1 Unity Blowup equations

The partition functions of generic 5d $\mathcal{N}=1$ gauge theories with hypermultiplets in R-representation in the Coulomb branch consist of classical action term, 1-loop term, and instanton partition functions.

$$Z(\epsilon_1, \epsilon_2, \vec{a}, m_i, m_0) = Z_{\text{class}}(\epsilon_1, \epsilon_2, \vec{a}, m_0) Z_{1\text{-loop}}(\epsilon_1, \epsilon_2, \vec{a}, m_i) Z_{\text{inst}}(\epsilon_1, \epsilon_2, \vec{a}, m_i, m_0)$$
(1.1)

where

$$Z_{\text{class}} = \exp\left[-\frac{1}{\epsilon_{1}\epsilon_{2}}\left(\frac{1}{2}h_{ij}\phi^{i}\phi^{j} + \frac{1}{6}d_{ijk}\phi^{i}\phi^{j}\phi^{k}\right)\right]$$

$$Z_{\text{1-loop}} = \exp\left[-\frac{1}{2\epsilon_{1}\epsilon_{2}}\left(\sum_{\alpha \in \text{roots}}\left(\frac{1}{6}(\vec{a} \cdot \vec{\alpha})^{3} - \frac{1}{4}(\epsilon_{1} + \epsilon_{2})(\vec{a} \cdot \vec{\alpha})^{2} + \frac{1}{12}((\epsilon_{1} + \epsilon_{2})^{2} + \epsilon_{1}\epsilon_{2})(\vec{a} \cdot \vec{\alpha})\right)\right]$$

$$+ \sum_{\omega \in \rho(R)}\left(\frac{1}{6}\left(\vec{a} \cdot \vec{\omega} + m_{i} + \frac{\epsilon_{1} + \epsilon_{2}}{2}\right)^{3} - \frac{\epsilon_{1} + \epsilon_{2}}{4}\left(\vec{a} \cdot \vec{\omega} + m_{i} + \frac{\epsilon_{1} + \epsilon_{2}}{2}\right)^{2} - \frac{(\epsilon_{1} + \epsilon_{2})^{2} + \epsilon_{1}\epsilon_{2}}{24}\left(\vec{a} \cdot \vec{\omega} + m_{i} + \frac{\epsilon_{1} + \epsilon_{2}}{2}\right)\right]$$

$$\times \text{PE}\left[\frac{1}{(1 - p_{1})(1 - p_{2})}\left(-\sum_{\alpha \in \text{roots}} e^{\vec{a} \cdot \vec{\alpha}} + p_{1}^{1/2}p_{2}^{1/2}y_{i} \sum_{\omega \in \rho(R)} e^{\vec{a} \cdot \vec{\omega}}\right)\right]. \tag{1.2}$$

Here \vec{a} are Coulomb VEVs and $p_{1,2} = e^{\epsilon_{1,2}}$, $y_i = e^{m_i}$. Note that the normal exponential term saturates the zero-point energy of pletheystic exponential terms.^a

The partition function satisfies so-called "Unity blowup equation"

$$Z(\epsilon_{1}, \epsilon_{2}, \vec{a}, m_{i}, m_{0}) = \sum_{\vec{k} \in \vec{\alpha}^{\vee}} Z(\epsilon_{1}, \epsilon_{2} - \epsilon_{1}, \vec{a} + (\vec{k} + \vec{r}_{a}) \epsilon_{1}, m_{i} + r_{i} \epsilon_{1}, m_{0} + r_{0} \epsilon_{1})$$

$$\times Z(\epsilon_{1} - \epsilon_{2}, \epsilon_{2}, \vec{a} + (\vec{k} + \vec{r}_{a}) \epsilon_{2}, m_{i} + r_{i} \epsilon_{2}, m_{0} + r_{0} \epsilon_{2})$$
(1.3)

for certain \vec{r}_a , r_i , r_0 's. Here $\vec{\alpha}^{\vee}$ is the coroot lattice where the long root is normalized to have norm 2. The r_i 's and r_0 are some numbers specifying the blowup equations.

Technically r_i 's are constrained to be half integers since, for each single letter 1-loop partition functions

$$Z_{i,\vec{\omega}} = \text{PE}\left[\frac{p_1^{1/2}p_2^{1/2}}{(1-p_1)(1-p_2)}y_i e^{\vec{a}\cdot\vec{\omega}}\right],\tag{1.4}$$

^aTechnically, instead of considering this 1-loop prepotential terms, I inserted overall factors to the $l_{\vec{k}} = Z_{1\text{-loop}}^{(1)} Z_{1\text{-loop}}^{(1)} / Z_{1\text{-loop}}$ so that it is written by Sinh terms.

the ratio between shifted ones and unshifted one is

$$\begin{aligned} l_{i,\vec{\omega}}^{\vec{k}} &= Z_{i,\vec{\omega}}^{(1)} Z_{i,\vec{\omega}}^{(2)} / Z_{i,\vec{\omega}} \\ &= \text{PE} \left[\frac{p_1^{r_i} p_2^{1/2} y_i}{(1 - p_1)(1 - p_2/p_1)} p_1^{\vec{k} \cdot \vec{\omega}} e^{\vec{a} \cdot \vec{\omega}} + \frac{p_1^{1/2} p_2^{r_i} y_i}{(1 - p_1/p_2)(1 - p_2)} p_2^{\vec{k} \cdot \vec{\omega}} e^{\vec{a} \cdot \vec{\omega}} - \frac{p_1^{1/2} p_2^{1/2} y_i}{(1 - p_1)(1 - p_2)} e^{\vec{a} \cdot \vec{\omega}} \right] \\ &= \text{PE} \left[\frac{p_1^{1/2} p_2^{1/2} y_i}{(1 - p_1)(1 - p_2)(p_1 - p_2)} e^{\vec{a} \cdot \vec{\omega}} \left((1 - p_2) p_1^{\vec{k} \cdot \vec{\omega} + r_i + 1/2} - (1 - p_1) p_2^{\vec{k} \cdot \vec{\omega} + r_i + 1/2} \right) - (p_1 - p_2) \right] \end{aligned}$$

$$(1.5)$$

For the $l_{i,\vec{\omega}}^{\vec{k}}$ to be finite rational function, the plethystic exponent must be finite series. It can be satisfied only when r_i is a half integer.

2 Instanton partition functions from blowup equations

From blowup equations one can compute the partition functions as follows. Rewriting the blowup equation as

$$1 = \sum_{\vec{k} \in \vec{\alpha}^{\vee}} f_{\vec{k}} l_{\vec{k}} \frac{Z_{\text{inst}}^{(1)} Z_{\text{inst}}^{(2)}}{Z_{\text{inst}}}$$
 (2.1)

where $f_{\vec{k}}=Z_{\rm class}^{(1)}Z_{\rm class}^{(2)}/Z_{\rm class}$ and $l_{\vec{k}}=Z_{\rm 1-loop}^{(1)}Z_{\rm 1-loop}^{(2)}/Z_{\rm 1-loop}$ with abbreviated notation

$$Z^{(1)} = Z(\epsilon_1, \epsilon_2 - \epsilon_1, \vec{a} + \vec{k} \epsilon_1, m_i + r_i \epsilon_1, m_0 + r_0 \epsilon_1)$$

$$Z^{(2)} = Z(\epsilon_1 - \epsilon_2, \epsilon_2, \vec{a} + \vec{k} \epsilon_2, m_i + r_i \epsilon_2, m_0 + r_0 \epsilon_2)$$
(2.2)

Here note that $l_{\vec{k}}$ is independent of $Q = e^{-m_0}$, and $f_{\vec{k}}$ is some overall factor in the order of $Q^{\vec{k}\cdot\vec{k}/2}$. Expanding the equation by instanton fugacity Q, then at each Q^n level the equation is written by

$$\delta_{n,0} = p_1^{r_0} Z_n^{(1)} + p_2^{r_0} Z_n^{(2)} - Z_n + \sum_{\vec{k} \neq 0} f_{\vec{k},r_0} l_{\vec{k}} \left(\frac{Z_{\text{inst}}^{(1)} Z_{\text{inst}}^{(2)}}{Z_{\text{inst}}} \right) \bigg|_{O(Q^{n-\vec{k}\cdot\vec{k}/2})}.$$
 (2.3)

Since each Z_k and $Z_k^{(1,2)}$ are independent of r_0 , one can solve (2.3) with three blowup equations with same r_i 's but different r_0 's.

The blowup equations for instanton partition functions of pure YM theory with generic gauge group were already studied in [3]. They are actually (1.1) with

$$\vec{r}_a = 0, \qquad r_0 = d - h^{\vee}/2$$
 (2.4)

where $d = 0, \dots, h^{\vee}$. We extend these blowup equations to the theories with matters based on pure YM blowup equations. If one restrict the cases to $\vec{r}_a = 0$, as we explained in the

previous section, the r_i 's are technically required to be half intergers. Thus we look for the r_0 's that provides the correct instanton partition functions by solving (2.3) while fixing $\vec{r}_a = 0$ and $r_i = 1/2$. Here are the results.

G	matter	r_0	d
$SU(N)_{\kappa}$	$N_f \times N$	$d-N/2-\kappa/2$	$0 \le d \le N - \kappa - 2N_f - 1$ (?)
$SU(6)_3$	1×20	d - 6/2 - 3/2 + 3/2	$1 \le d \le 6$
SO(7)	pure	d - 5/2	$0 \le d \le 5$
SO(7)	1×8	d - 5/2 + 1/2	$0 \le d \le 4$
SO(7)	1×7	$d - 5/2 + 1 \times 1/2$	$0 \le d \le 4$
SO(7)	2×7	$d - 5/2 + 2 \times 1/2$	$0 \le d \le 3$
G_2	pure	d-4/2	$0 \le d \le 4$
G_2	1×7	d - 4/2 + 1/2	$0 \le d \le 3$
F_4	pure	d - 9/2	$0 \le d \le 9$
F_4	1×26	$d - 9/2 + 1 \times 3/2$	$0 \le d \le 6$
F_4	2×26	$d - 9/2 + 2 \times 3/2$	$0 \le d \le 3$

They were tested by comparing the resulting instanton partition functions with the known results from [4](SO(7)) and $[5](F_4)$ with $N_{26} = 2$). They were compared numerically, putting random numbers on the fugacities. Note that matters shift the r_0 , each by one quarter of their Dynkin indices. It seems to differ from blowup formula for $SU(N)_{\kappa} + N_f$ instantons, where r_0 was affected only by its CS-level κ . However, one can rewrite the r_0 as

$$r_0 = d - N/2 - \left(\kappa + \frac{1}{2}N_f\right)/2 + N_f/4$$

= $d - N/2 - \kappa_{\text{eff}}/2 + N_f \times I_{\text{fund}}.$ (2.5)

Since fundamental matters shifts the effective CS-level, they cancel their index contributions and consequently the r_0 apparently looks independent of matters.

By above observations, we write the unity blowup equation for generic gauge groups and matter representations.

$$Z(\epsilon_1, \epsilon_2, \vec{a}, m_i, m_0) = \sum_{\vec{k} \in \vec{\alpha}^{\vee}} Z(\epsilon_1, \epsilon_2 - \epsilon_1, \vec{a} + \vec{k}\epsilon_1, m_i + \epsilon_1/2, m_0 + r_0\epsilon_1)$$

$$\times Z(\epsilon_1 - \epsilon_2, \vec{a} + \vec{k}\epsilon_2, m_i + \epsilon_2/2, m_0 + r_0\epsilon_2)$$
(2.6)

with

$$r_0 = d - h^{\vee}/2 - \kappa_{\text{eff}}/2 + N_{\mathbf{R}} \times I_{\mathbf{R}}.$$
 (2.7)

Here $I_{\mathbf{R}}$ is the Dynkin index of \mathbf{R} representation.

3 $SU(6)_3 + 1 \times 20$

As a non-trivial test, we consider the instanton partition function of the $SU(6)_3 + 20$ whose 5-brane realization was found recently [6]. Its web-diagram is given as figure.

(Written before computing the $SU(6)_3 + 20$ instanton partition function.)

Rather than comparing instanton partition functions directly, we consider an interesting Higgsing procedure. We consider the $SU(3) \times SU(3) \times U(1) \subset SU(6)$ where the SU(6) multiplets are decomposed by

$$A_{i\bar{j}}: \mathbf{35} \longrightarrow (\mathbf{8}, 1)_0 \oplus (1, \mathbf{8})_0 \oplus (\mathbf{3}, \bar{\mathbf{3}})_2 \oplus (\bar{\mathbf{3}}, \mathbf{3})_{-2} \oplus (1, 1)_0,$$

$$\Phi_{ijk}: \mathbf{20} \longrightarrow (\mathbf{3}, \bar{\mathbf{3}})_{-1} \oplus (\bar{\mathbf{3}}, \mathbf{3})_1 \oplus (1, 1)_3 \oplus (1, 1)_{-3}.$$
(3.1)

Here to fit with the web-diagram, we set Φ_{156} and Φ_{234} are $(1,1)_3$ and $(1,1)_{-3}$. Once Φ_{156} and Φ_{234} get non-zero VEVs,

When $a_5 = -a_1 - a_6$, the web-diagram factorizes to two $SU(3)_3$ whose Coulomb VEVs are (a_1, a_5, a_6) and (a_2, a_3, a_4) . In the gauge theory, it can be seen partly from prepotential. The prepotential of $S(6)_3 + 1 \times 20$ is

$$\mathcal{F} = \frac{1}{2}m_0 \sum_{i=1}^{6} a_i^2 + \frac{1}{2} \sum_{i=1}^{6} a_i^3 + \frac{1}{6} \sum_{i < j} (a_i - a_j)^3 - \frac{1}{6} \sum_{1 < i < j} (a_1 + a_j + a_k)^3$$
 (3.2)

at the Weyl chamber $a_1 > \cdots > a_6$. As one sets the Coulomb VEV $a_6 = -a_1 - a_5$ and $a_4 = -a_2 - a_3$, one can check

$$\mathcal{F}(m_0, a_1, a_2, a_3, a_4, a_5, a_6) = \mathcal{F}_{SU(3)_3}(m_0, a_1, a_5, a_6) + \mathcal{F}_{SU(3)_3}(m_0, a_2, a_3, a_4)$$
(3.3)

where

$$\mathcal{F}_{SU(3)_3}(m_0, a_1, a_2, a_3) = \frac{1}{2} m_0 \sum_{i=1}^3 a_i^2 + \frac{1}{2} \sum_{i=1}^3 a_i^3 + \frac{1}{6} \sum_{i < j} (a_i - a_j)^3.$$
 (3.4)

It is Higgsed by

References

- [1] H. Nakajima and K. Yoshioka, Invent. Math. **162**, 313 (2005) doi:10.1007/s00222-005-0444-1 [math/0306198 [math.AG]].
- [2] M. x. Huang, K. Sun and X. Wang, JHEP 1810, 196 (2018)
 doi:10.1007/JHEP10(2018)196 [arXiv:1711.09884 [hep-th]].
- [3] C. A. Keller and J. Song, JHEP 1207, 085 (2012) doi:10.1007/JHEP07(2012)085[arXiv:1205.4722 [hep-th]].
- [4] H. C. Kim, J. Kim, S. Kim, K. H. Lee and J. Park, arXiv:1801.03579 [hep-th].
- [5] M. Del Zotto and G. Lockhart, JHEP 1808, 173 (2018) doi:10.1007/JHEP08(2018)173
 [arXiv:1804.09694 [hep-th]].
- [6] H. Hayashi, S. S. Kim, K. Lee and F. Yagi, arXiv:1902.04754 [hep-th].