

Rapid on-demand generation of thermal states in superconducting quantum circuits

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We experimentally demonstrate the fast generation of thermal states of a transmon using a single-junction quantum-circuit refrigerator (QCR) as an *in situ* tunable environment. Through single-shot readout, we monitor the transmon up to its third excited state, assessing population distributions controlled by QCR drive pulses. Whereas cooling can be achieved in the weak-drive regime, high-amplitude pulses can generate Boltzmann-distributed populations from a temperature of 110 mK up to 500 mK within 100 ns. As we propose in our work, this fast and efficient temperature control provides an appealing opportunity to demonstrate a quantum heat engine. Our results also pave the way for efficient dissipative state preparation and for reducing the circuit depth in thermally assisted quantum algorithms and quantum annealing.

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Introduction. With superconducting qubits emerging as building blocks of a potential quantum computer, fast and precise control over a large number of qubits is a prerequisite for reliable execution of quantum algorithms [1,2]. In particular, the high-fidelity preparation of initial quantum states for these algorithms strongly affects their accuracy. Although this has been widely studied and optimized for qubit eigenstates and superpositions thereof [3,4], quickly reaching the equilibrium state of a given temperature, the Gibbs state, while keeping the ambient temperature low appears challenging [5,6].

Beyond specific state preparation, active control over thermal excitations in superconducting circuits can be an important building block for quantum thermodynamics [7–9] in general, such as for quantum heat-transport experiments [10] and quantum heat engines [11,12]. Accurately prepared thermal states [13] can also be used in the context of minimal heat engines where a qubit is coupled to bandgap reservoirs [14], which may also serve as a starting point to explore qubit-environment correlations [15,16]. Local cooling of different types of superconducting circuits has already been demonstrated with quantum-circuit refrigerators (QCRs) [17–21], which are small on-chip dissipators that can be

exponentially turned on and off using a bias voltage. Depending on this voltage, different tunneling processes through a single normal-conductor–insulator–superconductor (NIS) junction can absorb or emit photons, effectively lowering or increasing the temperature of the coupled circuit, while also tuning the dissipation rate by several orders of magnitude. Interestingly, a QCR accurately represents a thermal environment for the electromagnetic degrees of freedom and can correspondingly heat a quantum circuit at high bias voltages, offering a versatile two-way tunability: cooling or heating as desired. In particular, a qubit or resonator can be heated or cooled with the QCR in thermodynamic cycles without the presence of other thermal baths. As an example, in a quantum Otto cycle, the QCR may be activated to heat or cool the system during the isochoric process, and it would remain off during adiabatic excursions [22]. Furthermore, it can be used to charge a fixed-heat-capacity element or a heat battery. The charged heat may then be used to fuel thermal devices or experiments. Finally, a modified QCR comprising a quantum dot has been proposed as a possible cryogenic source of microwaves [23].

Sample and its operation principle. To realize an on-demand thermal state in a superconducting qubit, we carry out experiments with the sample depicted in Fig. 1(a). Here, a transmon [24], with qubit frequency $\omega_{\text{ge}}/(2\pi) = 4.09$ GHz and anharmonicity $\alpha/(2\pi) = -273$ MHz, is coupled to a quantum-circuit refrigerator via a coplanar-waveguide (CPW) resonator of frequency $\omega_1/(2\pi) = 4.67$ GHz. In addition, a CPW resonator of frequency $\omega_2/(2\pi) = 7.44$ GHz is coupled to the transmon for the standard dispersive readout of the state of the transmon [25]. The core Hamiltonian including

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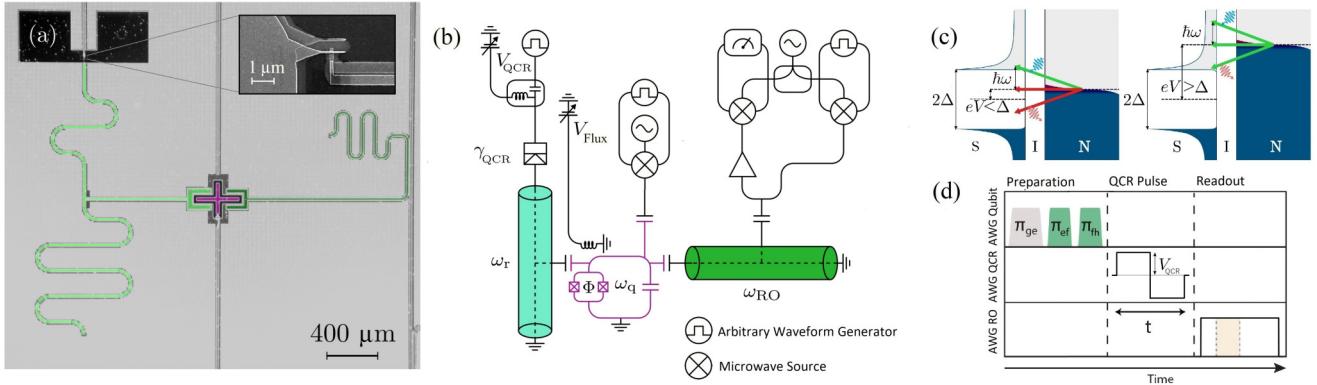


FIG. 1. Measurement setup and device. (a) False-color optical micrograph of the sample. The transmon qubit (purple) is coupled to the reset resonator (light green), which is connected to the input line through the QCR (inset). The QCR is oriented such that the normal-conductor side of the NIS junction connects to the input line, whereas the superconducting Al part is in galvanic contact with the Nb resonator. The qubit is coupled to a separate resonator for readout (dark green). (b) Simplified circuit diagram of the chip and the experimental setup with colors matching to those in panel (a). The transmon qubit is represented by two parallel Josephson junctions and a capacitor, and it is controlled through a dc flux line of the junction loop and an rf drive line for qubit state preparation. The QCR is shown as an NIS junction biased with a dc source for bias offset and an arbitrary waveform generator (AWG) for pulsing. (c) Tunneling process in the NIS junction depending on bias voltage V . If $|eV| < \Delta$ (left), tunneling is only possible with the absorption of a photon (cooling), whereas higher voltages (right) also allow elastic tunneling and photon emission, leading to heating. (d) Pulse sequence: Three different arbitrary waveform generators are used to create subsequent state preparation, QCR, and readout pulses. Preparation pulses are only required for the calibration measurement in Fig. 3(a)–3(d), and are not applied in heating experiments. The QCR pulse is a net-zero square pulse with a fundamental frequency of 100 MHz.

the coupling of the transmon to both resonators can be expressed as

$$\hat{H}/\hbar = \omega_{ge}\hat{b}^\dagger\hat{b} + \frac{\alpha}{2}\hat{b}^\dagger\hat{b}^\dagger\hat{b}\hat{b} + \omega_1\hat{a}_1^\dagger\hat{a}_1 + \omega_2\hat{a}_2^\dagger\hat{a}_2 + g_1(\hat{b}^\dagger\hat{a}_1 + \hat{b}\hat{a}_1^\dagger) + g_2(\hat{b}^\dagger\hat{a}_2 + \hat{b}\hat{a}_2^\dagger), \quad (1)$$

where \hat{b} and \hat{a}_j ($j = 1, 2$) are the annihilation operators of the transmon and resonator j , respectively. The relatively weak coupling strengths, $g_1/(2\pi) = 59.6$ MHz and $g_2/(2\pi) = 70.4$ MHz, place the transmon in the dispersive regime with both resonators [26]. Consequently, this geometry allows for strong dissipation control without compromising the qubit lifetime in the QCR-off state [20] and for advanced qubit reset protocols [27–29].

The QCR consists of an NIS junction that can promote either heating or cooling of the core circuit depending on its bias voltage V_{QCR} . The underlying mechanism is the quasi-particle tunneling through the junction illustrated in Fig. 1(c) [18,30,31]. If $|V_{QCR}| < \Delta/e$, where e is the elementary charge and $\Delta = 0.186$ meV is the superconductor gap parameter of aluminum, quasiparticle tunneling is ideally only possible with a simultaneous absorption of energy exceeding $\Delta - e|V_{QCR}|$, thus cooling the circuit. A part of the energy may be given by the thermal excitations of the quasiparticles in the normal metal, leading to an exponential rise of the QCR-induced decay rate with the bias voltage. However, bias voltages $|V_{QCR}| > \Delta/e$ induce photon emission in the course of the tunneling, leading to heating of the core circuit. Thus, controlling V_{QCR} , we may modify both decay rates and the thermal occupation number of the core circuit.

Through single-shot dispersive readout, in this work, we show that transmon temperatures up to 500 mK can be achieved in a time window of 100 ns, demonstrating a

remarkable progress toward applications with controlled thermal states.

Sample fabrication and experimental setup. The superconducting circuit shown in Fig. 1(a) is fabricated by optical lithography and reactive ion etching of a 200-nm film of sputtered niobium on a 6-inch intrinsic silicon wafer. The Josephson junctions are added in a separate step through electron beam lithography (EBL), creating an undercut in a double-layer resist of a methyl methacrylate copolymer and poly(methyl methacrylate). Aluminum is deposited using a Dolan bridge two-angle shadow evaporation technique with *in situ* oxidation to form the tunnel barrier in between two Al layers. Similarly to the Josephson junctions, the NIS junction is fabricated in EBL, but replacing Al with 60 nm of Cu in the top layer. In addition, a 3-nm layer of Al is deposited as an adhesion layer between aluminum oxide and copper. Due to the inverse proximity effect, this adhesion layer is not superconducting, but part of the normal-conducting electrode of the NIS junction. The adhesion layer reduces oxidation and diffusion of the Cu layer, significantly improving the yield and longevity of the device.

All measurements shown below are carried out in a dilution refrigerator at a mixing-chamber temperature of 30 mK utilizing a circuit depicted in Fig. 1(b). The QCR control consists of two inputs: a dc component to apply a zero-bias offset determined through a current-voltage (I/V) measurement in Fig. 2(a) through a dc line filtered with Thermocoax wiring, and a net-zero square pulse applied through an rf coaxial cable. The two signals are combined in a bias tee before reaching the NIS junction. In addition, the qubit can be driven with a weakly coupled rf drive line and read through a separate quarter-wavelength CPW resonator coupled to a transmission line. Current-voltage measurements of the QCR are recorded through the dc line with a source-measure unit and the qubit readout is carried out as single shots. A readout pulse is

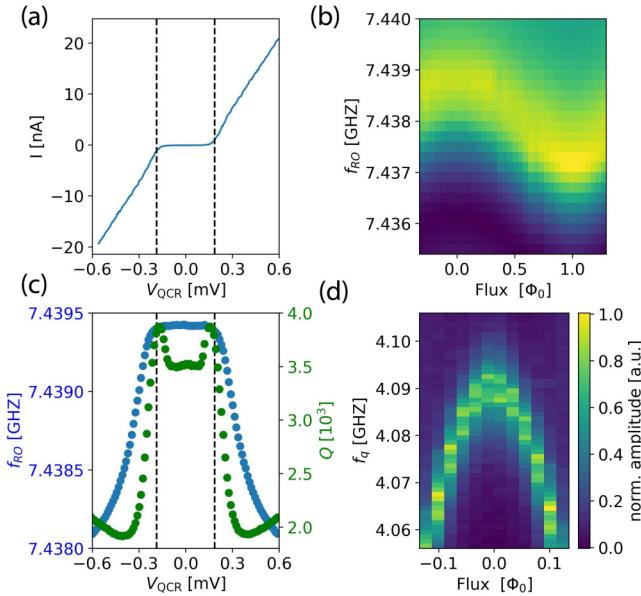


FIG. 2. Resonator, qubit, and QCR characterization. (a) Current through the QCR as a function of voltage across it, providing the superconductor gap (black dashed lines) and the Dynes parameter. (b) Normalized amplitude of the tone channeled through the qubit readout resonator as a function of voltage that induces flux through junction loop of the qubit and the frequency of the tone. (c) Resonance frequency and quality factor of the readout resonator as functions of the QCR dc voltage V_{QCR} applied at the junction. The frequency shift of 1.5 MHz starts at the gap voltage obtained from panel (a). (d) Average qubit readout signal as a function of the flux-inducing voltage V_{flux} and the frequency of a qubit excitation tone f_q around the flux sweet spot at 130 mV with the QCR turned off. All following measurements are conducted at the sweet spot.

applied with a duration of $2 \mu\text{s}$, but integrated only in the interval 400–800 ns to maximize trajectory separation between different states in the in-phase–quadrature-phase (IQ) plane [32,33].

Results. The experimental results to characterize the key sample parameters are shown in Fig. 2 and the extracted parameters are listed in Table I. From the IV curve of the QCR junction in Fig. 2(a), we extract the superconductor gap parameter $\Delta = 0.215 \text{ meV}$ as the half-width of the plateau as well the Dynes parameter γ_D . Assuming $\gamma_D \ll 1$, the latter can

TABLE I. Measured sample parameters at 30 mK except for the QCR normal-state resistance measured at room temperature.

Parameter	Symbol	Value
Qubit frequency	$\omega_{\text{ge}}/2\pi$	4.09 GHz
Qubit anharmonicity	$\alpha/2\pi$	-273 MHz
Reset resonator frequency	$\omega_1/2\pi$	4.67 GHz
Readout resonator frequency	$\omega_2/2\pi$	7.44 GHz
Reset coupling strength	$g_1/2\pi$	59.6 MHz
Readout coupling strength	$g_2/2\pi$	70.4 MHz
QCR resistance	R_{QCR}	13.8 k Ω
Dynes parameter	γ_D	2.3×10^{-3}
Qubit lifetime	T_1	6.6 μs

be approximated as the ratio of resistances inside and outside of the gap voltage region, yielding $\gamma_D = 2.3 \times 10^{-3}$.

Furthermore, Fig. 2(c) shows a 1.5-MHz resonance shift in the readout resonator caused by the QCR bias at the gap voltage obtained from Fig. 2(a). The frequency shift observed in Fig. 2(c) is of similar magnitude as the dispersive shift in Fig. 2(b). This downward shift is primarily caused by the increase in the population of high-energy qubit states as the effective temperature rises with increasing QCR bias voltage, resulting in an average resonance frequency weighted by the different state populations. The slope of the resonance frequency shift in Fig. 2(c) becomes smaller in magnitude at the ends of the measurement range, which is in agreement with the temperature of the qubit increasing slower at higher bias voltages. In addition, the QCR amplitude can create a Lamb shift [34] in the reset resonator, which causes a dispersive shift in the coupled circuit, which we can measure at the readout resonator, together with the Lamb shift directly induced by the QCR on the readout resonator [35]. For all following experiments, the applied pulse sequence is designed such that any QCR pulse is turned off before the beginning of the readout pulse, and hence, this shift is absent during qubit readout.

Qubit measurements are carried out in single shots and shown in Fig. 3. For calibration measurements, we prepare each of the four lowest-energy states of the transmon through a corresponding sequence of π pulses, as shown in Fig. 1(d). Combining the single-shot readout data from all these initial states into a single distribution in the IQ plane, four prominent point clouds are obtained. We use a multivariate Gaussian mixture model (GMM) to fit the combined single-shot calibration data as shown in Fig. 3(a)–3(c). The ratio of points inside and outside of a given Mahalanobis distance $m\sigma$ from each state for an n -dimensional Gaussian distribution is given by the generalized regularized incomplete gamma function $Q(n/2, 0, (m^2)/2)$. Choosing the 1σ ellipsoid of a two-dimensional Gaussian, we find that $Q(1, 0, 0.5) = 39.34\%$ of the measured points of each state should be within its 1σ boundary [36]. The count of shots inside these boundaries can be used to extract the corresponding qubit state populations, allowing us to normalize the population truncated at the fourth state. In addition, we include a correction matrix to account for the overlap of the distributions of different states in the IQ plane. The Gaussian fit of the h -state distribution is by far the least precise due to reduced state preparation fidelity, its width, and proximity to states that are not included in the GMM. Given the overestimation of the h -state population throughout the experiment, we assume that the h -state fit includes measurements of the i state and the j state.

Figures 3(a) and 3(e) highlight the difference of the single-shot distributions with and without the QCR pulse on the thermal equilibrium state. In the QCR-off state, the thermal equilibrium population of the g state is 83%, which is lower than a typical transmon at low temperature [37], but 8% higher than in previous experiments of a similar circuit with a QCR [27]. After a 100-ns-long QCR pulse of 1.2 mV amplitude, the ground-state population has been reduced to 42%, half of its original value, suggesting a significant rise in temperature. If the initial state is nonthermal, e.g., e or f , the resulting state after the QCR pulse is also nonthermal, but approaches

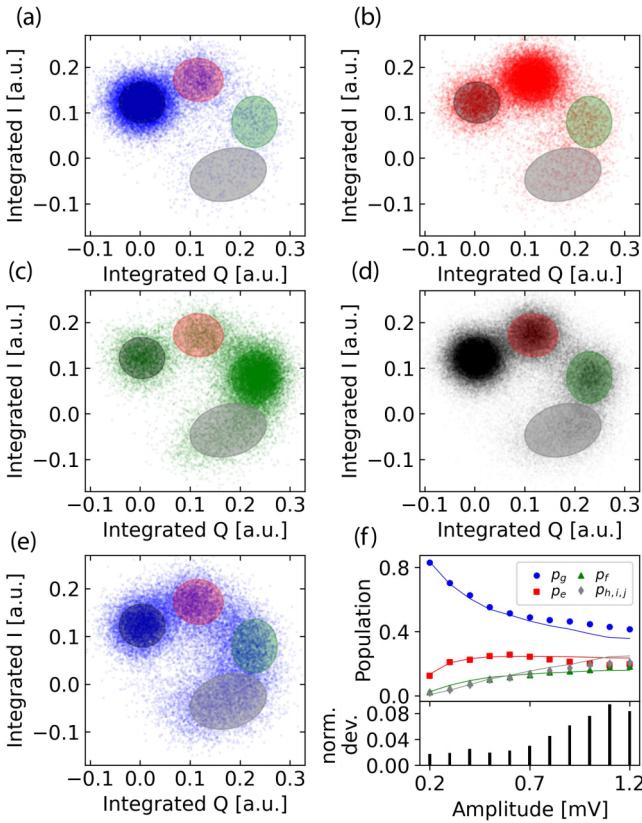


FIG. 3. Single-shot measurements with 10 000 shots per prepared qubit state. (a)–(c) Calibration measurement of the prepared g (equilibrium), e , and f states. The dot colors and the shaded ellipses for states g (blue), e (red), f (green), and h (gray) represent the 1σ covariances of each qubit state. The h -state fit possibly includes even higher-energy states due to its large width. (d) Calibration measurement of all prepared states from panels (a)–(c) superimposed and the corresponding fit to a Gaussian-mixture model with four components. (e) Similar to panel (a), but after a 100-ns QCR pulse with amplitude $V_{QCR} = 1.2$ mV. The population shift toward high-energy states arises from heating caused by the high pulse amplitude $V_{QCR} > (\Delta/e)$. (f) Qubit state populations extracted from single-shot measurements as functions of the QCR pulse amplitude for a fixed pulse length of 100 ns, compared to a fitted Boltzmann distribution truncated at the sixth transmon state. Owing to the wide distribution of the h state, we assume that the states i and j are mostly contained within the 1σ boundary of the h state. The black bars show the normalized deviations of the extracted populations from the closest ideal Gibbs state.

a thermal state for much longer QCR pulses than the qubit T_1 at the given QCR bias.

Figure 3(f) shows the populations of the four lowest-energy states of the transmon after a 100-ns QCR pulse of different amplitudes together with least-squares fits to Gibbs states. We observe that the data follow reasonably well the Gibbs state, with the temperature increasing for increasing amplitudes. Figure 4(a) shows the resulting temperatures, from which we conclude that we may choose at will an amplitude to prepare the state of the transmon to any temperature from 110 to 476 mK with the 100-ns pulse. The error bars for the temperature estimates are given by the fitting uncertainty

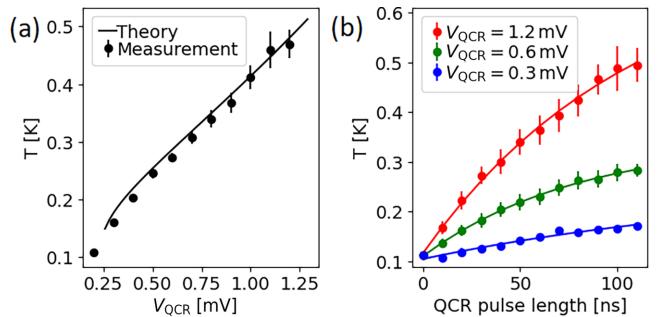


FIG. 4. Temperature T of the closest Gibbs state extracted from single-shot measurements of the transmon. (a) Transmon temperature as a function of the QCR pulse amplitude for a fixed pulse duration of 100 ns (markers) agrees well with the theory model for energies above the superconducting gap (solid line), as expected from Ref. [30] (see Note 1 of the Supplemental Material for the theoretical model and further details [38]). For large pulse amplitudes, the heating response of 0.36 K/mV agrees well with the measured data well above the gap voltage Δ/e . The error bars represent the temperature uncertainty of the fit to the closest ideal Gibbs state, indicating the deviation of the measured states from an ideal Gibbs state, for example, due to truncation errors. (b) Measured qubit temperature (markers) as a function of the QCR pulse duration for three different amplitudes above the gap voltage as indicated. We also show fitted exponential functions of the form $T(t) = T_0 + A(1 - e^{-t/\tau})$ (solid lines) starting from $T_0 = 110$ mK, yielding the temperature increase and time constant $A = 150$ mK, $\tau = 185$ ns for $V_{QCR} = 0.3$ mV, $A = 230$ mK, $\tau = 80$ ns for $V_{QCR} = 0.6$ mV, and $A = 600$ mK, $\tau = 109$ ns for $V_{QCR} = 1.2$ mV, respectively.

and therefore reflect the scale of the deviation from an ideal Gibbs state. The temperature uncertainty is significantly large for high temperatures: it remains below 2% for temperatures up to 200 mK, climbing to 19% at $T = 476$ mK. This increase in uncertainty can be attributed to truncation errors since only the four lowest-energy states are measured with normalized populations and to the low measurement fidelity of the increasingly populated states f and h (see Note 2 of the Supplemental Material for the correction matrix [38]). In addition, a large population of the states beyond h further aggravates the overestimation of the h state. Importantly, quantum fidelities between the transmon state and an ideal thermal state surpassing 99% are theoretically predicted for this sample (see Note 1 of the Supplemental Material for details [38]).

Figure 4(b) shows the extracted transmon temperature as a function of the pulse length for different QCR amplitudes. We observe a trend of increasing temperature consistent with Fig. 4(a). The short-time evolution of the temperature accurately follows an exponential fit of the form $T(t) = T_0 + A(1 - e^{-t/\tau})$, with $T_0 = 110$ mK. The temperature exhibits some saturation in time, yet it shows a strong increase for increasing amplitudes up to 1.2 mV, suggesting that even higher temperatures are possible within this timescale using even higher amplitudes. By calculating the photon numbers n_0 and n from the initial temperature T_0 and the saturation temperature $T_0 + A$, respectively, we use the timescale τ to calculate the effective temperature of the QCR environment as $T_{QCR} = \hbar\omega_{ge}/\{k_B \ln[1 + (1/n_{QCR})]\}$, with

$n_{\text{QCR}} = n[(\tau/T_1) + 1] - (n_0\tau/T_1)$. This yields $T_{\text{QCR}} = 262 \text{ mK}$ for $V_{\text{QCR}} = 0.3 \text{ mV}$, $T_{\text{QCR}} = 346 \text{ mK}$ for $V_{\text{QCR}} = 0.6 \text{ mV}$, and $T_{\text{QCR}} = 720 \text{ mK}$ for $V_{\text{QCR}} = 1.2 \text{ mV}$. Compared to Ohmic heating of a normal conductor on the chip, the QCR requires several orders of magnitudes lower power due to the strong coupling of the heating power to the qubit in the QCR-on state and low amount of waste heat owing to the fact that there is no quasiparticle reservoir that needs to be heated up, thus allowing for low ambient temperature although providing high qubit temperatures. Furthermore, the coupled circuit is protected from decoherence in the QCR-off state with weak dissipation and detuning of the reset resonator.

Discussion and conclusions. Different heating mechanisms of the qubit may play a role in this device: Quasiparticles generated at the tunnel barrier can contribute to heating effects even in the QCR-off state, limiting the QCR on-off ratio [39–41]. Breaking and recombination of Cooper pairs at the QCR junction can also eject ballistic phonons into the substrate and eventually excite the qubit [42,43]. However, the dominant effect in the high-amplitude case of Fig. 4 is photon-assisted tunneling as described in Fig. 1(c). This is consistent with the dependence of the temperature on the QCR pulse amplitude in Fig. 4(a) and with previous high-bias measurements of the temperature of a resonator coupled to a QCR [44].

Let us next elaborate on the realization of a single-bath quantum heat engine with our QCR device shown in Fig. 1(a). Even though a heat engine utilizing only a single static heat reservoir is thermodynamically impossible [45], the QCR in combination with the reset resonator can replace both the cold and hot reservoirs of a typical heat engine since it is *in situ* tunable. For example, a quantum Otto cycle [12] can be implemented through alternating pulses in the QCR and the flux line as follows: (1) isochoric heating with the QCR at high amplitude ($|V_{\text{QCR}}| > \Delta/e$), (2) adiabatic lowering of ω_{ge} through a flux sweep to $\omega_{\text{ge}}^{\min}$, (3) isochoric cooling of the circuit with a low-amplitude QCR pulse ($|V_{\text{QCR}}| < \Delta/e$), and (4) adiabatic tuning of ω_{ge} back to $\omega_{\text{ge}}^{\max}$ at the sweet spot [46]. The pulses for heating and frequency tuning can each be applied on a timescale of less than 100 ns, still shorter

than the 350 ns equilibration time of the reset resonator during sweeps (2) and (4) but longer than during the thermalization sweeps (1) and (3) when the QCR is on, thus minimizing its disturbance to the Otto cycle [47]. The efficiency of such an Otto heat engine is bounded by the Carnot efficiency $\eta_c = 1 - (T_c/T_h)$, where T_c and T_h are the electromagnetic temperatures of the QCR in its cooling and heating modes, respectively. Since QCR provides a large temperature tuning ratio, one may expect efficiencies close to that given by the frequency tuning $\eta_f = 1 - (\omega_{\text{ge}}^{\min}/\omega_{\text{ge}}^{\max})$. Even different realizations of a quantum heat engine, e.g., those based on quantum measurement backaction or entanglement, still require a thermal bath, ideally with controllable temperature and coupling strength, and hence, our results provide an important tool for quantum heat engines in general.

In summary, we have experimentally realized the controlled generation of thermal states up to 0.5 K in a transmon qubit on timescales below 100 ns. Four-state single-shot analysis of the transmon is in good agreement with the expected Gibbs distribution of a thermal state. Unlike simple Ohmic heating, we can heat the qubit without a significant increase in ambient temperature thanks to the utilization of a quantum-circuit refrigerator as an *in situ* tunable thermal environment. With its cooling capability already analyzed in previous work [17,20,21], we have here shown two-way tunability of the environment for superconducting circuits. Our results pave the way for implementations of quantum heat engines and other open quantum systems in superconducting circuits.

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