## Math 249 Lecture 9 Notes

Daniel Raban

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### 1 Symmetric Functions and Young Diagrams

#### 1.1 Symmetric functions

We want to systematically write out the character table for  $S_n$ , and the classical way, going back to Frobenius, is to introduce algebras of symmetric functions.

**Definition 1.1.** The symmetric functions are  $\Lambda(x_1,\ldots,x_n)=\mathbb{Z}[x_1,\ldots,x_n]^{S_n}$ . That is, they are polynomials in n variables with integer coefficients that are invariant under permutations of the variables  $x_1,\ldots,x_n$ . We also define  $\Lambda_{\mathbb{R}}(x_1,\ldots,x_n)=\mathbb{R}[x_1,\ldots,x_n]^{S_n}$ .

There is a natural basis for these. Let  $\lambda = (\lambda_1, \dots \lambda_\ell)$  be an integer partition with  $\lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_\ell > 0$ . Take

$$m_{\lambda} = \sum_{\sigma \in S_n} x_{\sigma(1)}^{\lambda_1} x_{\sigma(2)}^{\lambda_2} \cdots x_{\sigma(\ell)}^{\lambda_{\ell}}.$$

These  $m_{\lambda}$  functions form our basis.

**Example 1.1.** Some examples of the symmetric functions in this basis are

$$m_{(k)} = x_1^k + x_2^k + \dots + x_n^k$$

$$m_{\underbrace{(1,1,\ldots,1)}_{k}} = \sum_{\substack{I\subseteq[n]\\|I|=k}} \prod_{i\in I} x_i.$$

Why are the  $m_{\lambda}$  a basis? They are linearly independent because no two distinct  $m_{\lambda}$  share any terms (look at the exponents of the  $x_i$ ). And for the symmetric functions of degree at most N, there are enough enough linearly independent  $m_{\lambda}$  with  $|\lambda| = \lambda_1 + \cdots + \lambda_n = N$ ; in fact,  $\{m_{\lambda} : |\lambda| = N\}$  spans each  $\Lambda[x_1, \ldots, x_n]_{(N)}$ . and so the  $m_{\lambda}$  span the whole space.

We can define the symmetric functions for infinitely many variables, too. Let

$$\Lambda_{\mathbb{R}} = \Lambda_{\mathbb{R}}(x_1, x_2, \dots),$$

where each symmetric function will have infinitely many terms.

#### 1.2 Young diagrams

**Definition 1.2.** Given a partition  $\lambda$ , the *young diagram* of  $\lambda$  is the partition expressed as stacked rows of boxes.

**Example 1.2.** Take  $\lambda = (4, 3, 3, 2, 1)$ . The Young diagram of  $\lambda$  is



**Definition 1.3.** Let  $\lambda$  be a partition. The *transpose* of  $\lambda$ ,  $\lambda^*$ , is the partition  $\lambda_i^* = |\{j : \lambda_j \geq i\}|$ .

Much more intuitively, the Young diagram of  $\lambda^*$  is the transpose of the Young diagram of  $\lambda$ .

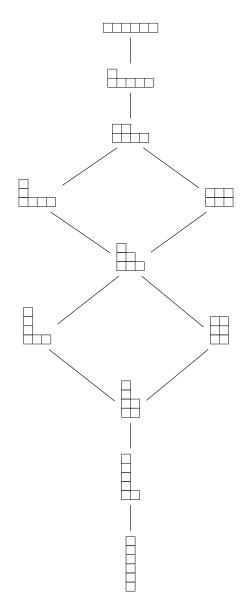
**Example 1.3.** Take  $\lambda = (4, 3, 3, 2, 1)$ , as before. Then the Young diagram of  $\lambda^*$  is:



So we can see that  $\lambda^* = (5, 4, 3, 1)$ .

Call  $|\lambda| = \sum \lambda_i$  = number of boxes. We can form a partial ordering on  $\{\lambda : |\lambda| = n\}$  by  $\lambda \leq \mu$  iff  $\lambda_1 + \dots + \lambda_k \leq \mu_1 + \dots + \mu_k$  for all k.

**Example 1.4.** Take the partitions of 6 (4,2), (3,2,1), and (3,1,1,1). Then  $(4,2) \le (3,2,1) \le (3,1,1,1)$ . But we also have that (4,1,1) is not comparable to (3,3). The partial order on partitions of 6 can be summarized in the following diagram:



# 1.3 Elementary symmetric functions

**Definition 1.4.** Let  $\lambda = (\lambda_1, \lambda_2, \dots, \lambda_\ell)$  be a partition. The elementary symmetric function  $e_{\lambda}$  is defined by

$$e_{\lambda} = e_{\lambda_1} e_{\lambda_2} \cdots e_{\lambda_\ell}$$
, where  $e_k = m_{\underbrace{(1, 1, \dots, 1)}_{k}}$ .

**Proposition 1.1.** Let  $\lambda, \mu$  be partitions of n. Then  $e_{\lambda} = \sum_{\mu} a_{\lambda,\mu} m_{\mu}$ , where

- 1.  $a_{\lambda,\mu} = number \ of \ 0$ -1 matrices with row-sums  $\lambda_1, \lambda_2, \ldots$  and column sums  $\mu_1, \mu_2, \ldots$
- 2.  $a_{\lambda,\lambda^*}=1$
- 3.  $a_{\lambda,\mu} = 0$  if  $\mu \nleq \lambda^*$ .

**Example 1.5.** For partitions of n = 3, we have

$$\begin{split} e_{(3)} &= m_{(1,1,1)}, \\ e_{(2,1)} &= m_{(2,1)} + 3 m_{(1,1,1)}, \\ e_{(1,1,1)} &= m_{(3)} + 3 m_{(2,1)} + 6 m_{(1,1,1)}. \end{split}$$

Proof. (1) Since symmetric functions must be invariant under permuting the variables, to find the coefficient  $a_{\lambda,\mu}$ , we need only find the coefficient in front of a single monomial in each  $m_{\mu}$ ; we pick the monomial  $x_1^{\mu_1} x_2^{\mu_2} \cdots x_{\ell}^{\mu_{\ell}}$ . Each monomial in each  $e_{\lambda_i}$  will be a product of  $\lambda_i$  different variables, each with exponent 1; then we can find the coefficient of a monomial in  $e_{\lambda}$  by finding the number of ways to trace back where its  $x_i$  terms could have come from in the product of the  $e_{\lambda_i}$ .

Now consider the following matrix (represented as a table):

We fill in this matrix as follows: Think of the column j as picking an  $x_j$  from some of  $e_{\lambda_1}, e_{\lambda_2}, \ldots, e_{\lambda_\ell}$  (this is "where the  $x_j$  term came from" when you multiply the  $e_{\lambda_i}$ ), and place a 1 in the space i, j if an  $x_j$  term comes from  $h_{\lambda_i}$  and a 0 otherwise. The product of all the  $x_j$  terms in a column should give  $x_j^{\mu_j}$  (since this is the monomial in  $e_{\lambda}$  that we are looking at), so this is a matrix with column-sums  $\mu_j$ . Similarly, each monomial in  $e_{\lambda_i}$  has  $\lambda_i$  variables, so the 1s in row i should add to be  $\lambda_i$ . We have produced a bijection between these matrices and the number of ways to get  $x_1^{\mu_1} x_2^{\mu_2} \cdots x_\ell^{\mu_\ell}$  from the product of the  $e_{\lambda_i}$ , so the first part is proved.

(2) To prove the second assertion, construct the matrix above, where the 1s are placed in the pattern of the upside-down Young diagram of  $\lambda$ . For example, for  $\lambda = (3, 2, 1, 1)$ , construct the matrix

	$\lambda_1^*$	$\lambda_2^*$	$\lambda_3^*$
$\lambda_1$	1	1	1
$\lambda_2$	1	1	0
$\lambda_3$	1	0	0
$\lambda_4$	1	0	0

This matrix has row sums  $\lambda_i$  and column-sums  $\lambda_j^*$ ; the latter fact follows from the fact that the Young diagram of the transpose of a partition is the transpose of its Young diagram, and the column-sums of the matrix are the row-sums of its transpose.

The existence of the aforementioned matrix shows that  $a_{\lambda,\lambda^*} \geq 1$ . However, this is the only such matrix possible. Assume that  $\lambda$  and  $\lambda^*$  have been written in decreasing order (as we have been doing). The first column of the matrix must have  $\lambda_1^*$  1s, which is the number of  $\lambda_i$ ; then the entire first column must be filled with 1s. Then the second columns must have  $\lambda_2^*$  1s, which is the number of  $\lambda_i$  that are greater than 1; then these all must be filled with 1s. Then at each step, we have that column j must be filled from the top downwards, filling exactly the rows i such that  $\lambda_i \geq j$ . This produces the matrix described above, so  $a_{\lambda,\lambda^*} = 1$ .

(3) We use a "rolling ball" argument. Suppose  $\mu$  is a partition with  $a_{\lambda,\mu} > 0$ ; we show that  $\mu \leq \lambda^*$ . Since  $a_{\lambda,\mu} > 0$ , there exists some 1-0 matrix with row-sums  $\lambda_i$  and columnsums  $\mu_i$ ; construct this matrix. For example, if  $\lambda = (3, 2, 1, 1)$  as before, and  $\mu = (3, 2, 1, 1)$ , then we can construct the matrix

	$\mu_1$	$\mu_2$	$\mu_3$	$\mu_4$
$\lambda_1$	1	1	1	0
$\lambda_2$	1	0	0	1
$\lambda_3$	0	1	0	0
$\lambda_4$	1	0	0	0

What does  $\mu \leq \lambda^*$  mean in this context? It means that for each k, the sum of the first k columns of the  $\lambda, \lambda^*$  matrix is greater than sum of the 1st k columns of the  $\lambda, \mu$  matrix. Imagine the 1s as balls on shelves (the rows). Tilting the matrix counterclockwise to make the balls roll to the left will only make the sum of the first k columns larger (for each k). But doing so gives us the  $\lambda, \lambda^*$  matrix. So  $\mu \leq \lambda^*$ , and we are done.

Corollary 1.1. The  $e_{\lambda}$  form a basis for the symmetric functions. Moreover,

$$m_{\lambda^*} = e_{\lambda} + \sum_{\mu < \lambda^*} -a_{\lambda,\mu} e_{\mu^*}.$$

*Proof.* The previous proposition gives us that

$$e_{\lambda} = m_{\lambda^*} + \sum_{\mu < \lambda^*} a_{\lambda,\mu} m_{\mu}.$$

Solving for  $m_{\lambda^*}$  gives us our result.