

**Sugar Cane Grading from photo using machine learning**  
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I have read this report and approve its content.

## **Abstract (English)**

This project will work in co-operation with staff from Mitrphol Sugar Company to create a software test bed for improving sugarcane quality control over a large area. The test bed will be able to analyze the sugar cane health from photos of cane fields. The project will use machine learning techniques to train the software to discriminate photos based on cane quality. The test bed will first extract the sugar cane crucial features from mobile phone photos. This test bed will then classify the cane photos into different health categories based on extracted features using supervised machine learning. The results obtained from this project will be useful for developing a real world system to allow individual farmers to send photos of their fields, which can be analyzed and classified to get more detailed information about cane health over a wide area. This project is thus important because it will help sugar companies gain better information with a lower surveying cost.

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# **Chapter 1**

## **Introduction**

### **1.1 Problem Statement and Approach**

Sugarcane is an important crop in Thailand. In order to forecast sugarcane yield, sugar companies need detailed information on the cane conditions in different fields. Features such as color and size of the leaves are some indicators of cane conditions (cane health).

A field's condition, such as soil properties, seed quality or irrigation system, has some important consequences for those features. However, not every field is identical. Thus, the features and the resulting cane quality vary from one field to another. This will cause a problem in collecting data; there are too many fields and it is too complicated and time consuming for sugar companies to do exhaustive surveys to get the information that they need.

To address to this problem, this project will work in co-operation with staff from Mitrphol Sugar Company to create a software test bed for improving cane quality control over a large area. The test bed will be able to analyze the sugar cane health from photos of the fields taken from ground level. The project will use machine learning to train the software to discriminate photos based on cane quality. The results obtained from this project will be useful for developing a real world system to allow individual farmers to send photos of their fields to Mitrphol which can be analyzed and classified to get more detailed information about cane health over a wide area. This project is thus important because it will help sugar companies gain better information with a lower surveying cost.

This is a research - real world stakeholder project.

### **1.2 Objectives**

The goal of this project is to develop a software test bed for experimenting with images of sugar cane using supervised machine learning technique. The test bed will first extract the sugar cane crucial features from photos. This test bed will then classify the cane photos into different health categories based on extracted features using supervised machine learning.

### **1.3 Scope**

This project does not attempt to deliver a final system, but rather a software testbed. The testbed is intended to experiment with images of sugarcane with different machine learning models and conclude which model can achieve the best result.

## **Deliverables for Term 1**

- ✓ Experimental data set
- ✓ Experimental design
- ✓ Decision on what learning framework(s) to use, with justification
- ✓ Some prototype using the selected framework

## **Deliverables for Term 2**

- ✓ Complete experimental design of the test bed
- ✓ Software test bed with results
- ✓ Results and data analysis

## **1.4 Tasks and Schedule**

### **1.4.1 Task breakdown**

1. Analyze and determine the requirements of the project
2. Plan the project schedule
3. Work on introduction chapter of the report (chapter 1)
4. Research emphasizing on the following topics:
  - i. Work by other researchers on discriminating between similar images using machine learning
  - ii. Machine learning methods for image classification and the available libraries
  - iii. Basic image processing concepts
5. Create the project proposal and get feedback
6. Test prototypes for various learning frameworks and make a decision on which learning framework to use
7. Collect and create dataset
8. Study and understand the dataset
9. Create experimental design
10. Complete progress report for the first semester
11. Work on theory and background chapter of the report (chapter 2)
12. Work on methodology chapter of the report (chapter 3)
13. Prepare for presentation for the first semester
14. Write software to standardize and augment images
15. Write scripts to control the experiments
16. Test the system and fix bugs
17. Train and test the system with different parameters
18. Analyze the results
19. Complete final report for the second semester (Result + conclusions, chapter 4 and 5)
20. Create poster and prepare for presentation for the second semester

#### 1.4.2 Draft Schedule

Step	Operation	Project Duration																			
		2016				2017															
		August		September		October		November		December		January		February		March		April			
		1	2	3	4	1	2	3	4	1	2	3	4	1	2	3	4	1	2	3	4
1	Analyze and determine the requirements of the project																				
2	Plan the project schedule																				
3	Work on Introduction chapter of the report																				
4	Research emphasizing on the following topics: 1) Work by other researchers on discriminating between similar images using machine learning 2) ML method for image classification especially CNN and the available libraries 3) Basic image processing concept																				
5	Create the project proposal and get feedbacks																				
6	Test prototypes for various learning frameworks and make a decision on which learning framework to use																				
7	Collect and create dataset																				
8	Study and understand the dataset																				
9	Create experimental design																				

Step	Operation	Project Duration												2017															
		2016												2017															
		August				September				October				November				December				January				February			
		1	2	3	4	1	2	3	4	1	2	3	4	1	2	3	4	1	2	3	4	1	2	3	4	1	2	3	4
10	Complete progress report for the first semester																												
11	Prepare for presentation for the first semester																												
12	Work on Background and Theory chapter of the report																												
13	Work on Methodology chapter of the report																												
14	Write pre-processing software to standardize images														H	H	H												
15	Write scripts to control the experiments																												
16	Test the system and fix bugs																												
17	Train and test the system with different parameters																												
18	Analyze the results																												
19	Complete final report for the second semester																												
20	Create poster and prepare for presentation for the second semester																												

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## Chapter 2

### Background, Theory and Related Research

#### 2.1 Digital Image Processing Concepts

##### 2.1.1 Digital Image Representation

Pixels are basic elements of an image. Each pixel depicts a light intensity value, which is represented as a number. Digital image is a collection of pixels. There are two types of digital image: grey scale image and color image. Grey scale image is defined by a matrix of pixels, whereas color image is usually defined by a cube made of three matrixes of pixels.

The density of pixels in an image is called **resolution**. If we have an image of a particular scene, the level of detail will be controlled by the number of pixels. The more pixels that we keep to describe an image, the more detailed the image.

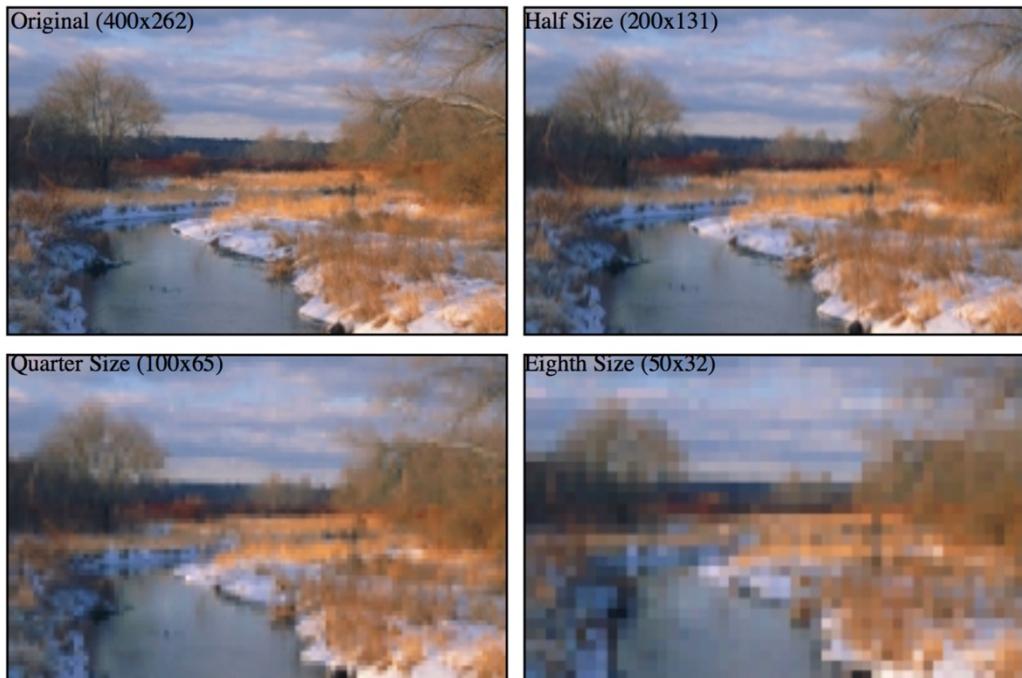


Figure 2.1-1 What happens as we reduce the resolution of an image while keeping its size the same. [9]

A monochrome image can be described in terms of a two-dimensional light intensity function  $f(x,y)$ , where the amplitude of  $f(x,y)$  is the intensity (brightness) of the image at position  $(x,y)$ . The intensity of a monochrome image lies in the range

$$L_{min} < f(x,y) < L_{max}$$

Equation 2.1-1

where the interval  $[L_{min}, L_{max}]$  is called the grey scale. There are two common grey scale storage methods: 8-bit storage and 1-bit storage.

The most common storage method is 8-bit storage. It can represent up to  $2^8$  colours for each pixel. The grey scale interval is  $[0,255]$ , with 0 being black and 255 being white.

The less common storage method is 1-bit storage. There are two grey levels, with 0 being black and 1 being white. Images represented by this method are also called binary images.



Figure 2.1-2 Level grey scale. [9]

A colored image can be represented using multi-channel color models. The most widely used model is the RGB. Colored images are made up of three primary color components: red, green and blue (RGB). These three primary components together create a three-dimensional color space with red defining one axis, green defining the second, and blue defining the third. Every existing color is described as a mixture of red, green, and blue light and located somewhere within the color space.

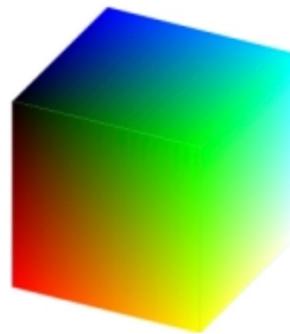


Figure 2.1-3 Color space. [9]

Using RGB model, a colored image can be described using a three-channel intensity function

$$I_{RGB}(x, y) = (F_R(x, y), F_G(x, y), F_B(x, y))$$

Equation 2.1-2

where  $F_R(x, y)$  is the intensity at position (x,y) in the red channel,  $F_G(x, y)$  is the intensity at position (x,y) in the green channel, and  $F_B(x, y)$  is the intensity at position (x,y) in the blue channel. Each channel usually uses an 8-bit storage, so together the three channels can describe up to  $2^8$  colours. Thus, computers commonly use a 24-bit storage to describe the intensity at position (x,y), which can describe up to  $2^{24}$  colours.

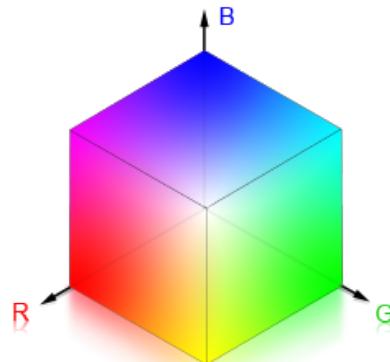


Figure 2.1-4 Color intensity where B stands for blue, R stands for red and G stands for green [11]

### 2.1.2 Image Processing Operations

Image operations are calculations applied to each pixel  $(x,y)$  of an input image  $f$  to transform the input image  $f(x,y)$  into an output image  $g(x,y)$ . Different operation types are applied to an image depending on what kind of results we want to achieve. In this section, we will focus on discussing three types of image operations: resampling, cropping and histogram modifications.

Resampling is a technique used to generate a new image with a different resolution. Increasing the number of pixels is called **upsampling** and decreasing the number of pixels is called **downsampling**. Upsampling can sharpen the original image whereas downsampling softens or blurs the original image. There are several different resampling techniques. The main concept of those techniques is to interpolate the value of a new pixel from the existing pixels of the original image. Nearest neighbor resampling is the simplest method. The value of each pixel in the output image is the value of its nearest neighbor in the original image.

Cropping refers to the removal of the regions in the image. A new pair of  $(x,y)$  coordinates is required to define diagonally opposite corners of the cropped image. The resulting image is a smaller image that contains useful data for further studies.

Histogram is the frequency distribution of pixel values. Histograms are frequently visualized as graphs. For example, the histogram below shows the frequency of pixel values of a grey scale image, where the x axis indicates the range of the pixel values and y axis indicates the frequency with which each value appears in the entire image.

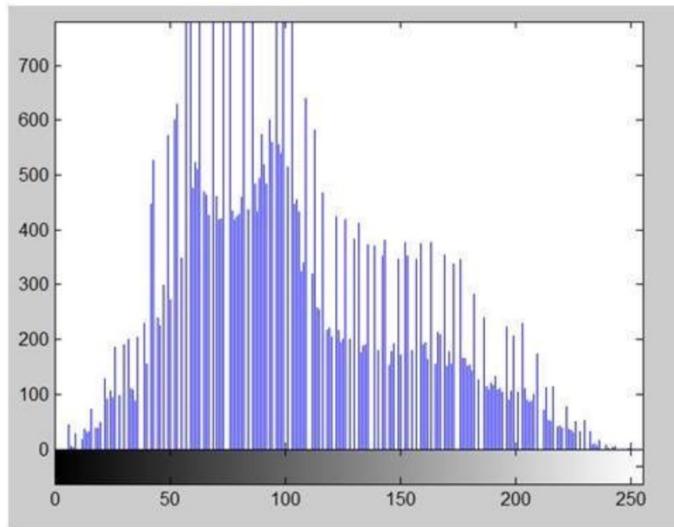


Figure 2.1-5 An image histogram[14]

There are various operations to modify an image's histogram. In this section we will focus on discussing about histogram sliding and histogram stretching.

Histogram sliding is a method used to change image brightness. To increase the brightness, we shift an image's histogram to the right by adding a constant value to every pixel of an image. In contrast, to decrease the brightness, we shift an image's histogram to the left by subtracting a constant value from every pixel of an image. This does not change the shape of the distribution at all, just the location within the grey scale range.

Histogram stretching is a method used to increase the contrast. Contrast is the difference between the maximum and the minimum intensity values of an image. There are several ways

to do histogram stretching. We will illustrate how histogram stretching works by demonstrating one of them through the following formula:

$$g(x, y) = \frac{f(x, y) - f_{min}}{f_{max} - f_{min}} 2^{bpp}$$

Equation 2.1-3

where  $f(x, y)$  is the pixel intensity of the original image,  $f_{min}$  is the minimum pixel intensity,  $f_{max}$  is the maximum pixel intensity and bpp is the bit per pixel. The purpose of this formula is to ‘spread’ the image’s old intensity values over a larger range. By doing so, we increase the difference between the maximum and the minimum intensity values of an image and hence increase the contrast.

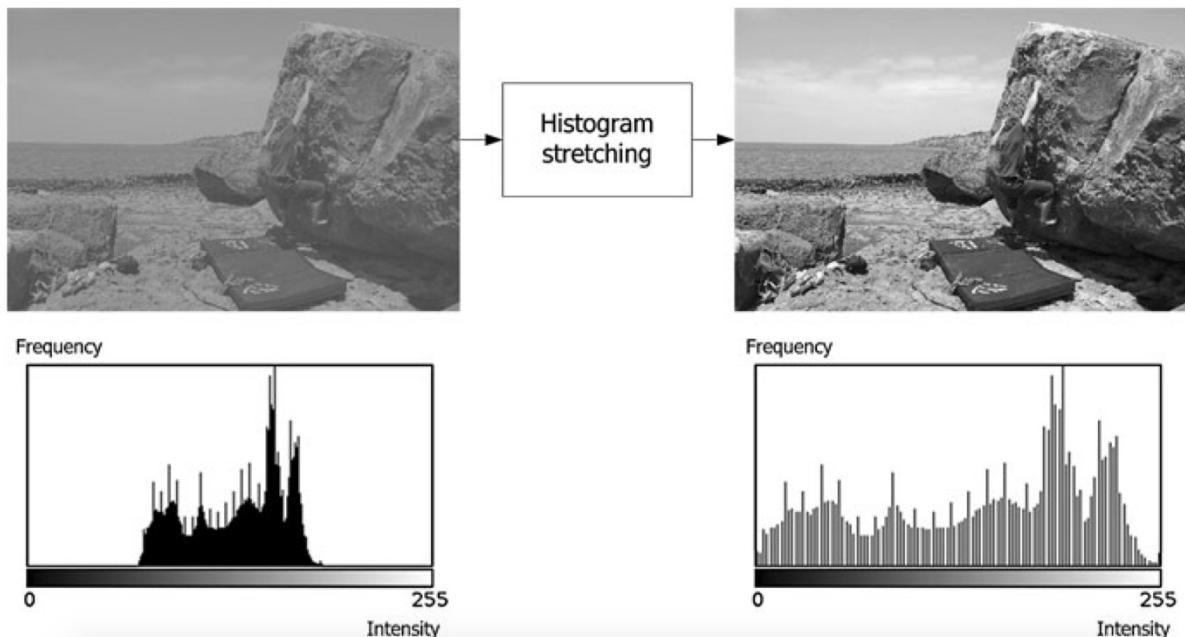


Figure 2.1-6 An illustration of histogram stretching

Figure 2.1-6 shows an illustration of histogram stretching. The original image and its histogram are on the left. The image whose contrast is increased by histogram stretching and its histogram are on the right.

## 2.2 Image Convolution

### 2.2.1 Concepts and Mathematics

Convolution is an image transformation technique using neighborhood (or area-based) operators. The objective of image convolution is to process an input image in order to enhance some important features that are suitable for a specific application. Convolution has two main approaches: spatial-domain approach and frequency-domain approach.

Spatial-domain and frequency-domain approach can both be described in terms of convolutional function:

$$g(x, y) = h(x, y) * f(x, y)$$

Equation 2.2-1

given  $f(x, y)$  is the input image,  $h(x, y)$  is a small pattern called the **kernel** and  $g(x, y)$  is the output image.

In spatial-domain, we look at the value of each pixel varies with respect to scene whereas in frequency-domain, we look at the rate at which the pixel values change in spatial-domain. Thus, in frequency-domain approach, we will have to convert the pixel values into frequency-domain before applying convolution, then convert the result back into spatial-domain. We will mainly discuss about spatial-domain procedure in this section.

The procedure for convolution in spatial-domain is as follows: A **filter** (sometimes can be referred to as a **mask**, a **kernel** or a **window**) is slid around the input image. The filter is a smaller matrix of values called **coefficients**. Each time the filter is placed at a new position, every pixel of the input image contained within the filter is multiplied by the corresponding filter coefficient, and then the resulting products are summed together. The result from each multiplication and summation becomes the value of the next pixel of the output image. The described procedure is repeated this until all values of the output image have been calculated.

## 2.2.2 Examples – Sobel, Blurring

Below we will give two simple examples to illustrate the application of convolutional filtering.

The first application is to highlight edges in an image. Some well-known edge enhancement filters are Prewitt operator, Sobel operator, Robinson compass masks, Krisch compass Masks and Laplacian operator. The decision on which filter to use depends on our desired results. Here we will describe the use of Sobel edge detection. A Sobel filter is used to calculate edges in both horizontal and vertical direction.

The vertical mask of Sobel operator is as follows:

-1	0	1
-2	0	2
-1	0	1

Table 2.2-1 Vertical Mask of Sobel operator

The pixels at the corresponding position to the area declared by this filter of the input image are respectively multiply by -1,0,1,-2,0,2,-1,0 and 1. The results of these nine multiplications are then summed. The filter is then moved to the next position in the image. This process is repeated until all values of the image has been calculated.

The horizontal Mask of Sobel operator is as follows:

-1	-2	-1
0	0	0
1	2	1

Table 2.2-2 Horizontal Mask of Sobel operator

Similarly, the pixels at the corresponding position to the area declared by this filter of the input image are respectively multiply by -1,-2,-1,0,0,0,1,2 and 1. The results of these nine multiplications are then summed. The filter is then moved to the next position in the image. The horizontal and vertical output images are kept separately. This process is repeated until all values of the image has been calculated.

This filter gives more weight to the pixel values around the edge region. It thus increases the edge intensities. As a result, the output image edges become enhanced comparatively to the original image.

The second application is to blur an image. There are three common type of filters that are used to perform blurring: mean filter, weighted average filter and Gaussian filter. We are going to discuss about mean filter. In a mean filter, there are an odd number of filter elements, all of which are the same and which sum to one. For example, a 3x3 mean filter can be declared as followed:

1/9	1/9	1/9
1/9	1/9	1/9
1/9	1/9	1/9

Table 2.2-3 3x3 Mean filter

The pixels at the corresponding position of the input image to the area covered by this filter are multiplied by 1/9. The results of these nine multiplications are then summed to become the output pixel value at the center position. This process is repeated until all values of the image has been calculated.

This operation can be used to suppress noise data that may be present in a digital image as a result of poor sampling system or transmitting channel such as isolated bright pixels.

## 2.3 Machine learning

### 2.3.1 Core concepts of machine learning

**Machine learning** studies computers' abilities to automatically recognize patterns and generate intelligent conclusions from the given data. It is generally divided into two learning types: supervised and unsupervised.

**Supervised learning (SVM)** is equivalent to data classification. Computers learn patterns from the labeled examples then use them to make intelligent classification on the unknown data.

**Unsupervised learning (USVM)** is equivalent to clustering. Initially, there is no label associated with the data. Computers try to divide the dataset into clusters to discover classes based on pre-existing structure within the data. USVM cannot narrate semantic meaning of the clusters in their learnt models.

**Data classification** consists of a learning step and a classification step. In the learning phrase, computers build a classification model by studying the **training set** made up of pre-labeled tuples. A tuple  $X$  describes a set of features. Each tuple is represented by a vector of  $n$ -dimension  $X = (x_1, x_2, \dots, x_n)$  where  $x_i$  is a value for one feature dimension, and categorized with a class label attribute  $x_c$ . The class label attribute has a discrete value that indicates to which class tuple  $X$  belongs.

In the classification phrase, the learned model is used for predicting the class label for new data. To evaluate this model, a **testing set** is used. Each testing set is also made up of pre-labeled tuples. Testing data sets are usually independent of training sets.

When the resulting model is tailored to fit one specific sample rather than reflecting the overall population, we have an **overfitting** problem. There are various methods to reduce overfitting. One is to put some random noise in the data. Another is to stop training before 100% accuracy is achieved.

### 2.3.2 Training and testing strategies

The given dataset must be divided into training and testing sets. There are various strategies for doing this, including the three following methods:

**Hold out:** The given data set is randomly divided into two independent sets: training set and testing set. Usually two-thirds of the data are in the training set and one-third of the data is in the testing set.

**k-fold cross-validation:** The data set is divided into k subsets, and the holdout method is repeated k times. Each time, one of the k subsets is used as the test set and the other k-1 subsets are put together to form a training set. Then the average error across all k trials is computed. The advantage of this method is that it matters less how the data gets divided.

**Bootstrap:** A common bootstrap method is the .632 bootstrap. Given a dataset of d tuples, this dataset will be sampled d times with replacement. Each time a tuple is selected, it is added back into the data pool. Thus it may be selected again. The data that do not make it into the training set will eventually be added into the testing set. The training set and the testing set are not independent because the same tuple can be included in both the training and the testing sets. In .632 bootstrap, 63.2% of the dataset will end up in the bootstrap sample, and the 36.8% that did not make it to the bootstrap sample will together form the test set. Bootstrapping is especially useful when we want to replicate the distributional properties of a random variable in the real world since in the real world, it is not always the case that a tuple only appears once.

### 2.3.3 Model evaluation

**Confusion matrix** is often used to measure the performance of a classifier. A confusion matrix is represented by a table of size  $j \times j$ , where  $j$  is the number of output classes. A table entry  $a_{ij}$  is in row  $i^{th}$  and column  $j^{th}$  and indicates the number of tuples that are actually in class i but were classified by the classifier to be in class j.

For calculating convention, for every output class j, we will categorize the tuples classified by the classifier into four groups, as suggested by Jiawei et al. [8]

**True positive (TP):** For each given class j, tuples that are pre-labeled as class j and correctly recognized by the classifier to be in class j are true positive. TP represents the number of true positive tuples.

**True negative (TN):** For each given class j, tuples that are pre-labeled as class i and correctly recognized by the classifier to be in class i are true negative. TN represents the number of true negative tuples.

**False positive (FP):** For each given class j, tuples that are pre-labeled as class i and mistakenly recognized by the classifier to be in class j are false positive. FP represents the number of false positive tuples.

**False negative (FN):** For each given class j, tuples that are pre-labeled as class j and mistakenly recognized by the classifier to be in any class other than j are false negative. FN represents the number of false negative tuples.

Table 2.3-1 and 2.3-2 shows two examples of TP, TN, FP, FN when considered classes are class 0 and class 1 respectively.

		Predicted class				
		0	1	2	...	j
Actual class	0	TP	FN	FN	FN	FN
	1	FP	TN	FN	FN	FN
	2	FP	FN	TN	FN	FN
	...	FP	FN	FN	TN	FN
	j	FP	FN	FN	FN	TN

Table 2.3-1 Confusion matrix when considered class is class 0

		Predicted class				
		0	1	2	...	j
Actual class	0	TN	FP	FN	FN	FN
	1	FN	TP	FN	FN	FN
	2	FN	FP	TN	FN	FN
	...	FN	FP	FN	TN	FN
	j	FN	FP	FN	FN	TN

Table 2.3-2 Confusion matrix for when considered class is class 1

By dividing classified tuples into these four groups, we can now evaluate our classifiers.

### 2.3.3.1 Evaluating classifiers' performance on measuring each considered class

The *precision* and *recall* are widely used in measuring classifiers. The precision dictates the exactness of the classifier on measuring a considered class. It is the percentage of tuples classified as positive that are actually positive:

$$\text{precision} = \frac{TP}{TP + FP}$$

Equation 2.3-1

The recall refers to the true positive rate of the considered class. It is the percentage of positive tuples that are actually labeled as positive:

$$\text{recall} = \frac{TP}{TP + FN}$$

Equation 2.3-2

An alternative way to use precision and recall is to combine them into a single function

called *F-score*. This function is a weighted average of the precision and recall, as shown below:

$$F = \frac{2 \times \text{precision} \times \text{recall}}{\text{precision} + \text{recall}}$$

Equation 2.3-3

### 2.3.3.2 Evaluating classifiers' overall performance

The classifier *overall accuracy* or recognition rate on the given test set calculated as follow:

$$\text{accuracy} = \frac{\sum_{i=0}^j TP_{\text{class}_i}}{\text{Total}}$$

Equation 2.3-4

where  $TP_{\text{class}_i}$  is the number of tuples that are predicted to be in class i and also actually in class i and *Total* is the total number of tuples.

This accuracy can be used to calculate the *error rate*, which indicates the likelihood of misclassification by a classifier:

$$\text{error rate} = 1 - \text{accuracy}$$

Equation 2.3-5

Another popular evaluation measure is the *Kappa statistic*. Kappa statistic compares the accuracy of a classifier when it is actually well-performed (or the accuracy, whose definition was mentioned earlier in this Section) to the accuracy when this classifier is performed simply by chance (or the *random accuracy*):

$$\kappa = \frac{\text{accuracy} - \text{random accuracy}}{1 - \text{random accuracy}}$$

Equation 2.3-6

The random accuracy is defined as the sum of the products of the percentage of tuples actually in class n and the percentage of tuples predicted to be in class n for each considered class:

$$\begin{aligned} \text{random accuracy} \\ &= \frac{\text{Actual}_{\text{class}_0}}{\text{Total}} * \frac{\text{Predicted}_{\text{class}_0}}{\text{Total}} + \dots + \frac{\text{Actual}_{\text{class}_n}}{\text{Total}} * \frac{\text{Predicted}_{\text{class}_n}}{\text{Total}} \end{aligned}$$

Equation 2.3-7

where  $\text{Actual}_{\text{class}_0}$  is the number of tuples actually in class 0,  $\text{Predicted}_{\text{class}_0}$  is the number of tuples predicted to be in class 0,  $\text{Actual}_{\text{class}_n}$  is the number of tuples actually in class n,  $\text{Predicted}_{\text{class}_n}$  is the number of tuples predicted to be in class n and *Total* is the total number of tuples.

The random accuracy can also be expressed in terms of TP, TN, FP and FN as follow:

$$\begin{aligned}
& \text{random accuracy} \\
&= \frac{TP_{Class_0} + FN_{Class_0}}{Total} * \frac{FP_{Class_0} + TP_{Class_0}}{Total} + \dots + \frac{TP_{Class_n} + FN_{Class_n}}{Total} \\
&\quad * \frac{FP_{Class_n} + TP_{Class_n}}{Total}
\end{aligned}$$

Equation 2.3-8

where  $TP_{Class_0}$  is the number of tuples that are actually in class 0 and also correctly predicted to be in class 0,  $FN_{Class_0}$  is the number of tuples that are actually in class 0 but are not correctly predicted to be in class 0,  $FP_{Class_0}$  is the number of tuples that are actually not in class 0 but are mistakenly predicted to be in class 0,  $TP_{Class_n}$  is the number of tuples that are actually in class n and also correctly predicted to be in class n,  $FN_{Class_n}$  is the number of tuples that are actually in class n but are not correctly predicted to be in class n,  $FP_{Class_n}$  is the number of tuples that are actually not in class n but are mistakenly predicted to be in class n and  $Total$  is the total number of tuples.

## 2.4 Multilayer feed-forward neural networks (MFNN)

### 2.4.1 Overviews

MFNN is a classic machine learning algorithm that simulates the way human brain works. MFNN is very useful for studying large datasets due to its ability to tolerate noisy data as well as to learn patterns without prior knowledge. It is especially efficient for real world data, where we do not know much about the relationships between attributes and classes.

Generally, MFNN requires a large amount of input data in order to well perform.

Each MFNN consists of an input layer, one more hidden layers and an output layer. Layers are connected in acyclic graph. Each layer is made up of computational elements called **neurons**. Neurons between two adjacent layers are pairwise connected, but neurons within one layer share no connection. No direct connection exists between input and output layer.

Inputs are fed into the neurons making up the input layer. The outputs produced by this layer are weighted and passed simultaneously to the first hidden layer. This hidden layer outputs are again weighted and sent to an another hidden layer and so on. It is arbitrary how many hidden layers there should be. The weighted outputs of the last hidden layer are sent to the output layer, where the prediction for the given tuples will be produced.

Neurons in the input layer are **input units**. Neurons in the hidden layers and the output layers are called **neurodes** or sometimes referred to as **output units**. The number of output units are not necessarily equal number of input units. There can be more or less number of hidden units than number of input or output units. Each output unit applies a nonlinear (activation) function to its input. The *activation function* will be described in section 2.5.6.

In a traditional MFNN, all neurons in layer j are connected to each neuron in layer k, for all layers. Thus we say that the NN is fully connected, as shown in figure 2.4-1.

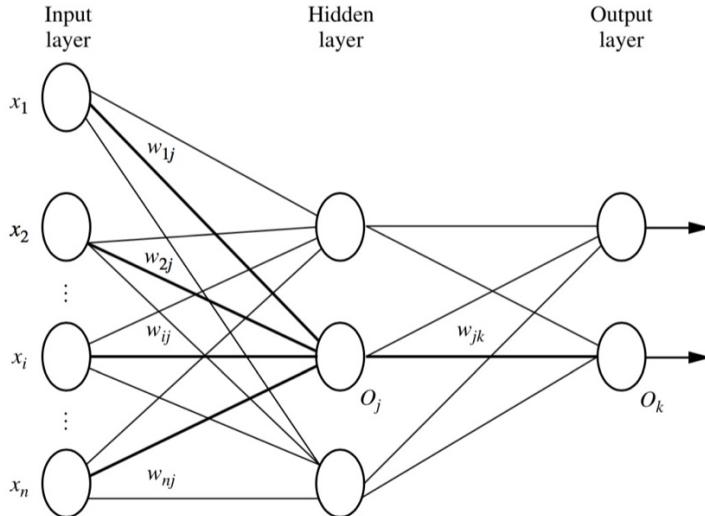


Figure 2.4-1 Fully connected layers. [8]

#### 2.4.2 Back propagation

Back propagation is the learning algorithm of neural networks. It propagates the total error at the output layer in the backward direction. During that procedure, it updates the weights on each connection based on calculations using this error so that in the end the error will be reduced.

The true output of any neuron k is calculated as follows. Each connection from neuron j at layer (l-1) to neuron k at layer l is associated with a weight. The output from neuron j is multiplied by the weight between the connection between j and k, summed across all connections entering neuron k. The result is summed with the bias of neuron k layer l. The weight increases the effect of the connection to a neuron whereas bias raises the importance of all connections to one neuron:

$$a_k^l = f\left(\sum_{j=1}^m w_{jk} a_j^{l-1} + b_k^l\right)$$

Equation 2.4-1

Where  $f$  is the neural activation function,  $a_k^l$  is true output of neuron k at layer l,  $a_j^{l-1}$  is true output of neuron j at layer (l-1),  $w_{jk}$  is the weight of the connection between node j and k,  $b_k^l$  is the bias of node k.

Total error is the summation of error at each output neuron. The error at each neuron is a function of difference between the actual value of that neuron pre-labeled in the training set and the value predicted by the neural networks. It can be represented in the following equation:

$$E = f(y_{o_N}^o - a_{o_N}^o)$$

Equation 2.4-2 [19]

where  $y_{o_N}^o$  is the actual label of a tuple,  $a_{o_N}^o$  is the label of that tuple predicted by the neural networks and  $f$  is any *loss function*. According to many papers and articles

[1,2,3,4,5,6,19,20], the loss function used to calculate total error in neural networks is mean squared error, whereas in convolutional neural networks it is cross-entropy error.

### 2.1.1.1 Weight updating

First, we want to update the current weight  $w_{ij}^l$  at each connection between neuron i and neuron j in the networks by an amount of  $\Delta w_{ij}^l$  in order to reduce this error:

$$w_{ij}^l(\text{new}) = w_{ij}^l(\text{old}) \pm \eta \Delta w_{ij}^l$$

Equation 2.4-3 [19]

where  $\eta$  is the *learning rate*,  $w_{ij}^l$  (old) is the current weight between neuron i and neuron j at layer l,  $w_{ij}^l$  (new) is the updated weight between neuron i and neuron j at layer l and  $\Delta w_{ij}^l$  is the amount of weight to be updated at layer l in order to reduce the total error. The larger the learning rate, the faster the networks will learn. Typically,  $\eta$  is set to 0.5 to avoid premature convergence.

The amount of weight to be updated at each connection is the rate at which the total error changes with respect to the change of weight of each connection. This rate can be calculated by taking the derivative of the total error with respect to weight. However, we have not yet known the output of this derivative, therefore, we need to take a step further by applying the chain rule in order to calculate the derivative value from something that we can possibly know:

$$\Delta w_{ij}^l = \frac{\partial E}{\partial w_{ij}^l} = \frac{\partial E}{\partial a_j^l} * \frac{\partial a_j^l}{\partial z_j^l} * \frac{\partial z_j^l}{\partial w_{ij}^l}$$

Equation 2.4-4 [19]

where  $\Delta w_{ij}^l$  is the amount of weight to adjust between neuron j and neuron i at layer l,  $a_j^l$  is the *true output* of neuron j at layer l,  $z_j^l$  is the *net output* of neuron j at layer l,  $w_{ij}^l$  is the current weight between neuron j and neuron i at layer l. The net output of a neuron is the output before applying activation function. The real output a neuron is the output after applying activation function.

We can now calculate the value of  $\Delta w_{ij}^l$  by calculating the right hand side of equation 2.4-4 separately in two parts,  $\frac{\partial z_j^l}{\partial w_{ij}^l}$  and  $\frac{\partial E}{\partial a_j^l} * \frac{\partial a_j^l}{\partial z_j^l}$  as follows:

The value of  $\frac{\partial z_j^l}{\partial w_{ij}^l}$  can be calculated by taking the derivative of the net output of neuron j at layer l with respect to the the current weight between neuron j and neuron i at layer l. Since the net output of neuron j at layer l is

$$z_j^l = \sum_{i=1}^m w_{ij} a_i^{l-1} + b_j^l$$

Equation 2.4-5

which is equation 2.4-1 before applying activation function, the result from this derivative is  $a_i^{l-1}$ , which is the sum of true output of all neuron i at layer (l-1) that are connected with neuron j at layer l.

We will denote  $\frac{\partial E}{\partial a_j^l} * \frac{\partial a_j^l}{\partial z_j^l}$  as  $\delta_{z_j}^l$ . It is in fact  $\frac{\partial E}{\partial z_j^l}$  which is the rate at which the total error changes with respect to the change of net output of neuron j at layer l. The value of  $\delta_{z_j}^l$  cannot be calculated directly. Further chain rule needs to be applied to this term:

$$\delta_{z_j}^l = \frac{\partial E}{\partial a_j^l} * \frac{\partial a_j^l}{\partial z_j^l} = \frac{\partial E}{\partial z_i^{l+1}} * \frac{\partial z_i^{l+1}}{\partial a_j^l} * \frac{\partial a_j^l}{\partial z_j^l}$$

Equation 2.4-6 [19]

where  $a_j^l$  is the true output of neuron j at layer l,  $z_j^l$  is the net output of neuron j at layer l and  $z_i^{l+1}$  is the net output of neuron j at layer (l+1).

To calculate the terms  $\frac{\partial a_j^l}{\partial z_j^l}$ , we will need to understand about the activation function. This will be explained in section 2.5.6. The activation function of each neuron j is a function of net output at neuron j. Therefore, the derivative of true output with respect to net output can be calculated directly.

The term  $\frac{\partial E}{\partial z_i^{l+1}} * \frac{\partial z_i^{l+1}}{\partial a_j^l}$  is in fact the summarization of the multiplication between the rate at which the total error changes with respect to the change of net output of each neuron i at layer (l+1) that are connected to neuron j at layer l and the weight between neuron j and neuron i.

Therefore,  $\delta_{z_j}^l$  can be written as:

$$\delta_{z_j}^l = \left( \sum_{i=0}^m \delta_{z_i}^{l+1} * w_{ji}^{l+1} \right) * \frac{\partial a_j^l}{\partial z_j^l}$$

Equation 2.4-7

where  $\delta_{z_j}^l$  is the rate at which the total error changes with respect to the change of net output of neuron j at layer l, m is the total number of neurons at layer (l+1) that are connected to neuron j at layer l,  $\delta_{z_i}^{l+1}$  is the rate at which the total error changes with respect to the change of net output of neuron i at layer (l+1),  $w_{ji}^{l+1}$  is the weight between neuron j and neuron i at layer (l+1),  $a_j^l$  is the true output of neuron j at layer l and  $z_j^l$  is the net output of neuron j at layer l.

The rate at the outer most layer (the output layer) can be calculated directly because the total error is a function of true output of all neurons at output layer.

### 2.1.1.2 Bias updating

Next, we want to update the current bias  $b_j^l$  at each neuron j in the networks by an amount of  $\Delta b_j^l$  in order to reduce the total error E:

$$b_j^l(\text{new}) = b_j^l(\text{old}) \pm \eta \Delta b_j^l$$

Equation 2.4-4

Similar to the case of weight updating, the amount of bias to be updated at each connection is the rate at which the total error changes with respect to the change of bias of each neuron j. This rate can be calculated by taking the derivative of the total error with

respect to bias. However, we have not yet known the output of this derivative, therefore, we need to take a step further by applying the chain rule in order to calculate the derivative value from something that we can possibly know:

$$\Delta b_j^l = \frac{\partial E}{\partial b_j^l} = \frac{\partial E}{\partial a_j^l} * \frac{\partial a_j^l}{\partial z_j^l} * \frac{\partial z_j^l}{\partial b_j^l}$$

Equation 2.4-4 [19]

The value of  $\frac{\partial z_j^l}{\partial b_j^l}$  can be calculated by taking the derivative of the net output with respect to the current bias of neuron j at layer l. According to equation 2.4-5, the result from this derivative is 1.

We will denote  $\frac{\partial E}{\partial a_j^l} * \frac{\partial a_j^l}{\partial z_j^l}$  as  $\delta_{z_j}^l$ . Details on how to calculate  $\delta_{z_j}^l$  has been discussed in Section 2.4.3.1.

#### 2.4.3.1 Conclusion

The above equations show that the networks can back-propagate the error rate from the outer most layer (output layer) to the inner most layer (input layer). At the same time, it uses that error rate to update weight at each connection in the networks in order to reduce the total error.

### 2.5 Convolutional neural networks (CNN)

#### 2.5.3 Overviews

CNN is a “state-of-the-art technique for image recognition” [5]. It is a multilayer neural network (as illustrated in figure 2.5-1). It consists of one or more convolutional layers, followed by pooling layers (sometimes called subsampling layers), ReLU layers, and one or more fully connected layers. Convolutional layers are used for extracting important features from the input images. The features learnt by a convolutional layer are often summarized by a pooling layer. A ReLU layer eliminates negative outputs produced by the pooling layer preceding it. The learnt features are eventually passed into fully connected layers, where each input image is mapped with a suitable output class.

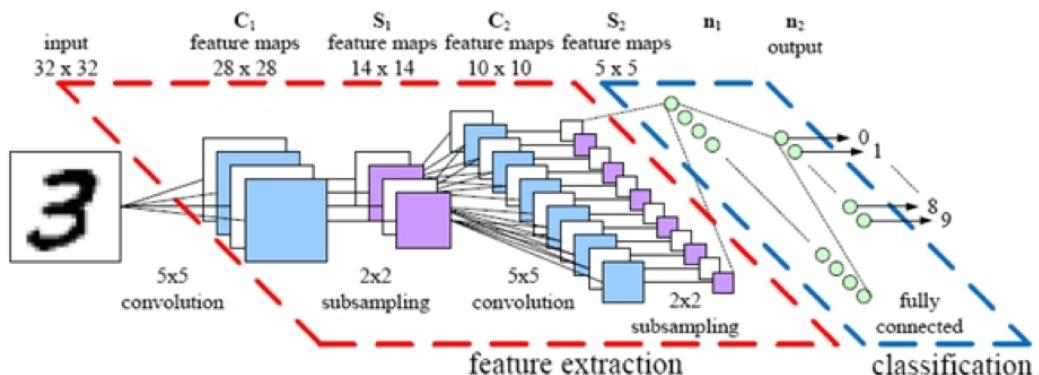


Figure 2.5-1 A convolutional neural networks. [16]

The networks build a sufficient model for the input dataset by imposing a set of forward and back propagations. At each iteration, the CNN model tries to reduce the number of wrong

predictions by updating the weights that are associated with the location of the errors. The updating process iterates until all the weights in the network converge.

#### 2.5.4 Convolutional layer

Convolutional layer extracts the important features of images via image convolution. Four parameters are required in convolutional layer: the number of filters K, receptive field size F, the stride S and the amount of zero padding P. This layer accepts input of volume size  $[Width_{in}][Height_{in}][Dimension_{in}]$  and produces an output of volume size  $[(Width_{in} - F + 2P)/S + 1][(Height_{in} - F + 2P)/S + 1][K]$ .

##### 2.5.4.1 Local receptive field

Think of the input image as a square of  $n \times n$  neurons. The value of each neuron is the corresponding pixel intensity of the input image. We will map a localized region of the input neurons to a neuron in the hidden layer. These localized regions of input neuron are called local receptive fields. The size of a local receptive field is equal to the size of a kernel. More about kernel will be discussed in section 2.5.2.2.

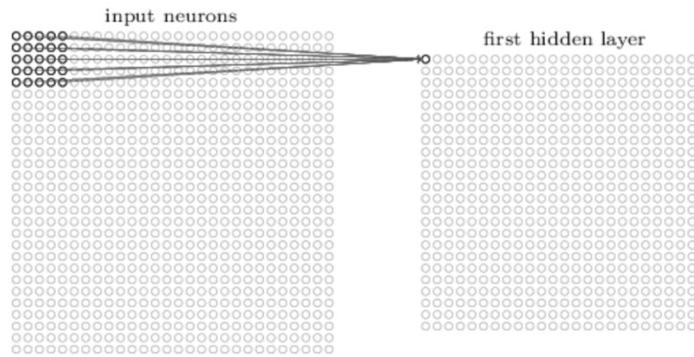


Figure 2.5-2 Local receptive fields [7]

##### 2.5.4.2 Filter (Kernel)

Each mapping from input layer to a hidden neuron at the hidden layer next to it learns a weight  $w_{a,b}^l$  and each corresponding hidden neuron learns a bias  $b_{x,y}^l$ . This weight and bias together make up a kernel (sometimes called a filter). In an  $F \times F$  filter, each of the  $F$  positions holds a weight which is a real value. The bias applies to the filter as a whole. As discussed in section 2.7, the filter is moved around the input image and the output pixel is calculated using the convolution operation. The output at position  $x^{th}, y^{th}$  is a convolutional function between an input neuron at position  $(x - a, y - b)^{th}$ 's value and its weight:

$$a_{x,y}^l = \sigma \left( \sum_k \sum_k w_{a,b}^l a_{x-a,y-b}^{l-1} + b_{x,y}^l \right)$$

Equation 2.5-1

where  $\sigma$  is neural *activation function*,  $a_{x,y}^l$  is the true output value at position  $(x,y)$  at layer 1,  $a_{x-a,y-b}^{l-1}$  is the input pixel value at position  $(x-a, y-b)$  at layer  $(l-1)$ ,  $(a,b)$  is position of a pixel in the kernel,  $b_{x,y}^l$  is the the bias,  $w_{a,b}^l$  the weight of the connection at the  $a^{th}$  row and the  $b^{th}$  column of the kernel and  $k$  is the size of the kernel. More about the activation function will be described in section 2.5.6.

### 2.5.4.3 Feature map and stride

The combination of outputs generated by a hidden layer is called a **feature map**. To calculate the value of the next pixel in the feature map, we will move our kernel k pixels sequentially along width and height. In this case we say a stride length of k is being used. Then we re-apply the convolution function discussed above, until we run out of input neurons.

Figure 2.5-3 shows an example of the convolutional process using two  $3 \times 3 \times 3$  convolutional kernels with stride 2. The first pixel of the first output volume is calculated as follows. The first channel of filter  $W_0$  is convolved with the first  $3 \times 3$  receptive field of the first input channel. The second channel of filter  $W_0$  is convolved with the second  $3 \times 3$  receptive field of the second input channel. The third channel of filter  $W_0$  is convolved with the first  $3 \times 3$  receptive field of the third input channel. Corresponding pixel values in the results from these three convolutions are then summed together. Then the bias  $b_0$  of filter  $W_0$  is added to each output pixel value. The next pixels of first output volume are calculated similarly with one variation: the aforementioned receptive field is slid to the right or down by 2 pixels each time.

Likewise, the pixels of second output volume are calculated with the same fashion but the filter  $W_0$  is replaced by filter  $W_1$ .

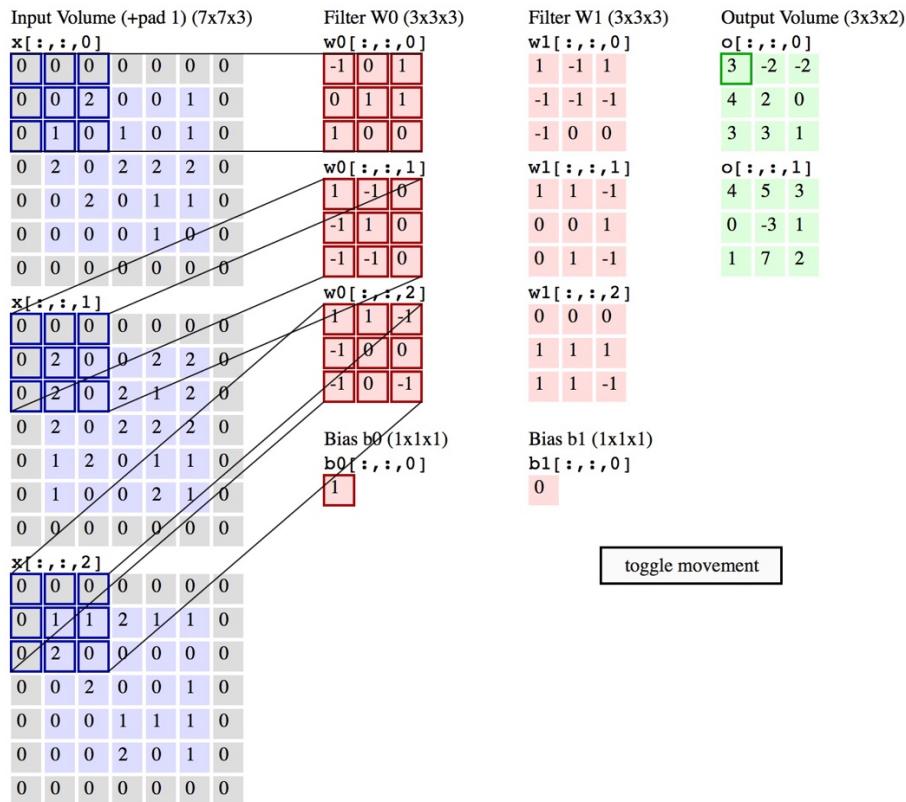


Figure 2.5-3 Convolutional process - An input image of size  $7 \times 7 \times 3$  is filtered by 2 convolutional kernels which create 2 feature maps. [12]

Each feature map can detect one feature at different locations of the same input image. To detect many features from one input image, we need several feature maps. To generate several feature maps, we will need several kernels. A complete convolutional layer consists of several different feature maps.

#### 2.5.4.4 The use of zero-padding

Zero padding is a simple method of padding the borders of the input image in order to control the size of the output image. Padding allows convolution to be performed on pixels at the edges of the input, which otherwise would not have complete receptive fields. Specifically, the relationship among padding size P, input height/length W, output height/length O and filter size K can be described as follow:

$$O = \frac{W - K + 2P}{2} + 1$$

Equation 2.5-2

#### 2.5.5 Pooling layer

A convolutional layer is usually followed by a pooling layer. The function of the pooling layer is to simplify every feature map in the previous layer at every depth slice. Thus it reduces the amount of parameters and computation in the network. As a result, it will reduce overfitting. Recall that overfitting happens when the model is tailored to fit the random noise in one specific sample. By reducing the amount and improving the quality of the data presented to the networks, overfitting can be reduced. A successful pooling layer should be able to preserve critical information while being “invariant to troublesome deformations” [9].

Pooling layer requires two parameters: filter size F and stride S. It accepts input with volume size  $[Width_{in}][Height_{in}][Dimension_{in}]$  and produces an output of volume size  $[(Width_{in} - F)/S + 1][(Height_{in} - F)/S + 1][Dimension_{in}]$ .

There are several widely used pooling techniques. The most well-known one is **max pooling**. In max pooling, we want to know the max value of the pixels in a particular receptive field. This can be described as:

$$a_{x,y}^l = \max_{0 \leq p,q \leq k} \{a_{x+p,y+q}^{l-1}\}$$

Equation 2.5-3

where  $a_{x,y}^l$  is the output value of pooling layer l at position (x,y),  $a_{x+i,y+j}^{l-1}$  is the true output value of convolutional layer (l-1) at position ( $x + i, y + j$ ) with (i,j) is position of a pixel in the filter.

Figure 2.5-4 shows an example of max pooling operation on three 4 x 4 slices. Each slice is filtered by a 2x2 kernel with stride 2 which results in four pooling feature maps, each of size 2 x 2. The first pixel of an output volume is the maximum value of the pixels in the first 2x2 receptive field of the corresponding input volume. The next pixels of that output volume are calculated similarly with one variation: the aforementioned receptive field is slid to the right or to the bottom by 2 pixels each time.

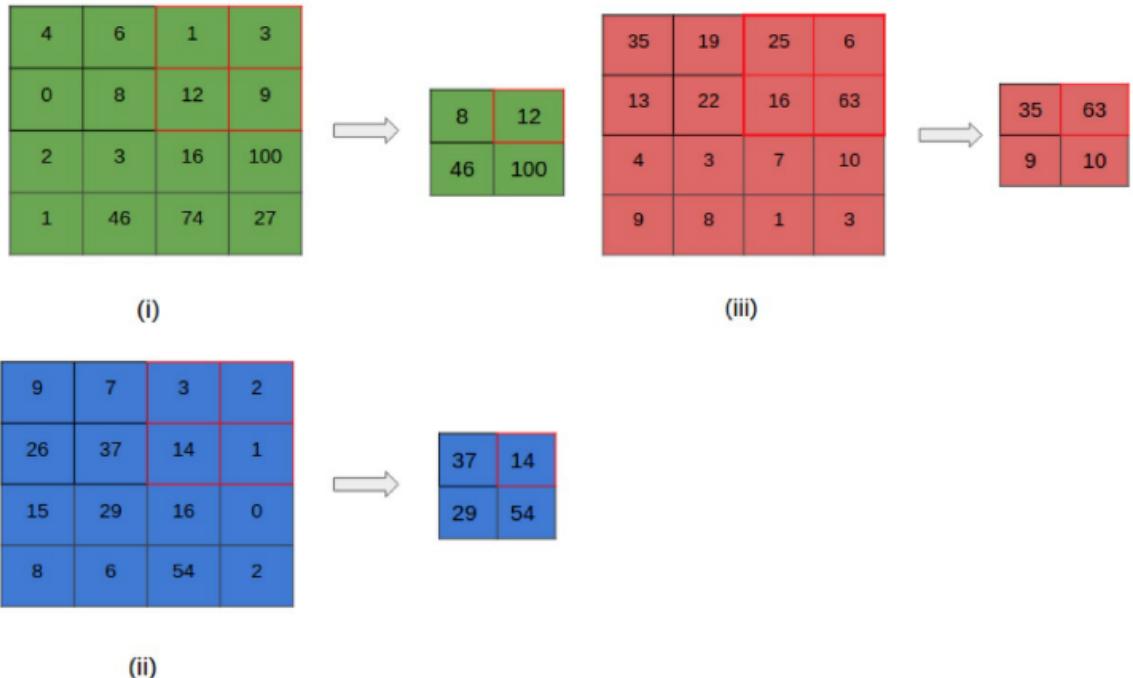


Figure 2.5-4 A max pooling layer [12]

Other popular pooling techniques are  $l_2$  pooling and subsampling. Details about  $l_2$  pooling can be found in Bruna et al. paper [21]. Details about subsampling can be found in Dominik et al. paper [18].

A filter size of 2x2 and a stride of length 2 are often applied with these pooling techniques. According to some research [4,9], there is no best pooling technique. We may need to try applying several pooling techniques to our problem to see which one yields the best result.

### 2.5.6 ReLU non-linearity

Rectified Linear Unit is a modern way to represent an output  $f$  as a function of input  $x$ . It computes the function:

$$f(x) = \max(0, x)$$

Equation 2.5-4

which means the output is 0 when the input is less than 0.

ReLU has two advantages over  $tanh$  function which was traditionally used in MFNN as an output function. Deep learning neural networks with ReLU was found to train significantly faster than networks with tanh unit. This is because saturating nonlinearities are generally slower than non-saturating nonlinearities in terms of training time with gradient descent. Secondly, while tanh function involves expensive computational operations, ReLU can be implemented easily by thresholding a matrix of activations at zero. A convolutional layer is often followed by ReLU.

### 2.5.7 Fully connected layer

The output from the last pooling layer is flattened into 1-D vectors before it is sent to a set of fully connected layers. Fully connected layers are originated from multi-layers feed forward neural networks. Details on MFNN have been presented in section 2.4.

### 2.5.8 Softmax regression function

Softmax regression is a generalized logistic regression model which is used to turn a single neuron into a linear classifier so that the neuron can handle multiple classes. The CNN maps each tuple  $a_i^0$  in the input layer to all neurons in the output layer and assign each output neuron to a probability  $a_i^l$ . The probability  $a_i^l$  is the true output of each neuron k at the output layer given the input tuple  $a_i^0$ , where each output neuron represents a class k.  $a_i^l$  gives the probability that tuple  $a_i^0$  belongs to a class k,

The softmax regression function maps an input to an output in a range between 0 and 1, and all calculated probabilities of different classes must sum to 1. The true output  $a_i^l$  for each neuron k in the output layer can be computed by applying softmax regression function to the net output of every neuron k. Recall that the net output of a neuron is the output before applying softmax function and the real output a neuron is the output after applying softmax function.

For each output neuron, we perform the following calculation:

$$a_i^l = P(y_i^l = j | a_i^0) = \frac{e^{(\sum_{i=1}^m w_{ij} a_i^{l-1} + b_j^l)}}{\sum_{k=1}^K e^{(\sum_{i=1}^m w_{ik} a_i^{l-1} + b_k^l)}} = \frac{e^{z_j^l}}{\sum_{k=1}^K e^{z_k^l}}$$

Equation 2.5-5

where  $y_i^l$  represents an output neuron j where  $j \in K$ ,  $z_j^l$  is net output of neuron j,  $z_k^l$  is net output of each neuron k, where  $k = 1, \dots, K$  and K is the number of classes in output layer.

## 2.6 Learning in a Convolutional neural networks

### 2.6.3 Back propagation core concepts

Back propagation is an iterative process to adjust the learnt weight and bias by comparing the network's prediction with the tuple's known target value. It takes place after each training example is presented to the network. The aim is to minimize the error between the network's prediction and the known target value. The target value can either be a known class label or a continuous value. The weight and bias modification process is done in a backward direction, from softmax through fully connected, pooling and ReLU layers to the convolutional layer. The forward and backward process is repeated until a predefined termination condition occurs. The steps are as follows:

1. Initialize all weights and bias in the network with some small random values and choose a learning rate.
2. For each input tuple, propagate the inputs forward the networks using our initialized weights and bias until we reach the output layer.
3. Calculate error rate and consequently update the weight at each layer.
4. Repeat step 2 and 3 until when one of the following conditions is reached:
  - All  $\Delta w$  in the previous epoch are below some pre-specified threshold
  - A pre-specified number of epochs has reached
  - The percentage of misclassified tuples in the previous run is lower than some predefined threshold

### 2.6.4 Back propagation at the Softmax

Details about how to calculate  $E_{total}$  are presented in Section 2.4.2.

We will look at how the networks adjust its weight using  $E_{total}$  by looking at  $E_{total}$  effect on each layer.

### 2.6.5 Back propagation in the Fully connected layer (Neural networks)

Details about this can be found in Section 2.4.2.

### 2.6.6 Back propagation in the ReLU layer

The output  $a_j^l$  for each neuron can be written as

$$a_j^l = \max(0, a_i^{l-1})$$

Equation 2.6-1

where  $a_i^{l-1}$  is the real output value of neuron i of ReLU layer (l-1).

Equation 2.6-11 shows that there is no weight associated with this layer. Thus this layer has no effect on the total error and the error rate at this layer is equal to the error rate at the fully connected layer.

### 2.6.7 Back propagation in the pooling layer

The output  $a_j^l$  for each neuron can be represented by

$$a_{x,y}^l = \max_{0 \leq p,q \leq k} (a_{x+p,y+q}^{l-1})$$

as suggested by equation 2.5-5. Similar to ReLU layer, the above equation shows that there is no weight associated with the pooling layer. Therefore, pooling layer has no effect on the total error. The error rate at this layer is also equal to the error rate at fully connected layer.

### 2.6.8 Back propagation in the convolutional layer

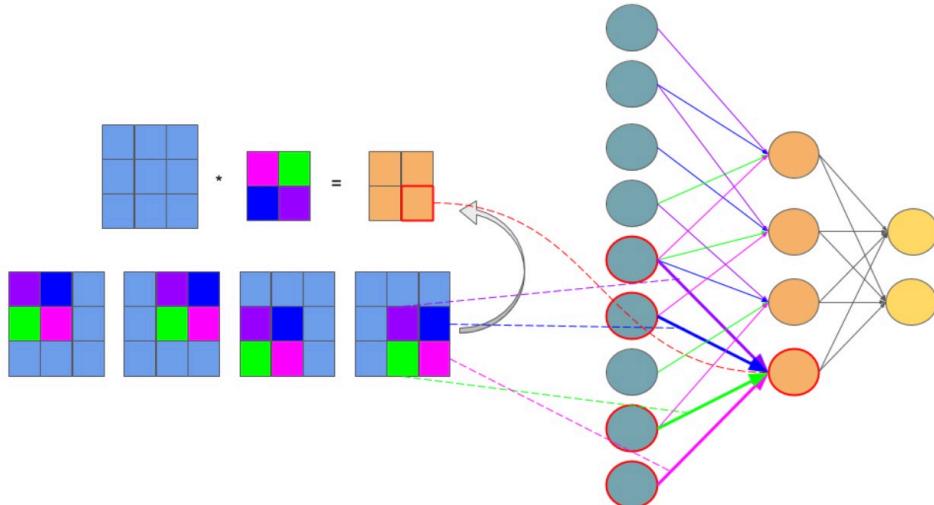


Figure 2.6-1 Feedforward in CNN is identical with convolution operation. [15]

Figure 2.6-1 illustrates that a feedforward in CNN is equivalent to the convolution operation. If we can somehow ‘open’ every component of a CNN in a 1-D vector, each pixel in the input image of a CNN will become a neuron in the input layer of a MFNN. Each pixel in a kernel of the CNN will become a weight of a connection between a neuron in the input layer and a neuron in the layer ahead of it, which can be a hidden layer or an output layer. Each pixel in the output image produced by convolving the input image and a kernel in CNN will become a neuron in the hidden/output layer of a MFNN. And the bias of a kernel will

become the bias of every neuron in the same hidden/output layer. The problem has now become similar to the case of MFNN. The error rate from the outer most layer (output layer) is back-propagated to the inner most layer (input layer). At the same time, the network uses that error rate to update weights at each connection in the networks in order to reduce the total error.

### 2.6.9 What does a CNN learn?

This section briefly discusses about the final CNN and how it represents learning. A CNN represents its learning as adapted filters at convolutional layers and weights at fully connected layers. Each filter at convolutional layer can detect a feature at different locations of the same input image. By learning a variety of selective filters, the networks improve its ability to detect robust features. Vice versa, examining learnt kernels can help us understand what kind of features are being extracted. Examining the kernels also helps us debug the problems with our model (for instance: Are the kernels informative enough?) and improve our results accordingly. Learning at fully connected layers on the other hand is represented with adapted weights at each connection. Those weights are the networks' reference when mapping features representing each object with the output class.

## 2.7 Data Augmentation

### 2.7.3 Concept

Artificially enlarging the dataset with label-preserving transformations is the simplest and best known strategy to reduce overfitting on image data. To each randomly chosen sample image, we will apply  $n$  *random transformations*. Each of these random transformations is a combination of several elementary forms of transformation, which we will describe shortly. The benefit of using transformations that require little computation is that of we will not have to store the pre-processed image on the disk. Image transformation contains two major approaches: point operators (sometimes called 1-to-1 pixel transforms) and neighborhood (or area-based) operators. In point operators, each output pixel value is strictly a function of the corresponding input pixel value. Brightness and contrast adjustments are two examples of such transformation. Techniques like convolution, which we have discussed in part 2.2, are not 1-to-1 transforms.

### 2.7.4 Methods

#### 2.7.4.1 Brightness adjustment

We add or subtract a constant amount of light to all input pixel in order to change an image brightness, as suggested in the following function:

$$g(i,j) = f(i,j) + \beta$$

Equation 2.7-1

where  $f(i,j)$  is the pixel located in the  $i$ -th row and  $j$ -th column of the input image,  $g(i,j)$  is the pixel located in the  $i$ -th row and  $j$ -th column of the output image and  $\beta$  is a bias parameter which is used to control the image brightness.

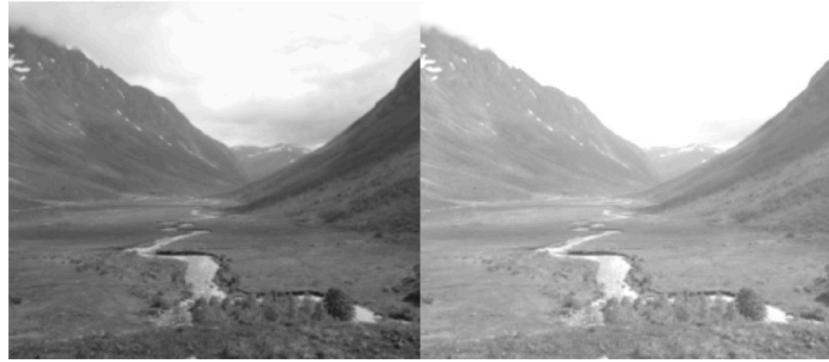


Figure 2.7-1 Brightness adjustment. [14]

#### 2.7.4.2 Contrast adjustment

Contrast is the difference between the maximum and the minimum pixel intensity of an image. To change the contrast of an image, we change the range of the luminance value presented in the input pixels. It is mathematically suggested by the following function:

$$g(i,j) = \alpha f(i,j)$$

Equation 2.7-2

where  $f(i,j)$  is the pixel located in the  $i$ -th row and  $j$ -th column of the input image,  $g(i,j)$  is the pixel located in the  $i$ -th row and  $j$ -th column of the output image and  $\alpha$  is a weight parameter which is used to control the image contrast. See the discussion of histogram adjustment in Section 2.1.2 for more information.



Figure 2.7-2 Contrast adjustment. [14]

We can combine the brightness and contrast control in a single operation. It is mathematically represented as:

$$g(i,j) = \alpha f(i,j) + \beta$$

Equation 2.7-3

#### 2.7.4.3 Scaling

The purpose of scaling is to generate a new image with different resolution. Details can be found in upsampling and downsampling methods in section 2.1.2.

#### 2.7.4.4 Summary

Artificially enlarging the dataset using the combination of these three forms of image transformation in image pre-processing has two extra benefits. Firstly, it allows the network

to “look at” the seed image at different perspectives. Secondly, it allows the CNN model to work properly, because CNN requires a large set of training data. Notice that data augmentation is different from *image pre-processing*. Image pre-processing processes the images so that they all meet some pre-defined standards whereas data augmentation artificially enlarges the dataset by applying random transformations to each pre-processed image.

## 2.8 Model combination

Combination of models is a very effective way to reduce testing errors. At the same time, it significantly increases the number of networks parameters and thus computers processing speed. Dropout is a very efficient method for simulating model combination. Dropout sets the output of each hidden layer of a network to zero with the probability of 0.5. Propagation and back propagation processes will not consider the ‘dropout neurons’ into their calculations. The described process is repeated several times. At each time, a different set of outputs from hidden layers are set to zero. The network’s prediction of a tuple is remains the same regarding the change of the dropout neurons. By performing these presented steps, dropout can simulate the combination of several neural networks.

When dropout is used, it will take more time for a neuron to learn more robust features in order to make predictions, since it can no longer rely on the existence of other neurons. Therefore, it will take more time for the network to converge.

## 2.9 GPU Concepts

The enormous amount of input data required by deep learning may considerably increase computation time. This problem can be overcome by spreading and training the networks across processing units. There are two types of processing units: central processing unit (CPU) and graphics processing unit (GPUs).

In comparison to CPU, GPUs are far more powerful and efficient in parallel computing. They can be used to train far larger training sets in considerably less time. Current GPUs support cross-GPU parallelization that allows GPUs to read and write into one another’s memory directly. Even though each GPU has limited memory, which may restrict the size of networks to be trained using one, a GPU can still distribute the network’s size across multiple units.

Recent deep learning toolkits are mostly developed based on CUDA GPU-support library. This library only supports NVIDIA GPU card with *compute capability*  $\geq 3.0$ . Compute capacity is a number that defines general specifications and available features of a GPU card. Details about general specifications and available features associated with each compute capacity value can be found in CUDA toolkit documentation [24]

## 2.10 CNN Software Frameworks

Table 2.10-1 [21,22,23] shows a comparison among different currently popular frameworks. All of the frameworks in the table support parallelism through GPU mode using CUDA library. They also have an ability to produce a series of matrix operations (computation graph) on which automatic differentiation can be performed. With automatic differentiation, one does not have to hand-code a new variation of back propagation each time a new arrangement of the CNN is made.

<b>Framework</b>	<b>Base language</b>	<b>API</b>	<b>Single GPU execution speed</b>	<b>Ready-to-use low-level operators for writing new models</b>	<b>GPU memory for training large models</b>	<b>Ease to use</b>
<b>Tensorflow</b>	Python and C++	- Python and C/C++ - Mathematical operations are supported with numpy	Slower than other frameworks	Fairly good	Not so good	- Sample code and tutorials available
<b>Caffe</b>	C++, Python	C++, command line, Python, MATLAB	Slower than other frameworks	Fairly good	Better than Torch	- Sample code and to tutorials are somewhat confusing because different versions are developed by different people - Code might not need to be written to train models
<b>Theano</b>	Python	- Python - Mathematical operations are supported with numpy	Faster than Caffe and Tensorflow	Many basic operations	Great	- Sample code and tutorials available - Error messages are difficult to understand, therefore can be unhelpful
<b>MXNET</b>	C++, Python, Julia, Matlab, R, Scala	C++, Python, Julia, Matlab, JavaScript, R, Scala	Faster than Caffe and Tensorflow	Very few	Excellent	- Sample code and to tutorials are somewhat confusing because different versions are developed by different people
<b>Torch</b>	Lua	Lua	Faster than Caffe and Tensorflow	Many basic operations	Fair	- Easy to set up - Error messages are helpful

Table 0-1 Comparison among different frameworks

Each framework, however, has some tradeoffs that we will have to consider before making a decision on which one to use. Theano supports the *numpy* library for mathematical operations, provides considerably fast single GPU speed, great GPU memory support for training large models and many basic operations. However, its error messages are difficult to understand. MXNET is the best in terms of GPU memory. However, it reportedly has confusing tutorials and a poor set of ready-to-use low-level operators. Torch has the best ease of use, many basic operations and compromising GPU speed and memory, but it uses the less well-known Lua programming language as its API. Caffe and Tensorflow are the most widely used frameworks recently. Caffe allows us to train models with little modification to its existing models. Nevertheless, it has supposedly confusing tutorials, slower GPU speed than other frameworks and it does not have *numpy* support for mathematical operations. Tensorflow does not have very good GPU memory and GPU speed but it has a fairly good set of ready-to-use low-level operators and sample tutorials. For this project, we consider experimenting Caffe and Tensorflow as they have a balanced compromise among different aspects and appear to be adopted by most developers.

## 2.11 Related research

### 2.11.3 Research using CNN to classify images

CNN has proved to be very efficient in object recognition. A. Krizhevsky et al. (2012) successfully modeled a deep CNN “to classify the 1.2 million high-resolution images in the ImageNet [...] into the 1000 different classes” with “top-1 and top-5 error rates of 37.5% and 17.0%”. The networks contained five convolutional layers, three max pooling layers, three fully connected layers and a 1000-way softmax. Data augmentation, overlap pooling and dropout were used to reduce data overfitting. To improve the processing speed, the networks were split into different parts, which were trained on multiple GPUs [1].

Hokuto et al. (2014) developed a food detection and recognition deep CNN trained from 20,000 samples of food items. The networks consisted of two convolutional layers, one ReLU layer and was able to detect up to 93.8% and recognize up to 72.39% of testing food items [5].

### 2.11.4 Variations in algorithms in CNN used for classifying fine-grained objects

Krizhevsky et al. (2012) has taken a step further to the traditional pooling. Suppose a pooling layer consists of a grid of pooling units, each summarizes a neighborhood of size  $z \times z$  and stride  $s$ . Traditionally, we set  $z = s$ , where we obtained non overlap pooling. Now we will set  $s < z$  to obtain overlap pooling. A. Krizhevsky et al. has pointed out this is a more effective pooling technique as it reduces the top-1 error rate by 0.4% and top-5 error rate by 0.3% [1].

Jonathan et al. (2014) exploited deep CNN in fine-grained object recognition. The objective was to identify different car models. The networks were built by adapting the model from A. Krizhevsky et al. with little variation. A deep CNN model consists of two convolutional layers, three fully connected layers and a softmax loss were used to extract useful features from the seed image. The seed image then is used to retrieved its nearest neighbors (those that has the same pose with it). Only parts with highest energy detected from this seed image and its neighbors are chosen because they are likely to be important when describing the seed image. Learnt features obtained earlier from CNN are then pooled in the regions of each selected part. Those regions are said to describe critical parts for the class where seed image belongs. This networks were able to categorize testing data with accuracy up to 73.9%. However, the described technique is only useful for recognizing pictures with the same pose [4].

Aäron et al (2015) attempted to build a CNN to classify grayscale 30000 images of plankton into one of 121 classes. Input images were preprocessed in by downsampling. 300 CNN models were trained and several of them were combined to improve the final accuracy. A significant variation made when training the model was to exploit the viewpoints in pooling. One example of the models that works well consisted of 10 convolutional layers, 4 pooling layers and 3 fully connected layers. Models were trained on the NVIDIA GPUs using various overfitting-reducing techniques: data argumentation, ReLU, dropout and overlap pooling. The best models had an accuracy of 82% on the testing set and top 5% accuracy of 98% [3].

Sander et al. (2015) built a deep CNN to measure approximately 900,000 galaxy morphology by exploiting viewpoints in pooling. After preprocessing and data augmentation, viewpoints are extracted by applying the combination of flipping, rotating, cropping to the input image. Every viewpoint is then presented to the same convolutional architecture in a separated path. The resulting feature maps from each viewpoint are first concatenated in to a single vector, then processed by a set of fully connected layer to obtain predictions. The benefit of exploiting viewpoints in pooling is that it allows the network to “look at” the image at different angles. The model was claimed to “reproduce their consensus with near-perfect accuracy ( $> 99\%$ ) for most questions” in Galaxy Challenge Contest 2015. Techniques discussed in A. Krizhesy et al. were also applied to reduce data overfitting [2].

## Chapter 3

### Design and Methodology

In this section, we will describe our approach to developing a valid model for sugarcane grading using ground-level images. We will first discuss the experimental set up. We will then discuss the successive processing steps used to obtain a set of predictive probabilities for each input image. The successive steps consist of the following: data preprocessing, data argumentation, viewpoint extraction, convolutional neural network experimental design and model evaluation, as shown in figure 3-1.

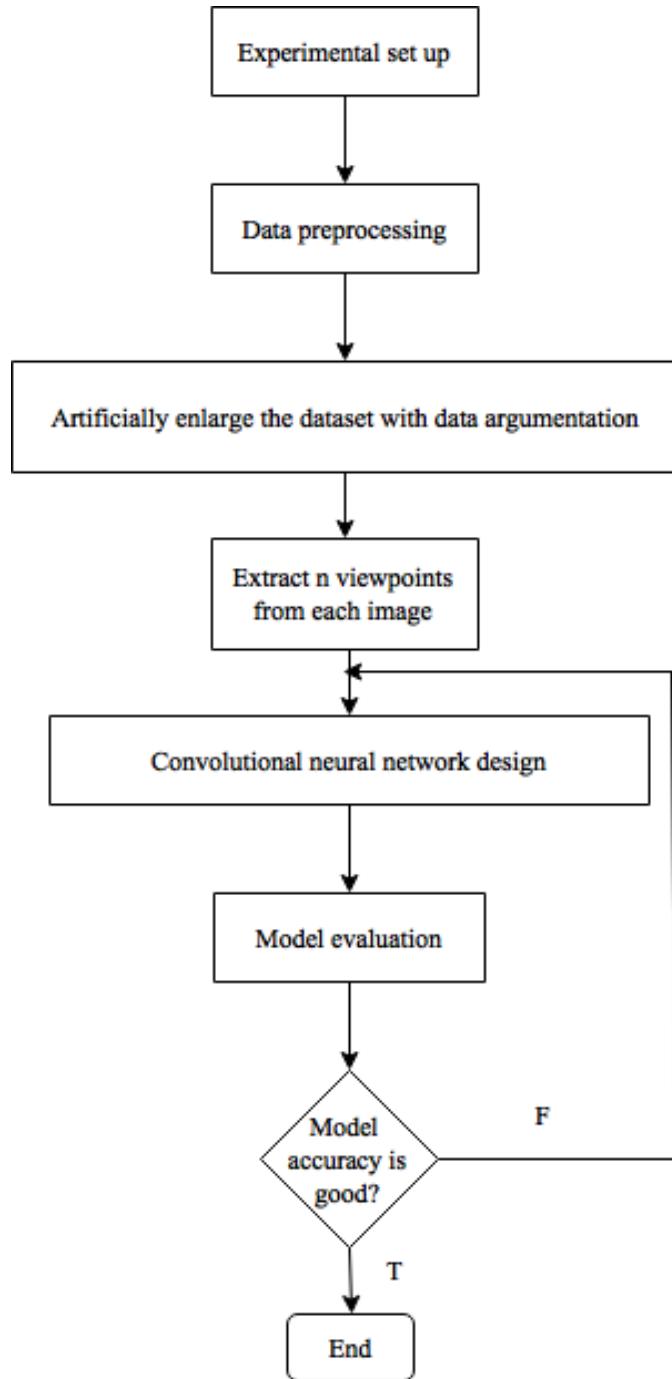


Figure 3-0-1 Flowchart with the major stages of the work

### 3.1 Experimental set up

#### 3.1.1 Gathering data

The dataset consists of sugarcane field images taken from ground level and is provided by Mitrphol company. As suggested by other research, a minimum amount of 1000 pre-labeled samples in the dataset is required in order to train the convolutional neural networks. We have been provided with approximately 1700 pre-labeled image examples, which are divided into 4 growing seasons of sugarcane. We will use them to develop some simple models so as to evaluate the use of different frameworks.

#### 3.1.2 Plan on experimenting different frameworks

As mentioned in Section 2.10, we consider experimenting Caffe, Tensorflow and Torch as they have a balanced compromise among different aspects and appear to be adopted by most developers. In this section, we will discuss our plan to study the use of the two aforementioned frameworks. Then we will evaluate the usability of each framework based on the following criteria:

**The ease of the framework installation:** We can evaluate the ease of installation by answering the following questions. Is the documentation for installing the framework easy to follow? Does the framework require many other toolkits to be installed together with it? And if yes, is the installation of the supporting toolkits are complicated?

**The ease of following the framework documentation:** The documentation must provide instructions that are easy to follow. It must also contain understandable explanations of all operations that the framework provides.

**The ease of constructing the network:** A good framework should provide a good set of ready-to-use low-level operators for writing new models. It should also allow us to modify the networks' structure easily.

**Performance:** A good framework must support parallelism through GPU mode, which will allow us to train the networks with a reasonable amount of time.

**The availability and accessibility of sample code and tutorials:** Sample code and tutorials are important for us to understand how the framework works. If there are too little sample code and tutorials available, we might have difficulty in learning and modifying code in the framework.

**The ease of understanding error messages from the framework:** Error messages have to be helpful and easy to understand.

#### 3.1.2.1 Caffe

##### 3.1.2.1.1 Installation

Before installing Caffe, we will first need to install its dependencies. Caffe has some compulsory dependencies as follows. CUDA library is required to support GPU mode. BLAS library is required to support vector computation. Since Caffe base language is C++, Boost package is also required to support its C++ library. And Python is required to support its interface.

Besides these compulsory dependencies, Caffe has some optional dependencies. Caffe optional dependencies are OpenCV for image processing and cuDNN for GPU acceleration.

We will then install Caffe according to instructions on Caffe documentation [27].

### 3.1.2.1.2 Testing plan

In order to evaluate this framework, we plan to modify the imagenet tutorial in Caffe documentation to make the model trained on our sugarcane image.

The first step is to split our image dataset into two parts, one of which is the training set, the other is the testing set. There are three splitting strategies as described in Section 2.3.2. We will try the ‘hold out’ strategy because it is the simplest and most widely used.

After having the training and testing data all set, we will then refine the sample code so that it is able to read all images in each dataset and associate them with a label. To do this, we will have to create a text file for each dataset. Each line in the text file is a directory to an image in the dataset and is followed by its label, as described in Section 3.2.

The next step is to inform the *create\_imagenet.sh* file about the directory to the new dataset. To do this we will need to modify all arguments in that file that refers to one of the following: the training set directory, the testing set directory, the training set text file or the testing set text file. When we run the *create\_imagenet.sh* file, it will generate a training *leveldb* and testing *leveldb* directories. Caffe will work with these *leveldb* directories instead.

We will then need to inform *make\_imagenet\_mean.sh* about our new *leveldb* directories. The file *make\_imagenet\_mean.sh* when being compile will create a file name *imagenet\_mean.binaryproto*. Caffe uses this file to subtract the image mean value from each image in order to normalize our inputs. This step is probably irrelevant to our problem since we want to mimic the photos taken in different conditions with different mobile phones. Therefore, we may want to find a way to set the image mean value to zero.

The final step is to notify each of these following files: *solver.prototxt*, *train\_val.prototxt* and *deploy.prototxt* on the newly created *leveldb* directories and *imagenet\_mean.binaryproto*.

The file *solver.prototxt* keeps information about how we will use our dataset to train and test the CNN. One example is the number of iteration for the propagation and back-propagation cycle. The *train\_val.prototxt* is where data argumentation is performed. The file *deploy.prototxt* defines the network structure. This is where the organisation of convolutional, pooling, ReLU and fully connected layers are defined. We plan to play around with this file in order to see how easily can we modify the networks structure using this framework.

We will assess the usability of the framework using the criteria specified earlier in this section based on how much trouble we will encounter during the experiment. Then we will conclude which framework is better for our experiment.

### 3.1.2.2 Tensorflow

#### 3.1.2.2.1 Installation

Before installing Tensorflow, we will need to set up a few things. First, we will need to install Python. Details on how to install Python can be found in Python documentation [28]. Next, we will need to download and install CUDA toolkit in order to use Tensorflow CUDA version. Details can be found in CUDA installation documentation [29]. Finally, we can install Tensorflow on the machine. Different ways of installing Tensorflow is supported. In our case, we will try to install it via one of the installation pipes called Virtualenv with GPU enabled mode [26], because it allows us to install TensorFlow in its own directory without affecting any existing Python programs on our machine.

### **3.1.2.2.2 Testing plan**

In order to evaluate this framework, we plan to refine the code from Tensorflow documentation on ‘how to recognize hand-written digits from digital images in the MNIST data-set’ to build a simple model that suits our problem. The code refinement are as follows. First, the example code attempted to read images and labels of binary format, which is not our case. We will need to read all images in the dataset and associate each with a label. Details on how we did this will be explained in Section 3.2.

We will reuse the next parts of the example code, with some modifications to fit the refinement we made at the beginning. Each time the system read an image, it will perform on this image a set of random transformations to create  $n$  new distorted images. The distorted images will inherit their labels from the original image. The original image and the distorted images will then be stored in a batch together with their labels. There is a finite number of batches, each of which stores a finite number of training images. The CNN is initialized with random weights and bias. At each iteration, a new batch is selected.

However, at this point, we want to try extracting a set of viewpoints from each image in the batch and present each view point to the same convolutional architecture in a separate path, as suggested by Sander et al. (2015) [2]. Since the example code did not attempt do this, we need to modify it to fit our case. For each image in the selected batch, we will extract a set of viewpoints and put them in an another, separate batch. We will then present this new batch to the same convolutional architecture, but we will concatenate the results from the last ReLU layers of all convolutional architecture before sending them to the fully connected layers, where each input image is mapped with a suitable output class. Finally, Tensorflow provides a back-propagation method that allows all initial weights and bias in the networks to be updated so as to minimize the error rate within a single call.

We will assess the usability of the framework using the criteria specified earlier in this section based on how much trouble we will encounter during the experiment.

### **3.1.2.3 Torch**

Based on our experiments with Framework as described in Section 4.1, we have decided to use Torch in our study.

#### **3.1.2.3.1 Installation**

To install Torch, we followed the instructions from Torch documentation. Torch and all of its dependencies would be installed automatically via the installation commands provided in the documentation.

#### **3.1.2.3.2 Testing plan**

To evaluable Torch framework, we modified code from CIFAR-10 dataset classification tutorial to fit our problem. Specifically, we first added code to preprocess the raw images. The image preprocessing included image cropping and brightness/contrast adjustment. We then added code for generating a training set and a testing set in t7 format from raw images. The raw images were in either png, jpg or jpeg format and were stored in different directories depending on the crop’s health and the crop’s growing season that they represented. We also added code to interpret the labels from image naming convention to number convention. Finally, we added code to save the trained models and to use the models on any testing set.

### 3.1.3 Computer resources

We need a powerful GPU card that supports CUDA library to train the CNN. CUDA library documentation recommends any NVIDIA GPU card with compute capacity greater than 2, but the most powerful are some models of the NVIDIA Tesla and any model of the NVIDIA Geforce Titan [24]. For our main experiments, we plan to use the KMUTT Innosoft high performance computing service to train our CNN [25]. The Innosoft server hosts a NVIDIA Tesla K10 card. This card has a compute capacity of 3.0 and is thus suitable for the experiment.

Tensorflow provides *tf.device* method and Caffe provides *caffe.set\_device(gpu\_id)* method. Both of these methods allow us to specify the remote GPU server that we would like to train our networks on.

### 3.1.4 Final development environment

We will develop our project on Torch framework using the K10 Tesla GPU card installed on KMUTT HPC server's virtual machine. This virtual machine resides in KMUTT internal network. We can access to this machine remotely by first VPNing to the KMUTT internal network with OpenVPN, then logging in to the virtual machine using ssh protocol as follow:

```
ssh superuser@10.35.50.52
```

where superuser is our username and 10.35.50.52 is the IP address of the virtual machine.

### 3.1.5 Lua programming language

Lua is a simple and free yet powerful scripting language developed by Pontifical Catholic University of Rio de Janeiro in Brazil. It supports procedural programming, object-oriented programming, functional programming, data-driven programming, and data description. Lua has now been used in many industrial applications including Adobe's Photoshop Lightroom. Below is an example code of a for loop written in lua:

```
tbl = {"a", "b", "c"}  
for key, value in ipairs(tbl)do  
    print(key, value)  
end
```

The output of the above code would be:

```
1      a  
2      b  
3      c
```

More details on lua programming language can be found in Lua documentation [30].

## 3.2 Data preprocessing

### 3.2.1 Labeling the dataset

First, we made sure that every image was associated with a label and all labels could be automatically interpreted by our system. When we began experimenting with the example dataset, images were stored in the local machine and each of them was labeled by its name. We used this example dataset to experiment with Tensorflow and Torch, as described below.

**Tensorflow:** We handled the example dataset with Tensorflow as follows. We first labeled each file with a number in the range [0,2] according to its name, with 0 being **poor**, 1 being **medium** and 2 being **good**. We then kept all information of the images in the dataset in a text file, where each line was a directory to an image followed by its label. Our networks

could look at this file in order to locate each image and identify its label. Finally, if we looked into the output layer of the CNN, we would see this layer contains three output neurons, each represented a class. Our final step was thus to encode decimal labels into binary labels where each digit of a binary label represented a class value.

**Torch:** With Torch, we read each folder name and imposed the label to all images contained within each folder. The label was set to 0 if it is “good”, 1 if it is “medium” and 2 if it is “poor”.

In the real dataset, the images were also stored in the virtual machine and each of them was labeled by its name. We experimented this dataset using Torch framework.

### 3.2.2 Pre-image processing

Next, we artificially increased the number of each experimental image by cropping different parts of it before sending them to the input layer of the CNN. We then standardize this new set of images by resizing them into a standardized square shape without keeping the aspect ratio constant. Resizing images speeds up the training process with little or no effect on the accuracy [2]. All of our experimental frameworks provided the functions to perform these operations easily.

### 3.3 Data argumentation

To expand the training set size, we will need to artificially increase the number of the training samples. Each training sample is randomly distorted into  $n$  forms. The steps are shown in figure 3.3-1. However, in order to mimic the photos taken in different conditions with different mobile phones, they cannot be extremely distorted.

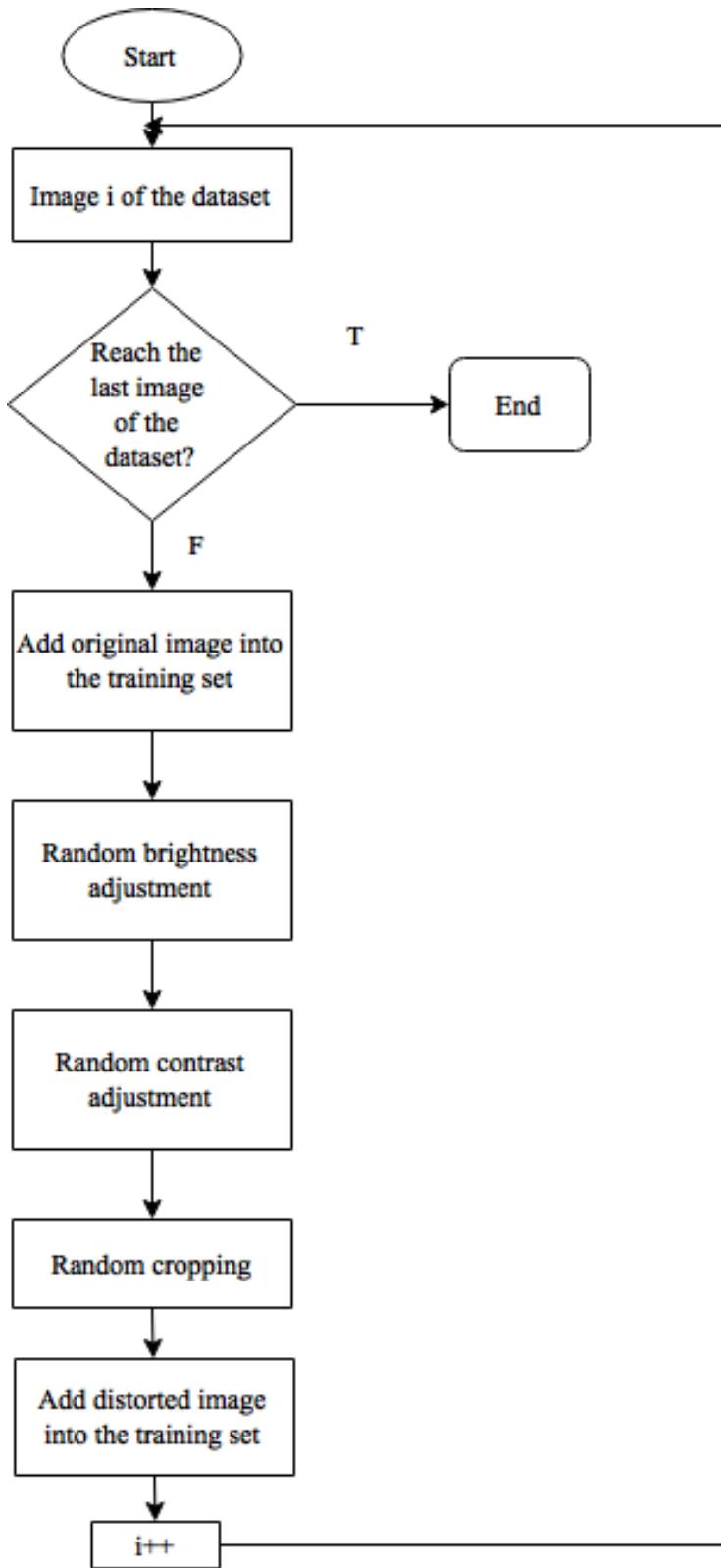


Figure 3.3-1 Data argumentation steps

Each distortion method is a combination of the several elementary forms of transformations. Details about the mechanics behind each form of transformation were discussed in Section 2.10.

### 3.3.1.1 Brightness and contrast adjustment

We plan to test the brightness and contrast adjustment operations with different input value and print out the resulting image. By doing this, we can look for the range in which the resulting image is most appropriate to human eyes. That is, when the brightness and the contrast of an image are neither too high nor too low. We will then able to choose a random value within this range and use it on different input images.

### 3.3.1.2 Cropping

We will randomly crop an area in the central region of an image for two reasons. Randomly cropping mimics photos of the same object taken by different people, while cropping an area in the central region retains the important information from an image. We plan to crop out from 20-40% and retain from 60-80% the area in the central region of an image.

Tensorflow provides operations that allow us to transform an image within a single call without writing extra code. The operations that we plan to use are:

- `tf.image.adjust_brightness(image, delta)` for adjusting an image brightness
- `tf.image.adjust_contrast(image, delta)` for adjusting an image contrast
- `tf.image.central_crop(image, central_fraction)` for cropping an image
- Details on how to use these operations can be found on Tensorflow documentations [26].

Caffe provides the `transform_param`, where we can set a value of the `contrast_adjustment`, `brightness_adjustment` and `crop` variables to make it do the same job. However, depending on which Caffe version is used, we may have to add some C++ code to the `transform_param` function in order to specify the exact job for each operation.

## 3.4 Viewpoints extraction

After artificially enlarge the dataset with data argumentation, we will extract  $n$  different viewpoints by cropping, adjusting the brightness and contrast of each input image in the new dataset, as shown in figure 3.4-1.

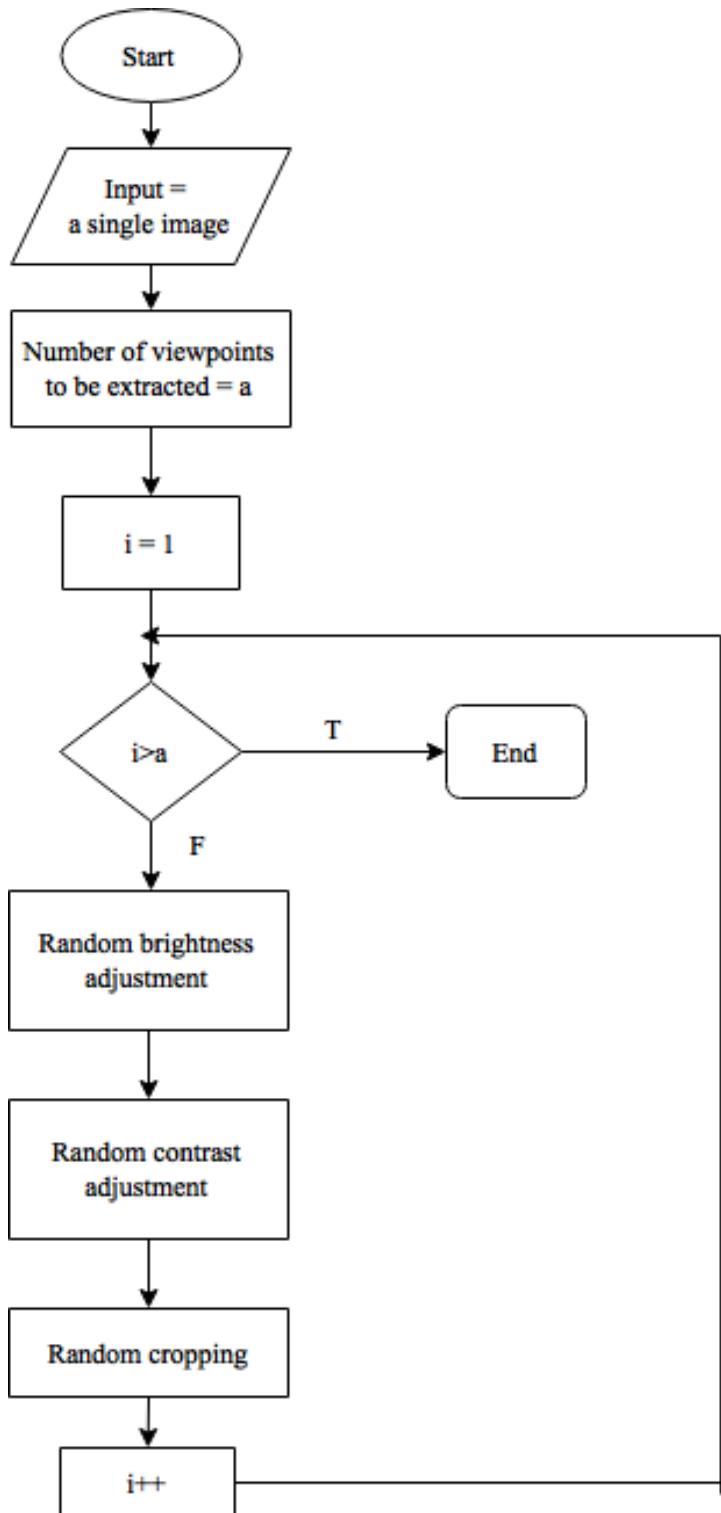


Figure 3.4-1 Viewpoints extraction steps

Every viewpoint from the same image is then presented to the same convolutional architecture in a separate path. The resulting feature maps from each viewpoint are first concatenated into a single vector, then processed by a set of fully connected layers to obtain predictions. An overview of the aforementioned steps is shown in figure 3.4-2.

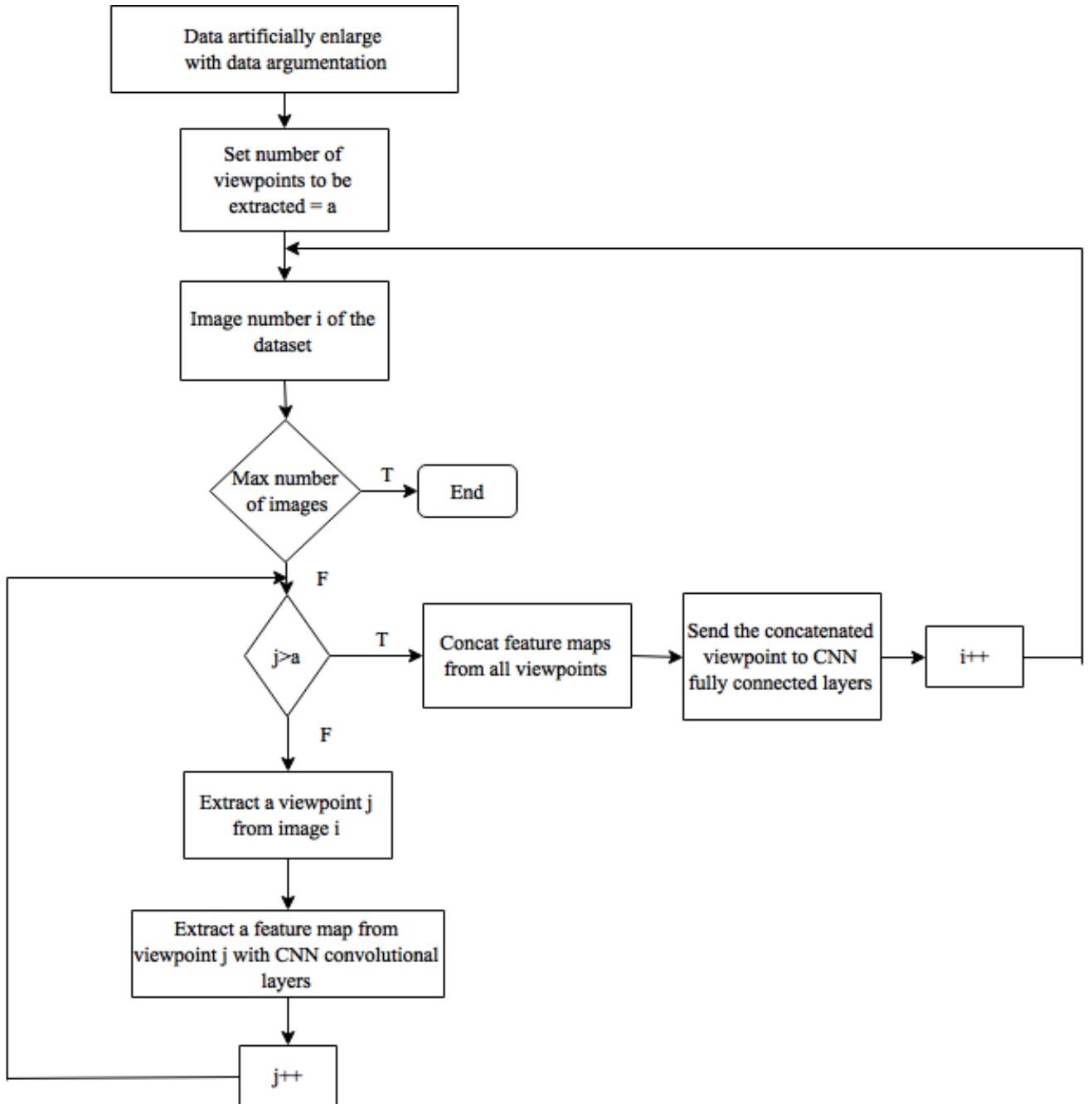


Figure 3.4-2 Flowchart describing the use of viewpoint extraction in constructing a CNN

By theory, viewpoints extraction allows the CNN to ‘look at’ each input image at different perspectives. Thus, exploiting viewpoints extraction in constructing a model should improve its accuracy. To test this theory, we will build our models both with and without viewpoints. We will also try to increase  $n$  in order to see whether it will increase the accuracy.

### 3.5 Experimental designs

#### 3.5.1 Strategy for organizing training and testing set

Before building any model, we will need to divide the given dataset into training and testing sets. We will use the ‘hold out’ strategy because it is the most popular and easy to

implement. We will follow the traditional 2/3 to 1/3 proportion for training versus testing data.

### 3.5.2 Data segregation strategy

It is uncertain whether models trained with datasets segregated by different growing seasons will have better accuracy than a single model trained with the entire dataset. We will begin by building one model for the entire dataset. However, if we have a big enough amount of data on each growing seasons and if time allows, we will also try to develop a model for each season.

### 3.5.3 Network architecture

After choosing an appropriate framework, we will begin to experiment different CNN architectures. We will start with the CNN architecture suggested by the classic article by Krizhevsky et al. (2012). It consists of 8 trainable layers. There are 5 convolutional layers, all of them have square filters with size 11,5,3,3,3 respectively. The first, second and fifth convolutional layers are followed by an overlap 3 by 3 max pooling layer with stride 2. The feature maps from the last max pooling layer are then processed by three fully connected layers. The results from the final fully connected layer are fed to a softmax function, which will produce a prediction over the three classes. [1]

Next, we will modify this architecture by adjusting different levels of independent variables and see whether any of these adjustments will help increase the accuracy.

The simplest adjustment is the size of input images. Krizhevsky et al. (2012) uses square input images of size 224. Larger input images would cost the CNN more training time. In our case, we would like to know the input images size that generates the best accuracy with an optimal amount of time.

The size of the filters and the use of overlap pooling are probably the two least time consuming adjustment because they do not considerably expand the size of a model. However, previous experiments have shown that it is not always the case that they will affect the accuracy. In this experiment, we will first test whether the use of overlap pooling will increase the accuracy. We will then adjust each filter size up to 2 by 2 pixels difference from the original to test whether they have some significant effects to the accuracy.

According to Aaron et al. (2015), viewpoint extraction would increase the accuracy. Nevertheless, viewpoints extraction increases the number of input images and the number of convolutional layers, max pooling layers and ReLU layers by n times, where n is the number of viewpoints extracted [2]. We would like to experiment with viewpoint extraction on our problem to see whether it will improve the prediction capability of a model. We will start by extracting two view points and gradually increase it according to the available time. Because viewpoint extraction is extremely costly to do, we will only continue using it if we see a significant improvement on the accuracy.

Increasing the number of layers of each type would potentially increase the accuracy. However, it will take more time to train a CNN with more layers. We will gradually increase the number of convolutional layers according to the time we have. Since it costs us less time train a network with fewer layers, we would also like to decrease the number of layers of each type and see if it will improve or at least not reduce the accuracy.

Table 3.5-1 summaries the potential testing values for different factors in our experiment. We will then adjust the experiment in the direction where we see improvement on the accuracy.

Size	Segmentation type	Input images		Network configurations			
		Training set	Testing set	Convolutional layers	Pooling layers	ReLU layers	FC layers
Patch size/Stride/#Output channels							
32 x 32	Season: All Class: All	Only original images for all classes	<ul style="list-style-type: none"> <li>- Only original images for all classes</li> <li>- Only original images for all classes</li> <li>- (#Good = #Avg = #Poor)</li> </ul>	C1: 5x5/1/16 C2: 5x5/1/20	P1: 2x2/1/16 P2: 2x2/1/20	R1: Used R2: Used	FC1: 120 neurons/fatten FC1: 84 neurons/fatten FC1: 3 neurons/fatten
32 x 32	Season: All Class: All	<ul style="list-style-type: none"> <li>- Original//Original//Original &amp; 200x200 pixels center crop &amp; 200x200 pixels bottom left crop &amp; 200x200 pixels bottom right crop (normal)</li> <li>- Original//Original//Original &amp; 200x200 pixels center crop &amp; 200x200 pixels bottom left crop &amp; 200x200 pixels bottom right crop (split into 3 batches)</li> <li>- Original//200x200 pixels center crop//Original &amp; 200x200 pixels center crop &amp; 200x200 pixels bottom left crop &amp; 200x200 pixels bottom right crop</li> <li>- 200x200 pixels center crop//200x200 pixels center crop//Original &amp; 200x200 pixels center crop &amp; 200x200 pixels bottom left crop &amp; 200x200 pixels bottom right crop</li> </ul>	<ul style="list-style-type: none"> <li>- Only original images for all classes</li> <li>- Only cropped images for all classes (Crop size: 200 x 200)</li> <li>- Both original and cropped images for all classes (Crop size: 200 x 200)</li> <li>- Similar to the train set</li> </ul>	C1: 5x5/1/16 C2: 5x5/1/20	P1: 2x2/1/16 P2: 2x2/1/20	R1: Used R2: Used	FC1: 120 neurons/fatten FC1: 84 neurons/fatten FC1: 3 neurons/fatten
32 x 32	Season: All Class: All	<ul style="list-style-type: none"> <li>- Both original and cropped images for all classes (Crop size: 200 x 200)</li> <li>- Only cropped images for all classes (Crop size: 200 x 200)</li> </ul>	<ul style="list-style-type: none"> <li>- Only original images for all classes</li> <li>- Only cropped images for all classes (Crop size: 200 x 200)</li> <li>- Both original and cropped images for all classes (Crop size: 200 x 200)</li> </ul>	C1: 5x5/1/16 C2: 5x5/1/20	P1: 2x2/1/16 P2: 2x2/1/20	R1: Used R2: Used	FC1: 120 neurons/fatten FC1: 84 neurons/fatten FC1: 3 neurons/fatten
128 x 128	Season: All Class: All	Both original and cropped images for all classes (Crop size: 200 x 200)	<ul style="list-style-type: none"> <li>- Only original images for all classes</li> <li>- Only cropped images for all classes (Crop size: 200 x 200)</li> </ul>	C1: 5x5/1/16 C2: 5x5/1/20	P1: 2x2/1/16 P2: 2x2/1/20	R1: Used R2: Used	FC1: 120 neurons/fatten FC1: 84 neurons/fatten

Size	Segmentation type	Input images		Network configurations				
		Training set	Testing set	Convolutional layers	Pooling layers	ReLU layers	FC layers	
Patch size/Stride/#Output channels								
Size	Segmentation type	Training set	Testing set	Convolutional layers	Pooling layers	ReLU layers	FC layers	
32 x 32	Season: - All - Medium - Early&Late Class: Good and Avg	- Only original images for class “Good” and “Average”  - Only original images for class “Good” and “Average” (#Good = #Avg)	- Both original and cropped images for all classes (Crop size: 200 x 200)  Only original images for class “Good” and “Average”	C1: 5x5/1/16 C2: 5x5/1/20	P1: 2x2/1/16 P2: 2x2/1/20	R1: Used R2: Used	FC1: 120 neurons/fatten FC1: 84 neurons/fatten FC1: 3 or 2 neurons/fatten	FC1: 3 neurons/fatten
32 x 32	Season: - All - Medium - Early&Late Class: Good and Avg	- Both original and cropped images for class “Good” and “Average” (Crop size: 200 x 200)  - Both original and cropped images for class “Good” and “Average” (Crop size: 200 x 200 & #Good = #Avg)  - Only cropped images for class “Good” and “Average” (Crop size: 200 x 200)	- Only original images for class “Good” and “Average”  - Only cropped images for class “Good” and “Average” (Crop size: 200 x 200)  - Both original and cropped images for class “Good” and “Average” (Crop size: 200 x 200)	C1: 5x5/1/16 C2: 5x5/1/20	P1: 2x2/1/16 P2: 2x2/1/20	R1: Used R2: Used	FC1: 120 neurons/fatten FC1: 84 neurons/fatten FC1: 3 or 2 neurons/fatten	FC1: 120 neurons/fatten
128 x 128	Season: - All - Medium - Early&Late Class: Good and Avg	Only cropped images for class “Good” and “Average” (Crop size: 200 x 200)  - Both original and cropped images for class “Good” and “Average” (Crop size: 200 x 200)	- Only original images for class “Good” and “Average”  - Only cropped images for class “Good” and “Average” (Crop size: 200 x 200)  - Both original and cropped images for class “Good” and “Average” (Crop size: 200 x 200)	C1: 5x5/1/16 C2: 5x5/1/20	P1: 2x2/1/16 P2: 2x2/1/20	R1: Used R2: Used	FC1: 120 neurons/fatten FC1: 84 neurons/fatten FC1: 3 or 2 neurons/fatten	FC1: 120 neurons/fatten
32 x 32	Season: - All - Medium - Early&Late Class: Good and Avg Removed: Vertical images, too small images, images with noises	- Both original and cropped images for class “Good” and “Average” (Crop size: 400 x 400)  - Only cropped images for class “Good” and “Average” (Crop size: 400 x 400)	- Only original images for class “Good” and “Average”  - Only cropped images for class “Good” and “Average” (Crop size: 400 x 400)  - Both original and cropped images for class “Good” and “Average” (Crop size: 400 x 400)	- C1: 5x5/1/16 - C2: 5x5/1/20  - C1: 5x5/1/16 - C2: 5x5/1/20 C3: 3x3/1/32 C4: 3x3/1/50	- P1: 2x2/1/20 - P2: 2x2/1/16  - P1: 2x2/1/20 - P2: 2x2/1/16 P3: None P4: None	- R1: Used R2: Used  - R1: Used R2: Used R3: Used R4: Used	FC1: 120 neurons/fatten FC1: 84 neurons/fatten FC1: 3 or 2 neurons/fatten	FC1: 120 neurons/fatten

Size	Segmentation type	Input images		Network configurations				FC layers
		Training set	Testing set	Convolutional layers	Pooling layers	ReLU layers	Patch size/Stride/#Output channels	
32 x 32	Season: - All - Medium - Early&Late  Class: Good and Avg Removed: Vertical images, too small images, images with noises	Both original and cropped images for class "Good" and "Average" (Crop size: 448 x 448)	Only original images or class "Good" and "Average"	C1: 5x5/1/16 C2: 5x5/1/20	P1: 2x2/1/16 P2: 2x2/1/20	R1: Used R2: Used	FC1: 120 neurons/fatten FC1: 84 neurons/fatten FC1: 3 or 2 neurons/fatten	
32 x 32	Season: - All - Medium - Early&Late  Class: Good and Avg Removed: Vertical images, too small images, images with noises	Only cropped images for class "Good" and "Average" (Crop size: 448 x 448)	Only cropped images for class "Good" and "Average" (Crop size: 448 x 448)	C1: 5x5/1/16 C2: 5x5/1/20	P1: 2x2/1/16 P2: 2x2/1/20	R1: Used R2: Used	FC1: 120 neurons/fatten FC1: 84 neurons/fatten FC1: 3 or 2 neurons/fatten	

Table 3.5-1 A summary of testing values for different factors

### 3.5.4 Results evaluation

In order to evaluate the results, we will calculate the accuracy and the error rate of each model. We will compare the predictions made by a model with the original label of each input image. The accuracy is the percentage of correct prediction over the total number of input images. The error rate is the one minus the accuracy.

For debugging purpose, we will print out both the input label and the label predicted by a model for each input images. An input label and a predicted label at any corresponding position will refer to the same input image.

Below is an example of the actual labels for a batch of three images.

```
[0 0 1  
1 0 0  
0 1 0]
```

Each line contains labelling information for one image. Each digit in a line represents one class. The first digit represents class ‘poor’, the second represents class ‘medium’ and the last represents class ‘good’. When the digit is ‘1’, the class that it refers to is *on*, otherwise it is *off*. Hence in the example above, the first image is good quality, the second is poor quality and the third is medium.

The predicted labels are represented in the same fashion with that of the actual labels. Let’s suppose that our model predicts the labels for the same batch of images as follows:

```
[0 0 1  
0 1 0  
0 0 1]
```

We can interpret from the data in the above example the following. The actual label for the first image in the batch is ‘good’ and it is also correctly classified by the model as ‘good’. The actual label for the second image in the batch is ‘poor’ but it is misclassified by the model as ‘medium’. And the actual label for the last image in the batch is ‘medium’ but it is misclassified by the model as ‘good’.

## Chapter 4

### Results and Discussion

#### 4.1 Frameworks

##### 4.1.1 Caffe

Caffe has many dependency packages, including *CUDA*, *Blas*, *Boost*, *OpenCV*, *cuDNN*, *snappy*, *leveldb*, *gflags*, *glog*, *szip*, *lmbdb*. There was no single command to install Caffe. We had to install each package individually. Because the packages were installed separately, some were located at unexpected places in the computer. This led to the failure of the Caffe *make* command since it could not locate some of the required libraries that existed somewhere in the code.

The versions of some packages were not compatible with that of another. In our case, CUDA 8.0 was not supported by Xcode 8 so we had to downgrade it to Xcode 7. Caffe documentation did not provide these information, we had to look for it in CUDA documentation.

The installation code was not able to compile on MacOS. We had to modify some code according to the instructions in their documentation in order to be able to execute it.

The documentation was not very useful and hard to understand. We followed what it said but we could not find out how to install Caffe.

##### 4.1.2 Tensorflow

We were able to install CPU mode Tensorflow with the commands shown in figure 4.1-1:

```
sudo chown -R $USER /usr/local/lib/python2.7/
pip uninstall protobuf
pip uninstall tensorflow
brew uninstall protobuf
export
TF_BINARY_URL=https://storage.googleapis.com/tensorflow/mac/tensorflow
-0.9.0-py2-none-any.whl
```

Figure 4.1-1 Commands for installing Tensorflow in MacOS

Tensorflow, in general, has a good documentation that is well organized and easy to understand. The installation steps are neat. Tutorial and sample codes are widely available on the Internet. Data argumentation can be performed very easily with Tensorflow. The biggest disadvantage of Tensorflow would be its compute time. When computing with one CPU, it took many hours longer for Tensorflow than for Torch to process the same size training data set with the same number of iterations.

##### 4.1.3 Torch

We were able to install CPU mode Torch with the commands shown in figure 4.1-2:

```

git clone https://github.com/torch/distro.git ~/torch --recursive
cd ~/torch; bash install-deps;
/install.sh
echo ". /Users/phuongpham/torch/install/bin/torch-activate" >
~/.profile
source ~/.profile

```

Figure 4.1-2 Commands for installing Torch in MacOS

The installation of Torch is very simple. The compute time is very fast compared to Tensorflow and the error messages overall are useful. It is also very easy to change the values of different parameters in a Torch model. Torch requires us to write less code to achieve the same thing we want to do in comparison with Tensorflow.

The biggest weakness of Torch is that it uses Lua as an API language. This is not a very popular programming language. Therefore, the learning curve is slow as it is very hard to find example code and tutorials from the Internet. However, this framework is still worth considering because we are not required to write a lot of Lua code.

#### 4.1.4 Some conclusions

We decided to use Torch for implementing our project because it is easy to install and use. Theoretically, Caffe is an ideal choice because this framework is specialized for modeling convolutional neural network. Nevertheless, we were not able to install it on either MacOS or Linux CentOS. Tensorflow, on the other hand, is quite easy to install. However, it is not very easy to modify the network parameters in Tensorflow. Additionally, it takes Tensorflow a relatively long time to read input images. In contrast to Caffe and Tensorflow, Torch is very simple to install and convenient to use. It is easy to modify the network parameters in Torch.

### 4.2 Preliminary results

We did not have the authorization to access to the KMUTT Innosoft high performance computing service until October 27<sup>th</sup> 2016. In the meantime, we did some experiments with some frameworks in CPU mode on MacOS in order to evaluate their usability.

#### 4.2.1 The example dataset

We did not receive the full dataset until November 9<sup>th</sup> 2016. Therefore, all of the experiments for evaluating the frameworks were conducted with the example dataset which we had got earlier. It consists of 31 ground level images of types png and jpg. They are segregated by the sugarcane age into four categories: 1-3 months, 4-6 months, 7-9 months and 10-12 months. Each category contains sample sugarcane images of different qualities: poor, medium and good. Each image is labeled according to the quality of the field which it shows.



Figure 4.2-1 From left to right: Images of poor, medium & good sugarcane from 1-3 months



Figure 4.2-2 From left to right: Images of poor , medium & good sugarcane from 4-6 months



Figure 4.2-3 From left to right: Images of poor , medium & good sugarcane from 7-9 months



Figure 4.2-4 From left to right: Images of poor , medium & good sugarcane from 10-12 months

#### 4.2.2 Training

We have tried to rebuild the model proposed by S. Dieleman et al. [2] for our example dataset using each framework. The summary of this model is as shown in table 4.1-1:

Type	# Features	Filter size	Non-linearity	Max pooling
<b>Convolutional</b>	32	6 x 6	ReLU	Yes
<b>Convolutional</b>	64	5 x 5	ReLU	No
<b>Convolutional</b>	128	3 x 3	ReLU	Yes
<b>Convolutional</b>	128	3 x 3	ReLU	Yes
<b>Fully connected</b>	2048	-	Maxout	-
<b>Fully connected</b>	2048	-	Maxout	-
<b>Fully connected</b>	3	-	Maxout	-

Table 4.2-1 The summary of the model we are going to use to test the frameworks

A network for each framework was built as follows. First, a batch of  $n$  images was randomly picked to form the training set. Next, we set the network to iterate 5 times on each batch. Each iteration consisted of  $n$  cycles of propagation and back-propagation operating on  $n$  images in the batch. At every cycle, the weights were adapted in order to reduce the error rate of the networks. Below are the screenshots of the results for the experiment with Torch and Tensorflow.

#### 4.2.2.1 Tensorflow

For the experiment with Tensorflow, we tried to print out the *training accuracy* for each iteration, as shown in figure 4.2-5. The training accuracy is the percentage of the number of correctly classified images over the total number of images in each training batch. It took Tensorflow 7 minutes to train a model with 24 images.

```
training accuracy: 0.25
training accuracy: 0.45
training accuracy: 0.4
training accuracy: 0.45
training accuracy: 0.25
```

Figure 4.2-5 Testing accuracies with tensorflow

We got some errors when trying to use the trained model on the testing dataset and have not yet been able to fix it.

#### 4.2.2.2 Torch

For the experiment with Torch, we tried to print out the improvement of the training error, as shown in figure 4.2-6. The training error is the summation of error at each output neuron. The error at each neuron is a function of difference between the actual value of that neuron pre-labeled in the training set and the value predicted by the neural networks. Thus the larger the error, the poorer the model performs. Details about training error can be found in Section 2.4.2. In figure 4.2-6, we can see that the training error is decreasing though not fast.

```
# StochasticGradient: training
# current error = 1.0940228035297
# current error = 1.0927206600653
# current error = 1.0914983894763
# current error = 1.090334648861
# current error = 1.0891952305181
# StochasticGradient: you have reached the maximum number of iterations
# training error = 1.0891952305181
```

Figure 4.2-6 Improvement of the error rate with Torch

Next, we tried to observe how the model uses the trained model to make predictions on the testing dataset. The real labels of the testing dataset are shown in figure 4.2-7. Label 1 represents good quality, label 2 represents medium quality and label 3 represent poor quality.

TESTSET				
image	1	label	1	-
image	2	label	1	-
image	3	label	1	-
image	4	label	1	-
image	5	label	1	-
image	6	label	1	-
image	7	label	1	-
image	8	label	2	-
image	9	label	2	-
image	10	label	3	-
image	11	label	3	-
image	12	label	3	-

Figure 4.2-7 True labels for the images in testing set

The prediction made by the model is shown in figure 4.2-8. There are 12 images in the testing set. For each image, we printed out the prediction made by the model for each class. The image belonged to the class which raised the highest value. It took about 40 seconds to train a model with 24 images using Torch.

1	labels	good	-0.00021398761314717	7	labels	good	-0.0025443737717497
	labels	medium	0.008211521802788		labels	medium	0.0074294459114476
	labels	bad	-0.0086658229564656		labels	bad	-0.0067647445697144
2	labels	good	0.0022934795800538	8	labels	good	0.00036068540250998
	labels	medium	0.0081648297388732		labels	medium	0.0075078535722521
	labels	bad	-0.0095301874156718		labels	bad	-0.0078686853041565
3	labels	good	0.0022811226848531	9	labels	good	6.083414597371e-05
	labels	medium	0.008644667874253		labels	medium	0.0078139059479933
	labels	bad	-0.0098279362374528		labels	bad	-0.0078075138673717
4	labels	good	0.0019677975627495	10	labels	good	0.00051837037811793
	labels	medium	0.0081596846664619		labels	medium	0.0072780908183028
	labels	bad	-0.0094185414792694		labels	bad	-0.0077960089479477
5	labels	good	0.0016628820442759	11	labels	good	-0.0004188124820297
	labels	medium	0.0077519025267162		labels	medium	0.0075642269470611
	labels	bad	-0.0091785197793586		labels	bad	-0.0076559181639273
6	labels	good	0.002005672673868	12	labels	good	0.0021683408270065
	labels	medium	0.0084634043863459		labels	medium	0.0085255278799085
	labels	bad	-0.0095155720893275		labels	bad	-0.0097021379810969

Figure 4.2-8 Prediction made to the testing set by the trained model

It is obvious that the predictions are not at all adequate. However, the training used only a handful of images.

### 4.3 Experimental results

#### 4.3.1 The dataset

The real dataset consists of 2281 ground level images of types png and jpg, where 160 images reside in class “poor”. The images are segregated by the sugarcane age into three growing seasons: Early, Mid, and Late. Each category contains sample sugarcane images of different qualities (classes): poor, medium and good. Each image is labeled according to the

quality of the field which it shows. The images were taken by different people and hence are not very standardized. The image size ranges from  $400 \times 298$  pixels to  $3264 \times 1836$  pixels. The average image size is  $1600 \times 1200$  pixels. Table 4.3-1 summarizes the number of images in each class.

The variability might cause problem in learning, but it is realistic for our problem. We plan to apply our successful model to field photos from different perspectives. These photos will be submitted to our system by different farmers. It will be difficult to control what they are doing. Thus, we need our model to perform well regardless of the various possible positions of the camera.

		Growing season			
		Early	Mid	Late	ALL
Class	Good	381	576	184	1141
	Average	289	423	268	980
	Poor	75	54	31	160
	ALL	745	1231	483	<b>2281</b>

Table 4.3-1 The number of images in each class

### 4.3.2 Input images investigation

To understand the effect image processing may have on the accuracy, we trained the same convolutional neural networks with different sets of input images. Table 4.3-2 shows the configuration of the CNN (the Lenet 1) we used for investigating variation of input images. This CNN is adapted from the MNIST data classification problem.

Type	Patch size/ Stride	#Output channels
<b>Convolution (1)</b>	5x5/1	16
<b>ReLU (1)</b>	Used	16
<b>Max pool (1)</b>	2x2/2	16
<b>Convolution (2)</b>	5x5/1	20
<b>ReLU (2)</b>	Used	20
<b>Max pool (2)</b>	2x2/2	20
<b>FC (1)</b>	120 neurons	Flatten
<b>FC (2)</b>	84 neurons	Flatten
<b>FC (3)</b>	3 output classes	Flatten

Table 4.3-2 Lenet 1

Appendix D summarizes the variations of image preprocessing techniques we used to create different sets of input images and their corresponding accuracies. We will explain the important experiments and our findings from them in the sections below.

#### 4.3.2.1 Preliminary experiment - experiments with raw input images

We started by training and testing the Lenet 1 with raw sugarcane images resized into  $32 \times 32$  using the learning rate equals to 0.001 and the number of iterations equals to 15. We trained our network using both the CPU and the GPU. It took the CPU 20 minutes to finish the execution, while it took the GPU only roughly 2.5 seconds to complete the same task. The

resulting accuracy was 53.86% with 43.38% of the true positives in class “good”, 10.48% in class “medium” and 0% in class “poor”.

#### **4.3.2.2 Our hypothesis versus our conclusions from the experiments**

##### **4.3.2.2.1 Classifying the “poor” is impossible due to the un-balanced proportion of images in class “poor”**

First, we tried to artificially increase the number of training images in class “poor” to 640 by cropping different parts of the original poor images so that we created multiple “poor” samples per image. The training images in class “good” and “medium” were kept as original. We tested this hypothesis with two different learning rates: 0.001 and 0.005. Nevertheless, neither case boosted the accuracy. In the first case, the accuracy was equal to 51.38% with 45.48% of the true positives in class “good”, 4.89% in class “medium” and 0.92% in class “poor” (test with only original images). In the second case, the accuracy was equal to 53.73% with 23.32% of the true positives in class “good”, 28.70% in class “medium” and 1.70% in class “poor” (test with only original images). Thus, there is no evidence that low accuracy is due to the un-balanced proportion of images in class “poor”.

In all further experiments, we use the learning rate equal to 0.005, as the model performed slightly better when learning rate is 0.005 than 0.001.

##### **4.3.2.2.2 Spitting training samples into smaller batches can increase the accuracy**

Since spitting training samples into smaller batches allows the network to train itself over again using several small training subsets instead of only one big training set, we can expect it to reduce overfitting and increase the accuracy. We split the aforementioned training data into 3 smaller subsets and trained the Lenet 1 with these sets. However, this made the accuracy significantly decrease to 43.37% with 22.41% of the true positives in class “good”, 19.26% in class “medium” and 1.70% in class “poor” (test with only original images). We think it was because the number of samples in each batch was too small for the model to learn any useful information.

##### **4.3.2.2.3 Classifying the “poor” is impossible because of small number of samples**

We tried to build several models with and without images in class “poor”, as summarized in table 4.3 - 3. In these tests, we used the input images from all growing seasons. We also set the input size to 32 x 32 and the cropped size to 200 x 200. The results show that leaving out the poor class entirely yields better overall prediction accuracies. Our results also show that when class “poor” is included, the percentage of true positive in class “poor” is often low, on average around 6% (for more details please refer to appendix D). Thus we can conclude that the number of samples in class “poor” is too small for our models to learn how to distinguish them from the other two classes. For this reason, we omitted class “poor” and use only two classes “good” and “medium” in the succeeding experiments.

The data pattern from this experiment also shows that the interaction between a training set based on both original & cropped images and a testing set based on only original images results in better accuracies. Therefore, it might make sense to use this combination in the future experiments unless including the other combinations is also necessary to analyze that specific case.

Training set	Testing set	Overall accuracy	
		Class: All	Class: Good & Medium
Original images	Original images	53.86	57.25
Both original & cropped images	Original images	56.48	60.92
	Both original & cropped images	55.30	57.60
	Cropped images only	54.90	56.51
Cropped images only	Original images	52.02	55.28
	Both original & cropped images	54.11	56.66
	Cropped images only	54.81	57.12

Table 4.3-3 A comparison on networks' performances when class "poor" is included and not included

#### 4.3.2.2.4 Classifying sugarcane health separately for each growing season will yield a better result than classifying sugarcane health for all growing seasons

We hypothesized that the way in which images were segregated into different growing seasons could impact the accuracy. Thus, in the next experiments, in addition to all growing seasons, we also trained our models on images from only "early" and "late" season and images from only "mid" season. The experiments described in Section 4.3.2.2.5 to 4.3.2.2.11 below all looked at the effects of different growing seasons in addition to their main hypothesis.

#### 4.3.2.2.5 Extreme down-sampling causes great information loss

Our original images average  $1600 \times 1200$  pixels. These images are automatically downsized to  $32 \times 32$  pixels for inputting to the CNN. We wanted to know if this extreme down-sampling really caused great information loss. Thus, we trained the models with input images of both size  $32 \times 32$  and  $128 \times 128$ . These image sets were comprised of both original and cropped photos (where cropped size is equal to  $200 \times 200$ ) in different growing seasons. We then tested each of the trained model against 3 types of testing sets - only original, only cropped and both - whose sizes corresponded to the input size of the training set.

As expected, it took us longer time to train a model with a larger input size.

Figures 4.3-1, 4.3-2 and 4.3-3 show the interaction between 3 types of testing data - only original, only cropped and both and the 2 sizes of input images -  $32 \times 32$ ,  $128 \times 128$ . These figures show that the prediction accuracies when using input size  $128 \times 128$  were not very different from, and always lower than, the accuracies when using input size  $32 \times 32$ . Therefore, we can conclude that extreme down-sampling does not cause information loss that decreases the accuracy. We also conducted some literature research to see if other people have seen similar patterns of results. S. Dieleman et al. [2] suggested that resizing images speeds up the training process with little or no effect on the accuracy. For this reason, we will only use the input size  $32 \times 32$  in the next tests.

The data also confirms our conclusions from Section 4.3.2.2.3, that is using a training set based on both original and cropped images and a testing set based on original images with two classes "good" and "medium" results in the highest accuracy.

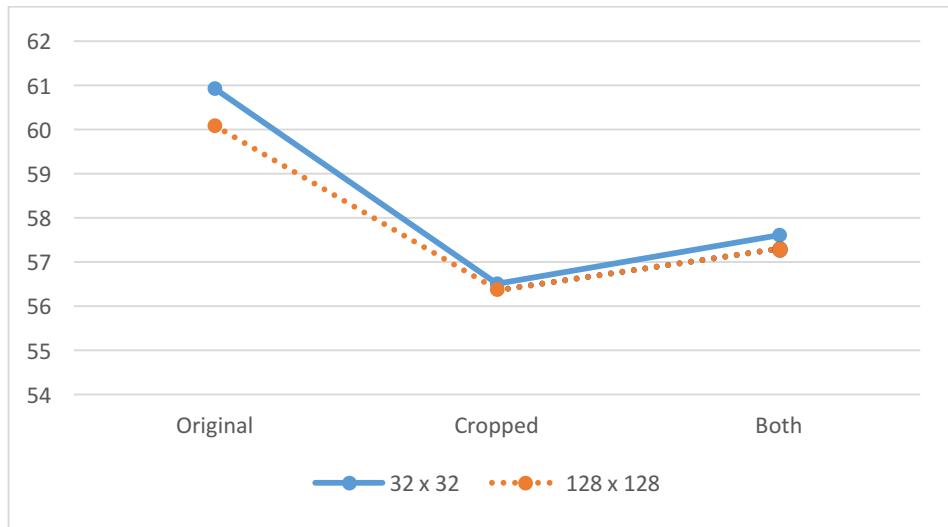


Figure 4.3-1 Interaction between input size and testing data set on experiments with training set with two classes "good" and "medium" on all growing seasons

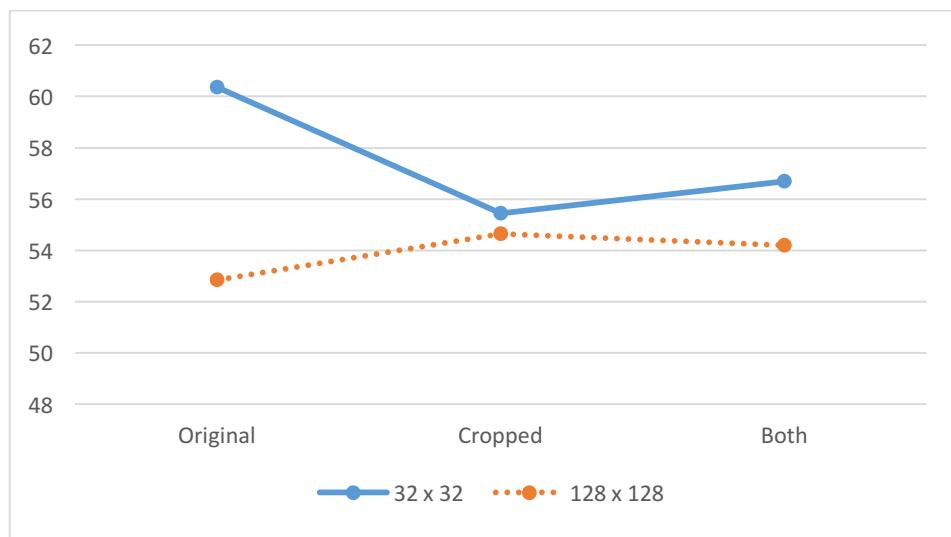


Figure 4.3-2 Interaction between input size and testing data set on experiments with training set with two classes "good" and "medium" on "mid" season

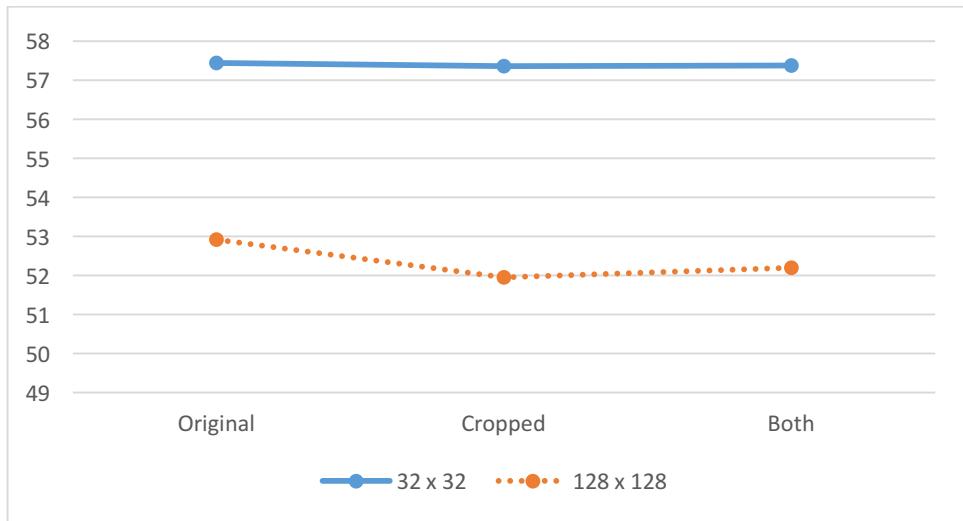


Figure 4.3-3 Interaction between input size and testing data set on experiments with training set with two classes "good" and "medium" on "early" and "late" season

#### 4.3.2.2.6 Poor accuracy because there are more training samples in class "good" than class "medium"

The dataset we received had more "good" than "medium" images (1141 versus 980 images). We wondered whether the network was learning that an image had a higher apriori of being "good". We tested this hypothesis by producing some new training datasets where the number of images in class "good" and "medium" are exactly equal. We then trained our models with these sets and tested these models against some testing sets which consist of 100% original images. Afterwards, we compared the obtained results to the results acquired when the number of training images in class "good" and "medium" are not equal. The summary of our results is shown in table 4.3-4. From the results, we can conclude that there is no clear difference between the overall results when the number of training samples in class "good" and class "medium" are equal and when they are not equal.

Growing season	Input data	#Training - good != #Training - medium			#Training - good = #Training - medium		
		Good	Medium	Overall	Good	Medium	Overall
All	Original images only	44.56	12.69	<b>57.25</b>	21.15	36.24	<b>57.39</b>
All	Original plus 200 x 200 cropped subsets	30.46	30.46	<b>60.92</b>	36.38	26.65	<b>63.03</b>
Mid	Original images only	57.00	0.00	<b>57.00</b>	45.94	14.41	<b>60.35*</b>
Mid	Original plus 200 x 200 cropped subsets	38.43	21.92	<b>60.35</b>	26.72	28.22	<b>54.94</b>
Early & Late	Original images only	28.98	28.98	<b>57.97</b>	15.69	41.75	<b>57.44</b>
Early & Late	Original plus 200 x 200 cropped subsets	18.35	39.09	<b>57.44</b>	23.67	33.24	<b>56.91</b>

Table 4.3-4 A comparison of networks' performance between when the number of training images in class "good" is equal to that of class "medium" and when the number of training images in class "good" is not equal to that of class "medium"

#### 4.3.2.2.7 Increasing the cropped size can improve the accuracy

When we created subsets cropped from the images, we set the cropped size to 200 x 200 pixels. We wondered if using larger subsets would include more information and result in better accuracy. We then increased the crop size to 400 x 400. Before increasing the crop size, we had to remove all raw images in class “good” and “medium” which fell into one of the following cases:

1. Vertical images
2. Images that are smaller than 400 x 400 pixels
3. Images that contain noise (for example: having human inside)

We ended up with a new dataset consisting of ground level images of types png and jpg. Details of the number of images in each category is shown in table 4.3 – 5.

		Growing season		
Class		Early	Mid	Late
	Good	373	487	143
	Average	286	324	196
	ALL	659	811	339
		ALL		
		1003		
		806		
		<b>1809</b>		

Table 4.3-5 The number of images in each class

A comparison between the models’ performances when crop size is equal to 200 x 200 and 400 x 400 on original testing images is shown in table 4.3 – 6. From this experiment, we found that increasing the cropped size to 400 x 400 improves the accuracy, especially the accuracy of the “mid” growing season. Therefore, in the next experiments, we will only use the cropped size equals to 400 x 400.

Training set*	Cropped size	
	200 x 200	400 x 400
<b>Growing season: All</b>	60.92	<b>62.31</b>
<b>Growing season: Mid</b>	60.35	<b>66.78</b>
<b>Growing season: Early &amp; Late</b>	57.44	<b>62.87</b>

Table 4.3-6 A comparison between the trained models’ accuracies when crop size is equal to 200 x 200 and 400 x 400

\*The training set consists of both original and cropped images in class “good” and “medium” of size 32 x 32.

#### 4.3.2.2.8 Changing the aspect ratio of the image while downsampling causes information loss

Our hypothesis is that it is possible that this distortion lowers the accuracy. To test this hypothesis, we added another input data type named “squared original”. They were generated by cropping a square at the middle of the image, where the cropping size is equal to the smallest dimension of that image. Table 4.3-7 compares the trained models’ accuracies when the aspect ratio is presented or not.

The most direct comparison is between the results from “Original – Original” dataset and “Squared original – Squared original” dataset. In this case, our results show that there is no clear effect of changing the aspect ratio to information loss.

If we group all of the the results from constant aspect ratio inputs together and compare them with the results from non-aspect ratio inputs, we can see that the results from constant aspect ratio inputs are always higher than the results from non-constant aspect ratio inputs. Nevertheless, comparing the results from “Original – Original” dataset to the results from all

constant aspect ratio datasets as a whole is trickier. Since these comparisons are not 100% orthogonal, we cannot know whether the improvement (or the degradation) of the results are due to the effect of the aspect ratio or the effect of data organization.

Growing season	Training set versus testing set type**			
	Non constant aspect ratio	Constant aspect ratio		
Type 1: Original – Original	Type 2: Squared original — Squared original	Type 3: Cropped – Cropped*	Type 4: Both squared original & cropped – Squared original*	
All	60.81	62.14	62.36	62.97
Mid	60.14	60.14	58.17	66.05
Early & Late	58.38	56.58	62.87	59.57

Table 4.3-7 A comparison between the trained models' accuracies when the aspect ratio is constant and non-constant

\*The training and testing sets consist of images from class “good” and medium” and the cropped size is 400 x 400.

#### 4.3.2.2.9 Increasing the number of iterations may improve the accuracy

We then increased the number of iterations both when the aspect ratio is constant and not constant, as shown in table 4.3 – 8. The results indicate that with “mid” growing season, the CNN can learn much useful information from original images when the number of iterations increases.

In the case of squared original images, we also tried to increase the number of iteration to 100. However, this made the accuracy decrease to 55.71% with 34.68% of the true positives in class “good” and 21.03% in class “medium”. Therefore, we can conclude that if there is an iteration effect, it is not very robust and general.

Growing season	Training set versus testing set type					
	Non-constant aspect ratio		Constant aspect ratio			
	Original – Original		Squared original — Squared original		Both squared original & cropped – Squared original*	
i = 15	i = 50	i = 15	i = 50	i = 15	i = 50	
All	60.81	61.47	62.14	58.34	62.97	57.88
Mid	60.14	66.78	60.14	67.89	66.05	58.67
Early & Late	58.38	55.68	56.58	56.88	59.57	59.28

Figure 4.3-8 A comparison between the trained models' accuracies when the number of iteration is 15 and 50.

#### 4.3.2.2.10 Grouping the two growing seasons “mid” and “late” can boost the accuracy

In the previous sections, we found that tests with “mid” season resulted in the best accuracy. In this section, we performed some more tests to evaluate whether grouping the two growing seasons “mid” and “late” would yield better accuracies than using images from

“mid” season alone. These tests were conducted using input images from class “good” and “medium”. A cropped size equals to 400 x 400 pixels was used to produce subsets of these images. The images were then resized into 32 x 32 pixels. The results are shown in table 4.3 – 9. This table also contains the results from original images based and cropped images based training set in addition to original & cropped based training set in order to provide us with a more overall view of the two seasonal based segmentation cases. From this table, we can conclude the following:

- In accordance to our findings from previous experiments, using a training set based on both original and cropped images and a testing set based on only original images continue to results in the best accuracies in both seasonal based segmentation cases.
- Grouping seasons “mid” and “late” yields better results on training sets with cropped images, whereas using only “mid” season yields better results on training sets containing original images
- The accuracies when grouping two growing seasons “mid” and “late” are no better than the accuracies when using “mid” season alone

Training set	Testing set	Accuracy	
		Season: Mid	Season: Mid & Late
Original images	Original images	60.14	58.43
Cropped images	Original images	54.97	56.36
	Cropped images	58.17	62.77
	Both original & cropped images	57.37	61.16
Both original & cropped images	Original images	66.78	64.93
	Cropped images	62.23	60.00
	Both original & cropped images	63.37	61.22

Table 4.3-9 A comparison between the trained models’ performances on images from only “mid” season and on images from both “mid” and “late” season.

#### 4.3.2.2.11 There was not enough input data

Overall, the predicting accuracies of our models do not exceed 67.89%. We think it might be because there was not enough input data. However, we will need to conduct some more experiments before concluding on this hypothesis.

#### 4.3.2.2.12 There is not sufficient information in the images alone to distinguish between the different cane grades

The images in the input dataset were gathered during ground surveys. They were graded based on the information not only on the sugarcane, but also from the field. Therefore, we suspected there was not enough sufficient information in the images alone to distinguish between different cane grades. To test this hypothesis, we got human judges to conduct automated experiment. The goal is to assess how accurately human experts can classify the same photos we are giving to the CNN. In this experiment, we selected a total of 90 photos, 30 from each class. Each time a new user signed up, the program randomly chose 10 from each category, displays them randomly, and asked the user to classify each one as good, medium or poor. The survey was presented via a web application in Thai language. The grading results were stored in a database along with the actual classes, as shown in Appendix F. Then we analyzed these data and compare with the CNN results.

To analyze the results, we first calculated the confusion matrix for each subject who did the automated classification. Table 4.3 – 10 contains seven confusion matrices for each and all subjects who participated in the test.

Actual class	Predicted class																						
	Subject 1			Subject 2			Subject 3			Subject 4			Subject 5			Subject 6			All Subjects				
1	2	3	1	2	3	1	2	3	1	2	3	1	2	3	1	2	3	1	2	3	1	2	3
1	9	1	0	8	2	0	7	2	1	6	4	0	7	3	0	4	6	0	41	18	1		
2	6	4	0	3	6	1	4	6	0	5	4	1	4	5	1	1	8	1	23	33	4		
3	1	7	2	0	2	8	1	2	7	1	4	5	0	9	1	0	3	7	3	27	30		

Table 4.3-10 Confusion matrices for all subjects who did the automated classification

We then computed the percent correct, the Kappa coefficient and the F-score for each subject. The percent correct tells us how often the classifier is correct, whereas the Kappa coefficient tells us how often the classifier is correct only by chance. On the other hand, the F-score tells us how well the classifier performs on each class. Table 4.3 – 11 summarizes the percent correct, the Kappa coefficient and the F-score for each subject. Details of this calculation was explained in Section 2.3.3. From this table, we can conclude the following:

- The average result of the automated classification test is 57.8%, which is only a few percent above average.
- Most of the subjects do not classify the sugarcane images better than our classifier.
- The best result is 73.3%, which is 5.4% higher than the best result of our classifier. This suggests that the dataset might contain some more useful information for our classifier to learn.
- Subject 4 has the most years of experience but has the lowest result. This suggests that how well an expert can classify sugarcane images based on the information from the images alone is not correlated to their years of experience.

Evaluation method		Subject						
Function	Based on	1	2	3	4	5	6	All
Accuracy	All Classes	0.500	0.733	0.667	0.500	0.433	0.633	0.578
Kappa	All Classes	0.250	0.620	0.507	0.206	0.131	0.475	0.367
F scores	Class 1	0.692	0.762	0.636	0.545	0.667	0.533	0.646
	Class 2	0.364	0.600	0.600	0.364	0.370	0.593	0.478
	Class 3	0.333	0.842	0.778	0.625	0.167	0.778	0.632

Table 4.3-11 A summary of percent correct, the Kappa coefficient and the F-score for all subjects

### 4.3.3 Network configurations

Appendix E summaries the variations of network configurations we used and their corresponding accuracies. We will explain the important experiments and our findings from in the sections below.

#### 4.3.3.1 Convolutional layer

##### 4.3.3.1.1 Increasing the number of convolutional layers can increase the accuracy

We tried to increase the number of convolutional layers to 4. Details of the new network configuration is shown in table 4.3 – 11.

Type	Patch size/ Stride	#Output channels
<b>Convolution (1)</b>	5x5/1	16
<b>ReLU (1)</b>	Used	16
<b>Max pool (1)</b>	2x2/2	16
<b>Convolution (2)</b>	5x5/1	20
<b>ReLU (2)</b>	Used	20
<b>Max pool (2)</b>	2x2/2	20
<b>Convolution (3)</b>	3x3/1	32
<b>ReLU (3)</b>	Used	32
<b>Convolution (4)</b>	3x3/1	50
<b>ReLU (4)</b>	Used	50
<b>FC (1)</b>	120 neurons	Flatten
<b>FC (2)</b>	84 neurons	Flatten
<b>FC (3)</b>	3 output classes	Flatten

Table 4.3 – 11 Network configuration – increasing the number of convolutional layers

The results of this experiment are as shown in table 4.3 – 12, assuming that the input data consists of images size 32 x 32 in class “good” and “medium” and the cropped sized is equal to 400 x 400. From the table, we can see that the accuracy when the number of layers is equal to 4 is actually lower than when the number of layers is equal to 2. Thus, we can conclude that increasing the number of convolutional layers does not increase the accuracy in our case.

Training set	Testing set	Network	
<b>Growing season: All</b>		#Conv_layers = 2	#Conv_layers = 4
Both original and cropped images	Original images	62.31	58.99
Cropped images	Cropped images	62.36	58.17
<b>Growing season: Mid</b>		#Conv_layers = 2	#Conv_layers = 4
Both original and cropped images	Original image	66.78	60.14 (%TP Medium = 0%)
Cropped images	Cropped images	58.17	60.14 (%TP Medium = 0%)
<b>Growing season: Early &amp; Late</b>		#Conv_layers = 2	#Conv_layers = 4
Both original and cropped images	Original images	62.87	54.49
Cropped images	Cropped images	61.27	62.77

Table 4.3-12 A comparison between the network’s performances when the number of convolutional layers is equal to 2 and 4

## 4.4 Some conclusions

### 4.4.1 Summary on the results when size of cropped images is 400 x 400

We trained and tested each model with 3 types of testing data: only original images, only cropped images, both original and cropped images. Table 4.4 - 1 compares different networks’ performances on different types of testing data when crop size is equal to 400 x 400 and input image size is equal to 32 x 32. Below are observations about the data in this table:

- The models generally perform better on original images when both original and cropped images are included in the training set. They perform better on cropped images when only cropped images are included in the training set.
- Overall, our results show that including original images in the training set results in higher accuracies. This suggests that original images contain more information that is useful for the classification than cropped images.
- The highest accuracy is the case where original images of “mid” growing season were included in the training set. This suggests that classifying sugarcane health separately for each growing season can improve the accuracy
- The differences from different treatments are only a few percent
- The overall accuracy is quite low, not ever reaching 70%. However, it is clearly better than chance, which would be 33.3% (if all three classes are involved)

Training Data*	Testing Data*		
	Original	Cropped	Both
Cropped Images			
Season: ALL	59.49	62.36	61.64
Season: MID	54.97	58.17	57.37
Season: EARLY & LATE	56.28	61.27	60.02
Season: MID & LATE	56.36	62.77	61.16
Both original and cropped images	Original	Cropped	Both
Season: ALL	62.31	62.47	62.43
Season: MID	66.78	62.23	63.37
Season: EARLY & LATE	62.87	61.87	61.11
Season: MID & LATE	64.93	60.00	61.22

Table 4.4-1 A comparison on networks’ performances on different testing set

\*Only class “good” and “medium” were included

#### 4.4.2 Summary on the effect of different parameters on the accuracy

In conclusion, we found that the following patterns made the accuracy increase:

- Use only two classes, omitting poor
- Classifying sugarcane health separately for each growing season
- Increase the number of iterations when classifying sugarcane health separately for each growing season
- Increase size of cropped images to 400 x 400
- Use the same kind of images on both training and testing sets

#### 4.4.3 Conclusion regarding the best model and model parameters

The best network performance on classifying a testing set which consists of 709 raw sugarcane images in class “good” and “medium” on *all growing seasons* is 63.04%, with 36.38% true positives in class “good” and 26.65% in class “medium”. The best network performance on classifying a testing set which consists of 271 raw sugarcane images in class “good” and “medium” on *mid growing season* is 67.89%, with 53.13% true positives in class “good” and 14.76% in class “medium”. When using our hardware configuration, training times are small enough that we do not have to worry about this issue.

There is no previous best result in the literature on the same dataset to compare with ours. Nevertheless, there are some previous researches on fine-grained image classification. Table 4.4-2 compares convolutional neural networks' performance from different fine-grained image classification researches with our result.

<b>Classification task</b>	<b>Model</b>	<b>Accuracy</b>
Indoor scene	OOM (CNN – 500) [32]	68.2
Car models	CNN [4]	70.5
Car models	CNN + Part detection [4]	73.9
Sugarcane health (all seasons)	CNN (ours)	63.0
Sugarcane health (mid-season)	CNN (ours)	67.9

Table 4.4-2 A comparison on convolutional neural networks' performance on different fine-grained classification tasks

## Chapter 5

### Conclusion

#### 5.1 The feasibility of our results

Our results indicate that deep convolutional neural networks are capable of classifying sugarcane health to some limits. In comparison to the original problem, our classifier is able to categorize a variation of raw ground level sugarcane images into two different health categories with the accuracy up to 68% and into three different health categories with the accuracy up to 56%. These results are not yet ultimate and thus are not applicable in real world. However, they show that it is possible to classify sugarcane images into different health categories with CNN.

In our automated experiments, three out of six human judges were able to categorize sugarcane images into three different health categories with the accuracy more than 60%, one of which were able to categorize these images with the accuracy up to 73%. This indicates that there could be more information than what our classifier learnt contained within the images. Thus, it indicates that it is worth researching deeper in this problem when more data is available.

#### 5.2 The issues related to our results

The main issue with our results is that our best models are only capable of classifying data into two classes "good" and "medium". Ultimately, we would like to classify data into three classes "good", "medium" and "poor".

Another issue is related to evaluating and comparing the performance of different models. We can observe from the experiments that the models' accuracies often only slightly vary from one to another. The question is, we don't really know how big a difference needs to be in order to be "real".

Besides patterns which clearly improve the accuracy such as omitting class "poor" or increasing size of cropped, there are other patterns which do not show robust and general effects to the accuracy. These patterns are increasing the number of iteration and grouping the two growing seasons "mid" and "late" together. We think there might be more patterns like these two. These issues should be also taken into consideration.

#### 5.3 Our experience with Torch

Throughout this project, we found Torch to be very pleasant to work with. Torch is very easy to install, learn and use. Its documentation contains all necessary information needed to install the framework. Even though Torch uses Lua – an unpopular programming language – as its API language, it can still be learned easily since the fundamental of Lua is not different from other programming languages. Finally, Torch is very simple to use. Torch's error messages are very useful. It is also very easy to change the values of different parameters in a Torch model. Besides, under the GPU mode, Torch's compute time is very fast. In our case, the training time had never exceeded 5 minutes. For these reason, we want to recommend this framework for those who want to work on the issue of image classification using CNN or to continue working on our project in the future.

#### **5.4 The challenges and our suggestions for the future work**

The biggest challenge for this project is to be able to classify high level of similarities images using a relatively small and imbalanced dataset. We have only 2281 images in the dataset, where the number of images in class “poor” were only 160. Additionally, the images among the classes are quite similar. We suspect there is very little information to distinguish the classes in each image. Thus, it requires much more images for the models to learn a sufficient amount of information to distinguish the classes. For the future works on this problem, we suggest that more initial training images, ideally about 10 000 per class, should be obtained in order to build a good CNN model for this problem. We also suggest that a server capable of storing updated images from farmers and classifying them automatically being created, for better data manipulation.

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## Appendix A

### An example of how raw files are read

```
train_size      254
test_size       127
254
i      1
file    /home/superuser/project/Picture/Early/Good/PIC495.jpg
index_train    1
1
index_train    2
i      2
file    /home/superuser/project/Picture/Early/Good/SDC18491.JPG
index_train    2
1
index_train    3
i      3
file    /home/superuser/project/Picture/Early/Good/SDC18493.JPG
index_train    3
1
index_train    4
i      4
file    /home/superuser/project/Picture/Early/Good/SDC18770.JPG
index_train    4
1
index_train    5
```

## Appendix B

### An example of the training error at each iteration

```
superuser@gpu-01:~/project$ th train_model.lua
[# StochasticGradient: training
[# current error = 0.94254717141568
# current error = 0.71775029911966
# current error = 0.68249600359974
# current error = 0.64541472571663
# current error = 0.60844299107968
# current error = 0.58514107133489
# current error = 0.56726838021286
# current error = 0.5528999486564
# current error = 0.53946196306122
# current error = 0.53033418560002
# current error = 0.51986101949481
# current error = 0.50872071936654
# current error = 0.49788620939836
# current error = 0.48988714020864
# current error = 0.47858621065683
# current error = 0.4690087201742
# current error = 0.45733326511274
# current error = 0.45265183276175
# current error = 0.44189780327569
# current error = 0.43105293115196
# StochasticGradient: you have reached the maximum number of iterations
# training error = 0.43105293115196
```

## Appendix C

### An example of how the result is interpreted

```
learning rate: 0.005
number of iiterations: 20
image number    1
labels  good    2.4315121173859
labels  medium   -2.8473653793335
labels  bad      0.95042943954468
image number    2
labels  good    2.9748983383179
labels  medium   -2.4407548904419
labels  bad      -0.14547914266586
image number    3
labels  good    -0.79168504476547
labels  medium   1.8492548465729
labels  bad      -1.6062943935394
image number    4
labels  good    3.125519990921
labels  medium   -2.1363162994385
labels  bad      -0.62866818904877
image number    5
labels  good    3.4835374355316
labels  medium   -3.0744075775146
labels  bad      0.033833026885986
```

**Appendix D**  
**Results table 1 – Experiment on different data set with Lenet 1**

Segmentation type	Model no.	Input size	Training set Class Good//Medium//Poor	#Training samples	Testing set Class Good//Medium//Poor	#Testing samples	#Iteration	Learning rate	%TP Good	%TP Avg	%TP Poor	Training time (min)	Accuracy
Growing season: All	1	32x32	Original//Original//Original	1518	Original//Original//Original	763	15	0.001	43.38	10.48	0	0.45	53.86
Growing season: All	1	32x32	Original//Original//Original	1518	Original//Original//Original	#Good = #Avg = #Poor = 30	15	0.001	30	12.22	0	0.45	42.22
Growing season: All	2a	32x32	Original//Original//Original & 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop	1836	Original//Original//Original	763	15	0.001	45.48	4.98	0.92	0.4	51.38
Growing season: All	2b	32x32	Original//Original//Original & 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop	1836	Original//Original//Original	763	15	0.005	23.33	28.70	1.70	0.5	53.73
Growing season: All	2b	32x32	Original//Original//Original & 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop	1836	200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop // 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop // 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop	2289	15	0.005	2.32	0.52	6.64	0.5	9.48

Segmentation type	Model no.	Input size	Training set Class Good//Medium//Poor	#Training samples	Testing set Class Good//Medium//Poor	#Testing samples	#Iteration	Learning rate	%TP Good	%TP Avg	%TP Poor	Training time (min)	Accuracy
Growing season: All	2b	32x32	Original//Original//Original & 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop	1836	Original & 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop // Original & 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop // Original & 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop	3052	15	0.005	7.57	7.57	5.40	0.5	20.54
Growing season: All	2b	32x32	Original//Original/Original & 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop	1836	Original//Original/Original & 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop	925	15	0.005	19.24	23.68	17.84	0.5	60.76
Growing season: All	2c	32x32	Original//Original//Original & 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop (split into 3 batches)	1836	Original//Original//Original	763	15	0.005	22.41	19.26	1.70	0.91	43.37
Growing season: All	2c	32x32	Original//Original//Original & 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop (split into 3 batches)	1836	200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop // 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop	2289	15	0.005	5.32	2.92	6.24	0.91	14.48

Segmentation type	Model no.	Input size	Training set Class Good//Medium//Poor	#Training samples	Testing set Class Good//Medium//Poor	#Testing samples	#Iteration	Learning rate	%TP Good	%TP Avg	%TP Poor	Training time (min)	Accuracy	
Growing season: All	2c	32x32	Original//Original//Original & 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop (split into 3 batches)	1836	//200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop	Original & 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop // Original & 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop // Original & 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop	3052	15	0.005	9.60	7.01	5.11	0.91	21.72
Growing season: All	2c	32x32	Original//Original//Original & 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop (split into 3 batches)	1836	Original//Original//Original & 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop	Original//Original//Original & 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop	925	15	0.005	18.48	15.89	16.86	0.91	51.23
Growing season: All	3a	32x32	Original//200x200 pixels center crop//Original & 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop	1836	Original//Original//Original	Original//Original//Original	763	15	0.005	39.44	0.65	4.84	0.43	44.93
Growing season: All	3a	32x32	Original//200x200 pixels center crop//Original & 200x200 pixels center crop	1836	200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels	200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels	2289	15	0.005	2.22	26.08	3.58	0.43	31.88

Segmentation type	Model no.	Input size	Training set Class Good//Medium//Poor	#Training samples	Testing set Class Good//Medium//Poor	#Testing samples	#Iteration	Learning rate	%TP Good	%TP Avg	%TP Poor	Training time (min)	Accuracy
Growing season: All	3a	32x32	& 200x200 pixels bottom left crop & 200x200 pixels bottom right crop	1836	bottom right crop // 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop // 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop	3052	15	0.005	11.53	19.72	3.89	0.43	35.14
Growing season: All	3a	32x32	Original//200x200 pixels center crop//Original & 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop	1836	Original & 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop // Original & 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop // Original & 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop	925	15	0.005	32.54	15.56	12.86	0.43	60.96
Growing season: All	4	32x32	200x200 pixels center crop//200x200 pixels center crop//Original & 200x200 pixels center crop & 200x200 pixels bottom	1836	Original//Original//Original	763	15	0.005	32.24	0.13	4.98	0.46	37.35

Segmentation type	Model no.	Input size	Training set Class Good//Medium//Poor	#Training samples	Testing set Class Good//Medium//Poor	#Testing samples	#Iteration	Learning rate	%TP Good	%TP Avg	%TP Poor	Training time (min)	Accuracy
Growing season: All	4	32x32	left crop & 200x200 pixels bottom right crop  200x200 pixels center crop//200x200 pixels center crop//Original & 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop	1836	200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop // 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop //200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop	2289	15	0.005	21.40	23.24	1.39	0.46	46.03
Growing season: All	4	32x32	200x200 pixels center crop//200x200 pixels center crop//Original & 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop	1836	Original & 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop // Original & 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop // Original & 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop	3052	15	0.005	24.11	17.46	2.29	0.46	43.86
Growing season: All	4	32x32	200x200 pixels center crop//200x200 pixels center crop//Original & 200x200 pixels center crop & 200x200 pixels bottom	1836	200x200 pixels center crop//200x200 pixels center crop//Original & 200x200 pixels center crop & 200x200 pixels bottom	925	15	0.005	36.75	3.35	7.56	0.46	47.66

Segmentation type	Model no.	Input size	Training set Class Good//Medium//Poor	#Training samples	Testing set Class Good//Medium//Poor	#Testing samples	#Iteration	Learning rate	%TP Good	%TP Avg	%TP Poor	Training time (min)	Accuracy	
Growing season: All	5a – 1	32x32	left crop & 200x200 pixels bottom right crop	Original//Original//N.A	1412	Original//Original//N.A	709	10	0.005	44.56	12.69	N/A	0.68	57.25
Growing season: All	5a – 1	32x32	Original//Original//N.A	1412	Original//Original//N.A	#Good = #Avg = 183	15	0.005	39.89	13.93	N/A	0.68	53.82	
Growing season: All	5a – 2	32x32	Original//Original//N.A	#Good = #Avg = 652; #Total = 1304	Original//Original//N.A	709	15	0.005	21.15	36.24	N/A	0.5	57.39	
Growing season: All	5b	32x32	Original & 200x200 pixels bottom center crop// Original & 200x200 pixels bottom center crop//N.A	2824	Original//Original//N.A	709	15	0.005	42.31	13.82	N/A	1.03	56.13	
Growing season: All	5b	32x32	Original & 200x200 pixels bottom center crop// Original & 200x200 pixels bottom center crop//N.A	2824	200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop // 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop //NA	2836	15	0.005	40.15	16.03	N/A	1.03	56.18	
Growing season: All	5b	32x32	Original & 200x200 pixels bottom center crop// Original & 200x200 pixels bottom center crop//N.A	2824	Original & 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop // Original & 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop // NA	2127	15	0.005	40.69	15.47	N/A	1.03	56.16	
Growing season: All	5c – 1	32x32	Original & 200x200 pixels center crop & 200x200	5645	Original//Original//N.A	709	15	0.005	30.46	30.46	N/A	1.68	60.92	

Segmentation type	Model no.	Input size	Training set Class Good//Medium//Poor	#Training samples	Testing set Class Good//Medium//Poor	#Testing samples	#Iteration	Learning rate	%TP Good	%TP Avg	%TP Poor	Training time (min)	Accuracy
Growing season: All	5c - 1	32x32	pixels bottom left crop & 200x200 pixels bottom right crop // Original & 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop //N.A	5645	Original//Original//N.A	#Good = #Avg = 183	15	0.005	27.59	32.24	N/A	1.68	59.83
Growing season: All	5c - 1	32x32	Original & 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop // Original & 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop //N.A	5645	200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop // 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop //NA	2127	15	0.005	24.07	32.44	N/A	1.68	56.51
Growing season: All	5c - 1	32x32	Original & 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop // Original & 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop //N.A	5645	Original & 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop // Original & 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop // NA	2836	15	0.005	25.66	31.94	N/A	1.68	57.60

Segmentation type	Model no.	Input size	Training set Class Good//Medium//Poor	#Training samples	Testing set Class Good//Medium//Poor	#Testing samples	#Iteration	Learning rate	%TP Good	%TP Avg	%TP Poor	Training time (min)	Accuracy
Growing season: All	5c - 2	32x32	Original & 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop // Original & 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop //N.A	#Good = #Avg = 2608; #Total = 5216	Original//Original//N.A	709	15	0.005	36.38	26.65	N/A	1.23	63.03
Growing season: All	5d	128x128	Original & 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop // Original & 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop //N.A	5648	Original//Original//N.A	709	15	0.005	30.32	29.76	N/A	9.58	60.08
Growing season: All	5d	128x128	Original & 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop // Original & 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop //N.A	5648	200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop // 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop //NA	2127	15	0.005	30.79	25.57	N/A	9.58	56.36
Growing season: All	5d	128x128	Original & 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop // Original & 200x200 pixels center crop & 200x200 pixels bottom	5648	Original & 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop // Original & 200x200 pixels center crop & 200x200 pixels bottom	2836	15	0.005	30.67	26.62	N/A	9.58	57.29

Segmentation type	Model no.	Input size	Training set Class Good//Medium//Poor	#Training samples	Testing set Class Good//Medium//Poor	#Testing samples	#Iteration	Learning rate	%TP Good	%TP Avg	%TP Poor	Training time (min)	Accuracy
Growing season: All	5e	32x32	left crop & 200x200 pixels bottom right crop //N.A 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop // 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop // NA	4236	left crop & 200x200 pixels bottom right crop // NA Original//Original//NA	709	15	0.005	51.62	3.66	N/A	1.32	55.28
Growing season: All	5e	32x32	200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop // 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop // NA	4236	200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop // 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop // NA	2127	15	0.005	39.82	17.30	N/A	1.32	57.12
Growing season: All	5e	32x32	200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop // 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop // NA	4236	Original & 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop // Original & 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop // NA	2836	15	0.005	42.77	13.89	N/A	1.32	56.66
Growing season: All	6a	32x32	Original & 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop // Original & 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels	6072	Original//Original//Original	763	15	0.005	40.76	15.33	0.39	1.75	56.48

Segmentation type	Model no.	Input size	Training set Class Good//Medium//Poor	#Training samples	Testing set Class Good//Medium//Poor	#Testing samples	#Iteration	Learning rate	%TP Good	%TP Avg	%TP Poor	Training time (min)	Accuracy
Growing season: All	6a	32x32	bottom right crop // Original & 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop Original & 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop // Original & 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop // Original & 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop	6072	Original//Original//Original	#Good = #Avg = #Poor = 30	15	0.001	28.88	13.33	2.22	1.75	44.43
Growing season: All	6a	32x32	Original & 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop // Original & 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop // Original & 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop	6072	200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop // 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop //200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop	2289	15	0.005	33.15	21.75	0	1.75	54.90

Segmentation type	Model no.	Input size	Training set Class Good//Medium//Poor	#Training samples	Testing set Class Good//Medium//Poor	#Testing samples	#Iteration	Learning rate	%TP Good	%TP Avg	%TP Poor	Training time (min)	Accuracy
Growing season: All	6a	32x32	Original & 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop // Original & 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop // Original & 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop	6072	Original & 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop // Original & 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop // Original & 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop	3052	15	0.005	35.05	20.15	0.09	1.75	55.30
Growing season: All	6b*	128x128	Original & 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop // Original & 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop // Original & 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop	4024	-	763	15	0.005	-	-	-	-	-
Growing season: All	6c	32x32	200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop // 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels	4554	Original//Original//Original	763	15	0.005	41.80	10.22	0	1.06	52.02

Segmentation type	Model no.	Input size	Training set Class Good//Medium//Poor	#Training samples	Testing set Class Good//Medium//Poor	#Testing samples	#Iteration	Learning rate	%TP Good	%TP Avg	%TP Poor	Training time (min)	Accuracy
Growing season: All	6c	32x32	bottom right crop // 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop // 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop // 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop	4554	200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop // 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop //200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop	2289	15	0.005	36.08	18.39	0.34	1.06	54.81
Growing season: All	6c	32x32	200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop // 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop // 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop // Original & 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop // Original & 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop // Original & 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop	4554	Original & 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop // Original & 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop // Original & 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop	3052	15	0.005	37.51	16.34	0.26	1.06	54.11
Growing season: Medium	7a – 1	32 x 32	Original//Original//N.A	666	Original//Original//N.A	333	15	0.005	57.00	0	N/A	0.2	57.00

Segmentation type	Model no.	Input size	Training set Class Good//Medium//Poor	#Training samples	Testing set Class Good//Medium//Poor	#Testing samples	#Iteration	Learning rate	%TP Good	%TP Avg	%TP Poor	Training time (min)	Accuracy
Growing season: Medium	7a - 1	32 x 32	Original//Original//N.A	666	Original//Original//N.A	333	30	0.005	47.74	15.31	N/A	0.4	63.05
Growing season: Medium	7a - 2	32 x 32	Original//Original//N.A	#Good = #Avg = 282; #Total = 564	Original//Original//N.A	333	30	0.005	45.94	14.41	N/A	0.28	60.35
Growing season: Medium	7b	32 x 32	Original & 200x200 pixels center crop// Original & 200x200 pixels center crop //N.A	1332	Original//Original//N.A	333	15	0.005	40.54	20.42	N/A	0.36	60.96
Growing season: Medium	7b	32 x 32	Original & 200x200 pixels center crop// Original & 200x200 pixels center crop //N.A	1332	Original//Original//N.A	333	30	0.005	44.74	17.41	N/A	0.7	62.15
Growing season: Medium	7b	32 x 32	Original & 200x200 pixels center crop// Original & 200x200 pixels center crop //N.A	1332	200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop // 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop //NA	999	15	0.005	49.14	6.50	N/A	0.7	55.64
Growing season: Medium	7b	32 x 32	Original & 200x200 pixels center crop// Original & 200x200 pixels center crop //N.A	1332	Original & 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop // Original & 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop // NA	1332	15	0.005	48.04	9.23	N/A	0.7	57.27

Segmentation type	Model no.	Input size	Training set Class Good//Medium//Poor	#Training samples	Testing set Class Good//Medium//Poor	#Testing samples	#Iteration	Learning rate	%TP Good	%TP Avg	%TP Poor	Training time (min)	Accuracy
Growing season: Medium	7c - 1	32 x 32	Original & 200x200 pixels center crop& 200x200 pixels bottom left crop & 200x200 pixels bottom right crop // Original & 200x200 pixels center crop& 200x200 pixels bottom left crop & 200x200 pixels bottom right crop //N.A	2664	Original//Original//N.A	333	15	0.005	38.43	21.92	N/A	0.35	60.35
Growing season: Medium	7c - 1	32 x 32	Original & 200x200 pixels center crop& 200x200 pixels bottom left crop & 200x200 pixels bottom right crop // Original & 200x200 pixels center crop& 200x200 pixels bottom left crop & 200x200 pixels bottom right crop //N.A	2664	200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop // 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop //NA	999	15	0.005	35.43	20.02	N/A	0.35	55.45
Growing season: Medium	7c - 1	32 x 32	Original & 200x200 pixels center crop& 200x200 pixels bottom left crop & 200x200 pixels bottom right crop // Original & 200x200 pixels center crop& 200x200 pixels bottom left crop & 200x200 pixels bottom right crop //N.A	2664	Original & 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop // Original & 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop // NA	1332	15	0.005	36.18	20.49	N/A	0.35	56.67
Growing season: Medium	7c - 2	32 x 32	Original & 200x200 pixels center crop& 200x200 pixels bottom left crop & 200x200 pixels bottom right crop // Original &	#Good = #Avg = 1128; #Total = 2256	Original//Original//N.A	333	15	0.005	26.72	28.22	N/A	0.3	54.94

Segmentation type	Model no.	Input size	Training set Class Good//Medium//Poor	#Training samples	Testing set Class Good//Medium//Poor	#Testing samples	#Iteration	Learning rate	%TP Good	%TP Avg	%TP Poor	Training time (min)	Accuracy
Growing season: Medium	7d	128 x 128	200x200 pixels center crop& 200x200 pixels bottom left crop & 200x200 pixels bottom right crop //N.A Original & 200x200 pixels center crop& 200x200 pixels bottom left crop & 200x200 pixels bottom right crop // Original & 200x200 pixels center crop& 200x200 pixels bottom left crop & 200x200 pixels bottom right crop //N.A	2664	Original//Original//N.A	333	15	0.005	39.63	13.21	N/A	4.6	52.84
Growing season: Medium	7d	128 x 128	Original & 200x200 pixels center crop& 200x200 pixels bottom left crop & 200x200 pixels bottom right crop // Original & 200x200 pixels center crop& 200x200 pixels bottom left crop & 200x200 pixels bottom right crop //NA	2664	200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop // 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop //NA	999	15	0.005	39.33	15.31	N/A	4.6	54.64
Growing season: Medium	7d	128 x 128	Original & 200x200 pixels center crop& 200x200 pixels bottom left crop & 200x200 pixels bottom right crop // Original & 200x200 pixels center crop& 200x200 pixels bottom left crop & 200x200 pixels bottom right crop //N.A	2664	Original & 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop // Original & 200x200 pixels center crop& 200x200 pixels bottom left crop & 200x200 pixels bottom right crop // NA	1332	15	0.005	39.41	14.78	N/A	4.6	54.19

Segmentation type	Model no.	Input size	Training set Class Good//Medium//Poor	#Training samples	Testing set Class Good//Medium//Poor	#Testing samples	#Iteration	Learning rate	%TP Good	%TP Avg	%TP Poor	Training time (min)	Accuracy
Growing season: Early & Late	8a - 1	32 x 32	Original//Original//N.A	746	Original//Original//N.A	376	15	0.005	28.98	28.98	N/A	0.2	57.96
Growing season: Early & Late	8a - 2	32 x 32	Original//Original//N.A	#Good = #Avg = 370; #Total = 740	Original//Original//N.A	376	15	0.005	15.69	41.75	N/A	0.2	57.44
Growing season: Early & Late	8b - 1	32 x 32	Original & 200x200 pixels center crop& 200x200 pixels bottom left crop & 200x200 pixels bottom right crop // Original & 200x200 pixels center crop& 200x200 pixels bottom left crop & 200x200 pixels bottom right crop //N.A	2984	Original//Original//N.A	376	15	0.005	18.35	39.09	N/A	0.7	57.44
Growing season: Early & Late	8b - 1	32 x 32	Original & 200x200 pixels center crop& 200x200 pixels bottom left crop & 200x200 pixels bottom right crop // Original & 200x200 pixels center crop& 200x200 pixels bottom left crop & 200x200 pixels bottom right crop //NA	2984	200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop // 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop //NA	1128	15	0.005	15.24	42.10	N/A	0.7	57.34
Growing season: Early & Late	8b - 1	32 x 32	Original & 200x200 pixels center crop& 200x200 pixels bottom left crop & 200x200 pixels bottom right crop // Original & 200x200 pixels center crop& 200x200 pixels bottom	2984	Original & 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop // Original & 200x200 pixels center crop & 200x200 pixels bottom	1504	15	0.005	16.02	41.35	N/A	0.7	57.37

Segmentation type	Model no.	Input size	Training set Class Good//Medium//Poor	#Training samples	Testing set Class Good//Medium//Poor	#Testing samples	#Iteration	Learning rate	%TP Good	%TP Avg	%TP Poor	Training time (min)	Accuracy
Growing season: Early & Late	8b - 2	32 x 32	bottom left crop & 200x200 pixels bottom right crop //N.A Original & 200x200 pixels center crop& 200x200 pixels bottom left crop & 200x200 pixels bottom right crop // Original & 200x200 pixels center crop& 200x200 pixels bottom left crop & 200x200 pixels bottom right crop //N.A	#Good = #Avg = 1480; #Total = 2960	Original//Original//N.A	376	15	0.005	23.67	33.24	N/A	0.68	56.91
Growing season: Early & Late	8c	128 x 128	Original & 200x200 pixels center crop& 200x200 pixels bottom left crop & 200x200 pixels bottom right crop // Original & 200x200 pixels center crop& 200x200 pixels bottom left crop & 200x200 pixels bottom right crop //N.A	2984	Original//Original//N.A	376	15	0.005	39.09	13.82	N/A	4.6	52.91
Growing season: Early & Late	8c	128 x 128	Original & 200x200 pixels center crop& 200x200 pixels bottom left crop & 200x200 pixels bottom right crop // Original & 200x200 pixels center crop& 200x200 pixels bottom left crop & 200x200 pixels bottom right crop //N.A	2984	200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop // 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop //NA	1128	15	0.005	38.03	13.91	N/A	4.6	51.94

Segmentation type	Model no.	Input size	Training set Class Good//Medium//Poor	#Training samples	Testing set Class Good//Medium//Poor	#Testing samples	#Iteration	Learning rate	%TP Good	%TP Avg	%TP Poor	Training time (min)	Accuracy
Growing season: Early & Late	8c	128 x 128	Original & 200x200 pixels center crop& 200x200 pixels bottom left crop & 200x200 pixels bottom right crop // Original & 200x200 pixels center crop& 200x200 pixels bottom left crop & 200x200 pixels bottom right crop //N.A	2984	Original & 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop // Original & 200x200 pixels center crop & 200x200 pixels bottom left crop & 200x200 pixels bottom right crop // NA	1504	15	0.005	38.29	13.89	N/A	4.6	52.18
Field images only; all growing seasons  *Preprocessed: Removed vertical images and images with people inside	9a	32 x 32	Original//Original//N.A	1203	Original//Original//N.A	605	15	0.005	39.00	21.81	N.A	0.3	60.81
Field images only; all growing seasons  *Preprocessed: Removed vertical images and images with people inside	9a	32 x 32	Original//Original//N.A	1203	Original//Original//N.A	605	50	0.005	39.00	22.47	N.A	0.95	61.47
Field images only; all growing seasons	9b	32 x 32	Original & 400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop // Original &	4812	Original//Original//N.A	605	15	0.005	39.17	23.14	N/A	1.15	62.31

Segmentation type	Model no.	Input size	Training set Class Good//Medium//Poor	#Training samples	Testing set Class Good//Medium//Poor	#Testing samples	#Iteration	Learning rate	%TP Good	%TP Avg	%TP Poor	Training time (min)	Accuracy
*Preprocessed: Removed vertical images and images with people inside			400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop //N.A										
Field images only; all growing seasons	9b	32 x 32	Original & 400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop // Original & 400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop //N.A	4812	400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop // 400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop //N.A	1815	15	0.005	40.93	21.54	N/A	1.15	62.47
*Preprocessed: Removed vertical images and images with people inside													
Field images only; all growing seasons	9b	32 x 32	Original & 400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop // Original & 400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop //N.A	4812	Original & 400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop // Original & 400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop //N.A	2420	15	0.005	40.49	21.94	N/A	1.15	62.43
*Preprocessed: Removed vertical images and images with people inside													
Field images only; all growing seasons	9c	32 x 32	400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop // 400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop //N.A	3609	Original//Original//N.A	605	15	0.005	42.47	17.02	N/A	0.88	59.49
*Preprocessed: Removed vertical images and images with people inside													

Segmentation type	Model no.	Input size	Training set Class Good//Medium//Poor	#Training samples	Testing set Class Good//Medium//Poor	#Testing samples	#Iteration	Learning rate	%TP Good	%TP Avg	%TP Poor	Training time (min)	Accuracy
with people inside													
Field images only; all growing seasons *Preprocessed: Removed vertical images and images with people inside	9c	32 x 32	400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop // 400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop //N.A	3609	400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop // 400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop //N.A	1815	15	0.005	39.06	23.30	N/A	0.88	62.36
Field images only; all growing seasons *Preprocessed: Removed vertical images and images with people inside	9c	32 x 32	400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop // 400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop //N.A	3609	Original & 400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop // Original & 400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop //N.A	2420	15	0.005	39.91	21.73	N/A	0.88	61.64
Field images only; all growing seasons *Preprocessed: Removed vertical images and images with people inside	9d	32 x 32	Squared original// Squared original//N.A Cropping size: minimum dimension of the input image	1203	Squared original// Squared original//N.A Cropping size: minimum dimension of the input image	605	15	0.005	35.70	26.44	N/A	0.31	62.14

Segmentation type	Model no.	Input size	Training set Class Good//Medium//Poor	#Training samples	Testing set Class Good//Medium//Poor	#Testing samples	#Iteration	Learning rate	%TP Good	%TP Avg	%TP Poor	Training time (min)	Accuracy
Field images only; all growing seasons *Preprocessed: Removed vertical images and images with people inside	9d	32 x 32	Squared original// Squared original//N.A Cropping size: minimum dimension of the input image	1203	Squared original// Squared original/N.A Cropping size: minimum dimension of the input image	605	50	0.005	31.90	26.44	N/A	0.93	58.34
Field images only; all growing seasons *Preprocessed: Removed vertical images and images with people inside	9e	32 x 32	Squared original & 400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop // Squared original & 400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop //N.A Cropping size: minimum dimension of the input image	4812	Squared original// Squared original//N.A Cropping size: minimum dimension of the input image	605	15	0.005	41.98	20.99	N/A	1.1	62.97
Field images only; all growing seasons *Preprocessed: Removed vertical images and images with people inside	9e	32 x 32	Squared original & 400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop // Squared original & 400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop //N.A	4812	Squared original// Squared original/N.A Cropping size: minimum dimension of the input image	605	50	0.005	30.86	27.02	N/A	2.6	57.88

Segmentation type	Model no.	Input size	Training set Class Good//Medium//Poor	#Training samples	Testing set Class Good//Medium//Poor	#Testing samples	#Iteration	Learning rate	%TP Good	%TP Avg	%TP Poor	Training time (min)	Accuracy
Cropping size: minimum dimension of the input image													
Field images only; growing season “medium” *Preprocessed: Removed vertical images and images with people inside	10a	32 x 32	Original//Original//N.A	540	Original//Original//N.A	271	15	0.005	60.14	0	N/A	0.16	60.14
Field images only; growing season “medium” *Preprocessed: Removed vertical images and images with people inside	10a	32 x 32	Original//Original//N.A	540	Original//Original//N.A	271	50	0.005	50.92	15.86	N/A	0.41	66.78
Field images only; growing season “medium” *Preprocessed: Removed vertical images and images with people inside	10b	32 x 32	Original & 400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop // Original & 400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop //N.A	2160	Original//Original//N.A	271	15	0.005	54.61	12.17	N/A	0.5	66.78
Field images only; growing	10b	32 x 32	Original & 400x400 pixels center crop& 400x400 pixels center crop& 400x400 pixels	2160	400x400 pixels center crop& 400x400 pixels	813	15	0.005	55.22	7.01	N/A	0.5	62.23

Segmentation type	Model no.	Input size	Training set Class Good//Medium//Poor	#Training samples	Testing set Class Good//Medium//Poor	#Testing samples	#Iteration	Learning rate	%TP Good	%TP Avg	%TP Poor	Training time (min)	Accuracy
season “medium” *Preprocessed: Removed vertical images and images with people inside			pixels bottom left crop & 400x400 pixels bottom right crop // Original & 400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop //N.A		bottom left crop & 400x400 pixels bottom right crop // 400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop //N.A								
Field images only; growing season “medium” *Preprocessed: Removed vertical images and images with people inside	10b	32 x 32	Original & 400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop // Original & 400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop //N.A	2160	Original & 400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop // Original & 400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop //N.A	1084	15	0.005	55.07	8.30	N/A	0.5	63.37
Field images only; growing season “medium” *Preprocessed: Removed vertical images and images with people inside	10c	32 x 32	400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop // 400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop //N.A	1620	Original//Original//N.A	271	15	0.005	30.99	23.98	N/A	0.38	54.97
Field images only; growing season “medium” *Preprocessed: Removed vertical images	10c	32 x 32	400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop // 400x400 pixels center crop& 400x400 pixels bottom left crop &	1620	400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop // 400x400 pixels center crop& 400x400 pixels bottom left crop &	813	15	0.005	34.07	24.10	N/A	0.38	58.17

Segmentation type	Model no.	Input size	Training set Class Good//Medium//Poor	#Training samples	Testing set Class Good//Medium//Poor	#Testing samples	#Iteration	Learning rate	%TP Good	%TP Avg	%TP Poor	Training time (min)	Accuracy
and images with people inside			400x400 pixels bottom right crop //N.A		400x400 pixels bottom right crop //N.A								
Field images only; growing season “medium” *Preprocessed: Removed vertical images and images with people inside	10c	32 x 32	400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop // 400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop //N.A	1620	Original & 400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop // Original & 400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop //N.A	1084	15	0.005	33.30	24.07	N/A	0.38	57.37
Field images only; growing season “medium” *Preprocessed: Removed vertical images and images with people inside	10d	32 x 32	Squared original// Squared original//N.A Cropping size: minimum dimension of the input image	540	Squared original// Squared original//N.A Cropping size: minimum dimension of the input image	271	15	0.005	60.14	0	N/A	0.15	60.14
Field images only; growing season “medium” *Preprocessed: Removed vertical images and images with people inside	10d	32 x 32	Squared original// Squared original//N.A Cropping size: minimum dimension of the input image	540	Squared original// Squared original//N.A Cropping size: minimum dimension of the input image	271	30	0.005	54.98	9.96	N/A	0.3	64.94
Field images only; growing	10d	32 x 32	Squared original// Squared original//N.A	540	Squared original// Squared original//N.A	271	50	0.005	53.13	14.76	N/A	0.46	67.89

Segmentation type	Model no.	Input size	Training set Class Good//Medium//Poor	#Training samples	Testing set Class Good//Medium//Poor	#Testing samples	#Iteration	Learning rate	%TP Good	%TP Avg	%TP Poor	Training time (min)	Accuracy
season "medium" *Preprocessed: Removed vertical images and images with people inside			Cropping size: minimum dimension of the input image		Cropping size: minimum dimension of the input image								
Field images only; growing season "medium" *Preprocessed: Removed vertical images and images with people inside	10d	32 x 32	Squared original// Squared original//N.A Cropping size: minimum dimension of the input image	540	Squared original// Squared original//N.A Cropping size: minimum dimension of the input image	271	100	0.005	34.68	21.03	N/A	0.85	55.71
Field images only; growing season "medium" *Preprocessed: Removed vertical images and images with people inside	10e	32 x 32	Squared original & 400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop // Squared original & 400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop //N.A	2160	Squared original// Squared original//N.A Cropping size: minimum dimension of the input image	271	15	0.005	49.44	16.61	N/A	0.5	66.05
Field images only; growing season "medium" *Preprocessed: Removed	10e	32 x 32	Squared original & 400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop // Squared	2160	Squared original// Squared original//N.A Cropping size: minimum dimension of the input image	271	50	0.005	43.54	15.13	N/A	1.61	58.67

Segmentation type	Model no.	Input size	Training set Class Good//Medium//Poor	#Training samples	Testing set Class Good//Medium//Poor	#Testing samples	#Iteration	Learning rate	%TP Good	%TP Avg	%TP Poor	Training time (min)	Accuracy
vertical images and images with people inside			original & 400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop //N.A										
Field images only; growing season “early” and “late”  *Preprocessed: Removed vertical images and images with people inside	11a	32 x 32	Original//Original//N.A	663	Original//Original//N.A	334	15	0.005	17.66	40.72	N/A	0.18	58.38
Field images only; growing season “early” and “late”  *Preprocessed: Removed vertical images and images with people inside	11a	32 x 32	Original//Original//N.A	663	Original//Original//N.A	334	50	0.005	32.33	23.35	N/A	0.51	55.68
Field images only; growing season “early” and “late”  *Preprocessed: Removed vertical images and images with people inside	11b	32 x 32	Original & 400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop // Original & 400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop //N.A	2652	Original//Original//N.A	334	15	0.005	36.52	26.35	N/A	0.68	62.87

Segmentation type	Model no.	Input size	Training set Class Good//Medium//Poor	#Training samples	Testing set Class Good//Medium//Poor	#Testing samples	#Iteration	Learning rate	%TP Good	%TP Avg	%TP Poor	Training time (min)	Accuracy
Field images only; growing season “early” and “late” *Preprocessed: Removed vertical images and images with people inside	11b	32 x 32	Original & 400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop // Original & 400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop //N.A	2652	400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop // 400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop //N.A	1002	15	0.005	32.43	29.44	N/A	0.68	61.87
Field images only; growing season “early” and “late” *Preprocessed: Removed vertical images and images with people inside	11b	32 x 32	Original & 400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop // Original & 400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop //N.A	2652	Original & 400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop // Original & 400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop //N.A	1336	15	0.005	33.45	28.66	N/A	0.68	62.11
Field images only; growing season “early” and “late” *Preprocessed: Removed vertical images and images with people inside	11c	32 x 32	400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop // 400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop //N.A	1989	Original//Original//N.A	334	15	0.005	43.11	13.17	N/A	0.68	56.28
Field images only; growing season “early” and “late”	11c	32 x 32	400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop // 400x400 pixels	1989	400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop // 400x400 pixels	1002	15	0.005	34.73	26.54	N/A	0.4	61.27

Segmentation type	Model no.	Input size	Training set Class Good//Medium//Poor	#Training samples	Testing set Class Good//Medium//Poor	#Testing samples	#Iteration	Learning rate	%TP Good	%TP Avg	%TP Poor	Training time (min)	Accuracy
*Preprocessed: Removed vertical images and images with people inside			center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop //N.A		center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop //N.A								
Field images only; growing season “early” and “late”	11c	32 x 32	400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop // 400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop //N.A	1989	Original & 400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop // Original & 400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop //N.A	1336	15	0.005	36.82	23.20	N/A	0.4	60.02
*Preprocessed: Removed vertical images and images with people inside													
Field images only; growing season “early” and “late”	11d	32 x 32	Squared original// Squared original//N.A Cropping size: minimum dimension of the input image	663	Squared original// Squared original//N.A Cropping size: minimum dimension of the input image	334	15	0.005	24.55	32.03	N/A	0.16	56.58
*Preprocessed: Removed vertical images and images with people inside													
Field images only; growing season “early” and “late”	11d	32 x 32	Squared original// Squared original//N.A Cropping size: minimum dimension of the input image	663	Squared original// Squared original//N.A Cropping size: minimum dimension of the input image	334	50	0.005	18.86	38.02	N/A	0.51	56.88
*Preprocessed: Removed vertical images and images													

Segmentation type	Model no.	Input size	Training set Class Good//Medium//Poor	#Training samples	Testing set Class Good//Medium//Poor	#Testing samples	#Iteration	Learning rate	%TP Good	%TP Avg	%TP Poor	Training time (min)	Accuracy
with people inside													
Field images only; growing season “early” and “late” *Preprocessed: Removed vertical images and images with people inside	11d	32 x 32	Squared original & 400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop // Squared original & 400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop //N.A	2652	Squared original// Squared original//N.A Cropping size: minimum dimension of the input image	334	15	0.005	21.55	38.02	N/A	0.62	59.57
Field images only; growing season “early” and “late” *Preprocessed: Removed vertical images and images with people inside	11d	32 x 32	Squared original & 400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop // Squared original & 400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop //N.A	2652	Squared original// Squared original//N.A Cropping size: minimum dimension of the input image	334	50	0.005	39.82	19.46	N/A	2	59.28
Field images only; growing season “mid” and “late” *Preprocessed: Removed vertical images and images with people inside	12a	32 x 32	Original//Original//N.A	765	Original//Original//N.A	385	15	0.005	45.19	13.24	N/A	0.18	58.43

Segmentation type	Model no.	Input size	Training set Class Good//Medium//Poor	#Training samples	Testing set Class Good//Medium//Poor	#Testing samples	#Iteration	Learning rate	%TP Good	%TP Avg	%TP Poor	Training time (min)	Accuracy
with people inside													
Field images only; growing season “mid” and “late” *Preprocessed: Removed vertical images and images with people inside	12a	32 x 32	Original//Original//N.A	765	Original//Original//N.A	385	50	0.005	32.46	24.93	N/A	0.6	57.39
Field images only; growing season “mid” and “late” *Preprocessed: Removed vertical images and images with people inside	12b	32 x 32	Original & 400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop // Original & 400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop //N.A	3060	Original//Original//N.A	385	15	0.005	47.53	17.40	N/A	0.73	64.93
Field images only; growing season “mid” and “late” *Preprocessed: Removed vertical images and images with people inside	12b	32 x 32	Original & 400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop // Original & 400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop // 400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop //N.A	3060	400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop // 400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop //N.A	1155	15	0.005	47.62	12.38	N/A	0.73	60.00

Segmentation type	Model no.	Input size	Training set Class Good//Medium//Poor	#Training samples	Testing set Class Good//Medium//Poor	#Testing samples	#Iteration	Learning rate	%TP Good	%TP Avg	%TP Poor	Training time (min)	Accuracy
Field images only; growing season “mid” and “late” *Preprocessed: Removed vertical images and images with people inside	12b	32 x 32	Original & 400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop // Original & 400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop //N.A	3060	Original & 400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop // Original & 400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop //N.A	1540	15	0.005	47.59	13.63	N/A	0.73	61.22
Field images only; growing season “mid” and “late” *Preprocessed: Removed vertical images and images with people inside	12c	32 x 32	400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop // 400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop //N.A	2295	Original//Original//N.A	385	15	0.005	37.14	19.22	N/A	0.55	56.36
Field images only; growing season “mid” and “late” *Preprocessed: Removed vertical images and images with people inside	12c	32 x 32	400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop // 400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop //N.A	2295	400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop // 400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop //N.A	1155	15	0.005	45.37	17.40	N/A	0.55	62.77
Field images only; growing season “mid” and “late”	12c	32 x 32	400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop // 400x400 pixels	2295	Original & 400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop // Original &	1540	15	0.005	43.31	17.85	N/A	0.55	61.16

Segmentation type	Model no.	Input size	Training set Class Good//Medium//Poor	#Training samples	Testing set Class Good//Medium//Poor	#Testing samples	#Iteration	Learning rate	%TP Good	%TP Avg	%TP Poor	Training time (min)	Accuracy
*Preprocessed: Removed vertical images and images with people inside			center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop //N.A		400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop //N.A								
Field images only; growing season “mid” and “late”	12d	32 x 32	Squared original// Squared original//N.A Cropping size: minimum dimension of the input image	765	Squared original// Squared original//N.A Cropping size: minimum dimension of the input image	385	15	0.005	34.80	28.57	N/A	0.22	63.37
*Preprocessed: Removed vertical images and images with people inside													
Field images only; growing season “mid” and “late”	12d	32 x 32	Squared original// Squared original//N.A Cropping size: minimum dimension of the input image	765	Squared original// Squared original//N.A Cropping size: minimum dimension of the input image	385	50	0.005	42.33	22.59	N/A	0.6	64.92
*Preprocessed: Removed vertical images and images with people inside													
Field images only; growing season “mid” and “late”	12d	32 x 32	Squared original & 400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop // Squared original & 400x400 pixels center crop& 400x400 pixels bottom left crop &	3060	Squared original// Squared original//N.A Cropping size: minimum dimension of the input image	385	15	0.005	42.85	19.22	N/A	0.75	62.07
*Preprocessed: Removed vertical images and images													

Segmentation type	Model no.	Input size	Training set Class Good//Medium//Poor	#Training samples	Testing set Class Good//Medium//Poor	#Testing samples	#Iteration	Learning rate	%TP Good	%TP Avg	%TP Poor	Training time (min)	Accuracy
with people inside			400x400 pixels bottom right crop //N.A										
Field images only; growing season “mid” and “late” *Preprocessed: Removed vertical images and images with people inside	12d	32 x 32	Squared original & 400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop // Squared original & 400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop //N.A	3060	Squared original// Squared original//N.A Cropping size: minimum dimension of the input image	385	50	0.005	47.53	14.80	N/A	2.3	62.33
Field images only; all growing seasons *Preprocessed: Removed vertical images and images with people inside	13a	32 x 32	Original & 448x448 pixels center crop& 448x448 pixels bottom left crop & 448x448 pixels bottom right crop // Original & 448x448 pixels center crop& 448x448 pixels bottom left crop & 448x448 pixels bottom right crop //N.A	4812	Original//Original//N.A	605	15	0.005	37.52	24.79	N/A	1.1	62.31
Field images only; all growing seasons *Preprocessed: Removed vertical images and images with people inside	13b	32 x 32	448x448 pixels center crop& 448x448 pixels bottom left crop & 448x448 pixels bottom right crop //448x448 pixels center crop& 448x448 pixels bottom left crop & 448x448 pixels bottom right crop //N.A	3609	448x448 pixels center crop& 448x448 pixels bottom left crop & 448x448 pixels bottom right crop //448x448 pixels center crop& 448x448 pixels bottom left crop & 448x448 pixels bottom right crop //N.A	1815	15	0.005	46.39	15.97	N/A	0.83	62.36

Segmentation type	Model no.	Input size	Training set Class Good//Medium//Poor	#Training samples	Testing set Class Good//Medium//Poor	#Testing samples	#Iteration	Learning rate	%TP Good	%TP Avg	%TP Poor	Training time (min)	Accuracy
Field images only; growing season “medium” *Preprocessed: Removed vertical images and images with people inside	14a	32 x 32	Original & 448x448 pixels center crop& 448x448 pixels bottom left crop & 448x448 pixels bottom right crop // Original & 448x448 pixels center crop& 448x448 pixels bottom left crop & 448x448 pixels bottom right crop //N.A	2160	Original//Original//N.A	271	15	0.005	52.39	14.02	N/A	0.48	66.41
Field images only; growing season “medium” *Preprocessed: Removed vertical images and images with people inside	14a	32 x 32	448x448 pixels center crop& 448x448 pixels bottom left crop & 448x448 pixels bottom right crop //448x448 pixels center crop& 448x448 pixels bottom left crop & 448x448 pixels bottom right crop //N.A	1620	448x448 pixels center crop& 448x448 pixels bottom left crop & 448x448 pixels bottom right crop //448x448 pixels center crop& 448x448 pixels bottom left crop & 448x448 pixels bottom right crop //N.A	813	15	0.005	51.90	12.17	N/A	0.4	64.07
Field images only; growing season “early” and “late” *Preprocessed: Removed vertical images and images with people inside	15a	32 x 32	Original & 448x448 pixels center crop& 448x448 pixels bottom left crop & 448x448 pixels bottom right crop // Original & 448x448 pixels center crop& 448x448 pixels bottom left crop & 448x448 pixels bottom right crop //N.A	2652	Original//Original//N.A	334	15	0.005	35.02	26.04	N/A	0.61	61.06
Field images only; growing season “early” and “late”	15b	32 x 32	448x448 pixels center crop& 448x448 pixels bottom left crop & 448x448 pixels bottom right crop //448x448 pixels	1989	448x448 pixels center crop& 448x448 pixels bottom left crop & 448x448 pixels bottom right crop //448x448 pixels	1002	15	0.005	44.51	14.77	N/A	0.51	59.28

Segmentation type	Model no.	Input size	Training set Class <b>Good//Medium//Poor</b>	#Training samples	Testing set Class <b>Good//Medium//Poor</b>	#Testing samples	#Iteration	Learning rate	%TP Good	%TP Avg	%TP Poor	Training time (min)	Accuracy
*Preprocessed: Removed vertical images and images with people inside			center crop& 448x448 pixels bottom left crop & 448x448 pixels bottom right crop //N.A		center crop& 448x448 pixels bottom left crop & 448x448 pixels bottom right crop //N.A								

\*There was not enough allocated RAM on the virtual machine for us to execute experiment 6b

**Appendix E**  
**Results table 2 – Experiment on some representative datasets with different network configurations**

Segmentation type	Model no.	Input size	Training set Class <b>Good//Medium//Poor</b>	#Training samples	Testing set Class <b>Good//Medium//Poor</b>	#Testing samples	Network component	New value	%TP Good	%TP Avg	Training time (min)	Accuracy
Field images only; all growing seasons *Preprocessed: Removed vertical images and images with people inside	E1a	32 x 32	Original & 400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop // Original & 400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop //N.A	4812	Original//Original//N.A	605	#convolutional layer	4	50.57	8.42	1.46	58.99
Field images only; all growing seasons *Preprocessed: Removed vertical images and images with people inside	E1b	32 x 32	400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop // 400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop // 400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop //N.A	3609	400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop // 400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop //N.A	1815	#convolutional layer	4	52.67	5.50	1.13	58.17
Field images only; growing seasons “medium” *Preprocessed: Removed vertical images and images with people inside	E2a	32 x 32	Original & 400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop // Original & 400x400 pixels center crop& 400x400 pixels bottom left crop &	2160	Original//Original//N.A	271	#convolutional layer	4	60.14	0	0.66	60.14

Segmentation type	Model no.	Input size	Training set Class Good//Medium//Poor	#Training samples	Testing set Class Good//Medium//Poor	#Testing samples	Network component	New value	%TP Good	%TP Avg	Training time (min)	Accuracy
Field images only; growing seasons “medium” *Preprocessed: Removed vertical images and images with people inside	E2a	32 x 32	400x400 pixels bottom right crop //N.A 400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop // 400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop //N.A	1620	400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop // 400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop //N.A	813	#convolutional layer	4	60.14	0	0.51	60.14
Field images only; growing seasons “early” & “late” *Preprocessed: Removed vertical images and images with people inside	E3a	32 x 32	Original & 400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop // Original & 400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop //N.A	2652	Original//Original//N.A	334	#convolutional layer	4	50	4.49	0.83	54.49
Field images only; growing seasons “early” & “late” *Preprocessed: Removed vertical images and images with people inside	E3a	32 x 32	400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop // 400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop //N.A	1989	400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop // 400x400 pixels center crop& 400x400 pixels bottom left crop & 400x400 pixels bottom right crop //N.A	1002	#convolutional layer	4	33.03	29.74	1.01	62.77

\*Learning rate = 0.005 and #iterations = 15 for all cases

## Appendix F

### Results of cane grading by experts

<b>Subject ID</b>	<b>Years of experience</b>	<b>Image Ordinal</b>	<b>True Class</b>	<b>Assigned Class</b>
1	2	1	2	1
		2	3	3
		3	2	1
		4	1	1
		5	2	2
		6	1	1
		7	1	1
		8	2	2
		9	3	3
		10	1	1
		11	3	2
		12	3	1
		13	3	2
		14	3	2
		15	3	2
		16	1	1
		17	1	1
		18	2	1
		19	1	1
		20	2	1
		21	2	2
		22	1	1
		23	3	2
		24	2	1
		25	1	1
		26	2	1
		27	3	2
		28	3	2
		29	1	2
		30	2	2
2	2	1	2	2
		2	1	1
		3	2	1
		4	2	2

<b>Subject ID</b>	<b>Years of experience</b>	<b>Image Ordinal</b>	<b>True Class</b>	<b>Assigned Class</b>
3	1	5	1	1
		6	2	2
		7	2	2
		8	1	1
		9	3	3
		10	1	1
		11	1	2
		12	3	3
		13	1	1
		14	2	1
		15	2	2
		16	3	3
		17	1	1
		18	2	2
		19	3	3
		20	2	3
		21	1	1
		22	3	3
		23	3	2
		24	3	3
		25	1	1
		26	3	2
		27	2	1
		28	3	3
		29	3	3
		30	1	2
		1	1	2
		2	2	2
		3	3	3
		4	1	1
		5	1	1
		6	1	1
		7	2	2
		8	1	1
		9	3	2
		10	3	1
		11	3	3

<b>Subject ID</b>	<b>Years of experience</b>	<b>Image Ordinal</b>	<b>True Class</b>	<b>Assigned Class</b>
4	1	12	2	1
		13	2	2
		14	1	3
		15	1	1
		16	2	1
		17	3	3
		18	2	2
		19	1	2
		20	3	2
		21	1	1
		22	1	1
		23	2	2
		24	2	2
		25	3	3
		26	3	3
		27	3	3
		28	3	3
		29	2	1
		30	2	1
		1	1	2
		2	3	3
		3	1	1
		4	2	2
		5	3	2
		6	1	1
		7	2	2
		8	3	2
		9	1	1
		10	1	2
		11	2	2
		12	3	3
		13	1	1
		14	1	2
		15	1	1
		16	1	1
		17	2	1
		18	3	1

<b>Subject ID</b>	<b>Years of experience</b>	<b>Image Ordinal</b>	<b>True Class</b>	<b>Assigned Class</b>
5	4	19	3	3
		20	1	2
		21	2	1
		22	2	1
		23	2	3
		24	3	3
		25	3	2
		26	3	3
		27	2	2
		28	2	1
		29	3	2
		30	2	1
		1	3	2
		2	1	1
		3	3	3
		4	3	2
		5	3	2
		6	1	1
		7	1	2
		8	2	2
		9	2	3
		10	3	2
		11	1	2
		12	2	1
		13	3	2
		14	1	1
		15	1	2
		16	2	2
		17	1	1
		18	3	2
		19	2	2
		20	2	1
		21	3	2
		22	1	1
		23	2	1
		24	2	1
		25	2	2

<b>Subject ID</b>	<b>Years of experience</b>	<b>Image Ordinal</b>	<b>True Class</b>	<b>Assigned Class</b>
6	1	26	3	2
		27	1	1
		28	2	2
		29	1	1
		30	3	2
		1	1	2
		2	3	2
		3	1	1
		4	2	2
		5	2	2
		6	3	2
		7	2	1
		8	1	1
		9	1	1
		10	1	2
		11	2	2
		12	3	2
		13	2	2
		14	2	2
		15	3	3
		16	1	1
		17	2	2
		18	2	2
		19	3	3
		20	3	3
		21	2	2
		22	1	2
		23	3	3
		24	3	3
		25	3	3
		26	1	2
		27	3	3
		28	1	2
		29	1	2
		30	2	3