

# ENG423A: Current Issues in Linguistics

PRINCIPAL FORMULATIONS I

#### Phrase Structure and Transformations

#### **Basic Formalism:**

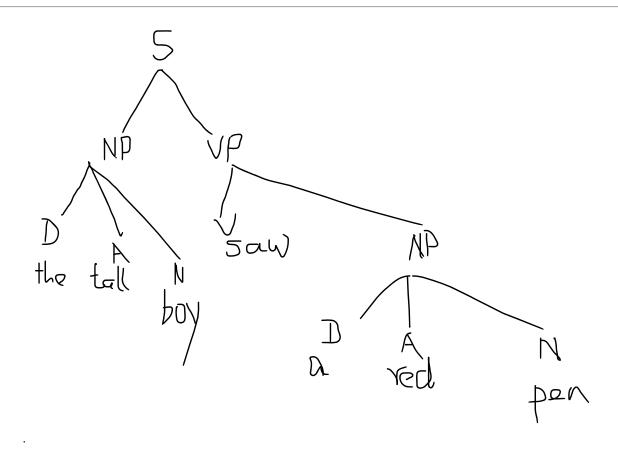
A generative procedure consisting of

- Rewrite rules
- Phrase markers (syntactic trees) generated by the rewrite rules
- Lexical items as terminal nodes of phrase markers
- Transformations that map phrase markers into phrase markers

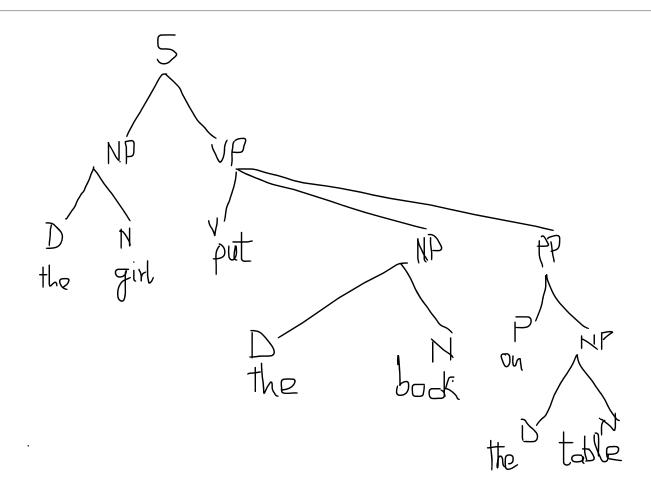
#### Context Free Phrase Structure Rewrite Rules

```
S
                 NP VP
VP
                 V (AUX) (NP) (PP)
PP
                  P NP
             → DET Adj N
NP
                 a, an, the, many, some all. . .
DET
                 good, tall, red, beautiful. . .
Adj
                  boy, girl, table, book, pen. . .
N
V
                 run, eat, put, give. . .
                 to, from, in, on . . .
P
```

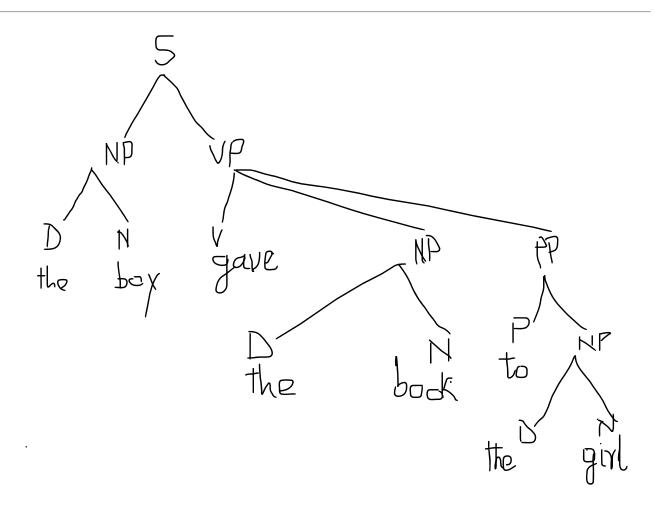
# Phrase Marker: The tall boy saw a red pen.



## Phrase Marker: The girl put the book on the table.



## Phrase Marker: The boy gave the book to the girl.



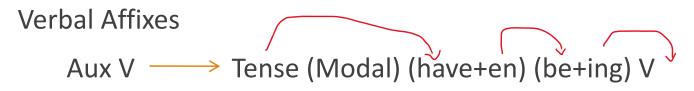
#### **Transformations**

Phrase Structure (Rewrite) Rules, by themselves, cannot handle some of the complexities of language.

Apart from the rewrite rules, we also need derivational rules, i.e., transformations. Why?

1) Discontinuities: elements do not always occur in contiguity.

A simple example of discontinuities:



I might have been dozing.

**Affix Hopping** 

## Why Transformations?

2) Displacements

```
Q-word displacement and Subject-Aux Inversion
/Ram loves Sita/~/ Who does Ram love?/
/I think Ram loves Sita/~/Who do you think Ram loves?/
```

**Q-word Movement** 

3) Similar expressions differing on the surface but having same underlying structure:

/A new artist painted this picture/ ~ /This picture was painted by a new artist/

**Passive Transformation** 

#### How do transformations work?

A rudimentary transformational mechanism is proposed as a part of the Generative Grammar in *Syntactic Structures* to account for the discontinuities we observe in language.

Phrase Structure Rules generate Phrase markers.

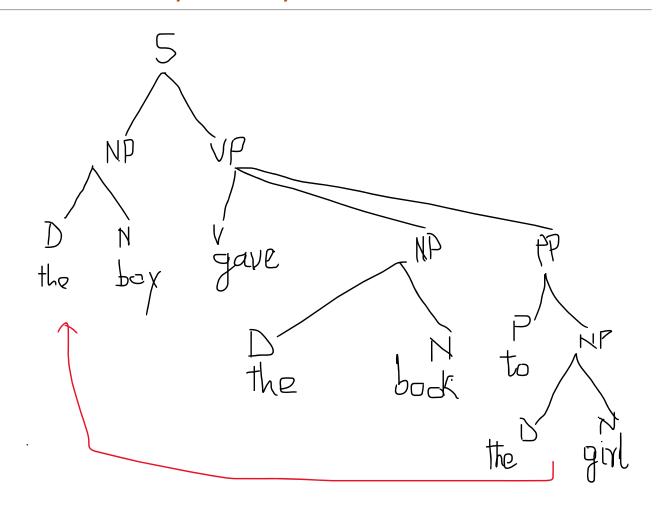
The Transformational mechanism maps phrase markers into phrase markers.

Singularly transformations map a phrase marker into another.

Generalised/Embedding transformations create complex phrase markers out of the simpler ones

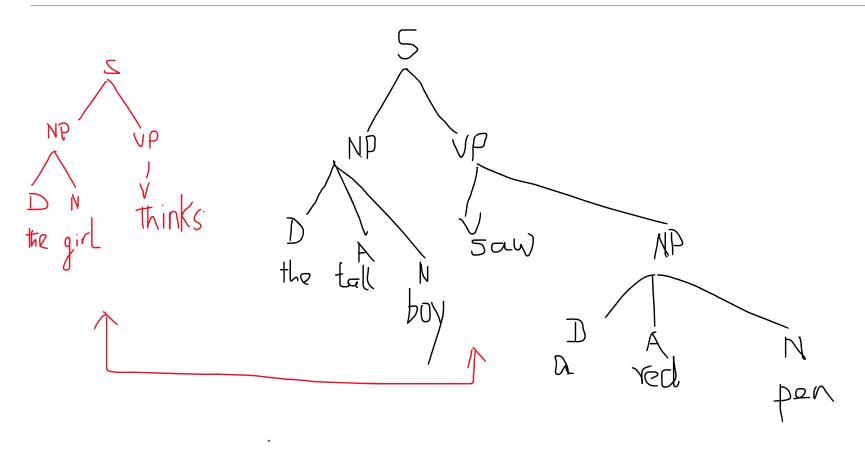
## Singularly Transformation:

The girl was given the book by the boy.

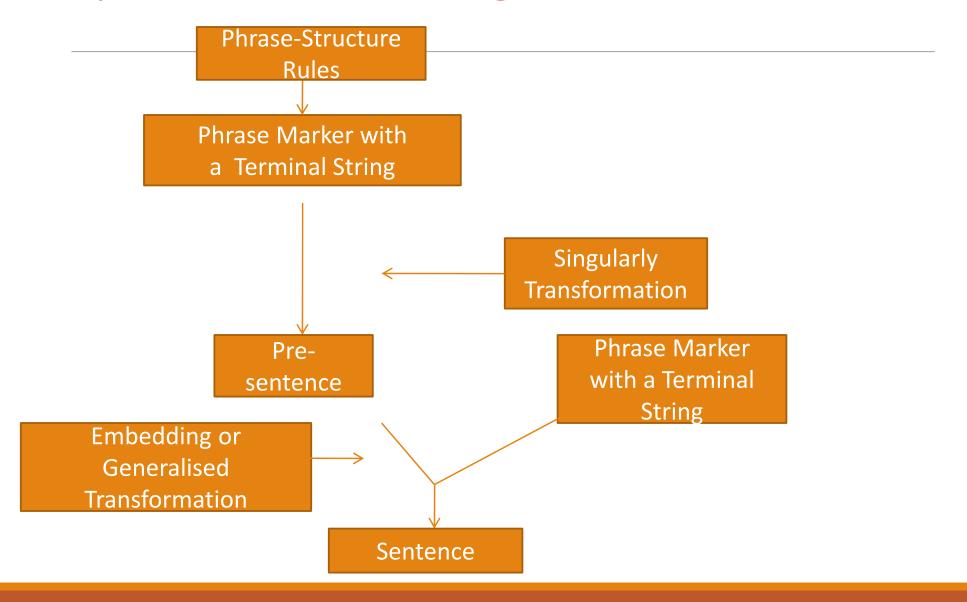


#### **Generalised Transformation:**

The girl thinks that the tall boy saw a red pen.



#### Syntactic Structures: Organisation of Grammar



## **Evaluation of grammars**

Of the several grammars compatible with the data, which ones do we choose and why?

Justification of Grammars:

External condition – the degree of fit with the data

Internal conditions – 'condition of generality' and simplicity



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PRINCIPAL FORMULATIONS II

#### Second Formulation of Generative Grammar

**Standard Theory:** Aspects of the theory of Syntax (1965)

Methodological commitment: Evaluation of grammars

Observational, descriptive and explanatory adequacies

**Epistemological commitment:** Language Acquisition Device (LAD) and Universal Grammar

Formal and Substantive Universals

#### Formal commitment:

Revisiting combinatorial procedures and organisation of grammar

## Methodological issues

Evaluation measure: Given competing theories, what criteria choose the best among the available theories?

Recall Syntactic Structures: Justification of Grammars

- A) External condition the degree of fit with the data
- B) Internal conditions 'condition of generality' and simplicity

## Three levels of adequacy

#### Standard Theory:

Observational adequacy: Empirical coverage of the actual data

Descriptive adequacy: Coverage of actual as well as possible sentences

Explanatory adequacy: addresses questions of explanation

Conflict between Descriptive and explanatory adequacy – richness and restrictiveness at the same time.

Richness to be in consonance with the known diversity of languages

Restrictiveness so that it can be attributed to the initial state