

# Excited-to-excited transition dipoles

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By neglecting the response of the XC kernel and the Hartree XC kernel, the element of the first hyperpolarizability tensor in the sTD-DFT framework are: [1]

$$\beta_{\zeta\sigma\tau}(\omega_\zeta; \omega_1, \omega_2) = -\langle\langle \hat{\mu}_\zeta; \hat{\mu}_\sigma, \hat{\mu}_\tau \rangle\rangle_{\omega_1, \omega_2} = \mathcal{A}_{\zeta\sigma\tau}(\omega_\zeta; \omega_1, \omega_2) - \mathcal{B}_{\zeta\sigma\tau}(\omega_\zeta; \omega_1, \omega_2), \quad (1)$$

with  $\omega_\zeta = -\omega_1 - \omega_2$ , and:

$$\mathcal{A}_{\zeta\sigma\tau}(\omega_\zeta; \omega_1, \omega_2) = \sum_{\mathcal{P}} \sum_{ia, ja} x_{ia, \zeta}(\omega_\zeta) [-\mu_{ij, \sigma}] y_{ja, \tau}(\omega_2), \quad (2)$$

$$\mathcal{B}_{\zeta\sigma\tau}(\omega_\zeta; \omega_1, \omega_2) = \sum_{\mathcal{P}} \sum_{ia, ib} x_{ia, \zeta}(\omega_\zeta) [-\mu_{ab, \sigma}] y_{ib, \tau}(\omega_2), \quad (3)$$

where  $\sum_{\mathcal{P}}$  is the sum over the sequence of permutations of the pairs of components and energies,  $\{(\zeta, \omega_\zeta), (\sigma, \omega_1), (\tau, \omega_2)\}$ . For example,

$$\mathcal{A}_{\zeta\sigma\tau}(\omega_\zeta; \omega_1, \omega_2) = \sum_{ia, ja} \left\{ \begin{array}{l} x_{ia, \zeta}(\omega_\zeta) [-\mu_{ij, \sigma}] y_{ja, \tau}(\omega_2) + x_{ia, \zeta}(\omega_\zeta) [-\mu_{ij, \tau}] y_{ja, \sigma}(\omega_1) \\ + x_{ia, \sigma}(\omega_1) [-\mu_{ij, \zeta}] y_{ja, \tau}(\omega_2) + x_{ia, \sigma}(\omega_1) [-\mu_{ij, \tau}] y_{ja, \zeta}(\omega_\zeta) \\ + x_{ia, \tau}(\omega_2) [-\mu_{ij, \zeta}] y_{ja, \sigma}(\omega_1) + x_{ia, \tau}(\omega_2) [-\mu_{ij, \sigma}] y_{ja, \zeta}(\omega_\zeta) \end{array} \right\},$$

and the same goes for  $\mathcal{B}$ .

The spectra representation of linear response vectors  $\mathbf{x}_\zeta(\omega)$  and  $\mathbf{y}_\zeta(\omega)$  is given by: [2]

$$\begin{aligned} x_{ia, \zeta}(\omega) &= \sum_{|m\rangle} \mu_{ia, \zeta} (x_{ia}^m + y_{ia}^m) \left[ \frac{x_{ia}^m}{\omega - \omega_m} - \frac{y_{ia}^m}{\omega + \omega_m} \right], \\ y_{ia, \zeta}(\omega) &= \sum_{|m\rangle} \mu_{ia, \zeta} (x_{ia}^m + y_{ia}^m) \left[ \frac{y_{ia}^m}{\omega - \omega_m} - \frac{x_{ia}^m}{\omega + \omega_m} \right], \end{aligned}$$

where  $\mathbf{x}^m$  and  $\mathbf{y}^m$  are the amplitude vectors associated to excited state  $|m\rangle$ . Thus, the “residue” of the linear response vectors are:

$$\begin{aligned} \lim_{\omega_1 \rightarrow -\omega_m} (\omega_1 + \omega_m) x_{ia, \zeta}(\omega_1) &= \mu_{ia, \zeta} (x_{ia}^m + y_{ia}^m) (-y_{ia}^m), \\ \lim_{\omega_1 \rightarrow -\omega_m} (\omega_1 + \omega_m) y_{ia, \zeta}(\omega_1) &= \mu_{ia, \zeta} (x_{ia}^m + y_{ia}^m) (-x_{ia}^m), \\ \lim_{\omega_2 \rightarrow \omega_n} (\omega_2 - \omega_n) x_{ia, \zeta}(\omega_2) &= \mu_{ia, \zeta} (x_{ia}^n + y_{ia}^n) (x_{ia}^n), \\ \lim_{\omega_2 \rightarrow \omega_n} (\omega_2 - \omega_n) y_{ia, \zeta}(\omega_2) &= \mu_{ia, \zeta} (x_{ia}^n + y_{ia}^n) (y_{ia}^n). \end{aligned}$$

Following Ref. [2], the double residue of the quadratic response function is:

$$\begin{aligned} \lim_{\omega_1 \rightarrow -\omega_m} \lim_{\omega_2 \rightarrow \omega_n} (\omega_1 + \omega_m) (\omega_2 - \omega_n) \langle\langle \hat{\mu}_\zeta; \hat{\mu}_\sigma, \hat{\mu}_\tau \rangle\rangle_{\omega_1, \omega_2} \\ = \langle 0 | \hat{\mu}_\zeta | m \rangle \langle m | \hat{\mu}_\sigma - \delta_{mn} \langle 0 | \hat{\mu}_\sigma | 0 \rangle | n \rangle \langle n | \hat{\mu}_\tau | 0 \rangle \end{aligned} \quad (4)$$

$$= \lim_{\omega_1 \rightarrow -\omega_m} \lim_{\omega_2 \rightarrow \omega_n} (\omega_1 + \omega_m) (\omega_2 - \omega_n) [\mathcal{B}_{\zeta\sigma\tau}(\omega_\zeta; \omega_1, \omega_2) - \mathcal{A}_{\zeta\sigma\tau}(\omega_\zeta; \omega_1, \omega_2)]. \quad (5)$$

In particular, from Eq. (2):

$$\begin{aligned} & \lim_{\omega_1 \rightarrow -\omega_m} \lim_{\omega_2 \rightarrow \omega_n} (\omega_1 + \omega_m) (\omega_2 - \omega_n) \mathcal{A}_{\zeta\sigma\tau}(\omega_\zeta; \omega_1, \omega_2) \\ &= \sum_{ia,ja} \left\{ \begin{array}{l} \mu_{ia,\zeta} (x_{ia}^m + y_{ia}^m) (-y_{ia}^m) [-\mu_{ij,\zeta}] \mu_{ja,\tau} (x_{ja}^n + y_{ja}^n) (y_{ja}^n) \\ + \mu_{ia,\tau} (x_{ia}^n + y_{ia}^n) (x_{ia}^n) [-\mu_{ij,\zeta}] \mu_{ja,\zeta} (x_{ja}^m + y_{ja}^m) (-x_{ja}^m) \end{array} \right\}, \end{aligned}$$

and, from Eq. (3),

$$\begin{aligned} & \lim_{\omega_1 \rightarrow -\omega_m} \lim_{\omega_2 \rightarrow \omega_n} (\omega_1 + \omega_m) (\omega_2 - \omega_n) \mathcal{B}_{\zeta\sigma\tau}(\omega_\zeta; \omega_1, \omega_2) \\ &= \sum_{ia,ib} \left\{ \begin{array}{l} \mu_{ia,\zeta} (x_{ia}^m + y_{ia}^m) (-y_{ia}^m) [-\mu_{ab,\zeta}] \mu_{ib,\tau} (x_{ib}^n + y_{ib}^n) (y_{ib}^n) \\ + \mu_{ia,\tau} (x_{ia}^n + y_{ia}^n) (x_{ia}^n) [-\mu_{ab,\zeta}] \mu_{ib,\zeta} (x_{ib}^m + y_{ib}^m) (-x_{ib}^m) \end{array} \right\}. \end{aligned}$$

Since,

$$\langle 0 | \hat{\mu}_\zeta | m \rangle = \sqrt{2} \sum_{ia} \vec{\mu}_{ia,\zeta} (x_{ia}^m + y_{ia}^m),$$

equating Eqs. (4) and (5) results in: [2, 3]

$$\langle m | \hat{\mu}_\zeta - \delta_{mn} \langle 0 | \hat{\mu}_\zeta | 0 \rangle | n \rangle = \frac{1}{2} \left\{ \sum_{ia,ib} \mu_{ab,\zeta} [x_{ia}^n x_{ib}^m + y_{ia}^m y_{ib}^n] - \sum_{ia,ja} \mu_{ij,\zeta} [x_{ia}^n x_{ja}^m + y_{ia}^m y_{ja}^n] \right\}. \quad (6)$$

## References

- [1] Marc de Wergifosse and Stefan Grimme. Nonlinear-response properties in a simplified time-dependent density functional theory (std-dft) framework: Evaluation of the first hyperpolarizability. *J. Chem. Phys.*, 149:024108, 2018.
- [2] Marc de Wergifosse and Stefan Grimme. Nonlinear-response properties in a simplified time-dependent density functional theory (sTD-DFT) framework: Evaluation of excited-state absorption spectra. *J. Chem. Phys.*, 150:094112, 2019.
- [3] Marc de Wergifosse and Stefan Grimme. Perspective on Simplified Quantum Chemistry Methods for Excited States and Response Properties. *J. Phys. Chem. A*, 125:3841–3851, 2021.