



Assessment Tool Development

Version 1.0 Produced 11 March 2022

Purpose

The Validation Report Form is used to document all quality reviews and validation undertaken on all Compliant Learning Resources' assessment tools.

This tool aims to ensure the assessment tool:

- assesses all knowledge and skills of the relevant unit(s) of competency
- assesses knowledge and skills in accordance with the Principles of Assessment (Table 1.8-1, Clause 1.8, Standards for RTOs 2015) and Rules of Evidence. (Table 1.8-2, Clause 1.8, Standards for RTOs 2015)

Additionally, all developers at Compliant Learning Resources are required to refer to this tool throughout the assessment tool development process to ensure they are guided by the quality checkpoints outlined in this tool.

Assessment Tool Information

| Unit Code | CHCDIV001 | Date submitted for validation | 2 August 2022 |
|------------------------------|--------------------------------------|-------------------------------|----------------|
| Unit Title | Work with diverse people (Release 1) | Assessment tool validated by | Pat Salonga |
| Assessment tool developed by | Jesus Santiago | Validation completed on | 10 August 2022 |

All the following documents must be submitted to the validator:

- Assessment tools (Candidate instructions, assessor marking guide, associated assessment forms and templates)
- Assessment Mapping (AMT.xlsx)

No. of Gaps Identified

| Total number of requirements | | | | | | |
|------------------------------|-------------------|--------------------|----------------------|-----------------------|-------|--|
| Performance Criteria | Foundation Skills | Knowledge Evidence | Performance Evidence | Assessment Conditions | Total | |
| 15 | 0 | 31 | 3 | 1 | 50 | |

| Gaps identified | | | | | | |
|----------------------|-------------------|--------------------|----------------------|-----------------------|-------|--|
| Performance Criteria | Foundation Skills | Knowledge Evidence | Performance Evidence | Assessment Conditions | Total | |
| 4 | - | - | 0 | - | 4 | |

| No. of critical issues identified | No. of moderate issues identified | No. of minor issues identified |
|-----------------------------------|-----------------------------------|--------------------------------|
| 4 | 1 | 2 |

Knowledge Assessment

Candidate Instructions

| | CRITERIA | Addressed? | Comments |
|----|-------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|----------|
| 1. | Clear instructions are provided to the candidate. | ⊠ YES | |
| | | □ NO | |
| 2. | Questions are written in a manner that enables the candidate to demonstrate or perform the verb in the Knowledge Evidence (KE), where applicable. | ⊠ YES | |
| | For example, if the KE is 'Explain Concept A,' the question asks the candidate to <u>explain</u> and not to <u>list</u> or <u>identify</u> . | □ NO | |
| 3. | The required number of responses is specified, where applicable. | ⊠ YES | |
| | For example, 'Identify the five principles of,' 'List three techniques for' | □ NO | |
| 4. | A clear outline of what constitutes a satisfactory response or performance is provided to | ⊠ YES | |
| | the candidate. | □ NO | |
| 5. | Instructions are presented in the correct sequence, i.e. instructions are written step-by- step to enable the candidate to satisfactorily answer the question. | ⊠ YES | |
| | What must the candidate do first, next, and so on | □ NO | |
| 6. | Clear and concise language is used and appropriate to the AQF level. | ⊠ YES | |
| 0. | clear and concise language is used and appropriate to the AQF level. | □NO | |

| | CRITERIA | Addressed? | Comments |
|-----|-----------------------------------------------------------------------------------------------------------------------------------------------------------|------------|----------|
| 7. | Spaces for responses (answer fields) are provided and organised to cover all | ⊠ YES | |
| | requirements of the question, including the number of required responses if applicable. | □NO | |
| 0 | Channel for your property fields) and labelled assessed by | ⊠ YES | |
| 8. | Spaces for responses (answer fields) are labelled correctly. | □NO | |
| 9. | , , , , , , , , , , , , , , , , , , , , | NT VEC | |
| | Knowledge Evidence. | ⊠ YES | |
| | Example of double-barreled questions: Describe A and B, Explain when and how, Explain the purpose and application of | □ NO | |
| 10. | If the question asks for more than one response, there are separate spaces or answer | ⊠ YES | |
| | fields provided for different responses. | □NO | |
| 11. | Where the Knowledge Evidence requires reference to organisational resources, organisational policies and procedures, the candidate is referred to either: | | |
| | | ⊠ YES | |
| | Resources available at the candidate's workplace/organisation, | | |
| | Resources available through the candidate's training organisation, or | □ NO | |
| | Resources provided through a simulated environment. | | |
| 10 | External links, if any, are working and directing properly. | ⊠ YES | |
| 12. | External links, if any, are working and directing property. | □NO | |

Assessor Instructions

| | CRITERIA | Addressed? | Comments |
|----|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|----------|
| 1. | Each question is correctly and accurately mapped to the relevant Knowledge Evidence (KE), including an indication of whether it fully or partially (p) meets the Knowledge | ⊠ YES | |
| | Evidence. | □NO | |
| 2. | Each question is mapped to the relevant section(s) in the Learner Guide/Learning | ⊠ YES | |
| | Resource. | □NO | |
| 3. | The marking guide is consistent with the candidate instruction/question. This includes the verb used, a number of required responses, terminologies used, and the specific | | |
| | aspect of Knowledge Evidence covered. | ⊠ YES | |
| | For example, if the question instructs the candidate to 'List three techniques for', the marking guide also says, 'The candidate must list three techniques' (and not 'provide two strategies for' | □NO | |
| 4. | Explicit statements and descriptions of what constitutes a satisfactory response are provided in the assessor marking guide. | ⊠ YES | |
| | These include mandatory statements, such as: 'For a satisfactory performance, the candidate's response must include three of the following' | □NO | |
| 5. | A level of flexibility for assessment is provided through statements such as 'if the candidate provided other responses, this is still acceptable provided that their responses | ⊠ YES | |
| | are' or 'wording may slightly vary.' | □NO | |

| | CRITERIA | Addressed? | Comments |
|----|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|----------|
| 6. | Benchmark answers along with sample or model answers sufficiently address the | ⊠ YES | |
| | relevant aspect of the Knowledge Evidence. | □ NO | |
| 7. | Additional instructions are provided in the marking guide for instances where the assessor needs to refer to external documents, e.g. legislation specific to the candidate's state or territory, relevant resources specific to the candidate's industry, or responses sourced online, where applicable. | | |
| | E.g. | ⊠ YES | |
| | The assessor must refer to the legislation specific to the candidate's state or territory. Model | | |
| | answers below are from the WHS Act 2011 (Qld.). | □ NO | |
| | The assessor must access the link provided by the candidate to verify whether the source is reliable, current, and relevant. | | |
| | The assessor must review the candidate's responses against the organisational policies and procedures provided through the simulated environment (Section 3, pages $25-26$). | | |

Practical Assessment

Candidate Instructions

| | CRITERIA | Addressed? | Comments |
|----|----------------------------------------------------------------|------------|---------------------------------------------|
| 1 | | ⊠ YES | Some tasks need to be rewritten for clarity |
| 1. | Clear instructions and guidance are provided to the candidate. | □ NO | (Addressed during rectification stage) |

| CRITERIA | Addressed? | Comments |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| The practical tasks clearly instruct the candidate to demonstrate or perform the verb of the practical requirements (Performance Criteria, Performance Evidence, Foundation Skills) | ⊠ YES | |
| E.g. If the requirement is 'Develop a plan,' the task instructs the candidate to <u>develop</u> and not to 'describe the parts' nor 'access and review' a plan. | □ NO | |
| The practical tasks provide guidance for the candidate to produce or perform the | ⊠ YES | |
| requirement to a satisfactory level. | □NO | |
| The required number of outputs, e.g. the number of responses and submissions required, how many resources to be accessed or the number of people to be consulted, how many times something must be demonstrated, are explicitly stated, where applicable. | ⊠ YES | |
| E.g. Provide care for two children and two toddlers, submit three feedback forms, consult with the supervisor and one colleague, etc. | □ NO | |
| A clear outline of what constitutes satisfactory performance is provided to the candidate | ⊠ YES | |
| A clear outline of what constitutes satisfactory performance is provided to the candidate. | □ NO | |
| Instructions are written in the correct and logical sequence, i.e. step-by-step instructions are provided to the candidate to satisfactorily complete the task. | ⊠ YES | |
| What must the candidate do first, next, and so on, e.g. consult with stakeholders first, then draft, review, and revise the plan | □NO | |
| · | ⊠ YES | |
| assessments, e.g. a workplace (or a similar environment), policies and procedures, workplace supervisor, etc. | □ NO | |
| | The practical tasks clearly instruct the candidate to demonstrate or perform the verb of the practical requirements (Performance Criteria, Performance Evidence, Foundation Skills) E.g. If the requirement is 'Develop a plan,' the task instructs the candidate to develop and not to 'describe the parts' nor 'access and review' a plan. The practical tasks provide guidance for the candidate to produce or perform the requirement to a satisfactory level. The required number of outputs, e.g. the number of responses and submissions required, how many resources to be accessed or the number of people to be consulted, how many times something must be demonstrated, are explicitly stated, where applicable. E.g. Provide care for two children and two toddlers, submit three feedback forms, consult with the supervisor and one colleague, etc. A clear outline of what constitutes satisfactory performance is provided to the candidate. Instructions are written in the correct and logical sequence, i.e. step-by-step instructions are provided to the candidate to satisfactorily complete the task. What must the candidate do first, next, and so on, e.g. consult with stakeholders first, then draft, review, and revise the plan The candidate has been informed of all the resources required to complete the practical assessments, e.g. a workplace (or a similar environment), policies and procedures, | The practical tasks clearly instruct the candidate to demonstrate or perform the verb of the practical requirements (Performance Criteria, Performance Evidence, Foundation Skills) E.g. If the requirement is 'Develop a plan,' the task instructs the candidate to develop and not to 'describe the parts' nor 'access and review' a plan. The practical tasks provide guidance for the candidate to produce or perform the requirement to a satisfactory level. The required number of outputs, e.g. the number of responses and submissions required, how many resources to be accessed or the number of people to be consulted, how many times something must be demonstrated, are explicitly stated, where applicable. E.g. Provide care for two children and two toddlers, submit three feedback forms, consult with the supervisor and one colleague, etc. A clear outline of what constitutes satisfactory performance is provided to the candidate. A clear outline of what constitutes satisfactorily complete the task. What must the candidate to satisfactorily complete the task. What must the candidate do first, next, and so on, e.g. consult with stakeholders first, then draft, review, and revise the plan The candidate has been informed of all the resources required to complete the practical assessments, e.g. a workplace (or a similar environment), policies and procedures, |

| | CRITERIA | Addressed? | Comments |
|-----|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|----------|
| 8. | Clear and concise language is used and appropriate to the AQF level. | ⊠ YES | |
| 0. | oleal and concide language is used and appropriate to the AQL level. | □NO | |
| 9. | Templates for submissions or spaces for answers are provided either: | | |
| | Through the simulated environment, e.g. links to an intranet site. | ⊠ YES | |
| | In the workbook (for written responses). | □NO | |
| | Along with the workbook (for templates and forms that are submitted separately) | | |
| 10. | Where templates, forms, and resources are to be accessed online, links are provided and | ⊠ YES | |
| | working and directing properly. | □NO | |
| 11. | The instructions explicitly state the evidence format required for the task, whether the candidate is required to: | | |
| | record their responses in the workbook, | ⊠ YES | |
| | complete or produce a product or document (and if templates or forms are to be used) | □ NO | |
| | demonstrate or perform a task while being observed – and whether this is to be directly observed or recorded. | | |
| 12. | For output-based tasks where the candidate is required to produce and submit an output (e.g. reports, project plans, analysis, etc.), clear guidance is provided on how they can | ⊠ YES | |
| | produce the required output to a satisfactory level. | □ NO | |
| | For example, the report or plan must cover the following | L NO | |

| | CRITERIA | Addressed? | Comments |
|--------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------|------------|----------|
| | pased tasks where the candidate is required to perform/demonstrate being observed, clear guidance is provided on how they can perform or | ⊠ YES | |
| _ | ask to a satisfactory level. | □NO | |
| | se study tasks, all organisational resources required to complete the been provided through the simulated assessment. | □YES | N/A |
| assessinent nave | been provided through the simulated assessment. | L1 1E3 | |
| | ase study scenarios, simulated policies and procedures, simulated ont records, simulated organisational charts, where applicable, etc. | □ NO | |
| | se study tasks, the resources the candidate needs to access (or the | T.V50 | N/A |
| training organisat | ion must organise access to) have been listed. | ☐ YES | |
| | unteers to participate in role play-based assessments, equipment, e.g. irst aid kit, where applicable, etc. | □ NO | |
| | se study tasks, role-play participants are sufficiently briefed about their | | N/A |
| role in the activity need to provide du | r. These include specific cues, scripts, or information and details they uring the activity. | □ YES | |
| IMPORTANT: The briefing documen | candidate must never be given access to these volunteer/participants ts. | □NO | |
| · · | declarations and other third-party sign-off are required, the supervisor | ⊠ YES | |
| - | nformed on what they are declaring or what they are signing off on and they are to provide the declaration and sign-off. | □NO | |

Assessor Instructions

| | CRITERIA | Addressed? | Comments |
|----------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------|------------------------------------------------------------------------------|
| 1. | Each practical task is correctly and accurately mapped to the relevant practical requirement (PC, FS, PE), including an indication of whether it fully or partially (p) meets the unit requirement. | ⊠ YES □ NO | WAT 3 should also be mapped to PC3.2 (Addressed during rectification stage) |
| 2. | Instructions on what to do before, during, and after the practical assessment tasks are provided to the assessor. | ⊠ YES □ NO | |
| 3. | The marking guide is consistent with the candidate instructions. This includes: The evidence to be submitted. The format of the evidence required. Number of responses or submissions required. For example, if the candidate is instructed to develop two policies and procedures documents with each document covering A, B, and C, the marking guide must state 'the candidate must submit two policies and procedures documents. Each document must cover A, B, and C.' | ⊠ YES □ NO | |
| 4. 5. | Explicit statements and descriptions of what constitutes a satisfactory response or performance are provided in the assessor marking guide. These include mandatory statements, such as: 'For a satisfactory performance, the candidate's response must include three of the following' 'For a satisfactory performance, the candidate's submission must include the following' A level of flexibility for assessment is provided through statements such as 'if the candidate provided other responses, this is still acceptable provided that their responses are' or 'submissions will vary depending on the information available in their organisation/workplace.' | ✓ YES☐ NO✓ YES☐ NO | |

| | CRITERIA | Addressed? | Comments |
|----|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|--------------------------------------------------------------------------------------------------------------------------|
| 6. | For output-based tasks where the candidate is required to produce and submit an output (e.g. reports, project plans, analysis, etc.), specific benchmarks or criteria describing what a satisfactory output looks like are provided to the assessor. For example, 'the report or plan includes the following information', 'the report uses plain English', 'the report has no grammatical errors,' etc. | ⊠ YES □ NO | |
| 7. | For simulated/case study output-based tasks where the candidate is required to produce and submit an output (e.g. reports, project plans, analysis, etc.) in a simulated environment, benchmarked versions of a template/form are provided. For example, financial reports completed for a case study/simulated business incident reports completed for an incident described in a case study scenario. | □ YES □ NO | N/A |
| 8. | Benchmarked versions of a template/form are provided along with the assessor guide or through links provided to the assessor. IMPORTANT: The candidate must never be given access to the assessor versions of templates and forms. | ⊠ YES □ NO | |
| 9. | For observation-based tasks where the candidate is required to perform/demonstrate something while being observed, specific benchmarks or criteria how the task is to be performed or demonstrated satisfactorily. For example, 'the candidate uses <u>active listening</u> during the consultation, including <u>paraphrasing</u> , <u>summarising</u> , etc.' | ⊠ YES □ NO | Some items in OBF require descriptions of what constitutes a satisfactory action (Addressed during rectification stage) |

| CRITERIA | Addressed? | Comments |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------|----------|
| Benchmarks for observation-based tasks are explicit and observable actions and behaviour. | | |
| For example: | | |
| 'The candidate accesses a physical copy of the staff handbook,' instead of 'the candidate reviews the organisation's policies and procedures.' | ⊠ YES | |
| 'The candidate asks the client what their goals, needs, and preferences,' instead of 'the candidate consults with the client.' | □NO | |
| 'The candidate visually inspects the floors for tripping hazards,' instead of 'the candidate conducts a safety inspection.' | | |
| Where the candidate is required to submit supplementary documents , such as copies and policies they followed while completing the task, other workplace documents they used | N/A □ YFS | |
| as references to produce a written report, clear explanations on what these supplementary submissions are, their relevance in the assessment, and what the assessor is supposed to do with these documents. | □NO | |
| 12. Explicit instructions are provided to the assessor for reviewing and verifying supervisor declarations and third-party signoffs. | ⊠ YES | |
| For example, the verification must be done through a phone call, where the supervisor providing the declaration must have necessary qualifications, the assessor must check the supervisor's qualifications, etc. | □NO | |

Validation Report

Principles of Assessment

| | Pr | inciple of Validity | |
|----|----------------------------------------------------------------------------------------------------------------------------------------------|---------------------|------------------|
| | CRITERIA | Addressed? | Actions Required |
| 1. | The tool, as a whole, represents the full range of skills and knowledge specified within the unit(s) of competency, including: | | |
| | Performance Criteria (PC) | ₩ VEQ | |
| | Foundation Skills (FS) | ⊠ YES | |
| | Performance Evidence (PE) | □ NO | |
| | Knowledge Evidence (KE) | | |
| | Assessment Conditions (AC) | | |
| 2. | The tool has been designed to assess a variety of evidence over time | ⊠ YES | |
| | and contexts (i.e., predictive validity) | □NO | |
| 3. | The tool has been designed to adhere to the Australian Qualifications | ⊠ YES | |
| | Level (AQF) level for the unit of competency or qualification being assessed. | □ NO | |
| 4. | Any compulsory conditions set out in the assessment | ⊠ YES | |
| | conditions/performance evidence have been addressed in the assessment tool, e.g. "must include", etc. | □ NO | |
| 5. | The tool meets any applicable licensing and/or regulatory requirements, e.g. Mandated assessments, Tax Practitioner Board | ⊠ YES | |
| | requirements, e.g. Mandated assessments, rax Practitioner Board requirements, supervised assessment, vocational placement requirements, etc. | □ NO | |

| | Principle of Reliability | | |
|----|-------------------------------------------------------------------|------------|------------------|
| | CRITERIA | Addressed? | Actions Required |
| 1. | The tool consistently provides similar outcomes regardless of the | ⊠ YES | |
| | person being assessed or the person assessing. | □NO | |
| 2. | The tool enables multiple assessors to provide the same type of | ⊠ YES | |
| | judgment when marking responses from the same candidate. | □ NO | |

| | Principle of Flexibility | | |
|----|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|------------------|
| | CRITERIA | Addressed? | Actions Required |
| 1. | The tool allows the assessment to be completed in the workplace or in a simulated environment, except for unit(s) requiring skills to be demonstrated in a real workplace. | ⊠ YES □ NO | |
| 2. | The tool includes instructions and guidance for contextualisation. For example, how a workplace task is done may vary across different organisations. The assessor must then ensure that the relevant candidate instructions and benchmarks are updated and contextualised to the organisational procedures where the candidate is undertaking the assessment. | ⊠ YES □ NO | |
| 3. | The tool includes instructions and guidance for any reasonable adjustments that may be made without compromising the satisfactory level of performance required from the candidate, as required in the unit requirements and assessment requirements. | ⊠ YES □ NO | |

| | Principle of Fairness | | |
|----|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|------------------|
| | CRITERIA | Addressed? | Actions Required |
| 1. | The candidate understands and agrees to undertake the assessment Addressed via the provision of the Candidate Declaration and Assessment Workbook Cover Sheet (or similar) as well as assessment briefing to be conducted by the assessor prior to the assessment. | ⊠ YES □ NO | |
| 2. | The candidate is provided with resources (or they have been informed about the resources they need) and clear instructions to enable them to satisfactorily complete the assessments. | ⊠ YES □ NO | |
| 3. | The tool does not over assess the candidate. These are assessments that require the candidate to complete or perform/demonstrate tasks that are above and beyond what is required in the unit requirements and assessment requirements. | ⊠ YES □ NO | |

Rules of Evidence

VALIDITY: The assessor is assured that the learner has the skills, knowledge, and attributes as described in the module or unit of competency and associated assessment requirements.

| | quirements. | | |
|----|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|------------------|
| | CRITERIA | Addressed? | Actions Required |
| 1. | Assessment tool facilitates gathering evidence of the candidate's knowledge and skills in relation to the following: | | |
| | Performance Criteria (PC) | | |
| | Foundation Skills (FS) | ⊠ YES | |
| | Performance Evidence (PE) | □ NO | |
| | Knowledge Evidence (KE) | | |
| | \ldots in an environment that meets the Assessment Conditions (AC) of the unit. | | |
| 2. | Assessment evidence-gathering methods are appropriate to what is being assessed. | | |
| | Practical skills are demonstrated and observed, i.e. asking a candidate how they do something is not a demonstration of them doing it, and vice versa | | |
| | Practical skills involved producing an output/product, e.g. written reports, project plans, financial reports, etc. | ⊠ YES | |
| | Knowledge evidence is <u>explicitly</u> assessed through knowledge assessments or questioning and not implicitly addressed through practical assessments. | □NO | |
| | Knowledge evidence may be integrated into the practical assessment; however, they must still be assessed through valid methods, e.g. questioning after they have demonstrated or performed something. | | |

SUFFICIENCY: The assessor is assured that the quality, quantity, and relevance of the assessment evidence enables a judgement to be made of a learner's competency.

| | CRITERIA | Addressed? | Actions Required |
|----|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|------------------|
| 1. | Evidence gathered meets the quality requirements set out in the unit of competency and associated assessment requirements. | | |
| | E.g. What is a sufficient project plan? How are project plans satisfactorily and sufficiently accomplished in the real workplace? What is a sufficient Work Health and Safety Incident Report? How are Work Health and Safety Incident Reports satisfactorily and sufficiently accomplished in the real workplace? | ⊠ YES □ NO | |
| 2. | Evidence gathered meets the quantity requirements set out in the unit of competency and associated assessment requirements. E.g. The number of times a skill must be demonstrated, how many financial reports the candidate is required to submit, how many clients or customers the candidate needs to assist. | ⊠ YES □ NO | |
| 3. | Evidence gathered is relevant to the unit of competency and associated assessment requirements. E.g. If the unit is about the management of project time, the information contained in the 'project plan' is relevant to project timeframes and schedules (and should not be about the management of project costs). Performance criteria are addressed within the context of the elements. Evidence gathered is relevant to the unit's workplace application. | ⊠ YES □ NO | |

| AU | AUTHENTICITY: The assessor is assured that the evidence presented for assessment is the learner's own work. | | |
|----|-----------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|------------------|
| | CRITERIA | Addressed? | Actions Required |
| 1. | The assessor is able to determine that the evidence submitted is the candidate's own work. | | |
| | E.g. | | |
| | Submissions indicate they are the work of the candidate (e.g. document completed by) | ⊠ YES | |
| | The candidate is required to answer questions in their own words | □ NO | |
| | Requiring supervisor declarations and third-party sign-off, | | |
| | Requiring the candidate to submit a photo or video recording as evidence or requiring them to be directly observed by the assessor. | | |
| 2. | Instructions and guidance are provided to the assessor to confirm that the evidence submitted is genuine and is the candidate's own work. | ⊠ YES | |
| | E.g. Additional instructions for the assessor to call and verify with the candidate's workplace supervisor or other third-party personnel, etc. | □ NO | |

CURRENCY: The assessor is assured that the assessment evidence demonstrates current competency. This requires the assessment evidence to be from the present or the very recent past.

| | CRITERIA | Addressed? | Actions Required |
|----|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|------------------|
| 1. | Assessments address knowledge and skills from current units of | ⊠ YES | |
| | competency and not from superseded ones. | □ NO | |
| 2. | , , , , , , , , , , , , , , , , , , , , | | |
| | and standards. | | |
| | E.g. use of up-to-date business technology and software (what is currently used in the relevant industry), references to current legislation, regulations, industry and workplace standards, etc. | □ NO | |

Non-compliances or Gaps Identified

| Unit requirements and assessment requirements | Description of non-compliance or gap |
|--------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| PC1.2 Work with awareness of own limitations in self and social awareness | WAT 1 Gap: Flexibility The sub-criteria for item 2 are too limiting if they are set as hard requirements. |
| PC1.4 Identify and act on ways to improve own self and social awareness | WAT 4 - Gap: Reliability and Fairness The items in the OBF of this task are too limiting as they are examples of actions to sufficiently address PC1.4. They are too limiting if they will be considered as hard requirements. |
| PC2.1 Value and respect diversity and inclusiveness across all areas of work | Gap - Flexibility The sub-criteria for item 1 are too limiting if they are set as hard requirements. |
| PC4.1 Identify issues that may cause communication misunderstandings or other difficulties | Gap - Reliability and Fairness There is no answer field for the item I understand is mapped to this PC. It will be better to make a separate PA Task for this as PA Task 3 requires restructuring that may not accommodate it anymore. |

Suggestions for Improving the Tool

| Recommendations/suggestions | Summary of rectifications |
|---------------------------------------------------------------------------|-----------------------------------------------------------|
| The Cis of PA Tasks can be rewritten for clarity. | Rewrote/rephrased the candidate instructions as suggested |
| Revisions must be made to the tables in the PA Tasks | Revised/restructured tables as suggested |
| PA Task 3 needs to be restructured into a 'complete the table' structure. | Revised/restructured the PA Task as suggested |
| | |
| | |

Sign-off

| Actions from this validation implemented by | Jesus Mikael Santiago III |
|---------------------------------------------|---------------------------|
| Date actions were completed | 8 August 2022 |

| By signing below, I confirm that I have reviewed the rectifications completed in the assessment tool and confirm that all issues identified in this validation report form are now sufficiently addressed. | |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------|
| Final review done by | Patricia Lauren Salonga |
| Date final review was completed | 10 August 2022 |

End of Document