



# In Case of Failure

ELAG 2011 Prague

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Twitter: [@hochstenbach](https://twitter.com/hochstenbach)

# BOM-VL/Archipel

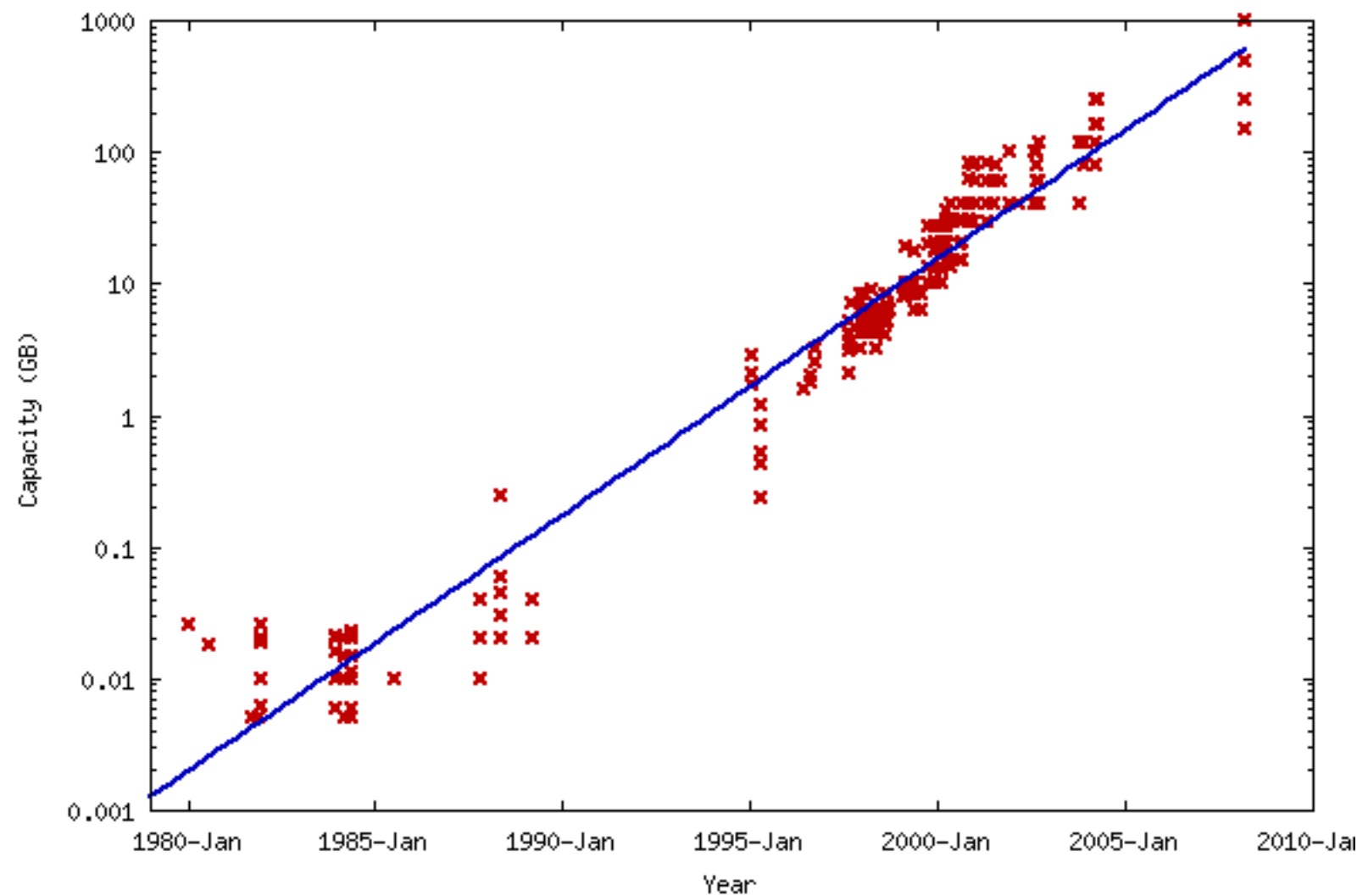
<http://www.slideshare.net/hochstenbach/20081007-workshop-bomvl-wp3>

# Life expectancies of media

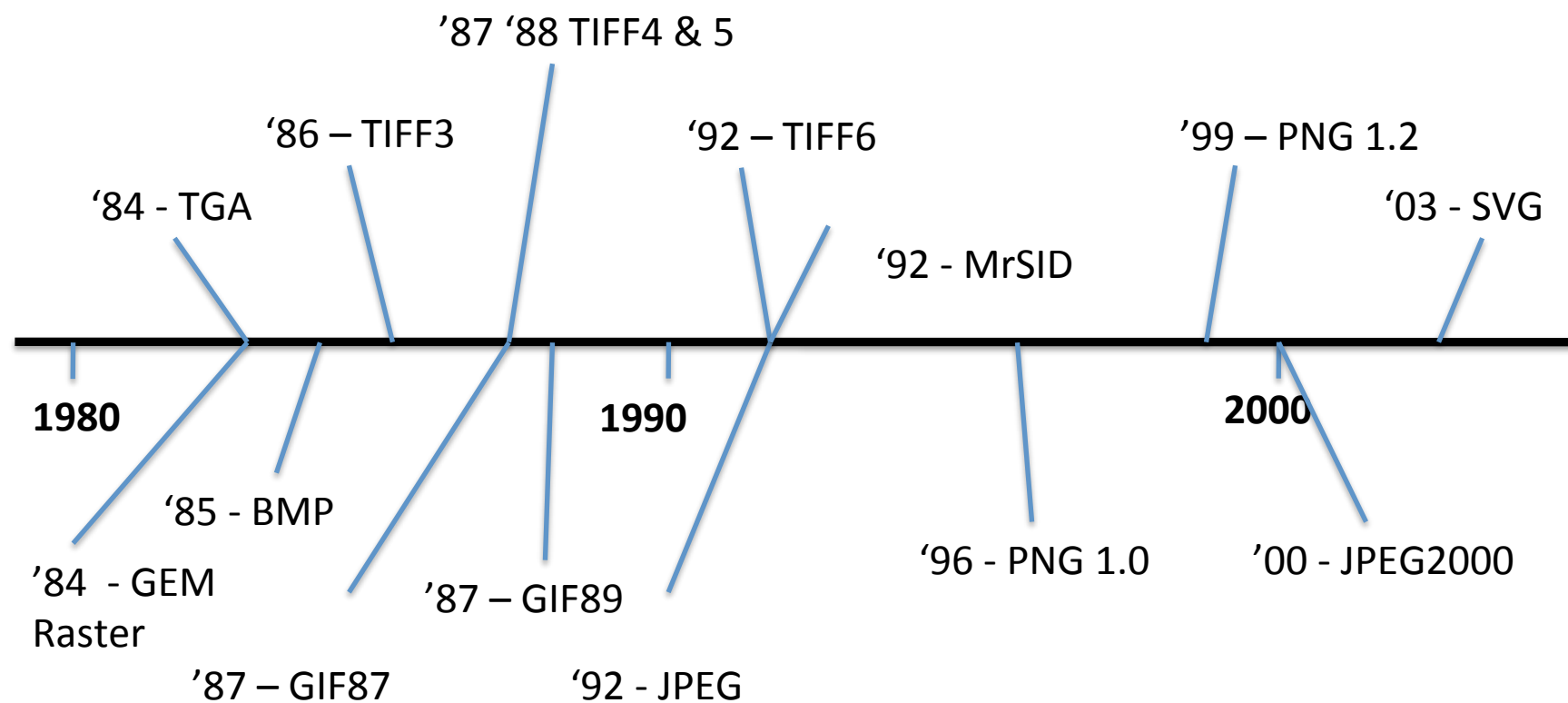
Retention Period - Required Storage Life	Magnetic Tape									Optical Disk				Paper			Microfilm		Retention Period - Required Storage Life
	I-D1	Data D-2	Data D-3	3480	3490/3490e	DLT	Data 8mm / Data VHS	DDS / 4mm	QIC / QIC-wide	CD-ROM	WORM	CD-R	M-O	Newspaper (high lignin)	High Quality (low lignin)	"Permanent" (buffered)	Medium-Term Film	Archival Quality (Silver)	
1 year																			1 year
2 years																			2 years
5 years																			5 years
10 years																			10 years
15 years																			15 years
20 years																			20 years
30 years																			30 years
50 years																			50 years

# Growth of digital data

Capacity of desktop computers



# Growth in formats



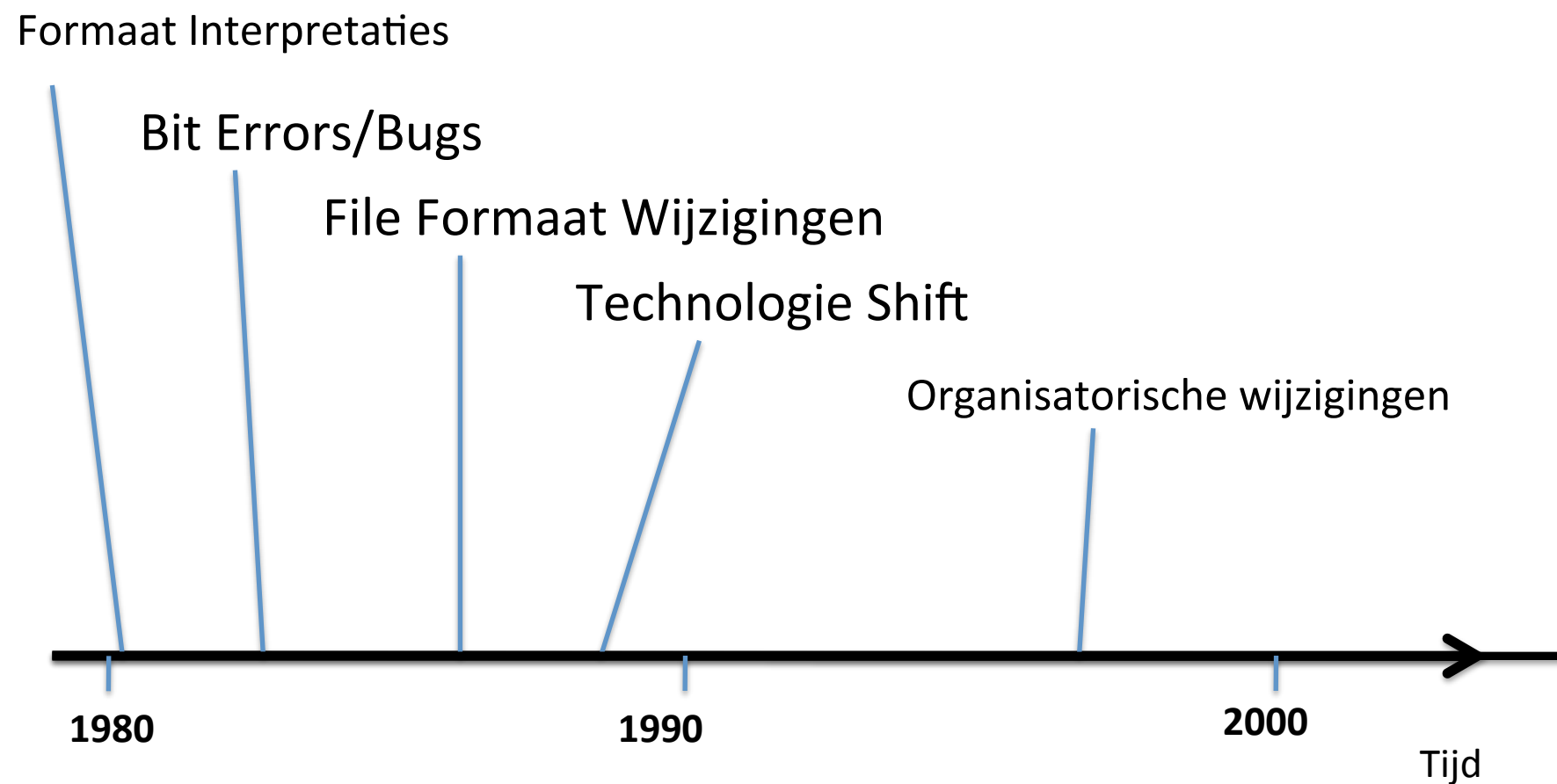
# Formats of formats

MIME type image/tiff:

- TIFF (alle versies)
- TIFF/IT
- TIFF G4/LZW/UNC
- Digital Negative Format (DNG)
- GeoTIFF
- Pyramid TIFF
- ...

Bron: PRONOM Technical Registry [<http://www.nationalarchives.gov.uk/pronom/>]

# Short & long term risks



# Best practices



# Best practices

- I. Create a preservation plan

# Best practices

1. Create a preservation plan
2. Backup and replicate your data

# Best practices

1. Create a preservation plan
2. Backup and replicate your data
3. Store preservation metadata

# Best practices

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2. Backup and replicate your data
3. Store preservation metadata
4. Store technical metadata

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6. Don't trust software

# Best practices

1. Create a preservation plan
2. Backup and replicate your data
3. Store preservation metadata
4. Store technical metadata
5. Store representation metadata
6. Don't trust software
7. Store descriptive metadata

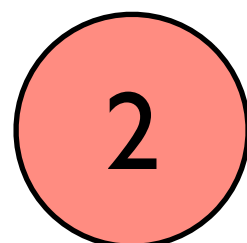
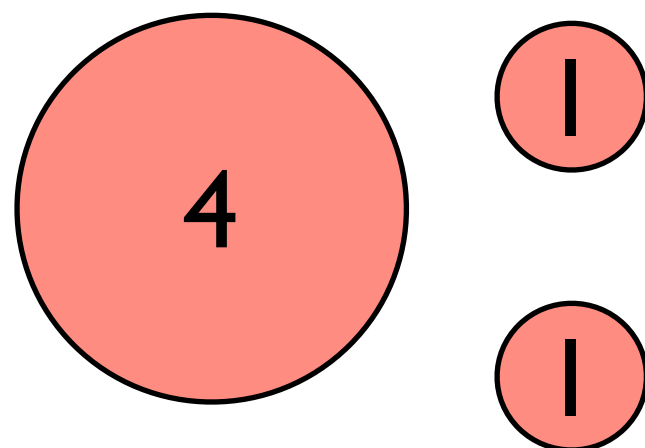
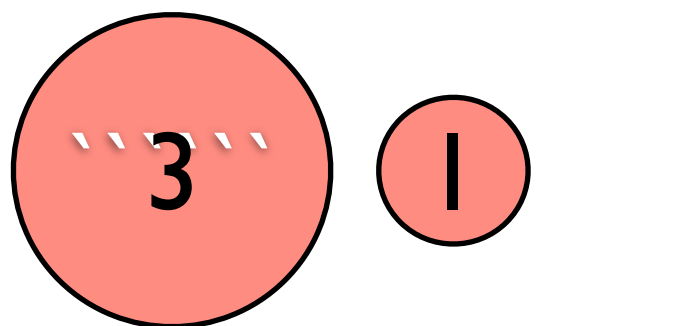
# Preservation Plan

- Preservation policies (what to preserve)
- Legal obligations
- Organizational & Technical constraints
- User requirements
- Context
- <http://plato.ifs.tuwien.ac.at:8080/plato>

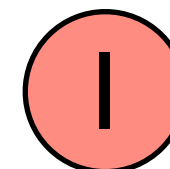
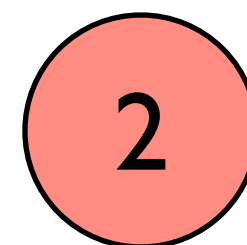
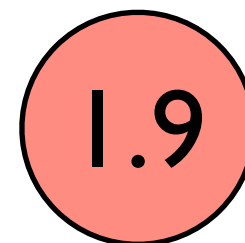
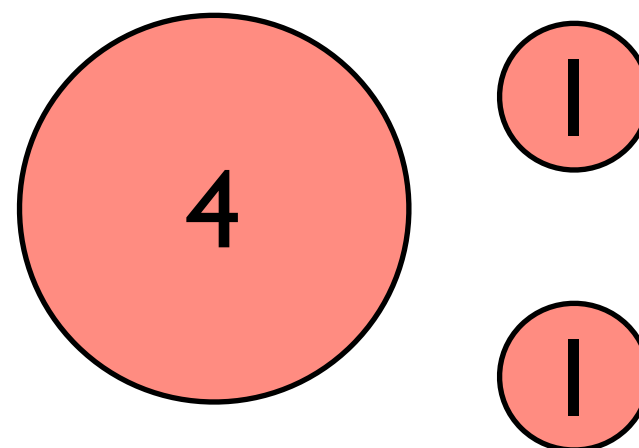
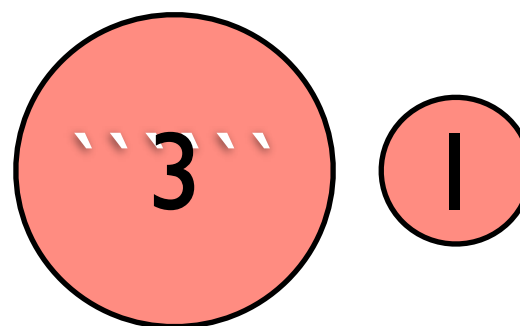
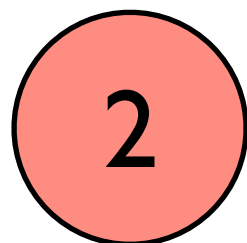
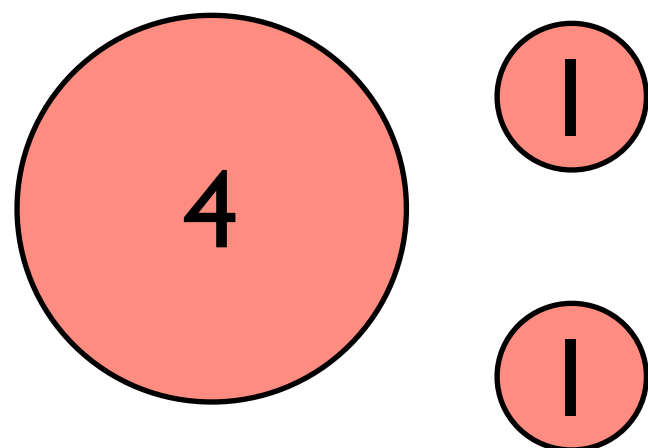
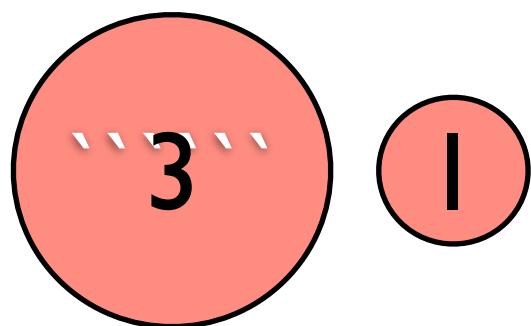


# Risk Analysis

Random error

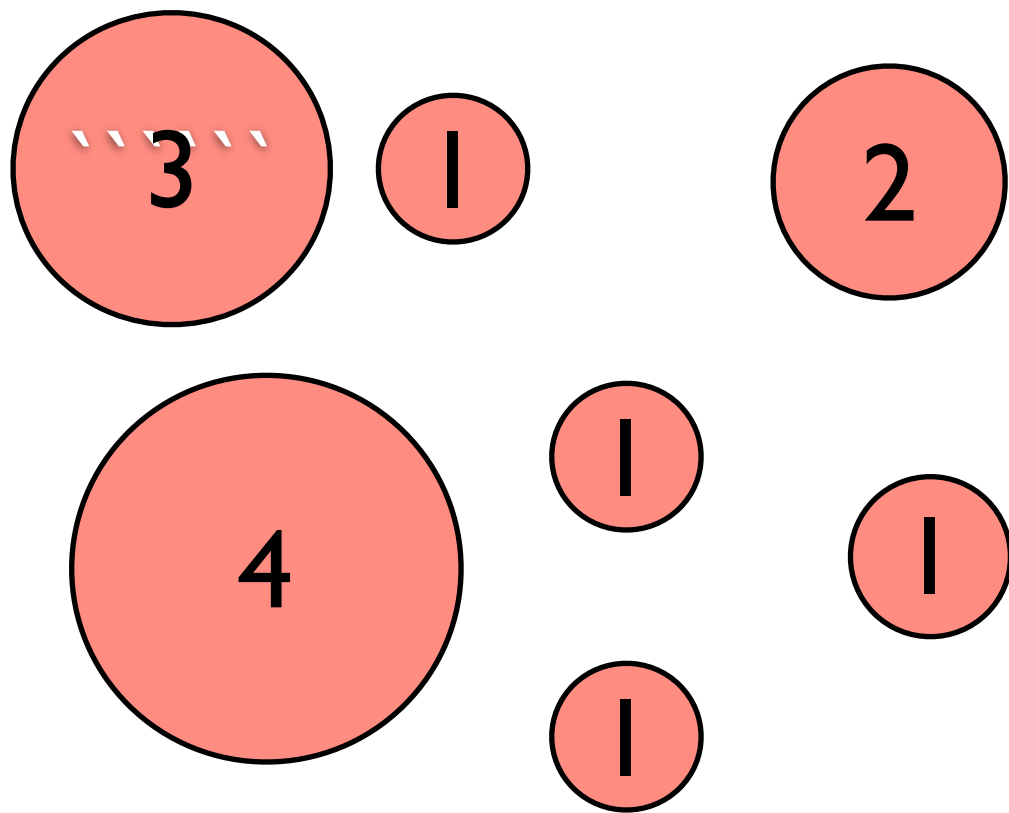


Random error

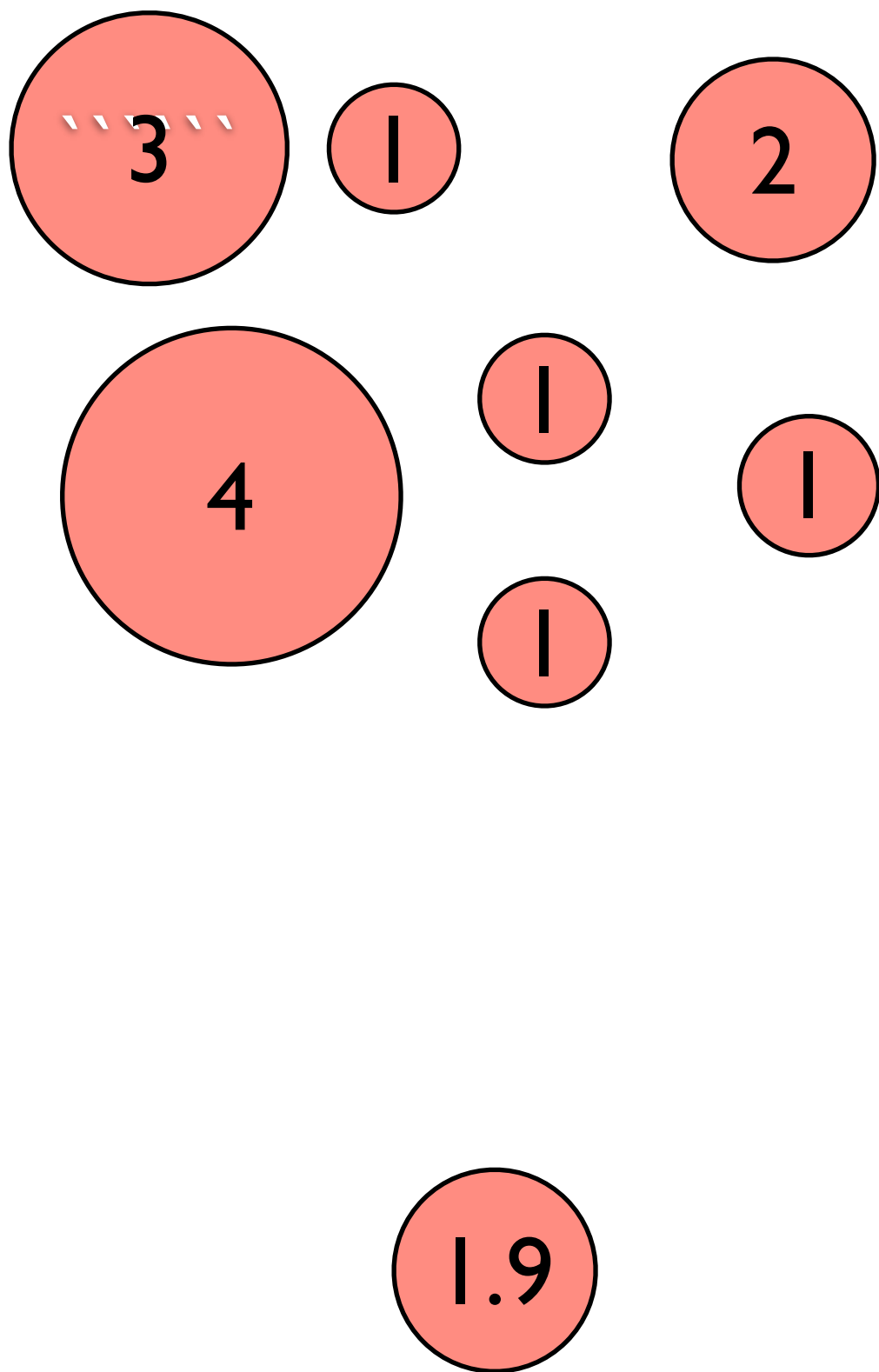


Random error

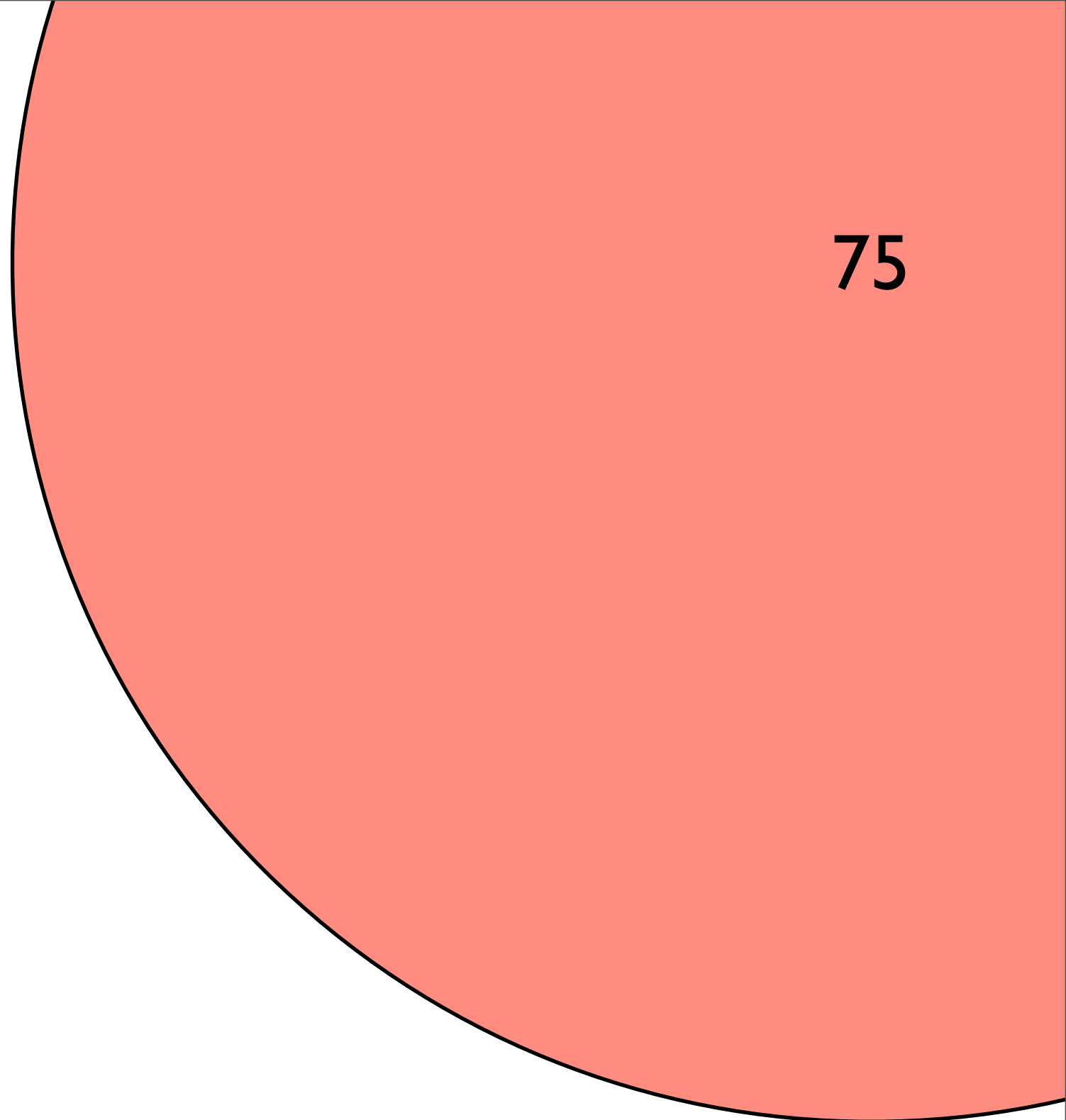
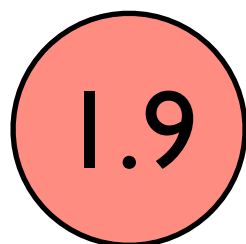
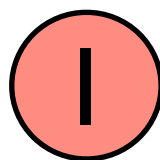
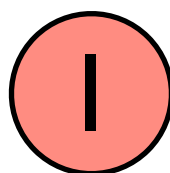
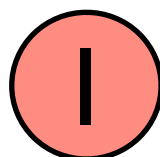
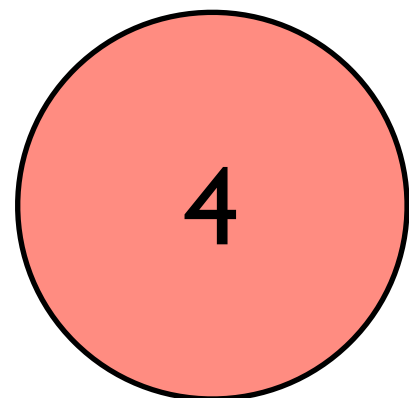
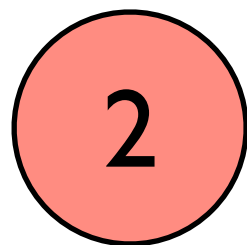
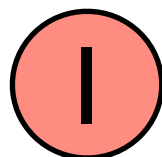
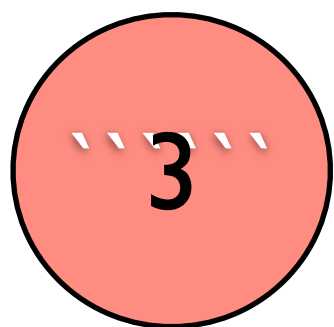
**Systematic error**



Systematic error



Systematic error



Systematic error



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BY DAVID S.H. ROSENTHAL

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# Keeping Bits Safe: How Hard Can It Be?



# MTBF

MTBF = Mean Time Between Failure

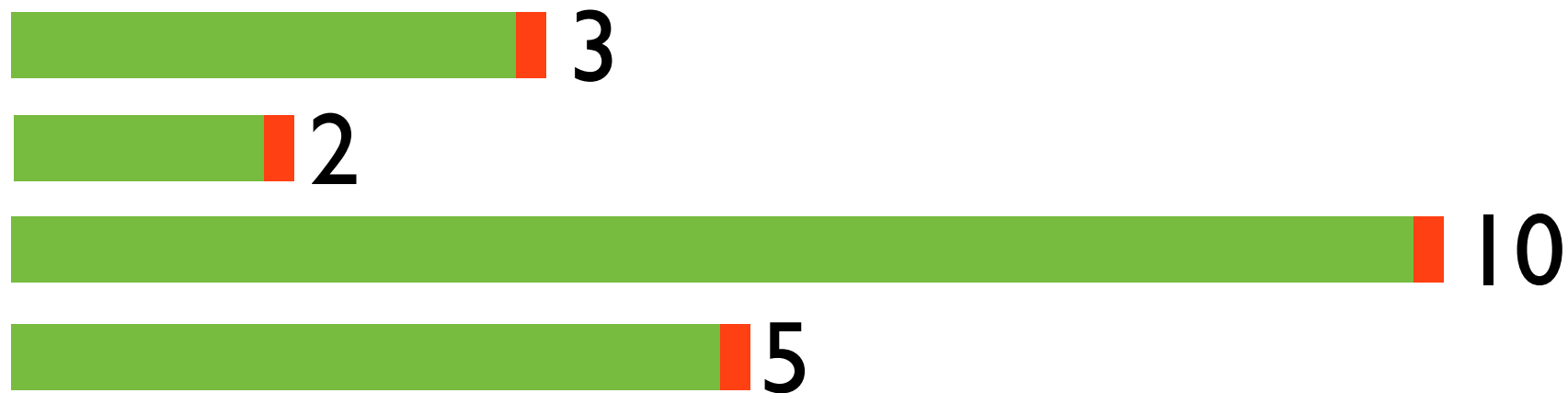


Time →

$$\text{MTBF} = \frac{\text{Total Time}}{\text{Number of failures}} = \frac{40 \text{ hours}}{3 \text{ failures}} = 13.3 \text{ hrs}$$

# MTTF

MTTF = Mean Time To Failure



Time →

$$\text{MTTF} = \frac{\text{Total time}}{\text{Number of units}} = \frac{20 \text{ hours}}{4 \text{ units}} = 5 \text{ hrs}$$



### ULTRASTAR 7K3000

World's first shipping 7200 RPM 3TB enterprise-class HDD rated at 2M hours MTBF

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## WESTERN DIGITAL TO ACQUIRE HITACHI GLOBAL STORAGE TECHNOLOGIES

Combination of Hard Drive Companies Will Create Industry's Broadest Product Portfolio and a Significant Pool of Resources for Innovation **GO >**





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MTTF = 2 M hours = 228 years!

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$$\text{MTTF} = 2 \text{ M hours} = 228 \text{ years!}$$
$$\text{AFR} = 1/\text{MTTF} = 0.004 = 0.4 \%$$



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$MTTF = 2 \text{ M hours} = 228 \text{ years!}$

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$R(t) = \exp(-t/\Theta)$



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$$R(5) = \exp(-5/228) = 0.98 = 98\%$$

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$$\text{MTTF} = 2 \text{ M hours} = 228 \text{ years!}$$

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$$R(t) = \exp(-t/\Theta)$$

$$R(5) = \exp(-5/228) = 0.98 = 98\%$$

$$50 \text{ disks} = 0.98^{50} = 0.36 = 36\%$$

# Experiments

- Simulate 100 disks with a 200 MTTF using Processing. What happens if the AFR is not 0.4% but 4% (hint: what is MTTF in that case)?
- Given a MTTF of 200 years and 50 disks what is the reliability in 1,2 and 5 years?

# Experiments

- Amazon S3 claims an AFR per object of 0.0000000001% [1]. What is the MTTF?
- There are 100 billion objects in S3. Given an estimated average size of 1 MB how big is S3?
- What is the chance (reliability) none of these 100 billion objects are lost in 1 year?





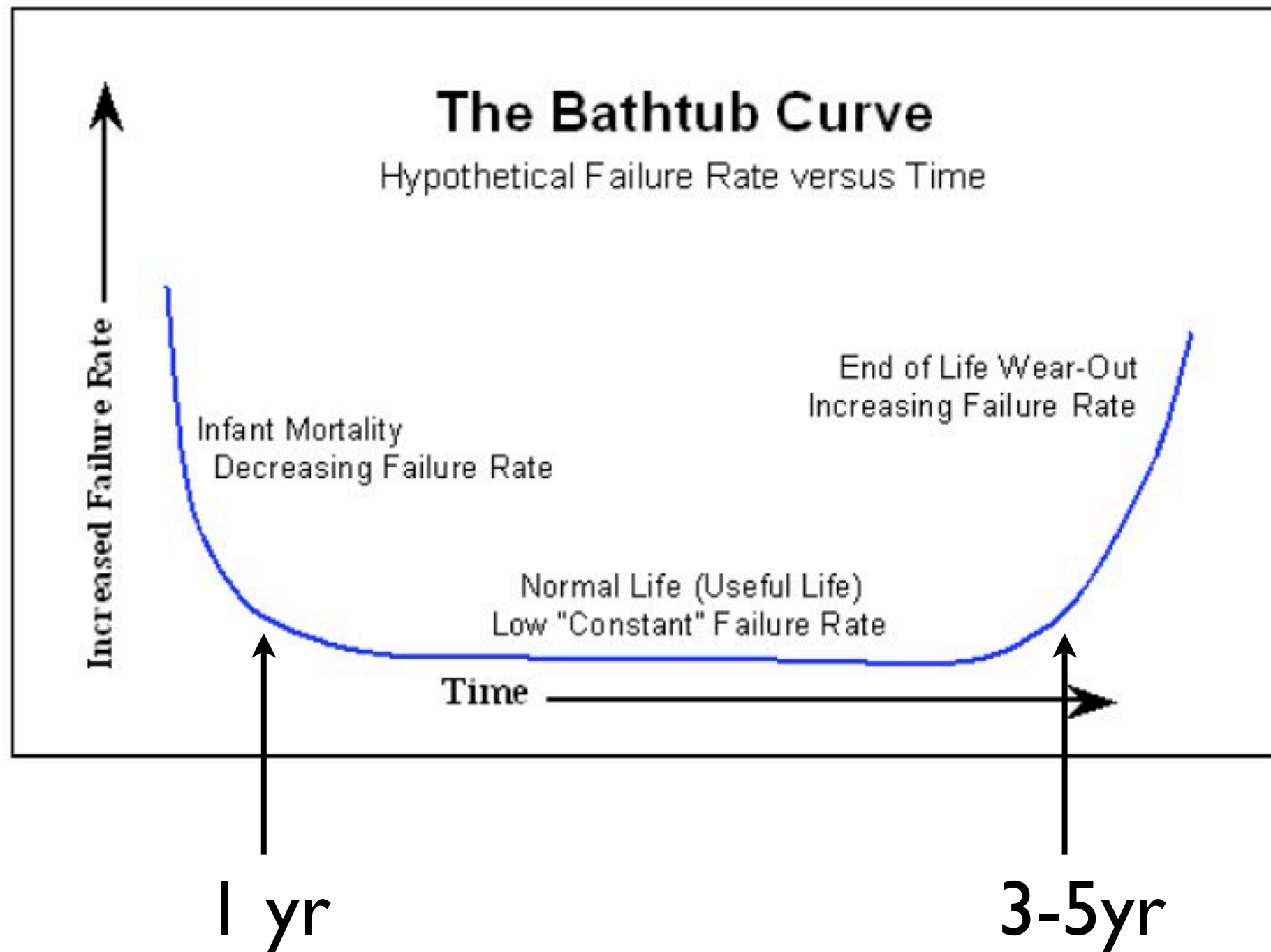
**Disk failures in the real world:  
What does an MTTF of 1,000,000 hours mean to you?**

**Bianca Schroeder Garth A. Gibson  
Computer Science Department  
Carnegie Mellon University  
{bianca, garth}@cs.cmu.edu**

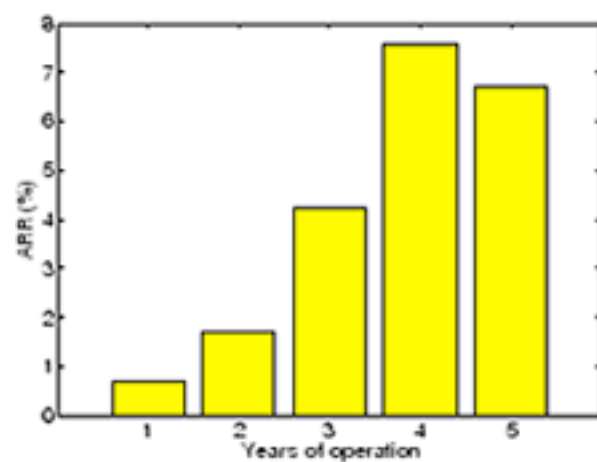
[http://db.usenix.org/events/fast07/tech/schroeder/schroeder\\_html/index.html](http://db.usenix.org/events/fast07/tech/schroeder/schroeder_html/index.html)

# Shroeder & Gibson

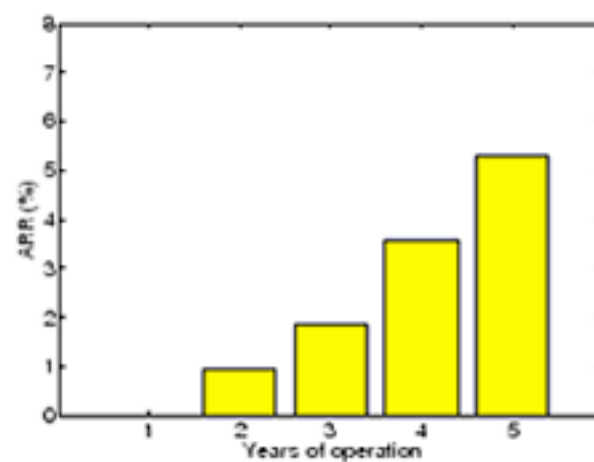
Data set	Type of	Duration	#Disk	# Servers	Disk	Disk	MTTF	Date of first	ARR
	cluster		events		Count	Parameters	(Mhours)	Deploym.	(%)
HPC1	HPC	08/01 - 05/06	474	765	2,318	18GB 10K SCSI	1.2	08/01	4.0
"	"	"	124	64	1,088	36GB 10K SCSI	1.2	"	2.2
HPC2	HPC	01/04 - 07/06	14	256	520	36GB 10K SCSI	1.2	12/01	1.1
HPC3	HPC	12/05 - 11/06	103	1,532	3,064	146GB 15K SCSI	1.5	08/05	3.7
"	HPC	12/05 - 11/06	4	N/A	144	73GB 15K SCSI	1.5	"	3.0
"	HPC	12/05 - 08/06	253	N/A	11,000	250GB 7.2K SATA	1.0	"	3.3
HPC4	Various	09/03 - 08/06	269	N/A	8,430	250GB SATA	1.0	09/03	2.2
"	HPC	11/05 - 08/06	7	N/A	2,030	500GB SATA	1.0	11/05	0.5
"	clusters	09/05 - 08/06	9	N/A	3,158	400GB SATA	1.0	09/05	0.8
COM1	Int. serv.	May 2006	84	N/A	26,734	10K SCSI	1.0	2001	2.8
COM2	Int. serv.	09/04 - 04/06	506	9,232	39,039	15K SCSI	1.2	2004	3.1
COM3	Int. serv.	01/05 - 12/05	2	N/A	56	10K FC	1.2	N/A	3.6
"	"	"	132	N/A	2,450	10K FC	1.2	N/A	5.4
"	"	"	108	N/A	796	10K FC	1.2	N/A	13.6
"	"	"	104	N/A	432	10K FC	1.2	1998	24.1



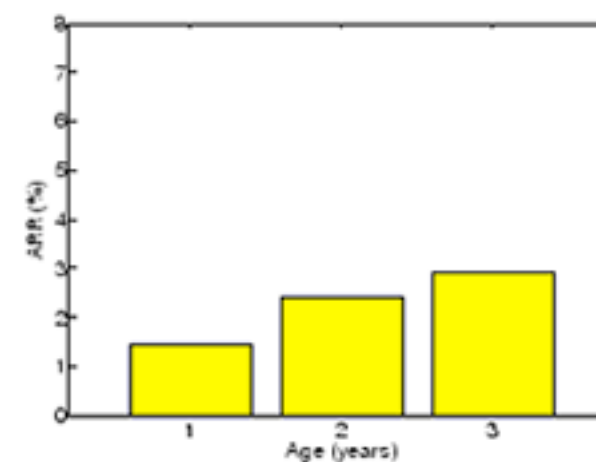




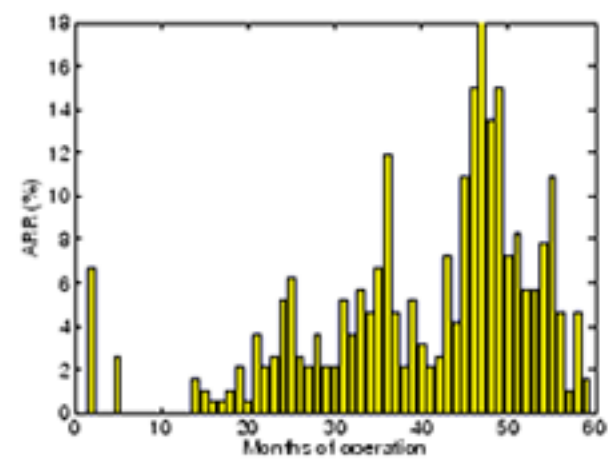
HPC1 (compute nodes)



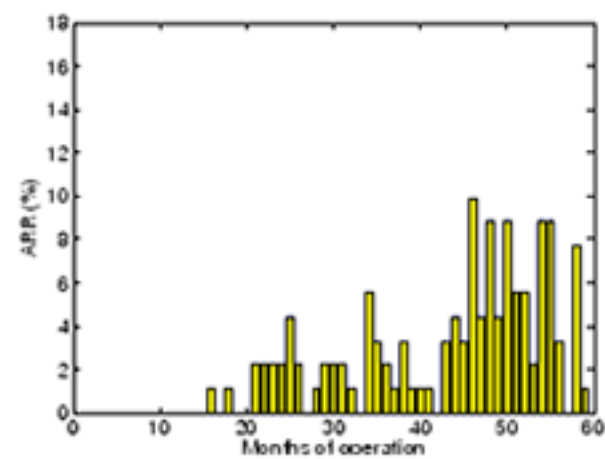
HPC1 (filesystem nodes)



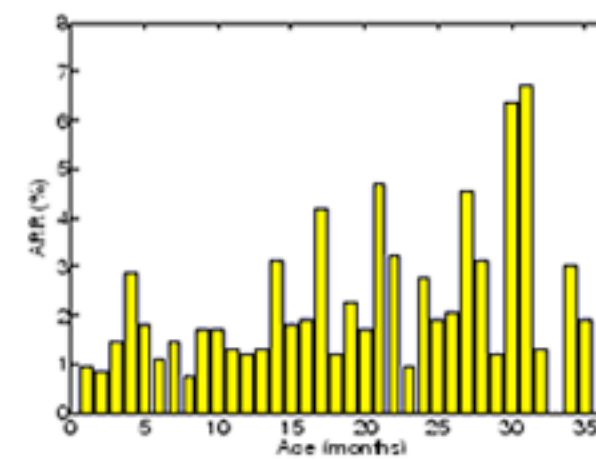
HPC4



HPC1 (compute nodes)



HPC1 (filesystem nodes)



HPC4

# Experiments

- Given the lifetime of the universe (13 billion years) as the lifetime of one storage byte. What is the probability one Tera byte (1 billion bytes) will survive 100 years?
- Discuss



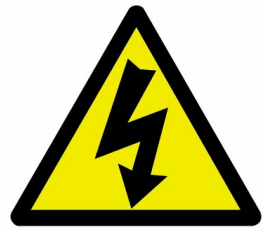
# Why Do Computers Stop and What Can Be Done About It?

<http://www.hpl.hp.com/techreports/tandem/TR-85.7.html>

Jim Gray

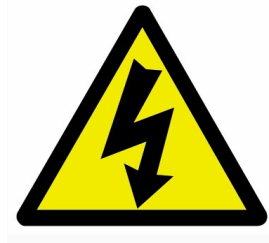
# Serial Failures

# Serial Failures



87 years

# Serial Failures

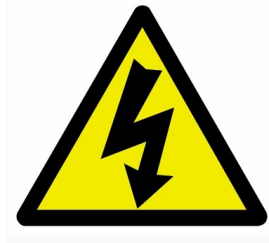


87 years



75 years

# Serial Failures



87 years



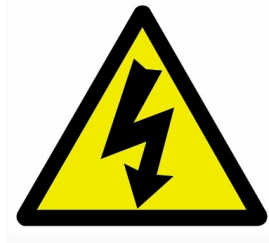
75 years



50 years



# Serial Failures



87 years



75 years



50 years



31 years

# Serial Failures

- $A \rightarrow B \rightarrow C \rightarrow D \rightarrow \dots \rightarrow \text{SYSTEM}$

$$\frac{1}{\text{SYSTEM}} = \frac{1}{A} + \frac{1}{B} + \frac{1}{C} + \frac{1}{D} + \dots$$

E.g.: components : 1 , 100 , 1000, 10000  
System: 0.989 years

# Parallel Failures



= 200 years



= ?? years

# Parallel Failures

$$\text{SYSTEM} = \left\{ \begin{array}{l} A \\ B \\ C \\ D \end{array} \right.$$

$$\text{SYSTEM} = A * B * C * D$$

E.g. : components : 200,200

System: 40000 years

# Composite Failures



# Composite Failures

$$\frac{\text{Hard Disk}}{\text{Hard Disk}} = 40.000 \text{ years}$$

$$\frac{\text{Hard Disk}}{\text{Hard Disk}} \quad \frac{\text{Hard Disk}}{\text{Hard Disk}} = \text{SYSTEM}$$

$$\frac{1}{\text{SYSTEM}} = \frac{1}{40.000} + \frac{1}{40.000}$$

$$\text{SYSTEM} = 20.000$$

# Experiments

- Calculate the composite failure of the Tandem example (administration, software, hardware, environment)
- How would you make this setup more reliable? Calculate the effect
- What is the MTTF of a 5-way mirror of 7K3000 disks?





# **Analysing the Impact of File Formats on Data Integrity**

*Volker Heydegger; Universität zu Köln; Cologne, Germany*

[http://old.hki.uni-koeln.de/people/herrmann/forschung/heydegger\\_archiving2008\\_40.pdf](http://old.hki.uni-koeln.de/people/herrmann/forschung/heydegger_archiving2008_40.pdf)

# Bit Errors



0 1 1 0 0 0 1 0 1 1



0 0 1 0 1 0 1 0 0 1

$$\text{BER} = \text{Bit Error Rate} = 3/10 = 0.3 = 30 \%$$

# Bit Errors

- Soft error - repeat the operation
- Hard error - after some repeats data is lost
- Typical disk BER =  $10^{-5}$  to  $10^{-6}$  (every 10KB to 100 KB read)

# Bit Errors

Drive Type	Hard Error
Consumer SATA	$10^{-14}$
Enterprise SATA	$10^{-15}$
Enterprise SAS	$10^{-16}$

\*) BER-s are in bit = 1/8 byte

$$10^{14} \approx 10 \text{ TB}$$

$$10^{15} \approx 100 \text{ TB}$$

$$10^{16} \approx 1 \text{ PB}$$

1 sector error for every 10 TB -> 1 PB read

# Experiments

- Collect a few sample document from the web (images, documents, executables, etc); flip one or more random bits; explain the resulting effect
- Use the visual defects experiment to measure the effect of flipping bits on images files with various compressions
- Open and save an image file. Measure the visual effects.
- Calculate the checksum of the files and repeat the experiments. Check results.

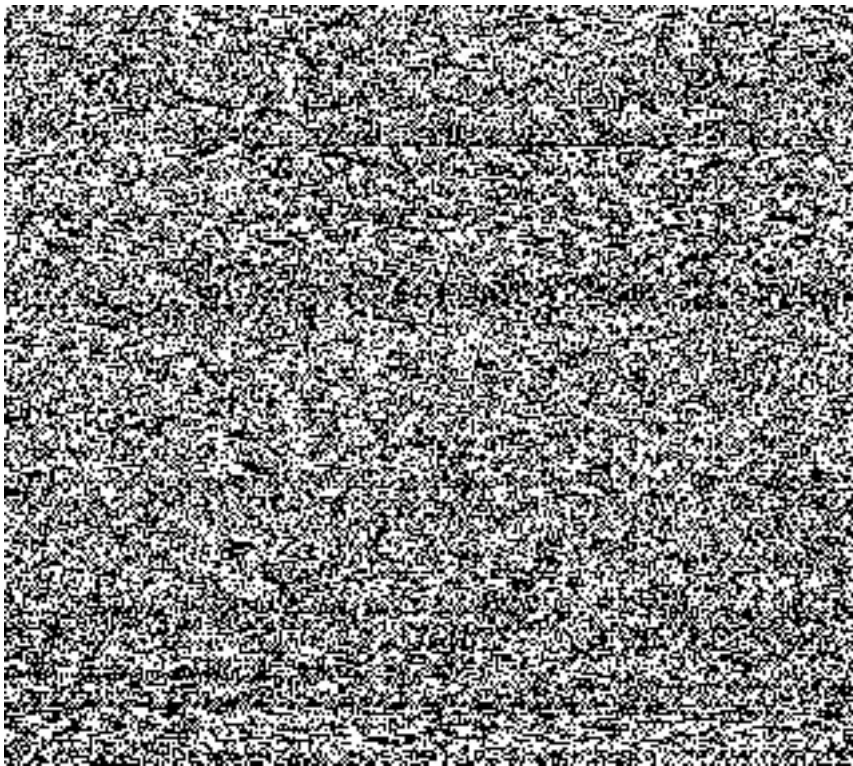


# File Formats

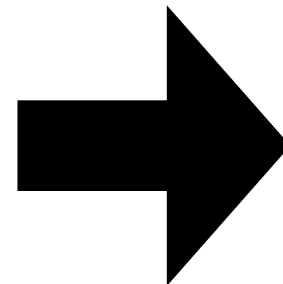
- The goal of digital preservation is **not** preserving the bits and bytes but the means to **access** and **use** the information represented by them.



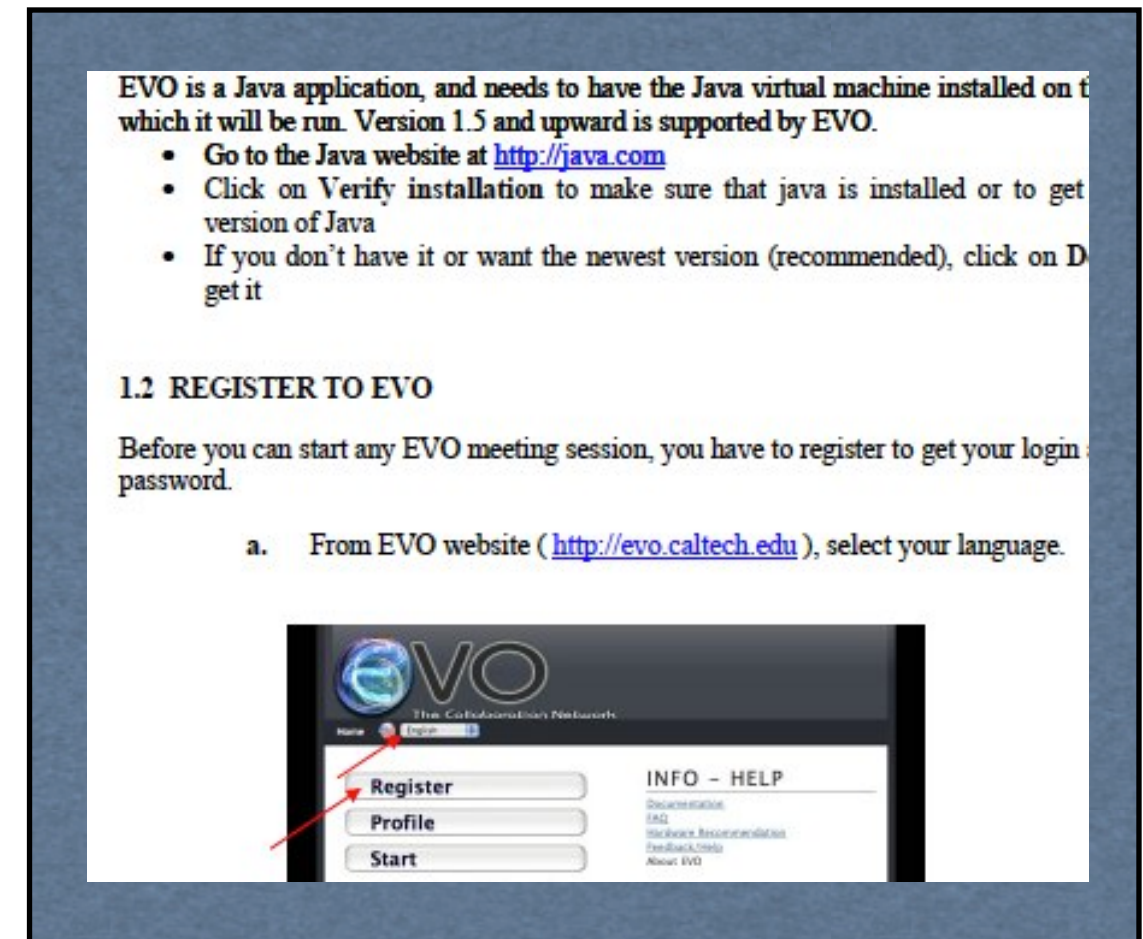
# File Formats



Bits



Software  
+  
Environment

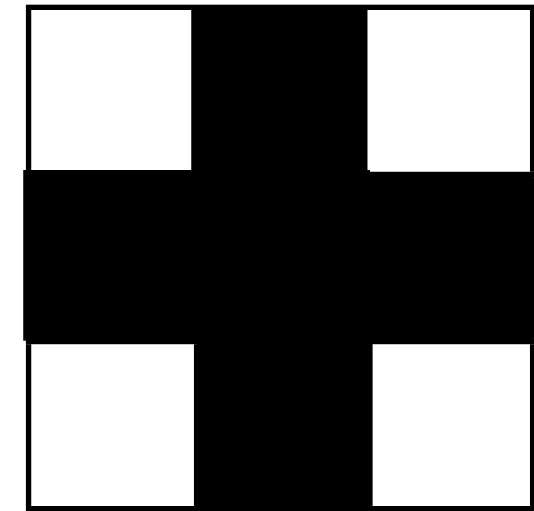
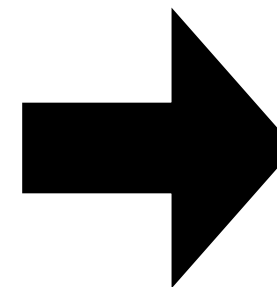
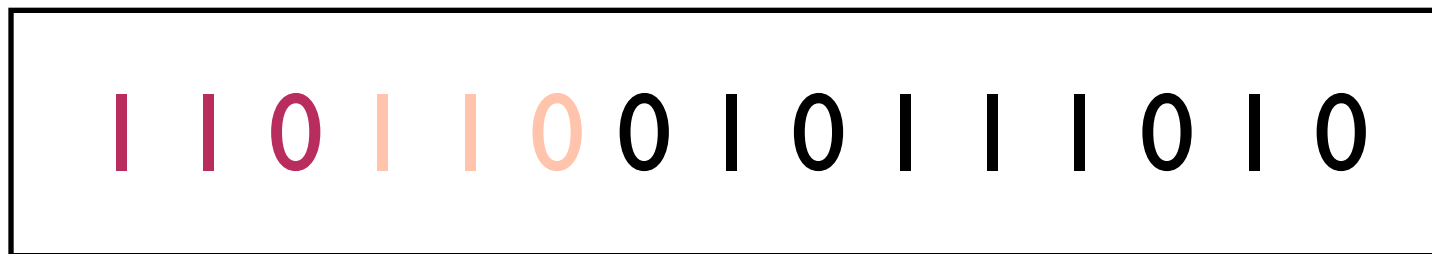


Information



# File Formats

hypothetical 3-bit format



**Width** = bit [1 .. 3]  
**Height** = bit [4 .. 6]  
**Data** = bit [7 .. 15]

# File Formats

With software you have only two options:

1. The software **works** and is **maintained**
2. The software **doesn't work** and is **not maintained**

# File Formats

I. The software **works** and is **maintained**

- Your designated community has the software tools
- Your archive has the software tools
- In both cases you need to provide information which software you need and the steps required to get access to the data

# File Formats

2. The software **doesn't work** and is **not maintained**

- Archive the source code of the original software
- Emulate the original software

# Experiments

- Experiment with different textencoding demo files to discover the bit content of these files.
- Use droid and jhove to characterize and validate the demo files.
- Invalidate the files using truncation, bit errors. Check the results.
- Use migration and emulation to get access to the demo.wp file.

αφ' ἡ  
ΖΕΙΟΥ ΔΥΟ  
ΕΙΕΝΤΗ ΝΕΤ  
ΝΤ· ΒΩ ΝΕΛΟ  
Ν· Υ Ν Α Κ Ζ Ν Π Ο Ρ Ρ  
· Δ Ζ Ι Τ Ο Ν Κ Χ Ο Ο Ε Χ Ε  
· Α Β Α Ο Ο Δ Ν Ο Κ Ε Ι Ο  
· Η Κ Α Π Ε Υ Τ Ι Ν Ο  
· Δ Ζ Ν Τ Ι Ε Υ Ν Ο  
· Τ Α Χ Χ  
· Δ Α Η Ι Ι Ι Ι

# Metadata

- Descriptive Metadata
- Administrative Metadata
- Structural Metadata
- Rights Metadata
- Representation Metadata

# Packaging

- Digital objects are composite structures
- Need to be described, validated and accessed as a whole
- Complex Objects



# Package Formats

- METS
- MPEG-21/DIDL
- LOM/IMS
- BagIt
- TIPR RXP

# Baglt

- Library of Congress & California Digital Library
- NDIIP
- Generic Format

# BagIt

▶  data	Today, 13:37	--	Folder
 bag-info.txt	Today, 13:37	4 KB	Plain Text
 bagit.txt	Today, 13:37	4 KB	Plain Text
 manifest-md5.txt	Today, 13:37	4 KB	Plain Text
 tagmanifest-md5.txt	Today, 13:37	4 KB	Plain Text

# Experiments

- Create using the Bagger toolkit a bag. Add Dublin Core descriptive metadata.
- Save the bag as ZIP-file and deposit it do the demo archive.
- As archivist access the deposit and validate its contents.

# Conclusions

