

CLOOB: Modern Hopfield Networks with InfoLOOB Outperform CLIP



Seminar in AI
Pascal Pilz
Institute for Machine Learning

Motivation and Setup

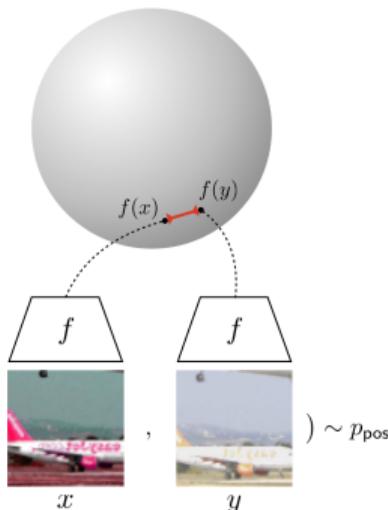


Contrastive Learning and Zero-shot Transfer Learning

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Contrastive Learning:

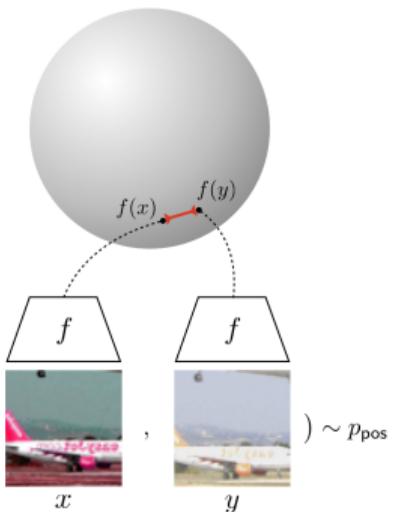
- self-supervised technique



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Contrastive Learning:

- self-supervised technique



Zero-shot Transfer Learning:

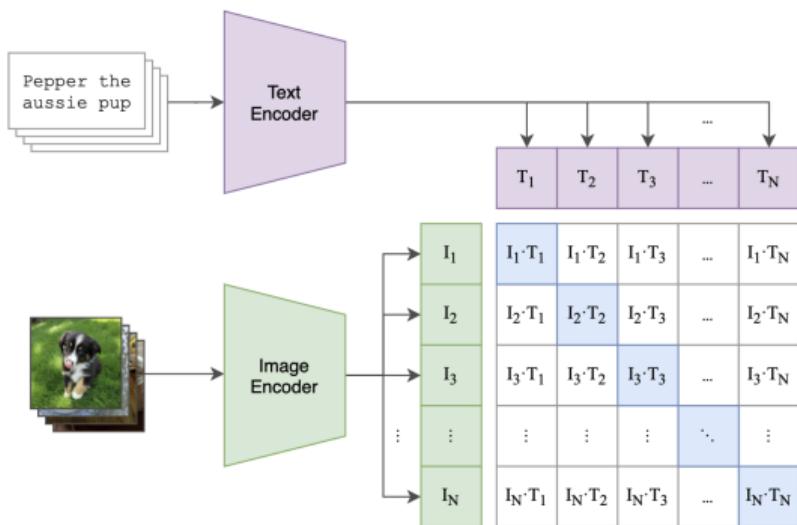
- model needs to generalize well



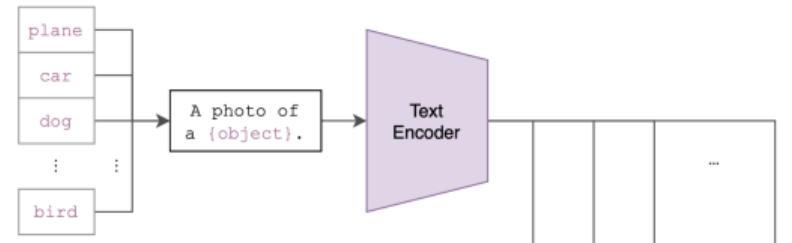
CLIP (Contrastive Language-Image Pretraining) by OpenAI

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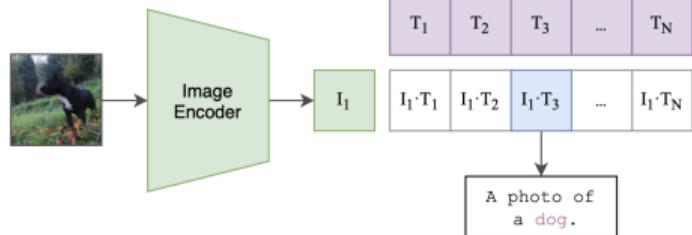
(1) Contrastive pre-training



(2) Create dataset classifier from label text

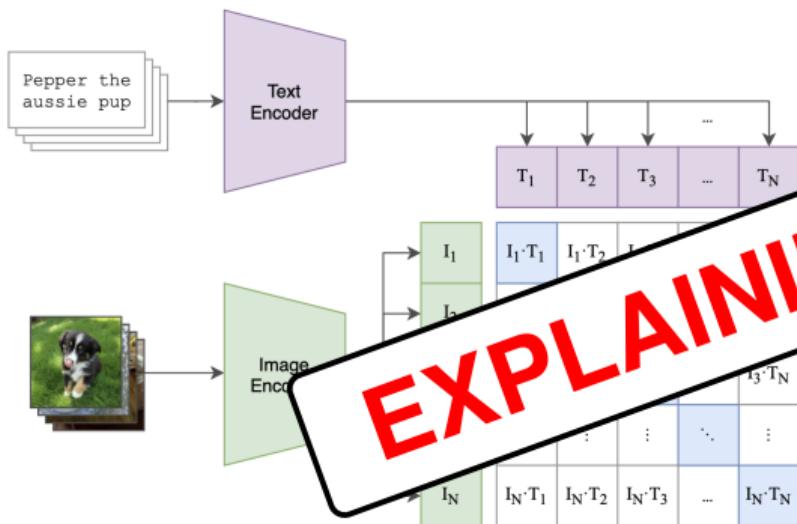


(3) Use for zero-shot prediction

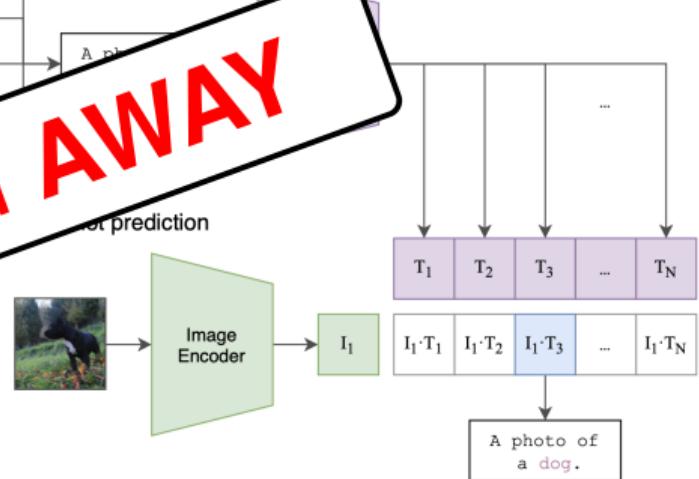


CLIP (Contrastive Language-Image Pretraining) by OpenAI

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Explaining Away — Co-occurrences and Covariance structure

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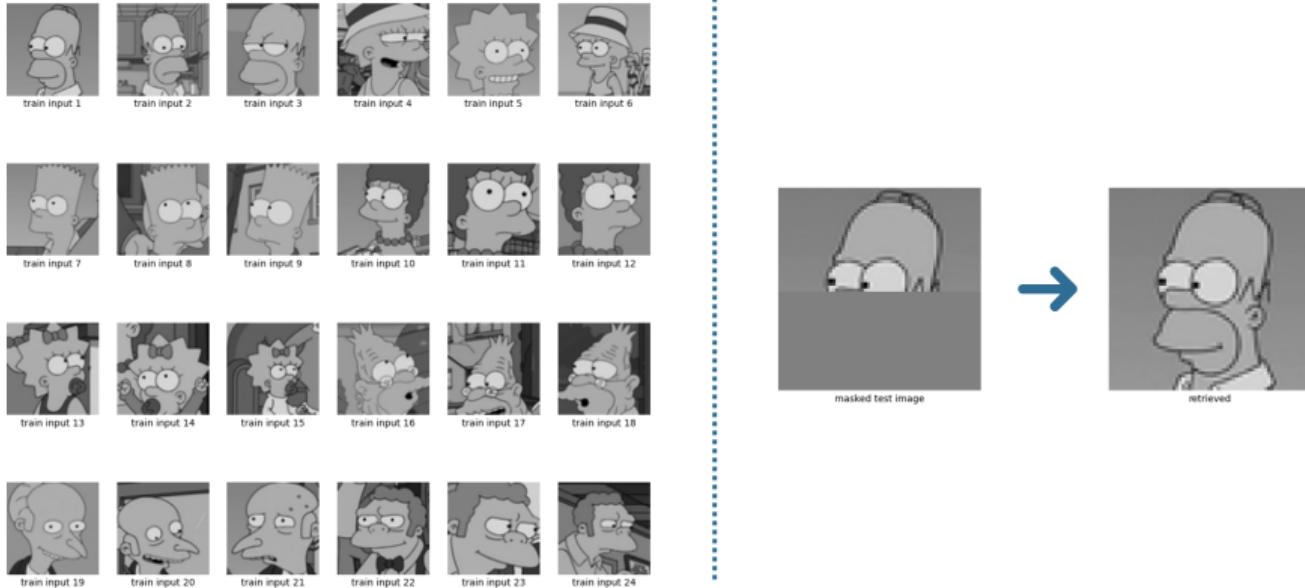


Modern Hopfield Networks



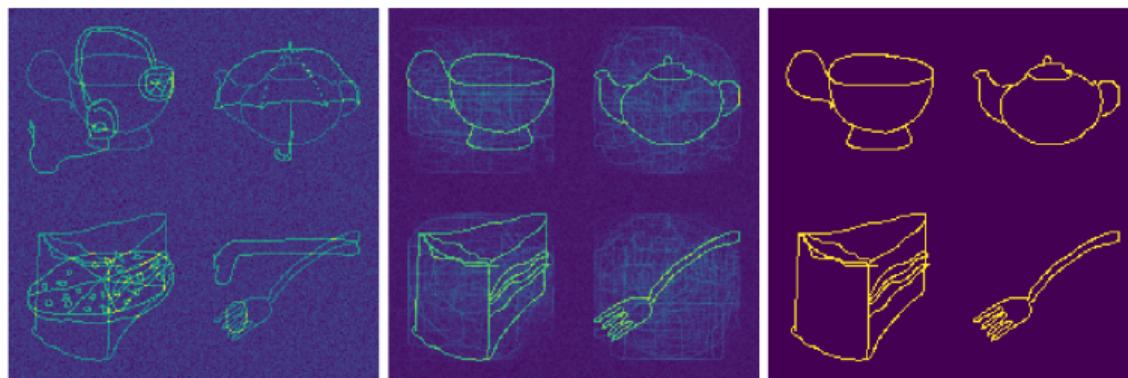
Excursus: Modern Hopfield Networks

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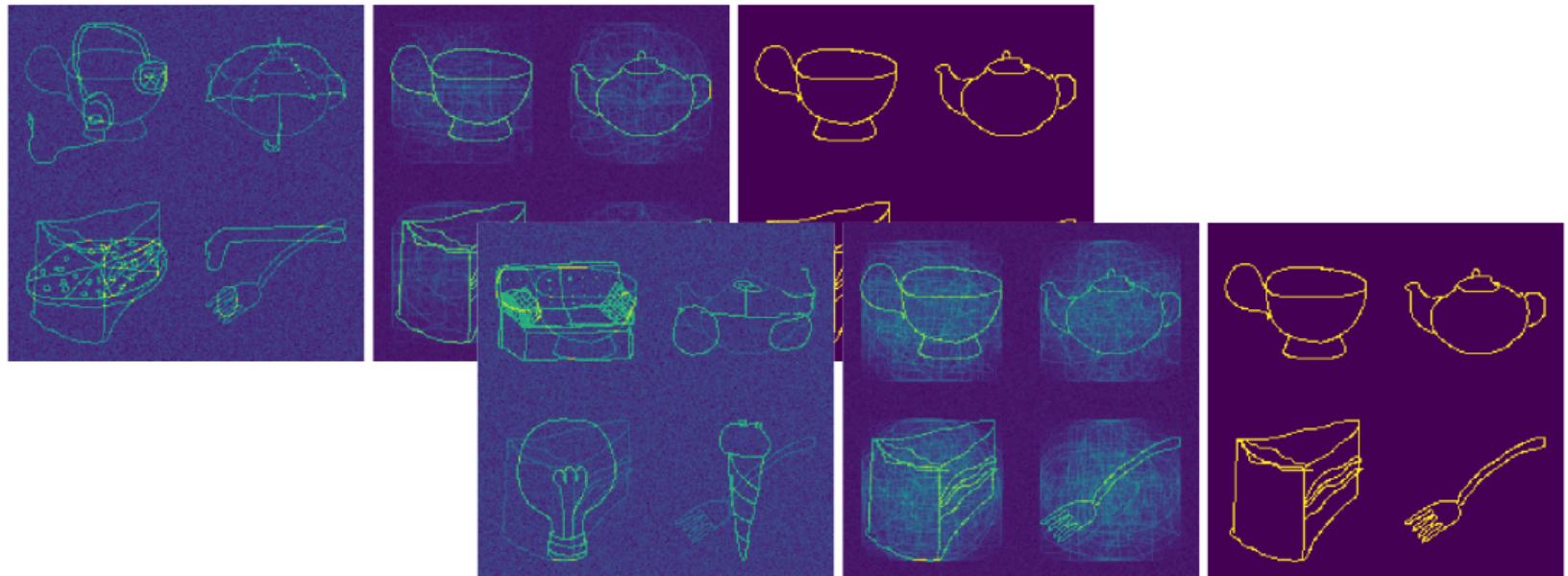


Amplifying Co-occurrences and Covariance Structures

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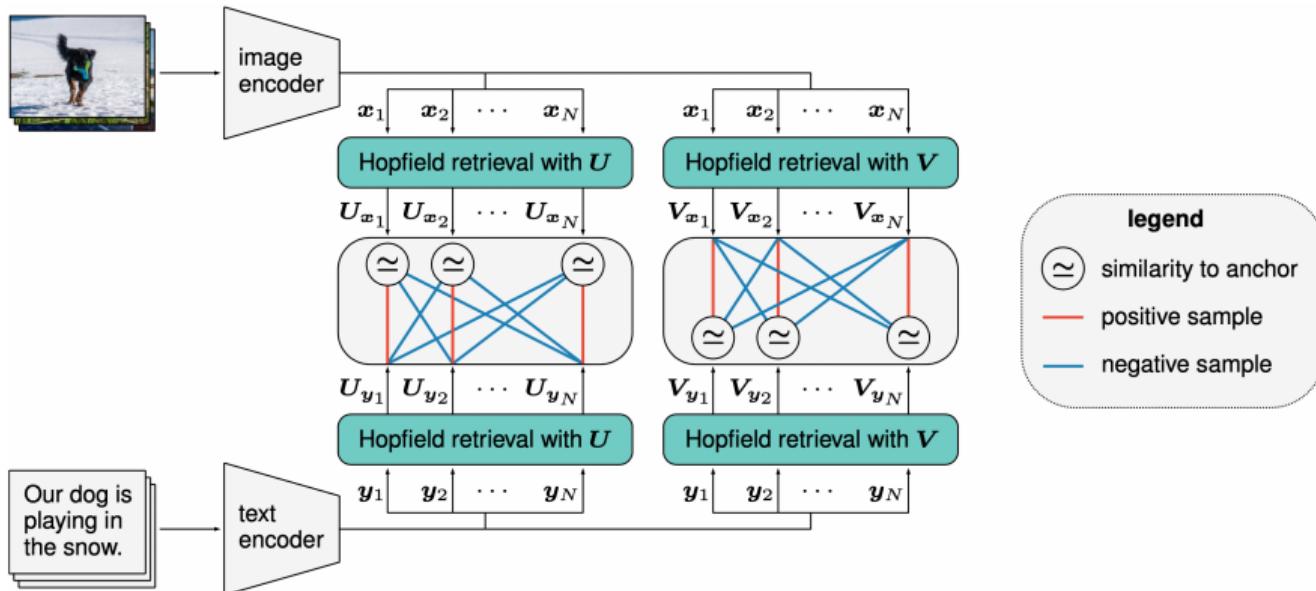


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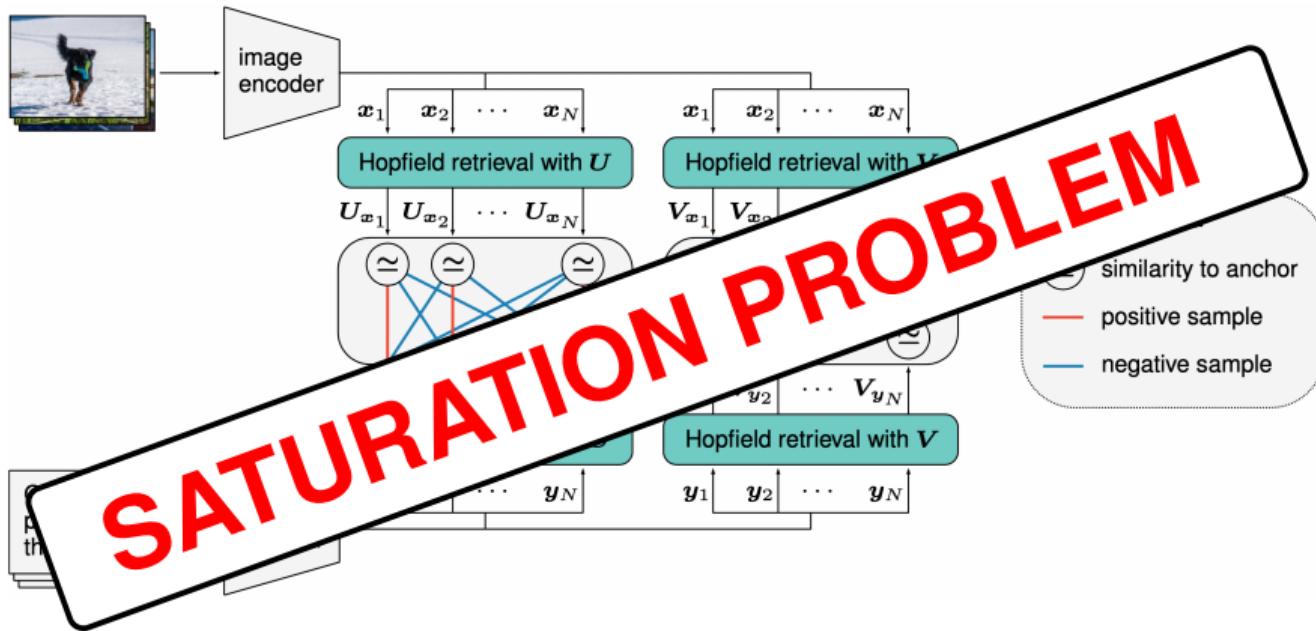


CLOOB Architecture

CLOOB Architecture



CLOOB Architecture



InfoNCE, InfoLOOB, and CLOOB



InfoNCE (Noise Contrastive Estimation) — CLIP's Objective

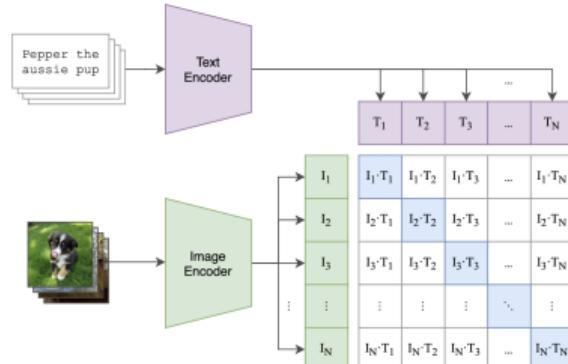
InfoNCE (Noise Contrastive Estimation) — CLIP's Objective

$$L_{\text{InfoNCE}} = -\frac{1}{N} \ln \sum_{i=1}^N \frac{\exp(\tau^{-1} \mathbf{x}_i^T \mathbf{y}_i)}{\sum_{j=1}^N \exp(\tau^{-1} \mathbf{x}_i^T \mathbf{y}_j)} - \frac{1}{N} \sum_{i=1}^N \ln \frac{\exp(\tau^{-1} \mathbf{x}_i^T \mathbf{y}_i)}{\sum_{j=1}^N \exp(\tau^{-1} \mathbf{x}_j^T \mathbf{y}_i)}$$

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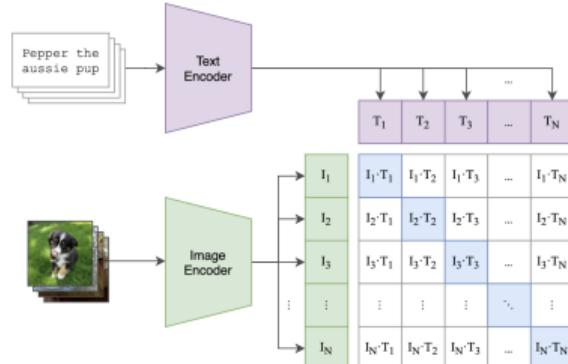
- \mathbf{x}_i — image embedding
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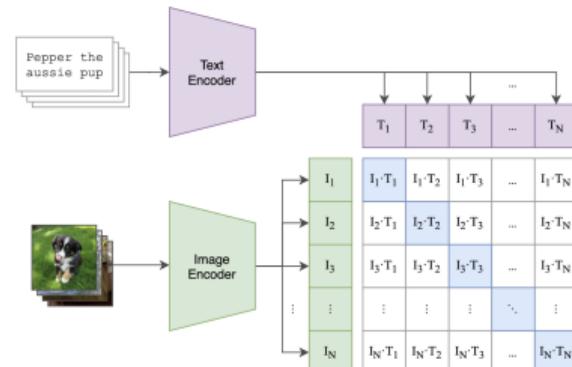
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- \mathbf{x}_i — image embedding
- \mathbf{y}_i — text embedding
- τ — temperature, inverse entropy
- $\|\mathbf{x}_i\| = \|\mathbf{y}_j\| = 1 \Rightarrow \cos(\mathbf{x}_i, \mathbf{y}_j) = \mathbf{x}_i^T \mathbf{y}_j$



InfoLOOB (Leave One Out Bound) — CLOOB's Objective

$$\mathcal{L}_{\text{InfoLOOB}} = -\frac{1}{N} \ln \sum_{i=1}^N \frac{\exp(\tau^{-1} \mathbf{x}_i^T \mathbf{y}_i)}{\sum_{j \neq i}^N \exp(\tau^{-1} \mathbf{x}_i^T \mathbf{y}_j)} - \frac{1}{N} \sum_{i=1}^N \ln \frac{\exp(\tau^{-1} \mathbf{x}_i^T \mathbf{y}_i)}{\sum_{j \neq i}^N \exp(\tau^{-1} \mathbf{x}_j^T \mathbf{y}_i)}$$

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$$L_{\text{InfoNCE}}(\mathbf{y}_1) = -\ln \frac{\overbrace{\exp(\tau^{-1} \mathbf{x}_1^T \mathbf{y}_1)}^a}{\underbrace{\exp(\tau^{-1} \mathbf{x}_1^T \mathbf{y}_1)}_a + \underbrace{\sum_{j=2}^N \exp(\tau^{-1} \mathbf{x}_j^T \mathbf{y}_1)}_b}$$

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CLOOB (Contrastive Leave One Out Boost)

1	20
2	21
3	22
4	23
5	24
6	25
7	26
8	27
9	28
10	29
11	30
12	31
13	32
14	33
15	34
16	35
17	36
18	37
19	

CLOOB (Contrastive Leave One Out Boost)

1	# <i>image_encoder – ResNet</i>	20
2	# <i>text_encoder – Text Transformer</i>	21
3		22
4	# $I[n, h, w, c]$ – minibatch of images	23
5	# $T[n, l]$ – minibatch of texts	24
6		25
7	# $W_i[d_i, d_e]$ – image projection	26
8	# $W_t[d_t, d_e]$ – text projection	27
9		28
10	# β – inverse temperature Hopfield retrieval	29
11	# τ – temperature InfoLOOB	30
12		31
13		32
14		33
15		34
16		35
17		36
18		37
19		

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```
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9                                         28
10 # beta – inverse temperature Hopfield retrieval    29
11 # tau – temperature InfoLOOB                       30
12                                         31
13 # extract feature representations                 32
14 I_f = image_encoder(I) #[n, d_i]                  33
15 T_f = text_encoder(T) #[n, d_t]                   34
16                                         35
17                                         36
18                                         37
19
```

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15 T_f = text_encoder(T) #[n, d_t]                     34
16                                         35
17 # joint multimodal embedding                       36
18 x = l2_normalize(I_f @ W_i) #[n, d_e]             37
19 y = l2_normalize(T_f @ W_t) #[n, d_e]
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20 # Hopfield retrieval H with batch stored
21 # H(beta, A, B) = B.T @ softmax(beta * A @ B.T)
22 U_x = H(beta, x, x).T #[n, d_e]
23 U_y = H(beta, y, x).T #[n, d_e]
24 V_x = H(beta, x, y).T #[n, d_e]
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24 V_x = H(beta, x, y).T #[n, d_e]
25 V_y = H(beta, y, y).T #[n, d_e]
26
27 # normalize retrievals
28 U_x = l2_normalize(U_x) #[n, d_e]
29 U_y = l2_normalize(U_y) #[n, d_e]
30 V_x = l2_normalize(V_x) #[n, d_e]
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28 # normalize retrievals
29 U_x = l2_normalize(U_x) #[n, d_e]
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31 V_x = l2_normalize(V_x) #[n, d_e]
32 V_y = l2_normalize(V_y) #[n, d_e]
33
34 # loss: info_loob(tau, anchors, samples)
35 # samples contain pos. and neg. embeddings
36 loss_i = info_loob(tau, U_x, U_y)
37 loss_t = info_loob(tau, V_y, V_x)
38 loss = (loss_i + loss_t) * tau
```

Experiments



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 - rich textual description
 - only 2.9 million images

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- Ablation studies
 - Modern Hopfield networks
 - InfoLOOB

CLIP and CLOOB: Results

CC — mean accuracy over 5 runs

Dataset	CLIP RN-50	CLOOB RN-50	CLIP* RN-50	CLOOB* RN-50
Birdsnap	2.26 ± 0.20	3.06 ± 0.30	2.8 ± 0.16	3.24 ± 0.31
Country211	0.67 ± 0.11	0.67 ± 0.05	0.7 ± 0.04	0.73 ± 0.05
Flowers102	12.56 ± 0.38	13.45 ± 1.19	13.32 ± 0.43	14.36 ± 1.17
GTSRB	7.66 ± 1.07	6.38 ± 2.11	8.96 ± 1.70	7.03 ± 1.22
UCF101	20.98 ± 1.55	22.26 ± 0.72	21.63 ± 0.65	23.03 ± 0.85
Stanford Cars	0.91 ± 0.10	1.23 ± 0.10	0.99 ± 0.16	1.41 ± 0.32
ImageNet	20.33 ± 0.28	23.97 ± 0.15	21.3 ± 0.42	25.67 ± 0.22
ImageNet V2	20.24 ± 0.50	23.59 ± 0.15	21.24 ± 0.22	25.49 ± 0.11

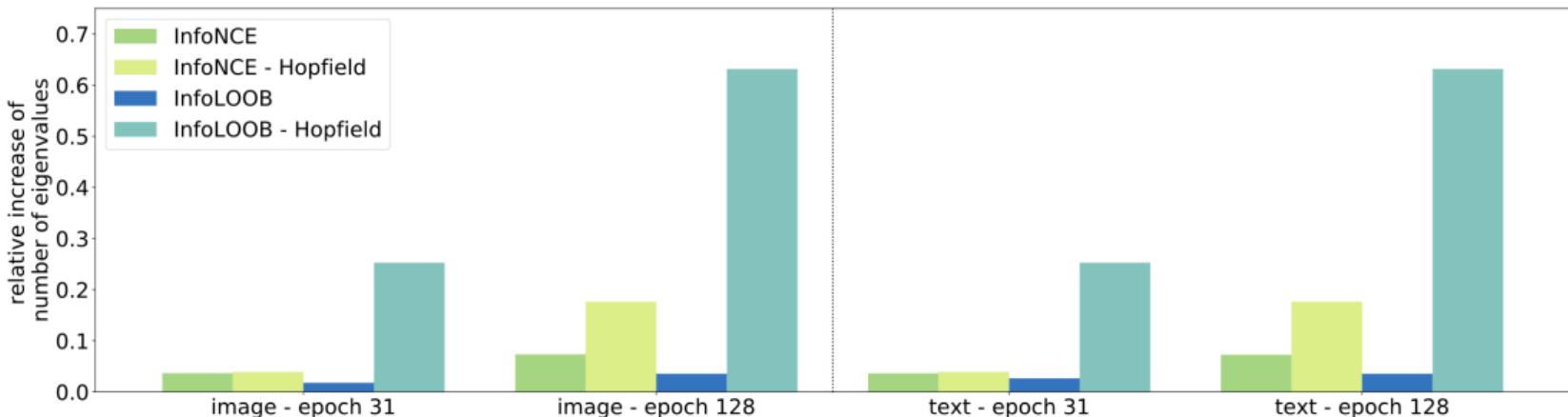
Bold: statistically significant

YFCC — one run

Dataset	RN-50		RN-101		RN-50x4	
	CLIP	CLOOB	CLIP	CLOOB	CLIP	CLOOB
Birdsnap	21.8	28.9	22.6	30.3	20.8	32.0
Country211	6.9	7.9	7.8	8.5	8.1	9.3
Flowers102	48.0	55.1	48.0	55.3	50.1	54.3
GTSRB	7.9	8.1	7.4	11.6	9.4	11.8
UCF101	27.2	25.3	28.6	28.8	31.0	31.9
Stanford Cars	3.7	4.1	3.8	5.5	3.5	6.1
ImageNet	34.6	35.7	35.3	37.1	37.7	39.0
ImageNet V2	33.4	34.6	34.1	35.6	35.9	37.3

Bold: higher value

Results Ablation Study



Conclusion



Critical Assessment

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- Reproducibility
 - hyperparameters, experiments well-defined ✓
 - code, datasets public ✓

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Paper fulfills all NeurIPS check marks

Summary

→ CLIP

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✗ explaining away

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→ 😊

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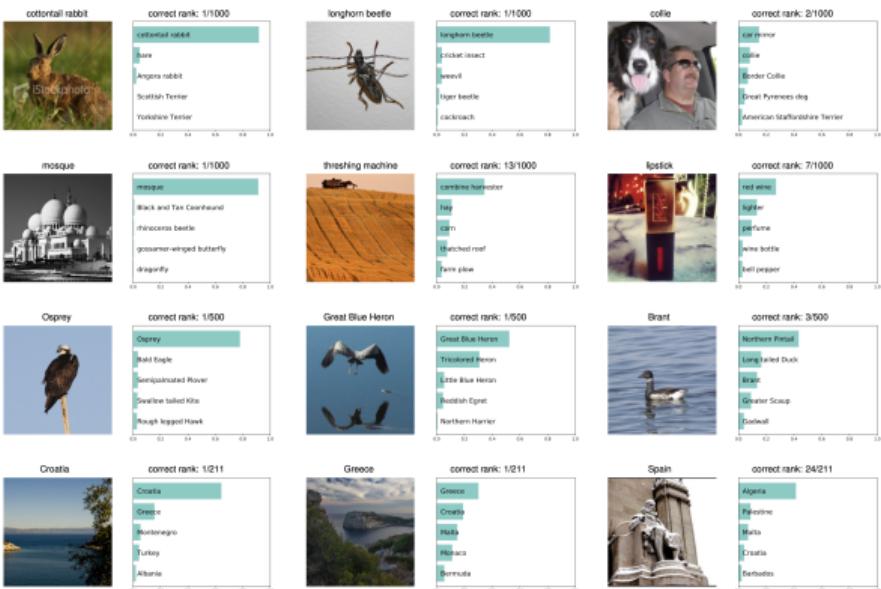
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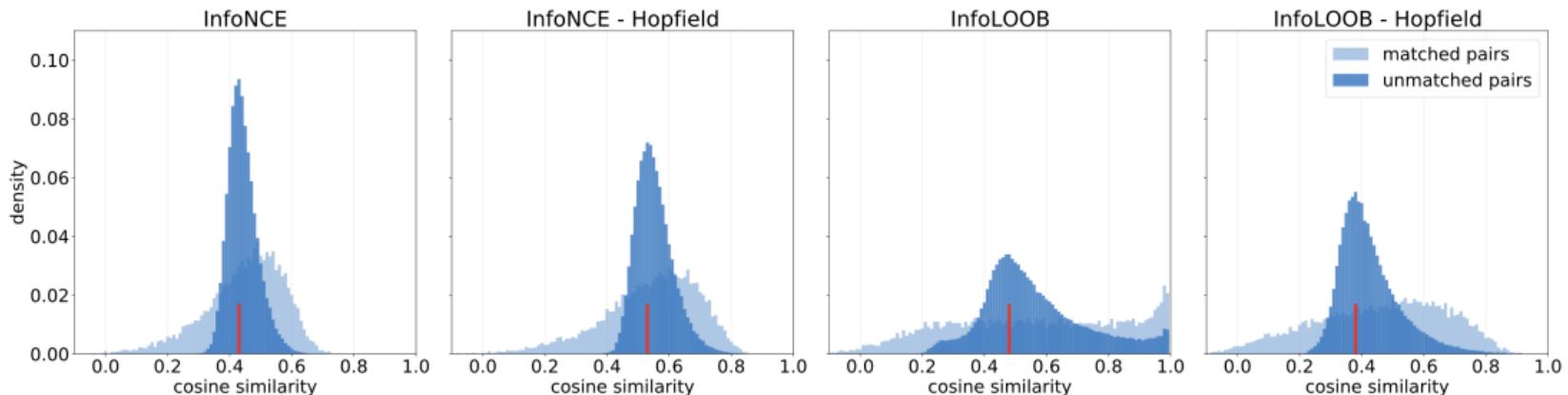
→ 😊



Additional Material

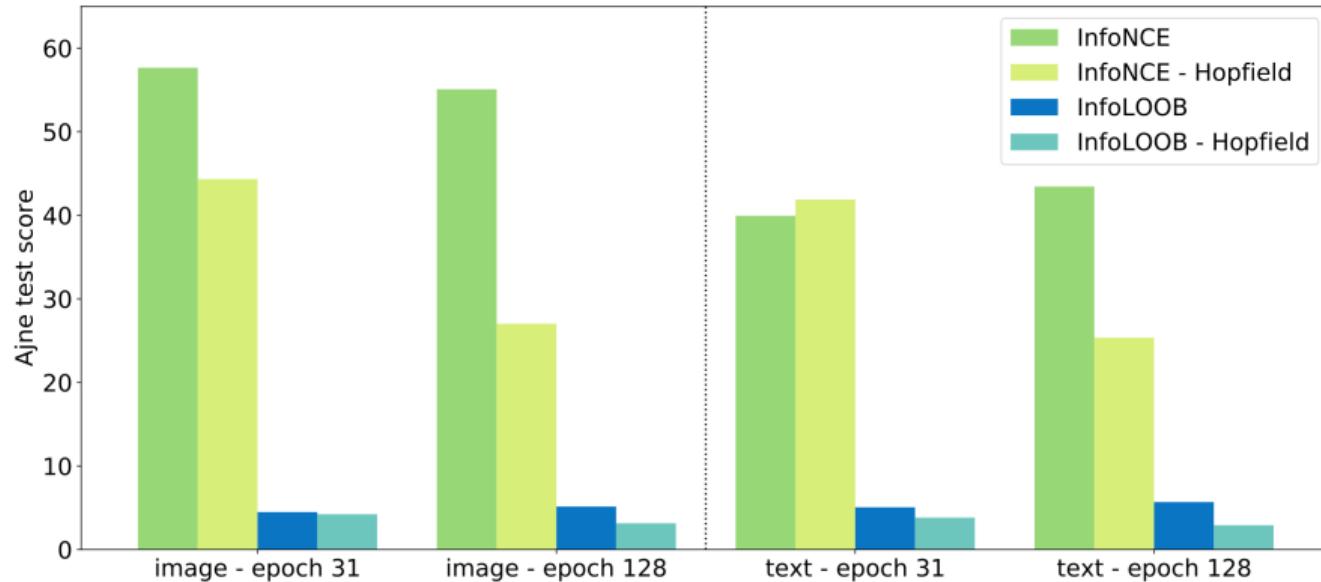


Distribution of Cosine Similarity



Distribution of cosine similarity of matched pairs and of the 10 unmatched pairs that have the highest similarity score with anchor

Ajne Test



InfoLOOB – Further details

	\mathbf{u}_i - stored image emb.	\mathbf{v}_i - stored text emb.
\mathbf{x}_i - image query emb.	$\mathbf{U}_{\mathbf{x}_i}$ - image-retr. image emb.	$\mathbf{V}_{\mathbf{x}_i}$ - image-retr. text emb.
\mathbf{y}_i - text query emb.	$\mathbf{U}_{\mathbf{y}_i}$ - text-retr. image emb.	$\mathbf{V}_{\mathbf{y}_i}$ - text-retr. text emb.

$$L_{\text{InfoLOOB}} = -\frac{1}{N} \ln \sum_{i=1}^N \frac{\exp(\tau^{-1} \mathbf{U}_{\mathbf{x}_i}^T \mathbf{U}_{\mathbf{y}_i})}{\sum_{j \neq i}^N \exp(\tau^{-1} \mathbf{U}_{\mathbf{x}_i}^T \mathbf{U}_{\mathbf{y}_j})} - \frac{1}{N} \sum_{i=1}^N \ln \frac{\exp(\tau^{-1} \mathbf{V}_{\mathbf{x}_i}^T \mathbf{V}_{\mathbf{y}_i})}{\sum_{j \neq i}^N \exp(\tau^{-1} \mathbf{V}_{\mathbf{x}_j}^T \mathbf{V}_{\mathbf{y}_i})}$$

Gradients of InfoLOOB and InfoNCE

$$\frac{\partial}{\partial \mathbf{y}} L_{\text{InfoNCE}}(\mathbf{y}) = \frac{\partial}{\partial \mathbf{y}} - \ln \frac{\exp(\tau^{-1} \mathbf{x}_1^T \mathbf{y}_1)}{\sum_{j=1}^N \exp(\tau^{-1} \mathbf{x}_j^T \mathbf{y})} = -\tau^{-1} \mathbf{y}^T \mathbf{x}_1 + \tau^{-1} \text{lse}(\tau^{-1}, \mathbf{X}^T \mathbf{y})$$

$$\frac{\partial}{\partial \mathbf{y}} L_{\text{InfoLOOB}}(\mathbf{y}) = \frac{\partial}{\partial \mathbf{y}} - \ln \frac{\exp(\tau^{-1} \mathbf{x}_1^T \mathbf{y}_1)}{\sum_{j \neq 1}^N \exp(\tau^{-1} \mathbf{x}_j^T \mathbf{y})} = -\tau^{-1} \mathbf{y}^T \mathbf{x}_1 + \tau^{-1} \text{lse}(\tau^{-1}, \tilde{\mathbf{X}}^T \mathbf{y})$$

$$\frac{\partial}{\partial \mathbf{y}} L_{\text{InfoNCE}}(\mathbf{y}) = -\tau^{-1} (1 - p_1) (\mathbf{x}_1 - \tilde{\mathbf{X}} \text{softmax}(\tau^{-1} \tilde{\mathbf{X}}^T \mathbf{y})) = (1 - p_1) \frac{\partial}{\partial \mathbf{y}} L_{\text{InfoLOOB}}(\mathbf{y})$$

$$\text{lse}(\beta, \alpha) = \beta^{-1} \log \left(\sum_{i=1}^N \exp(\beta \alpha_i) \right)$$

$$\mathbf{X} = (\mathbf{x}_1, \dots, \mathbf{x}_N), \quad \tilde{\mathbf{X}} = (\mathbf{x}_2, \dots, \mathbf{x}_N)$$