Language Independent End-to-End Architecture For Joint Language and Speech Recognition (2017)

Watanabe, S.; Hori, T.; Hershey, J.R.

Motivation / Goal

Recognize multiple languages at the same time

- ▶ Use a single model for 10 languages (EN, JP, CH, DE, ES, FR, IT, NL, PT, RU)
- Check if transfer learning between languages work
- two tasks: identify language AND recognize speech (simultaneously)
- end to end: no lexicon, phoneme pronounciation maps, or manual alignment

Problems

- ► How to input audio?
 - \rightarrow Spectral features of audio frames (e.g. in 10ms segments)

Problems

- How to input audio?
 - ightarrow Spectral features of audio frames (e.g. in 10ms segments)
- How to output text?
 - (a) word embeddings (word2vec)
 - (b) characters (one-hot)
 - ▶ Different char sets for languages (abc, äàąå, 漢字, , ひらがな)
 - ▶ Just unify all character sets (5500 total)

Problems

- How to input audio?
 - \rightarrow Spectral features of audio frames (e.g. in 10ms segments)
- How to output text?
 - (a) word embeddings (word2vec)
 - (b) characters (one-hot)
 - ▶ Different char sets for languages (abc, äàąå, 漢字, , ひらがな)
 - Just unify all character sets (5500 total)
- How to output language id?
 - (a) separate softmax output
 - (b) [EN]Hello[CH] 你好

Related Work

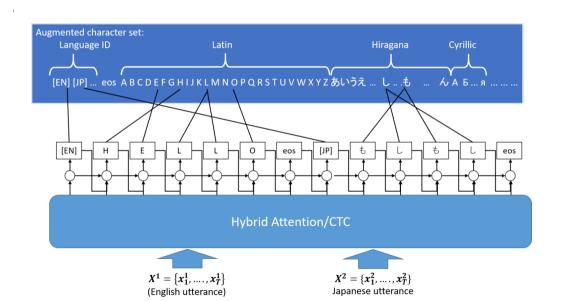
Related Work

(e.g. only attention)

- Multilingual Speech Recognition With A Single End-To-End Model (Shubham Toshniwal)
 - separate output for language id
 - only on 9 indian languages
- ► Hybrid CTC/Attention Architecture for End-to-End Speech Recognition (Watanabe et al. 2017)."
 - ▶ Same as this paper except only one languages

Model overview

Model overview



Simple Model overview

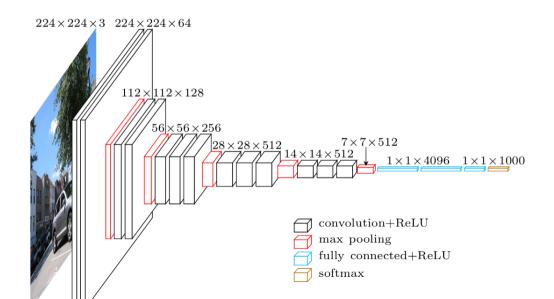
- 1. Input: for each audio frame one 2d input image, 3 channels (like RGB image processing)
 - spectral features
- 2. Encoder
 - 2.1 VGGNet Convolutional NN (first 6 layers)
 - 2.2 One bidirectional LSTM layer (320 cells x2)
- 3. Decoder (Attention + one directional LSTM)
 - Soft Attention for each input frame to each output character
 - ▶ LSTM (300 cells), input: previous hidden state and output, attention-weighted input features
 - ▶ fully connected layer (converts 300 outputs from LSTM -> N characters softmax)
- 4. Output
 - N characters from union of all languages (softmax)

Input

(Ab)use of image processing pipeline - input formatted like a RGB image first channel: spectral features second channel: delta spectral features third channel: deltadelta spectral features

probably cepstral? fourier, fundamental frequency variation? etc either just one feature map or they have some convolution issues

Encoder - VGG Net Architecture



Encoder - VGG Net Architecture - First six layers

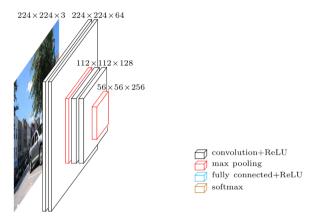


Figure 3: VGG Net - first 6 layers

(actual input dimensions are not mentioned)

Encoder - Bidirectional LSTM layer

320 cells for each direction \rightarrow 640 outputs per time step

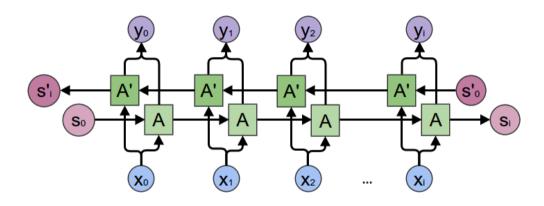


Figure 4: Bidirectional LSTM

http://colah.github.io/posts/2015-09-NN-Types-FP/

Decoder (Attention-based)

```
\mathsf{Input:}\ \mathbf{x}_1,\dots,\mathbf{x}_t
```

Output: c_1, \ldots, c_l

- 1. Encode whole sequence to $\mathbf{h}_1, \dots, \mathbf{h}_t$
- 2. Calculate soft attention weights a_{lt} , based on
 - (a) attention on same encoded input frame for previous character $(a_{(l-1)t})$
 - (b) current encoded state \mathbf{h}_t
 - (c) previous hidden state \mathbf{q}_{l-1}
- 3. Sum encoded state with soft alignment: $\mathbf{r}_l = \sum_t a_{lt} \mathbf{h}_t$
- $\textbf{4. Decoder} = \mathsf{Softmax}(\mathsf{FC}(\mathsf{LSTM}(\mathbf{r}_l, \mathbf{q}_{l-1}, c_{l-1})))$

Problems with this simple model

▶ temporal attention too flexible, allows nonsensical alignments (in MT word order can change, in ASR not)



Second, Parallel Decoder (CTC)

- 1. Input (same as before)
- 2. Encoder (same as before)
- 3. Decoder fully connected layer per time stemp (converts 640 outputs from BLSTM
 - -> N characters, softmax)
- 4. \rightarrow One output character per input frame, using CTC Loss

CTC Crash Course

Problem: output sequence shorter than input sequence

- lacktriangle First, add blank character "-" to set. e.g. HELLO $ightarrow \{H,E,L,O,-\}$
- ▶ Inference: Remove duplicates: HHHH-EEEEEEEE-LL-LLL----OOOOOO \rightarrow H-E-L-O \rightarrow HELLO
- lacktriangle Training: HELLO ightarrow H-E-L-L-O ightarrow all combinations of char duplications are ok
- → Enforces monotonic alignment
 - Efficient computation using Viterbi / forward-backward algorithm

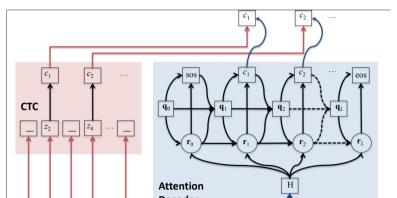
https://towards datascience.com/intuitively-understanding-connection ist-temporal classification-3797e43a86c

RNN-LM

▶ Model distribution of characters in languages (ignores input speech)

Combine both decoders + RNN-LM

- AdaDelta optimization
- Training objective function: 0.5 * CTC loss + 0.5 * Attention loss + 0.1 RNN-LM loss
- Inference via beam search on attention output weighted by objective function (non-trivial for partial hypothesis)



Conclusions

- adding a pure language model (RNN-LM) improves performance a bit
- ▶ [On single language ASR] "Surprisingly, the method achieved performance comparable to, and in some cases superior to, several state-ofthe-art HMM/DNN ASR systems ... when both multiobjective learning and joint decoding are used."

Language Confusion Matrix

		CH	EN	JP	DE	ES	FR	IT	NL	RU	PT
	train_dev	100.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
CH	dev	100.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
	test_eval92	0.0	100.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
EN	test_dev93	0.0	100.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
	eval1_jpn	0.0	0.0	100.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
	eval2_jpn	0.0	0.0	100.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
JP	eval3_jpn	0.0	0.0	99.9	0.0	0.0	0.0	0.1	0.0	0.0	0.0
	et_de	0.0	0.0	0.0	99.7	0.0	0.0	0.0	0.3	0.0	0.0
DE	dt_de	0.0	0.0	0.0	99.7	0.0	0.0	0.0	0.3	0.0	0.0
	dt_es	0.0	0.0	0.0	0.0	67.9	0.0	31.9	0.0	0.0	0.2
ES	et_es	0.0	0.0	0.0	0.1	91.1	0.0	8.4	0.1	0.0	0.2
	dt_fr	0.0	0.0	0.0	0.1	0.0	99.4	0.0	0.2	0.0	0.3
FR	et_fr	0.0	0.0	0.0	0.1	0.0	99.5	0.0	0.1	0.0	0.3
	dt_it	0.0	0.0	0.0	0.0	0.3	0.4	99.1	0.0	0.0	0.3
IT	et_it	0.0	0.0	0.0	0.0	0.4	0.4	98.3	0.2	0.1	0.7
	dt_nl	0.0	0.0	0.0	1.3	0.0	0.1	0.1	97.2	0.0	1.3
NL	et_nl	0.0	0.0	0.0	1.0	0.0	0.2	0.2	97.6	0.0	0.9
	dt_ru	0.2	0.0	0.0	0.0	0.2	0.6	0.5	0.0	97.9	0.8
RU	et_ru	0.0	0.0	0.0	0.2	0.2	0.3	4.3	0.0	94.7	0.3
	dt_pt	0.0	0.0	0.0	0.3	0.3	2.6	1.7	3.4	0.6	91.2
PT	et_pt	0.0	0.3	0.0	0.3	0.0	0.0	3.9	3.6	0.3	91.5

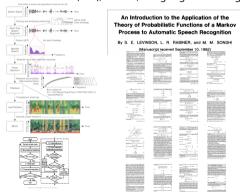
Figure 6: Language identification (LID) accuracies/error rates (%). The diagonal elements correspond to the LID accuracies while the offdiagonal elements correspond to the LID error rates

Potential problems / future work?

- lacktriangle nothing ensures language does not switch mid sentence ightarrow Apparently not an issue
 - but maybe we want to allow this? (append utterances from different languages)
- uniform random parameter initialization with [-0.1, 0.1] sounds bad
- does not work in realtime (without complete input utterance)
 - Bidirectional LSTM in encoder
 - Could try one directional, but Language ID would completely break
 - aggregate limited number of future frames (e.g. add 500ms latency between input and output)
 - ► CTC in realtime?
 - Attention does not work in realtime
- ▶ same latin characters are used for multiple languages, while others (RU, CN, JP) get their own character set

WHO WOULD WIN?

decades of research on Feature extraction, Dynamic time warping, HMMs, Language modeling



one deepy boi

Solving universal speech recognition

By Random Author, Big Company, Random other Guy

we literally just throw an LSTM at it.

BC Utterance Selection

- ▶ Get a list of all backchannel phrases
- ▶ BC phrase list from the *Switchboard Dialog Act Corpus* (SwDA)

BC Utterance Selection

- ▶ Get a list of all backchannel phrases
- ▶ BC phrase list from the *Switchboard Dialog Act Corpus* (SwDA)

SwDA incomplete

 \rightarrow Identify utterances only from their text

Something like "uh" can be a disfluency or a BC.

- ightarrow only include phrases with silence or BC before them.
- \rightarrow Balanced data

Feature Selection

- ► Acoustic features like power, pitch
- Linguistic features (from the transcriptions)

Neural network design

Recurrent NNs

BCs are more probable after a longer period without BCs.

ightarrow Use RNN / LSTM

Recurrent NNs

BCs are more probable after a longer period without BCs.

ightarrow Use RNN / LSTM

Postprocessing

NN output is

- ▶ a value between 0 and 1
- quickly changing
- noisy

Postprocessing – Low-pass filter

Gauss filter looks into future

 \rightarrow Cut off filter and shift it

Thresholding / Triggering

- \blacktriangleright Use areas of output > threshold t (0 < t < 1)
- ► Trigger at local maximum

Evaluation

Objective Evaluation

- Precision (portion of predictions that were correct)
- Recall (portion of correct BCs that were predicted)
- ► F1-Score (harmonic mean of Precision and Recall)

Lots of parameters to tune

- Context width
- Context stride
- Which features
- NN depth
- NN layer sizes
- LSTM vs Feed forward
- ► Trigger threshold
- Gaussian filter sigma
- ► Gaussian filter cutoff
- Prediction delay

Lots of parameters to tune

manually through trial and error:

- Context width
- Context stride
- Which features
- NN depth
- NN layer sizes
- LSTM vs Feed forward

automatically with Bayesian optimization:

- Trigger threshold
- Gaussian filter sigma
- Gaussian filter cutoff
- Prediction delay

Results

Context width

Precision	Recall	F1-Score
0.219	0.466	0.298
0.280	0.497	0.358
0.305	0.488	0.375
0.275	0.577	0.373
	0.219 0.280 0.305	0.219

Table 1: Results with various context lengths. Performance peaks at $1500\,\mathrm{ms}$.

LSTM vs FF

Layers	Parameter count	Precision	Recall	F1-Score
FF (56:28)	40k	0.230	0.549	0.325
FF(70:35)	50k	0.251	0.468	0.327
FF (100:50)	72k	0.242	0.490	0.324
LSTM (70:35)	38k	0.305	0.488	0.375

Table 2: LSTM outperforms feed forward architectures.

Layer sizes

Layer sizes	Precision	Recall	F1-Score
100	0.280	0.542	0.369
50:20	0.291	0.506	0.370
70:35	0.305	0.488	0.375
100 : 50	0.303	0.473	0.369
70:50:35	0.278	0.541	0.367

Table 3: Comparison of different network configurations. Two LSTM layers give the best results.

Features

Features	Precision	Recall	F1-Score
power	0.244	0.516	0.331
power, pitch	0.307	0.435	0.360
power, pitch, mfcc	0.278	0.514	0.360
power, ffv	0.259	0.513	0.344
power, ffv, mfcc	0.279	0.515	0.362
power, pitch, ffv	0.305	0.488	0.375
$word2vec_{dim=30}$	0.244	0.478	0.323
power, pitch, word $2 ext{vec}_{dim=30}$	0.318	0.486	0.385
power, pitch, ffv, word $2 \text{vec}_{dim=15}$	0.321	0.475	0.383
power, pitch, ffv, word $2 \text{vec}_{dim=30}$	0.322	0.497	0.390
power, pitch, ffv, word2 ${ m vec}_{dim=50}$	0.304	0.527	0.385

Table 4: Results with various input features, separated into only acoustic features and acoustic plus linguistic features.

Other research

Predictor	Precision	Recall	F1-Score
Baseline (random)	0.042	0.042	0.042
Müller et al. (offline)	_	_	0.109
Our results (offline, context of $-750 \mathrm{ms}$ to $750 \mathrm{ms}$)	0.114	0.300	0.165
Our results (online, context of $-1500\mathrm{ms}$ to $0\mathrm{ms}$)	0.100	0.318	0.153

Table 5: Comparison with previous research.

Varying margin of error

Margin of Error Constraint		Precision	Recall	F1-Score
-200 ms to 200 ms		0.172	0.377	0.237
-100 ms to 500 ms		0.239	0.406	0.301
-500 ms to 500 ms		0.247	0.536	0.339
0 ms to 1000 ms Baseline (random) Only acoustic features Acoustic and linguistic features		0.079	0.323	0.127
		0.294	0.488	0.367
		0.312	0.511	0.388

Table 6: Results with various margins of error used in other research. Performance improves with a wider margin width and a later margin center.

Survey

Randomly show participants 6 samples of the following categories

- 1. Random predictor
- 2. NN predictor
- 3. Ground truth

Survey

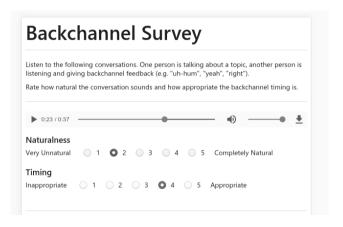


Figure 7: Screenshot of the survey interface.

Survey Results

Predictor	Sample	Timing	Naturalness	Sample Size
random	average	2.33 points	2.63 points	40
nn	average	3.48 points	3.08 points	40
truth	average	4.20 points	4.08 points	40

Table 7: Average user ratings of different BC predictors

Thank you for your attention

Addendum

Demo

http://localhost:3000/

#N4IgDgNghgngRIAxgawAoHsDOBLALt9AOxAC5CBXCCAGhADN0r0B3VaeJZUuqCTAU16 aeXH9dJA-WO5T-OQHoAgg-4APXOKS5+
AEwdQvoialCl65LzYAF4ScgDqUGIM4gC2lcKEdNgA5nKE6KmRMQAy6li86aZmhLgASICE2f cAurQAFvw5T7hyUOS46CHk17c5pdDDY7BYrEYTGZHC5PA1MIhxNgwLgHD4PCEwpglhBcKFXEIMoVIRVfg1eqNZoUKhXbA3EgjB7PV7Zd6fb6-

Lk8voXaigf7c24DWiQ2xmcEgqHNEDONFeQgIpEohzMAq+
XTi24TWhYnF48RyYkYsmZHJ5AqrWn8UrlCCVcTVOoNJqkDkQfkgF5vD4Er4EDA6zq1Xx5UarUAOVTAAJ0N8wN9MA4RDtVJ0shRCNgsn5OiNOtk+nB+
ItFHBOr5sOJcDASHxcClOg3MLgS6CVZ0wCwJNQwHhEE9qHQ6CJqEbxL5IGJEAB9NspObF

ehkgRBMlkB5qtdobD06iHMoiiKMMZx7MMyjjMslCrOsJC9KMMyjBMfSjKchzDBMwwzMclv6Hswx6nuePSYCk6DoLgTyYle7q2gSd78MkaSOhSLo0ni77eoyvrMv6bKkP+
HS9KoJx9Kocx7PMfSxcoEF9CsayAVMGHjAM0wRZhYFSkRAlkMoyj0SOKYuOx2a4LmuD5c

HS9KoJx9Kocx7PMfSxcoEF9CsayAVMGHjAM0wRZhYFSkRAIkMoyj0SOKYuOx2a4LmuD5cEVtgVY1nWDZNpJ7adt2g5yeYQ4MaO47MJO04nupi7Lsa678FuO57p0B5HtIJn9WZFIWU8PUKcmmBKSNKljbO86TVpM1zXpC1LUZK1PGea3mZZ1k9HAwRHdi14ObeiTOQ+

UKcmmBKSNKIjbO86TVpM1zXpC1LUZK1PGea3mZZ1k9HAwRHdi14ObeiTOQ+ bnPvdr7ut5Pp+ qvH3BaQ3Q9DMsWKH0cwzAMKiTGcSWIb0UEDBIAvA5K0G5cDBUXD95H-

SwDA categories

	name	act_tag	example	full_count
1	Statement-non-opinion	sd	Me, I'm in the legal department.	75145
2	Acknowledge	Ь	Uh-huh.	38298
	(Backchannel)			
3	Statement-opinion	sv	I think it's great	26428
4	Agree/Accept	aa	That's exactly it.	11133
5	Abandoned or Turn-Exit	%	So, -	15550
6	Appreciation	ba	I can imagine.	4765
7	Yes-No-Question	qу	Do you have to have any special training?	4727

Figure 8: SwDA categories

Context stride

Stride	Stride Precision		F1-Score
10ms	0.290	0.490	0.364
20ms	0.305	0.488	0.375
40ms	0.285	0.498	0.363

Table 8: Results with various context frame strides.