

# Formal Proofs for Rect as a Complete Semidistributive Lattice

## Definitions

Let  $\text{Rect}$  be the set of all axis-aligned rectangles with coordinates  $(\text{left}, \text{top}, \text{right}, \text{bottom})$  where  $\text{left} \leq \text{right}$  and  $\text{top} \leq \text{bottom}$ , plus the empty rectangle  $\square$ .

## Notation Convention

Throughout these proofs, let  $R_1, R_2, R_3$  denote arbitrary rectangles where each is either:

- $\square$  (the empty rectangle), or
- A non-empty rectangle with coordinates, where:
  - $R_n = (l_n, t_n, r_n, b_n)$  with  $l_n \leq r_n$  and  $t_n \leq b_n$

When specific rectangles are needed, we use  $R_1 = (l_1, t_1, r_1, b_1)$ ,  $R_2 = (l_2, t_2, r_2, b_2)$ ,  $R_3 = (l_3, t_3, r_3, b_3)$ , etc.

## Operations

### Join Operation ( $\sqcup$ ):

- If  $R_1 = \square$ , then  $R_1 \sqcup R_2 = R_2$
- If  $R_2 = \square$ , then  $R_1 \sqcup R_2 = R_1$
- Otherwise:  $R_1 \sqcup R_2 = (\min(l_1, l_2), \min(t_1, t_2), \max(r_1, r_2), \max(b_1, b_2))$

### Meet Operation ( $\sqcap$ ):

- If  $R_1 = \square$  or  $R_2 = \square$ , then  $R_1 \sqcap R_2 = \square$
- Otherwise:  $R_1 \sqcap R_2 = (\max(l_1, l_2), \max(t_1, t_2), \min(r_1, r_2), \min(b_1, b_2))$ 
  - If the result has  $\text{left} > \text{right}$  or  $\text{top} > \text{bottom}$ , return  $\square$

## Identity Elements:

- $\text{EMPTY} = \square$  (the empty rectangle)
- $\text{PLANE} = \square = (-\infty, -\infty, +\infty, +\infty)$  (the infinite plane)

**Partial Order:**  $R_1 \leq R_2$  iff  $R_1 \sqcap R_2 = R_1$  (equivalently,  $R_1 \sqcup R_2 = R_2$ )

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## 1. Identity Element Proofs

**Theorem 1.1:**  $R_1 \sqcup \square = R_1$

**Proof:** By definition of join:

- If  $R_1 = \square$ : then  $\square \mid \square = \square \checkmark$
- If  $R_1 \neq \square$ : then  $R_1 \mid \square = R_1 \checkmark$

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**Theorem 1.2:**  $R_1 \& \square = R_1$

**Proof:** Case 1:  $R_1 = \square$

- By definition,  $\square \& \square = \square \checkmark$

Case 2:  $R_1 \neq \square$

$$\begin{aligned}
 R_1 \& \square &= (l_1, t_1, r_1, b_1) \& (-\infty, -\infty, +\infty, +\infty) \\
 &= (\max(l_1, -\infty), \max(t_1, -\infty), \min(r_1, +\infty), \min(b_1, +\infty)) \\
 &= (l_1, t_1, r_1, b_1) \\
 &= R_1 \checkmark
 \end{aligned}$$

## 2. Absorbing Element Proofs

**Theorem 2.1:**  $R_1 \mid \square = \square$

**Proof:** Case 1:  $R_1 = \square$

- $\square \mid \square = \square$  by join definition  $\checkmark$

Case 2:  $R_1 \neq \square$

$$\begin{aligned}
 R_1 \mid \square &= (l_1, t_1, r_1, b_1) \mid (-\infty, -\infty, +\infty, +\infty) \\
 &= (\min(l_1, -\infty), \min(t_1, -\infty), \max(r_1, +\infty), \max(b_1, +\infty)) \\
 &= (-\infty, -\infty, +\infty, +\infty) \\
 &= \square \checkmark
 \end{aligned}$$

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**Theorem 2.2:**  $R_1 \& \square = \square$

**Proof:** By definition of meet:  $R_1 \& \square = \square \checkmark$

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## 3. Idempotency Proofs

**Theorem 3.1:**  $R_1 \mid R_1 = R_1$

**Proof:** Case 1:  $R_1 = \square$

- $\square \mid \square = \square$  by definition ✓

Case 2:  $R_1 \neq \square$

$$\begin{aligned} R_1 \mid R_1 &= (l_1, t_1, r_1, b_1) \mid (l_1, t_1, r_1, b_1) \\ &= (\min(l_1, l_1), \min(t_1, t_1), \max(r_1, r_1), \max(b_1, b_1)) \\ &= (l_1, t_1, r_1, b_1) \\ &= R_1 \quad \checkmark \end{aligned}$$

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**Theorem 3.2:**  $R_1 \& R_1 = R_1$

**Proof:** Case 1:  $R_1 = \square$

- $\square \& \square = \square$  by definition ✓

Case 2:  $R_1 \neq \square$

$$\begin{aligned} R_1 \& R_1 &= (l_1, t_1, r_1, b_1) \& (l_1, t_1, r_1, b_1) \\ &= (\max(l_1, l_1), \max(t_1, t_1), \min(r_1, r_1), \min(b_1, b_1)) \\ &= (l_1, t_1, r_1, b_1) \\ &= R_1 \quad \checkmark \end{aligned}$$

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## 4. Commutativity Proofs

**Theorem 4.1:**  $R_1 \mid R_2 = R_2 \mid R_1$

**Proof:** Case 1: Either  $R_1 = \square$  or  $R_2 = \square$

- If  $R_1 = \square$ :  $\square \mid R_2 = R_2 = R_2 \mid \square$  ✓
- If  $R_2 = \square$ :  $R_1 \mid \square = R_1 = \square \mid R_1$  ✓

Case 2: Both  $R_1 \neq \square$  and  $R_2 \neq \square$

$$R_1 \mid R_2 = (\min(l_1, l_2), \min(t_1, t_2), \max(r_1, r_2), \max(b_1, b_2))$$

Since  $\min$  and  $\max$  are commutative, this equals:

$$(\min(l_2, l_1), \min(t_2, t_1), \max(r_2, r_1), \max(b_2, b_1)) = R_2 \mid R_1 \checkmark$$

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**Theorem 4.2:**  $R_1 \& R_2 = R_2 \& R_1$

**Proof: Case 1:** Either  $R_1 = \square$  or  $R_2 = \square$

- $\square \& R_2 = \square = R_2 \& \square \checkmark$

**Case 2:** Both  $R_1 \neq \square$  and  $R_2 \neq \square$

$$R_1 \& R_2 = (\max(l_1, l_2), \max(t_1, t_2), \min(r_1, r_2), \min(b_1, b_2))$$

Since  $\min$  and  $\max$  are commutative, this equals:

$$(\max(l_2, l_1), \max(t_2, t_1), \min(r_2, r_1), \min(b_2, b_1)) = R_2 \& R_1 \checkmark$$

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## 5. Associativity Proofs

**Theorem 5.1:**  $(R_1 \mid R_2) \mid R_3 = R_1 \mid (R_2 \mid R_3)$

**Proof: Case 1:** Any of  $R_1$ ,  $R_2$ , or  $R_3$  is  $\square$

- If  $R_1 = \square$ :  $(\square \mid R_2) \mid R_3 = R_2 \mid R_3 = \square \mid (R_2 \mid R_3) \checkmark$
- If  $R_2 = \square$ :  $(R_1 \mid \square) \mid R_3 = R_1 \mid R_3 = R_1 \mid (\square \mid R_3) \checkmark$
- If  $R_3 = \square$ :  $(R_1 \mid R_2) \mid \square = R_1 \mid R_2 = R_1 \mid (R_2 \mid \square) \checkmark$

**Case 2:** All non-empty

Left side:

$$R_1 \mid R_2 = (\min(l_1, l_2), \min(t_1, t_2), \max(r_1, r_2), \max(b_1, b_2))$$

$$\begin{aligned} (R_1 \mid R_2) \mid R_3 &= (\min(\min(l_1, l_2), l_3), \\ &\quad \min(\min(t_1, t_2), t_3), \\ &\quad \max(\max(r_1, r_2), r_3), \\ &\quad \max(\max(b_1, b_2), b_3)) \end{aligned}$$

Right side:

$$R_2 \mid R_3 = (\min(l_2, l_3), \min(t_2, t_3), \max(r_2, r_3), \max(b_2, b_3))$$

$$\begin{aligned} R_1 \mid (R_2 \mid R_3) &= (\min(l_1, \min(l_2, l_3)), \\ &\quad \min(t_1, \min(t_2, t_3)), \\ &\quad \max(r_1, \max(r_2, r_3)), \\ &\quad \max(b_1, \max(b_2, b_3))) \end{aligned}$$

By associativity of  $\min$  and  $\max$ , both sides are equal ✓

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**Theorem 5.2:**  $(R_1 \& R_2) \& R_3 = R_1 \& (R_2 \& R_3)$

**Proof: Case 1:** Any of  $R_1$ ,  $R_2$ , or  $R_3$  is  $\square$

- $(\square \& R_2) \& R_3 = \square \& R_3 = \square = \square \& (R_2 \& R_3)$  ✓
- Similar for  $R_2 = \square$  or  $R_3 = \square$  ✓

**Case 2:** All non-empty

Left side:

$$R_1 \& R_2 = (\max(l_1, l_2), \max(t_1, t_2), \min(r_1, r_2), \min(b_1, b_2))$$

$$\begin{aligned} (R_1 \& R_2) \& R_3 = (\max(\max(l_1, l_2), l_3), \\ &\quad \max(\max(t_1, t_2), t_3), \\ &\quad \min(\min(r_1, r_2), r_3), \\ &\quad \min(\min(b_1, b_2), b_3)) \end{aligned}$$

Right side:

$$R_2 \& R_3 = (\max(l_2, l_3), \max(t_2, t_3), \min(r_2, r_3), \min(b_2, b_3))$$

$$\begin{aligned} R_1 \& (R_2 \& R_3) = (\max(l_1, \max(l_2, l_3)), \\ &\quad \max(t_1, \max(t_2, t_3)), \\ &\quad \min(r_1, \min(r_2, r_3)), \\ &\quad \min(b_1, \min(b_2, b_3))) \end{aligned}$$

By associativity of  $\min$  and  $\max$ , both sides are equal ✓

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## 6. Absorption Laws

**Theorem 6.1:**  $R_1 \mid (R_1 \& R_2) = R_1$

**Proof: Case 1:**  $R_1 = \square$

$$\square \mid (\square \& R_2) = \square \mid \square = \square \checkmark$$

**Case 2:**  $R_2 = \square$

$$R_1 \mid (R_1 \& \square) = R_1 \mid \square = R_1 \checkmark$$

**Case 3:** Both  $R_1 \neq \square$  and  $R_2 \neq \square$

$$R_1 \& R_2 = (\max(l_1, l_2), \max(t_1, t_2), \min(r_1, r_2), \min(b_1, b_2))$$

If  $R_1 \& R_2 = \square$  (non-intersecting), then  $R_1 \mid (R_1 \& R_2) = R_1 \mid \square = R_1 \checkmark$

If  $R_1 \& R_2 \neq \square$ , then:

$$\begin{aligned} R_1 \mid (R_1 \& R_2) &= (\min(l_1, \max(l_1, l_2)), \\ &\quad \min(t_1, \max(t_1, t_2)), \\ &\quad \max(r_1, \min(r_1, r_2)), \\ &\quad \max(b_1, \min(b_1, b_2))) \end{aligned}$$

Since  $l_1 \leq \max(l_1, l_2)$ :  $\min(l_1, \max(l_1, l_2)) = l_1$  Since  $t_1 \leq \max(t_1, t_2)$ :  $\min(t_1, \max(t_1, t_2)) = t_1$  Since  $r_1 \geq \min(r_1, r_2)$ :  $\max(r_1, \min(r_1, r_2)) = r_1$  Since  $b_1 \geq \min(b_1, b_2)$ :  $\max(b_1, \min(b_1, b_2)) = b_1$

Therefore:  $R_1 \mid (R_1 \& R_2) = (l_1, t_1, r_1, b_1) = R_1 \checkmark$

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**Theorem 6.2:**  $R_1 \& (R_1 \mid R_2) = R_1$

**Proof: Case 1:**  $R_1 = \square$

$$\square \& (\square \mid R_2) = \square \& R_2 = \square \checkmark$$

**Case 2:**  $R_2 = \square$

$$R_1 \& (R_1 \mid \square) = R_1 \& R_1 = R_1 \checkmark$$

**Case 3:** Both  $R_1 \neq \square$  and  $R_2 \neq \square$

$$R_1 \mid R_2 = (\min(l_1, l_2), \min(t_1, t_2), \max(r_1, r_2), \max(b_1, b_2))$$

$$\begin{aligned} R_1 \& (R_1 \mid R_2) &= (\max(l_1, \min(l_1, l_2)), \\ & \max(t_1, \min(t_1, t_2)), \\ & \min(r_1, \max(r_1, r_2)), \\ & \min(b_1, \max(b_1, b_2))) \end{aligned}$$

Since  $l_1 \geq \min(l_1, l_2)$ :  $\max(l_1, \min(l_1, l_2)) = l_1$  Since  $t_1 \geq \min(t_1, t_2)$ :  $\max(t_1, \min(t_1, t_2)) = t_1$  Since  $r_1 \leq \max(r_1, r_2)$ :  $\min(r_1, \max(r_1, r_2)) = r_1$  Since  $b_1 \leq \max(b_1, b_2)$ :  $\min(b_1, \max(b_1, b_2)) = b_1$

Therefore:  $R_1 \& (R_1 \mid R_2) = (l_1, t_1, r_1, b_1) = R_1 \checkmark$

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## 7. Partial Order Properties

**Theorem 7.1: Least Element ( $\square \leq R_1$ )**

**Proof:** We must show  $\square \& R_1 = \square$  for all  $R_1$ .

By definition of meet:  $\square \& R_1 = \square \checkmark$

Therefore  $\square \leq R_1$  for all  $R_1 \checkmark$

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**Theorem 7.2: Greatest Element ( $R_1 \leq \square$ )**

**Proof:** We must show  $R_1 \& \square = R_1$  for all  $R_1$ .

This was proven in Theorem 1.2  $\checkmark$

Therefore  $R_1 \leq \square$  for all  $R_1 \checkmark$

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**Theorem 7.3: Reflexivity ( $R_1 \leq R_1$ )**

**Proof:** We must show  $R_1 \& R_1 = R_1$ .

This was proven in Theorem 3.2 (idempotency of meet)  $\checkmark$

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**Theorem 7.4: Transitivity ( $R_1 \leq R_2 \wedge R_2 \leq R_3 \implies R_1 \leq R_3$ )**

**Proof:** Assume  $R_1 \leq R_2$  and  $R_2 \leq R_3$ .

By definition:  $R_1 \& R_2 = R_1$  and  $R_2 \& R_3 = R_2$ .

We must show  $R_1 \& R_3 = R_1$ .

$$\begin{aligned}
 R_1 \& R_3 &= (R_1 \& R_2) \& R_3 && [\text{since } R_1 \& R_2 = R_1] \\
 &= R_1 \& (R_2 \& R_3) && [\text{by associativity, Theorem 5.2}] \\
 &= R_1 \& R_2 && [\text{since } R_2 \& R_3 = R_2] \\
 &= R_1 && [\text{by assumption}]
 \end{aligned}$$

Therefore  $R_1 \leq R_3$  ✓

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**Theorem 7.5: Antisymmetry ( $R_1 \leq R_2 \wedge R_2 \leq R_1 \implies R_1 = R_2$ )**

**Proof:** Assume  $R_1 \leq R_2$  and  $R_2 \leq R_1$ .

By definition:  $R_1 \& R_2 = R_1$  and  $R_2 \& R_1 = R_2$ .

By commutativity (Theorem 4.2):  $R_1 \& R_2 = R_2 \& R_1$ .

Therefore:  $R_1 = R_1 \& R_2 = R_2 \& R_1 = R_2$  ✓

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## 8. Monotonicity

**Theorem 8.1: Join Monotonicity**

**Statement:** If  $R_1 \leq R_2$  and  $R_3 \leq R_4$ , then  $R_1 | R_3 \leq R_2 | R_4$ .

**Proof:** Assume  $R_1 \leq R_2$  and  $R_3 \leq R_4$ .

By definition:  $R_1 \& R_2 = R_1$  and  $R_3 \& R_4 = R_3$ .

For non-empty rectangles:

- If  $R_1 = (l_1, t_1, r_1, b_1)$  and  $R_2 = (l_2, t_2, r_2, b_2)$  with  $R_1 \leq R_2$ , then coordinate-wise:  $l_2 \leq l_1 \leq r_1$  and  $t_2 \leq t_1 \leq b_1 \leq b_2$
- If  $R_3 = (l_3, t_3, r_3, b_3)$  and  $R_4 = (l_4, t_4, r_4, b_4)$  with  $R_3 \leq R_4$ , then coordinate-wise:  $l_4 \leq l_3 \leq r_3$  and  $t_4 \leq t_3 \leq b_3 \leq b_4$

Then:

$$R_1 | R_3 = (\min(l_1, l_3), \min(t_1, t_3), \max(r_1, r_3), \max(b_1, b_3))$$

$$R_2 | R_4 = (\min(l_2, l_4), \min(t_2, t_4), \max(r_2, r_4), \max(b_2, b_4))$$

Since the inequalities hold coordinate-wise and  $\min/\max$  preserve order:

$$\begin{aligned}\min(l_2, l_4) &\leq \min(l_1, l_3), \min(t_2, t_4) \leq \min(t_1, t_3) \\ \max(r_1, r_3) &\leq \max(r_2, r_4), \max(b_1, b_3) \leq \max(b_2, b_4)\end{aligned}$$

Therefore  $R_1 \mid R_3 \leq R_2 \mid R_4$  ✓

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### Theorem 8.2: Meet Monotonicity

**Statement:** If  $R_1 \leq R_2$  and  $R_3 \leq R_4$ , then  $R_1 \& R_3 \leq R_2 \& R_4$ .

**Proof:** Similar coordinate-wise argument as Theorem 8.1.

For non-empty rectangles with  $R_1 \leq R_2$  and  $R_3 \leq R_4$ :

$$R_1 \& R_3 = (\max(l_1, l_3), \max(t_1, t_3), \min(r_1, r_3), \min(b_1, b_3))$$

$$R_2 \& R_4 = (\max(l_2, l_4), \max(t_2, t_4), \min(r_2, r_4), \min(b_2, b_4))$$

Since  $\min/\max$  preserve the coordinate inequalities:

$$\max(l_2, l_4) \leq \max(l_1, l_3), \max(t_2, t_4) \leq \max(t_1, t_3)$$

$$\min(r_1, r_3) \leq \min(r_2, r_4), \min(b_1, b_3) \leq \min(b_2, b_4)$$

Therefore  $R_1 \& R_3 \leq R_2 \& R_4$  ✓

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## 9. Completeness

### Theorem 9.1: Arbitrary Joins Exist

**Statement:** For any  $S \subseteq \text{Rect}$ , the supremum  $\bigvee S$  exists.

**Proof:** If  $S = \emptyset$ , define  $\bigvee S = \square$ .

Otherwise, define:

$$L = \inf \{ l \mid (l, t, r, b) \in S, (l, t, r, b) \neq \square \}$$

$$T = \inf \{ t \mid (l, t, r, b) \in S, (l, t, r, b) \neq \square \}$$

$$R = \sup \{ r \mid (l, t, r, b) \in S, (l, t, r, b) \neq \square \}$$

$$B = \sup \{ b \mid (l, t, r, b) \in S, (l, t, r, b) \neq \square \}$$

and set:

$$\bigvee S = (L, T, R, B)$$

This rectangle is well-defined since  $L \leq R$  and  $T \leq B$ .

For all  $R_i \in S$ , we have  $R_i \leq \bigvee S$  by construction.

If  $u$  is any upper bound of  $S$ , then coordinate-wise:

$$l_u \leq L, t_u \leq T, R \leq r_u, B \leq b_u$$

hence  $\bigvee S \leq u$ .

Therefore  $\bigvee S$  is the least upper bound of  $S$  ✓

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### Theorem 9.2: Arbitrary Meets Exist

**Statement:** For any  $S \subseteq \text{Rect}$ , the infimum  $\bigwedge S$  exists.

**Proof:** If  $S = \emptyset$ , define  $\bigwedge S = \square$ .

Otherwise define:

$$L = \sup \{ l \mid (l, t, r, b) \in S \}$$

$$T = \sup \{ t \mid (l, t, r, b) \in S \}$$

$$R = \inf \{ r \mid (l, t, r, b) \in S \}$$

$$B = \inf \{ b \mid (l, t, r, b) \in S \}$$

If  $L > R$  or  $T > B$ , define  $\bigwedge S = \square$ ; otherwise:

$$\bigwedge S = (L, T, R, B)$$

For all  $R_i \in S$ , we have  $\bigwedge S \leq R_i$  by construction.

If  $m$  is any lower bound of  $S$ , then coordinate-wise:

$$l_m \geq L, t_m \geq T, r_m \leq R, b_m \leq B$$

hence  $m \leq \bigwedge S$ .

Therefore  $\bigwedge S$  is the greatest lower bound of  $S$  ✓

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### Corollary 9.3: Rect is a Complete Lattice

**Statement:**  $(\text{Rect}, \sqcup, \sqcap, \sqsupseteq, \sqsubseteq)$  is a complete lattice.

**Proof:** By Theorems 9.1 and 9.2, arbitrary joins and meets exist ✓

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## 10. Semidistributivity

### Lemma 10.1: Meet Projections

**Statement:** For all  $R_1, R_2$ :  $R_1 \& R_2 \leq R_1$  and  $R_1 \& R_2 \leq R_2$ .

**Proof:**

$$(R_1 \& R_2) \& R_1 = R_1 \& R_2 \quad [\text{by associativity and idempotency}]$$

$$(R_1 \& R_2) \& R_2 = R_1 \& R_2 \quad [\text{by associativity and idempotency}]$$

Therefore  $R_1 \& R_2 \leq R_1$  and  $R_1 \& R_2 \leq R_2$  ✓

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### Lemma 10.2: Join Injections

**Statement:** For all  $R_1, R_2$ :  $R_1 \leq R_1 | R_2$  and  $R_2 \leq R_1 | R_2$ .

**Proof:**

$$R_1 \& (R_1 | R_2) = R_1 \quad [\text{by absorption, Theorem 6.2}]$$

$$R_2 \& (R_1 | R_2) = R_2 \quad [\text{by absorption, Theorem 6.2}]$$

Therefore  $R_1 \leq R_1 | R_2$  and  $R_2 \leq R_1 | R_2$  ✓

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### Theorem 10.3: Meet-Semidistributivity

**Statement:**  $(R_1 \& R_2) | (R_1 \& R_3) \leq R_1 \& (R_2 | R_3)$  for all  $R_1, R_2, R_3$ .

**Proof:** By Lemma 10.1:

$$(R_1 \& R_2) \leq R_1, \quad (R_1 \& R_3) \leq R_1$$

hence by monotonicity (Theorem 8.1):

$$(R_1 \& R_2) | (R_1 \& R_3) \leq R_1$$

Also by Lemma 10.1:

$$(R_1 \& R_2) \leq R_2, \quad (R_1 \& R_3) \leq R_3$$

hence by monotonicity:

$$(R_1 \& R_2) | (R_1 \& R_3) \leq R_2 | R_3$$

Since  $(R_1 \& R_2) | (R_1 \& R_3) \leq R_1$  and  $(R_1 \& R_2) | (R_1 \& R_3) \leq R_2 | R_3$ :

$$(R_1 \& R_2) | (R_1 \& R_3) \leq R_1 \& (R_2 | R_3)$$

■

#### Theorem 10.4: Join-Semidistributivity

**Statement:**  $R_1 | (R_2 \& R_3) \leq (R_1 | R_2) \& (R_1 | R_3)$  for all  $R_1, R_2, R_3$ .

**Proof:** By Lemma 10.2:

$$R_1 \leq R_1 | R_2, \quad R_1 \leq R_1 | R_3$$

hence by monotonicity (Theorem 8.2):

$$R_1 \leq (R_1 | R_2) \& (R_1 | R_3)$$

Also by Lemma 10.1:

$$R_2 \& R_3 \leq R_2, \quad R_2 \& R_3 \leq R_3$$

hence by monotonicity:

$$R_2 \& R_3 \leq R_1 | R_2 \quad \text{and} \quad R_2 \& R_3 \leq R_1 | R_3$$

Therefore:

$$R_2 \& R_3 \leq (R_1 | R_2) \& (R_1 | R_3)$$

Since  $R_1 \leq (R_1 | R_2) \& (R_1 | R_3)$  and  $R_2 \& R_3 \leq (R_1 | R_2) \& (R_1 | R_3)$ :

$$R_1 | (R_2 \& R_3) \leq (R_1 | R_2) \& (R_1 | R_3)$$

## 11. Failure of Modularity

The modular law states:

| If  $R_1 \leq R_2$ , then  $R_1 | (R_3 \& R_2) = (R_1 | R_3) \& R_2$

We demonstrate with an explicit counterexample that the Rect lattice is not modular.

**Theorem 11.1: The Rect Lattice is Not Modular**

**Counterexample:** Let:

$R_1 = (0, 0, 1, 1)$  [small  $1 \times 1$  square]

$R_2 = (0, 0, 1, 2)$  [ $1 \times 2$  rectangle containing  $R_1$ ]

$R_3 = (2, 0, 3, 2)$  [disjoint  $1 \times 2$  rectangle]

Verify the precondition  $R_1 \leq R_2$ :

$$\begin{aligned} R_1 \& R_2 &= (\max(0, 0), \max(0, 0), \min(1, 1), \min(1, 2)) \\ &= (0, 0, 1, 1) \\ &= R_1 \checkmark \end{aligned}$$

So indeed  $R_1 \leq R_2$ .

**Left-hand side:**  $R_1 | (R_3 \& R_2)$

$$\begin{aligned} R_3 \& R_2 &= (\max(2, 0), \max(0, 0), \min(3, 1), \min(2, 2)) \\ &= (2, 0, 1, 2) \\ &= \square \text{ [since } 2 > 1] \end{aligned}$$

$$\begin{aligned} R_1 | (R_3 \& R_2) &= R_1 | \square \\ &= (0, 0, 1, 1) \\ &= R_1 \end{aligned}$$

**Right-hand side:**  $(R_1 | R_3) \& R_2$

$$\begin{aligned} R_1 \mid R_3 &= (\min(0, 2), \min(0, 0), \max(1, 3), \max(1, 2)) \\ &= (0, 0, 3, 2) \end{aligned}$$

$$\begin{aligned} (R_1 \mid R_3) \& R_2 &= (\max(0, 0), \max(0, 0), \min(3, 1), \min(2, 2)) \\ &= (0, 0, 1, 2) \\ &= R_2 \end{aligned}$$

**Result:**

$$R_1 \mid (R_3 \& R_2) = (0, 0, 1, 1) \neq (0, 0, 1, 2) = (R_1 \mid R_3) \& R_2$$

Therefore the modular law fails  $\times$

### Geometric Intuition

The failure occurs because:

1.  $R_3$  and  $R_2$  are disjoint, so  $R_3 \& R_2 = \square$
2. The join  $R_1 \mid R_3$  creates a **bounding box** that spans from  $R_1$  to  $R_3$ , including the empty space between them:  $(0, 0, 3, 2)$
3. When this bounding box is intersected with  $R_2$ , we get all of  $R_2$ :  $(0, 0, 1, 2)$
4. But the left side just gives us  $R_1$ :  $(0, 0, 1, 1)$

The key insight: **The join operation adds "virtual" space between disjoint rectangles.** This space persists through subsequent meet operations, violating the modular law's expectation that operations should be "well-behaved" when  $R_1 \leq R_2$ .

In a true modular lattice, the constraint  $R_1 \leq R_2$  ensures that operations involving  $R_1$ ,  $R_2$ , and any  $R_3$  maintain certain symmetries. But in the Rect lattice, the bounding box operation breaks this symmetry by including regions that aren't actually part of either input rectangle.

■

## 12. Failure of Full Distributivity

Since distributivity implies modularity, and we have shown that modularity fails (Theorem 11.1), it follows that **distributivity must also fail**.

However, we provide an explicit counterexample to illustrate the specific manner in which distributivity fails in the Rect lattice.

**Counterexample for  $R_1 \& (R_2 \mid R_3) = (R_1 \& R_2) \mid (R_1 \& R_3)$**

Consider:

$$R_1 = (0, 0, 4, 4)$$

$$R_2 = (1, 1, 7, 3)$$

$$R_3 = (5, 2, 6, 5)$$

**Left side:**

$$R_2 \mid R_3 = (1, 1, 7, 5)$$

$$R_1 \& (R_2 \mid R_3) = (0, 0, 4, 4) \& (1, 1, 7, 5)$$

$$= (\max(0, 1), \max(0, 1), \min(4, 7), \min(4, 5))$$

$$= (1, 1, 4, 4)$$

**Right side:**

$$R_1 \& R_2 = (0, 0, 4, 4) \& (1, 1, 7, 3)$$

$$= (\max(0, 1), \max(0, 1), \min(4, 7), \min(4, 3))$$

$$= (1, 1, 4, 3)$$

$$R_1 \& R_3 = (0, 0, 4, 4) \& (5, 2, 6, 5)$$

$$= (\max(0, 5), \max(0, 2), \min(4, 6), \min(4, 5))$$

$$= (5, 2, 4, 4)$$

$$= \emptyset \text{ [since } 5 > 4\text{]}$$

$$(R_1 \& R_2) \mid (R_1 \& R_3) = (1, 1, 4, 3) \mid \emptyset$$

$$= (1, 1, 4, 3)$$

**Result:**

$$R_1 \& (R_2 \mid R_3) = (1, 1, 4, 4) \neq (1, 1, 4, 3) = (R_1 \& R_2) \mid (R_1 \& R_3)$$

Therefore distributivity fails  $\times$

### Why This Happens Geometrically

The key insight is:

- $R_2 \mid R_3$  is the **bounding box** of  $R_2$  and  $R_3$ , which includes regions not in either  $R_2$  or  $R_3$
- When we intersect  $R_1$  with this bounding box, we can include parts of  $R_1$  that don't intersect either  $R_2$  or  $R_3$
- But  $(R_1 \& R_2) \mid (R_1 \& R_3)$  only includes the parts of  $R_1$  that actually intersect  $R_2$  or  $R_3$

In the example above:

- Rectangle  $R_3$  doesn't intersect  $R_1$  at all ( $R_1 \& R_3 = \square$ )
  - But  $R_2 | R_3$  creates a bounding box that covers more area than just  $R_2$  and  $R_3$
  - The bottom coordinate:  $R_1 \& (R_2 | R_3)$  extends to  $4$  (from  $R_1$ ), while  $R_1 \& R_2$  only extends to  $3$  (from  $R_2$ )
  - Since  $R_1 \& R_3 = \square$ , the join  $(R_1 \& R_2) | (R_1 \& R_3)$  is just  $R_1 \& R_2 = (1, 1, 4, 3)$
- 

## Conclusion

We have proven that  $(\text{Rect}, |, \&, \square, \square)$  forms a **complete semidistributive lattice** with the following properties:

### Proven to hold:

- ✓ Identity elements ( $\square$  and  $\square$ )
- ✓ Absorbing elements
- ✓ Idempotency
- ✓ Commutativity
- ✓ Associativity
- ✓ Absorption laws
- ✓ Partial order properties (reflexivity, transitivity, antisymmetry, least and greatest elements)
- ✓ Monotonicity
- ✓ Completeness (arbitrary joins and meets exist)
- ✓ Semidistributivity (both inequalities)

### Proven to fail:

- ✗ Full distributivity (equality fails in both laws)
- ✗ Modularity (fails even when precondition holds)

The precise classification is: **Complete Semidistributive Non-Modular Lattice**.