

作业要求: 说明思路与符号, 清晰简洁的伪代码, 必要的时间复杂度分析和必要的正确性分析。可以直接调用基本的数据库和已讨论过的算法/程序 (如排序、找中位数、二分查找等)。

**问题 1** (20 分). 回答以下问题, 并给出必要的计算过程。

(a) 考虑将  $N$  个球分别随机投入  $N$  个盒子中 (即每个球以  $1/N$  的概率被独立地投入到其中一个盒子中)。求第一个盒子中恰好有 3 个球的概率。请求出准确的概率然后尽量化简。

(b) 在 (a) 中, 期望有多少个盒子中恰好有 3 个球?

(c) 将序列  $1, 2, \dots, n$  等概率随机重新排列为  $i_1, i_2, \dots, i_n$  (即对于每种排列, 最后的结果恰是此排列的概率均为  $1/n!$ ), 求满足  $i_k = k$  ( $k \in [n]$ ) 的个数  $k$  的期望值。

(d) 考虑一个  $\ell$  面的骰子。假设其每次投掷都是独立的, 且每面向上的概率都为  $1/\ell$ , 求第一次看到第一面向上的期望投掷次数。

**问题 2** (30 分). 回顾 weighted majority 算法:

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**Algorithm 1** 5.2

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1: procedure WEIGHTED MAJORITY( $n, T$ )
2:    $c_i^{(0)} = 1$  for all  $i \in [n]$ 
3:   for  $t = 1$  to  $T$  do
4:     Prediction  $p^{(t)} \leftarrow \text{Majority}(\sum_{i:q_i^{(t)}=0} c_i^{(t-1)}, \sum_{i:q_i^{(t)}=1} c_i^{(t-1)})$ 
5:     Update  $c_i^{(t)} \leftarrow c_i^{(t-1)}/2$  if expert  $i$  is wrong on event  $t$ 
6:   end for
7: end procedure

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Let  $s^{(t)} :=$  the number of mistakes by our algorithm in the first  $t$  events and  $s_i^{(t)} :=$  the number of mistakes by expert  $i$  in the first  $t$  events. We have shown

$$s^{(t)} \leq 2.41(s_i^{(t)} + \log n) \text{ for any } i \in [n] \text{ at any moment } t. \quad (1)$$

a) Change the update rule: reward expert  $i$  if her prediction is correct  $c_i^{(t)} \leftarrow c_i^{(t-1)} \cdot 2$ ; still keep the penalty  $c_i^{(t)} \leftarrow c_i^{(t-1)}/2$  if she is wrong.

Prove the new guarantee of (1) and argue that reward does not make a big difference.

b) Change the update rule to guarantee  $s^{(t)} \leq (2 + \epsilon)s_i^{(t)} + O_\epsilon(\log n)$ .

- c) Design an instance such that WEIGHTEDMAJORITY has  $s^{(T)} \geq \log_2 n$  but the best expert has  $s_i^{(T)} = 0$ . This demonstrates the  $\log n$  term in (1) is necessary — in fact, this term is necessary for ANY algorithm.
- d) Design another instance such that  $\min_i s_i^{(T)} \geq 1\% \cdot T$  and  $s^{(T)} \geq 2 \cdot \min_i s_i^{(T)}$  — assume that when  $\sum_{i:q_i^{(t)}=0} c_i^{(t-1)} = \sum_{i:q_i^{(t)}=1} c_i^{(t-1)}$ , WEIGHTEDMAJORITY always choose 0.

This matches the upper bound  $2 + \epsilon$  in part (b).

**问题 3** (20 分). 判断以下命题的正误。若正确，请给出证明；若错误，请给出反例。

(a)  $n \cdot 2^{(\log n)^{0.9}} = n^{1+o(1)}$

(b)  $n^{100} = 2^{\Omega(\log n)}$

(c)  $n \cdot \log n = O(n \cdot 2^{\sqrt{\log n}})$

(d)  $\binom{n}{k} = O(n^k)$  for any  $k \in [n]$  —  $\binom{n}{k}$  表示从  $[n] = \{1, \dots, n\}$  中选取  $k$  个元素的组合数

**问题 4** (30 分). 阅读以下伪代码，对于每一份代码，解释其功能，并提供代码运行时间的严格分析。

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**Algorithm 2**

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**Require:** An array  $a$  of length  $n$

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1: procedure COUNT( $a, n$ )
2:    $res \leftarrow 0$ 
3:   for  $i \leftarrow 1$  to  $n$  do
4:     for  $j \leftarrow 1$  to  $i - 1$  do
5:       for  $k \leftarrow i + 1$  to  $n$  do
6:         if  $a[i] < a[j] \wedge a[i] < a[k]$  then
7:            $res \leftarrow res + 1$ 
8:         end if
9:       end for
10:    end for
11:  end for
12:  return  $res$ 
13: end procedure

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**Algorithm 3**

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**Require:** Two positive integers  $a, b$

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1: procedure G( $a, b$ )
2:   if  $b = 0$  then
3:     return  $a$ 
4:   else
5:     return G( $b, a \bmod b$ )
6:   end if
7: end procedure
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**Algorithm 4**

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**Require:** Integers  $n, m$

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1: procedure COUNT( $n, m$ )
2:   if  $n = 1$  then
3:     return 1
4:   end if
5:    $total \leftarrow 0$ 
6:   for  $x \leftarrow 0$  to  $m$  do
7:      $total \leftarrow total + \text{COUNT}(n - 1, m - x)$ 
8:   end for
9:   return  $total$ 
10: end procedure
```

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