



30 October 2014

Prof Piet De Jong
Department of Applied Finance and Actuarial Studies
Faculty of Business and Economics

Dear Prof De Jong

Project title: Quantifying the impact of systemic risk on capital requirements for interrelated financial institutions and sectors
External reference: T014
Grant reference: 9201401504

On behalf of the Executive, Research Strategy and Policy Committee and the Research Office, I would like to congratulate you on receiving a grant from the Centre for International Finance and Regulation (CIFR) for the above project.

The Centre for International Finance and Regulation (CIFR) will provide funding of **\$88,216**. The Consortium member contribution from Macquarie University is cash **\$88,216**; In-kind **\$52,930**.

Conditions of Award

In accepting this grant, you are agreeing to the following conditions:

1. You have read and understood the General/Special Conditions as specified by the funding body, including progress and final reporting requirements.
2. Any variation to the Funding Agreement must be organised through the Research Office.
3. If your project requires human, animal or biosafety ethical clearance, the grant will not commence until approval has been obtained. Please contact the Ethics Secretariat at ethics.secretariat@mq.edu.au, telephone (02) 9850 6848 for further information.
4. You will comply with the University's Travel Policy, especially as it relates to travel arrangements as outlined in Section 6.1, see http://www.ofs.mq.edu.au/ofs_policies/.
5. You will comply with the University's Code of Good Research Practice, especially as it relates to Publication of Results. The Code refers to the conditions of Publication and Authorship as set out in the Australian Code for the Responsible Conduct of Research, see http://www.research.mq.edu.au/about/research@macquarie/policies_procedures_and_conduct/australian_code_for_the_responsible_conduct_of_research.

6. You will comply with the University's Intellectual Property and Academic Honesty Policies, especially as they relate to the creation, development, application and use of ideas and information; see <http://www.research.mq.edu.au/about/research @ macquarie/policies, procedures and conduct/intellectual property policy> and http://www.mq.edu.au/policy/docs/academic_honesty/policy.html. In complying with these policies, you confirm that to the best of your knowledge your work on this project will not infringe the Intellectual Property of any third parties.
7. You will comply with the University's Open Access Policy, especially as it relates to the research manuscripts arising from this project/funding being deposited in the Macquarie University Digital Repository after their acceptance for publication; see http://www.mq.edu.au/policy/docs/open_access/policy.html.

If you wish to accept this grant under the Conditions of Award, please complete the attached page and forward it via your Head of Department and Executive Dean of Faculty to the Research Office. **The Form must be returned within 10 working days of the date of this notification.**

Subject to negotiation of a Funding Agreement with the Centre for International Finance and Regulation (CIFR) **and** receipt of the signed Acceptance of Offer, the Research Office will advise the Office of Financial Services to open an account for your project. The account details will be forward to you via email shortly thereafter.

Congratulations on your success.

Yours sincerely



Louise Fleck
Director, Research Office

cc: Head of Department
Executive Dean of Faculty
Associate Dean (Research)
Faculty Research Manager

**Macquarie University
Acceptance of Offer**

1. Attach to File – 14/1598

Please specify the start and end date for your Project:

Start Date: ____/____/____ End Date ____/____/____

Confirmation of Ethics requirements:

Please list below the type of ethics approval required. If already approved, please provide approval number. If not yet approved, please provide an estimated date for obtaining approval.

Type of approval	Required? (Y/N)	Approval Number (if available)	Date Approval Required
Animal			
Human			
Biosafety			

Grant reference: 9201401504

External reference: T014

Project Title: Quantifying the impact of systemic risk on capital requirements for interrelated financial institutions and sectors

Amount: Centre for International Finance and Regulation (CIFR): **\$88,216**
Macquarie University: Cash contribution **\$88,216**; In-kind **\$52,930**

Grant Recipient	
Name:	Prof Piet De Jong
Signature:	Date: ____/____/20__

Head of Department of Applied Finance and Actuarial Studies	
Name:	
Signature:	Date: ____/____/20__

Executive Dean of the Faculty of Business and Economics	
Name:	
Signature:	Date: ____/____/20__

University Representative (Research Office Use Only)	
Name:	
Signature:	Date: ____/____/20__

Macquarie University Research Office

MACQUARIE UNIVERSITY

SYDNEY ~ AUSTRALIA



Permission to Include Application in the Macquarie University Research Office Library of Successful Grant Applications

and for the Basic Grant Details to be displayed on the Research Office Website

Library of Successful Grant Applications:

We would be grateful if you could indicate whether you give permission for your application to be photocopied and held in the Research Office's Library of Successful Grant Applications. Should you agree to this, your proposal would be available to intending grant applicants to assist in the framing, formatting and layout of their proposals. They would be allowed to take general notes on your application however would not be permitted to photocopy any section or remove the copy from the Research Office without your express permission in writing.

Basic Grant Details on RO Website:

We would also appreciate if you would allow us to display the basic grant details on our website (ie Project Title, CI Names, Funding Body, Amount Awarded and the relevant Faculty).

If you agree to the inclusion of your application in the Research Office Library and for the basic grant details to be displayed on the RO website, please sign below.

Funding Body: Centre for International Finance and Regulation (CIFR)

Grant reference: 9201401504

Project Title: Quantifying the impact of systemic risk on capital requirements for interrelated financial institutions and sectors

Faculty: Business and Economics

Prof Piet De Jong	
Signature:	Date: