

## SECTION 1: Personal Details

Title

dr

Name (as in NRIC)

sad

NRIC/ Passport No

Nationality

singaporean

Please note that with the implementation of FATCA, some services may not be available to a US person.

(a) A citizen or lawful permanent resident (including US green card holder) of the US; or

(b) A partnership or corporation organised in the US or under the laws of the US or any State thereof, or a trust if:

(i) a court within the US would have authority under the applicable law to render orders or judgments concerning substantially all issues regarding the administration of the trust; and

(ii) one or more US persons have the authority to control all substantial decisions of the trust, or an estate of a decedent that is a citizen or resident of the US.

Country Of Birth

Relationship to Main Applicant

Marital Status

single

Date of Birth (dd:mm:yyyy)

Smoker

yes

Residential Address

Address

Post Code

Country

Race

chinese

Language Spoken

Employment Status

fulltime

Education Level

primary

Occupation

Company Name

Contact No

Home

Office

HP

Fax

E-mail

Income Range

below1000

Would you like to receive promotional marketing materials?

yes

Conversant in spoken language

english

Proficient in written language

english

Would you like to be accompanied by a trusted individual

yes

If Yes, name of trusted individual:

Relationship to Client

NRIC No:

Note: It is recommended for you to be accompanied by a trusted individual if you belong to one of the following profile:

(i) Above age 62

(ii) Secondary education and below

(iii) Not conversant in spoken or written English

SECTION 2: Accredited Investor (AI) and Overseas Investor (OI)

ACCREDITED INVESTOR (AI)

(i) According to Section 4A of the Securities & Futures Act (SFA) (Chapter 289), an AI is defined as an individual:

a) Whose net personal assets exceed in value SGD2 million (or its equivalent in foreign currency) or;

b) Whose income in preceding 12 months is not less than SGD300,000 (or its equivalent in foreign currency)

(ii) According to Section 4A of the Securities & Futures Act (SFA) (Chapter 289), an AI is defined as a corporation with net assets exceeding SGD10 million (or its equivalent in foreign currency) or such other amount as the Authority may prescribe, in place of the first amount, as determined by:

a) the most recent audited balance-sheet of the corporation; or

b) where the corporation is not required to prepare audited accounts regularly, a balance-sheet of the corporation certified by the corporation as giving true and fair view of the state of affairs of the corporation as of the date of the balance-sheet, which date shall be within the preceding 12 months;

b) where the corporation is not required to prepare audited accounts regularly, a balance-sheet of the corporation certified by the corporation as giving true and fair view of the state of affairs of the corporation as of the date of the balance-sheet, which date shall be within the preceding 12 months;

OVERSEAS INVESTOR (OI)

You are an OI if you are not a citizen or permanent resident of Singapore and you are not wholly or partly dependent on a citizen or permanent resident of Singapore or have no commercial or physical presence in Singapore.

Declaration for AIs and/or OIs:

- I/We declare that all information provided and supporting documents (if any), is true and accurate.

- I/We undertake to inform the Company if there is any subsequent change in my AI or OI status.

- I/We may be required to provide documentary evidence of my AI and/or OI status to SingCapital or to the relevant product providers.

EXEMPTIONS FOR ADVISING AI and/or OI: Please tick the following accordingly:

For AIs, exemption from Section 25, 27 and 36 of the Financial Advisers Act (FAA)

For OIs, exemption from Section 25 to 29, 32, 34 and 36 of the Financial Advisers Act (FAA)

Extract from the FAA (Cap 110):

Section 25 - Obligation to disclose product information to clients,

Section 26 - False or misleading statements, etc, by licensed financial advisers

Section 27 - Recommendations by licensed financial advisers,

Section 28 - Prohibits the receipt or dealing with client's money or property,

Section 29 - Obligation to furnish information to Authority,

Section 32 - Insurance Broking premium accounts,

Section 34 - Representations by licensed financial advisers,

Section 36 - Licensed financial adviser to disclose certain interests in securities`

SECTION 3: Know your needs

Protection

A. Family income protection

high

B. Critical illness protection

high

C. Disability protection

high

D. Planning for children's protection  
high

Accident & Health

E. Hospitalization expenses protection  
high

F. Outpatient medical expenses protection  
high

G. Lost of income due to illness or sickness  
high

H. Personal accident protection  
high

I. Long-term care protection  
["high","high"]

J. Dental expenses protection  
["high","high"]

Wealth Accumulation and Preservation

K. Retirement planning  
high

L. Education funds planning  
high

M. Planning for specific goals  
high

Others

N. Others  
high

Health Condition

Do you or any family members have any medical condition that requires regular attention from clinic or hospital?

yes

If 'Yes', please comment medical condition below:

SECTION 4: My dependents

Would you like your dependent(s) to be taken into consideration for the Needs Analysis and Recommendation(s)?

Details of My Family (e.g. Spouse, Children, Parents)

Name

Relationship

Age

Occupation

Gender(Male/Female)

Yrs to Support\*

\* For client who does not have this need/objective.

\*\* Suggested years to support: Son: to Age 25 Daughter: to Age 22

Based on financial planning practice, suggested years to support parents/other dependents are derived by adding 8 years to the average life expectancy age for male (79.9) and female (84.5) respectively. (Source: <http://www.singstat.gov.sg>)

SECTION 5: My net worth

Would you like your assets and liabilities to be taken into consideration for the Needs Analysis and Recommendation(s)?

Assets

Cash

Fixed deposits

Investment

CPF (OA)

CPF (SA)

CPF Medisave

SRS

Others

Total Assets

Liabilities

Mortgage loan

Motor loan

Education loan

Income tax

Credit card balance / overdraft

Others

Total Liabilities

Net Worth (Total assets - total liabilities)

Legacy Planning Questionnaire

Do you have a will?

If "Yes" when was it last written?

Where is it kept?

Person who knows about the will

Do you have a valid CPF Nomination?

Do you have a Lasting Power of Attorney?

SECTION 6: My cash flow

Would you like your cash flow to be taken into consideration for the Needs Analysis and Recommendation(s)?

Cash inflow

Gross Income

Less CPF

Other Income

Estimated total annual income

Others

Cash outflow

Personal expenses

Household expenses

Insurance Premiums

Regular savings

Loan repayments

Others

Estimated Total annual expenses

Your annual Surplus (Shortfall) (Total annual income total annual expenses)

Do you foresee any significant increase or decrease in your current income or expenses within the next 12 months? (Such as getting an inheritance, loan for property, marriage, having a child, salary increment.) If no, please leave section blank.

Save Reset