

09376023

Neural Networks and Deep Learning  
神经网络与深度学习

**Topic 0**  
**Course Introduction**

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Ph.D. , Associate Professor  
Department of Automation  
Shanghai University

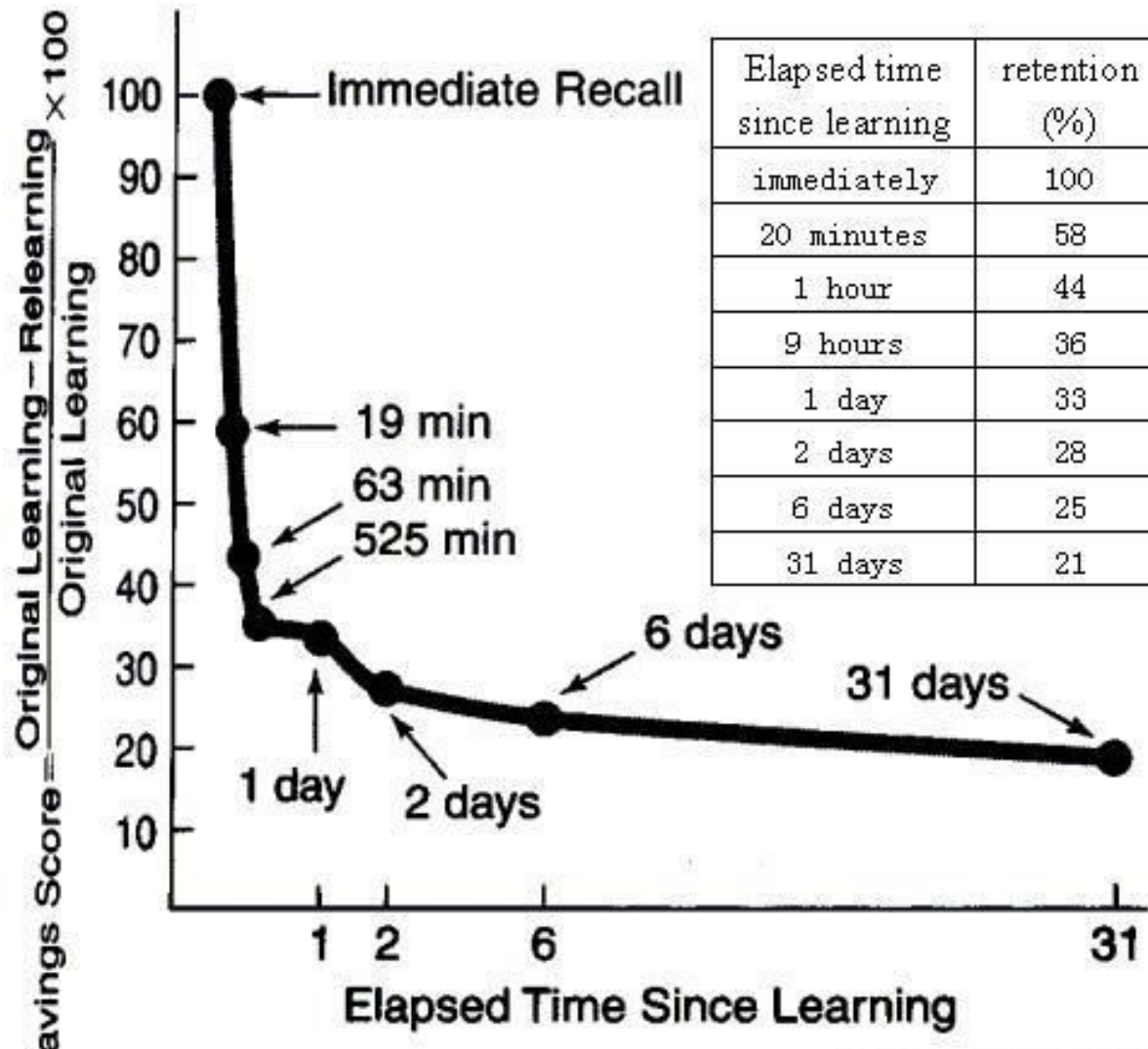
# Before the Class

- I would like to know each of you
- Contact me through “super star learning” 超星 APP
- Give me feedbacks if there is any
- Continue using course materials for Senior Design

# Some Important Notes to this Course

- This is a very important AI related course;
- **English** will be used in this course, including the lectures, textbooks, homeworks, practical reports, and the **final examination**;
- **Preview and review** is very important. Spend half an hour in reading the textbook before and after every class;

# Ebbinghaus's Forgetting Curve



# Outline for Topic 0

- Teaching Staff
- Brief Course Information
- Text Book and Reference
- Classroom Regulations
- Assessment
- Why Neural Network and Deep Learning?

# Teaching Staff

上海大学  
机电工程与自动化学院自动化系

王小华 副教授

Add: Room 525, Ji-Zi building

Email: [x.wang@shu.edu.cn](mailto:x.wang@shu.edu.cn)

# Course Brief Information

- Course name: Neural Networks and Deep Learning
- Course No.: 09376023
- Credit: 3
- Class and Lab time:
  - » Lab:
    - » **Room 335B**, Ji-Zi Building (Prof Wenbin Guo)
    - » Python, Pytorch
    - » You need to take your own labtop with you
  - » Normal Course(week 1-10):
    - » Theoretical work

# Text Book and Reference

Text Book: (a free online book)

**Dive into Deep Learning**

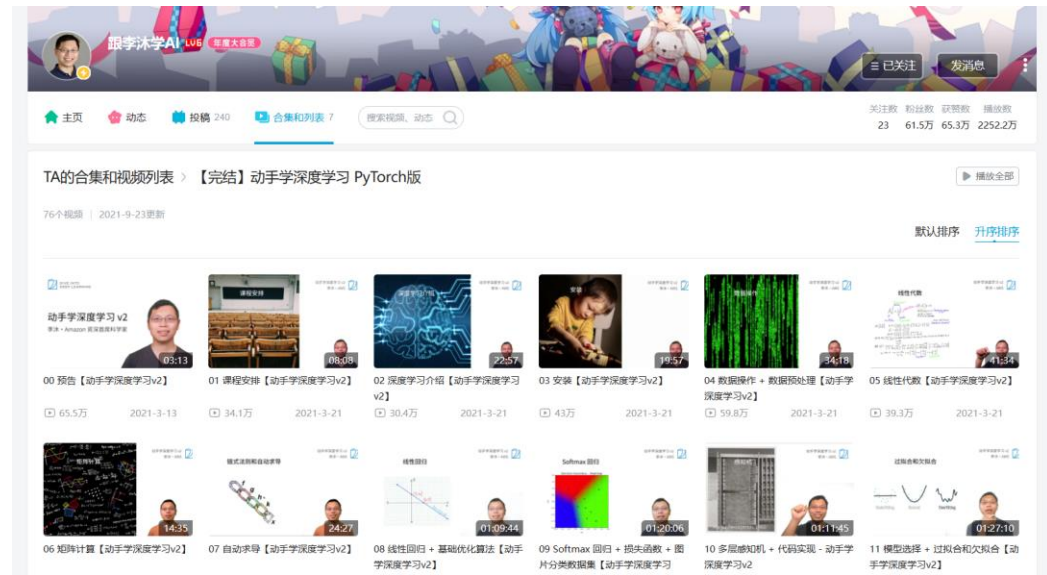
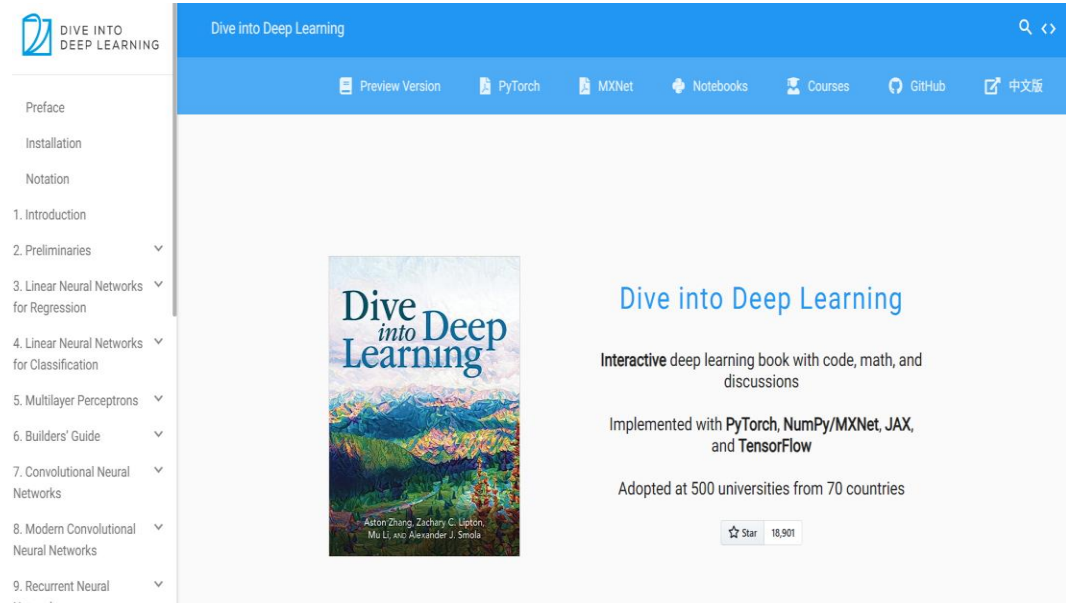
<https://d2l.ai>

Pytorch version

Free Chinese Version

Explained in Chinese in Bilibili

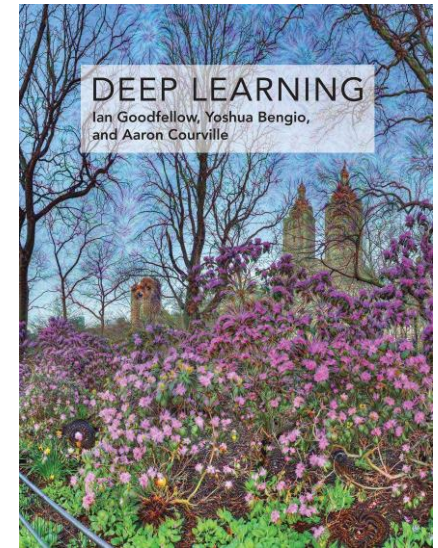
<https://space.bilibili.com/1567748478/channel/seriesdetail?sid=358497>





# Reference Book

- Deep Learning
  - Ian Goodfellow and Yoshua Bengio and Aaron Courville
  - MIT Press, 2016
  - <http://www.deeplearningbook.org>



## Deep Learning

An MIT Press book

Ian Goodfellow and Yoshua Bengio and Aaron Courville

[Exercises](#) [Lectures](#) [External Links](#)

The Deep Learning textbook is a resource intended to help students and practitioners enter the field of machine learning in general and deep learning in particular. The online version of the book is now complete and will remain available online for free.

The deep learning textbook can now be ordered on [Amazon](#).

For up to date announcements, join our [mailing list](#).

### Citing the book

To cite this book, please use this bibtex entry:

```
@book(Goodfellow-et-al-2016,
  title={Deep Learning},
  author={Ian Goodfellow and Yoshua Bengio and Aaron Courville},
  publisher={MIT Press},
  note={\url{http://www.deeplearningbook.org}},
  year={2016})
```

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[Errata in published editions](#)

[Errata in published editions](#)

### Deep Learning

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  - [3 Probability and Information Theory](#)
  - [4 Numerical Computation](#)
  - [5 Machine Learning Basics](#)
- [Part II: Modern Practical Deep Networks](#)
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# Reference:

- Neural Networks and Deep Learning  
a free online book by Michael Nielsen / Dec 2019  
<http://neuralnetworksanddeeplearning.com/>
- Chinese Version

## Neural Networks and Deep Learning

*Neural Networks and Deep Learning* is a free online book. The book will teach you about:

- Neural networks, a beautiful biologically-inspired programming paradigm which enables a computer to learn from observational data
- Deep learning, a powerful set of techniques for learning in neural networks

Neural networks and deep learning currently provide the best solutions to many problems in image recognition, speech recognition, and natural language processing. This book will teach you many of the core concepts behind neural networks and deep learning.

For more details about the approach taken in the book, see [here](#). Or you can jump directly to [Chapter 1](#) and get started.

Neural Networks and Deep Learning  
What this book is about  
On the exercises and problems

- ▶ Using neural nets to recognize handwritten digits
- ▶ How the backpropagation algorithm works
- ▶ Improving the way neural networks learn
- ▶ A visual proof that neural nets can compute any function
- ▶ Why are deep neural networks hard to train?
- ▶ Deep learning

Appendix: Is there a *simple* algorithm for intelligence?  
Acknowledgements  
Frequently Asked Questions

If you benefit from the book, please make a small donation. I suggest \$5, but you can choose the amount.

Donate



Alternately, you can make a donation by sending me Bitcoin, at address  
1Kd6XHS5DAmiFb49J9hknG5pg7K5S5Ax

神经网络设计指南



中国工信出版集团 人民邮电出版社  
POST & TELECOM PRESS

# Reference Course Website

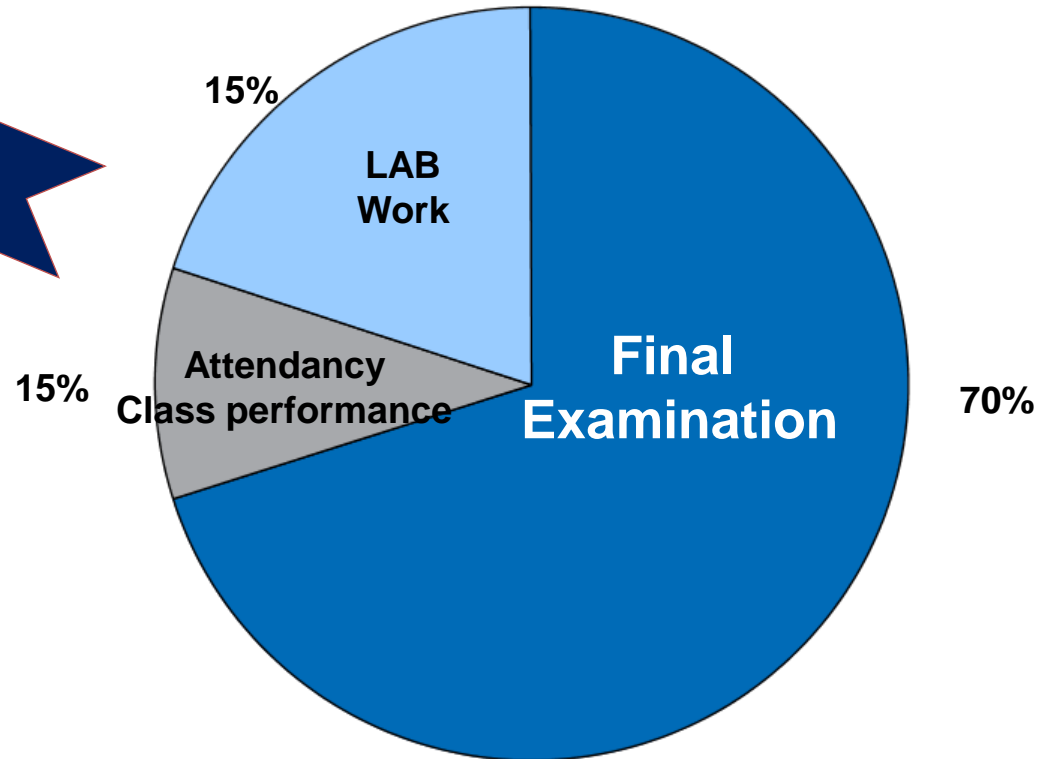
- **Stanford:** CS231n: Convolutional Neural Networks for Visual Recognition.  
<https://cs231n.github.io/>
- **MIT:** 6.S191 Deep Learning  
<http://introtodeeplearning.com/>  
[https://www.bilibili.com/video/BV1RS4y1d7Uc?from=search&seid=5722983192539323741&spm\\_id\\_from=333.337.0.0](https://www.bilibili.com/video/BV1RS4y1d7Uc?from=search&seid=5722983192539323741&spm_id_from=333.337.0.0)
- **Coursera:** Neural Network and Deep Learning  
<https://www.coursera.org/learn/neural-networks-deep-learning?>

# Classroom Regulations

- On time attendance is required for all students. Any absence, late arrival, or early leaving is **NOT allowed**.
  - If you are late, stand outside the classroom and wait for my permission.
- **Switch off** your mobile phone in the class.
- Concentrate on your study in the class. Speaking, eating, sleeping and any irrelevance are **NOT allowed**.
- **Your performance will be directly reflected in your final score!**

# Assessment

**30%**  
**continuous**  
**assessment**



# Assessment: Final(70')

- Final exam will include **both** lab material **and** lecture material
- **Open book exam**(which is always more difficult than a closed book exam). Please bring in all you have related to this course. No communication is allowed(Internet access is strictly prohibited).

# Assessment (Cont'd)

- Attendance (5%)
  - $90\% \leq \text{attendance rate} \leq 100\%$ : 5
  - $80\% \leq \text{attendance rate} < 90\%$ : 4
  - $70\% \leq \text{attendance rate} < 80\%$ : 3
  - $60\% \leq \text{attendance rate} < 70\%$ : 2
  - $50\% \leq \text{attendance rate} < 60\%$ : 1
  - $\text{attendance rate} < 50\%$ : 0
- Late arrival and early leaving is also considered as being absent from the class.
- Lab performance and Lab report(15%)
  - Practical report must be delivered before the deadline **with ELearning System**. Late practical report will not be accepted and marked.

<http://www.elearning.shu.edu.cn/portal>

## Class performance(10%)

- Homework1
- Homework2
- Homework3

**In order to get a high score, you must:**

- 1) Attend all classes from the beginning to the end!**
- 2) Comply with the classroom regulations!**
- 3) Be an active participant in the in-class discussion!**
- 4) Do your home works and practical report very well and submit them on time with ELearning system!**
- 5) Perform well in your group presentation!**

# Course Outline and Teaching Arrangement

- Outline

Topic	Content	Goals
0	Course Introduction	homework1
1	Mathematics Basics	
2	Neural Network Basics	
3	BP Algorithm	homework2
4	Convolutional Neural Network	homework3
5	Recurrent Neural Network	



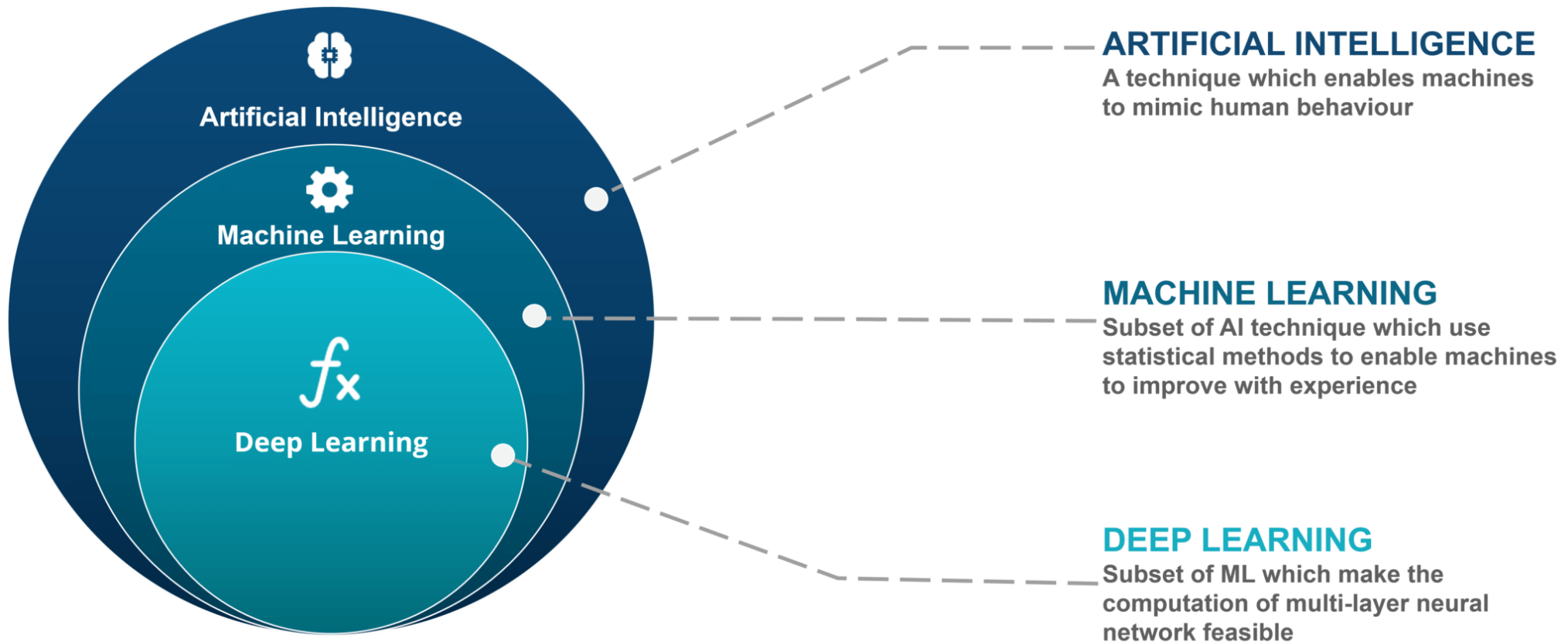
# Course Outline and Teaching Arrangement (Cont.)

- Week Arrangement

Week	Lecture	Home work	Lab
1	Topic 0: Course Introduction Topic 1: Mathematics Basics		
2	Topic 2: Neural Network Basics		
3	Topic 3: BP Algorithm		
4, 5, 6	Topic 4: Convolutional Neural Network		
7, 8	Topic 5: Recurrent Neural Network		
9	Other topics in Deep Learning	Lab report	

Any questions?

# Why Neural Network and Deep Learning?

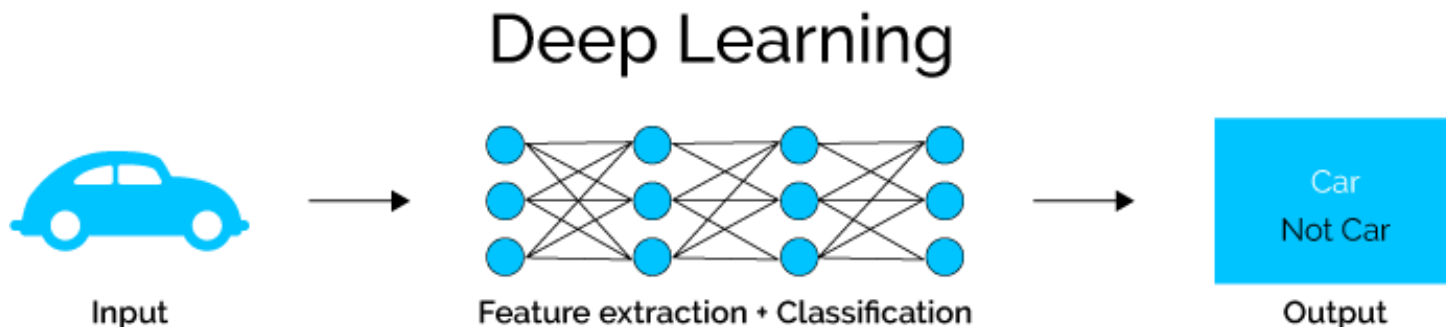
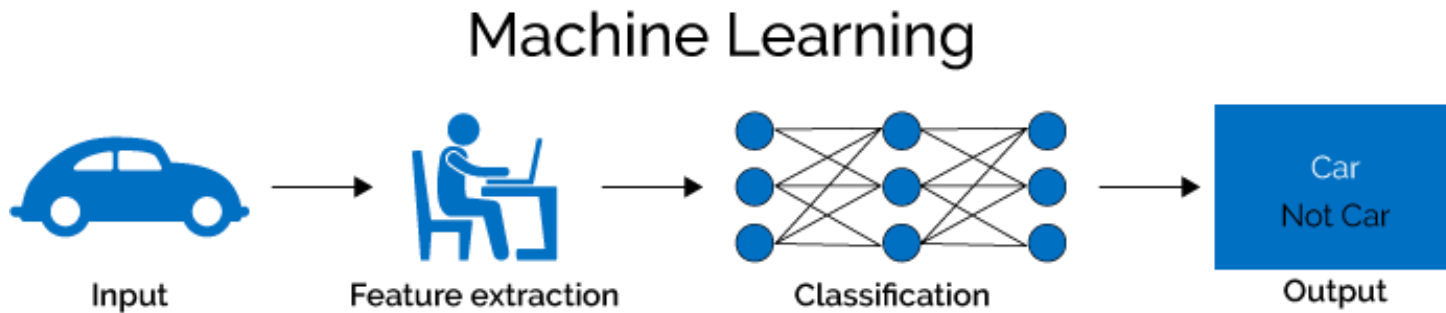


# What is Deep Learning (DL) ?

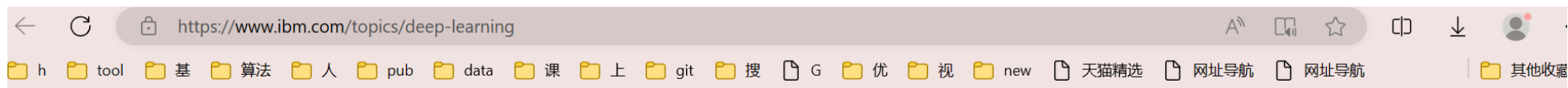
A machine learning subfield of learning **representations** of data. Exceptional effective at **learning patterns**.

Deep learning algorithms attempt to learn (multiple levels of) representation by using a **hierarchy of multiple layers**

If you provide the system **tons of information**, it begins to understand it and respond in useful ways.



# What is Deep Learning



Cloud

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Solutions ▾

Pricing ▾

Docs

Support ▾

Explore more ▾



[Create IBM Cloud account](#)

What is deep learning?

Deep learning vs. machine learning

How deep learning works

Deep learning applications

Deep learning hardware requirements

Related solutions

Resources

Take the next step

## What is deep learning?

Deep learning is a subset of machine learning, which is essentially a neural network with three or more layers. These neural networks attempt to simulate the behavior of the human brain—albeit far from matching its ability—allowing it to “learn” from large amounts of data. While a neural network with a single layer can still make approximate predictions, additional hidden layers can help to optimize and refine for accuracy.

Deep learning drives many [artificial intelligence \(AI\)](#) applications and services that improve automation, performing analytical and physical tasks without human intervention. Deep learning technology lies behind everyday products and services (such as digital assistants, voice-enabled TV remotes, and credit card fraud detection) as well as emerging technologies (such as self-driving cars).

Now available:  
[watsonx.ai](#)

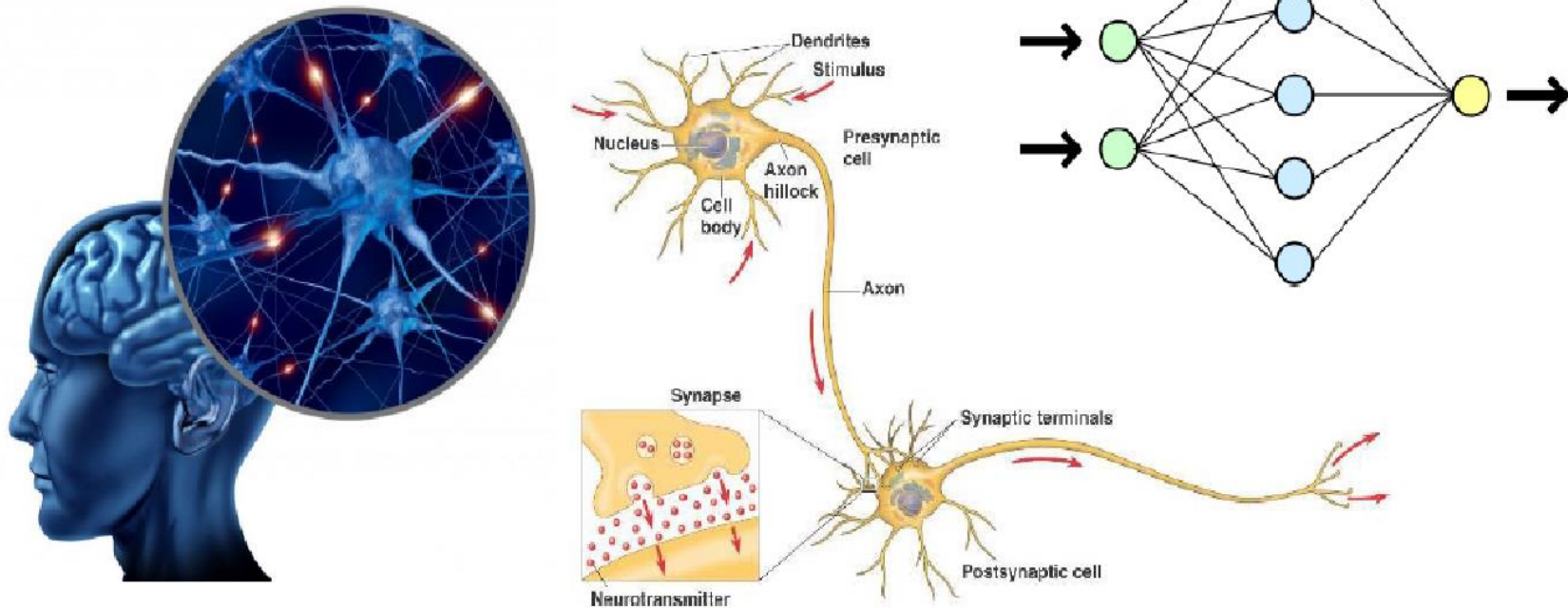
The all new enterprise studio that brings together traditional machine learning along

Schedule a call with an IBM sales representative who can assist you in finding the right products to meet your needs.

# What is Deep Learning(DL)?

- Deep learning is a subset of [machine learning](#), which is essentially a neural network with three or more layers.
- These neural networks attempt to simulate the behavior of the human brain—albeit far from matching its ability—allowing it to “learn” from large amounts of data.
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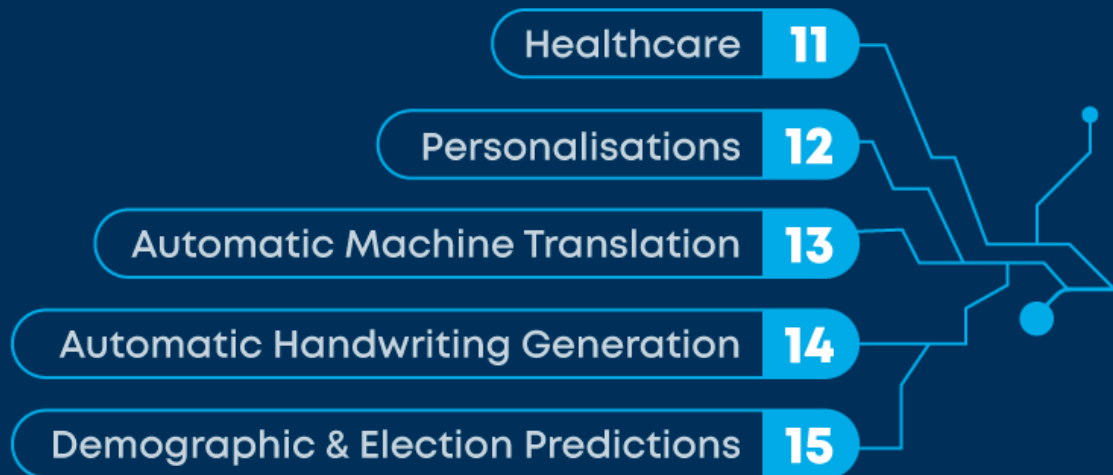
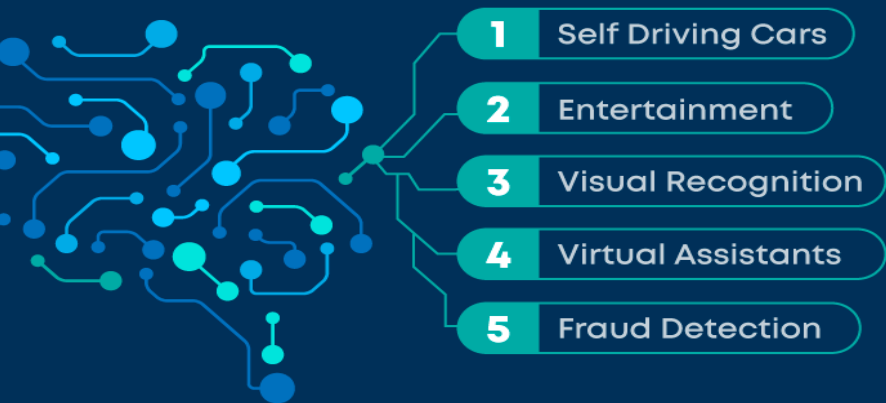
# Neural Network: Inspired by Human Brains



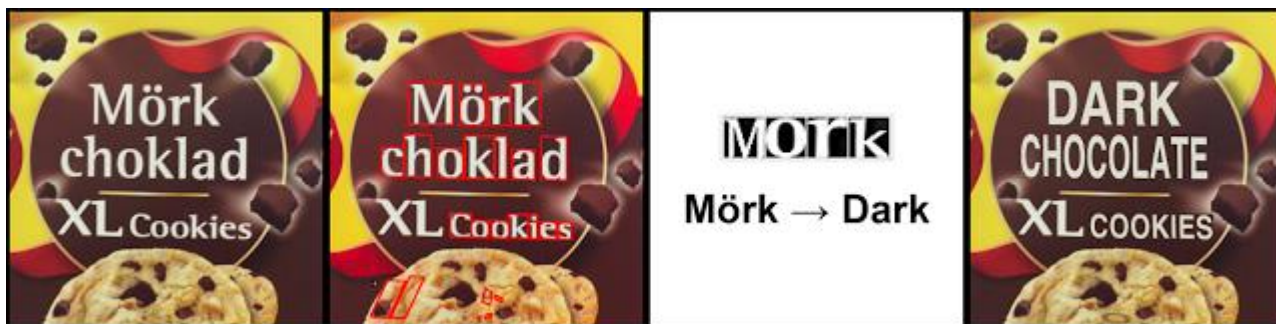
**Homework 1:** Make a short video(less than 3 minutes) according to this picture  
And try to explain how exactly human brain functions helped the creation of neural networks

I will select the best 3 and show to the whole class

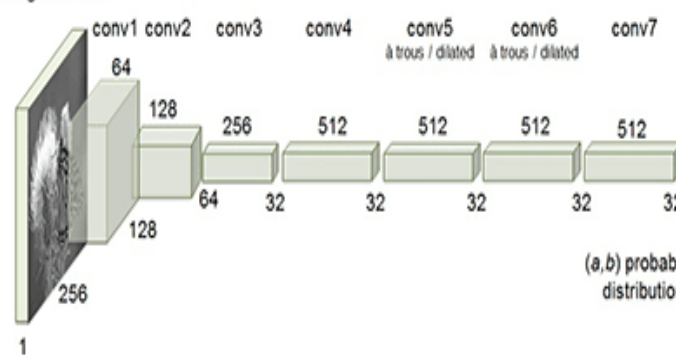
# 0 DEEP LEARNING Applications







Lightness L



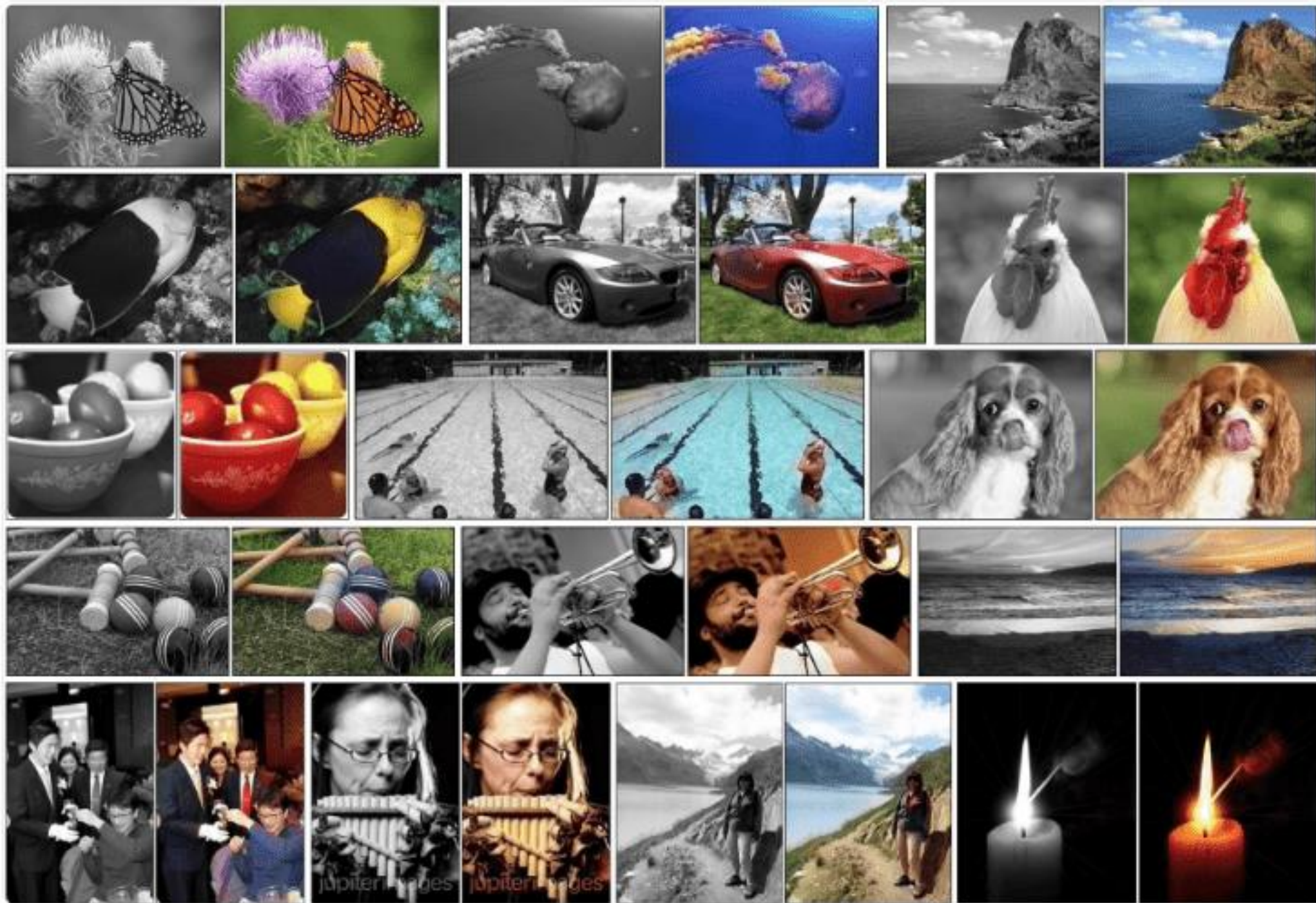
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Lab Image





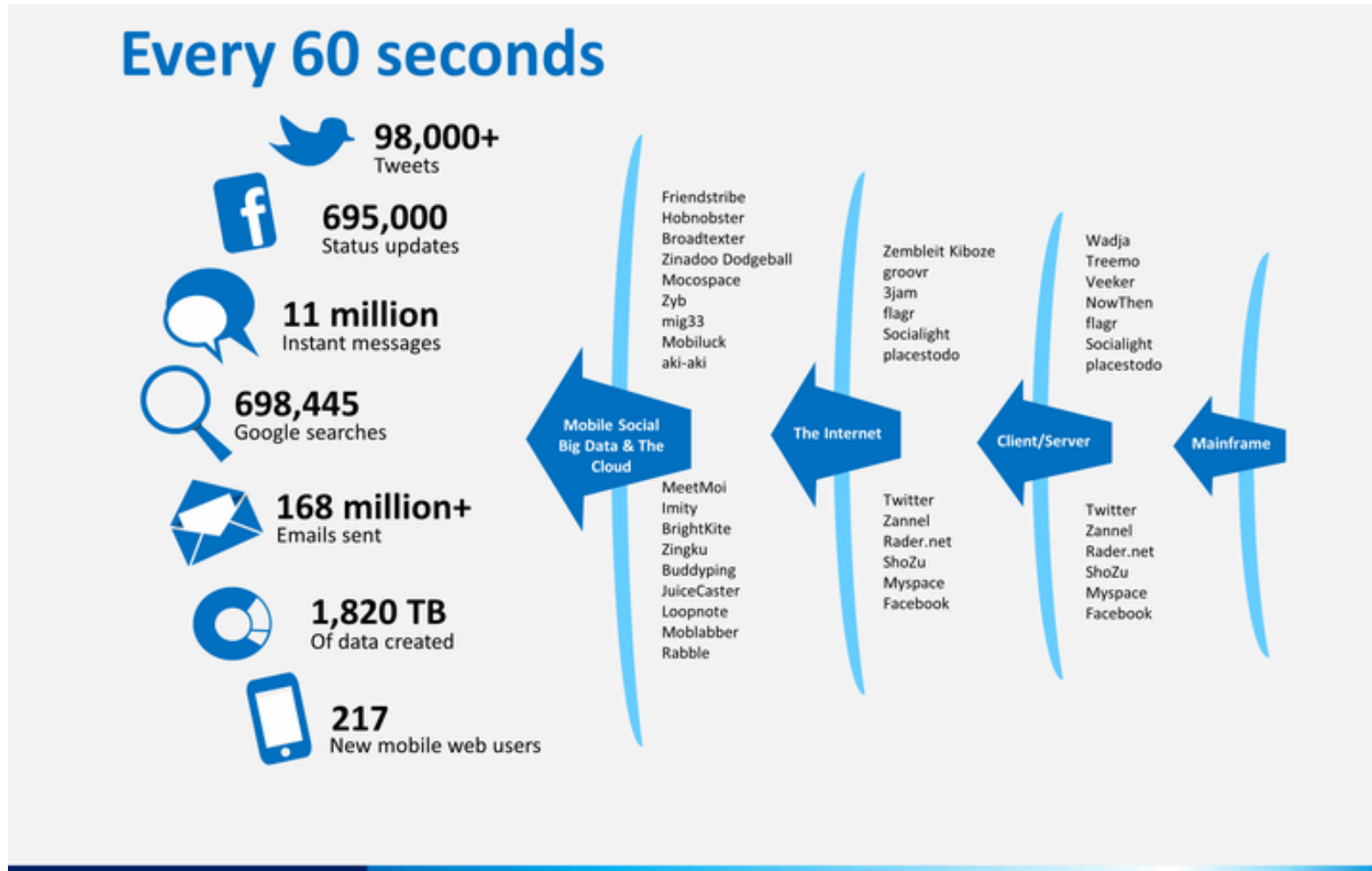


# History of Deep Learning

- 1960s: Perceptron (single layer neural network)
- 1969: Perceptron has limitation
- 1980s: Multi-layer perceptron
- 1986: Backpropagation
- 1989: 1 hidden layer is “good enough”, why deep?
- 2006: Restricted Boltzmann Machine
- 2009: GPU
- 2010: breakthrough in Speech Recognition (Dahl et al., 2010)
- 2012: breakthrough in ImageNet (Krizhevsky et al. 2012)
- 2015: “Superhuman” results in Image and Speech Recognition

# Reasons Why Deep Learning Woks

## Big Data

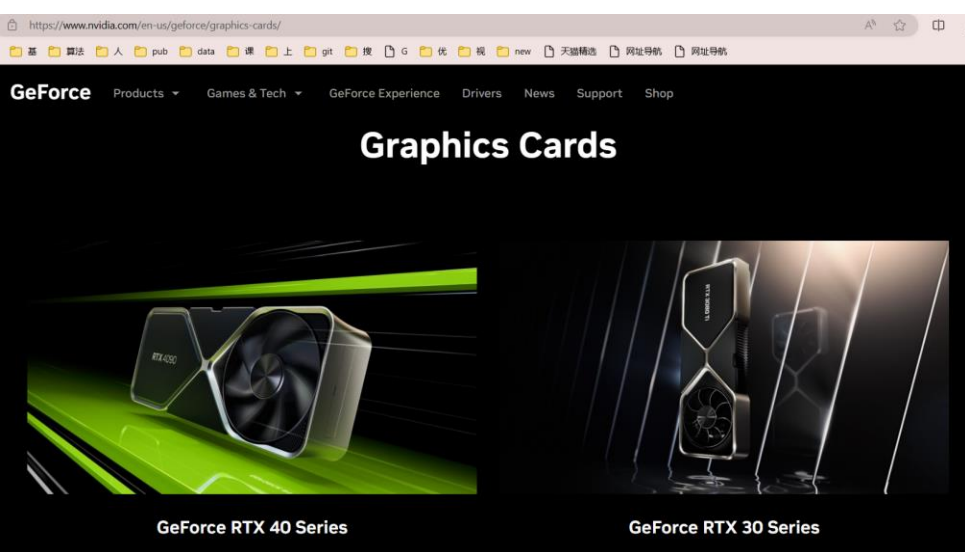




# Reasons Why Deep Learning Woks

GPU (graphical processing units)

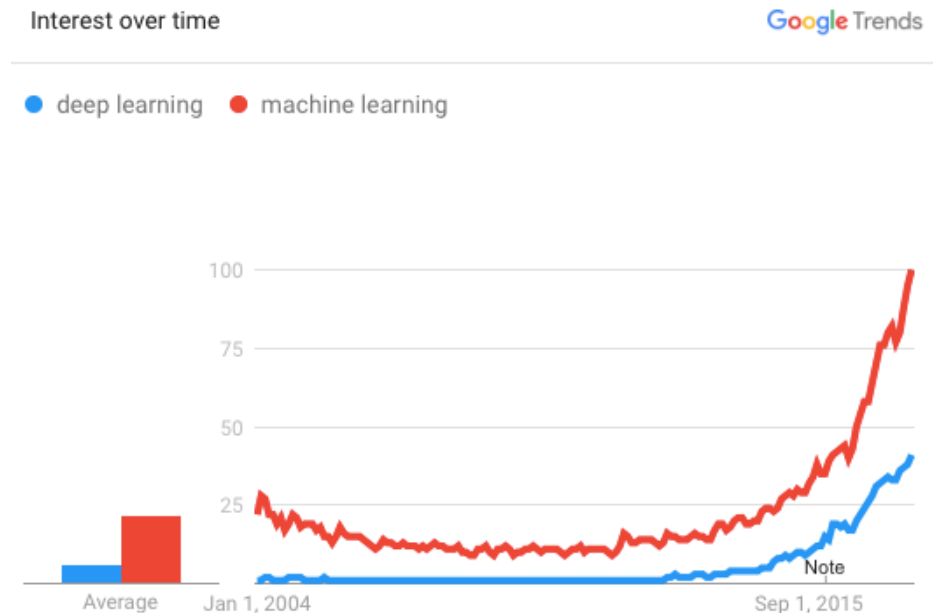
Nvidia Geforce 10-Series GPU Comparison						
	Geforce GTX 1080 (desktop)	Geforce GTX 1080 (notebook)	Geforce GTX 1070 (desktop)	Geforce GTX 1070 (notebook)	Geforce GTX 1060 (desktop)	Geforce GTX 1060 (notebook)
GPU	GP104	GP104	GP104	GP104	GP106	GP106
CUDA Cores	2560	2560	1920	2048	1280	1280
Base Clock	1607MHz	1556MHz	1506MHz	1443MHz	1506MHz	1405MHz
Boost Clock	1733MHz	1733MHz	1683MHz	1645MHz	1709MHz	1670MHz
Memory	8GB GDDR5X	8GB GDDR5X	8GB GDDR5	8GB GDDR5	6GB GDDR5	6GB GDDR5
Release Date	27-Jun-16	15-Aug-16	10-Jun-16	15-Aug-16	19-Jul-16	15-Aug-16



# Why is DL useful?

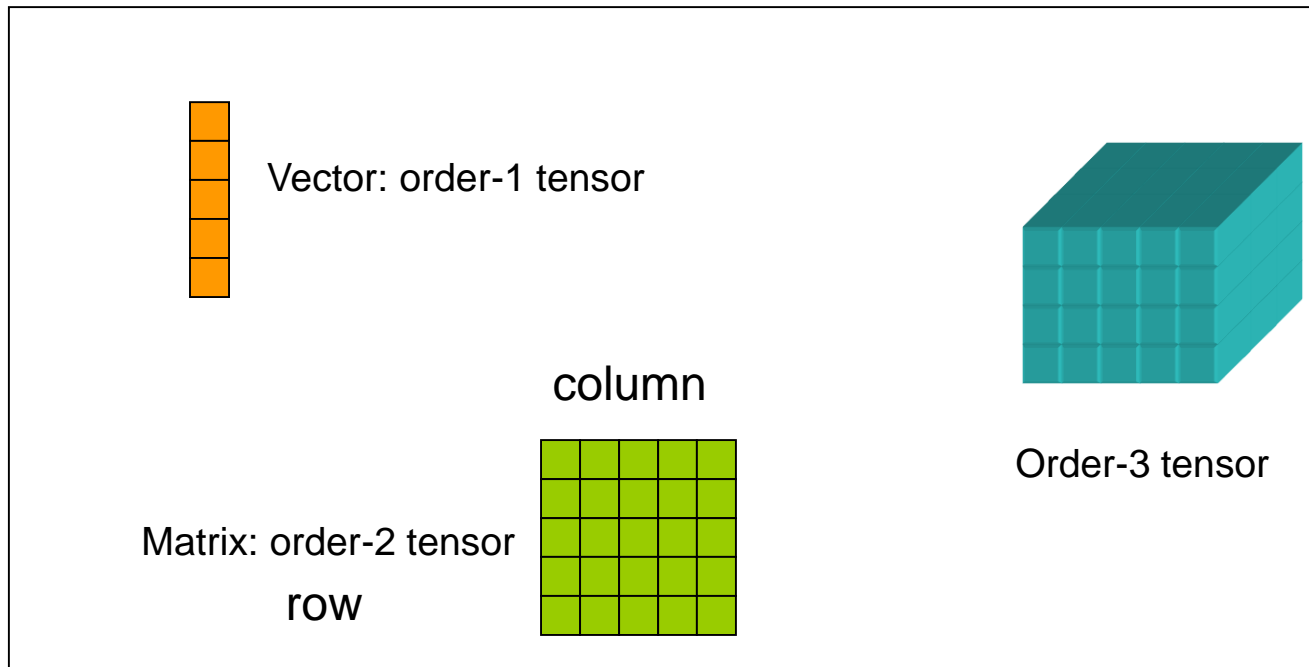
- Manually designed features are often **over-specified**, **incomplete** and take a **long time to design** and validate
- Learned Features are **easy to adapt**, **fast** to learn
- Deep learning provides a very **flexible**, (almost?) **universal**, learnable framework for representing world, visual and linguistic information.
- Can learn both unsupervised and supervised
- Effective **end-to-end** joint system learning
- Utilize large amounts of training data

In ~2010 DL started outperforming other ML techniques  
first in speech and vision, then NLP



# Mathematics

# Tensor: Generalization of an n-dimensional array



What shape should a order-4 tensor be?

What shape should a order-n tensor be?



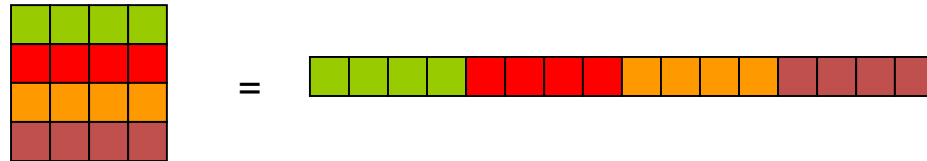
- Scalar
- Vector
- Matrix
- Tensor

- `A = torch.arange(12, dtype=torch.float32)`  
`tensor([ 0., 1., 2., 3., 4., 5., 6., 7., 8., 9., 10., 11.])`
  - `X1 = A.reshape(3, 4)`  
`tensor([[ 0., 1., 2., 3.],`  
 `[ 4., 5., 6., 7.],`  
 `[ 8., 9., 10., 11.]])`
  - `X2 = A.reshape(2, 2, 3), X3 = A.reshape(2, 3, 2)`
  - `B = torch.tensor([[2, 1, 4, 3], [1, 2, 3, 4], [4, 3, 2, 1]])`
  - `B.shape`
  - `C = tensor([[[ 0.], [ 1.], [ 2.], [ 3.]], [[ 4.], [ 5.], [ 6.], [ 7.]])`
  - `C.shape`
1. First, the tensor class supports automatic differentiation.
  2. Second, it leverages GPUs to accelerate numerical computation,

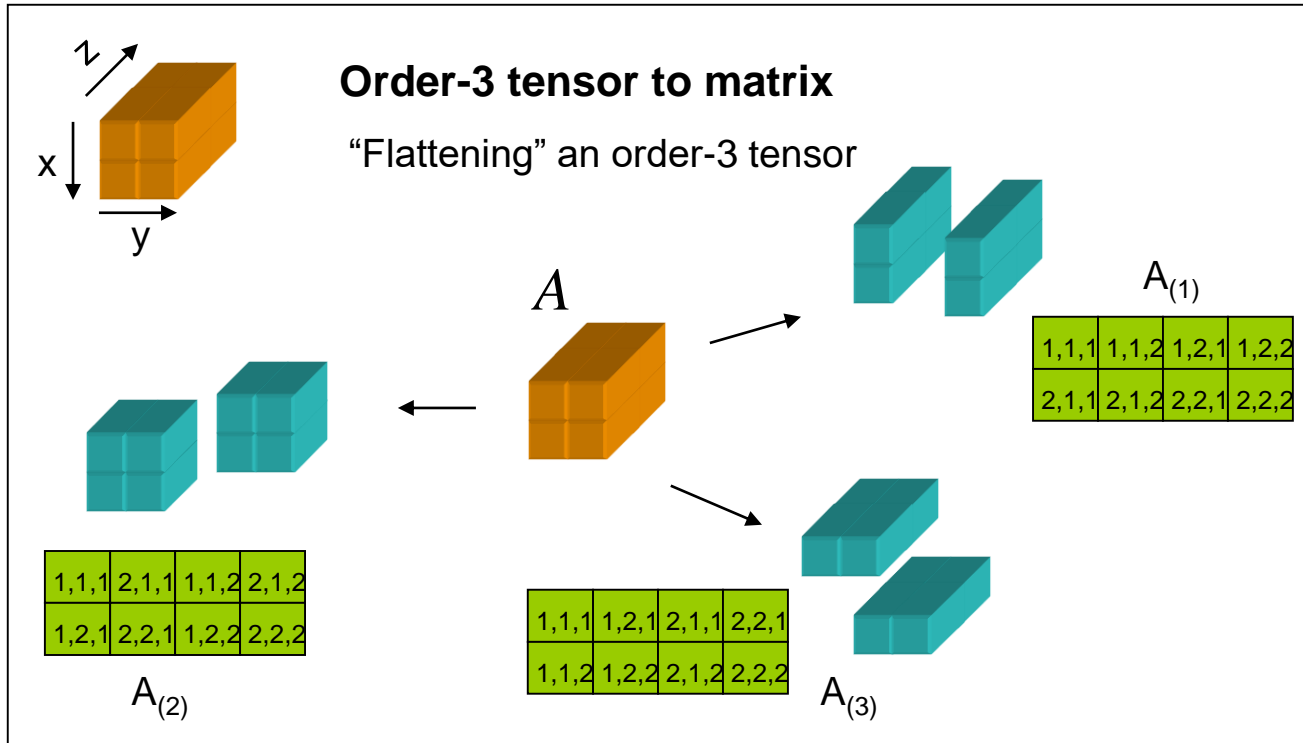
# Reshaping Tensors

## Matrix to vector

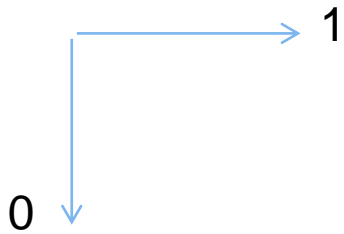
“Vectorizing” a matrix



# Reshaping Tensors



Try to reshape differently in your lab time



# Tensor Operation

- Elementwise operation  
(tensors of same size)
  - Addition
  - Subtraction
  - Production
    - Multiply, Time
  - Exponential Operation
  - Concatenate
- `x = torch.tensor([1.0, 2, 4, 8])`
- `y = torch.tensor([2, 2, 2, 2])`
- `x + y, x - y, x * y, x / y, x ** y`  
`(tensor([ 3., 4., 6., 10.]),`  
`tensor([-1., 0., 2., 6.]),`  
`tensor([ 2., 4., 8., 16.]),`  
`tensor([0.5000, 1.0000, 2.0000, 4.0000]),`  
`tensor([ 1., 4., 16., 64.]))`
- `torch.cat((x, y), dim=0)`
- `torch.cat((x, y), dim=1)`  
`size:(1,4)->(2,4) | size:(1,4)->(1,8)`

# Tensor Operation

- Comply with Linear Algebra
  - Learn more in your lab

# Derivative

- Difference quotients

- $$f'(a) = \lim_{h \rightarrow 0} \frac{f(a+h) - f(a)}{h}$$

- Called the derivative of function  $f$  at  $a$
- This computation is called differentiation

## Partial Derivative

If  $f(x, y)$  is a function of two variables, then  $\frac{\partial}{\partial x} f(x, y)$  is defined as the derivative of the function  $g(x) = f(x, y)$ , where  $y$  is considered a constant. It is called **partial derivative** of  $f$  with respect to  $x$ . The partial derivative with respect to  $y$  is defined similarly.

- Gradient
- AutoGrad(automatic differentiation)

$$Y=2x^T x$$

```
x = torch.arange(4.0)
```

```
x.requires_grad_(True)
```

```
x.grad # The gradient is None by default
```

```
y = 2 * torch.dot(x, x)
```

```
#take the gradient of y with respect to x by calling its backward method
```

```
y.backward()
```

```
x.grad
```

```
tensor([ 0.,  4.,  8., 12.])
```

```
x.grad == 4 * x
```

```
tensor([True, True, True, True])
```

# Definition of Probability



- ⑩ **Experiment:** toss a coin twice
- ⑩ **Sample space:** possible outcomes of an experiment
  - $S = \{HH, HT, TH, TT\}$
- ⑩ **Event:** a subset of possible outcomes
  - $A = \{HH\}$ ,  $B = \{HT, TH\}$
- ⑩ **Probability of an event:** a number assigned to an event  $\Pr(A)$ 
  - Axiom 1:  $\Pr(A) \geq 0$
  - Axiom 2:  $\Pr(S) = 1$
  - Axiom 3: For every sequence of disjoint events,  
 $\sum(P_i) = 1$



# Random Variable and Distribution

- A **random variable**  $X$  is a numerical outcome of a random experiment
- The **distribution** of a random variable is the collection of possible outcomes along with their probabilities:
  - Discrete case:  $\Pr(X = x) = p_{\theta}(x)$
  - Continuous case:  $\Pr(a \leq X \leq b) = \int_a^b p_{\theta}(x)dx$

# Normal (Gaussian) Distribution

- $X \sim N(\mu, \sigma)$

$$p_{\theta}(x) = \frac{1}{\sqrt{2\pi\sigma^2}} \exp\left\{-\frac{(x-\mu)^2}{2\sigma^2}\right\}$$

$$\Pr(a \leq X \leq b) = \int_a^b p_{\theta}(x) dx = \int_a^b \frac{1}{\sqrt{2\pi\sigma^2}} \exp\left\{-\frac{(x-\mu)^2}{2\sigma^2}\right\} dx$$

- $E[X] = \mu, \text{Var}(X) = \sigma^2$
- If  $X_1 \sim N(\mu_1, \sigma_1)$  and  $X_2 \sim N(\mu_2, \sigma_2)$ ,  $X = X_1 + X_2$  ?

# Independence

- Two events ***A and B are independent*** in case
$$\Pr(AB) = \Pr(A)\Pr(B)$$

# Conditioning

- If A and B are events with  $\Pr(A) > 0$ , the ***conditional probability of B given A*** is

$$\Pr(B \mid A) = \frac{\Pr(AB)}{\Pr(A)}$$

# Bayes' Rule

Given two events  $A$  and  $B$  and suppose that  $\Pr(A) > 0$ .  
Then

$$\Pr(B | A) = \frac{\Pr(AB)}{\Pr(A)} = \frac{\Pr(A | B) \Pr(B)}{\Pr(A)}$$

Example:

$$\Pr(R) = 0.8$$

$\Pr(W R)$	R	$\neg R$
W	0.7	0.4
$\neg W$	0.3	0.6

R: It is a rainy day

W: The grass is wet

$\Pr(R|W) = ?$

# Home reading

- Textbook chapters
- Whatever material related that interests you

