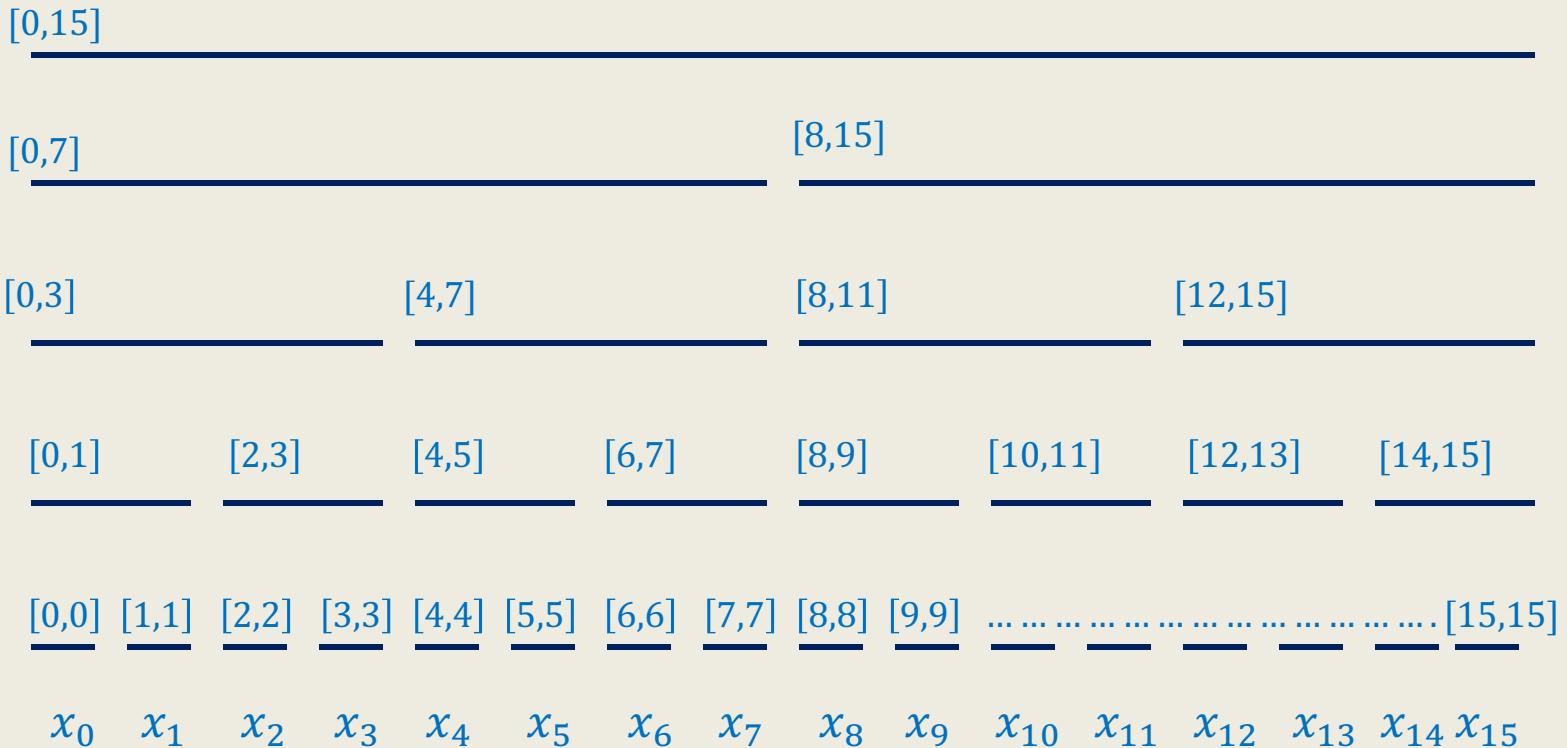


Maintain $2n$ intervals with a field **increment**

Multi-Increment(i, j, Δ) \Rightarrow add Δ to **increment** field of its $O(\log n)$ intervals.

How to perform **Report(i)** ?

How to use this **Observation** to perform **Multi-Increment(i, j, Δ)** efficiently?



Maintain $2n$ intervals with a field **increment**

Multi-Increment(i, j, Δ) \Rightarrow add Δ to **increment** field of its $O(\log n)$ intervals.

How to perform **Report(i)** ?

What data structure to use ?

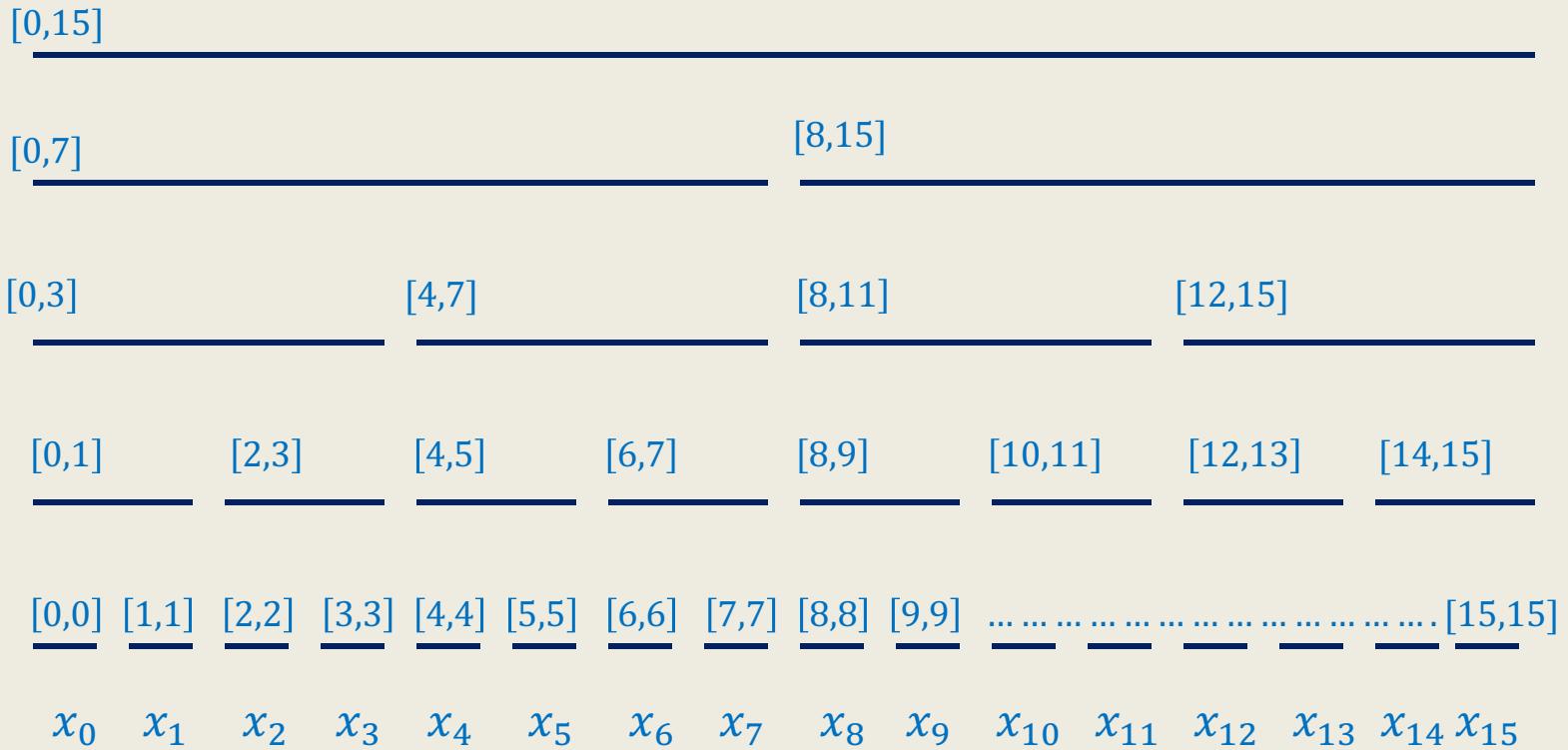
You might like to have another look on the last slide to answer this question.
I have **reproduced** it for you again in the next slide

Have another look,

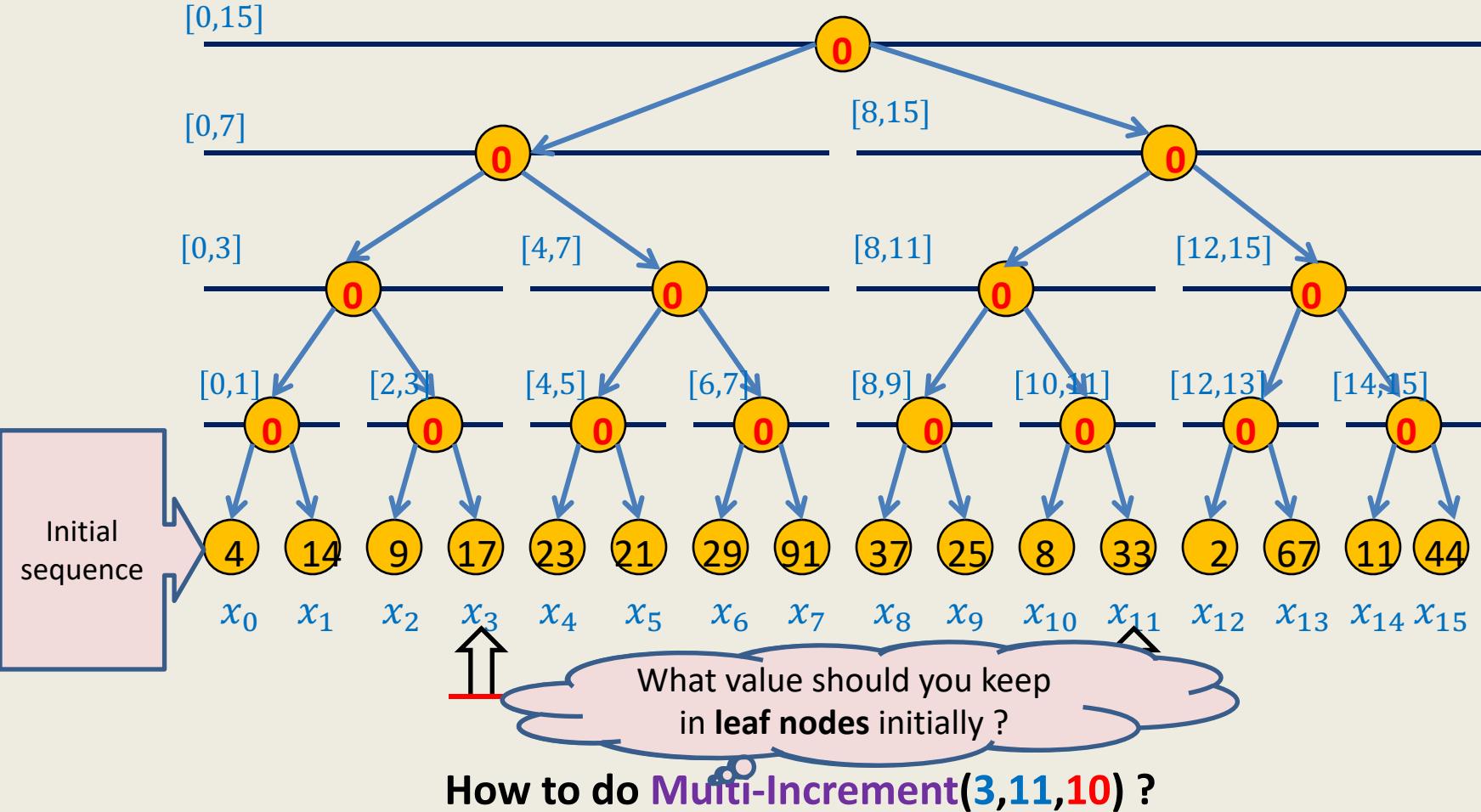
think for a while ...

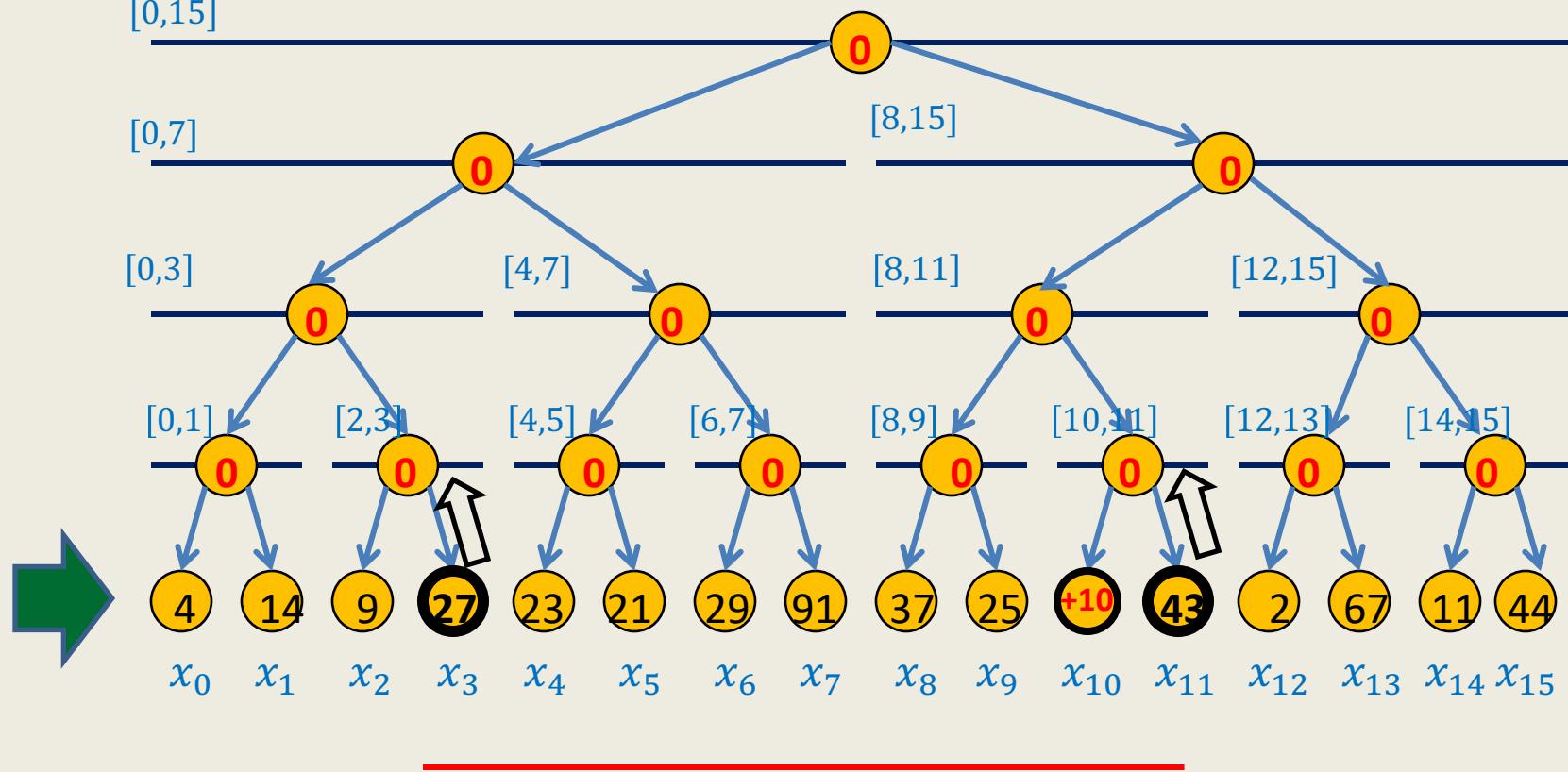
and then only proceed.

Which data structure emerges ?

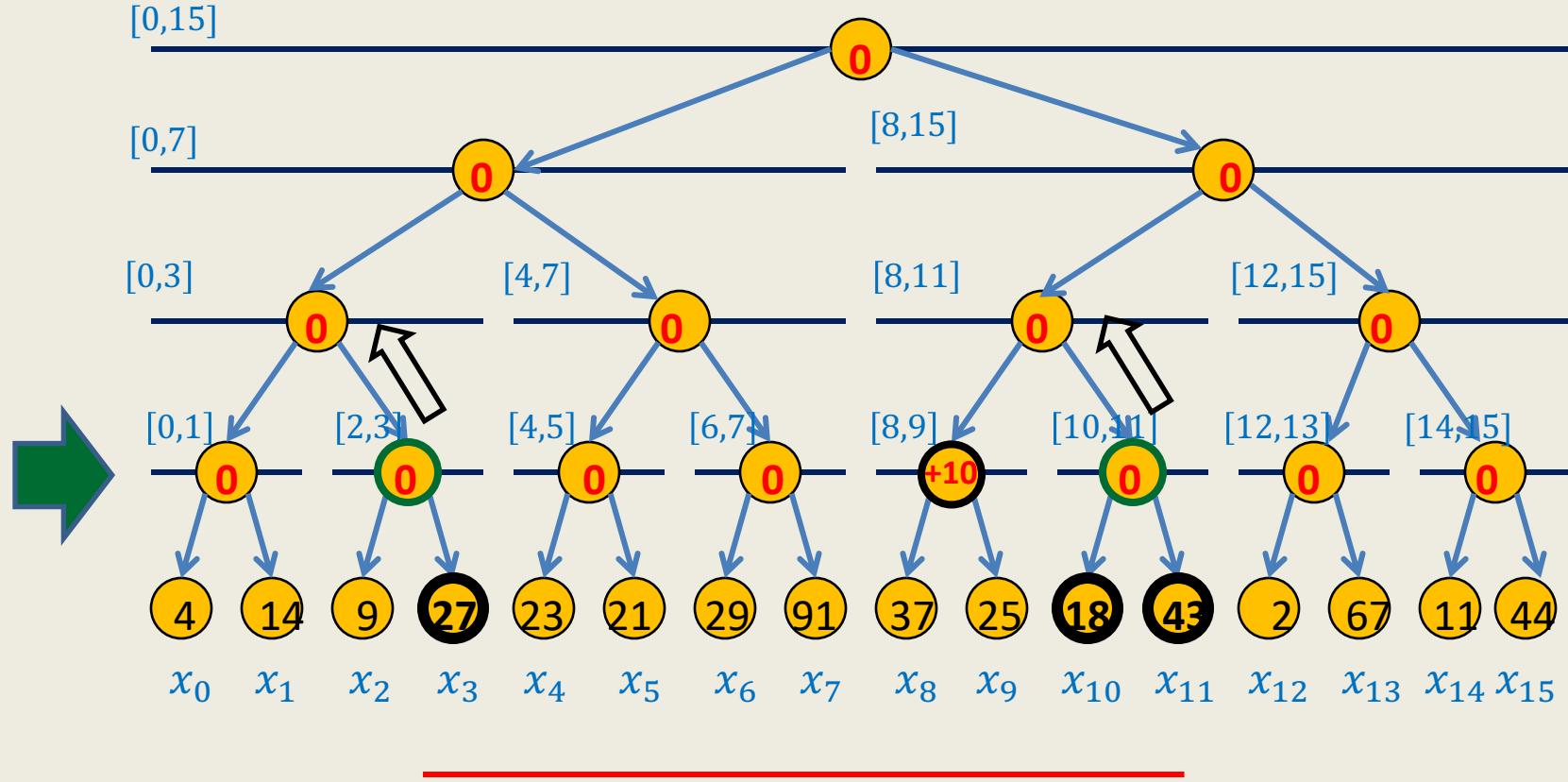


Isn't it a **Binary tree** that you thought ?

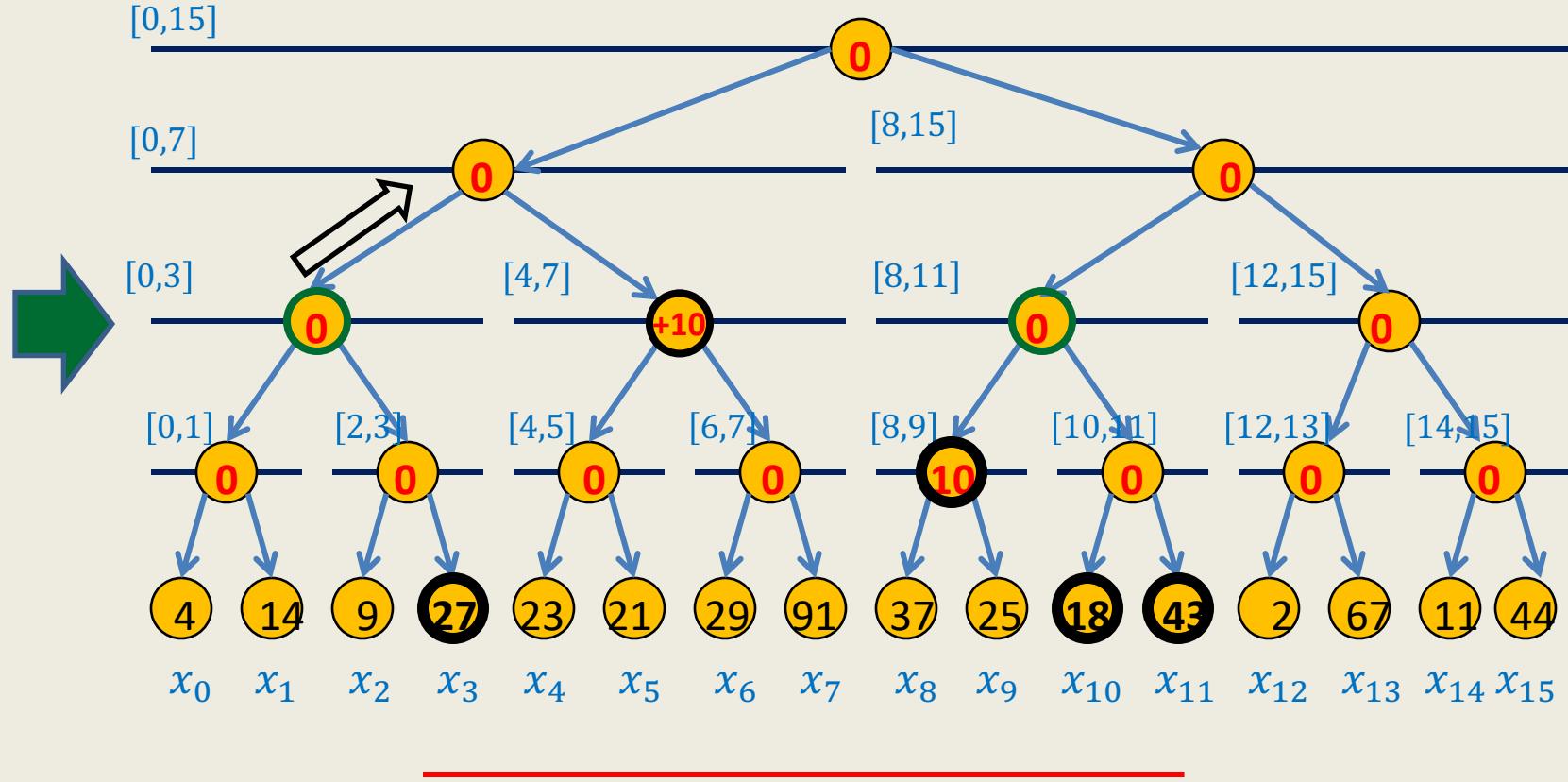




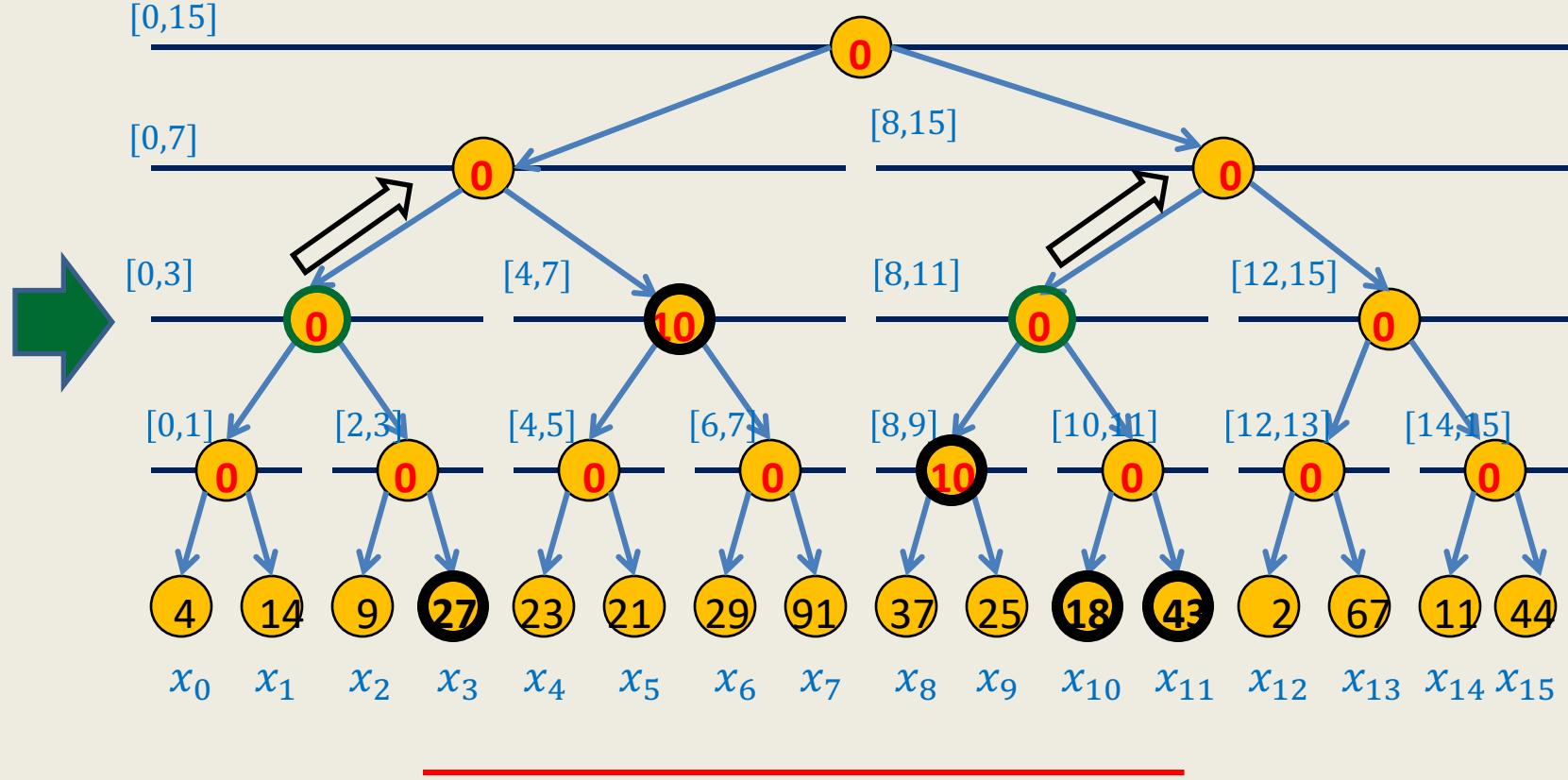
How to do Multi-Increment(3,11,10) ?



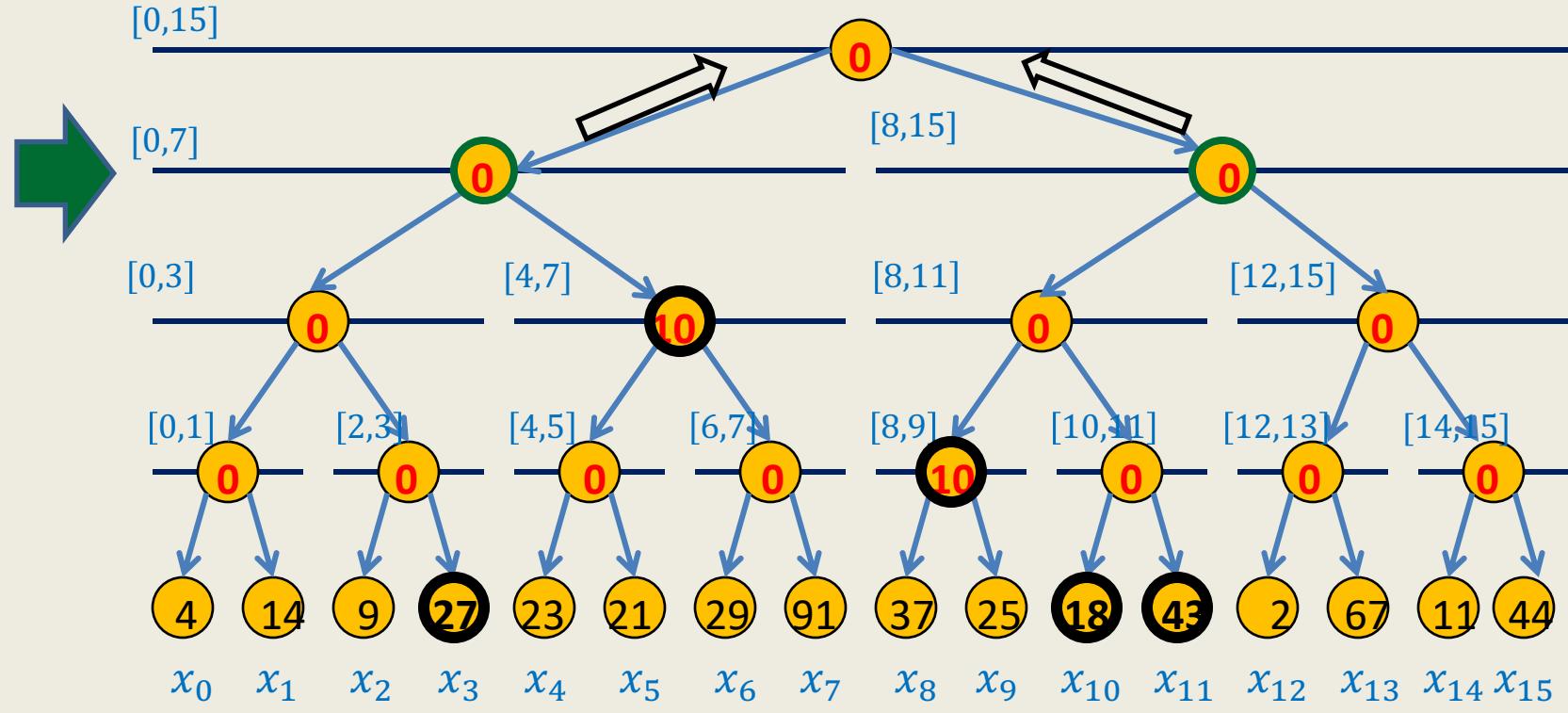
How to do Multi-Increment(3,11,10) ?



How to do Multi-Increment(3,11,10) ?

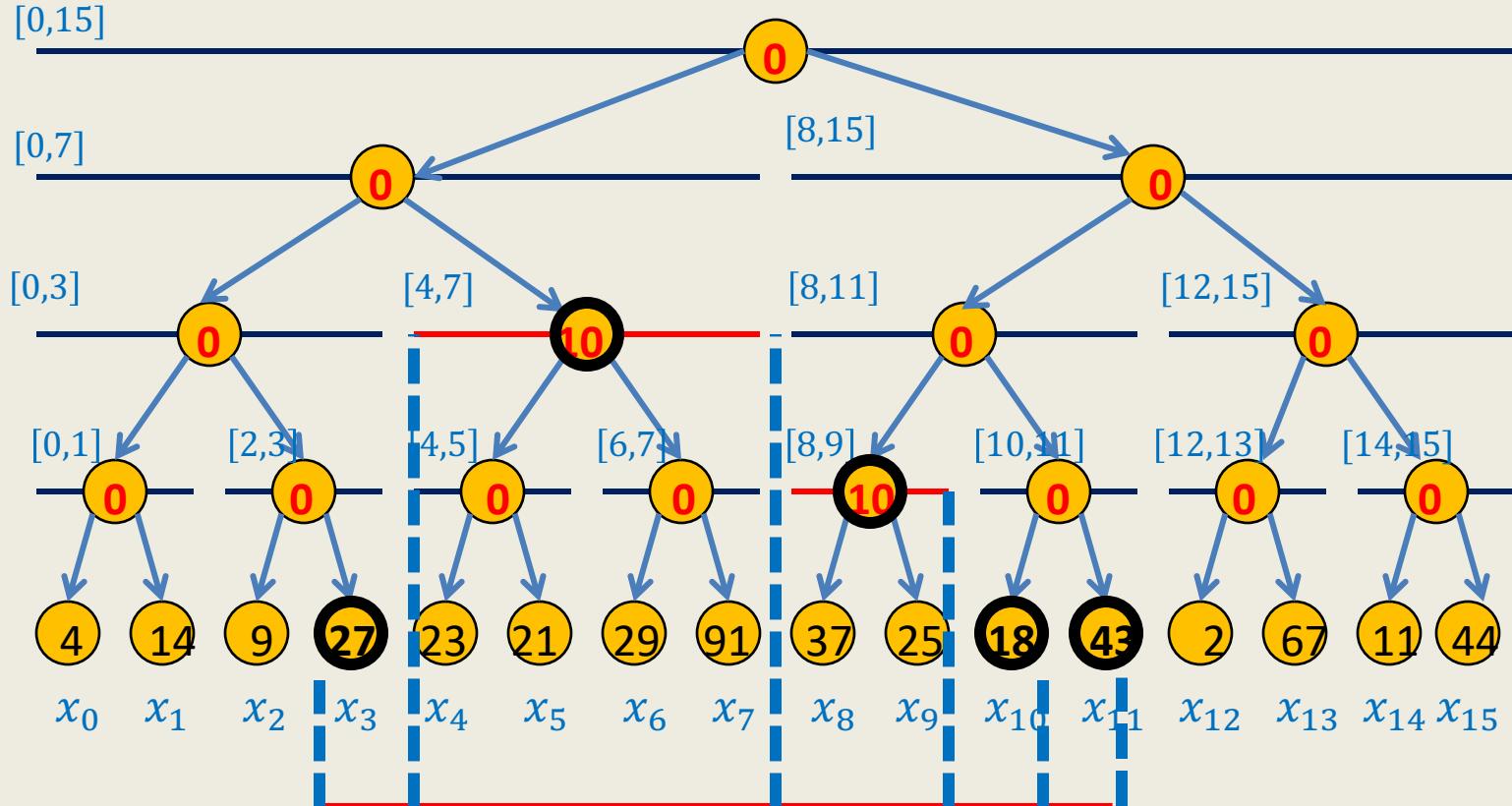


How to do Multi-Increment(3,11,10) ?



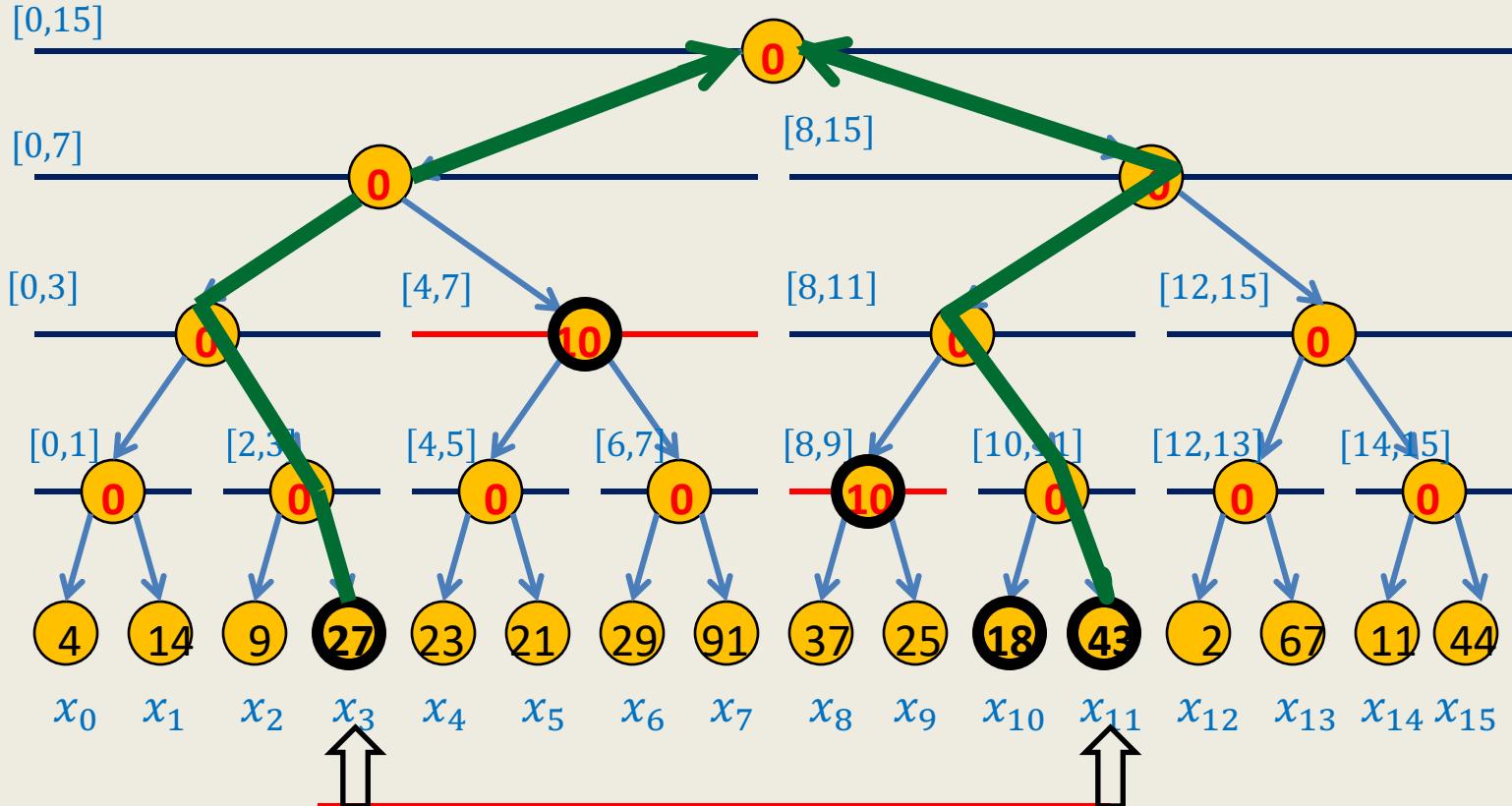
How to do Multi-Increment(3,11,10) ?

Are we done ?



How to do Multi-Increment(3,11,10) ?

Yes



How to do Multi-Increment(3,11,10) ?

What path was followed ?

Multi-Increment(i, j, Δ) efficiently

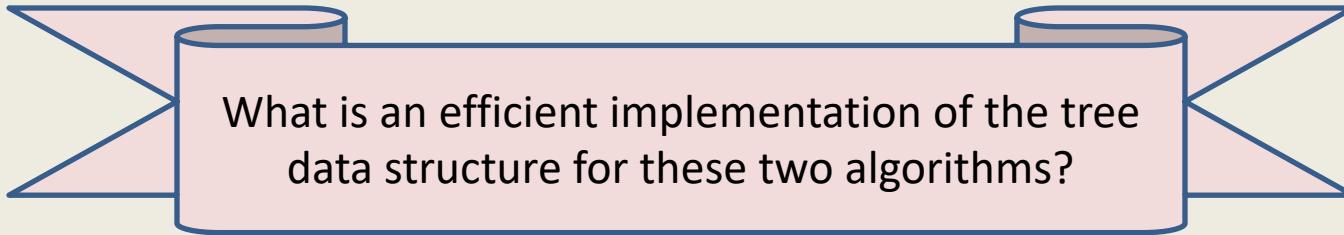
Sketch:

1. Let \mathbf{u} and \mathbf{v} be the leaf nodes corresponding to x_i and x_j .
2. Increment the value stored at \mathbf{u} and \mathbf{v} .
3. Keep repeating the following **step** as long as $\text{parent}(\mathbf{u}) <> \text{parent}(\mathbf{v})$
Move up by one step simultaneously from \mathbf{u} and \mathbf{v}
 - If \mathbf{u} is **left child** of its parent, increment value stored in sibling of \mathbf{u} .
 - If \mathbf{v} is **right child** of its parent, increment value stored in sibling of \mathbf{v} .

Executing Report(i) efficiently

Sketch:

1. Let u be the leaf nodes corresponding to x_i .
2. $\text{val} \leftarrow 0$;
3. Keep moving up from u and keep adding the value of all the nodes on the path to the root to val .
4. Return val .

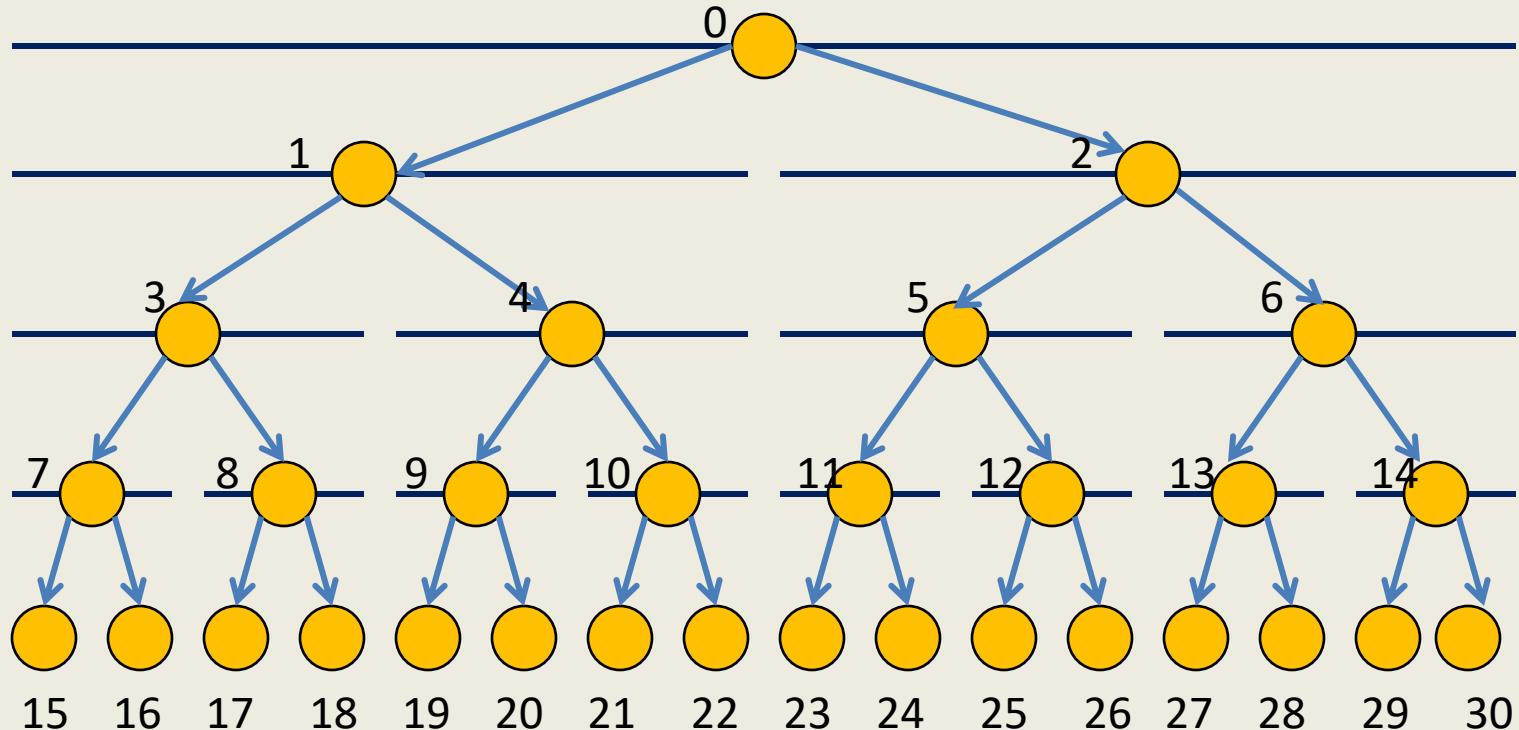


What is an efficient implementation of the tree data structure for these two algorithms?



Realize that it was a **complete binary tree**.

Exploiting complete binary tree structure



Data structure: An array A of size $2n-1$.

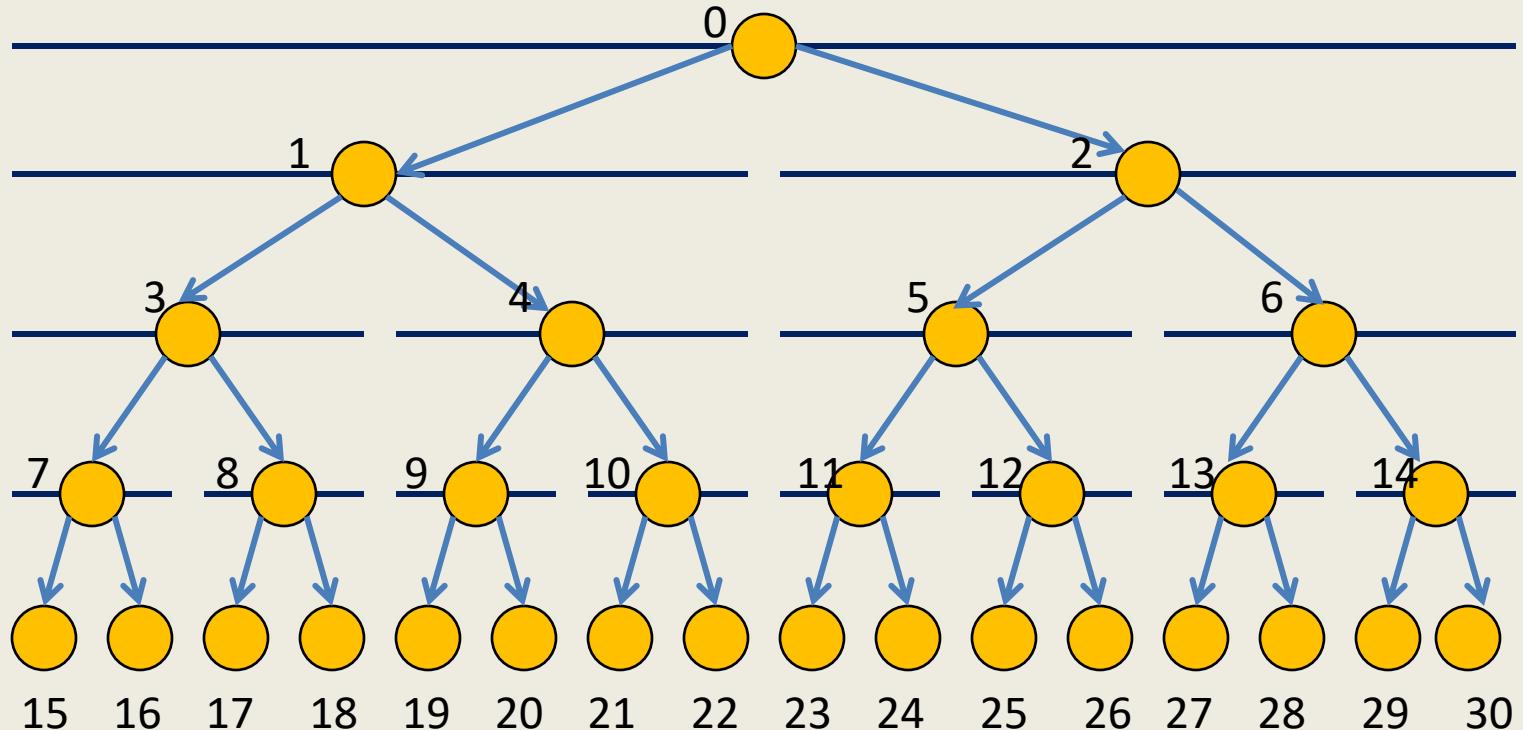
Copy the sequence $S = \langle x_0, \dots, x_{n-1} \rangle$ into $A[n-1] \dots A[2n-2]$

Leaf node corresponding to $x_i = A[(n-1) + i]$

How to check if a node is **left child or right child** of its parent ?

(if index of the node is odd, then the node is left child, else the node is right child)

Exploiting complete binary tree structure



Data structure: An array \mathbf{A} of size $2n-1$.

Copy the sequence $\mathbf{s} = \langle x_0, \dots, x_{n-1} \rangle$ into $\mathbf{A}[n-1] \dots \mathbf{A}[2n-2]$

Leaf node corresponding to $x_i = \mathbf{A}[(n-1) + i]$

How to check if a node is **left child or right child** of its parent ?

Multilncrement(i, j, Δ)

Multilncrement(i, j, Δ)

```
i < (n - 1) + i ;
j < (n - 1) + j ;
A(i) < A(i) + Δ;
If (j > i)
{
    A(j) < A(j) + Δ;
    While( ⌊(i - 1)/2⌋ <> ⌊(j - 1)/2⌋ )
    {
        If( i%2=1 )    A(i + 1) < A(i + 1) + Δ;
        If( j%2=0 )    A(j - 1) < A(j - 1) + Δ;
        i < ⌊(i - 1)/2⌋ ;
        j < ⌊(j - 1)/2⌋ ;
    }
}
```

Report(*i*)

Report(*i*)

```
i ← (n − 1) + i ;  
val ← 0;  
While(i > 0 )  
{  
    val ← val + A[i];  
    i ← ⌊(i − 1)/2⌋ ;  
}  
return val;
```

The solution of Multi-Increment Problem

Theorem:

There exists a data structure of size $O(n)$ for maintaining a sequence $S = \langle x_0, \dots, x_{n-1} \rangle$ such that each **Multi-Increment()** and **Report()** operation takes $O(\log n)$ time.

Problem 2

Dynamic Range-minima

Problem 2

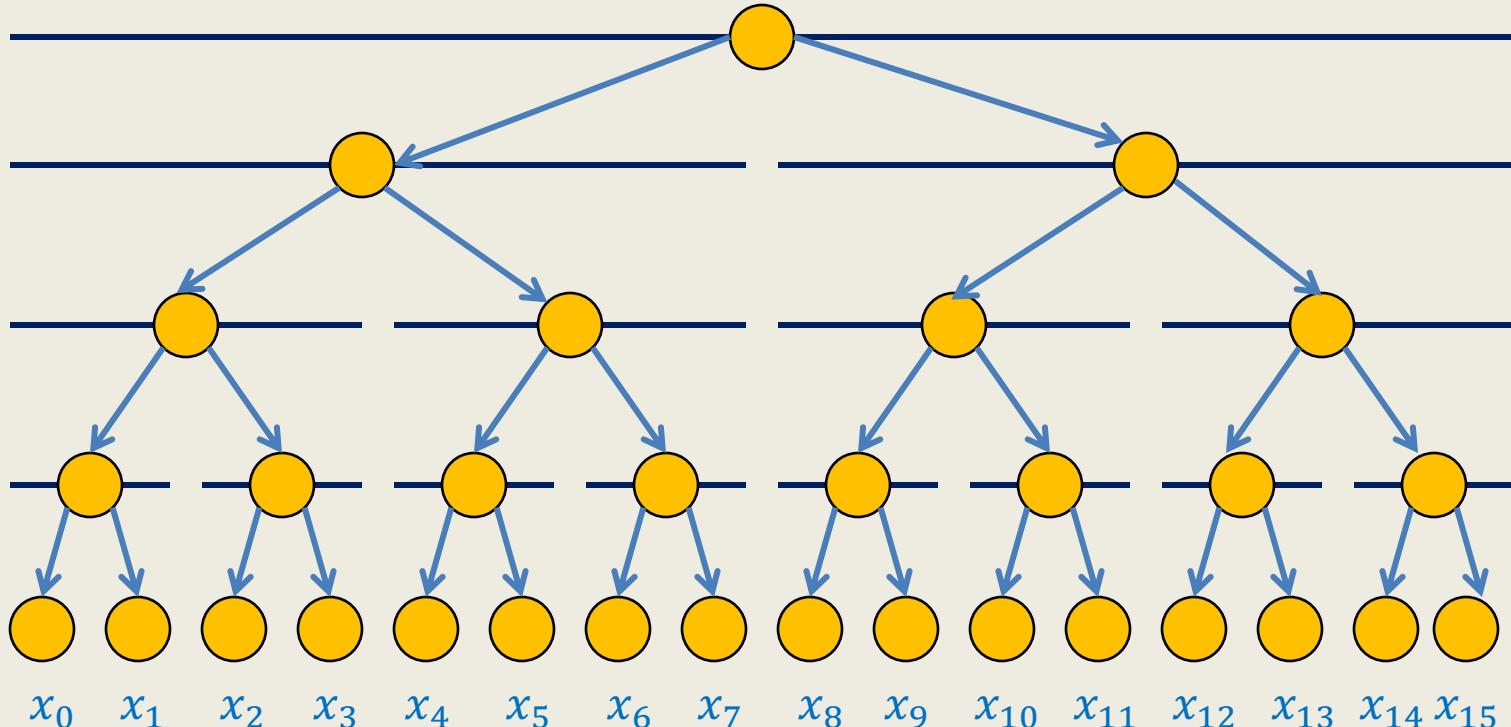
Given an initial sequence $S = \langle x_0, \dots, x_{n-1} \rangle$ of numbers, maintain a compact data structure to perform the following operations efficiently for any $0 \leq i < j < n$.

- **ReportMin(i, j):**
Report the minimum element from $\{x_k \mid \text{for each } i \leq k \leq j\}$
- **Update(i, a):**
 a becomes the new value of x_i .

AIM:

- **$O(n)$** size data structure.
- **ReportMin(i, j)** in **$O(\log n)$** time.
- **Update(i, a)** in **$O(\log n)$** time.

Efficient dynamic range minima



What to store at internal nodes ?

How to perform **ReportMin(i, j)** ?

How to perform **Update(i, a)** ?

Make sincere attempts to solve the problem. We shall discuss it in next lecture.