

#### **CHAPTER 7**

## More SQL: Complex Queries, Triggers, Views, and Schema Modification

### Chapter 7 Outline

- More Complex SQL Retrieval Queries
- Specifying Semantic Constraints as Assertions and Actions as Triggers
- Views (Virtual Tables) in SQL
- Schema Modification in SQL

## More Complex SQL Retrieval Queries

- Additional features allow users to specify more complex retrievals from database:
  - Nested queries, joined tables, and outer joins (in the FROM clause), aggregate functions, and grouping

## Comparisons Involving NULL and Three-Valued Logic

- Meanings of NULL
  - Unknown value
  - Unavailable or withheld value
  - Not applicable attribute
- Each individual NULL value considered to be different from every other NULL value
- SQL uses a three-valued logic:
  - TRUE, FALSE, and UNKNOWN (like Maybe)
- NULL = NULL comparison is avoided

## Comparisons Involving NULL and Three-Valued Logic (cont'd.)

Table 7.1	Logical Connectives in Three-Valued Logic			
(a)	AND	TRUE	FALSE	UNKNOWN
	TRUE	TRUE	FALSE	UNKNOWN
	FALSE	FALSE	FALSE	FALSE
	UNKNOWN	UNKNOWN	FALSE	UNKNOWN
(b) _	OR	TRUE	FALSE	UNKNOWN
	TRUE	TRUE	TRUE	TRUE
	FALSE	TRUE	FALSE	UNKNOWN
	UNKNOWN	TRUE	UNKNOWN	UNKNOWN
(c) _	NOT	1		
	TRUE	FALSE		
	FALSE	TRUE		
	UNKNOWN	UNKNOWN		

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# Comparisons Involving NULL and Three-Valued Logic (cont'd.)

- SQL allows queries that check whether an attribute value is NULL
  - IS or IS NOT NULL

Query 18. Retrieve the names of all employees who do not have supervisors.

Q18: SELECT Fname, Lname

FROM EMPLOYEE

WHERE Super\_ssn IS NULL;

## Nested Queries, Tuples, and Set/Multiset Comparisons

#### Nested queries

- Complete select-from-where blocks within WHERE clause of another query
- Outer query and nested subqueries
- Comparison operator IN
  - Compares value v with a set (or multiset) of values
  - Evaluates to TRUE if v is one of the elements in V

Q4A: SELECT DISTINCT Pnumber

FROM PROJECT
WHERE Pnumber IN

( SELECT Pnumber

FROM PROJECT, DEPARTMENT, EMPLOYEE

WHERE Dnum=Dnumber AND

Mgr\_ssn=Ssn AND Lname='Smith')

OR

Pnumber IN

( SELECT Pno

FROM WORKS\_ON, EMPLOYEE

WHERE Essn=Ssn AND Lname='Smith');

- Use tuples of values in comparisons
  - Place them within parentheses

```
SELECT DISTINCT Essn

FROM WORKS_ON

WHERE (Pno, Hours) IN ( SELECT Pno, Hours
FROM WORKS_ON
WHERE Essn='123456789');
```

- Use other comparison operators to compare a single value v
  - = ANY (or = SOME) operator
    - Returns TRUE if the value *v* is equal to some value in the set *V* and is hence equivalent to IN
  - Other operators that can be combined with ANY (or SOME): >, >=, <, <=, and <>
  - ALL: value must exceed all values from nested

```
QUETY

SELECT Lname, Fname
FROM EMPLOYEE
WHERE Salary > ALL (SELECT Salary
FROM EMPLOYEE
WHERE Dno=5);
```

- Avoid potential errors and ambiguities
  - Create tuple variables (aliases) for all tables referenced in SQL query

**Query 16.** Retrieve the name of each employee who has a dependent with the same first name and is the same sex as the employee.

```
Q16: SELECT E.Fname, E.Lname
FROM EMPLOYEE AS E
WHERE E.Ssn IN ( SELECT Essn
FROM DEPENDENT AS D
WHERE E.Fname=D.Dependent_name
AND E.Sex=D.Sex );
```

### **Correlated Nested Queries**

Queries that are nested using the = or IN comparison operator can be collapsed into one single block: E.g., Q16 can be written as:

■ Q16A: SELECT E.Fname, E.Lname

**FROM** EMPLOYEE **AS** E, DEPENDENT **AS** D

WHERE E.Ssn=D.Essn AND E.Sex=D.Sex

**AND** 

E.Fname=D.Dependent\_name;

- Correlated nested query
  - Evaluated once for each tuple in the outer query

# The EXISTS and UNIQUE Functions in SQL for correlating queries

- EXISTS function
  - Check whether the result of a correlated nested query is empty or not. They are Boolean functions that return a TRUE or FALSE result.
- EXISTS and NOT EXISTS
  - Typically used in conjunction with a correlated nested query
- **SQL** function UNIQUE (Q)
  - Returns TRUE if there are no duplicate tuples in the result of query Q

### **USE of EXISTS**

#### **Q7:**

```
SELECT Fname, Lname
FROM Employee
WHERE EXISTS (SELECT *
FROM DEPENDENT
WHERE Ssn= Essn)
```

AND **EXISTS** (SELECT \* FROM Department WHERE Ssn= Mgr\_Ssn)

#### **USE OF NOT EXISTS**

To achieve the "for all" (universal quantifier- see Ch.8) effect, we use double negation this way in SQL:

Query: List first and last name of employees who work on ALL projects controlled by Dno=5.

SELECT Fname, Lname
FROM Employee
WHERE **NOT EXISTS** ( (SELECT Pnumber
FROM PROJECT
WHERE Dno=5)

FROM WORKS\_ON
WHERE Ssn= ESsn)

The above is equivalent to double negation: List names of those employees for whom there does NOT exist a project managed by department no. 5 that they do NOT work on.

## Double Negation to accomplish "for all" in SQL

```
FROM EMPLOYEE
WHERE NOT EXISTS ( SELECT *
FROM WORKS_ON B
WHERE (B.Pno IN ( SELECT Pnumber
FROM PROJECT
WHERE Dnum=5
AND
```

```
NOT EXISTS (SELECT
FROM WORKS_ON C
WHERE C.Essn=Ssn
AND C.Pno=B.Pno )));
```

The above is a direct rendering of: List names of those employees for whom there does NOT exist a project managed by department no. 5 that they do NOT work on.

## Explicit Sets and Renaming of Attributes in SQL

Can use explicit set of values in WHERE clause

Q17: SELECT DISTINCT Essn

FROM WORKS\_ON

**WHERE** Pno **IN** (1, 2, 3);

- Use qualifier AS followed by desired new name
  - Rename any attribute that appears in the result of a query

Q8A: SELECT E.Lname AS Employee\_name, S.Lname AS Supervisor\_name

FROM EMPLOYEE AS E, EMPLOYEE AS S

WHERE E.Super\_ssn=S.Ssn;

## Specifying Joined Tables in the FROM Clause of SQL

#### Joined table

- Permits users to specify a table resulting from a join operation in the FROM clause of a query
- The FROM clause in Q1A
  - Contains a single joined table. JOIN may also be called INNER JOIN

```
Q1A: SELECT Fname, Lname, Address
FROM (EMPLOYEE JOIN DEPARTMENT ON Dno=Dnumber)
WHERE Dname='Research';
```

## Different Types of JOINed Tables in SQL

- Specify different types of join
  - NATURAL JOIN
  - Various types of OUTER JOIN (LEFT, RIGHT, FULL)
- NATURAL JOIN on two relations R and S
  - No join condition specified
  - Is equivalent to an implicit EQUIJOIN condition for each pair of attributes with same name from R and S

#### **NATURAL JOIN**

Rename attributes of one relation so it can be joined with another using NATURAL JOIN:

Q1B: SELECT Fname, Lname, Address

FROM (EMPLOYEE NATURAL JOIN

(DEPARTMENT AS DEPT (Dname, Dno, Mssn,

Msdate)))

**WHERE** Dname='Research';

The above works with EMPLOYEE.Dno = DEPT.Dno as an implicit join condition

#### **INNER and OUTER Joins**

- INNER JOIN (versus OUTER JOIN)
  - Default type of join in a joined table
  - Tuple is included in the result only if a matching tuple exists in the other relation
- LEFT OUTER JOIN
  - Every tuple in left table must appear in result
  - If no matching tuple
    - Padded with NULL values for attributes of right table
- RIGHT OUTER JOIN
  - Every tuple in right table must appear in result
  - If no matching tuple
    - Padded with NULL values for attributes of left table

### **Example: LEFT OUTER JOIN**

SELECT E.Lname **AS** Employee\_Name S.Lname **AS** Supervisor\_Name

FROM Employee **AS** E **LEFT OUTER JOIN** EMPLOYEE **AS** S ON E.Super\_ssn = S.Ssn)

#### **ALTERNATE SYNTAX:**

SELECT E.Lname, S.Lname

FROM EMPLOYEE E, EMPLOYEE S

WHERE E.Super\_ssn + = S.Ssn

### Multiway JOIN in the FROM clause

- FULL OUTER JOIN combines result if LEFT and RIGHT OUTER JOIN
- Can nest JOIN specifications for a multiway join:

Q2A: SELECT Pnumber, Dnum, Lname, Address, Bdate

FROM ((PROJECT JOIN DEPARTMENT ON

Dnum=Dnumber) JOIN EMPLOYEE ON

Mgr\_ssn=Ssn)

WHERE Plocation='Stafford';

## Aggregate Functions in SQL

- Used to summarize information from multiple tuples into a single-tuple summary
- Built-in aggregate functions
  - COUNT, SUM, MAX, MIN, and AVG
- Grouping
  - Create subgroups of tuples before summarizing
- To select entire groups, HAVING clause is used
- Aggregate functions can be used in the SELECT clause or in a HAVING clause

## Renaming Results of Aggregation

Following query returns a single row of computed values from EMPLOYEE table:

Q19: SELECT SUM (Salary), MAX (Salary), MIN (Salary), AVG (Salary)

**FROM** EMPLOYEE;

The result can be presented with new names:

Q19A: SELECT SUM (Salary) AS Total\_Sal, MAX (Salary) AS

Highest\_Sal, MIN (Salary) AS Lowest\_Sal, AVG

(Salary) **AS** Average\_Sal

**FROM** EMPLOYEE;

## Aggregate Functions in SQL (cont'd.)

 NULL values are discarded when aggregate functions are applied to a particular column

**Query 20.** Find the sum of the salaries of all employees of the 'Research' department, as well as the maximum salary, the minimum salary, and the average salary in this department.

O20: SELECT SUM (Salary), MAX (Salary), MIN (Salary), AVG (Salary)
FROM (EMPLOYEE JOIN DEPARTMENT ON Dno=Dnumber)

WHERE Dname='Research';

Queries 21 and 22. Retrieve the total number of employees in the company (Q21) and the number of employees in the 'Research' department (Q22).

Q21: SELECT COUNT (\*)

FROM EMPLOYEE;

Q22: SELECT COUNT (\*)

FROM EMPLOYEE, DEPARTMENT

WHERE DNO=DNUMBER AND DNAME='Research';

### Aggregate Functions on Booleans

- SOME and ALL may be applied as functions on Boolean Values.
- SOME returns true if at least one element in the collection is TRUE (similar to OR)
- ALL returns true if all of the elements in the collection are TRUE (similar to AND)

### Grouping: The GROUP BY Clause

- Partition relation into subsets of tuples
  - Based on grouping attribute(s)
  - Apply function to each such group independently
- GROUP BY clause
  - Specifies grouping attributes
- COUNT (\*) counts the number of rows in the group

### **Examples of GROUP BY**

The grouping attribute must appear in the SELECT clause:

Q24: SELECT Dno, COUNT (\*), AVG (Salary)

FROM EMPLOYEE

**GROUP BY** Dno;

 If the grouping attribute has NULL as a possible value, then a separate group is created for the null value (e.g., null Dno in the above query)

GROUP BY may be applied to the result of a JOIN:

Q25: SELECT Pnumber, Pname, COUNT (\*)

**FROM** PROJECT, WORKS\_ON

WHERE Pnumber=Pno

**GROUP BY** Pnumber, Pname;

# Grouping: The GROUP BY and HAVING Clauses (cont'd.)

- HAVING clause
  - Provides a condition to select or reject an entire group:
- Query 26. For each project on which more than two employees work, retrieve the project number, the project name, and the number of employees who work on the project.

Q26: SELECT Pnumber, Pname, COUNT (\*)

FROM PROJECT, WORKS\_ON

WHERE Pnumber=Pno

**GROUP BY** Pnumber, Pname

**HAVING** COUNT (\*) > 2;

## Combining the WHERE and the HAVING Clause

Consider the query: we want to count the *total* number of employees whose salaries exceed \$40,000 in each department, but only for departments where more than five employees work.

#### INCORRECT QUERY:

SELECT Dno, COUNT (\*)

FROM EMPLOYEE

WHERE Salary>40000

**GROUP BY** Dno

**HAVING** COUNT (\*) > 5;

# Combining the WHERE and the HAVING Clause (continued)

#### Correct Specification of the Query:

 Note: the WHERE clause applies tuple by tuple whereas HAVING applies to entire group of tuples

**Query 28.** For each department that has more than five employees, retrieve the department number and the number of its employees who are making more than \$40,000.

```
O28: SELECT Dnumber, COUNT (*)
FROM DEPARTMENT, EMPLOYEE
WHERE Dnumber=Dno AND Salary>40000 AND
( SELECT Dno
FROM EMPLOYEE
GROUP BY Dno
HAVING COUNT (*) > 5)
```

### Use of WITH

- The WITH clause allows a user to define a table that will only be used in a particular query (not available in all SQL implementations)
- Used for convenience to create a temporary "View" and use that immediately in a query
- Allows a more straightforward way of looking a step-by-step query

### Example of WITH

See an alternate approach to doing Q28:

```
Q28': WITH BIGDEPTS (Dno) AS
```

( **SELECT** Dno

FROM EMPLOYEE

**GROUP BY** Dno

**HAVING COUNT** (\*) > 5)

SELECT Dno, COUNT (\*)

**FROM** EMPLOYEE

WHERE Salary>40000 AND Dno IN BIGDEPTS

**GROUP BY** Dno;

### Use of CASE

- SQL also has a CASE construct
- Used when a value can be different based on certain conditions.
- Can be used in any part of an SQL query where a value is expected
- Applicable when querying, inserting or updating tuples

### **EXAMPLE** of use of CASE

 The following example shows that employees are receiving different raises in different departments (A variation of the update U6)

■ U6': UPDATE EMPLOYEE

**SET** Salary =

CASE WHEN Dno = 5THEN Salary + 2000

WHEN Dno = 4THEN Salary + 1500

WHEN Dno = 1THEN Salary + 3000

### Recursive Queries in SQL

- An example of a recursive relationship between tuples of the same type is the relationship between an employee and a supervisor.
- This relationship is described by the foreign key Super\_ssn of the EMPLOYEE relation
- An example of a **recursive operation** is to retrieve all supervisees of a supervisory employee *e* at all levels—that is, all employees *e'* directly supervised by *e*, all employees *e''* directly supervised by each employee *e''*, all employees *e'''* directly supervised by each employee *e''*, and so on. Thus the CEO would have each employee in the company as a supervisee in the resulting table. Example shows such table SUP\_EMP with 2 columns (Supervisor, Supervisee (any level)):

## An EXAMPLE of RECURSIVE Query

Q29: WITH RECURSIVE SUP\_EMP (SupSsn, EmpSsn) AS

**SELECT** SupervisorSsn, Ssn

FROM EMPLOYEE

**UNION** 

**SELECT** E.Ssn, S.SupSsn

**FROM** EMPLOYEE **AS** E, SUP\_EMP **AS** S

**WHERE** E.SupervisorSsn = S.EmpSsn)

SELECT \*

FROM SUP\_EMP;

The above query starts with an empty SUP\_EMP and successively builds SUP\_EMP table by computing immediate supervisees first, then second level supervisees, etc. until a **fixed point** is reached and no more supervisees can be added

## EXPANDED Block Structure of SQL Queries

```
SELECT <attribute and function list>
FROM 
[ WHERE <condition> ]
[ GROUP BY <grouping attribute(s)> ]
[ HAVING <group condition> ]
[ ORDER BY <attribute list> ];
```

# Specifying Constraints as Assertions and Actions as Triggers

- Semantic Constraints: The following are beyond the scope of the EER and relational model
- CREATE ASSERTION
  - Specify additional types of constraints outside scope of built-in relational model constraints
- CREATE TRIGGER
  - Specify automatic actions that database system will perform when certain events and conditions occur

## Specifying General Constraints as Assertions in SQL

#### CREATE ASSERTION

- Specify a query that selects any tuples that violate the desired condition
- Use only in cases where it goes beyond a simple CHECK which applies to individual attributes and domains

```
CREATE ASSERTION SALARY_CONSTRAINT
CHECK ( NOT EXISTS ( SELECT *
FROM EMPLOYEE E, EMPLOYEE M,
DEPARTMENT D
WHERE E.Salary>M.Salary
AND E.Dno=D.Dnumber
AND D.Mgr_ssn=M.Ssn ) );
```

## Introduction to Triggers in SQL

- CREATE TRIGGER statement
  - Used to monitor the database
- Typical trigger has three components which make it a rule for an "active database " (more on active databases in section 26.1):
  - Event(s)
  - Condition
  - Action

#### **USE OF TRIGGERS**

 AN EXAMPLE with standard Syntax.(Note: other SQL implementations like PostgreSQL use a different syntax.)

**R5**:

CREATE TRIGGER SALARY\_VIOLATION
BEFORE INSERT OR UPDATE OF Salary, Supervisor\_ssn ON
EMPLOYEE

FOR EACH ROW
WHEN (NEW.SALARY > ( SELECT Salary FROM EMPLOYEE
WHERE Ssn = NEW. Supervisor\_Ssn))
INFORM\_SUPERVISOR (NEW.Supervisor.Ssn, New.Ssn)

## Views (Virtual Tables) in SQL

- Concept of a view in SQL
  - Single table derived from other tables called the defining tables
  - Considered to be a virtual table that is not necessarily populated

## Specification of Views in SQL

#### CREATE VIEW command

- Give table name, list of attribute names, and a query to specify the contents of the view
- In V1, attributes retain the names from base tables. In V2, attributes are assigned names

```
CREATE VIEW
V1:
                    WORKS ON1
       AS SELECT
                    Fname, Lname, Pname, Hours
          FROM
                    EMPLOYEE, PROJECT, WORKS_ON
```

WHERE Ssn=Essn AND Pno=Pnumber:

CREATE VIEW DEPT\_INFO(Dept\_name, No\_of\_emps, Total\_sal) V2:

> AS SELECT Dname, COUNT (\*), SUM (Salary)

FROM DEPARTMENT, EMPLOYEE

WHERE Dnumber=Dno

GROUP BY Dname:

# Specification of Views in SQL (cont'd.)

- Once a View is defined, SQL queries can use the View relation in the FROM clause
- View is always up-to-date
  - Responsibility of the DBMS and not the user
- DROP VIEW command
  - Dispose of a view

## View Implementation, View Update, and Inline Views

- Complex problem of efficiently implementing a view for querying
- Strategy1: Query modification approach
  - Compute the view as and when needed. Do not store permanently
  - Modify view query into a query on underlying base tables
  - Disadvantage: inefficient for views defined via complex queries that are time-consuming to execute

#### View Materialization

- Strategy 2: View materialization
  - Physically create a temporary view table when the view is first queried
  - Keep that table on the assumption that other queries on the view will follow
  - Requires efficient strategy for automatically updating the view table when the base tables are updated
- Incremental update strategy for materialized views
  - DBMS determines what new tuples must be inserted, deleted, or modified in a materialized view table

## View Materialization (contd.)

- Multiple ways to handle materialization:
  - immediate update strategy updates a view as soon as the base tables are changed
  - lazy update strategy updates the view when needed by a view query
  - periodic update strategy updates the view periodically (in the latter strategy, a view query may get a result that is not up-to-date). This is commonly used in Banks, Retail store operations, etc.

## View Update

- Update on a view defined on a single table without any aggregate functions
  - Can be mapped to an update on underlying base table- possible if the primary key is preserved in the view
- Update not permitted on aggregate views. E.g.,

UV2: UPDATE DEPT\_INFO

SET Total\_sal=100000

WHERE Dname='Research';

cannot be processed because Total\_sal is a computed value in the view definition

## View Update and Inline Views

#### View involving joins

 Often not possible for DBMS to determine which of the updates is intended

#### Clause WITH CHECK OPTION

 Must be added at the end of the view definition if a view is to be updated to make sure that tuples being updated stay in the view

#### In-line view

 Defined in the FROM clause of an SQL query (e.g., we saw its used in the WITH example)

#### Views as authorization mechanism

- SQL query authorization statements (GRANT and REVOKE) are described in detail in Chapter 30
- Views can be used to hide certain attributes or tuples from unauthorized users
- E.g., For a user who is only allowed to see employee information for those who work for department 5, he may only access the view DEPT5EMP:

CREATE VIEW DEPT5EMP AS

SELECT '

FROM EMPLOYEE

WHERE Dno = 5;

## Schema Change Statements in SQL

#### Schema evolution commands

- DBA may want to change the schema while the database is operational
- Does not require recompilation of the database schema

#### The DROP Command

- DROP command
  - Used to drop named schema elements, such as tables, domains, or constraint
- Drop behavior options:
  - CASCADE and RESTRICT
- Example:
  - DROP SCHEMA COMPANY CASCADE;
  - This removes the schema and all its elements including tables, views, constraints, etc.

#### The ALTER table command

- Alter table actions include:
  - Adding or dropping a column (attribute)
  - Changing a column definition
  - Adding or dropping table constraints
- Example:
  - ALTER TABLE COMPANY.EMPLOYEE ADD COLUMN Job VARCHAR (12);

## Adding and Dropping Constraints

- Change constraints specified on a table
  - Add or drop a named constraint

ALTER TABLE COMPANY.EMPLOYEE

DROP CONSTRAINT EMPSUPERFK CASCADE;

## Dropping Columns, Default Values

- To drop a column
  - Choose either CASCADE or RESTRICT
  - CASCADE would drop the column from views etc.

    RESTRICT is possible if no views refer to it.

ALTER TABLE COMPANY.EMPLOYEE DROP COLUMN Address CASCADE;

Default values can be dropped and altered :

**ALTER TABLE** COMPANY.DEPARTMENT **ALTER COLUMN** Mgr\_ssn **DROP DEFAULT**;

ALTER TABLE COMPANY.DEPARTMENT ALTER COLUMN Mgr\_ssn SET DEFAULT '333445555';

# **Table 7.2** Summary of SQL Syntax

```
Table 7.2
        Summary of SQL Syntax
CREATE TABLE  ( <column name> <column type> [ <attribute constraint> ]
                           {, <column name> <column type> [ <attribute constraint> ]}
                           [  { ,  } ] )
DROP TABLE 
ALTER TABLE  ADD <column name> <column type>
SELECT [ DISTINCT ] <attribute list>
FROM ( { <alias> } | <ioined table> ) { , ( { <alias> } | <ioined table> ) }
[ WHERE <condition> ]
[GROUP BY <grouping attributes> [HAVING <group selection condition>]]
[ORDER BY <column name> [ <order> ] { , <column name> [ <order> ] } ]
<attribute list> ::= ( * | ( <column name> | <function> ( ( [ DISTINCT ] <column name> | * ) ) )
                    { , ( <column name > | <function > ( ( [ DISTINCT] <column name > | * ) ) } ) )
<grouping attributes> ::= <column name> { , <column name> }
<order> ::= ( ASC | DESC )
INSERT INTO  [ ( <column name> { , <column name> } ) ]
(VALUES (<constant value>, {<constant value>}) {, (<constant value>})}
<select statement>)
```

continued on next slide

# Table 7.2 (continued) Summary of SQL Syntax

NOTE: The commands for creating and dropping indexes are not part of standard SQL.

```
Table 7.2 Summary of SQL Syntax

DELETE FROM 
[WHERE <selection condition>]

UPDATE 
SET <column name> = <value expression> { , <column name> = <value expression> }
[WHERE <selection condition>]

CREATE [UNIQUE] INDEX <index name>
ON  (<column name> [<order>] { , <column name> [<order>] } )
[CLUSTER]

DROP INDEX <index name>

CREATE VIEW <view name> [ (<column name> { , <column name> } ) ]
AS <select statement>
DROP VIEW <view name>
```

## Summary

- Complex SQL:
  - Nested queries, joined tables (in the FROM clause), outer joins, aggregate functions, grouping
- Handling semantic constraints with CREATE ASSERTION and CREATE TRIGGER
- CREATE VIEW statement and materialization strategies
- Schema Modification for the DBAs using ALTER TABLE, ADD and DROP COLUMN, ALTER CONSTRAINT etc.