

# Indigenous Data Sovereignty and Open Data in Environmental Sciences

2022-07-26

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# About this book

This is an evolving reading list geared towards understanding the ethical concerns and best practices of data collection, management, and analysis, especially as it pertains to working with Indigenous peoples and environmental science and management. Open data has been widely pushed to increase data sharing, usage, and to help develop a global data science community. While there are many benefits, data sharing can further entrench systemic issues. A reliance on external data and analysis, which do not reflect community needs, values, or priorities, threatens self-determination. Indigenous data sovereignty addresses aspects of data inequality and may place restrictions on what data can be shared and by whom. Here, you'll find an overview of data sovereignty networks, and a collection of podcasts, seminars, tools, books and peer-reviewed papers. If you're aware of a resource that would fit in well or have other feedback, please share!

This reading list reflects the continuous development of learning materials at the Arctic Data Center and National Center for Ecological Analysis and Synthesis (NCEAS) to support researchers and practitioners to understand, adopt, and apply ethical open science practices. In bringing these materials together we recognize that many individuals have contributed to their development. The primary author is listed in the citation below, and additional contributors are recognized for their roles in guiding the development of this document through previous iterations.

**Citation:** Phoebe Racine. Indigenous Data Sovereignty and Open Data in Environmental Sciences. July 2022

**Additional contributors:** Natasha Haycock-Chavez, Nākoa Farrant, Ben Halpern and Matt Jones

## Land Acknowledgement

This book was created on unceded Chumash ancestral lands in gratitude and solidarity with all our relations. We are committed to learning about how to implement Indigenous Data Sovereignty within our own institutions and in the trainings that Arctic Data Centers offers the Arctic research community. The Chumash people are comprised of the descendants of Indigenous peoples removed from their Island of origin Limuw (Santa Cruz), Anyapac (Anacapa), Wima (Santa Rosa) and Tuqan (San Miguel)<sup>1</sup> subjugated by 5 missions during Spanish colonization of the Central Coast. Chumash Territory stretches from Malibu to Morro Bay and inland to Bakersfield, encompassing 7,000 square miles<sup>2</sup>. The Villages, upon which University of California Santa Barbara sits, were a traditional place of knowledge sharing, education, trading and abundance.

If you are interested in learning more about the Chumash and how to support them, please visit their website here<sup>3</sup>.

## Author Positionality

Phoebe Racine is a descendant of the Blackfeet and Cree Nations and mixed-European heritage. She lives and studies on Chumash lands.

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<sup>1</sup><https://external.as.ucsb.edu/land-acknowledgment/>

<sup>2</sup><https://www.santaynezchumash.org/chumash-history>

<sup>3</sup><https://www.wishtoyo.org/>



Figure 1: Map of Chumash Villages [source: Wikipedia Commons]

# **Chapter 1**

## **Indigenous Statistics & Environmental Justice Theory**

Our understanding of data justice is rooted in a larger discourse of Indigenous sovereignty and the impacts of settler colonialism. Data collection, analysis and sharing can exacerbate historic inequities. Indigenous nations need data about their citizens, communities, and resources to make informed decisions. However, the types of data, collected and shared with Indigenous nations are often unreliable, inaccurate, and irrelevant. External governments and organizations have primarily collected these data for their own use (RDA<sup>1</sup>).

### **1.1 The Importance of Data to Indigenous Communities**

Indigenous peoples continue to suffer data inequities and data exploitation. Big data and secondary data are important tools for Indigenous peoples to make decisions, and spur innovation and discovery (Carroll et al. 2020<sup>2</sup>). While quantitative methods have long been tools of colonization, recent work

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<sup>1</sup><https://www.rd-alliance.org/group/international-indigenous-data-sovereignty-ig/case-statement/international-indigenous-data>

<sup>2</sup><https://repository.oceanbestpractices.org/bitstream/handle/11329/1507/1158-8528-2-PB.pdf?sequence=1&isAllowed=y>

## **1.1. THE IMPORTANCE OF DATA TO INDIGENOUS COMMUNITIES7**

has reconsidered these methods through an Indigenous lens. Below is a small collection of resources that discuss or present the importance of Indigenous statistics, Indigenous data and Indigenous quantitative methods.

### **1.1.0.1 Indigenous statistics: A quantitative research methodology<sup>3</sup>**

Maggie Walter and Chris Andersen. *Routledge*, 2016.

Quantitative data on Indigenous peoples have been taken for granted as straightforward, transparent numbers. With a focus on population statistics and examples from Indigenous peoples in the United States, Australia, and Canada, Maggie Walter and Chris Anderson suggest a paradigm for Indigenous quantitative methods. This book is especially useful in understanding the systemic nature of data inequality in the context of Indigenous communities, why data is important, and how we might improve upon these systems.

### **A review of Indigenous Statistics: A Quantitative Research Methodology** Elaine Coburn. *Decolonization: Indigeneity, Education & Society* 4.2 2015.

In this review of the book *Indigenous Statistics*, Elaine Coburn makes several observations about Indigenous quantitative methodologies, focusing on their subversion of mainstream, colonial methodologies. For example, they write: “an important task of Indigenous quantitative methodologies is to restore visibility to colonial and Indigenous social realities that are denied by colonial statistics. As I will explore briefly below, Walter and Andersen (pp. 78-79; p. 96) suggest that this means reversing the gaze, so that the Indigenous scholar becomes the expert knower, while colonizers and non-Indigenous peoples become the “known” subjects of the Indigenous gaze.” (pg. 126)

### **Indigenous data, indigenous methodologies and indigenous data sovereignty<sup>4</sup>**

Maggie Walter and Michele Suina. *International Journal of Social Research Methodology* 22.3: 233-243. 2019.

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<sup>3</sup><https://www.taylorfrancis.com/books/mono/10.4324/9781315426570/indigenous-statistics-maggie-walter-chris-andersen>

<sup>4</sup><https://www.tandfonline.com/doi/full/10.1080/13645579.2018.1531228>

## 8CHAPTER 1. INDIGENOUS STATISTICS & ENVIRONMENTAL JUSTICE THEORY

Indigenous Peoples have historically been excluded in research of Indigenous communities, both in the design of studies and in the data collection process. Indigenous data have also primarily analyzed qualitatively. This paper suggests that the absence of quantitative methods that align with Indigenous methodologies has impacted statistical narratives about Indigenous Peoples. This paper explores the consequences of this absence, and describes Indigenous quantitative methods from a case study in New Mexico.

### Census Powwow<sup>5</sup>

Julian Noisecat. *Snap Judgment*. June, 2021.

Journalist Julian Brave Noisecat follows Cheyenne Brady, a member of the Mandan Hidatsa Arikara Nation, as she works to count everyone on her reservation for the US 2020 Census. This podcast details the complicated relationship tribal nations have with the US Census. Through storytelling, the listener can get a sense of the important role data has in shaping indigenous outcomes.



Figure 1.1: Census Powwow, Snap Judgement

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<sup>5</sup><https://open.spotify.com/episode/28040mhUkpvtas8bd6rmE?si=e0j9BvQ5R9m3e0AGbZajSw>

**Addressing the need for indigenous and decolonized quantitative research methods in Canada<sup>6</sup>**

Ashley Hayward et al. *SSM-Population Health* 15: 100899. 2021.

*Abstract:* “Though qualitative methods are often an appropriate Indigenous methodology and have dominated the literature on Indigenous research methods, they are not the only methods available for health research. There is a need for decolonizing and Indigenizing quantitative research methods, particularly in the discipline of epidemiology, to better address the public health needs of Indigenous populations who continue to face health inequities because of colonial systems, as well as inaccurate and incomplete data collection about themselves. For the last two decades, researchers in colonized countries have been calling for a specifically Indigenous approach to epidemiology that recognizes the limits of Western epidemiological methods, incorporates more Indigenous research methodologies and community-based participatory research methods, builds capacity by training more Indigenous epidemiologists, and supports Indigenous self-determination. Indigenous epidemiology can include a variety of approaches, including: shifting standards, such as age standardization, according to Indigenous populations to give appropriate weight to their experiences; carefully setting recruitment targets and using appropriate recruitment methods to fulfill statistical standards for stratification; acting as a bridge between Indigenous and Western technoscientific perspectives; developing culturally appropriate data collection tools; and developing distinct epidemiological methods based on Indigenous knowledge systems. This paper explores how decolonization and Indigenization of epidemiology has been operationalized in recent Canadian studies and projects, including the First Nations Regional Longitudinal Health Survey and how this decolonization and Indigenization might be augmented with the capacity-building of the future Our Health Counts Applied Indigenous Epidemiology, Health Information, and Health Services and Program Evaluation Training and Mentorship Program in Canada.” (Hayward et al., 2021)

## 1.2 Indigenous Environmental Justice Theory

Many books provide a thorough introduction to Indigenous history and relationship to environmental management. *As Long as the Grass Grows* is a good start on these topics. If you know of additional sources that speaks to

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<sup>6</sup><https://www.sciencedirect.com/science/article/pii/S2352827321001749>

## 10CHAPTER 1. INDIGENOUS STATISTICS & ENVIRONMENTAL JUSTICE THEORY

Indigenous environmental justice theory, sovereignty and resource management, please let us know.

### **As Long as the Grass Grows<sup>7</sup>: The Indigenous Fight for Environmental Justice, from Colonization to Standing Rock**

Dina Gilio-Whitaker, 2019

*Book Description:* “Through the unique lens of “Indigenized environmental justice,” Indigenous researcher and activist Dina Gilio-Whitaker explores the fraught history of treaty violations, struggles for food and water security, and protection of sacred sites, while highlighting the important leadership of Indigenous women in this centuries-long struggle. As Long As Grass Grows gives readers an accessible history of Indigenous resistance to government and corporate incursions on their lands and offers new approaches to environmental justice activism and policy.

Throughout 2016, the Standing Rock protest put a national spotlight on Indigenous activists, but it also underscored how little Americans know about the longtime historical tensions between Native peoples and the mainstream environmental movement. Ultimately, she argues, modern environmentalists must look to the history of Indigenous resistance for wisdom and inspiration in our common fight for a just and sustainable future.” (Gilio-Whitaker, 2019)

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<sup>7</sup><http://www.beacon.org/As-Long-as-Grass-Grows-P1445.aspx>

## Chapter 2

# Data Sovereignty and Governance

Data collection, analysis and sharing is couched in historic inequities, some of which Open Data can exacerbate. Data Sovereignty empowers Indigenous communities to choose which types of data on their people are shared and with whom, shifting the historical imbalance in who controls data access. Here, we share background resources and principles on data sovereignty, governance, and open data science.

**Data sovereignty:** The right of a community and/or nation to maintain that data is managed in a way that is consistent with the laws, practices and customs of that community (Snipp 2016<sup>1</sup>, pg. 39).

**Data governance:** “the power to decide how and when Indigenous data are gathered, analysed, accessed and used” (Walter et al. 2018, p. 3).

For background on these terms see Carroll, Rodriguez-Lonebear, and Martinez 2019<sup>2</sup>.

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<sup>1</sup><https://static1.squarespace.com/static/5d2633cb0ef5e4000134fa02/t/5d7a7610da91c0143184a9d1/1568306712324/Indigenous%2BData%2BSovereignty%2BBook.pdf>

<sup>2</sup><https://datascience.codata.org/articles/10.5334/dsj-2019-031/>

## 2.1 Data Sovereignty

### **Indigenous Data Sovereignty<sup>3</sup>: Toward an Agenda**

Tahu Kukutai and John Taylor. *ANU Press*, 2016.

*Indigenous Data Sovereignty* is a book published in 2016 and is a collaboration between Indigenous scholars from Anglo-Settler states (CANSUZ), Australia, Aotearoa, the U.S. and Canada. There are 16 chapters, each of which can stand alone.

### **Indigenous Data Sovereignty<sup>4</sup>: How Researchers can Empower Data Governance**

Lydia Jennings. *NCEAS Seminar Series*. 2021.

In a NCEAS seminar, Lydia Jennings (Postdoc UofA, Collaboratory for Indigenous Data Governance), provides an overview of Indigenous Data Sovereignty, especially as it pertains to environmental science.

### **“Being in Good Community: Engagement in Support of Indigenous Sovereignty<sup>5</sup>”**

Jessica Blanchard and Vanessa Hiratsuka. *The American Journal of Bioethics* 21.10. 54-56. 2021.

Blanchard and Hiratsuka, researchers at The Center for the Ethics of Indigenous Genomic Research (CEIGR), advocate for the assertion of tribal sovereignty as a guiding principle for more ethical, community-engaged research with tribes.

### **“Indigenous data sovereignty<sup>6</sup>”**

*IndigenousX Presents: Blak Nation*. August 31, 2021.

Blak Nation’s “Indigenous data sovereignty” is a podcast episode that interviews Dr. Maggie Walter, co-author of Indigenous Statistics, Dr. Maui Hudson (Professor and Director or Te Kotahi Research Institute), Dr. Jane

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<sup>3</sup><https://static1.squarespace.com/static/5d2633cb0ef5e4000134fa02/t/5d7a7610da91c0143184a9d1/1568306712324/Indigenous%2BData%2BSovereignty%2BBook.pdf>

<sup>4</sup><https://www.youtube.com/watch?v=RjolET69Z8c>

<sup>5</sup><https://www.tandfonline.com/doi/full/10.1080/15265161.2021.1965243>

<sup>6</sup><https://open.spotify.com/episode/3T7XBXU28bghKTPlowEuHL?si=SbeZZ12FQrSC0zbNkt4REA&nd=1>

Anderson (Professor at NYU and co-founder of Local Contexts), and Dr. Kalinda Griffiths (Scientia Lecturer at Centre for Big Data Research in Health, UNSW Sydney). Dr. Walter opens the podcast with an overview of the Indigenous Data Sovereignty movement, while Hudson, Anderson and Griffiths provide specific examples.

### **“Indigenous data sovereignty in the era of big data and open data<sup>7</sup>”**

Maggie Walter et al. *Australian Journal of Social Issues* 56.2: 143-156. 2021.

*Abstract:* “Indigenous Data Sovereignty, in its proclamation of the right of Indigenous peoples to govern the collection, ownership, and application of data, recognises data as a cultural and economic asset. The impact of data is magnified by the emergence of Big Data and the associated impetus to open publicly held data (Open Data). Aboriginal and Torres Strait Islander peoples, families and communities, heavily overrepresented in social disadvantage-related data will also be overrepresented in the application of these new technologies, but in a data landscape, Indigenous peoples remain largely alienated from the use of data and its utilization within the channels of policy power. Existing data infrastructure, and the emerging Open Data infrastructure, neither recognise Indigenous agency and worldviews nor consider Indigenous data needs. This is demonstrated in the absence of any consideration of Indigenous data issues in Open Data discussions and publication. Thus, while the potential benefits of this data revolution are trumpeted, our marginalised social, cultural and political location suggests we will not share equally in these benefits. This paper discusses the unforeseen (and likely unseen) consequences of the influence of Open Data and Big Data and discusses how Indigenous Data Sovereignty can mediate risks while providing pathways to collective benefits.” (Walter et al. 2021)

## **2.2 Data Governance**

### **“Indigenous Data Governance<sup>8</sup>: Strategies from United States Native Nations”**

Stephanie Russo Carroll, Desi Rodriguez-Lonebear, and Andrew Martinez. *Data Science Journal*, 18(1), p.31. 2019.

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<sup>7</sup><https://onlinelibrary.wiley.com/doi/full/10.1002/ajs4.141>

<sup>8</sup><https://datascience.codata.org/articles/10.5334/dsj-2019-031/>

This review paper argues for the repositioning of authority over Indigenous data back to Indigenous peoples through context setting and case studies. For those wanting an overview to these concepts, Carroll, Rodriguez-Lonebear and Martinez provide a robust introduction to key terms related to data sovereignty and data governance.



Figure 2.1: Building Indigenous data sovereignty through Indigenous data governance (Figure 1, Carroll, Rodriguez-Lonebear, and Martinez 2019)

**“The voice of indigenous data: beyond the markers of disadvantage<sup>9</sup>”**

Maggie Walter. *Griffith Review* 60: 256-263. 2018.

Maggie Walter outlines the Indigenous Data Paradox: there is both too much data about Aboriginal and Torres Strait Islander people but almost no data for or by Aboriginal and Torres Strait Islander people. Walter then maps these data failures across data categories, ultimately calling for a change in the paradigm of Indigenous data.

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<sup>9</sup><https://www.griffithreview.com/articles/voice-indigenous-data-beyond-disadvantage/>

### 2.3. THE CARE PRINCIPLES FOR INDIGENOUS DATA GOVERNANCE15

**“Good data practices for Indigenous data sovereignty and governance<sup>10</sup>”**

Raymond Lovett et al. *Good Data*: 26-36. 2019.

*Abstract excerpt:* “This chapter aims to provide clarity concerning the definitions of Indigenous Data Sovereignty (IDS) and Indigenous Data Governance (IDG); provide an overview of the historical context in which IDS has emerged; and provide examples of IDS and IDG across the spectrum of community, policy, and practice.” (Lovett et al., 2019)

### 2.3 The CARE Principles for Indigenous Data Governance

**“The CARE Principles for Indigenous Data Governance<sup>11</sup>”**

Carroll, Stephanie Russo, Ibrahim Garba, Oscar L. Figueroa-Rodríguez, Jarita Holbrook, Raymond Lovett, Simeon Materechera, Mark Parsons, Kay Raseroka, Desi Rodriguez-Lonebear, Robyn Rowe, Rodrigo Sara, Jennifer D. Walker, Jane Anderson, Maui Hudson. *Data Science Journal*, 19(1), p.43. 2020

The ‘CARE Principles for Indigenous Data Governance’ (Collective Benefit, Authority to Control, Responsibility, and Ethics) were developed due to concerns about secondary use of data and limited opportunities for benefit-sharing between Indigenous communities and outside researchers. While the FAIR Principles are data-centric, the CARE Principles are people and purpose oriented. The authors write, “the goal is that stewards and other users of Indigenous data will ‘Be FAIR and CARE.’” CARE principles are intended to be used in concert with FAIR Principles. Of note, this paper provides important background on Indigenous data sovereignty.

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<sup>10</sup>[https://books.google.com/books?hl=en&lr=&id=Y0vUDwAAQBAJ&oi=fnd&pg=PA26&dq=Good+data+practices+for+Indigenous+data+sovereignty+and+governance&ots=hr9XhjlbCh&sig=QKNc1PnCBYMO2s1mHYWbRg6g\\_GU#v=onepage&q=Goo d%20data%20practices%20for%20Indigenous%20data%20sovereignty%20and%20governa nce&f=false](https://books.google.com/books?hl=en&lr=&id=Y0vUDwAAQBAJ&oi=fnd&pg=PA26&dq=Good+data+practices+for+Indigenous+data+sovereignty+and+governance&ots=hr9XhjlbCh&sig=QKNc1PnCBYMO2s1mHYWbRg6g_GU#v=onepage&q=Goo d%20data%20practices%20for%20Indigenous%20data%20sovereignty%20and%20governa nce&f=false)

<sup>11</sup><http://doi.org/10.5334/dsj-2020-043>

DATA PRINCIPLES						
INDIGENOUS				MAINSTREAM		
New Zealand Indigenous Data Sovereignty Principles	Australia Indigenous Data Sovereignty Protocols	United States Indigenous Data Governance Principles	Canada Indigenous Data Governance Principles	Open Data Charter Principles	FAIR Principles for Data Management and Stewardship	STREAM Properties for Industrial and Commoditized Data
Authority	Self-Determination	Inherent Sovereignty	OCAP®	Open By Default	Findable	Sovereign
Relationships	Available and Accessible	Indigenous Knowledge	Indigenous Knowledge	Timely and Comprehensive	Accessible	Trusted
Obligations	Collective Rights and Interests	Ethics	Methodology and Approaches	Accessible and Usable	Interoperable	Reusable
Collective Benefit	Accountability	Intergenerational Collective Wellbeing	Evidence to Build Policy	Comparable and Interoperable	Reusable	Exchangeable
Reciprocity	Exercise Control	Relationships	Ethical Relationships	For Improved Governance & Citizen Engagement		Actionable
Guardianship			Data Governance	For Inclusive Development and Innovation		Measurable

People oriented principles      Purpose oriented principles      Data oriented principles

Figure 2.2: Indigenous and mainstream data principles, and the orientation of these principles towards data, people and purpose (Fig 1, Carroll et al. 2020).

### “Operationalizing the CARE and FAIR Principles for Indigenous data futures<sup>12</sup>”

Stephanie Russo Carroll, et al. *Scientific Data* 8, no. 1. 2021.

CARE Principles place emphasis on placing intention and human well-being at the forefront of data publishing and sharing. While FAIR principles are often used in the context of open science, they differ from open science, and represent guidelines for publishing data that can be easily interpreted, stand the test of time, and reused if applicable. Together, CARE and FAIR principles speak to clean, well-managed data that puts human well-being first.

### “Using Indigenous Standards to Implement the CARE Principles: Setting Expectations through Tribal Research Codes<sup>13</sup>”

Stephanie Russo Carroll, et al. *Frontiers in Genetics* 489. 2022.

<sup>12</sup><https://doi.org/10.1038/s41597-021-00892-0>

<sup>13</sup>[https://www.frontiersin.org/articles/10.3389/fgene.2022.823309/full?&utm\\_source=Email\\_to\\_authors\\_&utm\\_medium=Email&utm\\_content=T1\\_11.5e1\\_author&utm\\_campaign=Email\\_publication&field=&journalName=Frontiers\\_in\\_Genetics&id=823309](https://www.frontiersin.org/articles/10.3389/fgene.2022.823309/full?&utm_source=Email_to_authors_&utm_medium=Email&utm_content=T1_11.5e1_author&utm_campaign=Email_publication&field=&journalName=Frontiers_in_Genetics&id=823309)

*Abstract Excerpt:* “This article outlines the relationship between sovereignty and ethics in the context of data to describe the collective rights that Indigenous Peoples assert to increase control over their biomedical data. Then drawing on the CARE Principles for Indigenous Data Governance (Collective benefit, Authority to control, Responsibility, and Ethics), we explore how standards already set by Native nations in the United States, such as tribal research codes, provide direction for implementation of the CARE Principles to complement FAIR. A broader approach to policy and procedure regarding tribal participation in biomedical research is required and we make recommendations for tribes, institutions, and ethical practice.” (Carroll et al., 2022)

## 2.4 OCAP Principles

### **OCAP - First Nations Ownership, Control, Access and Possession or Self Determination Applied to Research (2004)<sup>14</sup>**

The OCAP principals establish how First Nations' data should be collected, protected, used, and shared. Any researcher interested in working with First Nations communities should become acquainted with OCAP before they begin. The OCAP Principals were first developed in 1998 in response to extractive research practices. Today, dozens of First Nations have applied these principals.

### **Fundamentals of OCAP Course<sup>15</sup>**

An online training course to introduce individuals to the fundamental concepts of OCAP, information governance, and First Nations Data Sovereignty.

### **“First Nations data sovereignty<sup>16</sup>”**

*Info Matters.* August 2021.

Info Matters is a podcast by the Information and Privacy Commissioner of Ontario, Canada, Patricia Kosseim. In this episode, Kosseim interviews

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<sup>14</sup>[https://biblio.uottawa.ca/sites/biblio.uottawa.ca/files/bestpractices\\_fnigc\\_ocap\\_f\\_act\\_sheet\\_en\\_final.pdf](https://biblio.uottawa.ca/sites/biblio.uottawa.ca/files/bestpractices_fnigc_ocap_f_act_sheet_en_final.pdf)

<sup>15</sup><https://fnigc.ca/ocap-training/take-the-course/>

<sup>16</sup><https://open.spotify.com/episode/7lpJvcWPEX0DJcMjQPTdiK?si=r3AfZ-SbQeu3KrMtPbK6cQ>

Dr. Jonathan Dewar, Chief Executive Officer of the First Nations Information Governance Center, and Carmen Jones, Director of Research and Data Management for the Chief of Ontario. Dewar and Jones provide unique insight into data sovereignty broadly and specifically the creation and implementation of OCAP Principles (14:15).

## 2.5 FAIR Principles

The Findable, Accessible, Interoperable and Reusable (FAIR) Data Principles were developed to improve the reuse of scholarly data. The FAIR principles, paired with CARE principles, can work together to ensure data is ethically sourced and shared.

### **“The FAIR Guiding Principles for scientific data management and stewardship<sup>17</sup>”**

Mark D. Wilkinson, et al. *Scientific Data* 3.1: 1-9. 2016.

The Findable, Accessible, Interoperable and Reusable (FAIR) Data principles were developed in reaction to the wide reuse of scholarly data. They have become increasingly important, acting as guidelines to improve the entire lifecycle of research data management (D7.4 2021). The term “FAIR” was first developed at a Lorentz workshop in the Netherlands in 2014 (D7.4 2021).

### **“The FAIR guiding principles for data stewardship: fair enough?<sup>18</sup>”**

Martin Boeckhout, Gerhard A. Zielhuis, and Annelien L. Bredenoord. *European Journal of Human Genetics* 26.7: 931-936. 2018.

*Abstract:* “The FAIR guiding principles for research data stewardship (findability, accessibility, interoperability, and reusability) look set to become a cornerstone of research in the life sciences. A critical appraisal of these principles in light of ongoing discussions and developments about data sharing is in order. The FAIR principles point the way forward for facilitating data sharing more systematically—provided that a number of ethical, methodological, and organisational challenges are addressed as well.” (Boeckhout et al., 2018)

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<sup>17</sup><https://www.nature.com/articles/sdata201618>

<sup>18</sup><https://www.ncbi.nlm.nih.gov/pmc/articles/PMC6018669/>

**D7.4 How to be FAIR with your data<sup>19</sup>**

*A teaching and training handbook for higher education institutions.* November 2021.

The handbook was written and edited by a group of about 40 collaborators as part of a working group and was subject to community review. The 179-page book is a wealth of information and practical materials relating to the FAIR principles. Practical materials include competence profiles, learning outcomes and lesson plans, and supporting information.

**2.5.1 FAIR and Beyond**

**“Fostering global data sharing: highlighting the recommendations of the Research Data Alliance COVID-19 working group.<sup>20</sup>”**

Claire C. Austin, et al. *Wellcome Open Research* 5. 2020.

This letter, developed by a 160 person international working group, shares a set of recommendations and guidelines on data sharing and best practices for COVID-19 research. Here, the authors create recommendations that balance adherence to FAIR Principles but given the nature of COVID-19, also need quick data release.

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<sup>19</sup><https://zenodo.org/record/5837500#.Yhk8L5PMJ6e>

<sup>20</sup><https://www.ncbi.nlm.nih.gov/pmc/articles/PMC7808050/>

## Chapter 3

# IDS (Indigenous Data Sovereignty) Frameworks & Networks

International and nation-state networks of practitioners and researchers have formed to share and develop frameworks, resources and training on data management and governance. These networks are critical to create a community of Indigenous scholars and stewards invested in furthering responsible Indigenous data, collection and data sovereignty. As part of this work, data networks have created frameworks that inform data management from a global to community scales. Global high-level, frameworks are more general in nature (e.g. CARE Principles<sup>1</sup>), while, more specific mid-level frameworks have been developed by nation-state networks or by subsets of Indigenous Peoples/Nations (such as circumpolar Inuit or Inuit within the national level in Canada). Foundational frameworks have been developed at the Tribal or community scale.

Different frameworks can inform and guide ethical data management. Frameworks at the community scale supersede more general frameworks. However, in the absence of a written framework at the tribal or community scale, engagement outlined in mid-level frameworks paired with community consultation can help inform the development of an ethical approach. For a practical

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<sup>1</sup>[https://static1.squarespace.com/static/5d3799de845604000199cd24/t/5da9f4479eca/b221ce848fb2/1571419335217/CARE+Principles\\_One+Pagers+FINAL\\_Oct\\_17\\_2019.pdf](https://static1.squarespace.com/static/5d3799de845604000199cd24/t/5da9f4479eca/b221ce848fb2/1571419335217/CARE+Principles_One+Pagers+FINAL_Oct_17_2019.pdf)

overview of open science, data sovereignty and co-production geared towards researchers, see the Arctic Data Center workshop guide “Open Science: Best Practices, Data Sovereignty and Co-production”<sup>2</sup>.

## Overview of Indigenous data sovereignty networks

- International Groups
  - The Global Indigenous Data Alliance GIDA<sup>3</sup>
  - International Indigenous Data Sovereignty Interest Group: Research Data Alliance (RDA<sup>4</sup>)
- Anglo-settler state data sovereignty networks
  - U.S. Indigenous Data Sovereignty Network<sup>5</sup>
  - Te Mana Raraunga<sup>6</sup> – Māori Data Sovereignty Network
  - The Maiam nayri Wingara Indigenous Data Sovereignty Collective<sup>7</sup>

### The Global Indigenous Data Alliance (GIDA<sup>8</sup>)

Shares frameworks, tools, and processes to help guide the practice of Indigenous Data Sovereignty around the globe. Notably, GIDA created the CARE principles<sup>9</sup> for Indigenous Data Governance.

### Research Data Alliance (RDA<sup>10</sup>): International Indigenous Data Sovereignty Interest Group

Builds the social and technical bridges to enable open sharing and re-use of data

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<sup>2</sup><https://learning.nceas.ucsb.edu/2022-03-assw/index.html>

<sup>3</sup><https://www.gida-global.org/>

<sup>4</sup><https://www.rd-alliance.org/groups/international-indigenous-data-sovereignty-ig>

<sup>5</sup><https://usindigenousdata.org/>

<sup>6</sup><https://www.temanararaunga.maori.nz/>

<sup>7</sup><https://www.maiamnayriwingara.org/>

<sup>8</sup><https://www.gida-global.org/>

<sup>9</sup><https://www.gida-global.org/care>

<sup>10</sup><https://www.rd-alliance.org/groups/international-indigenous-data-sovereignty-ig>

## Chapter 4

# Data Management Tools

Thus far, the data management tools we've come across have largely been developed by Local Contexts<sup>1</sup>. If you have examples of other practical tools or organizations that develop them, please share!

### 4.1 Data Labels and Notices

Data labels are text elements that describe individual data points. They are used on metadata as a tag that can be easily categorized and searched. Notices, also a type of data label, function as place holders for more permanent labels.

#### 4.1.1 Traditional Knowledge and BioCultural Labels and Notices

Traditional Knowledge (TK) Labels<sup>2</sup> and Biocultural (BC) Labels<sup>3</sup> are a collective set of 30 of data-markers developed by Local Contexts<sup>4</sup> and partner communities. These 30 labels should be tagged by Indigenous communities. External groups, such as researchers, scientists, and museums, may apply Notices<sup>5</sup>, specific tools which support the recognition of Indigenous interests in collections and data. The Notices can function as place-holders on

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<sup>1</sup><https://localcontexts.org/labels/biocultural-labels/>

<sup>2</sup><https://localcontexts.org/labels/traditional-knowledge-labels/>

<sup>3</sup><https://localcontexts.org/labels/biocultural-labels/>

<sup>4</sup><https://localcontexts.org/>

<sup>5</sup><https://localcontexts.org/notices/aboutnotices/>

collections, data, or in a sample field until a more permanent label is added by a community. There are four Notices developed by Local Contexts and their community partners: the TK Notice and the BC Notice (these align to the TK and BC Labels), the Attribution Incomplete Notice and the Open to Collaboration Notice.

**TK Labels** define “attribution, access, and use rights for Indigenous cultural heritage. Twenty TK Labels have been developed through direct community partnership and collaboration. Each TK Label can be adapted and customized to reflect ongoing relationships and authority including proper use, guidelines for action, or responsible stewardship and re-use.” (Local Contexts, TK Labels)

**BC Labels** define “community expectations and consent about appropriate use of collections and data. They connect data to people and environments over time. Ten BC Labels provide a practical application of Indigenous data governance principles to issues of access and benefit-sharing for genetic resources.” (Local Contexts, BC Labels)

If you would like to learn more about these Labels and are a researcher, consider signing up to test the new Local Contexts Hub<sup>6</sup>.

### **Sharing Our Gifts: An Indigenous-led curriculum response at Western University<sup>7</sup>**

Dr. Janette Hamilton Pearce, Felicia Garcia, Corrie Roe. June 22, 2022.

This presentation by Local Contexts provides an overview of the TK and BC Labels and Notices, the Local Contexts Initiative and Local Contexts Hub.

### **The Biocultural Labels Initiative<sup>8</sup>**

Jane Anderson and Maui Hudson. *STEM Inclusive Teaching Practices Webinar Series*. 2021.

This webinar series is geared towards facilitating inclusive teaching practices with undergraduates. Here, Jane Anderson and Maui Hudson introduce Biocultural Labels and the work of Local Contexts.

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<sup>6</sup><https://anth-ja77-lc-dev-42d5.uc.r.appspot.com/login/>

<sup>7</sup><https://teaching.uwo.ca/teaching/indigenous-tl-resources.html>

<sup>8</sup><https://qubeshub.org/publications/2326/1>

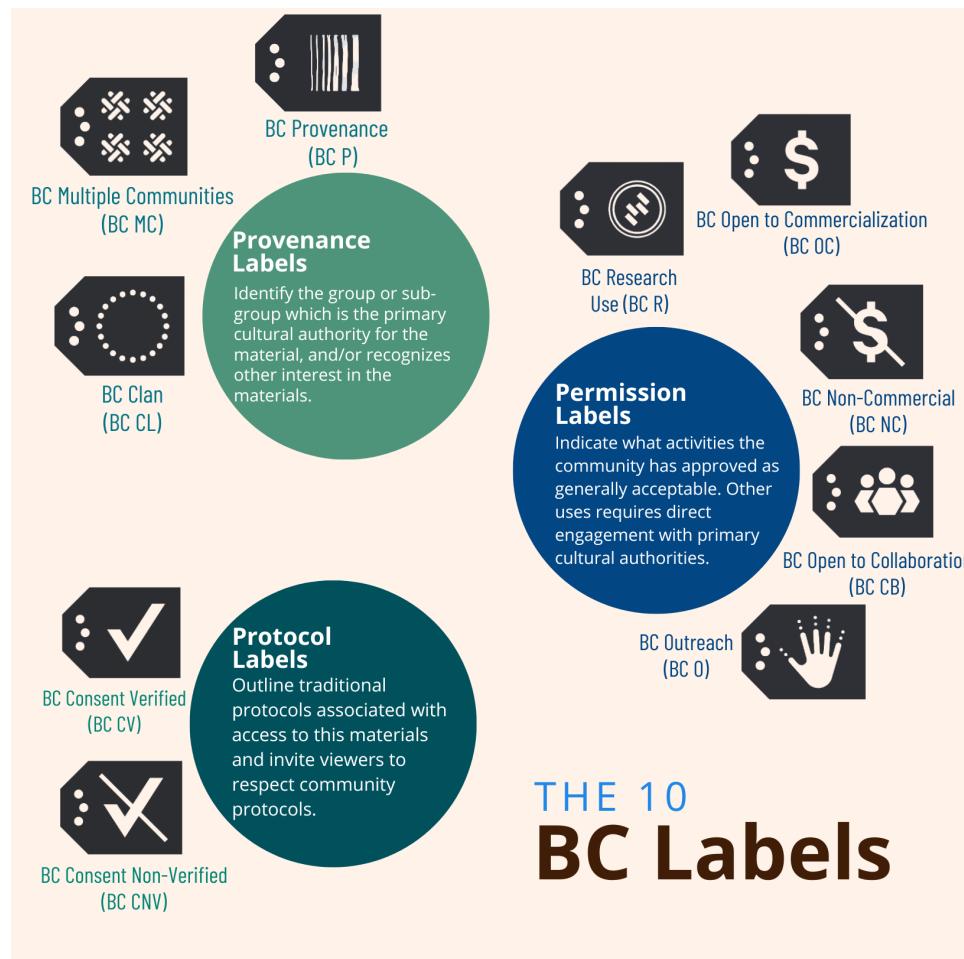


Figure 4.1: The 10 BC Labels developed by Jane Anderson and Maui Hudson, adapted by Phoebe Racine

## 4.2 Indigenous Digital Strategies

### Local Contexts<sup>9</sup>

Local Contexts is an organization that offers digital strategies for Indigenous communities, cultural institutions and researchers through Traditional Knowledge and Biocultural Labels and Notices and licenses for intellectual property.

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<sup>9</sup><https://localcontexts.org/labels/biocultural-labels/>

# Chapter 5

## Examples of Indigenous Environmental Synthesis and Data Science

### 5.1 Environmental Synthesis

**“A spatial overview of the global importance of Indigenous lands for conservation<sup>1</sup>”**

Garnett, Stephen T., et al. *Nature Sustainability* 1.7: 369-374. 2018.

This paper provides the first global assessment of global lands within Indigenous stewardship and their overlap with protected areas and conservation outcomes. The authors found that Indigenous Peoples have tenure rights or actively manage more than a quarter of the world’s land surface (~38 million km<sup>2</sup> across 87 countries). Indigenous managed lands account for about 40% of all terrestrial protected areas and ecologically intact landscapes (for example, boreal and tropical primary forests, savannas and marshes). Thus, indigenous stewarded lands are critical hubs for biodiversity and resilience.

To create this global assessment, the authors rely on 127 publicly available data sources, including real estate (cadastral) records for state-recognized In-

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<sup>1</sup>[https://www.nature.com/articles/s41893-018-0100-6?ss\\_source=sscampaigns&ss\\_campaign\\_id=5c424fe9d20e280001eb02bf&ss\\_email\\_id=5c5cf4c39bca21000175c9fd&ss\\_campaign\\_name=Introducing+the+Interfaith+Rainforest+Initiative&ss\\_campaign\\_send\\_date=2019-02-08T03:17:24Z](https://www.nature.com/articles/s41893-018-0100-6?ss_source=sscampaigns&ss_campaign_id=5c424fe9d20e280001eb02bf&ss_email_id=5c5cf4c39bca21000175c9fd&ss_campaign_name=Introducing+the+Interfaith+Rainforest+Initiative&ss_campaign_send_date=2019-02-08T03:17:24Z)

digenous Peoples' lands, publicly accessible participatory mapping, models based on census data and maps derived from scholarly publications. Here, the authors worked to overcome some of the inherent biases in Indigenous geospatial data. Data of Indigenous Peoples' land occupation or management tend to rely on state-sanctioned data that can be deployed to disenfranchise Indigenous Peoples (Bryan 2011<sup>2</sup>; Garnett et al. 2018). The authors write, "the dearth of reliable data on Indigenous Peoples' lands in many parts of the world has implications not only for securing their rights but also for the conservation and management of a significant proportion of terrestrial global biodiversity."

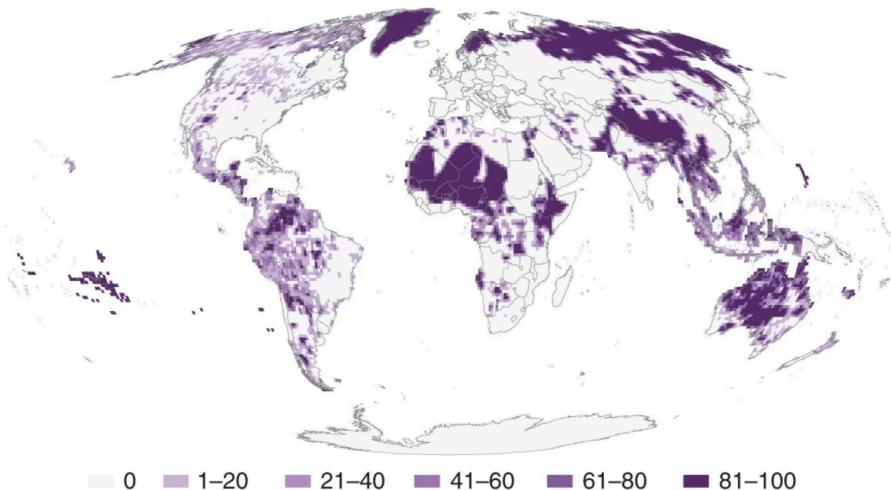


Figure 5.1: Global map of lands managed or controlled by Indigenous Peoples. Purple shading represents the percentage of each degree square mapped as Indigenous in at least one of 127 source documents. Blank areas do not necessarily indicate an absence of Indigenous Peoples or lands (Figure 1, Garnett et al. 2018).

## 5.2 Indigenous Environmental Data

### “Indigenous Climate Knowledge and Data Sovereignty<sup>3</sup>”

*Warm Regards.* February 2021.

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<sup>2</sup><https://www.sciencedirect.com/science/article/pii/S0016718510001090?via%3Dihub>

<sup>3</sup><https://open.spotify.com/episode/4Gdp1RSChCPM0qftRun3DD?si=pcVeYiwwQIWnlKynt4-ADQ>

Warm Regards is a podcast about life on a warming planet. In this episode, the hosts interview two Indigenous scientists, James Rattling Leaf, Sr. and Krystal Tsosie, about traditional ecological knowledges and data sovereignty.

### **5.3 Traditional Ecological Knowledge (TEK) applications to management**

Below are a couple examples which speak to how TEK and other forms of knowledge, such as western science, can work together to increase understanding and improve land stewardship.

#### **“Learning from Indigenous knowledge holders on the state and future of wild Pacific salmon<sup>4</sup>”**

Andrea Reid. *The Conversation*. May 2022.

Andrea Reid, a member of the Nisga'a Nation and Director for the Centre of Indigenous Fisheries at University of British Columbia, writes about her experience working with Nisga'a Nation tribal elders to understand threats to salmon. She provides an overview of the issues with much of western science's approach when working with TEK and explains her current research in a brief narrative. For scholarly examples of Indigenous fisheries science, see Dr. Reid's work<sup>5</sup>.

#### **““Two-Eyed Seeing”: An Indigenous framework to transform fisheries research and management<sup>6</sup>”**

Andrea J. Reid, et al. *Fish and Fisheries* 22.2: 243-261. 2021.

Highly relevant to current shifts in ecosystem management, Reid et al. (2021) suggests a framework, Two-Eyed Seeing, in order to understand and steward fisheries. Rather than assimilating Indigenous knowledge systems into western science, Two-Eyed Seeing embraces “learning to see from one eye with the strengths of Indigenous knowledges and ways of knowing, and from the other eye with the strengths of mainstream knowledges and ways of knowing, and to use both these eyes together, for the benefit of all” (Elder Dr. Albert Marshall; Reid et al. 2021).

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<sup>4</sup><https://theconversation.com/learning-from-indigenous-knowledge-holders-on-the-state-and-future-of-wild-pacific-salmon-182411>

<sup>5</sup><https://scholar.google.com/citations?hl=en&user=WWdYxJgAAAAJ>

<sup>6</sup><https://onlinelibrary.wiley.com/doi/full/10.1111/faf.12516>

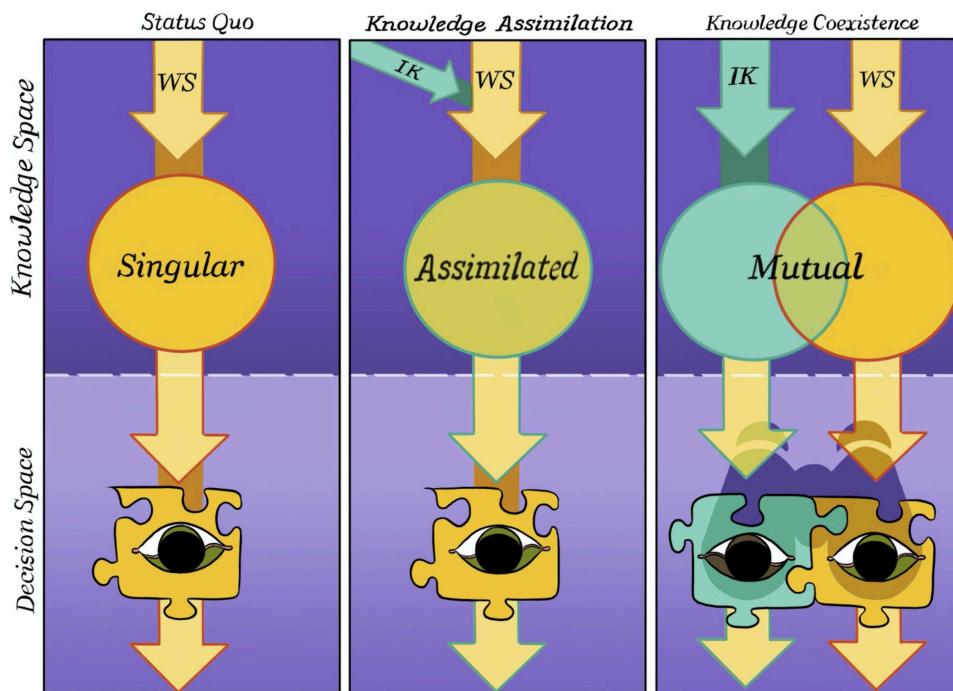


Figure 5.2: A conceptual framework detailing the flow of knowledge (Indigenous knowledge (IK); Western science (WS)) that underpins researchers' understandings or views of reality, and ultimately guides their research and management decisions, as classified under three main archetypes. (Figure 3, Reid et al. 2021)

**“Unsettling marine conservation: Disrupting manifest destiny-based conservation practices through the operationalization of Indigenous value systems<sup>7</sup>”**

Lara A. Jacobs, et al. *Parks Stewardship Forum*. Vol. 38. No. 2. 2022.

*Abstract exert:* “This paper is written by Indigenous scholars using Two-Eyed Seeing, reflexivity, and decolonizing methods (e.g., symbology, storytelling, and Indigenous beading) to unsettle the ways that marine conservation should be facilitated. Our framework operationalizes Indigenous value systems embedded within “the seven R’s”: respect, relevancy, reciprocity, responsibility, rights, reconciliation through redistribution, and relationships. This framework underlines the need for marine conservation efforts to center Indigenous voices and futures and Tribal management of marine systems. Marine system managers can use this paper as a guide for decolonizing marine conservation approaches, operationalizing Indigenous value systems in marine management, and building decolonial relationships with Indigenous Peoples and waters.” (Jacobs et al., 2022)

## 5.4 Resource Management Networks

### Indigenous Aquaculture Collaborative<sup>8</sup>

“We are a collaborative network of Pacific-region Sea Grant offices; Northwest Tribes and First Nations, Native Hawaiian and Indigenous communities; and organizations and universities working as a community of practice to advance Indigenous Aquaculture. We integrate community engagement, restoration, applied research, and education to share experiences, knowledge, and strategies that enhance local and cultural seafood production in the broader Pacific region. The focal knowledge, practices, and relationships of our network are part of deep heritages that are rooted in local bays, inlets, watersheds, and islands. While these cultural ecosystems have been here for a very long time, our collaborative cross-Pacific network started in 2019, building upon our existing relationships. As a network, we share the living traditions from our unique places to develop strong cross-cultural learning and support collective efforts.” (Indigenous Aquaculture Collaborative, “About Us”)

*If you are aware of other examples of Indigenous resource management,*

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<sup>7</sup>(<https://escholarship.org/uc/item/3sm1f1vq>)

<sup>8</sup><https://indigenousaquaculture.org/>

*please share!*

Bryan, J. Walking the line: participatory mapping, Indigenous rights, and neoliberalism. *Geoforum* 42, 40–50 (2011). <https://www.sciencedirect.com/science/article/pii/S0016718510001090?via%3Dihub>

Walter, M, Lovett, R, Bodkin Andrews, G and Lee, V. 2018. Indigenous Data Sovereignty Briefing Paper 1. Miaim nayri Wingara Data Sovereignty Group and the Australian Indigenous Governance Institute.