

Subsets of the 2-dimensional sphere that avoid two orthogonal vectors

Evan DeCorte (TU Delft)
Oleg Pikhurko (Warwick)

From Gil Kalai's blog

How Large can a Spherical Set Without Two Orthogonal Vectors Be?

Posted on May 22, 2009



The problem

Problem: Let A be a measurable subset of the d -dimensional sphere $S^d = \{x \in \mathbb{R}^{d+1} : \|x\| = 1\}$. Suppose that A **does not contain two orthogonal vectors**. How large can the d -dimensional volume of A be?

A Conjecture

Conjecture: The maximum volume is attained by two open caps of diameter $\pi/4$ around the south pole and the north pole.

For simplicity, let us normalize the volume of S^d to be 1.

- Gil Kalai on A Few Mathematical Snapshots from India (ICM2010)
- Asilomar Conference | GPU Enthusiast on Emmanuel Abbe: Erdal Arıkan's Polar Codes
- A Few Mathematical Snapshots from India (ICM2010) | Combinatorics and more on Mabruk Elon, India, and More
- valuevar on The Quantum Debate is Over! (and other Updates)
- Paul on When It Rains It Pours
- Gil Kalai on The Quantum Debate is Over! (and other Updates)
- Shmuel Weinberger on The Quantum Debate is Over! (and other Updates)

RSS

- Register
- Log in
- Entries RSS
- Comments RSS
- WordPress.com

Categories

- Academics (5)
- Algebra and Number Theory (5)
- Analysis (1)
- Applied mathematics (1)
- Art (4)
- Blogging (12)
- Book review (4)
- Combinatorics (69)
- Computer Science and Optimization (36)
- Conferences (24)
- Controversies and debates (14)
- Convex polytopes (43)
- Convexity (20)
- Economics (15)
- Education (1)

Witsenhausen's Problem

Witsenhausen's Problem

- ▶ *n*-dim sphere: $\mathbb{S}^n := \{\mathbf{x} \in \mathbb{R}^{n+1} : \|\mathbf{x}\| = 1\}$

Witsenhausen's Problem

- ▶ **n -dim sphere:** $\mathbb{S}^n := \{\mathbf{x} \in \mathbb{R}^{n+1} : \|\mathbf{x}\| = 1\}$
- ▶ μ : rotation-invariant prob measure on \mathbb{S}^n

Witsenhausen's Problem

- ▶ **n -dim sphere:** $\mathbb{S}^n := \{\mathbf{x} \in \mathbb{R}^{n+1} : \|\mathbf{x}\| = 1\}$
- ▶ μ : rotation-invariant prob measure on \mathbb{S}^n
- ▶ $\mathcal{L} := \{ \text{Lebesgue measurable sets} \}$

Witsenhausen's Problem

- ▶ **n -dim sphere:** $\mathbb{S}^n := \{x \in \mathbb{R}^{n+1} : \|x\| = 1\}$
- ▶ μ : rotation-invariant prob measure on \mathbb{S}^n
- ▶ $\mathcal{L} := \{ \text{Lebesgue measurable sets} \}$
- ▶ **Witsenhausen'74:** Determine
$$\alpha_n := \sup \{ \mu(X) : X \in \mathcal{L}(\mathbb{S}^n), x, y \in X \Rightarrow x \cdot y \neq 0 \}$$

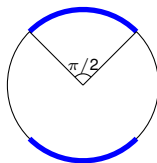
Witsenhausen's Problem

- ▶ **n -dim sphere:** $\mathbb{S}^n := \{x \in \mathbb{R}^{n+1} : \|x\| = 1\}$
- ▶ μ : rotation-invariant prob measure on \mathbb{S}^n
- ▶ $\mathcal{L} := \{ \text{Lebesgue measurable sets} \}$
- ▶ **Witsenhausen'74:** Determine
$$\alpha_n := \sup \{ \mu(X) : X \in \mathcal{L}(\mathbb{S}^n), x, y \in X \Rightarrow x \cdot y \neq 0 \}$$
- ▶ $\alpha_1 = 1/2$:

Witsenhausen's Problem

- ▶ **n -dim sphere:** $\mathbb{S}^n := \{x \in \mathbb{R}^{n+1} : \|x\| = 1\}$
- ▶ μ : rotation-invariant prob measure on \mathbb{S}^n
- ▶ $\mathcal{L} := \{ \text{Lebesgue measurable sets} \}$
- ▶ **Witsenhausen'74:** Determine
$$\alpha_n := \sup \{ \mu(X) : X \in \mathcal{L}(\mathbb{S}^n), x, y \in X \Rightarrow x \cdot y \neq 0 \}$$

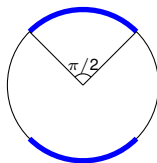
- ▶ $\alpha_1 = 1/2$:



Witsenhausen's Problem

- ▶ **n -dim sphere:** $\mathbb{S}^n := \{x \in \mathbb{R}^{n+1} : \|x\| = 1\}$
- ▶ μ : rotation-invariant prob measure on \mathbb{S}^n
- ▶ $\mathcal{L} := \{ \text{Lebesgue measurable sets} \}$
- ▶ **Witsenhausen'74:** Determine
$$\alpha_n := \sup \{ \mu(X) : X \in \mathcal{L}(\mathbb{S}^n), x, y \in X \Rightarrow x \cdot y \neq 0 \}$$

- ▶ $\alpha_1 = 1/2$:



- ▶ **Conjecture (Kalai'09):** two opposite caps are optimal

Chromatic Number of \mathbb{R}^n

Chromatic Number of \mathbb{R}^n

- ▶ Nelson'50: $4 \leq \chi(\mathbb{R}^2) \leq 7$

Chromatic Number of \mathbb{R}^n

- ▶ Nelson'50: $4 \leq \chi(\mathbb{R}^2) \leq 7$
- ▶ Klee-Wagon'91: *“we should know the answer by the year 2084”*

Chromatic Number of \mathbb{R}^n

- ▶ **Nelson'50:** $4 \leq \chi(\mathbb{R}^2) \leq 7$
- ▶ **Klee-Wagon'91:** *“we should know the answer by the year 2084”*
- ▶ **Soifer'09:** *“If I had a choice, I would have asked to peek at the page [of THE BOOK] with the chromatic number of the plane”*

Chromatic Number of \mathbb{R}^n

- ▶ Nelson'50: $4 \leq \chi(\mathbb{R}^2) \leq 7$
- ▶ Klee-Wagon'91: *"we should know the answer by the year 2084"*
- ▶ Soifer'09: *"If I had a choice, I would have asked to peek at the page [of THE BOOK] with the chromatic number of the plane"*
- ▶ De Bruijn-Erdős'51: attained by a finite subgraph

Chromatic Number of \mathbb{R}^n

- ▶ **Nelson'50:** $4 \leq \chi(\mathbb{R}^2) \leq 7$
- ▶ **Klee-Wagon'91:** *"we should know the answer by the year 2084"*
- ▶ **Soifer'09:** *"If I had a choice, I would have asked to peek at the page [of THE BOOK] with the chromatic number of the plane"*
- ▶ **De Bruijn-Erdős'51:** attained by a finite subgraph
- ▶ **Frankl-Wilson'81:** $\chi(\mathbb{R}^n) \geq (1.207 + o(1))^n$

Chromatic Number of \mathbb{R}^n

- ▶ **Nelson'50:** $4 \leq \chi(\mathbb{R}^2) \leq 7$
- ▶ **Klee-Wagon'91:** *"we should know the answer by the year 2084"*
- ▶ **Soifer'09:** *"If I had a choice, I would have asked to peek at the page [of THE BOOK] with the chromatic number of the plane"*
- ▶ **De Bruijn-Erdős'51:** attained by a finite subgraph
- ▶ **Frankl-Wilson'81:** $\chi(\mathbb{R}^n) \geq (1.207 + o(1))^n$
- ▶ **Raigorodskii'00:** $\chi(\mathbb{R}^n) \geq (1.239 + o(1))^n$

Chromatic Number of Spheres

Chromatic Number of Spheres

- ▶ Infinite graph $\mathcal{S}_{n,t} = (\mathbb{S}^n, \{\text{scalar product } t\})$

Chromatic Number of Spheres

- ▶ Infinite graph $\mathcal{S}_{n,t} = (\mathbb{S}^n, \{\text{scalar product } t\})$
- ▶ Frankl-Wilson'81 $\Rightarrow \exists t_n \chi(\mathcal{S}_{n,t_n}) \geq (1.207 + o(1))^n$

Chromatic Number of Spheres

- ▶ Infinite graph $\mathcal{S}_{n,t} = (\mathbb{S}^n, \{\text{scalar product } t\})$
- ▶ Frankl-Wilson'81 $\Rightarrow \exists t_n \chi(\mathcal{S}_{n,t_n}) \geq (1.207 + o(1))^n$
- ▶ Erdős'81: $t \in (-1, 1)$ and $n \rightarrow \infty \Rightarrow \chi(\mathcal{S}_{n,t}) \rightarrow \infty$?

Chromatic Number of Spheres

- ▶ Infinite graph $\mathcal{S}_{n,t} = (\mathbb{S}^n, \{\text{scalar product } t\})$
- ▶ Frankl-Wilson'81 $\Rightarrow \exists t_n \chi(\mathcal{S}_{n,t_n}) \geq (1.207 + o(1))^n$
- ▶ Erdős'81: $t \in (-1, 1)$ and $n \rightarrow \infty \Rightarrow \chi(\mathcal{S}_{n,t}) \rightarrow \infty$?
- ▶ Lovász'83: Yes: $\geq \Omega(n)$

Chromatic Number of Spheres

- ▶ Infinite graph $\mathcal{S}_{n,t} = (\mathbb{S}^n, \{\text{scalar product } t\})$
- ▶ Frankl-Wilson'81 $\Rightarrow \exists t_n \chi(\mathcal{S}_{n,t_n}) \geq (1.207 + o(1))^n$
- ▶ Erdős'81: $t \in (-1, 1)$ and $n \rightarrow \infty \Rightarrow \chi(\mathcal{S}_{n,t}) \rightarrow \infty$?
- ▶ Lovász'83: Yes: $\geq \Omega(n)$
- ▶ Raigorodskii'12: exponential bound

Measurable Chromatic Number of \mathbb{R}^n

Measurable Chromatic Number of \mathbb{R}^n

- ▶ χ_m : measurable colour classes

Measurable Chromatic Number of \mathbb{R}^n

- ▶ χ_m : measurable colour classes
- ▶ $\chi_m(\mathbb{R}^n) \geq \chi(\mathbb{R}^n)$

Measurable Chromatic Number of \mathbb{R}^n

- ▶ χ_m : measurable colour classes
- ▶ $\chi_m(\mathbb{R}^n) \geq \chi(\mathbb{R}^n)$
- ▶ **Falconer'81:** $\chi_m(\mathbb{R}^2) \geq 5$

Measurable Chromatic Number of \mathbb{R}^n

- ▶ χ_m : measurable colour classes
- ▶ $\chi_m(\mathbb{R}^n) \geq \chi(\mathbb{R}^n)$
- ▶ **Falconer'81:** $\chi_m(\mathbb{R}^2) \geq 5$
- ▶ **Bachoc-Passuello-Thiery \geq '14:**
 $\chi_m(\mathbb{R}^n) \geq 1/\alpha(\mathbb{R}^n) \geq (1.268 + o(1))^n$

Measurable Chromatic Number of \mathbb{R}^n

- ▶ χ_m : measurable colour classes
- ▶ $\chi_m(\mathbb{R}^n) \geq \chi(\mathbb{R}^n)$
- ▶ **Falconer'81:** $\chi_m(\mathbb{R}^2) \geq 5$
- ▶ **Bachoc-Passuello-Thiery \geq '14:**
 $\chi_m(\mathbb{R}^n) \geq 1/\alpha(\mathbb{R}^n) \geq (1.268 + o(1))^n$
- ▶ **Larman-Roger'72:** $\chi_m(\mathbb{R}^n) \leq (3 + o(1))^n$

Measurable Chromatic Number of \mathbb{R}^n

- ▶ χ_m : measurable colour classes
- ▶ $\chi_m(\mathbb{R}^n) \geq \chi(\mathbb{R}^n)$
- ▶ **Falconer'81:** $\chi_m(\mathbb{R}^2) \geq 5$
- ▶ **Bachoc-Passuello-Thiery \geq '14:**
 $\chi_m(\mathbb{R}^n) \geq 1/\alpha(\mathbb{R}^n) \geq (1.268 + o(1))^n$
- ▶ **Larman-Roger'72:** $\chi_m(\mathbb{R}^n) \leq (3 + o(1))^n$
- ▶ $\chi_m(\mathbb{R}^n) \geq 1/\alpha_{n-1}$

Measurable Chromatic Number of \mathbb{R}^n

- ▶ χ_m : measurable colour classes
- ▶ $\chi_m(\mathbb{R}^n) \geq \chi(\mathbb{R}^n)$
- ▶ **Falconer'81:** $\chi_m(\mathbb{R}^2) \geq 5$
- ▶ **Bachoc-Passuello-Thiery \geq '14:**
 $\chi_m(\mathbb{R}^n) \geq 1/\alpha(\mathbb{R}^n) \geq (1.268 + o(1))^n$
- ▶ **Larman-Roger'72:** $\chi_m(\mathbb{R}^n) \leq (3 + o(1))^n$
- ▶ $\chi_m(\mathbb{R}^n) \geq 1/\alpha_{n-1}$
- ▶ Two caps conjecture $\Rightarrow \chi_m(\mathbb{R}^n) \geq (\sqrt{2} + o(1))^n$

General Problem for Sphere

General Problem for Sphere

- ▶ $T \subset [-1, 1]$

General Problem for Sphere

- ▶ $T \subset [-1, 1]$
- ▶ $X \subset \mathbb{S}^n$ is **T -independent**: $x, y \in X \Rightarrow x \cdot y \notin T$

General Problem for Sphere

- ▶ $T \subset [-1, 1]$
- ▶ $X \subset \mathbb{S}^n$ is **T -independent**: $x, y \in X \Rightarrow x \cdot y \notin T$
- ▶ $\alpha_n(T) := \sup\{ \mu(X) : T\text{-independent } X \in \mathcal{L}(\mathbb{S}^n) \}$

General Problem for Sphere

- ▶ $T \subset [-1, 1]$
- ▶ $X \subset \mathbb{S}^n$ is **T -independent**: $x, y \in X \Rightarrow x \cdot y \notin T$
- ▶ $\alpha_n(T) := \sup\{ \mu(X) : T\text{-independent } X \in \mathcal{L}(\mathbb{S}^n) \}$
- ▶ **E.g.** $\alpha_n = \alpha_n(0)$

General Problem for Sphere

- ▶ $T \subset [-1, 1]$
- ▶ $X \subset \mathbb{S}^n$ is **T -independent**: $x, y \in X \Rightarrow x \cdot y \notin T$
- ▶ $\alpha_n(T) := \sup\{ \mu(X) : T\text{-independent } X \in \mathcal{L}(\mathbb{S}^n) \}$
- ▶ **E.g.** $\alpha_n = \alpha_n(0)$
- ▶ **E.g.** $T = [-1, t)$:

General Problem for Sphere

- ▶ $T \subset [-1, 1]$
- ▶ $X \subset \mathbb{S}^n$ is **T -independent**: $x, y \in X \Rightarrow x \cdot y \notin T$
- ▶ $\alpha_n(T) := \sup\{ \mu(X) : T\text{-independent } X \in \mathcal{L}(\mathbb{S}^n) \}$
- ▶ **E.g.** $\alpha_n = \alpha_n(0)$
- ▶ **E.g.** $T = [-1, t)$:
 - ▶ Maximise measure for given diameter

General Problem for Sphere

- ▶ $T \subset [-1, 1]$
- ▶ $X \subset \mathbb{S}^n$ is **T -independent**: $x, y \in X \Rightarrow x \cdot y \notin T$
- ▶ $\alpha_n(T) := \sup\{ \mu(X) : T\text{-independent } X \in \mathcal{L}(\mathbb{S}^n) \}$
- ▶ **E.g.** $\alpha_n = \alpha_n(0)$
- ▶ **E.g.** $T = [-1, t)$:
 - ▶ Maximise measure for given diameter
 - ▶ **Isodiametric Inequality**

General Problem for Sphere

- ▶ $T \subset [-1, 1]$
- ▶ $X \subset \mathbb{S}^n$ is **T -independent**: $x, y \in X \Rightarrow x \cdot y \notin T$
- ▶ $\alpha_n(T) := \sup\{ \mu(X) : T\text{-independent } X \in \mathcal{L}(\mathbb{S}^n) \}$
- ▶ **E.g.** $\alpha_n = \alpha_n(0)$
- ▶ **E.g.** $T = [-1, t)$:
 - ▶ Maximise measure for given diameter
 - ▶ **Isodiametric Inequality**
 - ▶ **Schmidt'48, Levi'51**: cap is optimal

Attainment of Supremum

Attainment of Supremum

- ▶ $\alpha_n(T) := \sup\{ \mu(X) : T\text{-independent } X \in \mathcal{L}(\mathbb{S}^n) \}$

Attainment of Supremum

- ▶ $\alpha_n(T) := \sup\{ \mu(X) : T\text{-independent } X \in \mathcal{L}(\mathbb{S}^n) \}$
- ▶ **DeCorte-P. \geq '14:** $\forall n \geq 2 \quad \forall T \subset [-1, 1]$
 $\exists T\text{-independent } X \in \mathcal{L}(\mathbb{S}^n) \text{ with } \mu(X) = \alpha_n(T)$

Attainment of Supremum

- ▶ $\alpha_n(T) := \sup\{ \mu(X) : T\text{-independent } X \in \mathcal{L}(\mathbb{S}^n) \}$
- ▶ **DeCorte-P. \geq '14:** $\forall n \geq 2 \quad \forall T \subset [-1, 1]$
 $\exists T\text{-independent } X \in \mathcal{L}(\mathbb{S}^n) \text{ with } \mu(X) = \alpha_n(T)$
- ▶ **Not true** for $n = 1$:

Attainment of Supremum

- ▶ $\alpha_n(T) := \sup\{ \mu(X) : T\text{-independent } X \in \mathcal{L}(\mathbb{S}^n) \}$
- ▶ **DeCorte-P. \geq '14:** $\forall n \geq 2 \ \forall T \subset [-1, 1]$
 $\exists T\text{-independent } X \in \mathcal{L}(\mathbb{S}^n) \text{ with } \mu(X) = \alpha_n(T)$
- ▶ **Not true** for $n = 1$:
 - ▶ $t = \cos \theta$ with **irrational** θ/π

Attainment of Supremum

- ▶ $\alpha_n(T) := \sup\{ \mu(X) : T\text{-independent } X \in \mathcal{L}(\mathbb{S}^n) \}$
- ▶ **DeCorte-P. \geq '14:** $\forall n \geq 2 \quad \forall T \subset [-1, 1]$
 $\exists T\text{-independent } X \in \mathcal{L}(\mathbb{S}^n) \text{ with } \mu(X) = \alpha_n(T)$
- ▶ **Not true** for $n = 1$:
 - ▶ $t = \cos \theta$ with **irrational** θ/π
 - ▶ $\alpha_1(t) = 1/2$

Attainment of Supremum

- ▶ $\alpha_n(T) := \sup\{ \mu(X) : T\text{-independent } X \in \mathcal{L}(\mathbb{S}^n) \}$
- ▶ **DeCorte-P. \geq '14:** $\forall n \geq 2 \quad \forall T \subset [-1, 1]$
 $\exists T\text{-independent } X \in \mathcal{L}(\mathbb{S}^n) \text{ with } \mu(X) = \alpha_n(T)$
- ▶ **Not true** for $n = 1$:
 - ▶ $t = \cos \theta$ with **irrational** θ/π
 - ▶ $\alpha_1(t) = 1/2$
 - ▶ $(\mathbb{S}^1, \{\text{irrational rotation}\})$ is ergodic

Attainment of Supremum

- ▶ $\alpha_n(T) := \sup\{ \mu(X) : T\text{-independent } X \in \mathcal{L}(\mathbb{S}^n) \}$
- ▶ **DeCorte-P. \geq '14:** $\forall n \geq 2 \quad \forall T \subset [-1, 1]$
 $\exists T\text{-independent } X \in \mathcal{L}(\mathbb{S}^n) \text{ with } \mu(X) = \alpha_n(T)$
- ▶ **Not true** for $n = 1$:
 - ▶ $t = \cos \theta$ with **irrational** θ/π
 - ▶ $\alpha_1(t) = 1/2$
 - ▶ $(\mathbb{S}^1, \{\text{irrational rotation}\})$ is ergodic
 - ▶ **No** independent set of measure $1/2$

$$\mathcal{S}_{2,0} = (\mathbb{S}^2, \{\text{orthogonal pairs}\})$$

$$\mathcal{S}_{2,0} = (\mathbb{S}^2, \{\text{orthogonal pairs}\})$$

- ▶ Two caps $\Rightarrow \alpha_2 \geq 1 - 1/\sqrt{2} = 0.2928\dots$

$$\mathcal{S}_{2,0} = (\mathbb{S}^2, \{\text{orthogonal pairs}\})$$

- ▶ Two caps $\Rightarrow \alpha_2 \geq 1 - 1/\sqrt{2} = 0.2928\dots$
- ▶ **Witsenhausen'74:** $\alpha_2 \leq \frac{1}{3} = 0.3333\dots$

$$\mathcal{S}_{2,0} = (\mathbb{S}^2, \{\text{orthogonal pairs}\})$$

- ▶ Two caps $\Rightarrow \alpha_2 \geq 1 - 1/\sqrt{2} = 0.2928\dots$
- ▶ **Witsenhausen'74:** $\alpha_2 \leq \frac{1}{3} = 0.3333\dots$
 - ▶ $\mathcal{S}_{2,0} \supset K_3$

$$\mathcal{S}_{2,0} = (\mathbb{S}^2, \{\text{orthogonal pairs}\})$$

- ▶ Two caps $\Rightarrow \alpha_2 \geq 1 - 1/\sqrt{2} = 0.2928\dots$
- ▶ **Witsenhausen'74:** $\alpha_2 \leq \frac{1}{3} = 0.3333\dots$
 - ▶ $\mathcal{S}_{2,0} \supset K_3$
- ▶ **Bachoc-Nebe-Oliveira Filho-Vallentin'09:** Lovász θ -function

$$\mathcal{S}_{2,0} = (\mathbb{S}^2, \{\text{orthogonal pairs}\})$$

- ▶ Two caps $\Rightarrow \alpha_2 \geq 1 - 1/\sqrt{2} = 0.2928\dots$
- ▶ **Witsenhausen'74:** $\alpha_2 \leq \frac{1}{3} = 0.3333\dots$
 - ▶ $\mathcal{S}_{2,0} \supset K_3$
- ▶ **Bachoc-Nebe-Oliveira Filho-Vallentin'09:** Lovász θ -function $\Rightarrow \alpha_2 \leq \theta(\mathcal{S}_{2,0}) = 1/3$

$$\mathcal{S}_{2,0} = (\mathbb{S}^2, \{\text{orthogonal pairs}\})$$

- ▶ Two caps $\Rightarrow \alpha_2 \geq 1 - 1/\sqrt{2} = 0.2928\dots$
- ▶ **Witsenhausen'74:** $\alpha_2 \leq \frac{1}{3} = 0.3333\dots$
 - ▶ $\mathcal{S}_{2,0} \supset K_3$
- ▶ **Bachoc-Nebe-Oliveira Filho-Vallentin'09:** Lovász θ -function $\Rightarrow \alpha_2 \leq \theta(\mathcal{S}_{2,0}) = 1/3$
- ▶ **DeCorte-P. \geq '14:** $\alpha_2 \leq 0.313$

$$\mathcal{S}_{2,0} = (\mathbb{S}^2, \{\text{orthogonal pairs}\})$$

- ▶ Two caps $\Rightarrow \alpha_2 \geq 1 - 1/\sqrt{2} = 0.2928\dots$
- ▶ **Witsenhausen'74:** $\alpha_2 \leq \frac{1}{3} = 0.3333\dots$
 - ▶ $\mathcal{S}_{2,0} \supset K_3$
- ▶ **Bachoc-Nebe-Oliveira Filho-Vallentin'09:** Lovász θ -function $\Rightarrow \alpha_2 \leq \theta(\mathcal{S}_{2,0}) = 1/3$
- ▶ **DeCorte-P. \geq '14:** $\alpha_2 \leq 0.313$
 - ▶ Extra combinatorial constraints

$$\mathcal{S}_{2,t} = (\mathbb{S}^2, \{\text{scalar product } t\})$$

$$\mathcal{S}_{2,t} = (\mathbb{S}^2, \{\text{scalar product } t\})$$

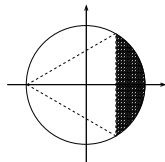
- Constructions for $t \leq \cos \frac{2\pi}{5}$: one or two caps

$$\mathcal{S}_{2,t} = (\mathbb{S}^2, \{\text{scalar product } t\})$$

- ▶ Constructions for $t \leq \cos \frac{2\pi}{5}$: one or two caps
- ▶ Borderline cases:

$$\mathcal{S}_{2,t} = (\mathbb{S}^2, \{\text{scalar product } t\})$$

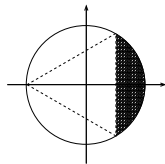
- Constructions for $t \leq \cos \frac{2\pi}{5}$: one or two caps
- Borderline cases:



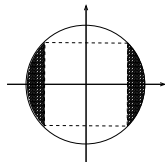
$$t = -1/2$$

$$\mathcal{S}_{2,t} = (\mathbb{S}^2, \{\text{scalar product } t\})$$

- Constructions for $t \leq \cos \frac{2\pi}{5}$: one or two caps
- Borderline cases:



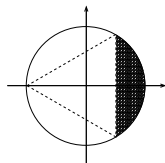
$$t = -1/2$$



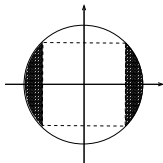
$$t = 0$$

$$\mathcal{S}_{2,t} = (\mathbb{S}^2, \{\text{scalar product } t\})$$

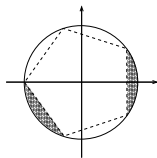
- Constructions for $t \leq \cos \frac{2\pi}{5}$: one or two caps
- Borderline cases:



$$t = -1/2$$



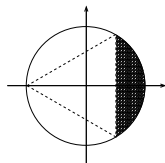
$$t = 0$$



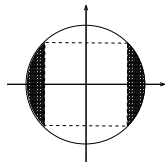
$$t = \cos \frac{2\pi}{5}$$

$$\mathcal{S}_{2,t} = (\mathbb{S}^2, \{\text{scalar product } t\})$$

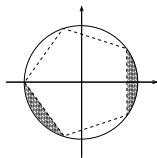
- ▶ Constructions for $t \leq \cos \frac{2\pi}{5}$: one or two caps
- ▶ Borderline cases:



$$t = -1/2$$



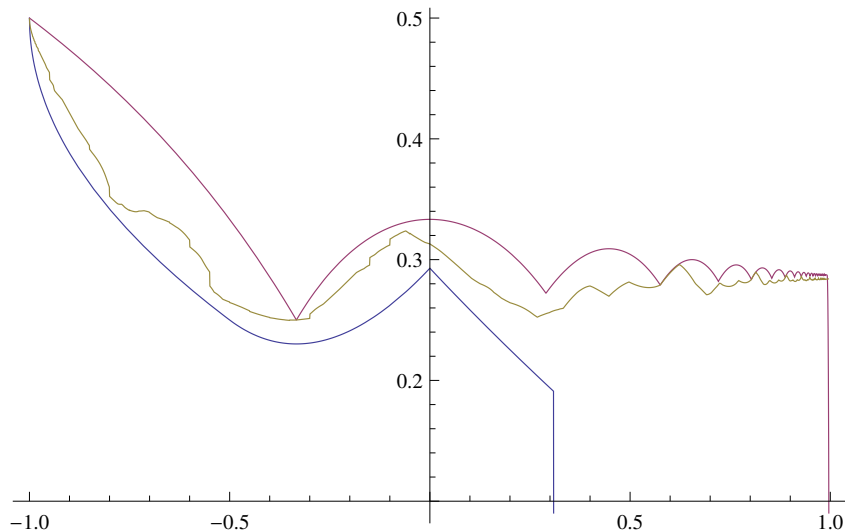
$$t = 0$$



$$t = \cos \frac{2\pi}{5}$$

- ▶ Bachoc-Nebe-Oliveira Filho-Vallentin'09: $\theta(\mathcal{S}_{2,t})$

Bounds on $\alpha_2(t)$



Connection to $\alpha(\mathbb{R}^2)$

Connection to $\alpha(\mathbb{R}^2)$

- ▶ DeCorte-P. \geq '14: $\lim_{t \nearrow 1} \alpha_2(t) \geq \alpha(\mathbb{R}^2)$

Connection to $\alpha(\mathbb{R}^2)$

- ▶ DeCorte-P. \geq '14: $\lim_{t \nearrow 1} \alpha_2(t) \geq \alpha(\mathbb{R}^2)$
 - ▶ Regularity of Lebesgue measure

Connection to $\alpha(\mathbb{R}^2)$

- ▶ **DeCorte-P. \geq '14:** $\lim_{t \nearrow 1} \alpha_2(t) \geq \alpha(\mathbb{R}^2)$
 - ▶ Regularity of Lebesgue measure
- ▶ **Conjecture:** $\lim_{t \nearrow 1} \alpha_2(t) = \alpha(\mathbb{R}^2)$

Connection to $\alpha(\mathbb{R}^2)$

- ▶ **DeCorte-P. \geq '14:** $\lim_{t \nearrow 1} \alpha_2(t) \geq \alpha(\mathbb{R}^2)$
 - ▶ Regularity of Lebesgue measure
- ▶ **Conjecture:** $\lim_{t \nearrow 1} \alpha_2(t) = \alpha(\mathbb{R}^2)$
- ▶ **Croft'67:** $0.229 \leq \alpha(\mathbb{R}^2)$

Connection to $\alpha(\mathbb{R}^2)$

- ▶ **DeCorte-P. \geq '14:** $\lim_{t \nearrow 1} \alpha_2(t) \geq \alpha(\mathbb{R}^2)$
 - ▶ Regularity of Lebesgue measure
- ▶ **Conjecture:** $\lim_{t \nearrow 1} \alpha_2(t) = \alpha(\mathbb{R}^2)$
- ▶ **Croft'67:** $0.229 \leq \alpha(\mathbb{R}^2)$
- ▶ **Oliveira Filho-Vallentin'10:** $\alpha(\mathbb{R}^2) \leq 0.268$

Connection to $\alpha(\mathbb{R}^2)$

- ▶ **DeCorte-P. \geq '14:** $\lim_{t \nearrow 1} \alpha_2(t) \geq \alpha(\mathbb{R}^2)$
 - ▶ Regularity of Lebesgue measure
- ▶ **Conjecture:** $\lim_{t \nearrow 1} \alpha_2(t) = \alpha(\mathbb{R}^2)$
- ▶ **Croft'67:** $0.229 \leq \alpha(\mathbb{R}^2)$
- ▶ **Oliveira Filho-Vallentin'10:** $\alpha(\mathbb{R}^2) \leq 0.268$
- ▶ **Conjecture (Erdős):** $\alpha(\mathbb{R}^2) < 1/4$

Connection to $\alpha(\mathbb{R}^2)$

- ▶ **DeCorte-P. \geq '14:** $\lim_{t \nearrow 1} \alpha_2(t) \geq \alpha(\mathbb{R}^2)$
 - ▶ Regularity of Lebesgue measure
- ▶ **Conjecture:** $\lim_{t \nearrow 1} \alpha_2(t) = \alpha(\mathbb{R}^2)$
- ▶ **Croft'67:** $0.229 \leq \alpha(\mathbb{R}^2)$
- ▶ **Oliveira Filho-Vallentin'10:** $\alpha(\mathbb{R}^2) \leq 0.268$
- ▶ **Conjecture (Erdős):** $\alpha(\mathbb{R}^2) < 1/4$
 - ▶ \Rightarrow **Falconer's** $\chi_m(\mathbb{R}^2) \geq 5$

Existence of a Maximiser

Existence of a Maximiser

- ▶ Isoperimetric Inequality for \mathbb{R}^2 :

Existence of a Maximiser

- ▶ **Isoperimetric Inequality** for \mathbb{R}^2 :
 - ▶ **Steiner 1838**: If a maximiser exists, it is a circle

Existence of a Maximiser

- ▶ **Isoperimetric Inequality** for \mathbb{R}^2 :
 - ▶ **Steiner 1838**: If a maximiser exists, it is a circle
 - ▶ **Edler 1882**: complete solution

Existence of a Maximiser

- ▶ **Isoperimetric Inequality** for \mathbb{R}^2 :
 - ▶ **Steiner 1838**: If a maximiser exists, it is a circle
 - ▶ **Edler 1882**: complete solution
- ▶ **DeCorte-P. \geq '14**: $\forall n \geq 2 \quad \forall T \subset [-1, 1]$
 $\exists T$ -independent $A \in \mathcal{L}(\mathbb{S}^n)$ with $\mu(A) = \alpha_n(T)$

Existence of a Maximiser

- ▶ **Isoperimetric Inequality** for \mathbb{R}^2 :
 - ▶ **Steiner 1838**: If a maximiser exists, it is a circle
 - ▶ **Edler 1882**: complete solution
- ▶ **DeCorte-P. \geq '14**: $\forall n \geq 2 \quad \forall T \subset [-1, 1]$
 $\exists T$ -independent $A \in \mathcal{L}(\mathbb{S}^n)$ with $\mu(A) = \alpha_n(T)$
- ▶ $n = 2$ and $T = \{0\}$ for simplicity

Existence of a Maximiser

- ▶ **Isoperimetric Inequality** for \mathbb{R}^2 :
 - ▶ **Steiner 1838**: If a maximiser exists, it is a circle
 - ▶ **Edler 1882**: complete solution
- ▶ **DeCorte-P. \geq '14**: $\forall n \geq 2 \quad \forall T \subset [-1, 1]$
 $\exists T$ -independent $A \in \mathcal{L}(\mathbb{S}^n)$ with $\mu(A) = \alpha_n(T)$
- ▶ $n = 2$ and $T = \{0\}$ for simplicity
- ▶ independent := 0-independent, etc...

Existence of a Maximiser

- ▶ **Isoperimetric Inequality** for \mathbb{R}^2 :
 - ▶ **Steiner 1838**: If a maximiser exists, it is a circle
 - ▶ **Edler 1882**: complete solution
- ▶ **DeCorte-P. \geq '14**: $\forall n \geq 2 \quad \forall T \subset [-1, 1]$
 $\exists T$ -independent $A \in \mathcal{L}(\mathbb{S}^n)$ with $\mu(A) = \alpha_n(T)$
- ▶ $n = 2$ and $T = \{0\}$ for simplicity
- ▶ independent := 0-independent, etc...
- ▶ $\mathcal{S} = (\mathbb{S}^2, \{\text{orthogonal pairs}\})$

Idea of Strategy

Idea of Strategy

- ▶ Finite graph $G = ([m], E)$

Idea of Strategy

- ▶ Finite graph $G = ([m], E)$
- ▶ **Adjacency operator** $A : \mathbb{R}^m \rightarrow \mathbb{R}^m$

$$A e_i = \sum_{j \in \Gamma(i)} e_j$$

Idea of Strategy

- ▶ Finite graph $G = ([m], E)$
- ▶ **Adjacency operator** $A : \mathbb{R}^m \rightarrow \mathbb{R}^m$

$$A e_i = \sum_{j \in \Gamma(i)} e_j$$

- ▶ $X \subset [m] \rightsquigarrow$ indicator function $\mathbb{I}_X : [m] \rightarrow \{0, 1\}$

Idea of Strategy

- ▶ Finite graph $G = ([m], E)$
- ▶ **Adjacency operator** $A : \mathbb{R}^m \rightarrow \mathbb{R}^m$

$$A e_i = \sum_{j \in \Gamma(i)} e_j$$

- ▶ $X \subset [m] \rightsquigarrow$ indicator function $\mathbb{I}_X : [m] \rightarrow \{0, 1\}$
- ▶ X independent $\Leftrightarrow \langle \mathbb{I}_X, A \mathbb{I}_X \rangle = 0$

Idea of Strategy

- ▶ Finite graph $G = ([m], E)$
- ▶ **Adjacency operator** $A : \mathbb{R}^m \rightarrow \mathbb{R}^m$

$$A e_i = \sum_{j \in \Gamma(i)} e_j$$

- ▶ $X \subset [m] \rightsquigarrow$ indicator function $\mathbb{I}_X : [m] \rightarrow \{0, 1\}$
- ▶ X independent $\Leftrightarrow \langle \mathbb{I}_X, A \mathbb{I}_X \rangle = 0$
- ▶ $|X| = \langle \mathbb{I}_X, \mathbf{1} \rangle$

Idea of Strategy

- ▶ Finite graph $G = ([m], E)$
- ▶ **Adjacency operator** $A : \mathbb{R}^m \rightarrow \mathbb{R}^m$

$$A e_i = \sum_{j \in \Gamma(i)} e_j$$

- ▶ $X \subset [m] \rightsquigarrow$ indicator function $\mathbb{I}_X : [m] \rightarrow \{0, 1\}$
- ▶ X independent $\Leftrightarrow \langle \mathbb{I}_X, A \mathbb{I}_X \rangle = 0$
- ▶ $|X| = \langle \mathbb{I}_X, 1 \rangle$
- ▶ **Maximise** $\langle f, 1 \rangle$ for $f : V \rightarrow [0, 1]$ with $\langle f, A f \rangle = 0$

Adjacency Operator for $\mathcal{S} = (\mathbb{S}^2, \{x \cdot y = 0\})$

Adjacency Operator for $\mathcal{S} = (\mathbb{S}^2, \{x \cdot y = 0\})$

- ▶ $\mathcal{H} := L^2(\mathbb{S}^2)$

Adjacency Operator for $\mathcal{S} = (\mathbb{S}^2, \{x \cdot y = 0\})$

► $\mathcal{H} := L^2(\mathbb{S}^2) = \{f : \mathbb{S}^2 \rightarrow \mathbb{R} : \int f^2 \, d\mu < \infty\}$

Adjacency Operator for $\mathcal{S} = (\mathbb{S}^2, \{x \cdot y = 0\})$

- ▶ $\mathcal{H} := L^2(\mathbb{S}^2) = \{f : \mathbb{S}^2 \rightarrow \mathbb{R} : \int f^2 \, d\mu < \infty\}$
- ▶ Inner product $\langle f, g \rangle = \int fg \, d\mu$

Adjacency Operator for $\mathcal{S} = (\mathbb{S}^2, \{x \cdot y = 0\})$

- ▶ $\mathcal{H} := L^2(\mathbb{S}^2) = \{f : \mathbb{S}^2 \rightarrow \mathbb{R} : \int f^2 d\mu < \infty\}$
- ▶ **Inner product** $\langle f, g \rangle = \int fg d\mu$
- ▶ $x^\perp := \{y \in \mathbb{S}^2 : x \cdot y = 0\}$

Adjacency Operator for $\mathcal{S} = (\mathbb{S}^2, \{x \cdot y = 0\})$

- ▶ $\mathcal{H} := L^2(\mathbb{S}^2) = \{f : \mathbb{S}^2 \rightarrow \mathbb{R} : \int f^2 d\mu < \infty\}$
- ▶ **Inner product** $\langle f, g \rangle = \int fg d\mu$
- ▶ $x^\perp := \{y \in \mathbb{S}^2 : x \cdot y = 0\}$
- ▶ σ_x : rotation-invariant prob measure on x^\perp

Adjacency Operator for $\mathcal{S} = (\mathbb{S}^2, \{x \cdot y = 0\})$

- ▶ $\mathcal{H} := L^2(\mathbb{S}^2) = \{f : \mathbb{S}^2 \rightarrow \mathbb{R} : \int f^2 d\mu < \infty\}$
- ▶ **Inner product** $\langle f, g \rangle = \int fg d\mu$
- ▶ $x^\perp := \{y \in \mathbb{S}^2 : x \cdot y = 0\}$
- ▶ σ_x : rotation-invariant prob measure on x^\perp
- ▶ **Adjacency** (or **spherical mean**) operator

$$(Af)(x) := \int_{x^\perp} f(y) d\sigma_x(y).$$

Adjacency Operator for $\mathcal{S} = (\mathbb{S}^2, \{x \cdot y = 0\})$

- ▶ $\mathcal{H} := L^2(\mathbb{S}^2) = \{f : \mathbb{S}^2 \rightarrow \mathbb{R} : \int f^2 d\mu < \infty\}$
- ▶ **Inner product** $\langle f, g \rangle = \int fg d\mu$
- ▶ $x^\perp := \{y \in \mathbb{S}^2 : x \cdot y = 0\}$
- ▶ σ_x : rotation-invariant prob measure on x^\perp
- ▶ **Adjacency** (or **spherical mean**) operator

$$(Af)(x) := \int_{x^\perp} f(y) d\sigma_x(y).$$

- ▶ **Known:** $A : \mathcal{H} \rightarrow \mathcal{H}$ bounded of norm 1

Independent Sets

Independent Sets

- ▶ $X \in \mathcal{L}(\mathbb{S}) \leadsto$ indicator function $\mathbb{I}_X \in \mathcal{H}$

Independent Sets

- ▶ $X \in \mathcal{L}(\mathbb{S}) \leadsto$ indicator function $\mathbb{I}_X \in \mathcal{H}$
- ▶ X independent $\Rightarrow \langle \mathbb{I}_X, A\mathbb{I}_X \rangle = 0$

Independent Sets

- ▶ $X \in \mathcal{L}(\mathcal{S}) \rightsquigarrow$ indicator function $\mathbb{I}_X \in \mathcal{H}$
- ▶ X independent $\Rightarrow \langle \mathbb{I}_X, A\mathbb{I}_X \rangle = 0$
- ▶ If $\langle \mathbb{I}_X, A\mathbb{I}_X \rangle = 0$, we can **clean-up**:

Independent Sets

- ▶ $X \in \mathcal{L}(\mathbb{S}) \leadsto$ indicator function $\mathbb{I}_X \in \mathcal{H}$
- ▶ X independent $\Rightarrow \langle \mathbb{I}_X, A\mathbb{I}_X \rangle = 0$
- ▶ If $\langle \mathbb{I}_X, A\mathbb{I}_X \rangle = 0$, we can **clean-up**:
 - ▶ $Y = \{x \in X : \text{Lebesgue density point}\}$

Independent Sets

- ▶ $X \in \mathcal{L}(\mathbb{S}) \rightsquigarrow$ indicator function $\mathbb{I}_X \in \mathcal{H}$
- ▶ X independent $\Rightarrow \langle \mathbb{I}_X, A\mathbb{I}_X \rangle = 0$
- ▶ If $\langle \mathbb{I}_X, A\mathbb{I}_X \rangle = 0$, we can **clean-up**:
 - ▶ $Y = \{x \in X : \text{Lebesgue density point}\}$
 - ▶ **Lebesgue Density Theorem**: $Y = X$ a.e.

Independent Sets

- ▶ $X \in \mathcal{L}(\mathbb{S}) \leadsto$ indicator function $\mathbb{I}_X \in \mathcal{H}$
- ▶ X independent $\Rightarrow \langle \mathbb{I}_X, A\mathbb{I}_X \rangle = 0$
- ▶ If $\langle \mathbb{I}_X, A\mathbb{I}_X \rangle = 0$, we can **clean-up**:
 - ▶ $Y = \{x \in X : \text{Lebesgue density point}\}$
 - ▶ **Lebesgue Density Theorem**: $Y = X$ a.e.
 - ▶ Y is independent

Weak Limits

Weak Limits

- ▶ **Pick** $X_i \in \mathcal{L}(\mathbb{S})$ with $\mu(X_i) \geq \alpha_2 - \frac{1}{i}$

Weak Limits

- ▶ Pick $X_i \in \mathcal{L}(\mathbb{S})$ with $\mu(X_i) \geq \alpha_2 - \frac{1}{i}$
- ▶ Limit of \mathbb{I}_{X_i} within \mathcal{H} ?

Weak Limits

- ▶ Pick $X_i \in \mathcal{L}(\mathbb{S})$ with $\mu(X_i) \geq \alpha_2 - \frac{1}{i}$
- ▶ Limit of \mathbb{I}_{X_i} within \mathcal{H} ?
- ▶ $f_i \rightarrow f$ weakly: $\forall L \in \mathcal{H}^* \quad Lf_i \rightarrow Lf$

Weak Limits

- ▶ Pick $X_i \in \mathcal{L}(\mathbb{S})$ with $\mu(X_i) \geq \alpha_2 - \frac{1}{i}$
- ▶ Limit of \mathbb{I}_{X_i} within \mathcal{H} ?
- ▶ $f_i \rightarrow f$ weakly: $\forall L \in \mathcal{H}^* \quad Lf_i \rightarrow Lf$
 - ▶ E.g. orthonormal vectors $\rightarrow 0$

Weak Limits

- ▶ Pick $X_i \in \mathcal{L}(\mathbb{S})$ with $\mu(X_i) \geq \alpha_2 - \frac{1}{i}$
- ▶ Limit of \mathbb{I}_{X_i} within \mathcal{H} ?
- ▶ $f_i \rightarrow f$ weakly: $\forall L \in \mathcal{H}^* \quad Lf_i \rightarrow Lf$
 - ▶ E.g. orthonormal vectors $\rightarrow 0$ (but not in norm)

Weak Limits

- ▶ **Pick** $X_i \in \mathcal{L}(\mathbb{S})$ with $\mu(X_i) \geq \alpha_2 - \frac{1}{i}$
- ▶ Limit of \mathbb{I}_{X_i} within \mathcal{H} ?
- ▶ $f_i \rightarrow f$ **weakly**: $\forall L \in \mathcal{H}^* \quad Lf_i \rightarrow Lf$
 - ▶ **E.g.** orthonormal vectors $\rightarrow 0$ (but not in norm)
- ▶ $\|\mathbb{I}_{X_i}\| \leq 1$ uniformly bounded

Weak Limits

- ▶ Pick $X_i \in \mathcal{L}(\mathbb{S})$ with $\mu(X_i) \geq \alpha_2 - \frac{1}{i}$
- ▶ Limit of \mathbb{I}_{X_i} within \mathcal{H} ?
- ▶ $f_i \rightarrow f$ weakly: $\forall L \in \mathcal{H}^* \quad Lf_i \rightarrow Lf$
 - ▶ E.g. orthonormal vectors $\rightarrow 0$ (but not in norm)
- ▶ $\|\mathbb{I}_{X_i}\| \leq 1$ uniformly bounded $\Rightarrow \mathbb{I}_{X_{m_i}} \rightarrow f$

Weak Limits

- ▶ **Pick** $X_i \in \mathcal{L}(\mathbb{S})$ with $\mu(X_i) \geq \alpha_2 - \frac{1}{i}$
- ▶ Limit of \mathbb{I}_{X_i} within \mathcal{H} ?
- ▶ $f_i \rightarrow f$ **weakly**: $\forall L \in \mathcal{H}^* \quad Lf_i \rightarrow Lf$
 - ▶ **E.g.** orthonormal vectors $\rightarrow 0$ (but not in norm)
- ▶ $\|\mathbb{I}_{X_i}\| \leq 1$ uniformly bounded $\Rightarrow \mathbb{I}_{X_{m_i}} \rightarrow f$
- ▶ $f(x) \in [0, 1]$ a.e.

Weak Limits

- ▶ **Pick** $X_i \in \mathcal{L}(\mathbb{S})$ with $\mu(X_i) \geq \alpha_2 - \frac{1}{i}$
- ▶ Limit of \mathbb{I}_{X_i} within \mathcal{H} ?
- ▶ $f_i \rightarrow f$ **weakly**: $\forall L \in \mathcal{H}^* \quad Lf_i \rightarrow Lf$
 - ▶ **E.g.** orthonormal vectors $\rightarrow 0$ (but not in norm)
- ▶ $\|\mathbb{I}_{X_i}\| \leq 1$ uniformly bounded $\Rightarrow \mathbb{I}_{X_{m_i}} \rightarrow f$
- ▶ $f(x) \in [0, 1]$ a.e.
- ▶ $\langle -, 1 \rangle \in \mathcal{H}^*$

Weak Limits

- ▶ **Pick** $X_i \in \mathcal{L}(\mathbb{S})$ with $\mu(X_i) \geq \alpha_2 - \frac{1}{i}$
- ▶ Limit of \mathbb{I}_{X_i} within \mathcal{H} ?
- ▶ $f_i \rightarrow f$ **weakly**: $\forall L \in \mathcal{H}^* \quad Lf_i \rightarrow Lf$
 - ▶ **E.g.** orthonormal vectors $\rightarrow 0$ (but not in norm)
- ▶ $\|\mathbb{I}_{X_i}\| \leq 1$ uniformly bounded $\Rightarrow \mathbb{I}_{X_{m_i}} \rightarrow f$
- ▶ $f(x) \in [0, 1]$ a.e.
- ▶ $\langle -, 1 \rangle \in \mathcal{H}^*$
 - ▶ $\int f d\mu = \langle f, 1 \rangle$

Weak Limits

- ▶ **Pick** $X_i \in \mathcal{L}(\mathbb{S})$ with $\mu(X_i) \geq \alpha_2 - \frac{1}{i}$
- ▶ Limit of \mathbb{I}_{X_i} within \mathcal{H} ?
- ▶ $f_i \rightarrow f$ **weakly**: $\forall L \in \mathcal{H}^* \quad Lf_i \rightarrow Lf$
 - ▶ **E.g.** orthonormal vectors $\rightarrow 0$ (but not in norm)
- ▶ $\|\mathbb{I}_{X_i}\| \leq 1$ uniformly bounded $\Rightarrow \mathbb{I}_{X_{m_i}} \rightarrow f$
- ▶ $f(x) \in [0, 1]$ a.e.
- ▶ $\langle -, 1 \rangle \in \mathcal{H}^*$
 - ▶ $\int f d\mu = \langle f, 1 \rangle = \lim \langle \mathbb{I}_{X_{m_i}}, 1 \rangle$

Weak Limits

- ▶ **Pick** $X_i \in \mathcal{L}(\mathbb{S})$ with $\mu(X_i) \geq \alpha_2 - \frac{1}{i}$
- ▶ Limit of \mathbb{I}_{X_i} within \mathcal{H} ?
- ▶ $f_i \rightarrow f$ **weakly**: $\forall L \in \mathcal{H}^* \quad Lf_i \rightarrow Lf$
 - ▶ **E.g.** orthonormal vectors $\rightarrow 0$ (but not in norm)
- ▶ $\|\mathbb{I}_{X_i}\| \leq 1$ uniformly bounded $\Rightarrow \mathbb{I}_{X_{m_i}} \rightarrow f$
- ▶ $f(x) \in [0, 1]$ a.e.
- ▶ $\langle -, 1 \rangle \in \mathcal{H}^*$
 - ▶ $\int f d\mu = \langle f, 1 \rangle = \lim \langle \mathbb{I}_{X_{m_i}}, 1 \rangle = \alpha_2$

“Rounding” $f : \mathbb{S}^2 \rightarrow [0, 1]$

“Rounding” $f : \mathbb{S}^2 \rightarrow [0, 1]$

- ▶ $X = \{x : \text{Lebesgue point for } f \text{ \& } f(x) > 0\}$

“Rounding” $f : \mathbb{S}^2 \rightarrow [0, 1]$

- ▶ $X = \{x : \text{Lebesgue point for } f \text{ \& } f(x) > 0\}$
- ▶ **Lebesgue Density Theorem:** $\mathbb{I}_X \geq f$ a.e.

“Rounding” $f : \mathbb{S}^2 \rightarrow [0, 1]$

- ▶ $X = \{x : \text{Lebesgue point for } f \text{ \& } f(x) > 0\}$
- ▶ **Lebesgue Density Theorem:** $\mathbb{I}_X \geq f$ a.e.
- ▶ $\mu(X) = \int \mathbb{I}_X \, d\mu$

“Rounding” $f : \mathbb{S}^2 \rightarrow [0, 1]$

- ▶ $X = \{x : \text{Lebesgue point for } f \text{ \& } f(x) > 0\}$
- ▶ **Lebesgue Density Theorem:** $\mathbb{I}_X \geq f$ a.e.
- ▶ $\mu(X) = \int \mathbb{I}_X \, d\mu \geq \int f \, d\mu$

“Rounding” $f : \mathbb{S}^2 \rightarrow [0, 1]$

- ▶ $X = \{x : \text{Lebesgue point for } f \text{ \& } f(x) > 0\}$
- ▶ **Lebesgue Density Theorem:** $\mathbb{I}_X \geq f$ a.e.
- ▶ $\mu(X) = \int \mathbb{I}_X \, d\mu \geq \int f \, d\mu = \alpha_2$

“Rounding” $f : \mathbb{S}^2 \rightarrow [0, 1]$

- ▶ $X = \{x : \text{Lebesgue point for } f \text{ \& } f(x) > 0\}$
- ▶ **Lebesgue Density Theorem:** $\mathbb{I}_X \geq f$ a.e.
- ▶ $\mu(X) = \int \mathbb{I}_X \, d\mu \geq \int f \, d\mu = \alpha_2$
- ▶ X independent a.e. $\Leftrightarrow \langle f, Af \rangle = 0$

Is $\langle f, Af \rangle = 0$?

Is $\langle f, Af \rangle = 0$?

► $f_i \rightarrow f$ weakly $\Rightarrow \langle f_i, Af_i \rangle \rightarrow \langle f, Af \rangle$?

Is $\langle f, Af \rangle = 0$?

- ▶ $f_i \rightarrow f$ weakly $\Rightarrow \langle f_i, Af_i \rangle \rightarrow \langle f, Af \rangle$?
- ▶ No:

Is $\langle f, Af \rangle = 0$?

- ▶ $f_i \rightarrow f$ weakly $\Rightarrow \langle f_i, Af_i \rangle \rightarrow \langle f, Af \rangle$?
- ▶ **No:** $A = \text{Id}$ and f_i orthonormal

Is $\langle f, Af \rangle = 0$?

- ▶ $f_i \rightarrow f$ weakly $\Rightarrow \langle f_i, Af_i \rangle \rightarrow \langle f, Af \rangle$?
- ▶ **No:** $A = \text{Id}$ and f_i orthonormal
- ▶ **Yes** if $\dim A(\mathcal{H}) < \infty$

Is $\langle f, Af \rangle = 0$?

- ▶ $f_i \rightarrow f$ weakly $\Rightarrow \langle f_i, Af_i \rangle \rightarrow \langle f, Af \rangle$?
- ▶ **No**: $A = \text{Id}$ and f_i orthonormal
- ▶ **Yes** if $\dim A(\mathcal{H}) < \infty$
- ▶ **Yes** if A is **compact** (takes weak conv to norm conv)

Is $\langle f, Af \rangle = 0$?

- ▶ $f_i \rightarrow f$ weakly $\Rightarrow \langle f_i, Af_i \rangle \rightarrow \langle f, Af \rangle$?
- ▶ **No**: $A = \text{Id}$ and f_i orthonormal
- ▶ **Yes** if $\dim A(\mathcal{H}) < \infty$
- ▶ **Yes** if A is **compact** (takes weak conv to norm conv)
- ▶ For (separable) \mathcal{H} : \Leftrightarrow approximable by finite-dim ops

Is $\langle f, Af \rangle = 0$?

- ▶ $f_i \rightarrow f$ weakly $\Rightarrow \langle f_i, Af_i \rangle \rightarrow \langle f, Af \rangle$?
- ▶ **No**: $A = \text{Id}$ and f_i orthonormal
- ▶ **Yes** if $\dim A(\mathcal{H}) < \infty$
- ▶ **Yes** if A is **compact** (takes weak conv to norm conv)
- ▶ For (separable) \mathcal{H} : \Leftrightarrow approximable by finite-dim ops
 - ▶ **Uniform Boundedness Principle**: $\|f_i\| \leq C$

Is $\langle f, Af \rangle = 0$?

- ▶ $f_i \rightarrow f$ weakly $\Rightarrow \langle f_i, Af_i \rangle \rightarrow \langle f, Af \rangle$?
- ▶ **No**: $A = \text{Id}$ and f_i orthonormal
- ▶ **Yes** if $\dim A(\mathcal{H}) < \infty$
- ▶ **Yes** if A is **compact** (takes weak conv to norm conv)
- ▶ For (separable) \mathcal{H} : \Leftrightarrow approximable by finite-dim ops
 - ▶ **Uniform Boundedness Principle**: $\|f_i\| \leq C$
 - ▶ Finite-dim B s.t. $\|B - A\| < \varepsilon/C$

Is $\langle f, Af \rangle = 0$?

- ▶ $f_i \rightarrow f$ weakly $\Rightarrow \langle f_i, Af_i \rangle \rightarrow \langle f, Af \rangle$?
- ▶ **No**: $A = \text{Id}$ and f_i orthonormal
- ▶ **Yes** if $\dim A(\mathcal{H}) < \infty$
- ▶ **Yes** if A is **compact** (takes weak conv to norm conv)
- ▶ For (separable) \mathcal{H} : \Leftrightarrow approximable by finite-dim ops
 - ▶ **Uniform Boundedness Principle**: $\|f_i\| \leq C$
 - ▶ Finite-dim B s.t. $\|B - A\| < \varepsilon/C$
 - ▶ $\|Bf_i - Bf\| < \varepsilon/C$

Is $\langle f, Af \rangle = 0$?

- ▶ $f_i \rightarrow f$ weakly $\Rightarrow \langle f_i, Af_i \rangle \rightarrow \langle f, Af \rangle$?
- ▶ **No**: $A = \text{Id}$ and f_i orthonormal
- ▶ **Yes** if $\dim A(\mathcal{H}) < \infty$
- ▶ **Yes** if A is **compact** (takes weak conv to norm conv)
- ▶ For (separable) \mathcal{H} : \Leftrightarrow approximable by finite-dim ops
 - ▶ **Uniform Boundedness Principle**: $\|f_i\| \leq C$
 - ▶ Finite-dim B s.t. $\|B - A\| < \varepsilon/C$
 - ▶ $\|Bf_i - Bf\| < \varepsilon/C$
 - ▶ $\|Af_i - Af\|$

Is $\langle f, Af \rangle = 0$?

- ▶ $f_i \rightarrow f$ weakly $\Rightarrow \langle f_i, Af_i \rangle \rightarrow \langle f, Af \rangle$?
- ▶ **No**: $A = \text{Id}$ and f_i orthonormal
- ▶ **Yes** if $\dim A(\mathcal{H}) < \infty$
- ▶ **Yes** if A is **compact** (takes weak conv to norm conv)
- ▶ For (separable) \mathcal{H} : \Leftrightarrow approximable by finite-dim ops
 - ▶ **Uniform Boundedness Principle**: $\|f_i\| \leq C$
 - ▶ Finite-dim B s.t. $\|B - A\| < \varepsilon/C$
 - ▶ $\|Bf_i - Bf\| < \varepsilon/C$
 - ▶ $\|Af_i - Af\| \leq \|Af_i - Bf_i\| + \|Bf_i - Bf\| + \|Bf - Af\|$

Is $\langle f, Af \rangle = 0$?

- ▶ $f_i \rightarrow f$ weakly $\Rightarrow \langle f_i, Af_i \rangle \rightarrow \langle f, Af \rangle$?
- ▶ **No**: $A = \text{Id}$ and f_i orthonormal
- ▶ **Yes** if $\dim A(\mathcal{H}) < \infty$
- ▶ **Yes** if A is **compact** (takes weak conv to norm conv)
- ▶ For (separable) \mathcal{H} : \Leftrightarrow approximable by finite-dim ops
 - ▶ **Uniform Boundedness Principle**: $\|f_i\| \leq C$
 - ▶ Finite-dim B s.t. $\|B - A\| < \varepsilon/C$
 - ▶ $\|Bf_i - Bf\| < \varepsilon/C$
 - ▶ $\|Af_i - Af\| \leq \|Af_i - Bf_i\| + \|Bf_i - Bf\| + \|Bf - Af\| < 3\varepsilon$

Spectral Criterion

Spectral Criterion

- ▶ **Remains:** adjacency operator A is compact

Spectral Criterion

- ▶ **Remains:** adjacency operator A is compact
- ▶ A is **self-adjoint**

Spectral Criterion

- ▶ **Remains:** adjacency operator A is compact
- ▶ A is **self-adjoint** \Rightarrow real spectrum

Spectral Criterion

- ▶ **Remains:** adjacency operator A is compact
- ▶ A is **self-adjoint** \Rightarrow real spectrum
- ▶ Discrete spectrum with $\lambda_i \rightarrow 0 \Rightarrow$ compact

Spectral Criterion

- ▶ **Remains:** adjacency operator A is compact
- ▶ A is **self-adjoint** \Rightarrow real spectrum
- ▶ Discrete spectrum with $\lambda_i \rightarrow 0 \Rightarrow$ compact
 - ▶ Eigenfunctions f_i

Spectral Criterion

- ▶ **Remains:** adjacency operator A is compact
- ▶ A is **self-adjoint** \Rightarrow real spectrum
- ▶ Discrete spectrum with $\lambda_i \rightarrow 0 \Rightarrow$ compact
 - ▶ Eigenfunctions f_i
 - ▶ $B = \sum_{i: |\lambda_i| \geq \varepsilon} \lambda_i f_i f_i^*$

Spectral Criterion

- ▶ **Remains:** adjacency operator A is compact
- ▶ A is **self-adjoint** \Rightarrow real spectrum
- ▶ Discrete spectrum with $\lambda_i \rightarrow 0 \Rightarrow$ compact
 - ▶ Eigenfunctions f_i
 - ▶ $B = \sum_{i: |\lambda_i| \geq \varepsilon} \lambda_i f_i f_i^*$
 - ▶ $B = (\text{projection on span of } \{f_i : |\lambda_i| \geq \varepsilon\}) \circ A$

Spectral Criterion

- ▶ **Remains:** adjacency operator A is compact
- ▶ A is **self-adjoint** \Rightarrow real spectrum
- ▶ Discrete spectrum with $\lambda_i \rightarrow 0 \Rightarrow$ compact
 - ▶ Eigenfunctions f_i
 - ▶ $B = \sum_{i: |\lambda_i| \geq \varepsilon} \lambda_i f_i f_i^*$
 - ▶ $B = (\text{projection on span of } \{f_i : |\lambda_i| \geq \varepsilon\}) \circ A$
 - ▶ $\|B - A\| \leq \varepsilon$

Spherical Harmonics on S^n

Spherical Harmonics on \mathbb{S}^n

- ▶ **Note:** A is rotation-invariant

Spherical Harmonics on \mathbb{S}^n

- ▶ **Note:** A is rotation-invariant
- ▶ Fourier basis \Rightarrow eigenfunctions

Spherical Harmonics on \mathbb{S}^n

- ▶ **Note:** A is rotation-invariant
- ▶ Fourier basis \Rightarrow eigenfunctions
- ▶ $\mathcal{H} = \bigoplus_{i=0}^{\infty} \mathcal{H}_i$

Spherical Harmonics on \mathbb{S}^n

- ▶ **Note:** Δ is rotation-invariant
- ▶ Fourier basis \Rightarrow eigenfunctions
- ▶ $\mathcal{H} = \bigoplus_{i=0}^{\infty} \mathcal{H}_i$
- ▶ $\mathcal{H}_i = \text{Span}\{f \in \mathbb{R}[x_1, \dots, x_n] : \text{hom of degree } i, \Delta p = 0\}$

Spherical Harmonics on \mathbb{S}^n

- ▶ **Note:** A is rotation-invariant
- ▶ Fourier basis \Rightarrow eigenfunctions
- ▶ $\mathcal{H} = \bigoplus_{i=0}^{\infty} \mathcal{H}_i$
- ▶ $\mathcal{H}_i = \text{Span}\{f \in \mathbb{R}[x_1, \dots, x_n] : \text{hom of degree } i, \Delta p = 0\}$
- ▶ $\Delta = \left(\frac{\partial}{\partial_1}\right)^2 + \dots + \left(\frac{\partial}{\partial_n}\right)^2$ (**Laplacian**)

Spherical Harmonics on \mathbb{S}^n

- ▶ **Note:** Δ is rotation-invariant
- ▶ Fourier basis \Rightarrow eigenfunctions
- ▶ $\mathcal{H} = \bigoplus_{i=0}^{\infty} \mathcal{H}_i$
- ▶ $\mathcal{H}_i = \text{Span}\{f \in \mathbb{R}[x_1, \dots, x_n] : \text{hom of degree } i, \Delta p = 0\}$
- ▶ $\Delta = \left(\frac{\partial}{\partial_1}\right)^2 + \dots + \left(\frac{\partial}{\partial_n}\right)^2$ (**Laplacian**)
- ▶ $n = 1$:

Spherical Harmonics on \mathbb{S}^n

- ▶ **Note:** A is rotation-invariant
- ▶ Fourier basis \Rightarrow eigenfunctions
- ▶ $\mathcal{H} = \bigoplus_{i=0}^{\infty} \mathcal{H}_i$
- ▶ $\mathcal{H}_i = \text{Span}\{f \in \mathbb{R}[x_1, \dots, x_n] : \text{hom of degree } i, \Delta p = 0\}$
- ▶ $\Delta = \left(\frac{\partial}{\partial_1}\right)^2 + \dots + \left(\frac{\partial}{\partial_n}\right)^2$ (**Laplacian**)
- ▶ $n = 1$:
 - ▶ $\mathcal{H}_i = \text{Span}(\sin(i\theta), \cos(i\theta))$

Spherical Harmonics on \mathbb{S}^n

- ▶ **Note:** A is rotation-invariant
- ▶ Fourier basis \Rightarrow eigenfunctions
- ▶ $\mathcal{H} = \bigoplus_{i=0}^{\infty} \mathcal{H}_i$
- ▶ $\mathcal{H}_i = \text{Span}\{f \in \mathbb{R}[x_1, \dots, x_n] : \text{hom of degree } i, \Delta p = 0\}$
- ▶ $\Delta = \left(\frac{\partial}{\partial_1}\right)^2 + \dots + \left(\frac{\partial}{\partial_n}\right)^2$ (**Laplacian**)
- ▶ $n = 1$:
 - ▶ $\mathcal{H}_i = \text{Span}(\sin(i\theta), \cos(i\theta))$
 - ▶ $(x_1, x_2) = (\cos \theta, \sin \theta)$

Spherical Harmonics on \mathbb{S}^n

- ▶ **Note:** A is rotation-invariant
- ▶ Fourier basis \Rightarrow eigenfunctions
- ▶ $\mathcal{H} = \bigoplus_{i=0}^{\infty} \mathcal{H}_i$
- ▶ $\mathcal{H}_i = \text{Span}\{f \in \mathbb{R}[x_1, \dots, x_n] : \text{hom of degree } i, \Delta p = 0\}$
- ▶ $\Delta = \left(\frac{\partial}{\partial_1}\right)^2 + \dots + \left(\frac{\partial}{\partial_n}\right)^2$ (**Laplacian**)
- ▶ $n = 1$:
 - ▶ $\mathcal{H}_i = \text{Span}(\sin(i\theta), \cos(i\theta))$
 - ▶ $(x_1, x_2) = (\cos \theta, \sin \theta)$
 - ▶ **Chebyshev polynomial** $T_i(\cos \theta) = \cos(i\theta)$

Spherical Harmonics on \mathbb{S}^n

- ▶ **Note:** A is rotation-invariant
- ▶ Fourier basis \Rightarrow eigenfunctions
- ▶ $\mathcal{H} = \bigoplus_{i=0}^{\infty} \mathcal{H}_i$
- ▶ $\mathcal{H}_i = \text{Span}\{f \in \mathbb{R}[x_1, \dots, x_n] : \text{hom of degree } i, \Delta p = 0\}$
- ▶ $\Delta = \left(\frac{\partial}{\partial_1}\right)^2 + \dots + \left(\frac{\partial}{\partial_n}\right)^2$ (**Laplacian**)
- ▶ $n = 1$:
 - ▶ $\mathcal{H}_i = \text{Span}(\sin(i\theta), \cos(i\theta))$
 - ▶ $(x_1, x_2) = (\cos \theta, \sin \theta)$
 - ▶ **Chebyshev polynomial** $T_i(\cos \theta) = \cos(i\theta)$,
homogenised modulo $x_1^2 + x_2^2 = 1$

Orthogonality of Harmonics

Orthogonality of Harmonics

- ▶ $f_i \in \mathcal{H}_i$ and $f_j \in \mathcal{H}_j$ with $i \neq j$

Orthogonality of Harmonics

- ▶ $f_i \in \mathcal{H}_i$ and $f_j \in \mathcal{H}_j$ with $i \neq j$
- ▶ **Aim:** $\langle f_i, f_j \rangle = 0$

Orthogonality of Harmonics

- ▶ $f_i \in \mathcal{H}_i$ and $f_j \in \mathcal{H}_j$ with $i \neq j$
- ▶ **Aim:** $\langle f_i, f_j \rangle = 0$
- ▶ $f_i(x) = r^i f_i(y)$, $y = x/\|x\| \in \mathbb{S}^n$

Orthogonality of Harmonics

- ▶ $f_i \in \mathcal{H}_i$ and $f_j \in \mathcal{H}_j$ with $i \neq j$
- ▶ **Aim:** $\langle f_i, f_j \rangle = 0$
- ▶ $f_i(x) = r^i f_i(y)$, $y = x/\|x\| \in \mathbb{S}^n$
- ▶ $\frac{\partial}{\partial r}$: normal derivative

Orthogonality of Harmonics

- ▶ $f_i \in \mathcal{H}_i$ and $f_j \in \mathcal{H}_j$ with $i \neq j$
- ▶ **Aim:** $\langle f_i, f_j \rangle = 0$
- ▶ $f_i(x) = r^i f_i(y)$, $y = x/\|x\| \in \mathbb{S}^n$
- ▶ $\frac{\partial}{\partial r}$: normal derivative
- ▶ $\frac{\partial f_i}{\partial r}(y) = i f_i(y)$

Orthogonality of Harmonics

- ▶ $f_i \in \mathcal{H}_i$ and $f_j \in \mathcal{H}_j$ with $i \neq j$
- ▶ **Aim:** $\langle f_i, f_j \rangle = 0$
- ▶ $f_i(x) = r^i f_i(y)$, $y = x/\|x\| \in \mathbb{S}^n$
- ▶ $\frac{\partial}{\partial r}$: normal derivative
- ▶ $\frac{\partial f_i}{\partial r}(y) = i f_i(y)$
- ▶ **Green's identity:**

Orthogonality of Harmonics

- ▶ $f_i \in \mathcal{H}_i$ and $f_j \in \mathcal{H}_j$ with $i \neq j$
- ▶ **Aim:** $\langle f_i, f_j \rangle = 0$
- ▶ $f_i(x) = r^i f_i(y)$, $y = x/\|x\| \in \mathbb{S}^n$
- ▶ $\frac{\partial}{\partial r}$: normal derivative
- ▶ $\frac{\partial f_i}{\partial r}(y) = i f_i(y)$
- ▶ **Green's identity:**

$$\int_{\mathbb{B}^{n+1}} (f_i \Delta f_j - f_j \Delta f_i) dx = \int \left(f_i \frac{\partial f_j}{\partial r} - f_j \frac{\partial f_i}{\partial r} \right) d\mu$$

Orthogonality of Harmonics

- ▶ $f_i \in \mathcal{H}_i$ and $f_j \in \mathcal{H}_j$ with $i \neq j$
- ▶ **Aim:** $\langle f_i, f_j \rangle = 0$
- ▶ $f_i(x) = r^i f_i(y)$, $y = x/\|x\| \in \mathbb{S}^n$
- ▶ $\frac{\partial}{\partial r}$: normal derivative
- ▶ $\frac{\partial f_i}{\partial r}(y) = i f_i(y)$
- ▶ **Green's identity:**

$$\begin{aligned} \int_{\mathbb{B}^{n+1}} (f_i \Delta f_j - f_j \Delta f_i) \, dx &= \int \left(f_i \frac{\partial f_j}{\partial r} - f_j \frac{\partial f_i}{\partial r} \right) \, d\mu \\ &= (j - i) \int f_i f_j \, d\mu \end{aligned}$$

Orthogonality of Harmonics

- ▶ $f_i \in \mathcal{H}_i$ and $f_j \in \mathcal{H}_j$ with $i \neq j$
- ▶ **Aim:** $\langle f_i, f_j \rangle = 0$
- ▶ $f_i(x) = r^i f_i(y)$, $y = x/\|x\| \in \mathbb{S}^n$
- ▶ $\frac{\partial}{\partial r}$: normal derivative
- ▶ $\frac{\partial f_i}{\partial r}(y) = i f_i(y)$
- ▶ **Green's identity:**

$$\begin{aligned} \int_{\mathbb{B}^{n+1}} (f_i \Delta f_j - f_j \Delta f_i) \, dx &= \int \left(f_i \frac{\partial f_j}{\partial r} - f_j \frac{\partial f_i}{\partial r} \right) \, d\mu \\ &= (j - i) \int f_i f_j \, d\mu = (j - i) \langle f_i, f_j \rangle \end{aligned}$$

Eigenvalues of Adjacency Operator

Eigenvalues of Adjacency Operator

- ▶ Spherical harmonics are eigenfunctions

Eigenvalues of Adjacency Operator

- ▶ Spherical harmonics are eigenfunctions
- ▶ Gegenbauer polynomial $C_i = C_i^{(1/2)} \in \mathbb{R}[x_1]$:

Eigenvalues of Adjacency Operator

- ▶ Spherical harmonics are eigenfunctions
- ▶ Gegenbauer polynomial $C_i = C_i^{(1/2)} \in \mathbb{R}[x_1]$:
 - ▶ Apply Gram-Schmidt to $0, t, t^2, \dots \in L^2([-1, 1])$

Eigenvalues of Adjacency Operator

- ▶ Spherical harmonics are eigenfunctions
- ▶ Gegenbauer polynomial $C_i = C_i^{(1/2)} \in \mathbb{R}[x_1]$:
 - ▶ Apply Gram-Schmidt to $0, t, t^2, \dots \in L^2([-1, 1])$
 - ▶ Special case of Jakobi polynomials: $P_i^{(0,0)}$

Eigenvalues of Adjacency Operator

- ▶ Spherical harmonics are eigenfunctions
- ▶ Gegenbauer polynomial $C_i = C_i^{(1/2)} \in \mathbb{R}[x_1]$:
 - ▶ Apply Gram-Schmidt to $0, t, t^2, \dots \in L^2([-1, 1])$
 - ▶ Special case of Jakobi polynomials: $P_i^{(0,0)}$
- ▶ $z_i(x) := C_i(x \cdot x_0)$ is in \mathcal{H}_i

Eigenvalues of Adjacency Operator

- ▶ Spherical harmonics are eigenfunctions
- ▶ Gegenbauer polynomial $C_i = C_i^{(1/2)} \in \mathbb{R}[x_1]$:
 - ▶ Apply Gram-Schmidt to $0, t, t^2, \dots \in L^2([-1, 1])$
 - ▶ Special case of Jakobi polynomials: $P_i^{(0,0)}$
- ▶ $z_i(x) := C_i(x \cdot x_0)$ is in \mathcal{H}_i
- ▶ $\lambda_i = \langle z_i, A z_i \rangle / \|z_i\|^2$ with multiplicity $\dim \mathcal{H}_i$

Eigenvalues of Adjacency Operator

- ▶ Spherical harmonics are eigenfunctions
- ▶ Gegenbauer polynomial $C_i = C_i^{(1/2)} \in \mathbb{R}[x_1]$:
 - ▶ Apply Gram-Schmidt to $0, t, t^2, \dots \in L^2([-1, 1])$
 - ▶ Special case of Jakobi polynomials: $P_i^{(0,0)}$
- ▶ $z_i(x) := C_i(x \cdot x_0)$ is in \mathcal{H}_i
- ▶ $\lambda_i = \langle z_i, A z_i \rangle / \|z_i\|^2$ with multiplicity $\dim \mathcal{H}_i$
- ▶ Calculations: $\lambda_i = C_i(0)$ with multiplicity $2i + 1$

Eigenvalues of Adjacency Operator

- ▶ Spherical harmonics are eigenfunctions
- ▶ Gegenbauer polynomial $C_i = C_i^{(1/2)} \in \mathbb{R}[x_1]$:
 - ▶ Apply Gram-Schmidt to $0, t, t^2, \dots \in L^2([-1, 1])$
 - ▶ Special case of Jakobi polynomials: $P_i^{(0,0)}$
- ▶ $z_i(x) := C_i(x \cdot x_0)$ is in \mathcal{H}_i
- ▶ $\lambda_i = \langle z_i, A z_i \rangle / \|z_i\|^2$ with multiplicity $\dim \mathcal{H}_i$
- ▶ Calculations: $\lambda_i = C_i(0)$ with multiplicity $2i + 1$
- ▶ Darboux 1878: \forall fixed $x \in (-1, 1)$ $C_i(x) \rightarrow 0$

Eigenvalues of Adjacency Operator

- ▶ Spherical harmonics are eigenfunctions
- ▶ Gegenbauer polynomial $C_i = C_i^{(1/2)} \in \mathbb{R}[x_1]$:
 - ▶ Apply Gram-Schmidt to $0, t, t^2, \dots \in L^2([-1, 1])$
 - ▶ Special case of Jakobi polynomials: $P_i^{(0,0)}$
- ▶ $z_i(x) := C_i(x \cdot x_0)$ is in \mathcal{H}_i
- ▶ $\lambda_i = \langle z_i, A z_i \rangle / \|z_i\|^2$ with multiplicity $\dim \mathcal{H}_i$
- ▶ Calculations: $\lambda_i = C_i(0)$ with multiplicity $2i + 1$
- ▶ Darboux 1878: \forall fixed $x \in (-1, 1)$ $C_i(x) \rightarrow 0$
- ▶ $\lambda_i \rightarrow 0$

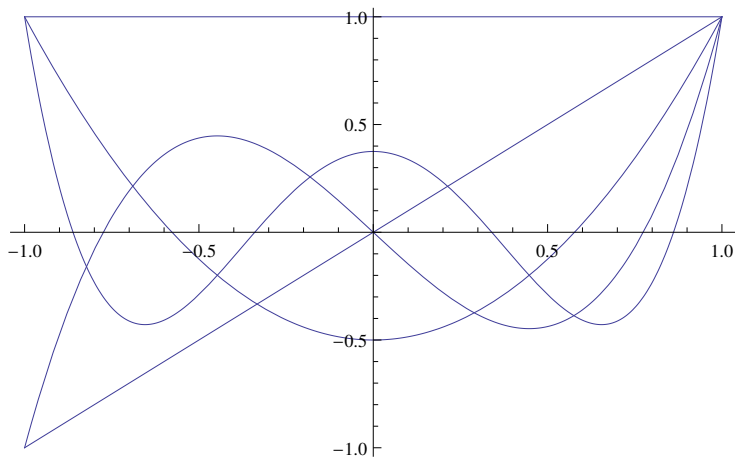
Eigenvalues of Adjacency Operator

- ▶ Spherical harmonics are eigenfunctions
- ▶ Gegenbauer polynomial $C_i = C_i^{(1/2)} \in \mathbb{R}[x_1]$:
 - ▶ Apply Gram-Schmidt to $0, t, t^2, \dots \in L^2([-1, 1])$
 - ▶ Special case of Jakobi polynomials: $P_i^{(0,0)}$
- ▶ $z_i(x) := C_i(x \cdot x_0)$ is in \mathcal{H}_i
- ▶ $\lambda_i = \langle z_i, A z_i \rangle / \|z_i\|^2$ with multiplicity $\dim \mathcal{H}_i$
- ▶ Calculations: $\lambda_i = C_i(0)$ with multiplicity $2i + 1$
- ▶ Darboux 1878: \forall fixed $x \in (-1, 1)$ $C_i(x) \rightarrow 0$
- ▶ $\lambda_i \rightarrow 0 \Rightarrow A$ compact

Eigenvalues of Adjacency Operator

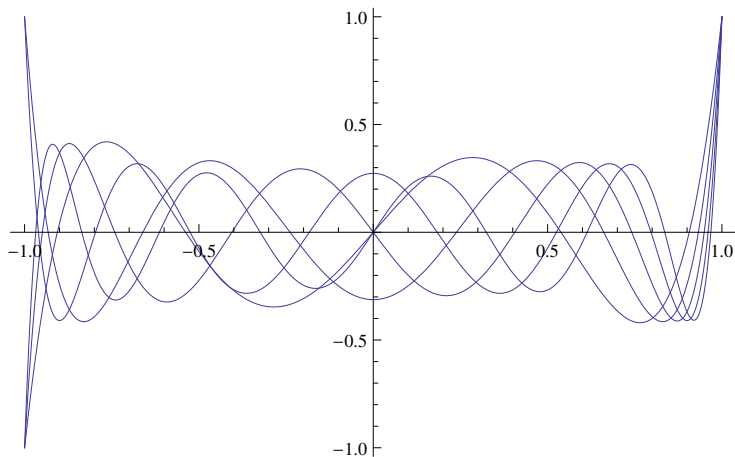
- ▶ Spherical harmonics are eigenfunctions
- ▶ Gegenbauer polynomial $C_i = C_i^{(1/2)} \in \mathbb{R}[x_1]$:
 - ▶ Apply Gram-Schmidt to $0, t, t^2, \dots \in L^2([-1, 1])$
 - ▶ Special case of Jakobi polynomials: $P_i^{(0,0)}$
- ▶ $z_i(x) := C_i(x \cdot x_0)$ is in \mathcal{H}_i
- ▶ $\lambda_i = \langle z_i, A z_i \rangle / \|z_i\|^2$ with multiplicity $\dim \mathcal{H}_i$
- ▶ Calculations: $\lambda_i = C_i(0)$ with multiplicity $2i + 1$
- ▶ Darboux 1878: \forall fixed $x \in (-1, 1)$ $C_i(x) \rightarrow 0$
- ▶ $\lambda_i \rightarrow 0 \Rightarrow A$ compact $\Rightarrow \exists$ max independent X

Gegenbauer Polynomials ($n = 2$)



First five

Gegenbauer Polynomials ($n = 2$)



Next five

Attainment $\Rightarrow \alpha_2 < 1/3$

Attainment $\Rightarrow \alpha_2 < 1/3$

- ▶ Independent $X \subset \mathbb{S}^2$ of measure $1/3$

Attainment $\Rightarrow \alpha_2 < 1/3$

- ▶ Independent $X \subset \mathbb{S}^2$ of measure $1/3$
- ▶ $A\mathbb{I}_X \equiv 0$ on X

Attainment $\Rightarrow \alpha_2 < 1/3$

- ▶ Independent $X \subset \mathbb{S}^2$ of measure $1/3$
- ▶ $A\mathbb{I}_X \equiv 0$ on X
- ▶ $A\mathbb{I}_X \leq 1/2$ a.e.

Attainment $\Rightarrow \alpha_2 < 1/3$

- ▶ Independent $X \subset \mathbb{S}^2$ of measure $1/3$
- ▶ $A\mathbb{I}_X \equiv 0$ on X
- ▶ $A\mathbb{I}_X \leq 1/2$ a.e.
- ▶ $\int A\mathbb{I}_X d\mu$

Attainment $\Rightarrow \alpha_2 < 1/3$

- ▶ Independent $X \subset \mathbb{S}^2$ of measure $1/3$
- ▶ $A\mathbb{I}_X \equiv 0$ on X
- ▶ $A\mathbb{I}_X \leq 1/2$ a.e.
- ▶ $\int A\mathbb{I}_X d\mu = \langle A\mathbb{I}_X, 1 \rangle$

Attainment $\Rightarrow \alpha_2 < 1/3$

- ▶ Independent $X \subset \mathbb{S}^2$ of measure $1/3$
- ▶ $A\mathbb{I}_X \equiv 0$ on X
- ▶ $A\mathbb{I}_X \leq 1/2$ a.e.
- ▶ $\int A\mathbb{I}_X d\mu = \langle A\mathbb{I}_X, 1 \rangle = \langle \mathbb{I}_X, A1 \rangle$

Attainment $\Rightarrow \alpha_2 < 1/3$

- ▶ Independent $X \subset \mathbb{S}^2$ of measure $1/3$
- ▶ $A\mathbb{I}_X \equiv 0$ on X
- ▶ $A\mathbb{I}_X \leq 1/2$ a.e.
- ▶ $\int A\mathbb{I}_X d\mu = \langle A\mathbb{I}_X, 1 \rangle = \langle \mathbb{I}_X, A1 \rangle = \langle \mathbb{I}_X, 1 \rangle$

Attainment $\Rightarrow \alpha_2 < 1/3$

- ▶ Independent $X \subset \mathbb{S}^2$ of measure $1/3$
- ▶ $A\mathbb{I}_X \equiv 0$ on X
- ▶ $A\mathbb{I}_X \leq 1/2$ a.e.
- ▶ $\int A\mathbb{I}_X d\mu = \langle A\mathbb{I}_X, 1 \rangle = \langle \mathbb{I}_X, A1 \rangle = \langle \mathbb{I}_X, 1 \rangle = \frac{1}{3}$

Attainment $\Rightarrow \alpha_2 < 1/3$

- ▶ Independent $X \subset \mathbb{S}^2$ of measure $1/3$
- ▶ $A\mathbb{I}_X \equiv 0$ on X
- ▶ $A\mathbb{I}_X \leq 1/2$ a.e.
- ▶ $\int A\mathbb{I}_X d\mu = \langle A\mathbb{I}_X, 1 \rangle = \langle \mathbb{I}_X, A1 \rangle = \langle \mathbb{I}_X, 1 \rangle = \frac{1}{3}$
- ▶ $\Rightarrow A\mathbb{I}_X \equiv \frac{1}{2}$ on $\mathbb{S}^2 \setminus X$

Attainment $\Rightarrow \alpha_2 < 1/3$

- ▶ Independent $X \subset \mathbb{S}^2$ of measure $1/3$
- ▶ $A\mathbb{I}_X \equiv 0$ on X
- ▶ $A\mathbb{I}_X \leq 1/2$ a.e.
- ▶ $\int A\mathbb{I}_X d\mu = \langle A\mathbb{I}_X, 1 \rangle = \langle \mathbb{I}_X, A1 \rangle = \langle \mathbb{I}_X, 1 \rangle = \frac{1}{3}$
- ▶ $\Rightarrow A\mathbb{I}_X \equiv \frac{1}{2}$ on $\mathbb{S}^2 \setminus X$
- ▶ $Af = -\frac{1}{2}f$ for $f := \mathbb{I}_X - \frac{1}{3}$

Attainment $\Rightarrow \alpha_2 < 1/3$

- ▶ Independent $X \subset \mathbb{S}^2$ of measure $1/3$
- ▶ $A\mathbb{I}_X \equiv 0$ on X
- ▶ $A\mathbb{I}_X \leq 1/2$ a.e.
- ▶ $\int A\mathbb{I}_X d\mu = \langle A\mathbb{I}_X, 1 \rangle = \langle \mathbb{I}_X, A1 \rangle = \langle \mathbb{I}_X, 1 \rangle = \frac{1}{3}$
- ▶ $\Rightarrow A\mathbb{I}_X \equiv \frac{1}{2}$ on $\mathbb{S}^2 \setminus X$
- ▶ $Af = -\frac{1}{2}f$ for $f := \mathbb{I}_X - \frac{1}{3}$
- ▶ Eigenspace of $-\frac{1}{2}$ is \mathcal{H}_2

Attainment $\Rightarrow \alpha_2 < 1/3$

- ▶ Independent $X \subset \mathbb{S}^2$ of measure $1/3$
- ▶ $A\mathbb{I}_X \equiv 0$ on X
- ▶ $A\mathbb{I}_X \leq 1/2$ a.e.
- ▶ $\int A\mathbb{I}_X d\mu = \langle A\mathbb{I}_X, 1 \rangle = \langle \mathbb{I}_X, A1 \rangle = \langle \mathbb{I}_X, 1 \rangle = \frac{1}{3}$
- ▶ $\Rightarrow A\mathbb{I}_X \equiv \frac{1}{2}$ on $\mathbb{S}^2 \setminus X$
- ▶ $Af = -\frac{1}{2}f$ for $f := \mathbb{I}_X - \frac{1}{3}$
- ▶ Eigenspace of $-\frac{1}{2}$ is $\mathcal{H}_2 \subset \{\text{degree-2 polynomials}\}$

Attainment $\Rightarrow \alpha_2 < 1/3$

- ▶ Independent $X \subset \mathbb{S}^2$ of measure $1/3$
- ▶ $A\mathbb{I}_X \equiv 0$ on X
- ▶ $A\mathbb{I}_X \leq 1/2$ a.e.
- ▶ $\int A\mathbb{I}_X d\mu = \langle A\mathbb{I}_X, 1 \rangle = \langle \mathbb{I}_X, A1 \rangle = \langle \mathbb{I}_X, 1 \rangle = \frac{1}{3}$
- ▶ $\Rightarrow A\mathbb{I}_X \equiv \frac{1}{2}$ on $\mathbb{S}^2 \setminus X$
- ▶ $Af = -\frac{1}{2}f$ for $f := \mathbb{I}_X - \frac{1}{3}$
- ▶ Eigenspace of $-\frac{1}{2}$ is $\mathcal{H}_2 \subset \{\text{degree-2 polynomials}\}$
- ▶ f is $\{\frac{2}{3}, -\frac{1}{3}\}$ -valued

Attainment $\Rightarrow \alpha_2 < 1/3$

- ▶ Independent $X \subset \mathbb{S}^2$ of measure $1/3$
- ▶ $A\mathbb{I}_X \equiv 0$ on X
- ▶ $A\mathbb{I}_X \leq 1/2$ a.e.
- ▶ $\int A\mathbb{I}_X d\mu = \langle A\mathbb{I}_X, 1 \rangle = \langle \mathbb{I}_X, A1 \rangle = \langle \mathbb{I}_X, 1 \rangle = \frac{1}{3}$
- ▶ $\Rightarrow A\mathbb{I}_X \equiv \frac{1}{2}$ on $\mathbb{S}^2 \setminus X$
- ▶ $Af = -\frac{1}{2}f$ for $f := \mathbb{I}_X - \frac{1}{3}$
- ▶ Eigenspace of $-\frac{1}{2}$ is $\mathcal{H}_2 \subset \{\text{degree-2 polynomials}\}$
- ▶ f is $\{\frac{2}{3}, -\frac{1}{3}\}$ -valued $\Rightarrow \Leftarrow$

$$\alpha_2 < 0.313$$

$$\alpha_2 < 0.313$$

- ▶ **Idea 1:** Use Lovász θ -function

$$\alpha_2 < 0.313$$

- ▶ **Idea 1:** Use Lovász θ -function
 - ▶ Bachoc-Nebe-Oliveira Filho-Vallentin'09

$$\alpha_2 < 0.313$$

- ▶ **Idea 1:** Use Lovász θ -function
 - ▶ Bachoc-Nebe-Oliveira Filho-Vallentin'09
- ▶ **Idea 2:** Add extra combinatorial constraints

$$\alpha_2 < 0.313$$

- ▶ **Idea 1:** Use Lovász θ -function
 - ▶ Bachoc-Nebe-Oliveira Filho-Vallentin'09
- ▶ **Idea 2:** Add extra combinatorial constraints
 - ▶ Oliveira Filho-Vallentin'10

Lovász θ -Function for Finite $G = (V, E)$

Lovász θ -Function for Finite $G = (V, E)$

- ▶ Lovász'79: Shannon capacity of pentagon

Lovász θ -Function for Finite $G = (V, E)$

- ▶ **Lovász'79:** Shannon capacity of pentagon
- ▶ **θ -function:** $\theta(G) := \max \sum_{u,v \in V} Y(u, v)$ st

Lovász θ -Function for Finite $G = (V, E)$

- ▶ **Lovász'79:** Shannon capacity of pentagon
- ▶ **θ -function:** $\theta(G) := \max \sum_{u,v \in V} Y(u, v)$ st

$$\sum_{u \in V} Y(u, u) = 1$$

Lovász θ -Function for Finite $G = (V, E)$

- ▶ **Lovász'79:** Shannon capacity of pentagon
- ▶ **θ -function:** $\theta(G) := \max \sum_{u,v \in V} Y(u, v)$ st

$$\begin{aligned} \sum_{u \in V} Y(u, u) &= 1 \\ \forall uv \in E \quad Y(u, v) &= 0 \end{aligned}$$

Lovász θ -Function for Finite $G = (V, E)$

- ▶ **Lovász'79:** Shannon capacity of pentagon
- ▶ **θ -function:** $\theta(G) := \max \sum_{u,v \in V} Y(u, v)$ st

$$\begin{aligned} \sum_{u \in V} Y(u, u) &= 1 \\ \forall uv \in E \quad Y(u, v) &= 0 \\ Y &\succeq 0 \end{aligned}$$

Lovász θ -Function for Finite $G = (V, E)$

- ▶ **Lovász'79:** Shannon capacity of pentagon
- ▶ **θ -function:** $\theta(G) := \max \sum_{u,v \in V} Y(u, v)$ st

$$\begin{aligned}\sum_{u \in V} Y(u, u) &= 1 \\ \forall uv \in E \quad Y(u, v) &= 0 \\ Y &\succeq 0\end{aligned}$$

- ▶ $\alpha(G) \leq \theta(G)$:

Lovász θ -Function for Finite $G = (V, E)$

- ▶ **Lovász'79:** Shannon capacity of pentagon
- ▶ **θ -function:** $\theta(G) := \max \sum_{u,v \in V} Y(u, v)$ st

$$\begin{aligned}\sum_{u \in V} Y(u, u) &= 1 \\ \forall uv \in E \quad Y(u, v) &= 0 \\ Y &\succeq 0\end{aligned}$$

- ▶ **$\alpha(G) \leq \theta(G)$:**
 - ▶ Independent $X \subset V$

Lovász θ -Function for Finite $G = (V, E)$

- ▶ **Lovász'79:** Shannon capacity of pentagon
- ▶ **θ -function:** $\theta(G) := \max \sum_{u,v \in V} Y(u, v)$ st

$$\begin{aligned}\sum_{u \in V} Y(u, u) &= 1 \\ \forall uv \in E \quad Y(u, v) &= 0 \\ Y &\succeq 0\end{aligned}$$

- ▶ **$\alpha(G) \leq \theta(G)$:**
 - ▶ Independent $X \subset V$
 - ▶ $Y(u, v) = \mathbb{I}_X(u) \mathbb{I}_X(v)$

Lovász θ -Function for Finite $G = (V, E)$

- ▶ **Lovász'79:** Shannon capacity of pentagon
- ▶ **θ -function:** $\theta(G) := \max \sum_{u,v \in V} Y(u, v)$ st

$$\begin{aligned}\sum_{u \in V} Y(u, u) &= 1 \\ \forall uv \in E \quad Y(u, v) &= 0 \\ Y &\succeq 0\end{aligned}$$

- ▶ **$\alpha(G) \leq \theta(G)$:**
 - ▶ Independent $X \subset V$
 - ▶ $Y(u, v) = \mathbb{I}_X(u) \mathbb{I}_X(v) / |X|$

Exploiting Symmetries of G

Exploiting Symmetries of G

- ▶ $\gamma \in \text{Aut}(G)$

Exploiting Symmetries of G

- ▶ $\gamma \in \text{Aut}(G)$
- ▶ $Y^\gamma(u, v) := Y(\gamma^{-1}(u), \gamma^{-1}(v))$

Exploiting Symmetries of G

- ▶ $\gamma \in \text{Aut}(G)$
- ▶ $Y^\gamma(u, v) := Y(\gamma^{-1}(u), \gamma^{-1}(v))$
- ▶ Y feasible/optimal $\Rightarrow \mathbf{E}Y := |\text{Aut}(G)|^{-1} \sum_{\gamma} Y^\gamma$ is

Exploiting Symmetries of G

- ▶ $\gamma \in \text{Aut}(G)$
- ▶ $Y^\gamma(u, v) := Y(\gamma^{-1}(u), \gamma^{-1}(v))$
- ▶ Y feasible/optimal $\Rightarrow \mathbf{E}Y := |\text{Aut}(G)|^{-1} \sum_{\gamma} Y^\gamma$ is
- ▶ $(\mathbf{E}Y)^\tau$

Exploiting Symmetries of G

- ▶ $\gamma \in \text{Aut}(G)$
- ▶ $Y^\gamma(u, v) := Y(\gamma^{-1}(u), \gamma^{-1}(v))$
- ▶ Y feasible/optimal $\Rightarrow \mathbf{E}Y := |\text{Aut}(G)|^{-1} \sum_{\gamma} Y^\gamma$ is
- ▶ $(\mathbf{E}Y)^\tau = |\text{Aut}(G)|^{-1} \sum_{\gamma} Y^{\tau\gamma}$

Exploiting Symmetries of G

- ▶ $\gamma \in \text{Aut}(G)$
- ▶ $Y^\gamma(u, v) := Y(\gamma^{-1}(u), \gamma^{-1}(v))$
- ▶ Y feasible/optimal $\Rightarrow \mathbf{E}Y := |\text{Aut}(G)|^{-1} \sum_{\gamma} Y^\gamma$ is
- ▶ $(\mathbf{E}Y)^\tau = |\text{Aut}(G)|^{-1} \sum_{\gamma} Y^{\tau\gamma} = \mathbf{E}Y$

Exploiting Symmetries of G

- ▶ $\gamma \in \text{Aut}(G)$
- ▶ $Y^\gamma(u, v) := Y(\gamma^{-1}(u), \gamma^{-1}(v))$
- ▶ Y feasible/optimal $\Rightarrow \mathbf{E}Y := |\text{Aut}(G)|^{-1} \sum_{\gamma} Y^\gamma$ is
- ▶ $(\mathbf{E}Y)^\tau = |\text{Aut}(G)|^{-1} \sum_{\gamma} Y^{\tau\gamma} = \mathbf{E}Y$
- ▶ **Moral:** enough to look at $\text{Aut}(G)$ -invariant Y

θ -Function for $\mathcal{S} = (\mathbb{S}^2, \{\text{orthogonal}\})$

θ -Function for $\mathcal{S} = (\mathbb{S}^2, \{\text{orthogonal}\})$

- ▶ $\theta(\mathcal{S})$: **maximise** $\int Y(u, v) \, \mathrm{d}\mu(u) \, \mathrm{d}\mu(v)$ st

θ -Function for $\mathcal{S} = (\mathbb{S}^2, \{\text{orthogonal}\})$

- ▶ $\theta(\mathcal{S})$: **maximise** $\int Y(u, v) \, \mathrm{d}\mu(u) \, \mathrm{d}\mu(v)$ st

$$\int Y(u, u) \, \mathrm{d}\mu(u) = 1$$

θ -Function for $\mathcal{S} = (\mathbb{S}^2, \{\text{orthogonal}\})$

- $\theta(\mathcal{S})$: **maximise** $\int Y(u, v) \, \mathrm{d}\mu(u) \, \mathrm{d}\mu(v)$ st

$$\begin{aligned} \int Y(u, u) \, \mathrm{d}\mu(u) &= 1 \\ \forall u \cdot v = 0 \quad Y(u, v) &= 0 \end{aligned}$$

θ -Function for $\mathcal{S} = (\mathbb{S}^2, \{\text{orthogonal}\})$

► $\theta(\mathcal{S})$: **maximise** $\int Y(u, v) \, \mathrm{d}\mu(u) \, \mathrm{d}\mu(v)$ st

$$\int Y(u, u) \, \mathrm{d}\mu(u) = 1$$

$$\forall u \cdot v = 0 \quad Y(u, v) = 0$$

$$\text{continuous } Y \succeq 0$$

θ -Function for $\mathcal{S} = (\mathbb{S}^2, \{\text{orthogonal}\})$

- ▶ $\theta(\mathcal{S})$: **maximise** $\int Y(u, v) \, \mathrm{d}\mu(u) \, \mathrm{d}\mu(v)$ st

$$\begin{aligned}\int Y(u, u) \, \mathrm{d}\mu(u) &= 1 \\ \forall u \cdot v = 0 \quad Y(u, v) &= 0 \\ \text{continuous } Y &\succeq 0\end{aligned}$$

- ▶ $\alpha(\mathcal{G}) \leq \theta(\mathcal{G})$:

θ -Function for $\mathcal{S} = (\mathbb{S}^2, \{\text{orthogonal}\})$

- ▶ $\theta(\mathcal{S})$: **maximise** $\int Y(u, v) \, \mathrm{d}\mu(u) \, \mathrm{d}\mu(v)$ st

$$\int Y(u, u) \, \mathrm{d}\mu(u) = 1$$

$$\forall u \cdot v = 0 \quad Y(u, v) = 0$$

$$\text{continuous } Y \succeq 0$$

- ▶ $\alpha(\mathcal{G}) \leq \theta(\mathcal{G})$:
 - ▶ Independent $X \subset \mathbb{S}^2$

θ -Function for $\mathcal{S} = (\mathbb{S}^2, \{\text{orthogonal}\})$

- ▶ $\theta(\mathcal{S})$: **maximise** $\int Y(u, v) d\mu(u) d\mu(v)$ st

$$\begin{aligned}\int Y(u, u) d\mu(u) &= 1 \\ \forall u \cdot v = 0 \quad Y(u, v) &= 0 \\ \text{continuous } Y &\succeq 0\end{aligned}$$

- ▶ $\alpha(\mathcal{G}) \leq \theta(\mathcal{G})$:
 - ▶ Independent $X \subset \mathbb{S}^2$
 - ▶ **Regularity** of μ : \exists closed $C \subset X$ with $\mu(X \setminus C) < \varepsilon$

θ -Function for $\mathcal{S} = (\mathbb{S}^2, \{\text{orthogonal}\})$

- ▶ $\theta(\mathcal{S})$: **maximise** $\int Y(u, v) d\mu(u) d\mu(v)$ st

$$\int Y(u, u) d\mu(u) = 1$$

$$\forall u \cdot v = 0 \quad Y(u, v) = 0$$

$$\text{continuous } Y \succeq 0$$

- ▶ $\alpha(\mathcal{G}) \leq \theta(\mathcal{G})$:
 - ▶ Independent $X \subset \mathbb{S}^2$
 - ▶ **Regularity** of μ : \exists closed $C \subset X$ with $\mu(X \setminus C) < \varepsilon$
 - ▶ **Compactness**: $\exists t > 0$ st C is $(-t, t)$ -independent

θ -Function for $\mathcal{S} = (\mathbb{S}^2, \{\text{orthogonal}\})$

- ▶ $\theta(\mathcal{S})$: **maximise** $\int Y(u, v) d\mu(u) d\mu(v)$ st

$$\begin{aligned}\int Y(u, u) d\mu(u) &= 1 \\ \forall u \cdot v = 0 \quad Y(u, v) &= 0 \\ \text{continuous } Y &\succeq 0\end{aligned}$$

- ▶ $\alpha(\mathcal{G}) \leq \theta(\mathcal{G})$:
 - ▶ Independent $X \subset \mathbb{S}^2$
 - ▶ **Regularity** of μ : \exists closed $C \subset X$ with $\mu(X \setminus C) < \varepsilon$
 - ▶ **Compactness**: $\exists t > 0$ st C is $(-t, t)$ -independent
 - ▶ $Y(u, v) = f(u) f(v) / \|f\|_2^2$, where

θ -Function for $\mathcal{S} = (\mathbb{S}^2, \{\text{orthogonal}\})$

- ▶ $\theta(\mathcal{S})$: **maximise** $\int Y(u, v) d\mu(u) d\mu(v)$ st

$$\begin{aligned}\int Y(u, u) d\mu(u) &= 1 \\ \forall u \cdot v = 0 \quad Y(u, v) &= 0 \\ \text{continuous } Y &\succeq 0\end{aligned}$$

- ▶ $\alpha(\mathcal{G}) \leq \theta(\mathcal{G})$:
 - ▶ Independent $X \subset \mathbb{S}^2$
 - ▶ **Regularity** of μ : \exists closed $C \subset X$ with $\mu(X \setminus C) < \varepsilon$
 - ▶ **Compactness**: $\exists t > 0$ st C is $(-t, t)$ -independent
 - ▶ $Y(u, v) = f(u) f(v) / \|f\|_2^2$, where
 - ▶ $f : \mathbb{S}^2 \rightarrow [0, 1]$, continuous

θ -Function for $\mathcal{S} = (\mathbb{S}^2, \{\text{orthogonal}\})$

- ▶ $\theta(\mathcal{S})$: **maximise** $\int Y(u, v) d\mu(u) d\mu(v)$ st

$$\int Y(u, u) d\mu(u) = 1$$

$$\forall u \cdot v = 0 \quad Y(u, v) = 0$$

$$\text{continuous } Y \succeq 0$$

- ▶ $\alpha(\mathcal{G}) \leq \theta(\mathcal{G})$:

- ▶ Independent $X \subset \mathbb{S}^2$
- ▶ **Regularity** of μ : \exists closed $C \subset X$ with $\mu(X \setminus C) < \varepsilon$
- ▶ **Compactness**: $\exists t > 0$ st C is $(-t, t)$ -independent
- ▶ $Y(u, v) = f(u) f(v) / \|f\|_2^2$, where
 - ▶ $f : \mathbb{S}^2 \rightarrow [0, 1]$, continuous
 - ▶ 1 on C

θ -Function for $\mathcal{S} = (\mathbb{S}^2, \{\text{orthogonal}\})$

- ▶ $\theta(\mathcal{S})$: **maximise** $\int Y(u, v) d\mu(u) d\mu(v)$ st

$$\begin{aligned}\int Y(u, u) d\mu(u) &= 1 \\ \forall u \cdot v = 0 \quad Y(u, v) &= 0 \\ \text{continuous } Y &\succeq 0\end{aligned}$$

- ▶ $\alpha(\mathcal{G}) \leq \theta(\mathcal{G})$:
 - ▶ Independent $X \subset \mathbb{S}^2$
 - ▶ **Regularity** of μ : \exists closed $C \subset X$ with $\mu(X \setminus C) < \varepsilon$
 - ▶ **Compactness**: $\exists t > 0$ st C is $(-t, t)$ -independent
 - ▶ $Y(u, v) = f(u)f(v)/\|f\|_2^2$, where
 - ▶ $f : \mathbb{S}^2 \rightarrow [0, 1]$, continuous
 - ▶ 1 on C
 - ▶ 0 outside small neighbourhood of C

Rotation-Invariant Kernel

Rotation-Invariant Kernel

- ▶ Continuous $Y \succeq 0$

Rotation-Invariant Kernel

- ▶ Continuous $Y \succeq 0$
- ▶ ν : Haar measure on $SO(3)$

Rotation-Invariant Kernel

- ▶ Continuous $Y \succeq 0$
- ▶ ν : Haar measure on $SO(3)$
- ▶ $\mathbf{E}Y(u, v) = \int Y(\gamma^{-1}(u), \gamma^{-1}(v)) \mathrm{d}\nu(\gamma)$

Rotation-Invariant Kernel

- ▶ Continuous $Y \succeq 0$
- ▶ ν : Haar measure on $SO(3)$
- ▶ $\mathbf{E}Y(u, v) = \int Y(\gamma^{-1}(u), \gamma^{-1}(v)) \, d\nu(\gamma)$
- ▶ $\mathbf{E}Y \succeq 0$ and continuous

Rotation-Invariant Kernel

- ▶ Continuous $Y \succeq 0$
- ▶ ν : Haar measure on $SO(3)$
- ▶ $\mathbf{E}Y(u, v) = \int Y(\gamma^{-1}(u), \gamma^{-1}(v)) \, d\nu(\gamma)$
- ▶ $\mathbf{E}Y \succeq 0$ and continuous
- ▶ Rotation-invariance $\Rightarrow \mathbf{E}Y(u, v) = k(u \cdot v)$

Schoenberg's Theorem

Schoenberg's Theorem

- ▶ Continuous $k : [-1, 1] \rightarrow [0, 1]$ st $k(x \cdot y) \succeq 0$

Schoenberg's Theorem

- ▶ Continuous $k : [-1, 1] \rightarrow [0, 1]$ st $k(x \cdot y) \succeq 0$
- ▶ **Schoenberg'42:** iff $k = \sum_i x_i C_i$ with $x_i \geq 0$ and $\sum_i x_i < \infty$

Schoenberg's Theorem

- ▶ Continuous $k : [-1, 1] \rightarrow [0, 1]$ st $k(x \cdot y) \succeq 0$
- ▶ **Schoenberg'42:** iff $k = \sum_i x_i C_i$ with $x_i \geq 0$ and $\sum_i x_i < \infty$
- ▶ **Genenbauer polynomial C_i :**

Schoenberg's Theorem

- ▶ Continuous $k : [-1, 1] \rightarrow [0, 1]$ st $k(x \cdot y) \succeq 0$
- ▶ **Schoenberg'42:** iff $k = \sum_i x_i C_i$ with $x_i \geq 0$ and $\sum_i x_i < \infty$
- ▶ **Genenbauer polynomial C_i :**
 - ▶ $C_i(1) = 1$

Schoenberg's Theorem

- ▶ Continuous $k : [-1, 1] \rightarrow [0, 1]$ st $k(x \cdot y) \succeq 0$
- ▶ **Schoenberg'42:** iff $k = \sum_i x_i C_i$ with $x_i \geq 0$ and $\sum_i x_i < \infty$
- ▶ **Genenbauer polynomial C_i :**
 - ▶ $C_i(1) = 1$
 - ▶ $\int_{-1}^1 C_i(t) C_j(t) dt = 0$ for $i \neq j$

LP Reformulation

LP Reformulation

- ▶ $k(t) = \sum_i x_i C_i(t)$

LP Reformulation

- ▶ $k(t) = \sum_i x_i C_i(t)$
- ▶ **Maximise** $\int k(u \cdot v)$

LP Reformulation

- ▶ $k(t) = \sum_i x_i C_i(t)$
- ▶ **Maximise** $\int k(u \cdot v) = x_0$ st

$$\int k(u \cdot u)$$

LP Reformulation

- ▶ $k(t) = \sum_i x_i C_i(t)$
- ▶ **Maximise** $\int k(u \cdot v) = x_0$ st

$$\int k(u \cdot u) = \sum_i x_i$$

LP Reformulation

- ▶ $k(t) = \sum_i x_i C_i(t)$
- ▶ **Maximise** $\int k(u \cdot v) = x_0$ st

$$\int k(u \cdot u) = \sum_i x_i = 1$$

LP Reformulation

- ▶ $k(t) = \sum_i x_i C_i(t)$
- ▶ **Maximise** $\int k(u \cdot v) = x_0$ st

$$\int k(u \cdot u) = \sum_i x_i = 1$$

$$k(0)$$

LP Reformulation

- ▶ $k(t) = \sum_i x_i C_i(t)$
- ▶ **Maximise** $\int k(u \cdot v) = x_0$ st

$$\int k(u \cdot u) = \sum_i x_i = 1$$

$$k(0) = \sum_i x_i C_i(0)$$

LP Reformulation

- ▶ $k(t) = \sum_i x_i C_i(t)$
- ▶ **Maximise** $\int k(u \cdot v) = x_0$ st

$$\int k(u \cdot u) = \sum_i x_i = 1$$

$$k(0) = \sum_i x_i C_i(0) = 0$$

LP Reformulation

- ▶ $k(t) = \sum_i x_i C_i(t)$
- ▶ **Maximise** $\int k(u \cdot v) = x_0$ **st**

$$\int k(u \cdot u) = \sum_i x_i = 1$$

$$k(0) = \sum_i x_i C_i(0) = 0$$

$$x_i \geq 0$$

LP Reformulation

- ▶ $k(t) = \sum_i x_i C_i(t)$
- ▶ **Maximise** $\int k(u \cdot v) = x_0$ st

$$\int k(u \cdot u) = \sum_i x_i = 1$$

$$k(0) = \sum_i x_i C_i(0) = 0$$

$$x_i \geq 0$$

- ▶ **Bachoc-Nebe-Oliveira Filho-Vallentin'09:** Value = $\frac{1}{3}$

Improvements

Improvements

- ▶ Independent $X \subset \mathbb{S}^2$

Improvements

- ▶ Independent $X \subset \mathbb{S}^2$
- ▶ $u^t := \{v \in \mathbb{S}^2 : v \cdot u = t\}$

Improvements

- ▶ Independent $X \subset \mathbb{S}^2$
- ▶ $u^t := \{v \in \mathbb{S}^2 : v \cdot u = t\}$
- ▶ $k(t)$

Improvements

- ▶ Independent $X \subset \mathbb{S}^2$
- ▶ $u^t := \{v \in \mathbb{S}^2 : v \cdot u = t\}$
- ▶ $k(t) = \frac{\Pr_{u \cdot v = t}[u, v \in X]}{\mu(X)}$

Improvements

- ▶ Independent $X \subset \mathbb{S}^2$
- ▶ $u^t := \{v \in \mathbb{S}^2 : v \cdot u = t\}$
- ▶ $k(t) = \frac{\Pr_{u \cdot v = t}[u, v \in X]}{\mu(X)} = \Pr_{u \in v^t}[u \in X | v \in X]$

Improvements

- ▶ Independent $X \subset \mathbb{S}^2$
- ▶ $u^t := \{v \in \mathbb{S}^2 : v \cdot u = t\}$
- ▶ $k(t) = \frac{\Pr_{u \cdot v = t}[u, v \in X]}{\mu(X)} = \Pr_{u \in v^t}[u \in X | v \in X]$
- ▶ **Idea:** $(v^t, \{\text{orthogonal}\}) \supseteq C_{2k+1} \Rightarrow k(t) \leq \frac{k}{2k+1}$

Improvements

- ▶ Independent $X \subset \mathbb{S}^2$
- ▶ $u^t := \{v \in \mathbb{S}^2 : v \cdot u = t\}$
- ▶ $k(t) = \frac{\Pr_{u \cdot v = t}[u, v \in X]}{\mu(X)} = \Pr_{u \in v^t}[u \in X | v \in X]$
- ▶ **Idea:** $(v^t, \{\text{orthogonal}\}) \supseteq C_{2k+1} \Rightarrow k(t) \leq \frac{k}{2k+1}$
- ▶ 3-cycle and 5-cycle \Rightarrow **improve** to 0.313

Improvements

- ▶ Independent $X \subset \mathbb{S}^2$
- ▶ $u^t := \{v \in \mathbb{S}^2 : v \cdot u = t\}$
- ▶ $k(t) = \frac{\Pr_{u \cdot v = t}[u, v \in X]}{\mu(X)} = \Pr_{u \in v^t}[u \in X | v \in X]$
- ▶ **Idea:** $(v^t, \{\text{orthogonal}\}) \supseteq C_{2k+1} \Rightarrow k(t) \leq \frac{k}{2k+1}$
- ▶ 3-cycle and 5-cycle \Rightarrow **improve** to 0.313
- ▶ **Rigorous proof:**

Improvements

- ▶ Independent $X \subset \mathbb{S}^2$
- ▶ $u^t := \{v \in \mathbb{S}^2 : v \cdot u = t\}$
- ▶ $k(t) = \frac{\Pr_{u \cdot v = t}[u, v \in X]}{\mu(X)} = \Pr_{u \in v^t}[u \in X | v \in X]$
- ▶ **Idea:** $(v^t, \{\text{orthogonal}\}) \supseteq C_{2k+1} \Rightarrow k(t) \leq \frac{k}{2k+1}$
- ▶ 3-cycle and 5-cycle \Rightarrow **improve** to 0.313
- ▶ **Rigorous proof:** rational solution to dual

Improvements

- ▶ Independent $X \subset \mathbb{S}^2$
- ▶ $u^t := \{v \in \mathbb{S}^2 : v \cdot u = t\}$
- ▶ $k(t) = \frac{\Pr_{u \cdot v = t}[u, v \in X]}{\mu(X)} = \Pr_{u \in v^t}[u \in X | v \in X]$
- ▶ **Idea:** $(v^t, \{\text{orthogonal}\}) \supseteq C_{2k+1} \Rightarrow k(t) \leq \frac{k}{2k+1}$
- ▶ 3-cycle and 5-cycle \Rightarrow **improve** to 0.313
- ▶ **Rigorous proof:** rational solution to dual
- ▶ Infinitely many dual constraints:

Improvements

- ▶ Independent $X \subset \mathbb{S}^2$
- ▶ $u^t := \{v \in \mathbb{S}^2 : v \cdot u = t\}$
- ▶ $k(t) = \frac{\Pr_{u \cdot v = t}[u, v \in X]}{\mu(X)} = \Pr_{u \in v^t}[u \in X | v \in X]$
- ▶ **Idea:** $(v^t, \{\text{orthogonal}\}) \supseteq C_{2k+1} \Rightarrow k(t) \leq \frac{k}{2k+1}$
- ▶ 3-cycle and 5-cycle \Rightarrow **improve** to 0.313
- ▶ **Rigorous proof:** rational solution to dual
- ▶ Infinitely many dual constraints:
 - ▶ **First forty:** check by hand

Improvements

- ▶ Independent $X \subset \mathbb{S}^2$
- ▶ $u^t := \{v \in \mathbb{S}^2 : v \cdot u = t\}$
- ▶ $k(t) = \frac{\Pr_{u \cdot v = t}[u, v \in X]}{\mu(X)} = \Pr_{u \in v^t}[u \in X | v \in X]$
- ▶ **Idea:** $(v^t, \{\text{orthogonal}\}) \supseteq \mathcal{C}_{2k+1} \Rightarrow k(t) \leq \frac{k}{2k+1}$
- ▶ 3-cycle and 5-cycle \Rightarrow **improve** to 0.313
- ▶ **Rigorous proof:** rational solution to dual
- ▶ Infinitely many dual constraints:
 - ▶ **First forty:** check by hand
 - ▶ **Rest:** apply estimates by **Darboux 1878**

Selected Open Problems

Selected Open Problems

- ▶ Is $\alpha_n(0)$ given by two caps ?

Selected Open Problems

- ▶ Is $\alpha_n(0)$ given by two caps ?
- ▶ Is $\alpha_n(t)$ given by a cap for $t \leq -1/2$?

Selected Open Problems

- ▶ Is $\alpha_n(0)$ given by two caps ?
- ▶ Is $\alpha_n(t)$ given by a cap for $t \leq -1/2$?
- ▶ Is $\lim_{t \nearrow 1} \alpha_n(t) = \alpha(\mathbb{R}^n)$?

Selected Open Problems

- ▶ Is $\alpha_n(0)$ given by two caps ?
- ▶ Is $\alpha_n(t)$ given by a cap for $t \leq -1/2$?
- ▶ Is $\lim_{t \nearrow 1} \alpha_n(t) = \alpha(\mathbb{R}^n)$?
- ▶ Is $\alpha_n(t)$ continuous for $t \in (-1, 1)$?

Selected Open Problems

- ▶ Is $\alpha_n(0)$ given by two caps ?
- ▶ Is $\alpha_n(t)$ given by a cap for $t \leq -1/2$?
- ▶ Is $\lim_{t \nearrow 1} \alpha_n(t) = \alpha(\mathbb{R}^n)$?
- ▶ Is $\alpha_n(t)$ continuous for $t \in (-1, 1)$?
 - ▶ Yes at $t = -1$

Selected Open Problems

- ▶ Is $\alpha_n(0)$ given by two caps ?
- ▶ Is $\alpha_n(t)$ given by a cap for $t \leq -1/2$?
- ▶ Is $\lim_{t \nearrow 1} \alpha_n(t) = \alpha(\mathbb{R}^n)$?
- ▶ Is $\alpha_n(t)$ continuous for $t \in (-1, 1)$?
 - ▶ Yes at $t = -1$
 - ▶ No at $t = 1$

Thank you!