

Unit – 5: Backtracking

M.B.Chandak

hodcs@rknec.edu

www.mbchandak.com

Unit wise course: What are you learning

UNIT-V: Backtracking: CO3

- *Basic Traversal and Search Techniques, breadth first search and depth first search, connected components.*
- *Backtracking basic strategy, graph coloring, Hamiltonian cycles, 8-Queen's problem, sum of subset problem, Introduction to Approximation algorithm.*
- **Design Dynamic programming and Backtracking Paradigms to solve the real life problems.**

Basic of Backtracking

- Applications: Game Theory
- For every given problem: **Input** is represented in the form of Tuple $[x_1..x_n]$.
- The solution is generated by selecting proper input from the tuple $[x_1..x_n]$. Each selected input must satisfy the **criterion function**.
- Since Backtracking is **“Selection” based solution**, at each stage the selection may be optimized by testing **validity** of criterion function [constraints]

Basics

- **Basic Principle:** Solution is constructed component-wise and at each point the component is tested against the criterion function. The construction of solution continues, if criterion function is satisfied.
- For example, if there are “n” elements then first component can be $(x1..xi)$ is checked against $(p1..pi)$ and if partial solution and partial criterion function are not matching then remaining part of solution is simply ignored.
- $(x1..xi)$ is *partial solution* and $(p1..pi)$ is *partial criterion function*.

Basics

- Problem solving using backtracking requires that all the solutions must satisfy the complex set of constraints. These constraints can be classified into two classes:
 - *Explicit Constraints*
 - *Implicit Constraints*
- Explicit Constraints: [Direct]
- They are set of rules which allows “xi” to take value only from the given set.
- For example: $x_i \geq 0$ or $x_i = 0 \text{ or } 1$

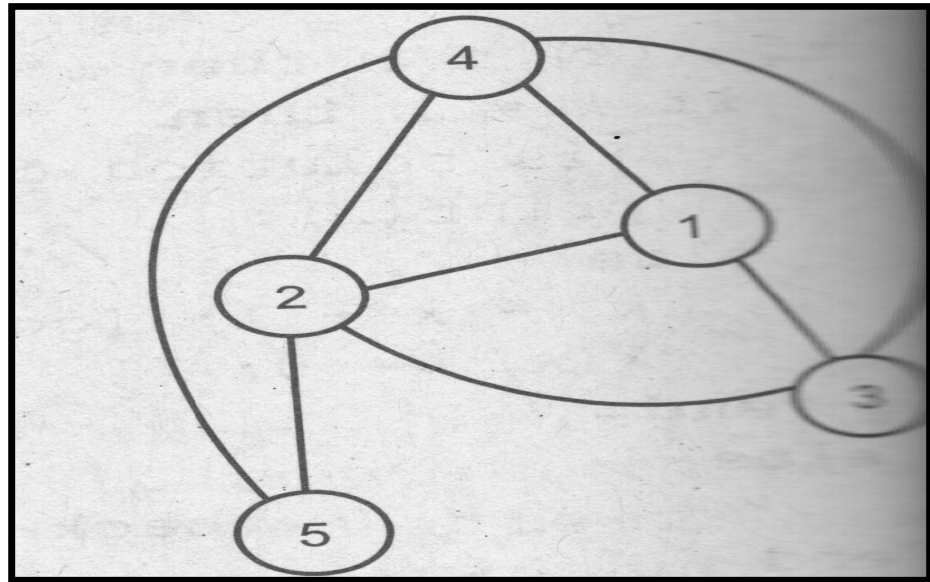
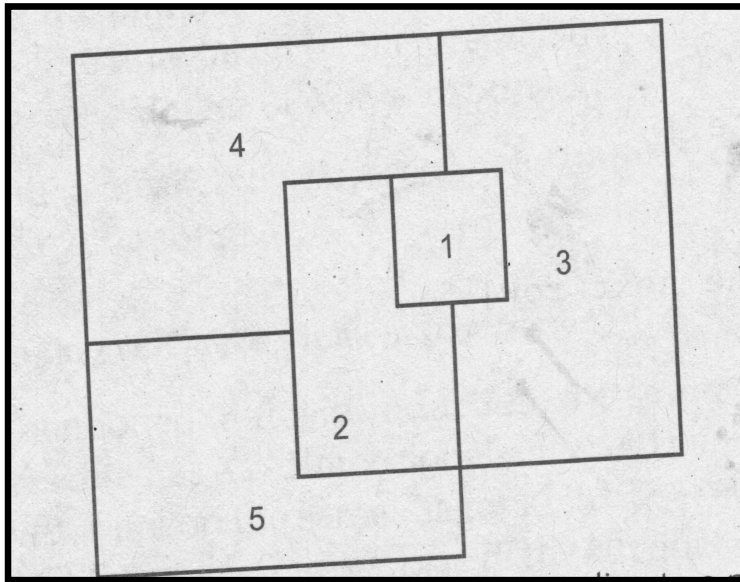
Basics

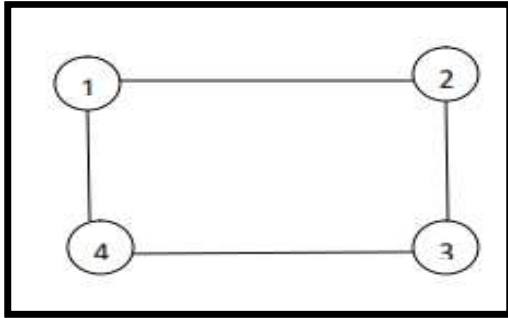
- **Implicit Constraints: [Indirect]**
- These constraints are the rules that decides which of the tuple in the solution space of “i” satisfies criterion function
- Example: Sum of subset problem: $a=[12,5,22,9,2]$
- Sum=24
- There are various combinations: 9,5,12 or 12,5,9
- Implicit constraints will allow combination 12,5,9 or 22,2 and will not allow 2,22 or 9,5,12 etc..
- For above problem Implicit constraint can be stated as: Use values in array only once and in the order of occurrence in given array.

Graph Coloring: Algorithm

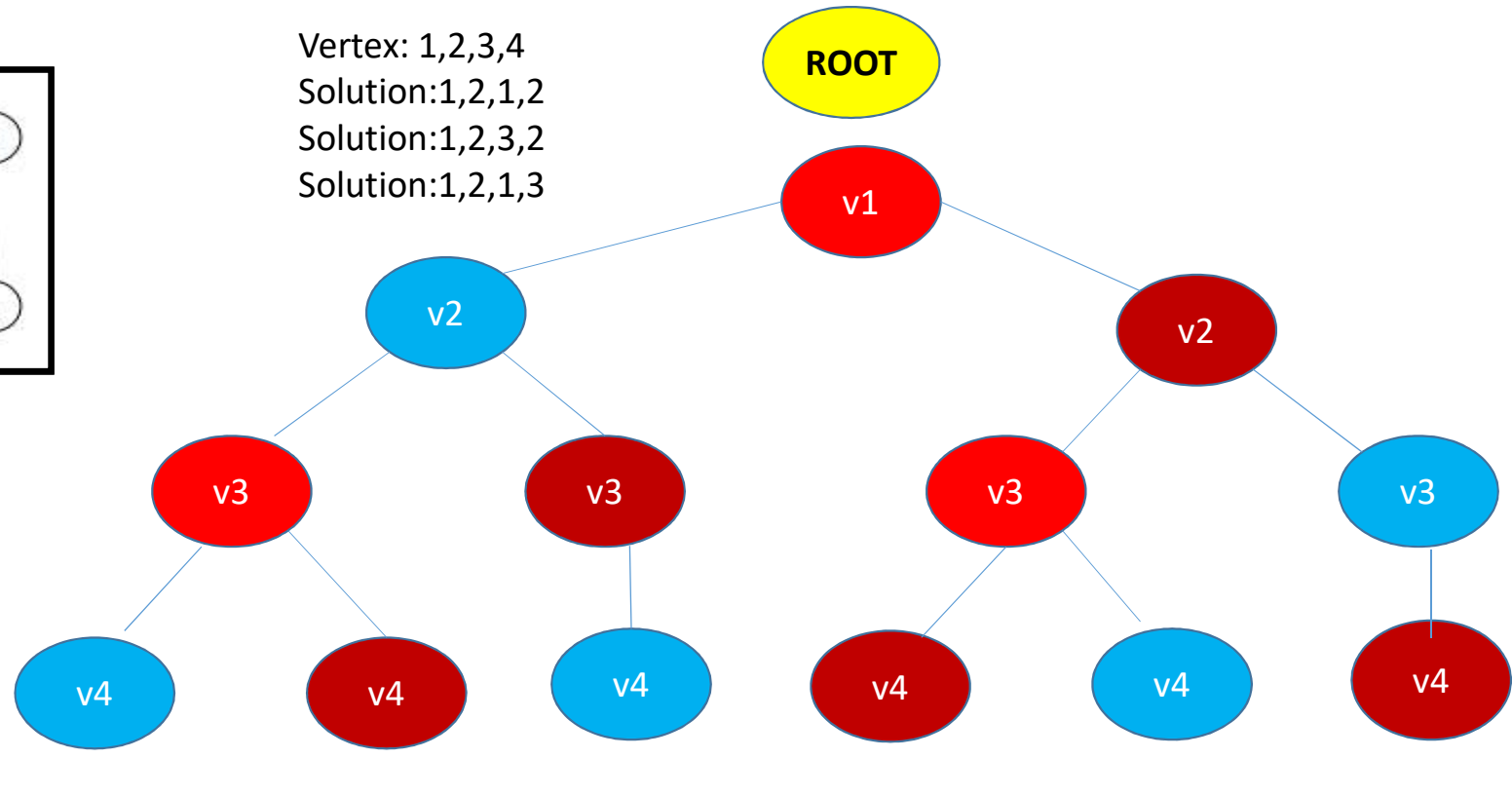
- Algorithm solution for problem solved using **BACKTRACKING** are **RECURSIVE**
- The input to algorithm is vertex number present in the graph
- The algorithm generates the color number assigned to vertex and stores it in an array.
- If the constraints are not matched at any point, then remaining part of algorithm is not executed and new cycle is initiated.
- The algorithm terminates with a solution satisfying the constraints.
- ***Application: Area demarcation/Map Coloring/Remote Sensing Applications***

Chromatic Number





Vertex: 1,2,3,4
 Solution:1,2,1,2
 Solution:1,2,3,2
 Solution:1,2,1,3



Degree of Vertex=2

Number of colours required will be $d+1 = 3$ [Generic solutions]. Optimization is possible in some cases based on density of graph

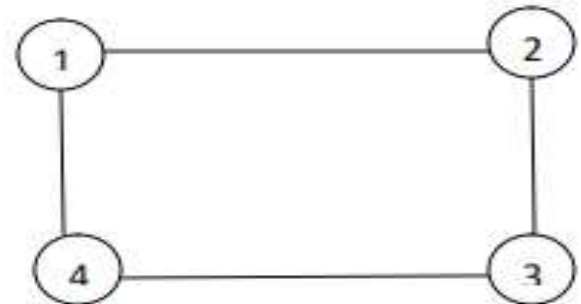
Part – I: mcolor

- This component passes the different values of vertex to “nextvalue” algorithm, and accept the value returned by “nextvalue” algorithm.
- The value is checked and if found suitable then stored in output array.
- The output array $x[1..n]$ is solution for the given problem.

ALGORITHM FOR GRAPH COLORING

Algorithm mcolor(k)

```
{  
  The graph is represented in the form of matrix nxn  
  "k" is an index of vertex to be colored  
  {  
    repeat  
    {  
      Nextvalue(k)  
      If (x[k] = 0) then return  
      If (k=n) then  
        Write(x[1:n])  
      Else  
        Mcolor(k+1)  
    } until(false)  
  }  
}
```



Algorithm: nextvalue

- This algorithm will accept the vertex number from “mcolor” and generates color value for the vertex, with constraint satisfaction.
- To check constraints following conditions are tested:
- Let vertex “k” is in process, then all vertices in the graph are tested for adjacency test.
- If the vertices are adjacent, then, should be different colors
- The color information is stored in array “x”
- Hence $G[k,j] \neq 0$ and $x[k] = x[j]$ then color is not suitable otherwise suitable.

Logic for m=3 [v1=c1, v2=c2, v3=c1, v4=c2]

Array "X"	X[1]=V1	X[2]	X[3]	X[4]
Initial stage	0	0	0	0
Mcolor(1)	Call nextvalue(1)			
	X[1] = 1			
Mcolor(2)		X[2]=1 [Break]		
		X[2]=2		
Mcolor(3)			X[3]=1	
Mcolor(4)				X[4]=2

Algorithm nextvalue(k)

Assume $x[1..k-1]$ are assigned integer in the range of $[1,m]$ such that no two adjacent vertices are in the same color

$x[k]$ is assigned the next value such that distinctness is maintained

If no such color exists then $x[k]=0$

```
{
  repeat
  {
     $x[k] = (x[k] + 1) \bmod m + 1$ 
    if  $x[k] = 0$  then return
    for  $j = 1$  to  $n$  do
    {
      If  $(G[k,j] \neq 0)$  and  $(x[k]=x[j])$  then
        Break
    }
    If  $(j=n+1)$  then
      Return
  }until (false)
}
```

Hamiltonian Cycle

- It is a cycle generated on graph, with same vertex as source and destination.
- The constraints for generating cycle:
 - Each vertex has to be visited once
 - Any edge can be used only once
 - Some of the edges can be skipped, but vertices cannot be skipped.
 - Applications: Travelling salesman problem
 - One of the approach to solve travelling salesman problem algorithmically

Hamiltonian Cycle

Algorithm Hcycle(k)

{

The graph is represented in the form of matrix $n \times n$

"k" is an index of vertex to be colored

repeat

{

Nextvalue(k)

If ($x[k] = 0$) then return

If ($k=n$) then

Write($x[1:n]$)

Else

Hcycle(k+1)

} until(false)

}

}

Nextvalue algorithm for Hcycle

Algorithm nextvalue(k)

{

Repeat

{

$x[k] = (x[k] + 1) \bmod n + 1$

if $(x[k] = 0)$ then return

if $(G[x[k-1], x[k]] \neq 0)$ then

{

For $j=1$ to $k-1$ do

if $(x[j] = x[k])$ then break

if $(j = k)$ then

if $\{(k < n) \text{ or } [(k = n) \text{ and } G[x[n], x[1]] \neq 0]\}$ then

Return

}

} until(false)

}

N-Queen Problem

- Basic: Given a chess board of size $n \times n$, it is required to place “n” queen on the chess board with following constraints:
- 1. No two queens should be in same row
- 2. No two queens should be in same column
- 3. No two queens should be diagonally opposite

Why backtracking: if any of above constraint does not satisfies at “k” queen, then it is required to adjust 1..k-1 queens.

Sub-Problem: 4 Queen problem

Q1			

Q1			

Q1			
		Q2	

Q1			
		Q2	

Sub-Problem: 4 Queen problem

	Q1		

	Q1		

	Q1		
			Q2

	Q1		
			Q2
Q3			

	Q1		
			Q2
Q3			
		Q4	

Solution to 8 Queen Problem

	Q1						
			Q2				
					Q3		
							Q4
		Q5					
				Q6			
						Q7	

Second Attempt

		Q1					
				Q2			
						Q3	
	Q6						
			Q7				



Solution to 8 Queen Problem

			Q1				
					Q2		
							Q3
	Q4						
						Q5	
Q6							
		Q7					
				Q8			

Solution to 8 Queen Problem: Constraint logic

	1	2	3	4	5	6	7	8
1								
2								
3								
4					*			
5								
6								
7								
8								

Algorithm: Part - I

- The algorithm will generate array $x[1..n]$ to store the column address of the queens and index of array “x” represents row address.
- For example if at location $x[3]=2$, then one of the location in matrix is $[2,3]$ for storing third queen.
- The algorithm is divided into two parts:
 - Part 1: to pass the values of Q's
 - Part 2: to generate the locations.

Algorithm: Part - I

Algorithm Nqueen(k,n)

```
{
    //Initial value of k=1 and n=8
    for j = 1 to n do
    {
        if place(k,j) then
            x[k] = j
            if (k=n) then write x[1..n]
            else
                Nqueen(k+1, n)
        }
    }
}
```

Algorithm: Part 2

- The place(k,j) accepts different values of “j” for “k” queen and check the constraints, if satisfied then returns true value. (“j” represent column)
- Condition checked:
- For the “k” queen, in “x” array from [1..k-1] the location should not be used.
- Similarly diagonal condition is checked.

Algorithm: Part 2

Algorithm place(k,j) //passing queen number and column number

{ x = {4,6,8, assume j=1 placing Q4 [k=4]}

//Assume that k-1 locations are already computed in array "x". Absolute function is used in the algorithm

for i = 1 to k-1 {

if(x[i]=j) or

(abs(x[i]-j) = abs(i-k)) then

return false }

return true;

}

X[i]=4,6,8 and j=1 so x[i]-j=3,5,7

$Abs(i-k) = 1-4, 2-4, 3-4 \rightarrow 3, 2, 1$

Condition matched, so j=1 is not valid. {Return False}

Applications:

Game designing

Security

Generating obstacles in space

Construction planning