### Heuristic search

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July 6, 2015

## Acknowledgement

Most of these slides were either created by Prof. Cao Hoang Tru at University of Technology or else are modifications of his slides.

### Outline

- Generate-and-Test
- 2 Hill Climbing
- Simulated Annealing
- 4 Best-First Search
- Genetic Algorithm

### Algorithm

- Generate a possible solution
- Test to see if this is actually a solution
- Quit if a solution has been found. Otherwise, return to step 1

- Acceptable for simple problem
- Inefficient for problems with large space

- Exhaustive generate-and-test.
- Heuristic generate-and-test: not consider paths that seem unlikely to lead to a solution.
- Plan generate-test:
  - Create a list of candidates.
  - Apply generate-and-test to that list.

### Example (colored blocks)

Arrange four 6-sided cubes in a row, with each side of each cube painted one of four colors, such that on all four sides of the row one block face of each color is showing.

### Example (heuristic)

if there are more red faces than other colors then, when placing a block with several red faces, use few of them as possible as outside faces.

# Hill Climbing

Searching for a goal state = Climbing to the top of a hill

# Hill Climbing

- Generate-and-Test + direction to move.
- Heuristic function: estimate how close a given state to a goal state

## Simple Hill Climbing

#### Algorithm

- Evaluate the initial state
- 2 Loop until a solution is found or there are no new operators left to be applied:
  - Select and apply a operator
  - Evaluate the new state:

```
goal \rightarrow quit better than current state \rightarrow new current state
```

## Simple Hill Climbing

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goal \rightarrow quit better than current state \rightarrow new current state
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not try all possible new states!

## Simple Hill Climbing

### Example (colored blocks)

Heuristic function: the sum of the number of different colors on each of the four sides (solution = 16).

#### Heuristic function

Heuristic function as a way to inject task-specific knowledge into the control process.

# Steepest-Ascent Hill Climbing (Gradient Search)

- Considers all the moves from the current state.
- Selects the best one as the next state.

# Steepest-Ascent Hill Climbing (Gradient Search)

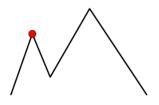
#### Algorithm

- Evaluate the initial state
- 2 Loop until a solution is found or there are no new operators left to be applied:
  - Apply all the possible operators
  - Evaluate the best new state:

```
goal \rightarrow quit better than current state \rightarrow new current state
```

#### Local maximum

A state that is better than all of its neighbors, but not better than some other states far away.



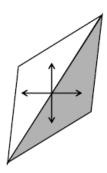
#### Plateau

A flat area of the search space in which all neighboring states have the same value.



### Ridge

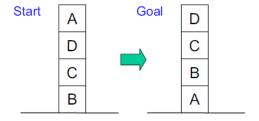
The orientation of the high region, compared to the set of available moves, makes it impossible to climb up. However, two moves executed serially may increase the height.

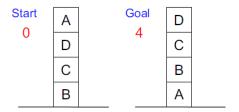


#### Ways Out

- Backtrack to some earlier node and try going in a different direction.
- Make a big jump to try to get in a new section.
- Moving in several directions at once.

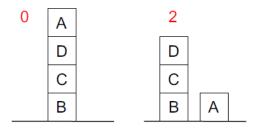
- Hill climbing is a local method: Decides what to do next by looking only at the "immediate" consequences of its choices.
- Global information might be encoded in heuristic functions.

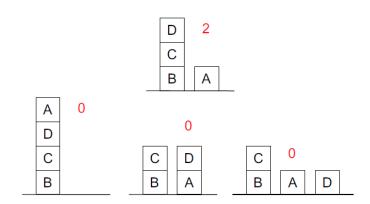


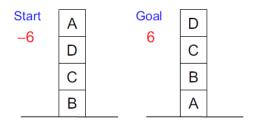


#### Local heuristic:

- +1 for each block that is resting on the thing it is supposed to be resting on.
- -1 for each block that is resting on a wrong thing.

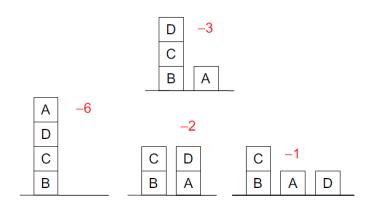






#### Global heuristic:

- For each block that has the correct support structure: +1 to every block in the support structure.
- For each block that has a wrong support structure: −1 to every block in the support structure.



## Hill Climbing: Conclusion

- Can be very inefficient in a large, rough problem space.
- Global heuristic may have to pay for computational complexity.
- Often useful when combined with other methods, getting it started right in the right general neighborhood.

A variation of hill climbing in which, at the beginning of the process, some downhill moves may be made.

- To do enough exploration of the whole space early on, so that the final solution is relatively insensitive to the starting state.
- Lowering the chances of getting caught at a local maximum, or plateau, or a ridge.

#### Physical Annealing

- Physical substances are melted and then gradually cooled until some solid state is reached.
- The goal is to produce a minimal-energy state.
- Annealing schedule: if the temperature is lowered sufficiently slowly, then the goal will be attained.
- Nevertheless, there is some probability for a transition to a higher energy state:  $e^{-\Delta E/kT}$

#### Algorithm

- Evaluate the initial state
- 2 Loop until a solution is found or there are no new operators left to be applied:
  - Set T according to an annealing schedule.
  - Selects and applies a new operator
  - Evaluate the new state:

```
goal \rightarrow quit \Delta E = \text{Val(current state)} - \text{Val(new state)} \Delta E < 0 \rightarrow \text{new current state} else \rightarrow \text{new current state} with probability e^{-\Delta E/kT}
```

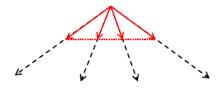
#### Depth-first search

- Pros: not having to expand all competing branches
- Cons: getting trapped on dead-end paths

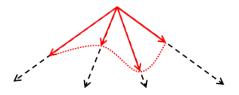


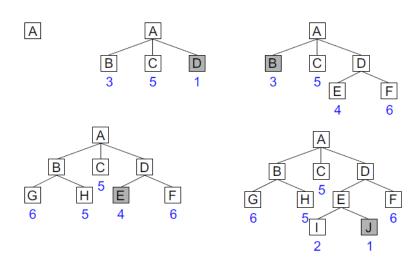
#### Breadth-first search

- Pros: not getting trapped on dead-end paths
- Cons: having to expand all competing branches



 $\Rightarrow$  Combining the two is to follow a single path at a time, but switch paths whenever some competing path looks more promising than the current one.





- OPEN: nodes that have been generated, but have not examined.
   This is organized as a priority queue.
- CLOSED: nodes that have already been examined.
   Whenever a new node is generated, check whether it has been generated before.

#### Algorithm

- $\bullet$  OPEN = {initial state}.
- 2 Loop until a goal is found or there are no nodes left in OPEN:
  - Pick the best node in OPEN
  - Generate its successors
  - For each successor:

new  $\rightarrow$  evaluate it, add it to OPEN, record its parent generated before  $\rightarrow$  change parent, update successors

• Greedy search:

h(n) = cost of the cheapest path from node n to a goal state.

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Uniform-cost search:

g(n) = cost of the cheapest path from the initial state to node n.

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• Greedy search:

h(n) = cost of the cheapest path from node n to a goal state. Neither optimal nor complete

Uniform-cost search:

g(n) = cost of the cheapest path from the initial state to node n. Optimal and complete, but very inefficient

#### Algorithm: A\* (Hart et al., 1968)

$$f(n) = g(n) + h(n)$$

h(n) = cost of the cheapest path from node n to a goal state.

g(n) = cost of the cheapest path from the initial state to node n.

#### Algorithm: A\* (Hart et al., 1968)

$$f^*(n) = g^*(n) + h^*(n)$$

 $h^*(n)$  (heuristic factor) = estimate of h(n).

 $g^*(n)$  (depth factor) = approximation of g(n) found by  $A^*$  so far.

# Genetic Algorithm



Algorithm: Gradient search



Algorithm: Genetic algorithm

# Genetic Algorithm

- A genetic algorithm is a heuristic search that mimics the process of natural evolution.
- There are five phases:
  - Initial Population
  - Fitness function
  - Selection
  - Crossover
  - Mutation

# Genetic Algorithm: Initial Population

- Identify the information already presents in the problem as well as information that needs to be computed or derived
- Encode the solution in a form that is analogous to biological's chromosomes or sequence of DNA
- Generate randomly states that satisfy the problem

# Genetic Algorithm: Initial Population

### Example (8-queens)

The eight queens puzzle is the problem of placing eight chess queens on an 8x8 chessboard so that no two queens threaten each other

#### Representation

- Each state much have 8 queens
- One queen in each column
- Usually represented by a bit-string

EX: [1,2,3,4,5,6,7,8]

# Genetic Algorithm: Initial Population



Figure: [4,2,7,3,6,8,1,5]

24748552

32752411

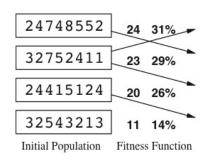
24415124

32543213

Initial Population

# Genetic Algorithm: Fitness function

- Evaluate the "goodness" of each candidate solution
- The probability of being chosen for reproduction is based on your fitness score
- EX: 8-queens → fitness function: the number of nonattacking pairs of queens



# Genetic Algorithm: Selection

• Fitness Proportionate Selection

based on probability of selection:  $p_i = f_i/\Sigma f_i$ 

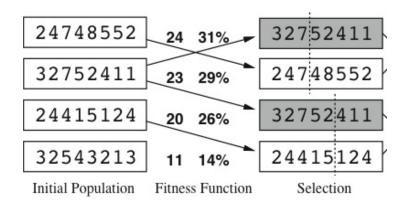
Tournament Selection

based on pre-determine number T (tournament size)

Rank Selection

rank the individuals in the population in ascending order according to their fitness values. Then, select similar to "Fitness Proportionate Selection"

# Genetic Algorithm: Selection



# Genetic Algorithm: Crossover

#### Single-point Crossover

parent 1 1 1 0 1 0 0 0 1

child 1 1 0 1 0 0 1 1

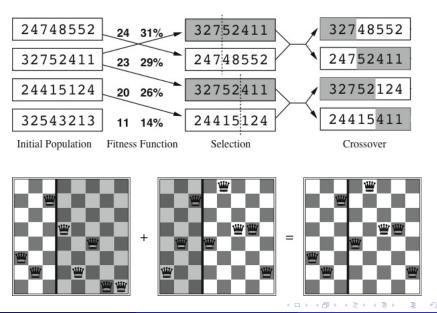
# 0 1 1 0 1 0 1 1 parent 2 one crossover point 0 1 1 0 1 0 1 0 1 child 2

#### Multi-point Crossover



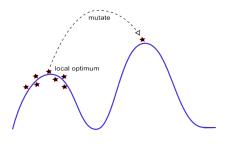
- Not all chosen chromosome pairs will undergo crossover
- $p_c$ : probability of crossover or crossover rate

# Genetic Algorithm: Crossover

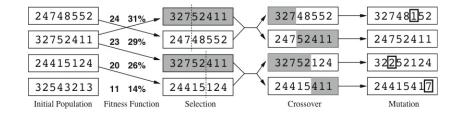


# Genetic Algorithm: Mutation

- Mutation replaces the values of some randomly chosen genes of a chromosome by some arbitrary new values
- Bit inversion
- p<sub>m</sub>: probability of mutation or mutation rate



## Genetic Algorithm: Mutation



#### **Exercises**

- 8-puzzle
- Graph-coloring
- 8-queens
- Sudoku