

## Abstract

## Cover Page

## Cover Page Supplement

**Part I**

# **UTA Group Introduction**

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## Part II

# Research at the Energy Frontier

**PI Summary: Andrew White**

**PI Summary: Kaushik De**

**PI Summary: Andrew Brandt**

**PI Summary: Amir Farbin**

Motivation ——— \* Naturalness/Dark Matter/Unification =¿ Razor/Jigsaw (ICHEP2016)  
=¿ Jigsaw+DNN =¿ Compressed spectra =¿ bbl+MET topological searches =¿ General Searches  
@ end of Run 2 =¿ Run 3 =¿ HL-LHC Physics =¿ DM searches in Neutrino Beams =¿ mini-  
BooNE/DUNE (Sepideh) =¿ Advanced techniques: MEMs, DNNs (overlap with computing)

\* Computing Problems =¿ LArTPC Reco =¿ LArIAT DNN Classification and Energy Regres-  
sion. =¿ HL-LHC Computing- Need order magnitude cost saving. =¿ Moore's Law and Paral-  
lelism =¿ New Frameworks + New processors + DNNs =¿ Better, Faster, Easier/Cheaper =¿ DNNs  
=¿ TrackingML Dataset =¿ Computationally Extending Physics Reach =¿ DNNs encapsulation of  
MEM (Tancredi Carli + Hussien) =¿ Generative DNN replacing Simulation/Geant4 =¿ DNN-based  
Calorimetry: better classification and energy resolution. =¿ LCD Calorimeter (J.R. Vlimant Maria  
Spiropulu's and Maurizio Pierini from CERN) =¿ ATLAS Calorimeter (Taylor Childers ? )

Uniqueness ———

\* Connected to computing at 2 frontiers, (experience: ATLAS PAT + DUNE Management) =¿  
Working relationships with key core-framework developers (art, cmssw, athena) and others (gaudi,  
Geant V). =¿ + Community Whitepaper =¿ Frameworks workshop =¿ LHC/DUNE expertise ex-  
change (somewhat on hold =¿ Art Framework mini-workshop =¿ WMS/DDM mini-workshop :  
First time FIFE/CMS/PANDA together? =¿ Requirements

\* Leader in Deep Learning in HEP (lots of big talks + workshop organizer) =¿ Working  
relationships/collaboration with full DNN in HEP community. =¿ Initiating many and facilitating  
other projects. =¿ Many collaborative papers in the works (see below). =¿ DNN Services: More  
than an dozen HEP DNN users of my machine from 6 experiments. =¿ provide full list? =¿  
Datasets: LArTPC, Calorimetry, Tracking =¿ Significant number of interesting results and plans...  
detailed below.

Computing ———

\* Frameworks \* architecture abstraction \* high-level language \* DNN integration \* Run 3  
Framework Core Trigger software (Need to develop) =¿ Great stepping stop to Run 4

\* Deep Learning... \* Large number of projects built on numerous collaborations =¿ bringing  
domain/DNN expertise, data, and hardware together. \* Enabled by hardware and software tools.  
=¿ Once setup, subsequent problems are easy to investigate. =¿ Plan: Datasets + Tutorials + HPC

Deep Learning Projects ———

1. DNN Matrix Element (w/ Tancredi + Hussien, Tobias Golling + group). =¿ Application:  
=¿ Encapsulation of LO, NLO, NNLO weights =¿ address NLO generation problem =¿ Encapsula-  
tion of MEM =¿ Faster MEM/more sensitive searches =¿ Status: =¿ DNN setup and running, prelim  
results. =¿ First studies already done on Titan with help of Sergey Patinkin (sp?) =¿ Regression vs  
binning/classification =¿ Plot: Residual =¿ Plans: =¿ Paper with Tancredi (+ Hussien?) =¿ Paper  
with Toby =¿ Learned Binning

2. LArTPC DNN Reconstruction (2 Collaborations: Sepideth, Grayson, P. Baldi, P. Sawdosky and UCI group. Asaadi's group) = Application: = Convolutional Nets: = Particle ID = Energy Regression = Neutrino Reconstruction (ID+Energy) = Compliment to Reco: e.g. EM/Had Hit ID = Auto-encoders = Noise suppression = Compression = Status: = Huge dataset Simulated LArIAT DataSet (15M): single particle + neutrino. Just finished! = Many very promising preliminary results. = Best electron versus pi0 separation (compare to MicroBooNE) = Electron and Muon Regression = Optimization going on now = Plans: = Paper with UCI = With Asaadi+Student = Implement technique within LArIAT = Train/Validate on Data = MicroBooNE: Some Neutrino x-section measurement (conventional and DNN) = Ultimately, full DNN-based LArTPC reconstruction/physics. = DUNE Detector Optimization = All LArTPC Experiments...

3. NEXT DNN Reconstruction (nu-less double beta-decay) (J. Renner et al, Nygren/Jones group) = Application (mostly CNNs): = Full Reconstruction... ultimate goal? Can we trust it? = Detector Optimization: = Fast design - simulate = optimized reco cycle (also good idea for DUNE). = Turn on effects in simulation = understand relative impact = Novel searches: = Vertex and trajectory reconstruction = Ben's Lorentz Invariance Test idea (is it a secret?) = Status: = Paper soon: First studies demonstration many of above with out-of-box CNNs. = New collaboration with Ben, Austin, and Ben's new student = Plans: = All of above!

4. Calorimetry (w/ J.R. Vlimant, M. Pierini, ...) = ATLAS Combined performance contribution = Motivation: = 3D Image: Ideally suited... extension of LArTPC work. = Many handles not used = e.g. Accordion shape = e.g. Hadronic Sampling = Potential for Big Impact: = Better PID and Resolution = Bigger peaks = Smaller systematics = Fast Shower: Generative Models = Status: = Large Dataset generated... more to come. = Already shared with Berkeley vision lab and others... = Start with LCD dataset. = First classification results ready... Regression setup. = Paper draft started = Plans: = AF Focus on Energy Regression (same as LArTPC) = Likelihood-based = Generative Models = ATLAS = Changing Granularity = Z data fit (w/ collaborator...)

5. New Physics Searches (w/ Chris Rogan, Paul Jackson, Louise, Daniel, next postdoc ...) = bb ll + MET Topological search: = Status: Jigsaw vs 4-vector based DNN study done. = Current: Parameterize Classifier. = Plan: Establish a topological basis for event classification = Stepping stone to General Searches for end of Run 2 = Compressed Spectra = Status: Training DNN on Rogan data to compare with Rogan paper. = Papers, papers!

6. Anomaly Detection: (with P. Onyisi at UT?) = Application: = Detector Monitoring (from 35t days) = General Purpose = Status: = Auto-encoder based anomaly detector built using synthetic data = Plan: = Get some ATLAS monitoring data... give it a try.

Physics — \* History: \* 3 Razor searches \* squark/gluino sub-convenership: David - Louise

\* Accomplishments: \* ICHEP 0 Lepton + Compressed Spectra w/ JigSaw \* Louise sub-convenorship

\* Plans: = DNNs = Draw inspiration: Better Jigsaw-like observables? = Background technique: how do you get a sideband? = Compressed Spectra: Paper with Rogan = ll bb + MET = All Hadronic ???

Other — Louise: Tile performance paper editor Daniel: Tile MobiDAQ + Phase II

Potential Collaborations at UTA ————— Kaushik: DNN upgrade to their MVA SUSY search. Haleh: DNN/MEM Charged Higgs searches. Justin: DNN Tau ID/Reco

## PI Summary: Haleh Hadavand

## 1 The ATLAS Experiment

### The ATLAS Experiment

1.1 Atlas Subject One (PI: PersonOne, PersonTwo)

1.2 Atlas Subject Two (PI: PersonOne, PersonTwo)

1.2.1 Atlas Sub-Subject (PI: PersonOne, PersonTwo)

## 2 International Linear Collider Project (PI: White)

### ILC Experiment

## Part III

# Research at the Intensity Frontier

PI Summary: Jaehoon Yu

PI Summary: Jonathan Asaadi

## 3 The Fermilab Short-Baseline Neutrino Program

The discovery that neutrinos undergo oscillation in their flavor, and thus are massive particles, serves as one of the first pieces of evidence for physics beyond the Standard Model (SM) of particle physics. The prevailing description of neutrino oscillations provided by the Pontecorvo-Maki-Nakagawa-Sakata (PMNS) matrix characterizes the flavor change as a result that the neutrino flavor eigenstates ( $\nu_e, \nu_\mu, \nu_\tau$ ) are a linear combination of the neutrino mass eigenstates ( $\nu_1, \nu_2, \nu_3$ ). The rotation from the mass eigenstates to the flavor eigenstates is governed by three angles  $\theta_{i,j}$ , where  $i$  and  $j$  correspond to the mass eigenstates with  $i < j$ , and a phase  $\delta$  which determines magnitude of charge-parity (CP) violation within the neutrino sector. Additionally, the flavor change of the neutrinos depends on the ratio neutrino energy and the distance travelled by the neutrino (often referred to as the baseline) as well as the difference in the square of the mass eigenstates  $\Delta m_{ji}^2$ . The exact ordering of the neutrino mass states, known as the mass hierarchy, as well as the size of the CP-violating phase  $\delta$  are, as yet, unknown.

Neutrinos produced in the atmosphere [?, ?, ?], in nuclear reactors [?, ?, ?], in the sun [?, ?, ?], as well as in man-made particle accelerators [?, ?, ?] have been used to study the phenomenon of neutrino oscillations. Going forward, measuring the mass hierarchy and CP-violation can be achieved by studying the appearance of electron neutrinos which oscillate from a beam of muon neutrinos directed at large and precise neutrino detectors. Liquid Argon Time Projection Chambers (LArTPCs) offer fine-grain tracking as well as powerful calorimetry and particle identification capabilities. For these reasons, this detector technology has been chosen for both the study of neutrino oscillations over relatively short baselines ( $< 1$  km) and long baselines ( $> 1000$  km).

Into this experimental landscape, there exists a set of series of experimental measurements which suggest that the three-flavor paradigm of neutrino oscillations is incomplete. Two general

classes of anomalous observations may point to additional physics beyond the SM in the neutrino sector.

- **The disappearance signal in low energy electron anti-neutrinos from reactor neutrino experiments [?] (“Reactor Neutrino Anomaly”) and Mega-Curie radioactive electron neutrino sources in Gallium [?, ?] (“Gallium Anomaly”)**
- **The electron-like excess from muon neutrino (and anti-neutrino) particle accelerators (“LSND/MiniBooNE Anomaly”) [?, ?]**

Neither of these anomalies can be accounted for by the standard three-flavor oscillations of the SM and may hint at the existence of additional neutrino states with larger mass difference ( $\Delta m_{new}^2 \geq 0.1 \text{eV}^2$ ) which participate in the mixing of the flavour states (referred to as “sterile neutrinos”). Definitive evidence of the existence of new neutrino states would be a revolutionary discovery with broad implications for both particle physics and cosmology. Moreover, in order for future accelerator based neutrino experiments to disentangle the mass hierarchy and search for CP-violation, the oscillation framework must be concretely known if the appearance of electron neutrinos is to be interpreted properly.

The conclusive redress of the experimental hints of sterile neutrinos thus becomes high priority for the field of neutrino physics. The Fermilab Short-Baseline Neutrino (SBN) program offers the unique opportunity to definitely address the “LSND/MiniBooNE” anomaly. Through the utilization of three liquid argon time projection chambers (LArTPCs) detectors and the decade old and well characterized Booster Neutrino Beam (BNB), the SBN program offers a rich physics program with the ability to perform the most sensitive search to date for the existence of sterile neutrinos at the eV mass-scale.

The Fermilab Short-Baseline Neutrino Program aims to address the electron-like excess seen in the electron neutrino appearance channel. The first experiment to observe this excess was the LSND experiment [?] at Los Alamos National Laboratory. LSND used a decay-at-rest pion beam to produce muon anti-neutrinos ( $\bar{\nu}_\mu$ ) in the energy range between 20-53 MeV and a distance of 30 meters from the liquid scintillator based detector. After five years of running, LSND reported an excess electron like events corresponding to a  $3.8\sigma$  evidence for  $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$  oscillations occurring with a  $\Delta m^2$  of  $\sim 1 \text{eV}^2$ . This suggests an oscillation beyond the SM three flavor neutrino oscillation which occurs at an  $L/E_\nu \sim 1 \text{m/MeV}$ .

To test for the appearance of this anomalous oscillation, the MiniBooNE experiment [?] at Fermilab utilized 700 MeV muon neutrinos produced from the Booster Neutrino Beam at a baseline of 540 meters (thus giving a similar  $L/E_\nu$  to LSND). MiniBooNE identified muon and electron neutrino interactions by their characteristic Cherenkov rings inside a scintillator detector. As shown in Figure 1, in ten years of data taking in both neutrino and anti-neutrino running MiniBooNE observed a  $3.5\sigma$  excess in  $\nu_e$  candidates and a  $2.8\sigma$  excess in  $\bar{\nu}_e$  candidates. This excess of events observed by MiniBooNE can be due to electrons from  $\nu_e$  interactions as well as from single photon backgrounds, since these two final states are indistinguishable to the Cherenkov imaging detector.

A common interpretation of this data is to posit the existence of one or more additional sterile neutrino states with masses at or below the eV range. This interpretation requires mixing of the sterile state(s) with both the electron and muon neutrino flavor states. Constraints from sterile mixing from  $\nu_\mu$  and neutral current disappearance data [?, ?] leads to significant tension between the  $\nu_e$  appearance data and the  $\nu_\mu$  disappearance data.

To disentangle the open question of how to interpret the LSND/MiniBooNE anomaly, both an excellent neutrino detector technology as well as a robust experimental program is required. The liquid argon time projection chamber (LArTPC) offers physics capabilities ideally suited for



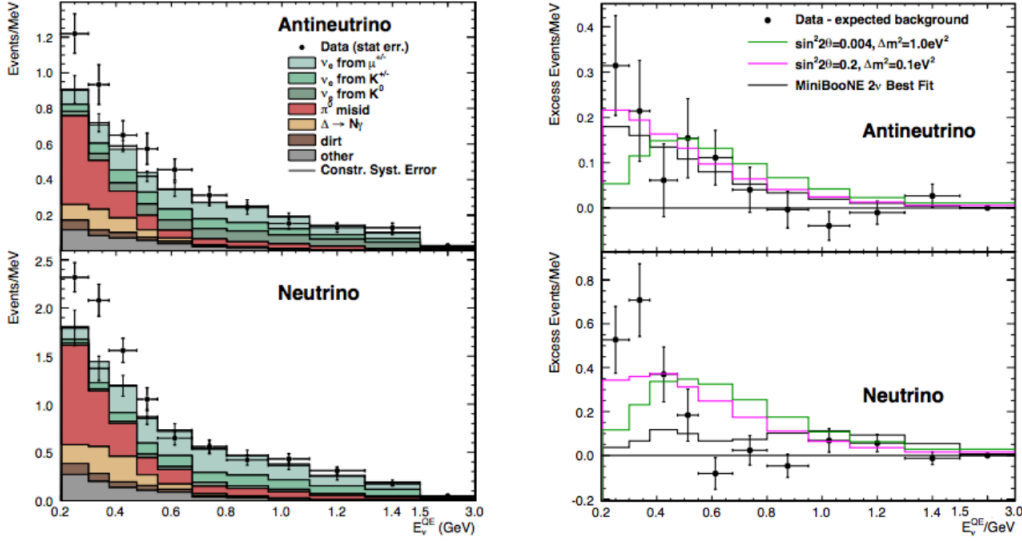


Figure 1: Left: Electron anti-neutrino ( $\bar{\nu}_e$ ) and neutrino ( $\nu_e$ ) candidate events shown with the predicted backgrounds in MiniBooNE. Right: Background subtracted event rates in MiniBooNE as well as different sterile neutrino models overlayed with the data.

the study of neutrino interactions. By combining millimetre scale tracking capabilities, outstanding calorimetry by having a fully active/sampling detector, and powerful particle identification made by combining the ionization along the particle trajectory ( $dE/dX$ ) and the topological information, LArTPCs have been chosen to be the premier neutrino detector technology.

When a neutrino interacts with an atom in the liquid argon multiple final state charged particles as well as electromagnetic objects (such as photons and electrons) can be produced. When the charged particles traverse the liquid argon they produce ionization which drifts along the electric field inside the TPC towards a set of wire planes which are oriented at different angles with respect to each other. As shown schematically in Fig. 2, the drifting ions produce an electric signal on the wire planes, which is read out of the detector. By knowing the drift speed of the ions and the timing of the interaction as well as the deposition of charge on the wires a three-dimensional image of the interaction can be reconstructed. The information of the charge deposition as well as the topological information allows for particle identification as well as calorimetric reconstruction. This allows, for example, the ability to disentangle electron initiated electromagnetic showers from photon initiated showers by looking at the displacement in the start of the electromagnetic shower from a primary vertex as well as analysing the energy deposited in the first centimetres of the shower ( $dE/dX$ ). As shown on the lower right side of Figure 2 from a measurement done by the ArgoNeuT LArTPC detector [?], this provides powerful electron/photon identification capabilities. The resolution of a LArTPC is determined by the spacing of the wires (typically 3-5 millimetres) along with the sampling rate and drift velocity (typically sub-millimetre).

The future short-baseline neutrino programs experimental layout, shown in Fig. 3, is designed to include three functionally identical LArTPCs located on-axis in the Booster Neutrino Beam (BNB). The Short-Baseline Near Detector (SBND) will be a new 112 ton LArTPC and serve as the near detector to the SBN program located 110 meters downstream of the BNB target. SBND will measure the un-oscillated neutrino flux from the BNB and enable searches in both the neutrino appearance and disappearance channels. The MicroBooNE detector is a 89 ton active mass LArTPC

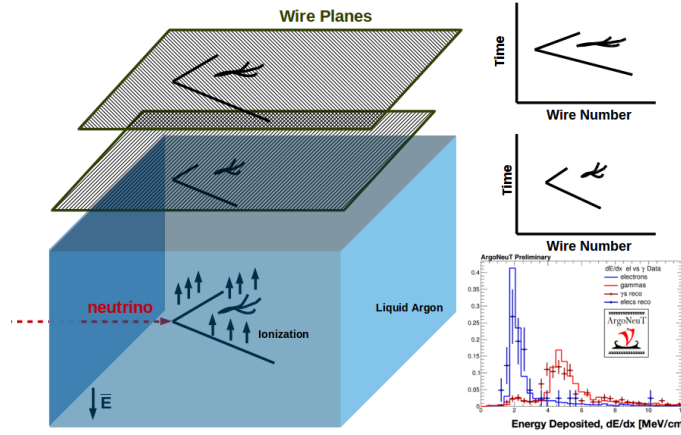


Figure 2: Operating principals of LArTPC detectors.

located 470 meters downstream of the BNB target (just in front of the MiniBooNE experiment). MicroBooNE serves as the pioneer LArTPC experiment on the BNB and will lay the groundwork for the oscillation analysis. The far detector will utilize the upgraded ICARUS-T600 experiment, previously installed and operated at the Gran Sasso Laboratory, and will be located in a new building 600 meters from the BNB target. ICARUS's large detector mass provides the SBN program with the experimental sensitivity to definitively search for the existence of eV mass-scale sterile neutrinos.

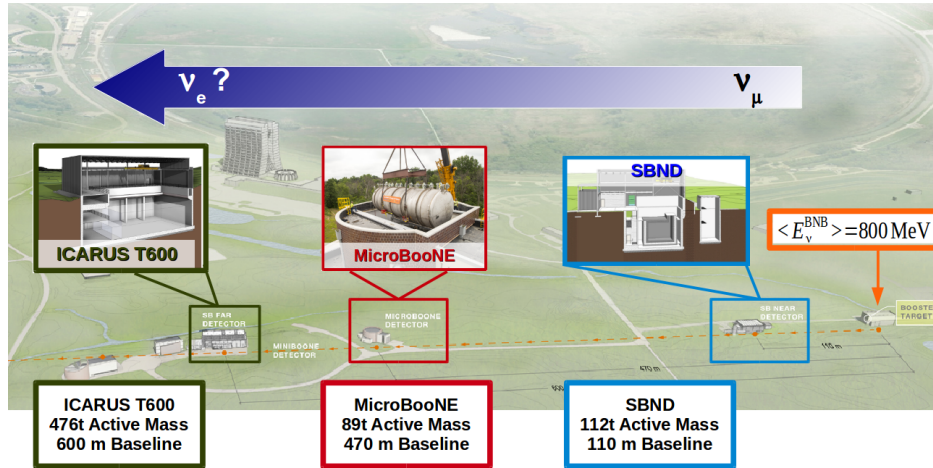


Figure 3: Overview of Fermilab's Booster Neutrino Beamline (orange dashed line) campus with the location and description of the three SBN detectors.

### 3.1 Short-Baseline Near Detector (SBND) (PI: Asaadi, Yu)

#### Short-Baseline Near Detector

### 3.2 Micro-Booster Neutrino Experiment (MicroBooNE) (PI: Asaadi)

#### MicroBooNE

The MicroBooNE experiment serves as the first detector deployed at the SBN facility and represents the next step in LArTPC technology. MicroBooNE is a  $10.3 \text{ m} \times 2.5 \text{ m} \times 2.3 \text{ m}$  TPC with 89 tons of active volume. The TPC, shown in Figure 4, has three instrumented wire planes with the first two induction planes oriented at  $\pm 30^\circ$  to the beam axis and the final plane oriented vertically. Both the pitch and wire spacing is chosen to be 3 mm, which provides superb resolution for imaging interactions inside the detector. Additionally there are 32, 8" cryogenic photomultiplier tubes (PMTs) which provide the  $t_0$  for an interaction by recording the scintillation light produced when the charged particles interact in the argon.

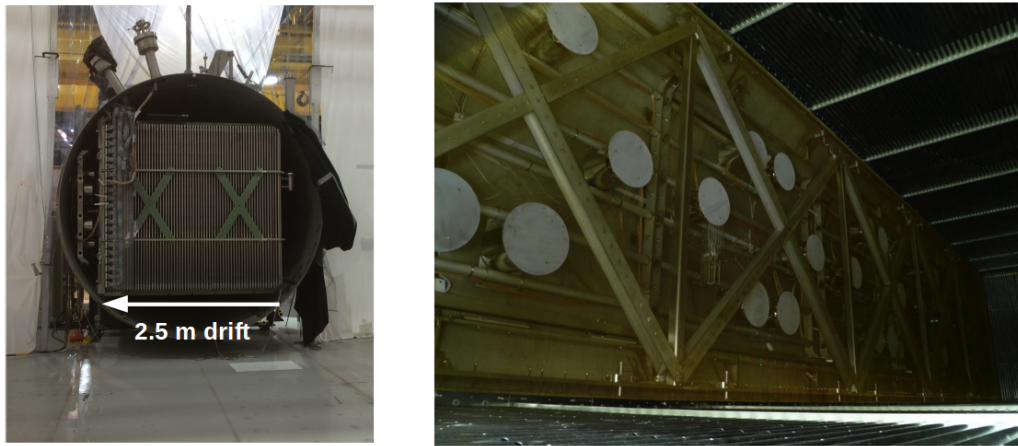


Figure 4: The MicroBooNE TPC after installation inside the cryostat and a long exposure view of the inside of the TPC. The acrylic disks coated in wavelength shifting material which sit directly in front of the PMTs can be seen behind the wire planes.

In the summer of 2015 MicroBooNE was filled with liquid argon and began commissioning. During this initial commissioning the drift high voltage was brought to  $\sim 45\%$  its nominal value and immediately cosmic ray tracks and scintillation light were able to be seen. Figure ?? shows some of the first cosmic ray tracks as seen by the collection plane and the light readout as seen by the PMT system. With the rapid success of the system, MicroBooNE has now transitioned from commissioning to neutrino data taking starting in October of 2015.

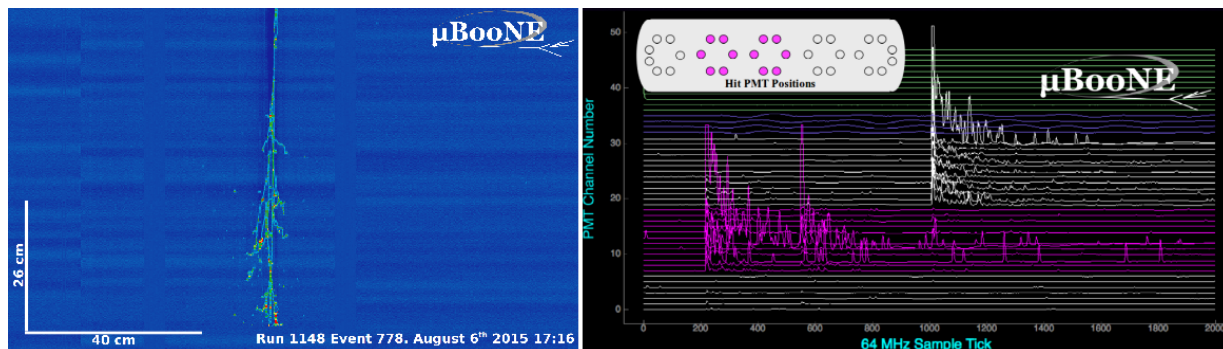


Figure 5: MicroBooNE's first cosmic ray events as seen by the TPC collection plane and the PMT readout.

One of the most compelling measurements MicroBooNE will make is to confirm or refute the nature of the MiniBooNE low-energy electron neutrino excess. Utilizing the particle identi-

cation powers of the LArTPC (specifically the  $dE/dX$  discrimination), MicroBooNE will be able to differentiate the electron-like electromagnetic showers from photon-like electromagnetic showers. Moreover, the dominant background in the MiniBooNE analysis of neutral current  $\pi^0$  production can be eliminated using the powerful imaging techniques of a LArTPC. The analysis techniques developed for the MiniBooNE low energy excess search will be developed in the common software framework known as LArSoft. This software framework is common amongst many of the LArTPC experiments, helping ensure that the reconstruction techniques and analysis strategies developed on MicroBooNE will have applicability to future experiments.

MicroBooNE will also be able to measure many high-statistic cross-sections at  $E_\nu < 1\text{ GeV}$ . At this energy range, the impact of various nuclear effects such as final state interactions and short-range nucleon correlation are poorly understood. These nuclear effects can change the classification of neutrino nucleus interaction, and thus change the measured cross-section. The fine grain tracking offered by LArTPCs allows for the classification of neutrino-nucleon interaction in terms of final state particles instead of using simplifications such as the quasi-elastic scattering assumption. Moreover, with a proton threshold measured as low as 21 MeV of kinetic energy [?], these nuclear effects can event be measured with high statistics using neutrinos as a probe. The broader neutrino cross-section community is anticipating how the results measured by MicroBooNE compare to previous measurements.

MicroBooNE will also explore the physics capabilities of LArTPC including classification of low energy events as a background for supernova neutrinos and searching for cosmogenic backgrounds related to proton decay analysis. While MicroBooNE is too small and located on the surface making meaningful proton decay search impossible. However, utilizing the abundance of cosmic rays to search for background signatures due to cosmogenic sources can provide useful input to future analysis targeted at the Deep Underground Neutrino Experiment (DUNE). Additionally, Prof. Asaadi serves as the convener of the Astro-Particle and Exotics working group on MicroBooNE and is currently leading analyses related to proton decay backgrounds and exotic dark matter searches at a beam dump. Fully exploring the physics capabilities of the MicroBooNE detector enables a robust physics program.

### 3.2.1 Proposed work on MicroBooNE

UT Arlington will play a major role in the data taking and operations of the MicroBooNE detector. Prof. Asaadi has served as the TPC commissioning leader and is now transitioning to the TPC operations expert. Prof. Asaadi will also continue in his role as Astro-Particle and Exotics working group convener for the foreseeable future where he will continue to shape the early data analyses as well as explore new physics opportunities with the MicroBooNE detector.

The postdoctoral researcher supported by this proposal will spend much of their time working on the MicroBooNE operations and is expected to be trained to serve as the TPC operations expert. In addition to data taking shift requirements, the he/she is also expected to play a role in the online DAQ/data quality management as training for the future planned work on the SBND DAQ. The graduate student supported by this work is also expected to take shifts on MicroBooNE and play a supporting role on the expert training.

Being a driving force on early neutrino cross-section analysis is a good way to have impact on the physics program at MicroBooNE. The postdoctoral researcher and graduate student are expected to work on neutrino cross-section analysis using the data taken in the first year of running. This data set will provide the first high statistics glimpse into the short-baseline analysis. Following up on previous low statistics cross-sections measured by ArgoNeuT including the coherent charged pion production and neutral current  $\pi^0$  is one way which they can have immediate

impact. Furthermore, the tools developed for data analysis and reconstruction in MicroBooNE will have transferability to future LArTPC through the use of the common software package, LArSoft. Neutrino cross-section analysis

### **3.3 ICARUS Experiment (PI: Asaadi, Yu)**

#### **ICARUS Experiment**

## **4 The Fermilab Long-Baseline Neutrino Program**

### **Long-Baseline Neutrino Program**

#### **4.1 Prototype Deep Underground Neutrino Experiment (protoDUNE) (PI: Asaadi, Yu)**

##### **ProtoDUNE**

#### **4.2 Deep Underground Neutrino Experiment (DUNE) (PI: Asaadi, Yu)**

##### **Deep Underground Neutrino Experiment**