

# L-functions for GL(2)

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**The case of modular forms.** Before discussing  $L$ -functions for GL(2), let us first recall  $L$ -functions for cusp forms, so that we can draw analogies between the two theories. Let  $f$  be a cusp form of level 1 and weight  $k$  with Fourier expansion

$$f(z) = \sum a_n e^{2\pi i n z}.$$

There is an associated  $L$ -function

$$L(s, f) = \sum a_n n^{-s}$$

which can be recognized as a Mellin transform of  $f$

$$\Lambda(s, f) = (2\pi)^{-s} \Gamma(s) L(s, f) = \int_0^\infty f(iy) y^s d^*y.$$

This is seen by substituting the Fourier expansion for  $f$ ,

$$\Lambda(s, f) = \int_0^\infty f(iy) y^s d^*y = \int_0^\infty \sum a_n e^{-2\pi n y} y^s d^*y.$$

Swapping the integral and sum and making the substitution  $2\pi n y \mapsto y$ , we get

$$\Lambda(s, f) = (2\pi)^{-s} \Gamma(s) \sum a_n n^{-s}$$

Convergence of the integral and the validity of these operations follows from the “moderate growth” properties of  $f$ . In case  $f$  is a normalized Hecke eigenform, we also have an Euler product

$$\Lambda(s, f) = (2\pi)^{-s} \Gamma(s) \prod_p \frac{1}{1 - a_p p^{-s} + p^{k-1-2s}}.$$

The functional equation for  $\Lambda(s, f)$  follows from the functional equation  $f(iy) = (-1)^{k/2} y^{-k} f(i/y)$  in the following way

$$\Lambda(s, f) = \int_0^\infty f(iy) y^s \, d^*s = (-1)^{k/2} \int_0^\infty f(i/y) y^{s-k} \, d^*s.$$

The substitution  $y \mapsto y^{-1}$  gives

$$\Lambda(s, f) = (-1)^{k/2} \Lambda(k - s, f).$$

In fact, the functional equation for  $f$  is *equivalent* to the functional equation for  $\Lambda$ , in a sense which is made precise by the *converse theorem*:

Let  $a_n$  be a sequence of complex numbers such that  $|a_n| = O(n^k)$  for some real number  $k$ . Let  $f(z) = \sum a_n e^{2\pi i n z}$ . If  $\Lambda(s, f)$  has analytic continuation to all  $s$ , is bounded in every vertical strip  $\sigma_1 \leq \operatorname{re}(s) \leq \sigma_2$ , and satisfies  $\Lambda(s, f) = (-1)^{k/2} \Lambda(k - s, f)$ , then  $f$  is a cusp form of level 1 and weight  $k$ . If the Euler product is valid,  $f$  is a normalized Hecke eigenform.

All of the above result can be generalized to the case of “twisting” by a multiplicative character  $\chi$ .

**Local Whittaker models and local multiplicity one.** The analogue of Fourier expansion in the theory of automorphic forms comes from Whittaker models. Let  $F$  be a non-Archimedean local field,  $\psi$  a nontrivial additive character of  $F$ , and let  $(\pi, V)$  be an irreducible admissible representation of  $\operatorname{GL}(2, F)$ . There exists at most one space  $\mathcal{W}$  of functions in  $\operatorname{GL}(2, F)$  such that if  $W \in \mathcal{W}$ , then

$$W\left(\begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix} g\right) = \psi(x) W(g) \quad x \in F, g \in \operatorname{GL}(2, F)$$

and such that  $\mathcal{W}$  is closed under right translation by elements of  $\operatorname{GL}(2, F)$ , and the resulting representation of  $\operatorname{GL}(2, F)$  is isomorphic to  $\pi$ . Such a space of function  $\mathcal{W}$  is called a *Whittaker model* for  $(\pi, V)$ . There is a similar definition for Archimedean local fields, which I will omit. The local multiplicity one theorem holds in the Archimedean case as well.

**Whittaker models.** Let  $F$  be a global field and  $A$  its adele ring. We fix  $\psi$  a nontrivial character of  $A/F$ .  $\psi$  decomposes into local characters  $\psi_v$  (c.f. Tate’s thesis, section 4.1.). Let  $\pi$  be an irreducible admissible representation of  $\operatorname{GL}(2, A)$ . A *Whittaker model* of  $\pi$  with respect to the nontrivial character  $\psi$  is a space of functions of  $\operatorname{GL}(2, A)$  which are

- (i) smooth,
- (ii)  $K$ -finite,
- (iii) of moderate growth,
- (iv) and satisfying

$$W\left(\begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix} g\right) = \psi(x)W(g)$$

for all  $x \in A$ .

**Multiplicity one.**  $(\pi, V)$  has a Whittaker model  $\mathcal{W}$  with respect to  $\psi$  if and only if each  $(\pi_v, V_v)$  has a Whittaker model  $W_v$  with respect to the character  $\psi_v$  of  $F_v$ . If this is the case, the  $\mathcal{W}$  is unique and consists of all finite linear combinations of functions of the form  $W(g) = \prod_v W_v(g_v)$  where  $W_v \in \mathcal{W}_v$ , and  $W = W_v^\circ$  for almost all  $v$ , where  $W_v^\circ$  spherical elements of  $\mathcal{W}_v$ , normalized so  $W_v^\circ(k_v) = 1$  for  $k_v \in \mathrm{GL}(2, \mathfrak{o}_v)$ .

**Existence of Whittaker models for automorphic cuspidal representations.** Now suppose that  $\pi$  is an automorphic cuspidal representation. If  $\phi \in V$  and  $g \in \mathrm{GL}(2, A)$ , let

$$W_\phi(g) = \int_{A/F} \phi\left(\begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix} g\right) \psi(-x) \, dx.$$

Then the space  $\mathcal{W}$  of functions  $W_\phi$  is a Whittaker model for  $\pi$ . We have the “Fourier expansion”

$$\phi(g) = \sum_{\alpha \in F^\times} W_\phi\left(\begin{pmatrix} \alpha & 0 \\ 0 & 1 \end{pmatrix} g\right).$$

**The global zeta integral.**  $Z$  is analogous to  $\Lambda$  from the case of modular forms. It is the “completed global  $L$ -function.” For  $\phi \in V$ ,

$$\phi\begin{pmatrix} y & 0 \\ 0 & 1 \end{pmatrix}$$

is rapidly decreasing as  $|y| \rightarrow \infty$ . That is, for any  $N > 0$  there exists a constant  $C_N$  such that

$$\phi\begin{pmatrix} y & 0 \\ 0 & 1 \end{pmatrix} < C_N |y|^{-N}.$$

Hence,

$$Z(s, \phi) = \int_{A^\times/F^\times} \phi \begin{pmatrix} y & 0 \\ 0 & 1 \end{pmatrix} |y|^{s-1/2} d^*y$$

is absolutely convergent for all values of  $s$ . By the Fourier expansion, we have

$$Z(s, \phi) = \int_{A^\times/F^\times} \sum_{\alpha \in F^\times} W_\phi \begin{pmatrix} \alpha y & 0 \\ 0 & 1 \end{pmatrix} |y|^{s-1/2} d^*y = \int_{A^\times} W_\phi \begin{pmatrix} y & 0 \\ 0 & 1 \end{pmatrix} |y|^{s-1/2} d^*y$$

provided this integral is absolutely convergent. Absolute convergence occurs when  $\operatorname{re}(s) > 3/2$ . We may write  $W(g) = \prod_v W_v(g_v)$  and suppose that the vector  $\phi$  corresponds to a pure tensor  $\otimes_v \phi_v$ .  $Z$  decomposes as an Euler product

$$Z(s, \phi) = \prod_v Z_v(s, W_v),$$

where

$$Z_v(s, W_v) = \int_{F_v^\times} W_v \begin{pmatrix} y_v & 0 \\ 0 & 1 \end{pmatrix} |y_v|_v^{s-1/2} d^*y_v.$$

Absolute convergence of the local factors occurs when  $\operatorname{re}(s) > 1/2$ .

**The local  $L$ -functions.** Let  $F$  be a non-Archimedean local field and  $\mathfrak{o}$  its ring of integers. We say that a multiplicative character of  $F$  is unramified if it is trivial on the group of units of  $F$ . If  $\chi_1$  and  $\chi_2$  are unramified multiplicative characters of  $F$ , their principal series representation of  $\operatorname{GL}(2, F)$ , denoted  $\pi(\chi_1, \chi_2)$ , is spherical, i.e., has a  $\operatorname{GL}(2, \mathfrak{o})$ -fixed vector. Let  $\alpha_1 = \chi_1(\varpi)$  and  $\alpha_2 = \chi_2(\varpi)$  where  $\varpi$  is a uniformizer of  $F$ . We call  $\alpha_1$  and  $\alpha_2$  the *Satake parameters* of  $\pi(\chi_1, \chi_2)$ . If  $\pi(\chi_1, \chi_2)$  is unitary,  $\alpha_1$  and  $\alpha_2$  lie on the unit circle. Let  $q$  be the cardinality of the residue field  $\mathfrak{o}/(\varpi)$ . We call

$$L(s, \pi) = (1 - \alpha_1 q^{-s})^{-1} (1 - \alpha_2 q^{-s})^{-1}$$

the local  $L$ -function of  $\pi$ .

**Equality of  $Z_v$  and  $L_v$ .** Write  $\pi = \otimes_v \pi_v$  is a tensor product of local representations  $(\pi_v, V_v)$ . For a place  $v$ ,  $F_v$  denotes its corresponding local field and  $\mathfrak{o}_v$  its ring of integers for non-Archimedean  $v$ . We say that a place  $v$  is unramified if  $v$  is non-Archimedean,  $\pi_v$  is a spherical principal series, the conductor of the additive character  $\psi_v$  is  $\mathfrak{o}_v$ , the vector  $\phi_v$  is the spherical vector in the representation, and Whittaker function  $W_v$  is normalized so that  $W_v(1) = 1$ . These conditions are true for almost all  $v$ .

If  $v$  is unramified, then for  $s$  sufficiently large,

$$Z_v(s, W_v) = L_v(s, \pi_v).$$

**The (partial) global L-function.** We assume the central character  $\omega$  of  $\pi$  is unitary. Let  $S$  be a finite set of places such that if  $v \notin S$ ,  $\pi_v$  is spherical. Let

$$L_S(s, \pi) = \prod_{v \notin S} L_v(s, \pi_v).$$

**Twisting.** All of the previous results hold in the more general case of twisting by a unitary Hecke character  $\xi$ . For instance, we define

$$Z(s, \psi, \xi) = \int_{A^\times/F^\times} \phi \begin{pmatrix} y & 0 \\ 0 & 1 \end{pmatrix} \xi(y) |y|^{s-1/2} d^\times y$$

and all the other definitions are modified in a similar way.

**The global functional equation.** Let

$$w_1 = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}.$$

Because  $\phi$  is automorphic,

$$\begin{aligned} Z(s, \phi, \xi) &= \int_{A^\times/F^\times} \phi \left( w_1 \begin{pmatrix} y & 0 \\ 0 & 1 \end{pmatrix} \right) |y|^{s-1/2} \xi(y) d^* y \\ &= \int_{A^\times/F^\times} \phi \left( \begin{pmatrix} 1 & 0 \\ 0 & y \end{pmatrix} w_1 \right) |y|^{s-1/2} \xi(y) d^* y. \end{aligned}$$

Substituting  $y^{-1}$  for  $y$ , the above is equal to

$$\int_{A^\times/F^\times} (\pi(w_1)\phi) \begin{pmatrix} y & 0 \\ 0 & 1 \end{pmatrix} |y|^{-s+1/2} (\xi\omega)^{-1}(y) d^* y.$$

Hence,

$$Z(s, \phi, \xi) = Z(1-s, \pi(w_1)\phi, \xi^{-1}\omega^{-1})$$

**Local functional equation.** The local zeta integral  $Z_v(s, W_v)$  has meromorphic continuation to all  $s$ . There exists a meromorphic function  $\gamma_v(s, \pi_v, \xi_v, \psi_v)$  such that

$$Z_v(1-s, \pi_v(w_1)W_v, \xi_v^{-1}\omega_v^{-1}) = \gamma_v(s, \pi_v, \xi_v, \psi_v) Z_v(s, W_v, \xi_v).$$

**Functional equation for the partial global  $L$ -function.** Let  $\xi$  be a Hecke character of  $F$ . Let  $S$  be a finite set of places of  $F$  containing all the Archimedean ones such that if  $v \notin S$ , then  $\pi_v$  is spherical and  $\xi_v$  is nonramified and the additive character  $\psi_v$  has conductor  $\mathfrak{o}_v$ . Then

$$L_S(s, \pi, \xi) = \left\{ \prod_{v \in S} \gamma_v(s, \pi_v, \xi_v, \psi_v) \right\} L_S(1 - s, \hat{\pi}, \xi^{-1})$$

where  $\hat{\pi}$  is the contragradient representation

**A “local converse theoem.”** Now let  $F$  be a non-Archimedean local field. Let  $\pi_1$  and  $\pi_2$  be irreducible admissible representations of  $\mathrm{GL}(2, F)$ . Suppose that  $\pi_1$  and  $\pi_2$  have the same central quasicharacter  $\omega$  and that  $\gamma(s, \pi_1, \xi, \psi) = \gamma(s, \pi_2, \xi, \psi)$  for all characters  $\xi$  of  $F^\times$ . Then  $\pi_1 \cong \pi_2$ .