

Introduction to Algorithms: Lecture 3

Xue Chen

xuechen1989@ustc.edu.cn

2025 spring in

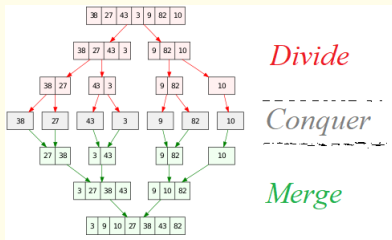


Homework 2

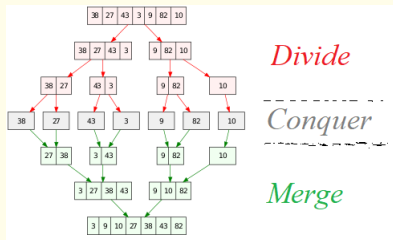
- 1 Experiment 1 will be out next week
- 2 TA office hours are in **3A103** in week 3

Outline

Intro: Recall MERGESORT



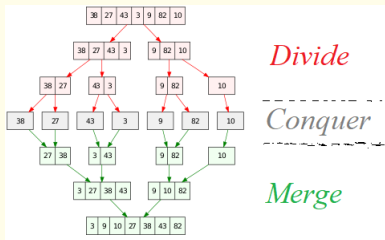
Intro: Recall MERGESORT



Divide & Conquer

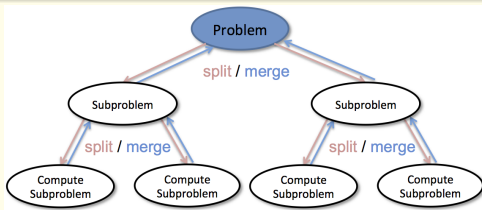
An old idea from 300 BC, now becomes an important **algorithm design** paradigm.

Intro: Recall MERGESORT



Divide & Conquer

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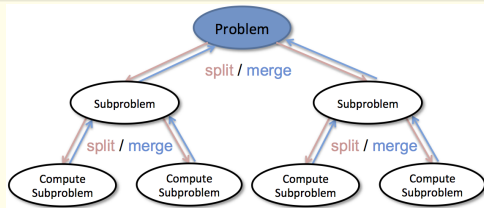


Paradigm

Divide & Conquer

3 steps:

- 1 **Divide** the problem into subproblems that are smaller instances.
- 2 **Conquer** subproblems by solving them recursively.
- 3 **Merge** solutions of subproblems into a solution of the original one.



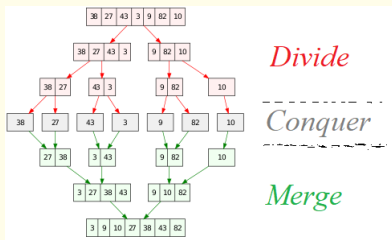
Overview

Recurrences

Let the algorithm divide a problem of size n into a subproblems of size $\lceil n/b \rceil$ and merge solutions in time $f(n)$.

$$\text{Running time } T(n) = a \cdot T(\lceil n/b \rceil) + f(n).$$

$a = b = 2$ and $f(n) = O(n)$ in MERGESORT



Overview

Next: Given $T(n) = a \cdot T(\lceil n/b \rceil) + f(n)$ where a and b are not necessarily 1 or 2, how to bound $T(n)$?

Applications

- 1 Strassen's algorithm for matrix multiplication
- 2 Fast Fourier transform
- 3 Closest pair
- 4 Binary search, Fibonacci number

Recurrences relation

Question

Given the relation $T(n) = a \cdot T(\lceil n/b \rceil) + f(n)$, how to compute $T(n)$?

Three methods:

- 1 Substitution method
- 2 Recursion tree method
- 3 Master method

Substitution Method

Example 1

$$T(n) = 2T(\lceil n/2 \rceil) + n.$$

Substitution Method

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Guess $T(n) \leq cn \log_2 n$ for $c = O(1)$ and **apply induction**.

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Guess $T(n) \leq cn \log_2 n$ for $c = O(1)$ and **apply induction**.

- 1 Base case: $n = 1$, $T(1)$ is a constant.
- 2 Induction step:

$$\begin{aligned} T(n) &= 2c\lceil n/2 \rceil \log_2 \lceil n/2 \rceil + n \\ &\leq 2c \cdot \frac{n}{2} \cdot (\log_2 n - 1) + n \\ &\leq cn \log_2 n. \end{aligned}$$

More about Substitution

Example 2

Question: What is $T(n) = 2T(\lfloor n/2 \rfloor) + 1$?

Remark

While it is simple to apply, **guess** the tight bound may be non-trivial.

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Question: What is $T(n) = 2T([n/2]) + 1$?

If we guess $T(n) \leq cn$, $2 \cdot c \cdot \frac{n}{2} + 1 > cn$ for any even n .

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If we guess $T(n) \leq cn$, $2 \cdot c \cdot \frac{n}{2} + 1 > cn$ for any even n .

Solution: Strengthen the hypothesis to $T(n) \leq cn - 1$.

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Recursion tree method

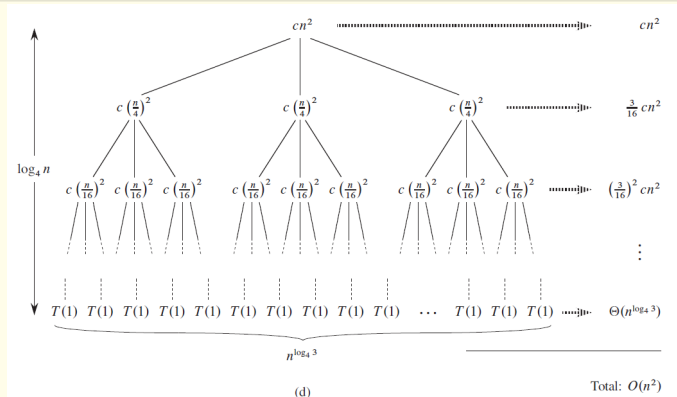
Example 3

$$T(n) = 3T(n/4) + n^2.$$

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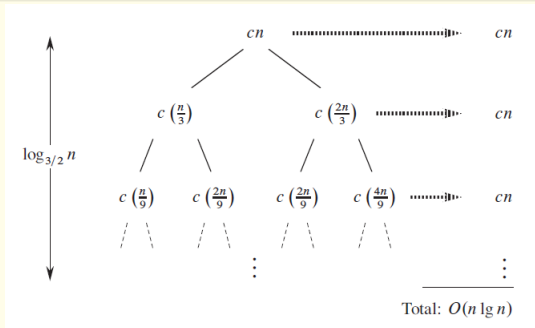


Example 4

$$T(n) = T(n/3) + T(2n/3) + n.$$

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Master Theorem

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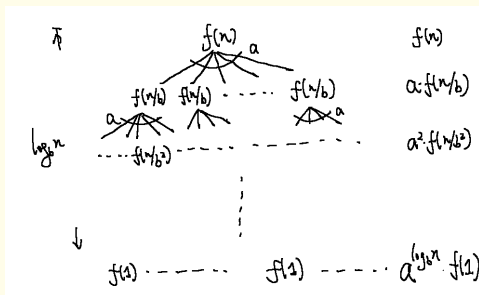
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All conditions $\Omega(1)$ and $a \cdot f(n/b) < f(n)$ are necessary.

Proof of Master THM

Proof by the recursion tree method:

- Case 1: each level contributes $n^{\log_b a}$.
- Case 2: the bottom level dominates.
- Case 3: the top node dominates.



Outline

Matrix Multiplication

Problem

Given two $n \times n$ matrices A and B , compute $C = AB$ where

$$C[i, j] = \sum_{k=1}^n A[i, k] \cdot B[k, j]. \quad (1)$$

Most fundamental problem in CS and math:

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- 2 rectangular matrices, matrix Inversion — computing A^{-1} in $O(n^\omega)$.
- 3 Solving linear equations and linear programming in time $O(n^{\omega \pm o(1)})$.
- 4 Graph problem: Max-flow, ...
- 5 Machine learning and data science: Linear regression, SVD & PCA, ...

First try

Motivating Question

It takes $O(n^3)$ time to compute C directly. Can we design faster algorithms?

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Try divide and conquer

Say $A = \begin{bmatrix} A_{11} & A_{12} \\ A_{21} & A_{22} \end{bmatrix}$ for four $\frac{n}{2} \times \frac{n}{2}$ matrices $A_{11}, A_{12}, A_{21}, A_{22}$ and
 $B = \begin{bmatrix} B_{11} & B_{12} \\ B_{21} & B_{22} \end{bmatrix}$ vice versa.

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$$\text{So } \begin{bmatrix} C_{11} & C_{12} \\ C_{21} & C_{22} \end{bmatrix} = \begin{bmatrix} A_{11} & A_{12} \\ A_{21} & A_{22} \end{bmatrix} \cdot \begin{bmatrix} B_{11} & B_{12} \\ B_{21} & B_{22} \end{bmatrix}.$$

Naive Method

Consider $C_{11} = A_{11} \cdot B_{11} + A_{12} \cdot B_{21}$

$$C_{12} = A_{11} \cdot B_{12} + A_{12} \cdot B_{22}$$

$$C_{21} = A_{21} \cdot B_{11} + A_{22} \cdot B_{21}$$

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There are 8 submatrix-multiplications of size $\frac{n}{2} \times \frac{n}{2}$. The naive method will have

$$T(n) = 8T(n/2) + O(n^2) = O(n^3).$$

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Key Question

Can we save some submatrix-multiplications?

Strassen's idea

$$\begin{vmatrix} C_{11} & C_{12} \\ C_{21} & C_{22} \end{vmatrix} = \begin{vmatrix} A_{11} & A_{12} \\ A_{21} & A_{22} \end{vmatrix} \begin{vmatrix} B_{11} & B_{12} \\ B_{21} & B_{22} \end{vmatrix}$$

$$P_1 = (A_{11} + A_{22})(B_{11} + B_{22})$$

$$P_2 = (A_{21} + A_{22}) * B_{11}$$

$$P_3 = A_{11} * (B_{12} - B_{22})$$

$$P_4 = A_{22} * (B_{21} - B_{11})$$

$$P_5 = (A_{11} + A_{12}) * B_{22}$$

$$P_6 = (A_{21} - A_{11}) * (B_{11} + B_{12})$$

$$P_7 = (A_{12} - A_{22}) * (B_{21} + B_{22})$$

$$C_{11} = P_1 + P_4 - P_5 + P_7$$

$$C_{12} = P_3 + P_5$$

$$C_{21} = P_2 + P_4$$

$$C_{22} = P_1 + P_3 - P_2 + P_6$$

Running Time

$$T(n) = 7 \cdot T(n/2) + O(n^2) = O(n^{\log_2 7}).$$

Discussion

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- ⑤ Matrix multiplication \equiv matrix inversion [CLRS].
- ⑥ For **structured matrices**, faster algorithms via **Fast Fourier transform (FFT)**.

Outline

Introduction

Several ways to understand FFT:

- 1 Fast matrix multiplication (with a vector) for structured matrices V .

$$\begin{bmatrix} V_{1,1} & V_{1,2} & \cdots & V_{1,n} \\ V_{2,1} & V_{2,2} & \cdots & V_{2,n} \\ \vdots & \vdots & \vdots & \vdots \\ V_{n,1} & V_{n,2} & \cdots & V_{n,n} \end{bmatrix} \cdot \begin{bmatrix} \alpha_1 \\ \alpha_2 \\ \vdots \\ \alpha_n \end{bmatrix}$$

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Lots of applications: Algorithm design, data science, machine learning, signal processing, numerical computation, ...

History

- Dates back to Gauss in 1805.
- Cooley and Tukey rediscovered it in 1965.
- Most important numerical algorithm of our life time — Gilbert Strang
- Top 10 Algorithms of 20th Century by IEEE.

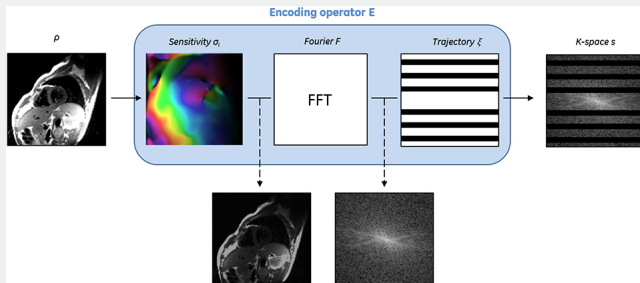


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Applications in MRI

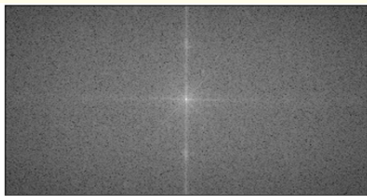


Applications in Data Compression

Many natural data sets are **sparse** on the frequency domain:



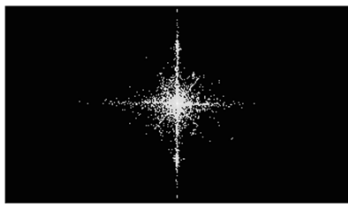
$\cdot V_N =$



original image and its FT.



$=$



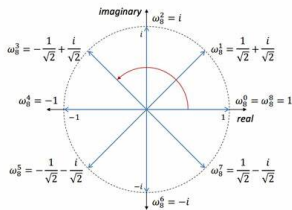
$\cdot V_N^{-1}$

After sparsification,

Formal Definition

Complex roots of unity

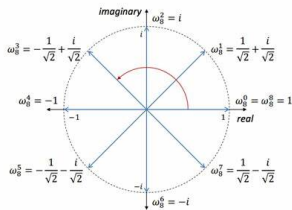
Given a 2-power N , let $\omega_N := e^{2\pi i/N}$ s.t. $\omega_N^0, \omega_N^1, \dots, \omega_N^{N-1}$ are roots of $x^N - 1 = 0$, called N th roots of unity.



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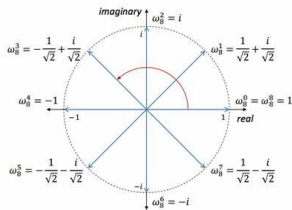
Define their Vandermonde matrix

$$V_N := \begin{bmatrix} \omega_N^{0 \cdot 0} & \omega_N^{0 \cdot 1} & \dots & \omega_N^{0 \cdot (N-1)} \\ \omega_N^{1 \cdot 0} & \omega_N^{1 \cdot 1} & \dots & \omega_N^{1 \cdot (N-1)} \\ \vdots & \vdots & \ddots & \vdots \\ \omega_N^{(N-1) \cdot 0} & \omega_N^{(N-1) \cdot 1} & \dots & \omega_N^{(N-1) \cdot (N-1)} \end{bmatrix}$$

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Problem

Given N and vector $\vec{\alpha} = (\alpha_1, \dots, \alpha_N)$, how to compute $V_N \cdot \vec{\alpha}$ efficiently?

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Main Result of FFT

FFT algorithm computes $V_N \cdot \vec{\alpha}$ in time $O(N \log N)$.

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Before we describe FFT, review basic facts about the roots of unity

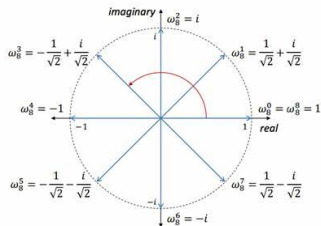
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Properties of ω

Property 1

Let $\omega_N := e^{2\pi i/N}$ for a 2-power N .

① $\omega_N^N = 1$. So $\omega_N^j = \omega_N^{j \bmod N}$ and focus on remainder.

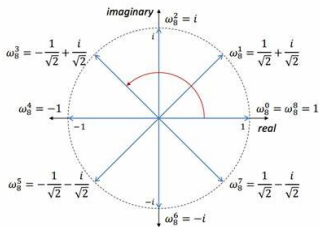


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- ② $\omega_N^{N/2} = -1$.
- ③ ω_N^2 is the $\frac{N}{2}$ -root of unity.



Properties of ω (II)

Plan: compute $y = V_N \cdot \alpha$ by divide & conquer — consider ω_N and V_N in terms of $\omega_{N/2}$ and $V_{N/2}$.

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① $\omega_{N/2} = \omega_N^2$.

② V_N is constituted by 4 submatrices $\approx V_{N/2}$.

$$V_N = \begin{bmatrix} 1 & 1 & 1 & \dots & 1 & 1 & \dots & 1 \\ 1 & \omega_N^{1 \cdot 1} & \omega_N^{1 \cdot 2} & \dots & \omega_N^{1 \cdot (\frac{N}{2}-1)} & \omega_N^{1 \cdot \frac{N}{2}} & \dots & \omega_N^{1 \cdot (N-1)} \\ 1 & \omega_N^{2 \cdot 1} & \omega_N^{2 \cdot 2} & \dots & \omega_N^{2 \cdot (\frac{N}{2}-1)} & \omega_N^{2 \cdot \frac{N}{2}} & \dots & \omega_N^{2 \cdot (N-1)} \\ \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots \\ 1 & \omega_N^{(N-2) \cdot 1} & \omega_N^{(N-2) \cdot 2} & \dots & \omega_N^{(N-2) \cdot (\frac{N}{2}-1)} & \omega_N^{(N-2) \cdot \frac{N}{2}} & \dots & \omega_N^{(N-2) \cdot (N-1)} \\ 1 & \omega_N^{(N-1) \cdot 1} & \omega_N^{(N-1) \cdot 2} & \dots & \omega_N^{(N-1) \cdot (\frac{N}{2}-1)} & \omega_N^{(N-1) \cdot \frac{N}{2}} & \dots & \omega_N^{(N-1) \cdot (N-1)} \end{bmatrix}$$

Properties of ω (II)

Plan: compute $y = V_N \cdot \alpha$ by divide & conquer — consider ω_N and V_N in terms of $\omega_{N/2}$ and $V_{N/2}$.

① $\omega_{N/2} = \omega_N^2$.

② V_N is constituted by 4 submatrices $\approx V_{N/2}$.

$$V_N = \begin{bmatrix} \text{Red} & \text{Blue} & \text{Red} & \dots & \text{Blue} & \text{Red} & \dots & \text{Blue} \\ 1 & \omega_N^{1 \cdot 1} & \omega_N^{1 \cdot 2} & \dots & \omega_N^{1 \cdot (\frac{N}{2}-1)} & \omega_N^{1 \cdot \frac{N}{2}} & \dots & \omega_N^{1 \cdot (N-1)} \\ 1 & \omega_N^{2 \cdot 1} & \omega_N^{2 \cdot 2} & \dots & \omega_N^{2 \cdot (\frac{N}{2}-1)} & \omega_N^{2 \cdot \frac{N}{2}} & \dots & \omega_N^{2 \cdot (N-1)} \\ \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots \\ 1 & \omega_N^{(N-2) \cdot 1} & \omega_N^{(N-2) \cdot 2} & \dots & \omega_N^{(N-2) \cdot (\frac{N}{2}-1)} & \omega_N^{(N-2) \cdot \frac{N}{2}} & \dots & \omega_N^{(N-2) \cdot (N-1)} \\ 1 & \omega_N^{(N-1) \cdot 1} & \omega_N^{(N-1) \cdot 2} & \dots & \omega_N^{(N-1) \cdot (\frac{N}{2}-1)} & \omega_N^{(N-1) \cdot \frac{N}{2}} & \dots & \omega_N^{(N-1) \cdot (N-1)} \end{bmatrix}$$

Observation:

- (1) Red submatrix $V_N(\text{odd}, [0, \dots, \frac{N}{2} - 1])$ is $V_{N/2}$, same for $V_N(\text{odd}, [\frac{N}{2}, \dots, N - 1])$.
- (2) Blue submatrix $V_N(\text{even}, [0, \dots, \frac{N}{2} - 1])$ is $V_{N/2} \cdot \text{diag}[1, \omega_N, \omega_N^2, \dots, \omega_N^{N/2-1}]$.

We shall exploit its symmetric properties to speed-up $V_N \cdot \vec{\alpha}$.

Idea (I):

Since $V[i, j] = \omega^{i \cdot j}$, rewrite the calculation $y = V \cdot \vec{\alpha}$ as

$$y[i] = \sum_{j=0}^{N-1} \omega^{i \cdot j} \cdot \alpha[j] \text{ for } i = 0, 1, \dots, N-1. \quad (*)$$

Consider the toy examples of $y[0]$ and $y[N/2]$: By the definition,

$$\begin{aligned} y[0] &= \sum_{j=0}^{N-1} \omega^{0 \cdot j} \cdot \alpha[j] = \sum_{j=0}^{N-1} \alpha[j]. \\ y[N/2] &= \sum_{j=0}^{N-1} \omega^{N/2 \cdot j} \cdot \alpha[j] = \sum_{j=0}^{N-1} (-1)^j \cdot \alpha[j]. \end{aligned}$$

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Question: Do we need $2N$ steps to compute them?

Idea (II):

For general $i < N/2$,

$$\begin{aligned} y[i] &= \sum_{j=0}^{N-1} \omega^{i \cdot j} \cdot \alpha[j] \\ &= \underbrace{\sum_{\text{even } j} \omega^{i \cdot j} \cdot \alpha[j]}_{S_0(i)} + \underbrace{\sum_{\text{odd } j} \omega^{i \cdot j} \cdot \alpha[j]}_{S_1(i)}. \end{aligned}$$

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$$\begin{aligned} y[N/2 + i] &= \sum_{j=0}^{N-1} \omega^{(i+N/2) \cdot j} \cdot \alpha[j] \\ &= \sum_{\text{even } j} \omega^{(i+N/2) \cdot j} \cdot \alpha[j] + \sum_{\text{odd } j} \omega^{(i+N/2) \cdot j} \cdot \alpha[j] \end{aligned}$$

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This saves the whole calculation by a factor of 2.

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Question

Can we push this idea more?

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$$\text{Back to } y[i] = \underbrace{\sum_{\text{even } j} \omega^{i \cdot j} \cdot \alpha[j]}_{S_0(i)} + \underbrace{\sum_{\text{odd } j} \omega^{i \cdot j} \cdot \alpha[j]}_{S_1(i)},$$

$$S_0(i) = \sum_{\text{even } j} \omega^{2 \cdot i \cdot j/2} \cdot \alpha[j].$$

Observation

- ① ω^2 is the $\frac{N}{2}$ th root of unity
s.t. $S_0 = V_{N/2} \cdot (\alpha[0], \alpha[2], \dots, \alpha[N-2]) \in \mathbb{R}^{N/2}$.

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s.t. $S_0 = V_{N/2} \cdot (\alpha[0], \alpha[2], \dots, \alpha[N-2]) \in \mathbb{R}^{N/2}$.
- ② $S_1(i) = \omega^i \cdot \sum_{\text{odd } j} \omega^{i \cdot 2 \cdot (j-1)/2} \cdot \alpha[j]$ where **sum** is in $V_{N/2} \cdot (\alpha[1], \alpha[3], \dots, \alpha[N-1])$.

Algorithm Description

Algorithm $\text{FFT}(N, \alpha[0], \dots, \alpha[N-1])$

1: **if** $N = 1$ **then**

2: Return $\alpha[0]$

3: $S_0 \leftarrow \text{FFT}(N/2, \alpha[0], \alpha[2], \dots, \alpha[N-2])$

4: $S'_1 \leftarrow \text{FFT}(N/2, \alpha[1], \alpha[3], \dots, \alpha[N-1])$

$$// S_1(i) = S'_1(i) \cdot \omega^i$$

5: $\omega = e^{2\pi i/N}$

6: **for** $i = 0$ to $N/2 - 1$ **do**

7: $y[i] = S_0[i] + S'_1[i] \cdot \omega^i$

8: $y[i + N/2] = S_0[i] - S'_1[i] \cdot \omega^i$

9: Return y

Analysis

Correctness

Follows from the above discussion.

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Time Complexity

Let $T(N)$ denote the time to compute $\alpha \in \mathbb{R}^N$ s.t.

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$$T(N) = 2T(N/2) + O(N) = O(N \log N).$$

Next: Extensions.

Polynomial Interpolation

$$V_N \cdot \vec{\alpha} \text{ is } \begin{bmatrix} \omega_N^{0 \cdot 0} & \omega_N^{0 \cdot 1} & \dots & \omega_N^{0 \cdot (N-1)} \\ \omega_N^{1 \cdot 0} & \omega_N^{1 \cdot 1} & \dots & \omega_N^{1 \cdot (N-1)} \\ \vdots & \vdots & \vdots & \vdots \\ \omega_N^{(N-1) \cdot 0} & \omega_N^{(N-1) \cdot 1} & \dots & \omega_N^{(N-1) \cdot (N-1)} \end{bmatrix} \cdot \begin{bmatrix} \alpha[0] \\ \alpha[1] \\ \vdots \\ \alpha[N-1] \end{bmatrix}$$

Fast polynomial evaluation

$y = V_N \cdot \vec{\alpha}$ is the evaluation of degree- $(N-1)$ polynomial

$p(x) = \sum_{j=0}^{N-1} \alpha[j] \cdot x^j$ at roots of unity $\omega_N^0, \dots, \omega_N^{N-1}$.

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Question: Discrete Fourier transform on \mathbb{Z}_N ?

Inverse FFT

Another useful fact: $V_N^{-1} = \frac{1}{N} \cdot \overline{V_N}$.

$$V_N := \begin{bmatrix} \omega_N^{0 \cdot 0} & \omega_N^{0 \cdot 1} & \dots & \omega_N^{0 \cdot (N-1)} \\ \omega_N^{1 \cdot 0} & \omega_N^{1 \cdot 1} & \dots & \omega_N^{1 \cdot (N-1)} \\ \vdots & \vdots & \vdots & \vdots \\ \omega_N^{(N-1) \cdot 0} & \omega_N^{(N-1) \cdot 1} & \dots & \omega_N^{(N-1) \cdot (N-1)} \end{bmatrix}$$

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Property 2

Since $\omega_N^0, \dots, \omega_N^{N-1}$ are symmetric, $\sum_j \omega_N^{j \cdot k} = 0$ for any $k \in [1, \dots, N-1]$; otherwise the sum is N for $k = 0$.

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Corollary: $V_N^{-1} \cdot \vec{\alpha}$ is in time $O(N \log N)$.

Convolution

Definition

Given two vectors $(\alpha[0], \dots, \alpha[N-1])$ and $(\beta[0], \dots, \beta[N-1])$, their convolution is

$$(\alpha * \beta)[k] = \sum_{\ell=0}^{N-1} \alpha[\ell] \cdot \beta[(k - \ell) \bmod N].$$

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Key fact: $V_N(\alpha * \beta) = (V_N\alpha) \cdot (V_N\beta)$ where \cdot denotes dot-product
 $(v \cdot u)[i] = v[i]u[i]$.

So FFT computes convolution in time $O(N \log N)$.

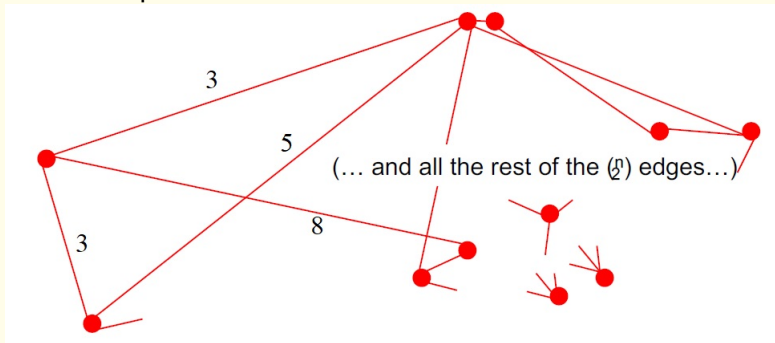
Summary about FFT

- ① Elegant and Efficient!
- ② Fundamental algorithm in machine learning, data science, signal processing, ...
- ③ Lots of variations: cryptography, compressed sensing, ...

Outline

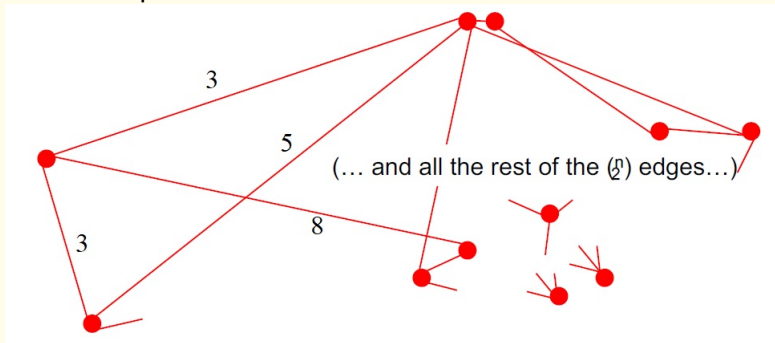
Introduction

Basic question: Given n points and a metric/distance between them, find the closest pair.



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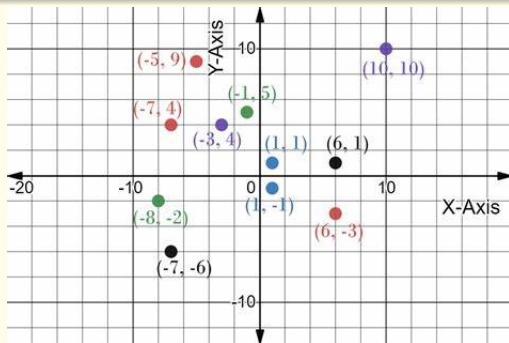
Lower bound

If the metric is arbitrary, $\Omega(n^2)$ because it must look at all pairwise distances.

Our problem in 2-dimension

Description

Given n points in the plane \mathbb{R}^2 , find a pair with smallest Euclidean distance between them.



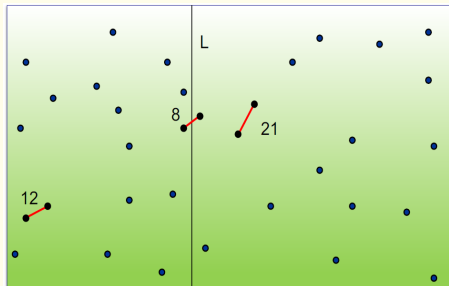
Fundamental geometric primitive: Graphics, computer vision, traffic control, nearest neighbor, Euclidean MST, ...

Main Result

Theorem

Divide & conquer solves the closest pair problem in 2-dimension in time $O(n \log n)$.

- 1 The trivial algorithm is in time $O(n^2)$.



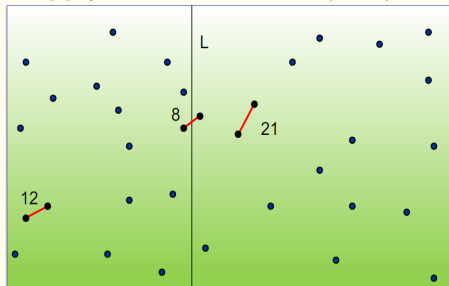
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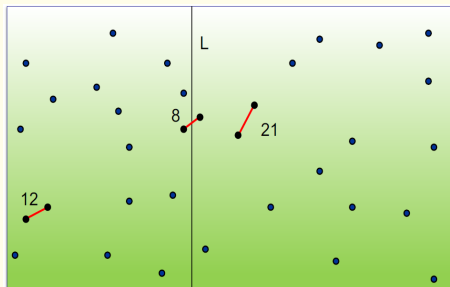
- 1 The trivial algorithm is in time $O(n^2)$.
- 2 For ease of exposition, assume no two points have same x or y coordinates and present $O(n \log^2 n)$ -time algorithm.

Let us apply the divide & conquer paradigm.



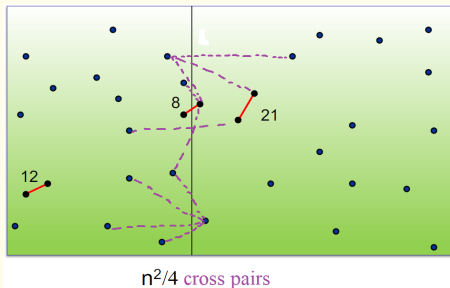
Three Steps

- 1 Divide: Draw a line with $n/2$ points on each side
- 2 Conquer: Find closest pair on each side



Three Steps

- 1 Divide: Draw a line with $n/2$ points on each side
- 2 Conquer: Find closest pair on each side
- 3 Merge: Find closest pair overall — check all cross pairs?

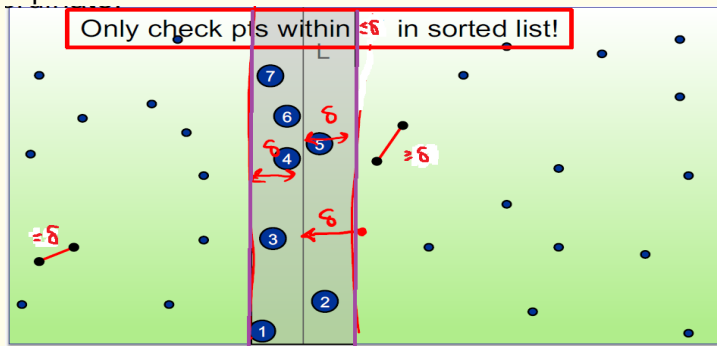


Key Observation

No need to check all cross pairs.

Key Idea

OBS: Let δ be the shortest distance from subproblems. Suffices to consider points within δ to L .



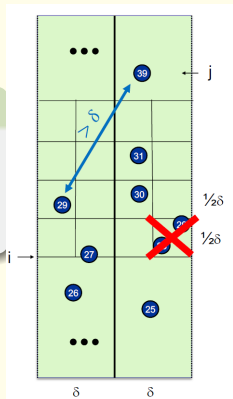
Next question: How many cross pairs in the 2δ -strip?

Almost 1D Problem

Claim:

No two points lie in the same $\frac{\delta}{2} \times \frac{\delta}{2}$ box. So there are at most 11 pairs to check for each point.

Proof: Such pair will have distance $\leq \delta/\sqrt{2}$. This contradicts with the definition of δ .



Pseudo-Code

```
Closest-Pair( $p_1, \dots, p_n$ ) {  
    if( $n \leq ??$ ) return ??
```

Compute separation line L such that half the points are on one side and half on the other side.

```
 $\delta_1$  = Closest-Pair(left half)  
 $\delta_2$  = Closest-Pair(right half)  
 $\delta$  = min( $\delta_1, \delta_2$ )
```

Delete all points further than δ from separation line L

Sort remaining points $p[1] \dots p[m]$ by y-coordinate.

```
for  $i = 1 \dots m$                                  $i$   
    for  $k = 1 \dots 11$   
        if  $i+k \leq m$   
             $\delta = \min(\delta, \text{distance}(p[i], p[i+k]));$   
  
return  $\delta$ .  
}
```

Running Time

Recurrence Relation

$O(n \log^2 n)$: $T(n) = 2T(n/2) + O(n \log n)$ since we need to sort points in the strip.

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$O(n \log n)$ -time algorithm: Sort them according to y at the beginning such that we could compute the closest pair in the strip without sorting.

Outline

Binary Search

Recall INSERTIONSORT:

Problem

Given $A[1] \leq A[2] \leq \dots \leq A[n]$ and k , find # elements in A less than k .


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Instead of enumerating j from 1 to n , we can apply a binary search.

$$A[1] \leq A[2] \leq A[3] \leq \dots \leq A\left[\frac{n}{2}\right] \leq A\left[\frac{n}{2} + 1\right] \leq \dots \leq A[n]$$


k


Binary Search

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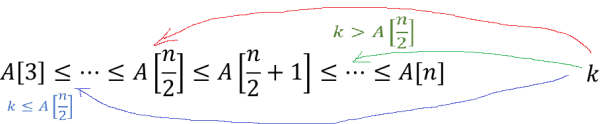
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Instead of enumerating j from 1 to n , we can apply a binary search.

$$A[1] \leq A[2] \leq A[3] \leq \dots \leq A\left[\frac{n}{2}\right] \leq A\left[\frac{n}{2} + 1\right] \leq \dots \leq A[n] \quad k$$


Two cases depending on $k \geq A\left[\frac{n}{2}\right]$ or not:

$$A[1] \leq A[2] \leq A[3] \leq \dots \leq A\left[\frac{n}{2}\right] \leq A\left[\frac{n}{2} + 1\right] \leq \dots \leq A[n] \quad k$$


Pseudo-code

```
1: function BINARYSEARCH( $\ell, r, k$ )
2:   if  $\ell = r$  then
3:     Return ( $A[\ell] < k$ )
4:    $j = \lceil (\ell + r)/2 \rceil$ 
5:   if  $A[j] \leq k$  then
6:     Return BINARYSEARCH( $\ell, j, k$ )
7:   else
8:     Return  $j - \ell + 1 + \text{BINARYSEARCH}(j + 1, r, k)$ 
```

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```

Running time: $T(n) = T(n/2) + O(1) = O(\log n)$.

Also could be implemented via a while loop.

Extensions

Question

How to compute a^n in time $O(\log n)$?

Assume all integer-operations are in $O(1)$

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```
1: function SQUARING( $a, n$ )
2:   if  $n = 1$  then
3:     Return  $a$ 
4:   else
5:     Return SQUARING( $a, \lfloor n/2 \rfloor$ )2 ·  $a^{n \bmod 2}$ 
```

Fibonacci Numbers

Question

Can we extend this idea to compute $F_n = F_{n-1} + F_{n-2}$?

Fibonacci Numbers

Question

Can we extend this idea to compute $F_n = F_{n-1} + F_{n-2}$?

While $F_n \approx (\frac{1+\sqrt{5}}{2})^n / \sqrt{5}$, it is very unstable.

Matrix

$$\begin{bmatrix} F_n \\ F_{n+1} \end{bmatrix} = \underbrace{\begin{bmatrix} 0 & 1 \\ 1 & 1 \end{bmatrix}}_A \cdot \begin{bmatrix} F_{n-1} \\ F_n \end{bmatrix} \text{ s.t. } \begin{bmatrix} F_n \\ F_{n+1} \end{bmatrix} = A^n \cdot \begin{bmatrix} F_0 \\ F_1 \end{bmatrix}$$

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Now we can apply the squaring trick to compute A^n !

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- ② Master Theorem: A handknife to solve most recurrent relations.

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- 2 Master Theorem: A handknife to solve most recurrent relations.
- 3 Matrix Multiplication: An intriguing application of divide and conquer, which is still an active research area.
- 4 Fast Fourier transform — one of the most important algorithm
- 5 Nearest neighbor search — how to reduce the time of combining
- 6 More examples: binary search, counting inversions, . . .

Questions?