

## Appendix 4

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## 0.1 Real Numbers

### 0.1.1 Dedekind Cuts

#### Motivation

Recall the definitions:

- The set  $\mathbb{N}$  of natural numbers, 1, 2, 3, 4,...
- The set  $\mathbb{Z}$  of integers, 0, 1, -1, -2, 2,...
- The set  $\mathbb{Q}$  of rational numbers  $p/q$  where  $p, q$  are integers,  $q \neq 0$ .

It is clear that  $\mathbb{N} \subset \mathbb{Z} \subset \mathbb{Q}$ . Intuitively  $\mathbb{Z}$  improves  $\mathbb{N}$  because it contains negatives and  $\mathbb{Q}$  improves  $\mathbb{Z}$  because it contains reciprocals. However notice that  $\mathbb{Q}$  is incomplete—doesn't admit irrational roots such as  $\sqrt{2}$  or numbers like  $\pi$ . We solve this with  $\mathbb{R}$  such that

$$\mathbb{N} \subset \mathbb{Z} \subset \mathbb{Q} \subset \mathbb{R}$$

As an example of the fact that  $\mathbb{Q}$  is incomplete:

**Theorem** *No number  $r$  in  $\mathbb{Q}$  has the square equal to 2; that is,  $\sqrt{2} \notin \mathbb{Q}$ .*

**Proof** To prove that every  $r = p/q$  has  $r^2 \neq 2$  we show that  $p^2 \neq 2q^2$ . (since  $r = p/q \neq 2 \implies r^2 = p^2/q^2 \neq 2$ ) We also assume that  $p$  and  $q$  have no common factors since they would have been canceled out beforehand.

Case 1:  $p$  is odd. Then  $p^2$  is odd while  $2q^2$  is not. Therefore  $p^2 \neq 2q^2$ .

(an even number  $n$  would also have even  $n^2$ : because  $n = 2a$  for some  $a$  would have a square  $n^2 = 4a^2$  which is also divisible by 2. An odd number squared is odd: the expression  $(n+1)$  for even  $n$  is odd; its square  $(n+1)^2 = n^2 + 2n + 1$  is also odd, since  $n^2 + 2n$  is even.)

Case 2:  $p$  is even. Since  $p$  and  $q$  have no common factors,  $q$  is odd. Then  $p^2$  is divisible by 4 while  $2q^2$  is not (since  $q^2$  is odd). Therefore  $p^2 \neq 2q^2$ .

Since  $p^2 \neq 2q^2$  for all integers  $p$ , there is no rational number  $r = p/q$  whose square is 2. □

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### Dedekind cuts

The set  $\mathbb{Q}$  of rational numbers is incomplete with ‘gaps’ of negligible width. An elegant method to fill in these gaps are with *Dedekind cuts* in which one visualises real numbers as places on a number line that can be ‘cut’:

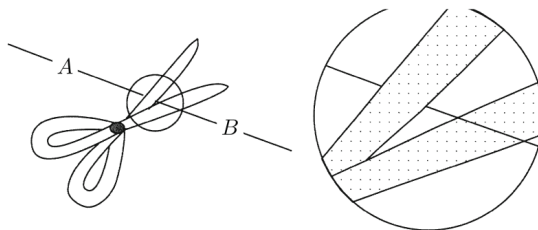


Figure 3 A Dedekind cut

**Definition** A *cut* in  $\mathbb{Q}$  is a pair of subsets  $A, B$  of  $\mathbb{Q}$  such that

- $A \cup B = \mathbb{Q}$ ,  $A \neq \emptyset$ ,  $B \neq \emptyset$ ,  $A \cap B = \emptyset$
- If  $a \in A$  and  $b \in B$  then  $a < b$
- $A$  contains no largest element

$A$  is the left-hand part of the cut and  $B$  the right. We denote the cut as  $x = A|B$ . Now we define:

**Definition** A *real number* is a cut in  $\mathbb{Q}$

$\mathbb{R}$  is the class (of set-pairs) of all real numbers  $x = A|B$ . Here are two examples of cuts:

1.  $A|B = \{r \in \mathbb{Q} : r < 1\}|\{r \in \mathbb{Q} : r \geq 1\}$
2.  $A|B = \{r \in \mathbb{Q} : r \leq 0 \text{ or } r^2 < 2\}|\{r \in \mathbb{Q} : r > 0 \text{ and } r^2 \geq 2\}$

The first cut is a *rational cut*, where for some fixed rational number  $c$ ,  $A$  is the set of all rationals  $< c$  while  $B$  is the rest of  $\mathbb{Q}$ . We write  $c^*$  for the rational cut at  $c$ .