

# Math 206A Lecture 13 Notes

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## 1 Balinski's Theorem and Associahedra

### 1.1 Balinski's theorem

**Definition 1.1.** A graph  $G = (V, E)$  is called  **$k$ -connected** if for every  $(k - 1)$  vertices  $v_1, \dots, v_{k-1}$ ,  $\Gamma \setminus \{v_1, \dots, v_{k-1}\}$  is connected.

**Theorem 1.1** (Balinski). *For every convex polytope  $P \subseteq \mathbb{R}^d$  with  $\dim(P) = d$ ,  $\Gamma = \Gamma(P)$  is  $d$ -connected.*

For  $d = 2$ , the graph is a cycle, so removing a vertex does not disconnect the graph.

*Proof.* Suppose  $X = \{v_1, \dots, v_{d-1}\} \subseteq V(P)$ . Choose any vertex  $z \in V \setminus X$ . Let  $H$  be a hyperplane spanned by  $X \cup \{z\}$ . Let  $\psi : \mathbb{R}^d \rightarrow \mathbb{R}$  be a linear function such that  $\psi(v_i) = \psi(z) = 0$ , and let  $\psi_i$  to be a small perturbation of  $\psi$  which is nonconstant on  $H$ . Let  $u$  be the vertex maximizing  $\varphi$  and  $w$  be the vertex minimizing  $\varphi$ . Also, let  $H_- = \{x \in V : \psi(x) < 0\}$  and  $H_+ = \{y \in V : \psi(y) > 0\}$ .

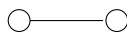
If we start at  $y \in H_+$  and travel along edges where  $\psi$  is increasing, we end up at  $u$ . If we start at  $x \in H_-$  and travel along edges where  $\psi$  is decreasing, we end up at  $w$ . So we know that  $H^+$  and  $H_-$  are connected. We claim that  $z$  is connected to both  $u$  and  $w$ . Depending on our choice of perturbation  $\varphi$ ,  $\varphi(z) > 0$ , in which case  $z$  is connected to  $H_+$ , or  $\varphi(z) < 0$ , in which case  $z$  is connected to  $H_-$ .  $\square$

### 1.2 Associahedra

Fix  $n \geq 3$ , and construct the graph  $\Gamma = (V, E)$ , where  $V$  is the set of triangulations of an  $n$ -gon ( $|V| = \binom{2n}{n}/(n+1)$ , the  $n$ -th Catalan number) and  $E$  is the set of triangulations that differ by a flip. Here, a flip means removing an edge in the triangulation and replacing it with the opposite diagonal of the resulting quadrilateral. Then  $\Gamma$  is  $n - 3$  regular because an  $n$ -gon has  $n - 3$  diagonals.

Is  $\Gamma$  the graph of a simple polytope in  $\mathbb{R}^{n-3}$ ?

**Example 1.1.** For  $n = 4$ , we get



For  $n = 5$ , we get the graph of a pentagon. For  $n = 6$ , the graph has 14 vertices; try to come up with it yourself!<sup>1</sup>

**Theorem 1.2.** Let  $\Gamma = (V, E)$  be the above graph. It is a graph of a simple polytope  $P_n$ .

Stasheff said that  $\alpha(P_n)$  is the set of subdivisions of the  $n$ -gon by non-crossing diagonals, ordered by inclusion. K. Lee showed that yes, there exists such a polytope  $P_n$ .

Here is the Gelfan-Zelevinsky-Kapranov construction.<sup>2</sup> For each triangulation  $T$  of a fixed  $n$ -gon  $Q$ , let  $f_T : V(Q) \rightarrow \mathbb{R}_+$  be

$$f(v) = \sum_{\Delta \ni v} \text{area}(\Delta)$$

**Theorem 1.3** (GZK,c.1990). For every  $Q$ , the set of  $f_T$  for all triangulations of  $A$  is the set of vertices of the associahedron  $P_n$ ; i.e.  $P = \text{conv}(\{f_T\})$ .

$P_n$  sits in  $\mathbb{R}^n$ . What linear equations does it satisfy that makes the dimension  $n - 3$ ? One equation is

$$\sum_{v \in V(Q)} f_T(v) = 3 \text{ area}(Q).$$

**Theorem 1.4** (TTQ). For  $n > 20$ ,  $\text{diam}(\Gamma_n) = 2n - 10$ .

Proving that  $\text{diam}(\Gamma_n) \geq 2n - 10$  is the easier part, but  $\text{diam}(\Gamma_n) \geq 2n - 10$  is hard.

Adelson-Velsky-Landis<sup>3</sup> trees: If you have a binary tree with too much depth on one side of the root, you might want to choose a different root so the tree is more balanced. This is related to triangulations of an  $n$ -gon because the dual graph of a triangulation is a binary tree.

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<sup>1</sup>There's no way I'm making a diagram for this one.

<sup>2</sup>The names are in this order because alphabetic order in Russian is different from alphabetic order in English.

<sup>3</sup>Adelson-Velsky is one person.