## A Survey of Deep Reinforcement Learning Algorithms for Motion Planning and Control of Autonomous Vehicles

Fei Ye<sup>1</sup>, Shen Zhang<sup>2</sup>, Pin Wang<sup>3</sup>, and Ching-Yao Chan<sup>3</sup>

Abstract—In this survey, we systematically summarize the current literature on studies that apply reinforcement learning (RL) to the motion planning and control of autonomous vehicles. Many existing contributions can be attributed to the pipeline approach, which consists of many hand-crafted modules, each with a functionality selected for the ease of human interpretation. However, this approach does not automatically guarantee maximal performance due to the lack of a systemlevel optimization. Therefore, this paper also presents a growing trend of work that falls into the end-to-end approach, which typically offers better performance and smaller system scales. However, their performance also suffers from the lack of expert data and generalization issues. Finally, the remaining challenges applying deep RL algorithms on autonomous driving are summarized, and future research directions are also presented to tackle these challenges.

#### I. Introduction

Automated and semi-automated vehicles are gaining popularity in assisting our daily transportation. There is a considerable amount of studies in the past decade focusing on autonomous driving applications [1]–[6]. Specifically, a large number of research activities based on deep learning have been conducted for advanced driving assistance systems (ADAS) and automated driving applications, aiming to automate as much of the driving task as possible. Supervised learning approaches rely heavily on large amounts of labeled data to be able to generalize and it is basically trained on each task in isolation. However, obtaining a big amount of data for each individual task in autonomous driving is costly and time-consuming. Moreover, it requires massive human labor to label such data and still may not cover all the complex situations in the real-world driving.

On the other hand, reinforcement learning (RL) algorithms have been extensively applied to vehicle decision making and control problems [5], [6]. Specifically, RL is able to learn in a trial-and-error way and does not require explicit human labeling or supervision on each data sample. Instead, it needs a well-defined reward function to receive reward signals in its learning process. Additionally, there is a wide variety of deep RL algorithms and high flexibility in the implementation

level, such as the state space, the action space, and the reward function, etc.

In general, existing work applying deep RL to the motion planning and control of autonomous vehicles can be divided into the hierarchical (pipeline) approach and the end-to-end approach [7], as demonstrated in Fig. 1. Specifically, the pipeline approach can be typically categorized into different modules such as perception, decision making, motion planning, low-level control, and so on. In this paper we'll review and examine papers that apply deep RL algorithms to specifically accomplish functionalities of motion planning and vehicle control. Each of these modules is engineered manually, and the interfaces between the modules are typically implemented by hands. However, this modular distribution is obviously targeted for the convenience of human interpretation, rather than the highest attainable system performance. For example, if there is a pipeline system with parts that can improve with data, and with parts that they don't, then those parts that do not improve with data will eventually become the bottleneck.

Recently, more efforts have been devoted to the second approach enabled by deep RL, which is the end-to-end approach that can optimize all those modules of abstractions to map sensory input to control commands with the minimal number of processing steps. There are mainly two reasons why we might want to apply end-to-end techniques to autonomous driving: 1) better performance; and 2) smaller system scales [8]. Better performance will result because the internal components can be self-optimized to maximize overall system performance, instead of optimizing human-selected intermediate criteria. Smaller networks are possible because the system learns to solve problems with minimal processing steps.

In this context, this paper seeks to summarize existing work that explains how deep RL algorithms, when combined together with deep neural network representations, can generalize and perform automated vehicle decision making and control. The rest of the paper is organized as follows. In Sections II and III, we introduce how different deep RL algorithms can be leveraged to accomplish behavioral decision making, motion planning and control modules in the pipeline approach. Next, in Section IV, we take a deep dive into end-to-end learning methods for both real-world applications and the sim-to-real approach. Section V summarizes existing challenges and future work directions in applying deep RL to autonomous vehicles. Finally, a conclusion is provided in

<sup>&</sup>lt;sup>1</sup> F. Ye is with TuSimple Inc., 9191 Towne Centre Dr. Ste 600, San Diego, CA 92122, USA. fei.ye@tusimple.ai.

<sup>&</sup>lt;sup>2</sup> S. Zhang is with Georgia Institute of Technology, Atlanta, GA 30332, USA. shenzhang@gatech.edu.

<sup>&</sup>lt;sup>3</sup> P. Wang and C. Chan are with California PATH, University of California, Berkeley, Richmond, CA 94804, USA. {pin\_wang, cychan}@berkeley.edu.

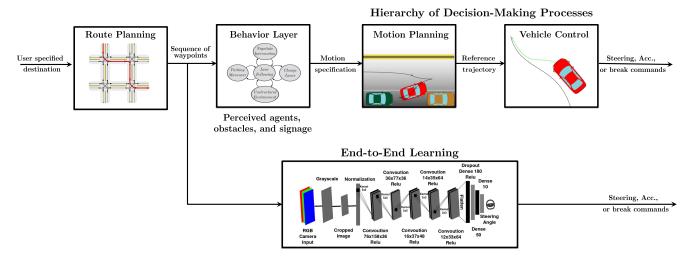


Fig. 1. An illustration of the pipeline and the end-to-end approach for motion planning and control of autonomous vehicles, figure adapted from [2].

### Section VI.

## II. DEEP REINFORCEMENT LEARNING FOR DECISION MAKING AND MOTION PLANNING

In this section, we focus on recent advances in behavioral decision-making and motion planning for autonomous vehicles based on deep RL. As shown in Fig. 1, the typical pipelines of an autonomous driving system process a stream of observation from vehicle on-board sensors with high level routing plans to the executable control output such as steering angles, accelerations and braking actions. Typically, a hierarchical structure in the design of planning systems for autonomous driving is usually desired, since driving is naturally hierarchical as higher level decisions are made on discrete state transitions and lower level executions are performed in continuous state space. Behavior layer is a decision making system that determines the transition of discrete state of mid-level driving behaviors such as lane changing, car-following, turning left/right, etc. When the behavior decision is made, the motion planning system is responsible for providing a safe, comfortable, and dynamically feasible continuous trajectory to achieve the selected driving behavior from the decision making system.

Deep reinforcement learning has shown great success in the area of vehicle behavioral decision makings, especially in the highway scenarios and urban intersections. To reduce the sample complexity, some of the studies choose to adopt the mid-level inputs from the perception system processes and extract the vehicle state and relative states of surrounding vehicles as inputs. Hoel et al [9] trained a Deep Q-Network (DQN) in a simulation environment to issue driving behavioral commands (e.g. change lanes to the right/left, cruise on the current lane and etc.) and compared the different neural network structures' effects on the agent performance. To migrate the concerns of safety performance of a trained RL agent, it is common to add rule-based safety constraints that can verify unsafe actions before they are executed. In

[10], a prediction model combined with Deep Q-Network is proposed given the outputs from the perception system to label the unsafe behavioral decisions in unprotected turn scenarios. Some studies [11], [12] alternatively trained a lane change decision making system based on DON for behavioral level decision-making and uses an underlying rule-based layer to verify the safety of a planned trajectory before it is executed by the vehicle control system. In [13], a hierarchical RL based architecture is presented to combine lane change decisions and motion planning together. Specifically, a deep Q-network (DQN) is trained to decide when to conduct the maneuver based on safety considerations, while a deep Q-learning framework with quadratic approximator is designed to complete the maneuver in longitudinal direction. On the other hand, some of the studies choose to learn from human demonstrations via imitation learning and introduce perturbation to discourage undesirable behavior [14].

More recently, actor-critic policy-based RL methods are introduced in autonomous vehicle decision making and motion planning. Compared to value-based RL methods such as Deep Q-Networks that approximate the value function using neural networks in an off-policy way, the primary advantage of actor-critic policy-based RL method is that they can directly compute actions from the policy gradient rather than optimizing from the value function, while remaining stable during function approximations. On the other hand, the merit of the critic is to supply the actor with the knowledge of performance in low variance. Actor-Critic algorithm has been successfully applied in both discrete behavior decision makings and continuous motion planning tasks [15], [16].

# III. DEEP REINFORCEMENT LEARNING FOR VEHICLE CONTROL

In the pipeline approach, the perception layer such as CNN is typically used to process and recognize objects in digital images. Afterwards, deep RL algorithms can be applied to understand and learn intelligent actions and controls.

Many papers are devoted to accomplishing low-level vehicle control with deep RL methods, such as lane keeping [17], [18], lateral control [19]–[21], longitudinal control [22]–[28], or both [29]–[31].

For lane-keeping, a DDPG model was implemented in continuous state and action space in [17] to guide its training and apply it to solve the lane keeping (steering control) problem in self-driving or autonomous driving. It is shown that the proposed method can help speed up RL training remarkably for the lane keeping task as compared to the RL algorithm without exploiting the state-action permissibilitybased guidance and other baselines that employ constrained action space exploration strategies. To improve efficiency and reduce failures in autonomous vehicles, two different algorithms, namely the robust adversarial RL and neural fictitious self play are proposed in [18], and compares performance on lane keeping and lane changing scenarios. The results exhibit improved driving efficiency while effectively reducing collision rates compared to baseline control policies produced by traditional RL methods.

In terms of the vehicle lateral control, to address the high computational complexity of model predictive control (MPC) for real-time implementation, a fast integrated planning and control framework is proposed in [19] that combines a driving policy layer and an execution layer. Several example driving scenarios demonstrated that the performance of the policy layer can be improved quickly and continuously online. In [20], DDPG was implemented to formulate the lane change behavior with continuous action in a modelfree dynamic driving environment, and the reward function takes the position deviation status and the maneuvering time into account. Eventually, the RL agent is trained to smoothly and stably change to the target lane with a success rate of 100% under diverse driving situations in simulation. In [21], a vision-based lateral control system was broken into a perception module and a control module, which is based on deep RL to make a control decision based on features coming from the perception module. The trained RL controller in visual TORCS outperforms the linear quadratic regulator (LQR) controller and model MPC controller on different tracks.

## IV. END-TO-END DEEP REINFORCEMENT LEARNING FOR AUTONOMOUS DRIVING

The previous two sections summarize existing work that fall into the pipeline approach, which consists of many hand-crafted modules for the ease of human interpretation, such as perception, motion planning, decision making, low-level control, and so on. However, this pipeline approach does not guarantee maximum system performance if some parts of modules cannot improve with data, which will eventually become the bottleneck.

On the other hand, the end-to-end approach is expected to have better performance and smaller systems for autonomous driving. With end-to-end training, it is not possible to make a clean break among different modules of a system, such as which parts of the network mainly act as feature extractors and which ones serve as controllers. However, it is able to optimize all those modules of abstractions simultaneously with a reduced number of processing steps, which indicates that the abstractions will be optimally and automatically adapted for the task that needs to be solved, instead of optimizing human-selected intermediate criteria.

## A. Joint Optimization in Real-World Applications

A very early attempt that successfully steered a car on public roads using the end-to-end approach can be found in Pomerleau's pioneering work in 1989 [32], in which controlling an autonomous land vehicle was trained using a neural network system. In the early 2000's, LeCun et al. built a small off-road robot that uses an end-to-end learning system to avoid obstacles solely from visual input [33]. The robot named DAVE was trained on images sampled from human driving videos, paired with the corresponding steering command 1/r. While DAVE demonstrated the potential of end-to-end learning, its performance was not reliable enough to provide a complete alternative to more modular methods of off-road driving, as its mean distance between crashes was around 20 meters in complex environments.

With the evolution of hardware computation capabilities and the advancement of modern deep learning algorithms, in 2016, researchers at NVIDIA proposed an end-to-end learning based on CNN that learns the entire processing pipeline needed to directly steer an automobile [34]. Training data was collected from less than a hundred hours of expert driving on a wide variety of roads, paired with timesynchronized steering commands generated by the human driver. The sampled images are fed into a CNN consisting of five convolutional layers with three fully connected layers, which then computes the proposed steering command. The weights of the CNN are optimized by minimizing the mean squared error between the proposed command and the benchmark command for that image. This milestone also empirically validated that CNNs can "learn the entire task of lane and road following without manual decomposition into road or lane marking detection, semantic abstraction, path planning, and control" [34].

Direct deep supervised learning usually requires a large amount of data to learn a generic driving policy and the ground truth labeling for training. For example, [35] proposes a novel FCN-LSTM architecture to leverage both previous vehicle states and current visual observations using a long short-term memory temporal encoder with a fully convolutional visual encoder. Meanwhile, this work also released the Berkeley DeepDrive Video dataset (BDDV) for learning driving models and used the human driving behavior as the ground truth label for training. As such, human labeling can be costly and time-consuming. Furthermore, the policy is trained to mimic human behavior in a certain scenarios and it is hard to cover all the real-world scenarios.

By contrast, a full end-to-end reinforcement learning approaches learn policy in a trail-and-error way, and would

not require such supervision. As presented in Sections II and III, deep RL algorithms have been widely employed as independent motion planning or control modules for autonomous vehicles. If extended, they can be also trained together with convolutional layers to constitute an end-toend approach via joint optimization. For instance, using a single monocular image as input, the actor-critic algorithm was adopted in [36] to learn a policy for lane following in a handful of training episodes, which is trained to maximize the reward of distance that an agent can travel before intervention by a safety driver. Similarly, an asynchronous actor critic (A3C) framework established in [37] was used to learn vehicle control, and a thorough evaluation was conducted on unseen tracks and using legal speed limits. This work also demonstrated good domain adaptation capability when testing the proposed control commands on real videos.

Besides the aforementioned end-to-end on-road driving tasks that need to generalize to a larger domain and contend with moving objects such as cars and pedestrians, an end-to-end learning system for agile, off-road autonomous driving using only low-cost on-board sensors is presented in [38]. Compared with paved roads, the surface of our dirt tracks are constantly evolving and highly stochastic. As a result, to successfully perform high-speed driving in our task, high-frequency decision and execution of both steering and throttle commands are required. By imitating an optimal controller, a deep neural network control policy was successfully trained to map raw, high-dimensional observations to continuous steering and throttle commands.

## B. Simulation

Despite the remarkable advancement of end-to-end learning in real-world applications, there are still many challenges that hinders their on-road deployment in full autonomy. Specifically, many of these challenges arise from the fact that rarely are these vehicles trained or tested at all possible scenarios (including corner cases). However, manually creating these cases and collecting data in the real world can be a process that is expensive and often impractical.

Therefore, a very promising approach is to leverage simulation to gain experience in these situations. In simulation, the cost of putting an obstacle on the road or simulating a car crash is negligible, but it still offers valuable experiences, whilst in a real-world scenario, such unexpected events can lead to severe financial losses and even threaten the lives of pedestrians or people in neighboring vehicles. While simulation has long been an essential part of testing autonomous driving systems, such as Carcraft of Waymo [40] and Drive Constellation of NVIDIA [41], only recently has simulation been applied to building and training end-to-end self-driving vehicles on driving simulation platforms, including TORCS [42]–[47], CARLA [39], [48]–[51], Unity [52], WRC6 [37], [53], and Vdrift [54].

As a highly portable multi platform car racing simulation, TORCS [55] can be used as an ordinary car racing game, but also as a research platform. To our knowledge, the first



Fig. 2. Carla environments: Hard Rainy in Town 1 [39].

attempt to control vehicles on TORCS using end-to-end technique is presented in [42] for an RL competition [56] using vision from the driver's perspective, which is later processed with CNN and RNN. Since then, many different deep RL algorithms have been applied to drive a vehicle end-to-end on this platform, such as deep deterministic policy gradient (DDPG) [43], [46], [47], SafeDAgger [44], and A3C [45], etc. Specifically, [43] is the original paper that proposed the DDPG algorithm to handle complex state and action spaces in continuous domain, which is extremely useful to generate continuous action spaces that can adapt to the complex real-world driving scenarios.

Both CARLA [57] and Unity [58] can be used to efficiently create more realistic simulation environments that are rich in sensory and physical complexity. Their major difference lies in CARLA being an explicit driving simulation while the basic Unity being a more generic engine, and does not describe a very specific realization. CARLA has been developed to support development, training, and validation of autonomous driving systems, which also provides an interface allowing an RL agent to control a vehicle and interact with a dynamic environment. An illustration of the CARLA environment with Hard Rainy in Town 1 is presented in Fig. 2. Since a vehicle trained end-to-end to imitate an expert cannot be controlled at test time (i.e., cannot take a specific turn at an upcoming intersection), a condition imitation learning approach was proposed in [48] to enable the learned driving policy to behave as a chauffeur that handles sensorimotor coordination but also continues to respond to navigational commands. To alleviate the the inefficiency of exploring large continuous action spaces that often prohibits the use of classical RL in challenging real driving tasks, [49] proposed a controllable imitative RL based on DDPG to explore over a reasonably constrained action space guided by encoded experiences that imitate human demonstrations. Extensive experiments on CARLA demonstrate its superior performance in terms of the percentage of successfully completed episodes and good generalization capability in unseen environments. Similarly, to achieve a better robustness of the agent learning strategies when acting in complex and

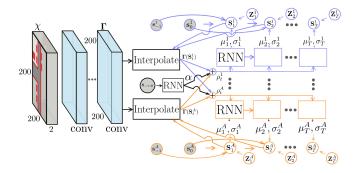


Fig. 3. An autonomous driving model using combined deep imitation learning and model-based reinforcement learning [51].

unstable environments, an advantage actor-critic algorithm was implemented in [39] with multi-step returns.

Another innovative deep RL algorithm that is worth mentioning is introduced by Rhinehart et al. in [50] and [51]. Specifically, the proposed imitative model, as depicted in Fig. 3, combines imitation learning with model-based RL to develop probabilistic models to overcome the difficulty of specifying appropriate reward functions that are crucial to evoke desirable behaviors. Relying on LiDAR data inputs, the effectiveness of the proposed imitative model to predict expert-like vehicle trajectories is validated using CARLA, but without including other vehicles and pedestrians.

## C. The Sim-to-Real Approach

By leveraging the simulated driving scenarios and experiences obtained via simulation, the sim-to-real approach is a good alternative to train an end-to-end driving policy without using real data, as shown in Fig. 4. This field has received a lot of attention during the recent years, and it has been shown in [64] that just by randomizing the simulator very carefully, a policy can be trained to fly drones indoors using the simulated data without having to do very careful system identification.

Specifically, the simulator can facilitate the training of deep neural networks by generating abundant labeled data in many corner cases to extract task-relevant features and acquire good state representations, and the learned knowledge is expected to promote faster learning and better performance in real world scenarios. Therefore, the sim-to-real transfer is an important area of research that can adapt the learned knowledge from vehicle-traffic simulations to the real-world environment for decision making, planning and control.

However, driving autonomously in the urban environment consists of multiple tasks that involve complex and uncertain driving behaviors and interactions with the surrounding traffic. Besides some typical tasks that are shared with highway driving, such as lane keeping, lane changing, overtaking, and car following, driving in the urban environment also includes taking left-turns, complying with road signs and traffic lights, keeping an eye on lower-speed pedestrians and bicyclists, etc. While each of these specific tasks can be separately modeled

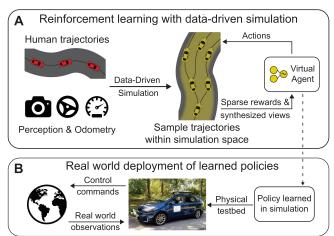


Fig. 4. Training and deployment of policies from Sim-to-Real transfer [65].

in the simulator to train the autonomous driving policy with an outstanding performance, the knowledge transfer from the simulator to the real-world scenario would be more challenging due to the large number and complexity of tasks that further intensifies the difference between the source domain (simulator) and the target domain (real-world). Moreover, there are other technical challenges such as real-world visual signal noises, and the training environment in a car driving simulator is often significantly different from real-world driving in terms of their visual appearance [45].

Due to the aforementioned challenges, while many research work applied some variant of deep RL algorithms on the simulation platform, only a handful of them attempted to transfer the knowledge learned from the simulator to real-world applications, i.e., [37]. In fact, [37] claimed itself to be "the first time of a deep RL driving", which is trained using the simulator, "is shown working on real images", and foresees simulation based RL can be used as initialization strategy for networks used in real applications. However, it is also reported in [65] that "end-to-end models trained solely in CARLA were unable to transfer to the real world", so some domain randomization and domain adaptation methods are needed for bridging the sim-to-real gap in simulators.

## D. Summary

For the purpose of providing a comprehensive and intuitive comparison among different deep RL formulations on the motion planning and control of autonomous vehicles, TABLE I summarizes the specific algorithm, the state space, the action space, and the specific reward/loss design of selective papers included in this review.

## V. CHALLENGES AND FUTURE WORK DIRECTIONS

#### A. Challenges

1) The Pipeline Approach: The choice of hand-crafted abstractions (features) for each module can limit the performance of the entire system. Once designed, these abstractions

TABLE I
A SUMMARY OF DIFFERENT DEEP RL FORMULATIONS ON THE MOTION PLANNING OF AUTONOMOUS VEHICLES.

	Algorithm	State $s_t \in S$	Action $a_t \in A$	Reward $R$ / Loss $\ell$
Motion Planning (Pipeline)				
Hoel et al. (2018) [9]	DQN	Vehicle & relative states	$A = \{Lane change, Acc., Brake\}$	$R\{+ : Efficiency, Comfort, Safety\}$
Isele et al. (2018) [10]	Classical RL	Local states	$A = \{Safe driving decision\}$	$R\{+: Safety\}$
Bansal et al. (2018) [14]	Imitation learning	Traffic light/dynamic object states	$A = \{Driving trajectory\}$	9 training losses
Wang et al. (2019) [11]	DQN	Vehicle states	$A = \{\text{Lane change decision}\}\$	$R\{+: Speed; -: Collision, Invalid Decision\}$
Mirchevska et al. (2018) [12]	DQN	Vehicle & relative states	$A = \{\text{Lane change decision}\}\$	$R\{+: Speed\}$
Ferdowsi et al. (2018) [59]	Adversarial RL	Vehicle states	$A = \{\text{Optimal safe action}\}\$	$R\{+ : Safety(distance)\}$
Ye et al. (2019) [15]	DDPG	Vehicle & relative states	$A = \{\text{Lane change decision}\}\$	$R\{+: Efficiency, Comfort, Safety\}$
Shi et al. (2019) [13]	DQN	Vehicle relative states	$A = \{\text{Lane change decision}\}\$	Hand-Crafted $R(s_t, a_t)$
Nishi et al. (2019) [60]	MPDM & pAC	Vehicle relative states	$A = \{\text{Lane merging trajectory}\}\$	Hand-Crafted $R(s_t, a_t)$
You et al. (2019) [61]	Deep inverse RL	Grid-form states	$A = \{\text{Optimal driving strategy}\}\$	Hand-Crafted $R(s_t, a_t)$
Bouton et al. (2019) [62]	Q-learning	Vehicle & agent states	$A = \{Strategic maneuvers\}$	$R\{+ : Goal, Efficiency; - : Collision\}$
Ye et al. (2020) [16]	PPO	Vehicle & relative states	$A = \{\text{Lane change decision, Car following}\}\$	$R\{+: Efficiency, Comfort, Safety\}$
End-to-End				
LeCun et al. (2005) [33]	Imitation learning	Camera image	$A = \{a   a \in [-\max Left, +\max Right]\}$	$\ell^2$ loss
Lillicrap et al. (2015) [43]	DDPG	Simulator image	$A = \{Steering, Acc., Brake\}$	$R\{+1 : Direction; -1 : Collision\}$
Bojarski et al. (2016) [34]	Imitation learning	Camera image	$A = \{1/r   r \in \{\text{turning radius}\}\}\$	$\ell^2$ loss
Vitelli et al. (2016) [54]	DQN	Images and estimated states	$A = \{Steering, Acc., Brake\}$	Hand-Crafted $R(s_t, a_t)$
Xu et al. (2016) [35]	FCN-LSTM	Visual and sensor states	Disc. $A = \{Straight, Stop, Left, Right\}$	Cross entropy loss
			Continuous $A = \{\vec{v}   \vec{v} \in \mathbb{R}^2\}$	Cross entropy loss
Zhang et al. (2016) [44]	SafeDAgger	Camera image	$A = \{\text{Steering, Acc., Brake}\}\$	$R\{+1 : \text{No crash}\}$
Perot et al. (2017) [53]	A3C	Game states	$A = \{Steering, Acc., Brake\}$	$R = v(\cos \theta - d)$
Codevilla et al. (2017) [48]	Imitation learning	Images & driver internal states	$A = \{\text{Steering, Acc.}\}$	$\ell^2$ loss
Pan, Cheng et al. (2017) [38]	Imitation learning	Camera image & vehicle speed	$A = \{\text{Steering}, \text{Throttle}\}\$	Steering/Throttle loss
Pan, You et al. (2017) [45]	A3C	Virtual world images	$A = \{\text{Steering, Acc., Brake}\}$	$R = \{+v(\cos \alpha - d), -\text{Collision}\}\$
Wang et al. (2018) [46]	DDPG	LiDAR sensor & camera image	A - (Ctooping Acc. Proles)	$R_t = V_x \cos(\theta) - \alpha V_x \sin(\theta)$
wang et al. (2018) [40]	DDFG	LIDAR sensor & camera image	$A = \{\text{Steering}, \text{Acc.}, \text{Brake}\}$	$-\gamma  Pos  - \beta V_x  Pos $
Kendall et al. (2018) [36]	DDPG	Camera image	$A = \{Steering, Speed\}$	Distance travelled without infraction
Jaritz et al. (2018) [37]	A3C	Input image	$A = \{\text{Steering, Acc., Brake, Hand brake}\}$	$R\{+1 : On track; In lane\}$
Klose et al. (2018) [52]	DQN	Raw sensor input	$A = \{Acc., Brake\}$	$R = \sum_{i=1}^{3} \exp\left(-0.5 \cdot \left[x_t^i/\theta_i\right)^2\right]$
Liang et al. (2018) [49]	Imitative RL	Human driving videos	$A = \{Steering, Acc., Brake\}$	$R\{+: Speed; -1: Collision, Steering\}$
Rhinehart et al. (2018) [50]	Imitative model	LiDAR image	$A = \{Driving way points\}$	Probabilistic inference objectives
Min et al. (2019) [63]	DDPG	Raw sensor input	$A = \{\text{Lane change, Acc., Brake}\}$	$R\{+1 : \text{Speed}; -1 : \text{Collision}\}$
Chhor et al. (2019) [47]	DDPG	Raw sensor input	$A = \{\text{Steering, Acc., Brake, Hand brake}\}$	$R = V \cos \theta - V \sin \theta - V  trackPos $
Jaafra et al. (2019) [39]	A2C	Camera image	$A = \{\text{Steering, Throttle, Break}\}$	$R\{+1 : \text{Closing goals}; -1 : \text{Not in lane}\}$
Rhinehart et al. (2019) [51]	Imitative model	LiDAR image	$A = \{Driving way points\}$	Probabilistic inference objectives

will have limited capacity to improve, and those parts that do not improve with data will eventually become the bottleneck. For instance, if the perception system becomes better, but the planner doesn't get any better to utilize those benefits, eventually the planner will be the bottleneck. Ultimately we don't actually know how to accurately construct the correct abstractions for the real world, and eventually these will get us into trouble.

One potential approach to tackle this challenge is to train all those layers of abstractions end-to-end, which means that the abstractions were optimally adapted for the task that needs to be solved.

2) The Sim-to-Real Approach: Generally speaking, training with data from only the human driver is not adequate, and collecting a sufficient amount of data from every possible driving condition can be extremely expensive and dangerous. While the sim-to-real approach can help people get away with no real-world data at all, this approach might not be sufficient to solve the problem, even though it is still an excellent way to get the network and parameters initialized. This is because instead of designing each of these pipeline modules by hand, we'll still have to design our simulator by hand, and that simulator will become the bottleneck due to challenges for developing a sufficiently realistic simulator [66]. Therefore, in the end this method will only be as good as the simulator.

## B. Future Work Directions

Despite the exciting progress of applied deep RL algorithms in autonomous driving tasks, these approaches are mostly trained for one task at the time and each new task requires training a new agent instance which is data-inefficient and fails to exploit the learned properties of similar tasks. In contrast, humans have the ability not only to learn complex tasks but also to adapt rapidly when facing new or changing situations. The ability to continuously learn and adapt rapidly is essential to achieve real-world automated driving which motivates further studies to introduce transfer learning and life-long learning concepts into deep RL in the realm of autonomous driving.

### VI. CONCLUSIONS

In this paper, a systematic review is conducted on the existing literature employing deep RL algorithms on motion planning and control of autonomous vehicles, which is a field that has spurred the interest of industry and academia over the past five years. Both pipeline and end-to-end approaches have been extensively discussed. It has been demonstrated that, despite the fact that deep RL algorithms require an extended period and a large dataset to train, they can effectively interact with the environment in a trial-and-error way and does not require explicit human labeling or supervision on each data sample, making them promising candidates to accomplish autonomous driving in real-world applications.

## REFERENCES

- [1] D. González, J. Pérez, V. Milanés, and F. Nashashibi, "A review of motion planning techniques for automated vehicles," *IEEE Transactions on Intelligent Transportation Systems*, vol. 17, no. 4, pp. 1135–1145, 2015.
- [2] B. Paden, M. Čáp, S. Z. Yong, D. Yershov, and E. Frazzoli, "A survey of motion planning and control techniques for self-driving urban vehicles," *IEEE Transactions on intelligent vehicles*, vol. 1, no. 1, pp. 33–55, 2016.
- [3] W. Schwarting, J. Alonso-Mora, and D. Rus, "Planning and decision-making for autonomous vehicles," *Annual Review of Control, Robotics, and Autonomous Systems*, 2018.
- [4] E. Yurtsever, J. Lambert, A. Carballo, and K. Takeda, "A survey of autonomous driving: common practices and emerging technologies," arXiv preprint arXiv:1906.05113, 2019.
- [5] V. Talpaert, I. Sobh, B. R. Kiran, P. Mannion, S. Yogamani, A. El-Sallab, and P. Perez, "Exploring applications of deep reinforcement learning for real-world autonomous driving systems," arXiv preprint arXiv:1901.01536, 2019.
- [6] B. R. Kiran, I. Sobh, V. Talpaert, P. Mannion, A. A. A. Sallab, S. Yo-gamani, and P. Pérez, "Deep reinforcement learning for autonomous driving: A survey," arXiv preprint arXiv:2002.00444, 2020.
- [7] S. Aradi, "Survey of deep reinforcement learning for motion planning of autonomous vehicles," *IEEE Transactions on Intelligent Transporta*tion Systems, 2020.
- [8] S. Levine, "Imitation, prediction, and model-based reinforcement learning for autonomous driving," [Online]. Available: https: //slideslive.com/38917941/imitation-prediction-and-modelbasedreinforcement-learning-for-autonomous-driving, Accessed: Oct. 2019.
- [9] C.-J. Hoel, K. Wolff, and L. Laine, "Automated speed and lane change decision making using deep reinforcement learning," in 2018 21st International Conference on Intelligent Transportation Systems (ITSC). IEEE, 2018, pp. 2148–2155.
- [10] D. Isele, A. Nakhaei, and K. Fujimura, "Safe reinforcement learning on autonomous vehicles," in 2018 IEEE/RSJ International Conference on Intelligent Robots and Systems (IROS), Oct 2018, pp. 1–6.
- [11] J. Wang, Q. Zhang, D. Zhao, and Y. Chen, "Lane change decision-making through deep reinforcement learning with rule-based constraints," in 2019 International Joint Conference on Neural Networks (IJCNN). IEEE, 2019, pp. 1–6.
- [12] B. Mirchevska, C. Pek, M. Werling, M. Althoff, and J. Boedecker, "High-level decision making for safe and reasonable autonomous lane changing using reinforcement learning," in 2018 21st International Conference on Intelligent Transportation Systems (ITSC). IEEE, 2018, pp. 2156–2162.
- [13] T. Shi, P. Wang, X. Cheng, and C.-Y. Chan, "Driving decision and control for autonomous lane change based on deep reinforcement learning," arXiv preprint arXiv:1904.10171, 2019.
- [14] M. Bansal, A. Krizhevsky, and A. Ogale, "Chauffeurnet: Learning to drive by imitating the best and synthesizing the worst," arXiv preprint arXiv:1812.03079, 2018.
- [15] Y. Ye, X. Zhang, and J. Sun, "Automated vehicle's behavior decision making using deep reinforcement learning and high-fidelity simulation environment," *Transportation Research Part C: Emerging Technolo*gies, vol. 107, pp. 155–170, 2019.
- [16] F. Ye, X. Cheng, P. Wang, and C.-Y. Chan, "Automated lane change strategy using proximal policy optimization-based deep reinforcement learning," arXiv preprint arXiv:2002.02667, 2020.
- [17] S. Mazumder, B. Liu, S. Wang, Y. Zhu, L. Liu, and J. Li, "Action permissibility in deep reinforcement learning and application to autonomous driving," KDD'18 Deep Learning Day, 2018.
- [18] X. Ma, K. Driggs-Campbell, and M. J. Kochenderfer, "Improved robustness and safety for autonomous vehicle control with adversarial reinforcement learning," in 2018 IEEE Intelligent Vehicles Symposium (IV). IEEE, 2018, pp. 1665–1671.
- [19] L. Sun, C. Peng, W. Zhan, and M. Tomizuka, "A fast integrated planning and control framework for autonomous driving via imitation learning," in ASME 2018 Dynamic Systems and Control Conference. American Society of Mechanical Engineers Digital Collection, 2017.
- [20] P. Wang, H. Li, and C.-Y. Chan, "Continuous control for automated lane change behavior based on deep deterministic policy gradient

- algorithm," in 2019 IEEE Intelligent Vehicles Symposium (IV). IEEE, 2019, pp. 1454–1460.
- [21] D. Li, D. Zhao, Q. Zhang, and Y. Chen, "Reinforcement learning and deep learning based lateral control for autonomous driving [application notes]," *IEEE Computational Intelligence Magazine*, vol. 14, no. 2, pp. 83–98, 2019.
- [22] O. Pietquin, F. Tango, and R. Aras, "Batch reinforcement learning for optimizing longitudinal driving assistance strategies," in 2011 IEEE Symposium on Computational Intelligence in Vehicles and Transportation Systems (CIVTS) Proceedings. IEEE, 2011, pp. 73–79.
- [23] S. Lefevre, A. Carvalho, and F. Borrelli, "A learning-based framework for velocity control in autonomous driving," *IEEE Transactions on Automation Science and Engineering*, vol. 13, no. 1, pp. 32–42, 2015.
- [24] L. Schultz and V. Sokolov, "Deep reinforcement learning for dynamic urban transportation problems," arXiv preprint arXiv:1806.05310, 2018
- [25] M. Zhu, X. Wang, and Y. Wang, "Human-like autonomous carfollowing model with deep reinforcement learning," *Transportation research part C: emerging technologies*, vol. 97, pp. 348–368, 2018.
- [26] G. Hartmann, Z. Shiller, and A. Azaria, "Deep reinforcement learning for time optimal velocity control using prior knowledge," in 2019 IEEE 31st International Conference on Tools with Artificial Intelligence (ICTAI). IEEE, 2019, pp. 186–193.
- [27] Y. Lin, J. McPhee, and N. L. Azad, "Longitudinal dynamic versus kinematic models for car-following control using deep reinforcement learning," in 2019 IEEE Intelligent Transportation Systems Conference (ITSC). IEEE, 2019, pp. 1504–1510.
- [28] J. Chen, B. Yuan, and M. Tomizuka, "Model-free deep reinforcement learning for urban autonomous driving," in 2019 IEEE Intelligent Transportation Systems Conference (ITSC). IEEE, 2019, pp. 2765– 2771.
- [29] S. Shalev-Shwartz, S. Shammah, and A. Shashua, "Safe, multi-agent, reinforcement learning for autonomous driving," arXiv preprint arXiv:1610.03295, 2016.
- [30] X. He, D. Xu, H. Zhao, M. Moze, F. Aioun, and F. Guillemard, "A human-like trajectory planning method by learning from naturalistic driving data," in 2018 IEEE Intelligent Vehicles Symposium (IV). IEEE, 2018, pp. 339–346.
- [31] P. Wang, H. Li, and C.-Y. Chan, "Quadratic q-network for learning continuous control for autonomous vehicles," arXiv preprint arXiv:1912.00074, 2019.
- [32] D. A. Pomerleau, "Alvinn: An autonomous land vehicle in a neural network," in Advances in neural information processing systems, 1989, pp. 305–313.
- [33] Y. Lecun, E. Cosatto, J. Ben, U. Muller, and B. Flepp, "Dave: Autonomous off-road vehicle control using end-to-end learning," *DARPA-IPTO Final Report*, 2004.
- [34] M. Bojarski, D. Del Testa, D. Dworakowski, B. Firner, B. Flepp, P. Goyal, L. D. Jackel, M. Monfort, U. Muller, J. Zhang et al., "End to end learning for self-driving cars," arXiv preprint arXiv:1604.07316, 2016.
- [35] H. Xu, Y. Gao, F. Yu, and T. Darrell, "End-to-end learning of driving models from large-scale video datasets," in *Proceedings of the IEEE* conference on computer vision and pattern recognition, 2017, pp. 2174–2182.
- [36] A. Kendall, J. Hawke, D. Janz, P. Mazur, D. Reda, J.-M. Allen, V.-D. Lam, A. Bewley, and A. Shah, "Learning to drive in a day," in 2019 International Conference on Robotics and Automation (ICRA), 2019, pp. 8248–8254.
- [37] M. Jaritz, R. de Charette, M. Toromanoff, E. Perot, and F. Nashashibi, "End-to-end race driving with deep reinforcement learning," in 2018 IEEE International Conference on Robotics and Automation (ICRA). IEEE, 2018, pp. 2070–2075.
- [38] Y. Pan, C.-A. Cheng, K. Saigol, K. Lee, X. Yan, E. Theodorou, and B. Boots, "Learning deep neural network control policies for agile offroad autonomous driving," in *The NIPS Deep Rienforcement Learning* Symposium, 2017.
- [39] Y. Jaafra, J. L. Laurent, A. Deruyver, and M. S. Naceur, "Robust reinforcement learning for autonomous driving," APIA, p. 52, 2019.
- [40] A. C. Madrigal, "Inside waymo's secret world for training self-driving cars," [Online]. Available: https://www.businessinsider.com/waymoengineer-explains-why-testing-self-driving-cars-virtually-is-critical-2018-8, Accessed: Mar. 2020.

- [41] NVIDIA, "Nvidia drive constellation virtual reality autonomous vehicle simulator," [Online]. Available: https://www.nvidia.com/enus/self-driving-cars/drive-constellation/, Accessed: Mar. 2020.
- [42] J. Koutník, G. Cuccu, J. Schmidhuber, and F. Gomez, "Evolving large-scale neural networks for vision-based reinforcement learning," in *Proceedings of the 15th annual conference on Genetic and evolutionary computation*, 2013, pp. 1061–1068.
- [43] T. P. Lillicrap, J. J. Hunt, A. Pritzel, N. Heess, T. Erez, Y. Tassa, D. Silver, and D. Wierstra, "Continuous control with deep reinforcement learning," arXiv preprint arXiv:1509.02971, 2015.
- [44] J. Zhang and K. Cho, "Query-efficient imitation learning for end-toend autonomous driving," arXiv preprint arXiv:1605.06450, 2016.
- [45] X. Pan, Y. You, Z. Wang, and C. Lu, "Virtual to real reinforcement learning for autonomous driving," arXiv preprint arXiv:1704.03952, 2017
- [46] S. Wang, D. Jia, and X. Weng, "Deep reinforcement learning for autonomous driving," arXiv preprint arXiv:1811.11329, 2018.
- [47] G. Chhor, S. Pandey, and V. Patel, "Robust deep reinforcement learning for autonomous driving," 2018.
- [48] F. Codevilla, M. Miiller, A. López, V. Koltun, and A. Dosovitskiy, "End-to-end driving via conditional imitation learning," in 2018 IEEE International Conference on Robotics and Automation (ICRA), 2018, pp. 1–9.
- [49] X. Liang, T. Wang, L. Yang, and E. Xing, "Cirl: Controllable imitative reinforcement learning for vision-based self-driving," in *Proceedings* of the European Conference on Computer Vision (ECCV), 2018, pp. 584–599
- [50] N. Rhinehart, R. McAllister, and S. Levine, "Deep imitative models for flexible inference, planning, and control," arXiv preprint arXiv:1810.06544, 2018.
- [51] N. Rhinehart, R. McAllister, K. Kitani, and S. Levine, "Precog: Prediction conditioned on goals in visual multi-agent settings," in Proceedings of the IEEE International Conference on Computer Vision, 2019, pp. 2821–2830.
- [52] P. Klose and R. Mester, "Simulated autonomous driving in a realistic driving environment using deep reinforcement learning and a deterministic finite state machine," in *Proceedings of the 2nd International* Conference on Applications of Intelligent Systems, 2019, pp. 1–6.
- [53] E. Perot, M. Jaritz, M. Toromanoff, and R. De Charette, "End-to-end driving in a realistic racing game with deep reinforcement learning," in Proceedings of the IEEE Conference on Computer Vision and Pattern Recognition Workshops, 2017, pp. 3–4.
- [54] M. Vitelli and A. Nayebi, "Carma: A deep reinforcement learning

- approach to autonomous driving," Tech. rep. Stanford University, Tech. Rep., 2016.
- [55] TORCS, "The open racing car simulator," [Online]. Available: http://torcs.sourceforge.net/, Accessed: Mar. 2020.
- [56] D. Loiacono, P. L. Lanzi, J. Togelius, E. Onieva, D. A. Pelta, M. V. Butz, T. D. Lönneker, L. Cardamone, D. Perez, Y. Sáez, M. Preuss, and J. Quadflieg, "The 2009 simulated car racing championship," *IEEE Trans. Comput. Intell. AI in Games*, vol. 2, no. 2, pp. 131–147, June 2010.
- [57] A. Dosovitskiy, G. Ros, F. Codevilla, A. Lopez, and V. Koltun, "CARLA: An open urban driving simulator," in *Proceedings of the 1st Annual Conference on Robot Learning*, 2017, pp. 1–16.
- [58] Unity, "Easily build autonomous vehicle training environments," [Online]. Available: https://unity.com/solutions/automotive-transportation/ autonomous-vehicle-training, Accessed: Mar. 2020.
- [59] A. Ferdowsi, U. Challita, W. Saad, and N. B. Mandayam, "Robust deep reinforcement learning for security and safety in autonomous vehicle systems," in 2018 21st International Conference on Intelligent Transportation Systems (ITSC). IEEE, 2018, pp. 307–312.
- [60] T. Nishi, P. Doshi, and D. Prokhorov, "Merging in congested freeway traffic using multipolicy decision making and passive actor-critic learning," *IEEE Transactions on Intelligent Vehicles*, vol. 4, no. 2, pp. 287–297, 2019.
- [61] C. You, J. Lu, D. Filev, and P. Tsiotras, "Advanced planning for autonomous vehicles using reinforcement learning and deep inverse reinforcement learning," *Robotics and Autonomous Systems*, vol. 114, pp. 1–18, 2019.
- [62] M. Bouton, J. Karlsson, A. Nakhaei, K. Fujimura, M. J. Kochenderfer, and J. Tumova, "Reinforcement learning with probabilistic guarantees for autonomous driving," *arXiv preprint arXiv:1904.07189*, 2019.
  [63] K. Min, H. Kim, and K. Huh, "Deep distributional reinforcement learn-
- [63] K. Min, H. Kim, and K. Huh, "Deep distributional reinforcement learning based high-level driving policy determination," *IEEE Transactions on Intelligent Vehicles*, vol. 4, no. 3, pp. 416–424, Sep. 2019.
- [64] F. Sadeghi and S. Levine, "Cad2rl: Real single-image flight without a single real image," arXiv preprint arXiv:1611.04201, 2016.
- [65] A. Amini, I. Gilitschenski, J. Phillips, J. Moseyko, R. Banerjee, S. Karaman, and D. Rus, "Learning robust control policies for end-toend autonomous driving from data-driven simulation," *IEEE Robotics* and Automation Letters, vol. 5, no. 2, pp. 1143–1150, 2020.
- [66] W. Zhao, J. P. Queralta, and T. Westerlund, "Sim-to-real transfer in deep reinforcement learning for robotics: a survey," in 2020 IEEE Symposium Series on Computational Intelligence (SSCI), 2020, pp. 737–744.