Backchannel Prediction for Conversational Speech Using Recurrent Neural Networks



What are backchannels (BCs)?

Feedback for the speaker from the listener

- ▶ Nodding / head movement
- ► Eye gaze shift
- ► Short phrases like "uh-huh", "yeah", "right"
- etc.

BCs help build *rapport* (feeling of comfortableness between conversation partners)

Vary from culture to culture (e.g. Japanese)

Why backchannel prediction?

- Artificial assistants are becoming ubiquitous (Siri, Google Now, Alexa, Cortana, . . .)
- Conversation with these is still distinctively unhuman
- ▶ BCs can help make conversations with an AI agent feel more natural

Goal

- Simplify backchannels to only short phrases
- ▶ Predict when to emit backchannels
- Predict what kind of backchannel to emit



Ward (2000)

Common approach: manually tuned rules.

"[...] we formulate the following predictive rule for English:

Upon detection of

- a region of pitch less than the 26th-percentile pitch level and
- continuing for at least 110 milliseconds,
- coming after at least 700 milliseconds of speech,
- providing you have not output back-channel feedback within the preceding 800 milliseconds,
- after 700 ms wait,

you should produce back-channel feedback."

Common approach: manually tuned rules.

- error-prone
- a lot of manual work

semi-automatic approaches, e.g. [@morency_probabilistic_2010] extracted some hand-picked features such as binary pause regions and differend speech slopes. Then they tained Hidden Markov Models to predict BCs from that.

BC Prediction

Dataset

Switchboard dataset:

- ▶ 2400 English telephone conversations
- ▶ 260 hours total
- Randomly selected topics
- ► Transcriptions and word alignments that include BC utterances

BC Utterance Selection (Theory)

- Get a list of backchannel phrases
- Separate those into categories

BC Utterance Selection (Practice)

Harder: Something like "uh" can be a disfluency or a BC.

-> only include phrases with silence or BC before them.

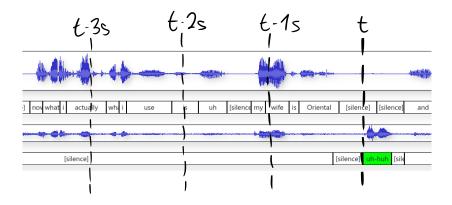


Figure 1: Sample Audio Segment

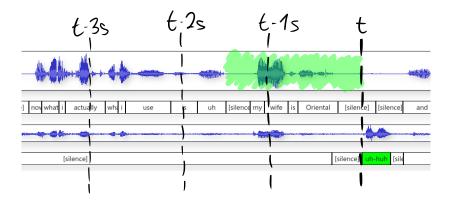


Figure 2: Positive Training Area (width=1.5s)

Need area to predict non-BC.

ightarrow Area of audio where no BC follows

Need area to predict non-BC.

ightarrow Area of audio where no BC follows

Want balanced data set.

ightarrow Choose area 0.5 seconds before BC area

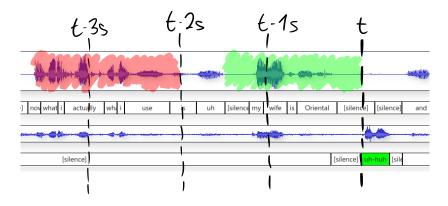


Figure 3: Pos/Neg Training areas

 \rightarrow Balanced data

Context width?

Feature Selection (Theory)

- Acoustic features like power, pitch
- Linguistic features (from the transcriptions)

Feature Selection – Acoustic

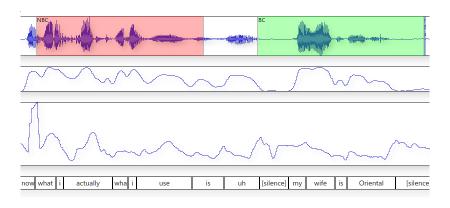


Figure 4: Audio, Power, Pitch

Feature Selection – Linguistic

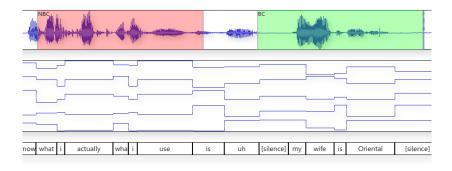


Figure 5: Word2Vec

"what i" has same encoding



Figure 6:

for t-100ms
$$\begin{cases} P_{\text{ower}} = 000 \\ P_{\text{itch}} = 000 \end{cases}$$

$$f_{\text{of}} \quad t = 0 \text{ ms} \begin{cases} P_{\text{ower}} = 0.82 \\ P_{\text{itch}} = 0.55 \end{cases}$$

Figure 7:

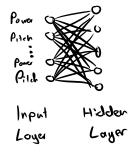
Figure 8:

Figure 9:

```
Power O
Prich O
Power O
Prich O
Prich O
Input
```

Loyer

```
Power O
Prich O
Power O
Prich O
Prich
```



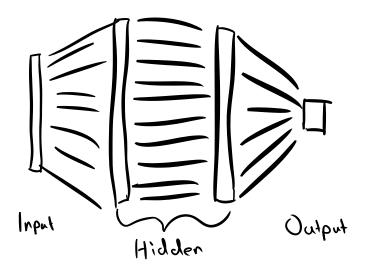


Figure 13:

LSTM:
Image
LSTM is able to take into account it's own past internal state.

Postprocessing

NN output is

- a value between 0 and 1
- noisy

Postprocessing – Low-pass filter

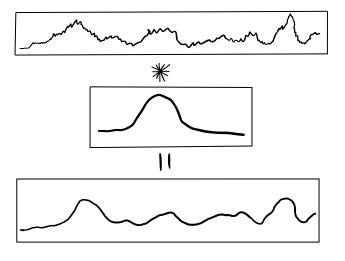
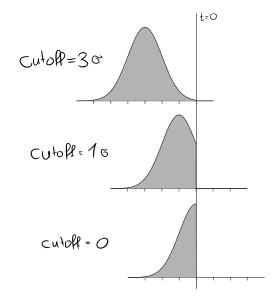


Figure 14:

Gauss filter looks into future

-> Cut off gaussian filter and shift it



Lots of parameters to tune

- Context width
- Context stride
- Which features
- ► NN depth
- ► NN layer sizes
- LSTM vs Feed forward
- ► Gaussian filter sigma
- Gaussian filter cutoff
- Prediction delay



Survey

Randomly show participants 6 samples of the following categories

- 1. Random predictor
- 2. NN predictor
- 3. Ground truth

Objective Evaluation

- Precision (portion of predictions that were correct)
- Recall (portion of correct BCs that were predicted)
- ► F1-Score (harmonic mean of Precision and Recall)

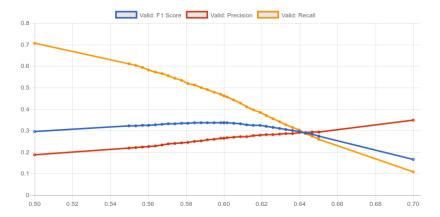


Figure 16: Evaluating the performance of a network while varying the threshold described in @sec:threshold. The inverse relationship between

Results

Context width

Context stride

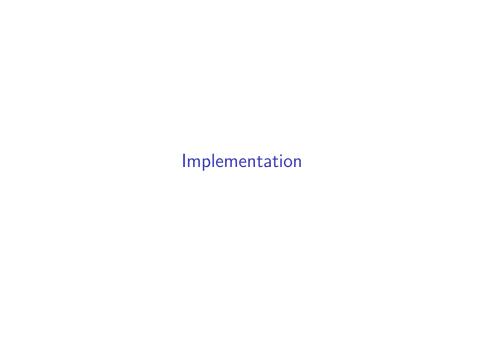
Features

LSTM vs FF

Layer sizes

Final results / Comparison





Conclusion and Future Work