A Formally Verified Checker for PDDL

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1 PDDL and STRIPS Semantics

```
theory PDDL-STRIPS-Semantics
imports
Propositional-Proof-Systems.Formulas
Propositional-Proof-Systems.Sema
begin
```

1.1 Utility Functions

```
definition index-by f \ l \equiv map-of (map \ (\lambda x. \ (f \ x,x)) \ l)

lemma index-by-eq-Some-eq[simp]:
  assumes distinct \ (map \ f \ l)
  shows index-by f \ l \ n = Some \ x \longleftrightarrow (x \in set \ l \land f \ x = n)
  \langle proof \rangle

lemma index-by-eq-SomeD:
  shows index-by f \ l \ n = Some \ x \Longrightarrow (x \in set \ l \land f \ x = n)
  \langle proof \rangle

lemma lookup-zip-idx-eq:
  assumes length \ params = length \ args
  assumes i < length \ args
  assumes distinct \ params
  assumes k = params \ l \ i
  shows map-of (zip \ params \ args) \ k = Some \ (args \ l \ i)
  \langle proof \rangle

lemma rtrancl-image-idem \ [simp]: R^* \ "R^* \ "s = R^* \ "s \ s
```

1.2 Abstract Syntax

1.2.1 Generic Entities

```
\mathbf{type\text{-}synonym}\ \mathit{name} = \mathit{string}
```

```
datatype predicate = Pred (name: name)
```

Some of the AST entities are defined over a polymorphic 'val type, which gets either instantiated by variables (for domains) or objects (for problems).

An atom is either a predicate with arguments, or an equality statement.

```
datatype 'val atom = predAtm (predicate: predicate) (arguments: 'val list)
| Eq (lhs: 'val) (rhs: 'val)
```

A type is a list of primitive type names. To model a primitive type, we use a singleton list.

```
datatype type = Either (primitives: name list)
```

An effect contains a list of values to be added, and a list of values to be removed.

```
datatype 'val ast-effect = Effect (Adds: ('val) list) (Dels: ('val) list)
```

Variables are identified by their names.

 $datatype \ variable = varname: \ Var \ name$

1.2.2 Domains

An action schema has a name, a typed parameter list, a precondition, and an effect.

```
datatype ast-action-schema = Action-Schema
(name: name)
(parameters: (variable × type) list)
(precondition: variable atom formula)
(effect: variable atom formula ast-effect)
```

A predicate declaration contains the predicate's name and its argument types.

```
datatype predicate-decl = PredDecl
  (pred: predicate)
  (argTs: type list)
```

A domain contains the declarations of primitive types, predicates, and action schemas.

```
datatype ast-domain = Domain

(types: name list) — Only supports flat type hierarchy

(predicates: predicate-decl list)

(actions: ast-action-schema list)
```

1.2.3 Problems

Objects are identified by their names

```
datatype \ object = name: \ Obj \ name
```

A fact is an atom over objects.

```
type-synonym fact = object atom
```

A problem consists of a domain, a list of objects, a description of the initial state, and a description of the goal state.

```
datatype ast-problem = Problem
(domain: ast-domain)
(objects: (object × type) list)
(init: fact formula list)
(goal: fact formula)
```

1.2.4 Plans

```
datatype plan-action = PAction
  (name: name)
  (arguments: object list)
```

type-synonym plan = plan-action list

1.2.5 Ground Actions

The following datatype represents an action scheme that has been instantiated by replacing the arguments with concrete objects, also called ground action.

```
datatype ast-action-inst = Action-Inst
(precondition: (object atom) formula)
(effect: (object atom) formula ast-effect)
```

1.3 STRIPS Semantics

Discriminator for atomic formulas.

```
fun is-Atom where
  is-Atom (Atom -) = True | is-Atom - = False
```

The world model is a set of ground formulas

```
type-synonym \ world-model = fact \ formula \ set
```

For this section, we fix a domain D, using Isabelle's locale mechanism.

```
 \begin{array}{l} \textbf{locale} \ \textit{ast-domain} = \\ \textbf{fixes} \ \textit{D} :: \ \textit{ast-domain} \\ \textbf{begin} \end{array}
```

It seems to be agreed upon that, in case of a contradictory effect, addition overrides deletion. We model this behaviour by first executing the deletions, and then the additions.

```
fun apply-effect
:: object atom formula ast-effect \Rightarrow world-model \Rightarrow world-model
where
apply-effect (Effect (adds) (dels)) s = (s - (set \ dels)) \cup ((set \ adds))
```

Execute an action instance

```
definition execute-ast-action-inst

:: ast-action-inst \Rightarrow world-model \Rightarrow world-model

where

execute-ast-action-inst\ act-inst\ M = apply-effect\ (effect\ act-inst)\ M
```

Predicate to model that the given list of action instances is executable, and transforms an initial world model M into a final model M'.

Note that this definition over the list structure is more convenient in HOL than to explicitly define an indexed sequence $M_0...M_N$ of intermediate world models, as done in [Lif87].

```
fun ast-action-inst-path

:: world-model ⇒ (ast-action-inst list) ⇒ world-model ⇒ bool

where

ast-action-inst-path M \ [] \ M' \longleftrightarrow (M = M')

| ast-action-inst-path M \ (\alpha \# \alpha s) \ M' \longleftrightarrow M \Vdash precondition \ \alpha

∧ (ast-action-inst-path (execute-ast-action-inst \ \alpha \ M) \ \alpha s M')
```

Function equations as presented in paper, with inlined execute-ast-action-inst.

```
lemma ast-action-inst-path-in-paper:

ast-action-inst-path M \ [] \ M' \longleftrightarrow (M = M')

ast-action-inst-path M \ (\alpha \# \alpha s) \ M' \longleftrightarrow M \not\models precondition \ \alpha

\land \ (ast-action-inst-path \ (apply-effect \ (effect \ \alpha) \ M) \ \alpha s \ M')

\langle proof \rangle
```

end — Context of ast-domain

1.3.1 Soundness theorem for the STRIPS semantics

We prove the soundness theorem according to [Lif87].

States are modeled as valuations of our underlying predicate logic.

type-synonym state = object atom valuation

context ast-domain begin

An action is a partial function from states to states.

```
type-synonym action = state \rightarrow state
```

The Isabelle/HOL formula f s = Some s' means that f is applicable in state s, and the result is s'.

```
Definition B (i)–(iv) in [Lif87]
```

```
fun sound-opr :: ast-action-inst ⇒ action ⇒ bool where sound-opr (Action-Inst pre (Effect add del)) f \longleftrightarrow (\forall s. \ s \models pre \longrightarrow (\exists s'. \ f \ s = Some \ s' \land (\forall \ atm. \ is-Atom \ atm \land \ atm \notin set \ del \land \ s \models \ atm \longrightarrow \ s' \models \ atm) \land \ (\forall \ fmla. \ fmla \in set \ add \longrightarrow \ s' \models fmla) \land \ (\forall \ fmla \in set \ add. \ \neg is-Atom \ fmla \longrightarrow \ (\forall \ s. \ s \models fmla)) )
```

Definition B (v)–(vii) in [Lif87]

definition sound-system

```
:: ast-action-inst set
      \Rightarrow world\text{-}model
      \Rightarrow object atom valuation
      \Rightarrow (ast\text{-}action\text{-}inst \Rightarrow action)
      \Rightarrow bool
    where
    sound-system \Sigma M_0 s_0 f \longleftrightarrow
         (\forall fmla \in M_0. \ s_0 \models fmla)
      \land (\forall fmla \in M_0. \neg is\text{-}Atom fmla \longrightarrow (\forall s. s \models fmla))
      \land (\forall \alpha \in \Sigma. \ sound\text{-}opr \ \alpha \ (f \ \alpha))
Composing two actions
  definition compose-action :: action \Rightarrow action \Rightarrow action where
    compose-action f1 f2 x = (case f2 \ x \ of \ Some \ y \Rightarrow f1 \ y \mid None \Rightarrow None)
Composing a list of actions
  definition compose-actions :: action list \Rightarrow action where
    compose-actions fs \equiv fold\ compose-action\ fs\ Some
Composing a list of actions satisfies some natural lemmas:
  lemma compose-actions-Nil[simp]:
    compose-actions [] = Some \langle proof \rangle
  lemma compose-actions-Cons[simp]:
    f s = Some \ s' \Longrightarrow compose-actions \ (f \# fs) \ s = compose-actions \ fs \ s'
  \langle proof \rangle
  lemma sound-opr-alt:
    sound-opr \ opr \ f =
      (\forall s. \ s \models (precondition \ opr) \longrightarrow (\exists s'. \ f \ s = (Some \ s') \land )
    (\forall atm. is-Atom atm \land atm \notin set(Dels (effect opr)) \land s \models atm \longrightarrow s' \models atm)
  \land (\forall atm. atm \in set(Adds (effect opr)) \longrightarrow s' \models atm)
  \land (\forall s. \forall fmla \in set(Adds (effect opr)). \neg is-Atom fmla \longrightarrow s \models fmla)
      ))
    \langle proof \rangle
Soundness Theorem of [Lif87].
  theorem STRIPS-sema-sound:
    assumes sound-system \Sigma M_0 s_0 f
        — For a sound system \Sigma
    assumes set \ \alpha s \subseteq \Sigma
       — And a plan \alpha s
    assumes ast-action-inst-path M_0 \alpha s M'
     — Which is accepted by the system, yielding result M' (called R(\alpha s) in [Lif87])
    obtains s'
         - We have that f(\alpha s) is applicable in initial state, yielding state s' (called
f_{\alpha s}(s_0) in [Lif87])
```

```
where compose-actions (map f \alpha s) s_0 = Some \ s'
— The result world model M' is satisfied in state s'
and \forall fmla \in M'. s' \models fmla
\langle proof \rangle
```

More compact notation of the soundness theorem.

```
theorem STRIPS-sema-sound-compact-version:

sound-system \Sigma M_0 s_0 f \Longrightarrow set \alpha s \subseteq \Sigma

\Longrightarrow ast-action-inst-path M_0 \alpha s M'

\Longrightarrow \exists s'. compose-actions (map f \alpha s) s_0 = Some s'

\land (\forall fmla \in M'. s' \models fmla)

\langle proof \rangle
```

end — Context of ast-domain

1.4 Well-Formedness of PDDL

context ast-domain begin

The signature is a partial function that maps the predicates of the domain to lists of argument types.

```
definition sig :: predicate \rightarrow type \ list \ \mathbf{where} sig \equiv map\text{-}of \ (map \ (\lambda PredDecl \ p \ n \Rightarrow (p,n)) \ (predicates \ D))
```

We use a flat subtype hierarchy, where every type is a subtype of object, and there are no other subtype relations.

Note that we do not need to restrict this relation to declared types, as we will explicitly ensure that all types used in the problem are declared.

```
definition subtype\text{-}rel \equiv \{\text{''object''}\} \times UNIV

definition of\text{-}type :: type \Rightarrow type \Rightarrow bool where

<math>of\text{-}type \ oT \ T \equiv set \ (primitives \ oT) \subseteq subtype\text{-}rel^* \text{ '' set } (primitives \ T)
```

This checks that every primitive on the LHS is contained in or a subtype of a primitive on the RHS

For the next few definitions, we fix a partial function that maps a polymorphic entity type 'e to types. An entity can be instantiated by variables or objects later.

```
context fixes ty-ent :: 'ent \rightharpoonup type — Entity's type, None if invalid begin
```

Checks whether an entity has a given type

```
definition is-of-type :: 'ent \Rightarrow type \Rightarrow bool where is-of-type v \ T \longleftrightarrow ( case ty-ent v \ of
```

```
Some \ vT \Rightarrow of\text{-}type \ vT \ T\mid None \Rightarrow False)
```

Predicate-atoms are well-formed if their arguments match the signature, equalities are well-formed if the arguments are valid objects (have a type).

TODO: We could check that types may actually overlap

```
fun wf-atom :: 'ent atom \Rightarrow bool where wf-atom (predAtm p vs) \longleftrightarrow ( case sig p of None \Rightarrow False | Some Ts \Rightarrow list-all2 is-of-type vs Ts) | wf-atom (Eq - -) = False
```

A formula is well-formed if it consists of valid atoms, and does not contain negations, except for the encoding $\neg \bot$ of true.

```
fun wf\text{-}fmla :: ('ent \ atom) \ formula \Rightarrow bool \ \mathbf{where}
wf\text{-}fmla \ (Atom \ a) \longleftrightarrow wf\text{-}atom \ a
| \ wf\text{-}fmla \ (\varphi 1 \land \varphi 2) \longleftrightarrow (wf\text{-}fmla \ \varphi 1 \land wf\text{-}fmla \ \varphi 2)
| \ wf\text{-}fmla \ (\varphi 1 \lor \varphi 2) \longleftrightarrow (wf\text{-}fmla \ \varphi 1 \land wf\text{-}fmla \ \varphi 2)
| \ wf\text{-}fmla \ (\neg \bot) \longleftrightarrow True
| \ wf\text{-}fmla \ -\longleftrightarrow False
| \mathbf{emma} \ wf\text{-}fmla\text{-}add\text{-}simps[simp]: \ wf\text{-}fmla \ (\neg \varphi) \longleftrightarrow \varphi = \bot \langle proof \rangle
```

Special case for a well-formed atomic formula

```
fun wf-fmla-atom where
wf\text{-}fmla\text{-}atom \ (Atom \ a) \longleftrightarrow wf\text{-}atom \ a
| \ wf\text{-}fmla\text{-}atom \ -\longleftrightarrow False
\mathbf{lemma} \ wf\text{-}fmla\text{-}atom\text{-}alt: \ wf\text{-}fmla\text{-}atom \ \varphi \longleftrightarrow is\text{-}Atom \ \varphi \land \ wf\text{-}fmla \ \varphi \land \ (proof)
```

An effect is well-formed if the added and removed formulas are atomic

```
fun wf-effect where wf-effect (Effect adds dels) \longleftrightarrow (\forall ae \in set adds. wf-fmla-atom ae) \land (\forall de \in set dels. wf-fmla-atom de) end — Context fixing ty-ent
```

An action schema is well-formed if the parameter names are distinct, and the precondition and effect is well-fromed wrt. the parameters.

```
fun wf-action-schema :: ast-action-schema \Rightarrow bool where wf-action-schema (Action-Schema n params pre eff) \longleftrightarrow ( let tyv = map\text{-}of\ params
```

```
in
distinct (map fst params)
∧ wf-fmla tyv pre
∧ wf-effect tyv eff)
```

A type is well-formed if it consists only of declared primitive types, and the type object.

```
fun wf-type where wf-type (Either Ts) \longleftrightarrow set Ts \subseteq insert "object" (set (types D))
```

A predicate is well-formed if its argument types are well-formed.

```
fun wf-predicate-decl where wf-predicate-decl (PredDecl p Ts) \longleftrightarrow (\forall T \in set Ts. wf-type T)
```

A domain is well-formed if

- there are no duplicate declared primitive types,
- there are no duplicate declared predicate names,
- all declared predicates are well-formed,
- there are no duplicate action names,
- and all declared actions are well-formed

```
definition wf-domain :: bool where wf-domain \equiv distinct (types D) \land distinct (map (predicate-decl.pred) (predicates D)) \land (\forall p \in set (predicates D). wf-predicate-decl p) \land distinct (map ast-action-schema.name (actions D)) \land (\forall a \in set (actions D). wf-action-schema a)
```

```
end — locale ast-domain
```

We fix a problem, and also include the definitions for the domain of this problem.

```
 \begin{array}{l} \textbf{locale} \  \, ast\text{-}problem = \, ast\text{-}domain \,\, domain \,\, P \\ \textbf{for} \  \, P :: \, ast\text{-}problem \\ \textbf{begin} \end{array}
```

We refer to the problem domain as D

```
definition objT :: object \rightarrow type where objT \equiv map \text{-} of \ (objects \ P)
```

abbreviation $D \equiv ast\text{-}problem.domain P$

```
wf-fact = wf-atom \ obj T
This definition is needed for well-formedness of the initial model, and forward-
references to the concept of world model.
 definition wf-world-model where
   wf-world-model\ M = (\forall f \in M.\ wf-fmla-atom\ objT\ f)
  definition wf-problem where
   wf-problem \equiv
     w\!f\!\!-\!domain
   \land distinct (map fst (objects P))
   \land (\forall (n,T) \in set \ (objects \ P). \ wf-type \ T)
   \wedge distinct (init P)
   \land wf-world-model (set (init P))
   \land wf-fmla objT (goal P)
 fun wf-effect-inst :: object atom formula ast-effect \Rightarrow bool where
   wf-effect-inst (Effect (adds) (dels))
     \longleftrightarrow (\forall a \in set \ adds \cup set \ dels. \ wf-fmla-atom \ objT \ a)
 lemma wf-effect-inst-alt: wf-effect-inst eff = wf-effect objT eff
   \langle proof \rangle
end — locale ast-problem
Locale to express a well-formed domain
locale \ wf-ast-domain = ast-domain +
 assumes wf-domain: wf-domain
Locale to express a well-formed problem
locale wf-ast-problem = ast-problem P for P +
 assumes wf-problem: wf-problem
```

definition wf- $fact :: fact \Rightarrow bool$ where

1.5 PDDL Semantics

end — locale wf-ast-problem

sublocale wf-ast-domain domain P

context ast-domain begin

 $\langle proof \rangle$

```
definition resolve-action-schema :: name \rightarrow ast-action-schema where resolve-action-schema n = index-by ast-action-schema.name (actions D) n
```

To instantiate an action schema, we first compute a substitution from parameters to objects, and then apply this substitution to the precondition

and effect. The substitution is applied via the map-xxx functions generated by the datatype package.

```
fun instantiate-action-schema
   :: ast-action-schema \Rightarrow object\ list \Rightarrow ast-action-inst
  where
   instantiate-action-schema (Action-Schema n params pre eff) args = (let
       psubst = (the \ o \ (map-of \ (zip \ (map \ fst \ params) \ args)));
       pre-inst = (map-formula \ o \ map-atom) \ psubst \ pre;
       eff-inst = (map-ast-effect o map-formula o map-atom) psubst eff
       Action-Inst pre-inst eff-inst
end — Context of ast-domain
context ast-problem begin
Initial model
 definition I :: world\text{-}model where
   I \equiv set (init P)
Resolve a plan action and instantiate the referenced action schema.
 \mathbf{fun} \ \mathit{resolve-instantiate} :: \mathit{plan-action} \Rightarrow \mathit{ast-action-inst} \ \mathbf{where}
    resolve-instantiate (PAction n args) =
     instantiate-action-schema
       (the\ (resolve-action-schema\ n))
       args
Check whether object has specified type
  definition is-obj-of-type n T \equiv case \ objT \ n of
    None \Rightarrow False
 \mid Some \ oT \Rightarrow of\text{-type } oT \ T
We can also use the generic is-of-type function.
 lemma is-obj-of-type-alt: is-obj-of-type = is-of-type objT
    \langle proof \rangle
```

HOL encoding of matching an action's formal parameters against an argument list. The parameters of the action are encoded as a list of $name \times type$ pairs, such that we map it to a list of types first. Then, the list relator list-all2 checks that arguments and types have the same length, and each matching pair of argument and type satisfies the predicate is-obj-of-type.

```
definition action-params-match a args \equiv list-all2 \ is-obj-of-type \ args \ (map \ snd \ (parameters \ a))
```

At this point, we can define well-formedness of a plan action: The action must refer to a declared action schema, the arguments must be compatible with the formal parameters' types.

```
fun wf-plan-action :: plan-action \Rightarrow bool where wf-plan-action (PAction n args) = (
    case resolve-action-schema n of 
    None \Rightarrow False | Some a \Rightarrow (* Objects are valid and match parameter types *) 
    action-params-match a args (* Effect is valid *) 
    \land wf-effect-inst (effect (instantiate-action-schema a args)) )
```

TODO: The second conjunct is redundant, as instantiating a well formed action with valid objects yield a valid effect.

A sequence of plan actions form a path, if they are well-formed and their instantiations form a path.

```
definition plan-action-path

:: world-model \Rightarrow (plan-action\ list) \Rightarrow world-model \Rightarrow bool

where

plan-action-path\ M\ \pi s\ M' =

((\forall \pi \in set\ \pi s.\ wf-plan-action\ \pi)

\land\ ast-action-inst-path\ M\ (map\ resolve-instantiate\ \pi s)\ M')

A plan is valid wrt. a given initial model, if it forms a path to a goal model definition valid-plan-from: world-model \Rightarrow plan \Rightarrow bool\ where

valid-plan-from\ M\ \pi s = (\exists\ M'.\ plan-action-path\ M\ \pi s\ M'\ \land\ M' \models (goal\ P))

Finally, a plan is valid if it is valid wrt. the initial world model I

definition valid-plan: plan \Rightarrow bool

where valid-plan \equiv valid-plan-from\ I
```

end — Context of ast-problem

1.6 Preservation of Well-Formedness

Well-Formed Action Instances

The goal of this section is to establish that well-formedness of world models is preserved by execution of well-formed plan actions.

```
context ast-problem begin
```

1.6.1

As plan actions are executed by first instantiating them, and then executing the action instance, it is natural to define a well-formedness concept for action instances.

```
fun wf-action-inst :: ast-action-inst \Rightarrow bool where wf-action-inst (Action-Inst pre eff) \longleftrightarrow ( wf-fmla objT pre \land wf-effect objT eff )
```

We first prove that instantiating a well-formed action schema will yield a well-formed action instance.

We begin with some auxiliary lemmas before the actual theorem.

```
lemma (in ast-domain) of-type-refl[simp, intro!]: of-type T T
  \langle proof \rangle
lemma (in ast-domain) of-type-trans[trans]:
  of-type T1 T2 \Longrightarrow of-type T2 T3 \Longrightarrow of-type T1 T3
  \langle proof \rangle
\mathbf{lemma} \ \textit{is-of-type-map-ofE} \colon
 assumes is-of-type (map-of params) x T
 obtains i xT where i < length params params! i = (x,xT) of-type xT T
 \langle proof \rangle
context
 fixes Qf
 assumes INST: is-of-type Q \times T \Longrightarrow is-of-type objT \ (f \times x) \ T
begin
 lemma wf-inst-eq-aux: Q x = Some T \Longrightarrow objT (f x) \neq None
   \langle proof \rangle
 lemma wf-inst-atom:
   assumes wf-atom Q a
   shows wf-atom objT (map-atom f a)
  \langle proof \rangle
 {f lemma} wf-inst-formula-atom:
   assumes wf-fmla-atom Q a
   shows wf-fmla-atom objT ((map-formula o map-atom) f a)
   \langle proof \rangle
 lemma wf-inst-effect:
   assumes wf-effect Q \varphi
   shows wf-effect objT ((map-ast-effect o map-formula o map-atom) f \varphi)
   \langle proof \rangle
 lemma wf-inst-formula:
   assumes wf-fmla Q \varphi
   shows wf-fmla objT ((map-formula o map-atom) f \varphi)
   \langle proof \rangle
```

end

Instantiating a well-formed action schema with compatible arguments will yield a well-formed action instance.

```
theorem wf-instantiate-action-schema:
assumes action-params-match a args
assumes wf-action-schema a
shows wf-action-inst (instantiate-action-schema a args)
⟨proof⟩
end — Context of ast-problem
```

1.6.2 Preservation

context ast-problem begin

We start by defining two shorthands for enabledness and execution of a plan action.

Shorthand for enabled plan action: It is well-formed, and the precondition holds for its instance.

```
definition plan-action-enabled :: plan-action \Rightarrow world-model \Rightarrow bool where plan-action-enabled \pi M \longleftrightarrow wf-plan-action \pi \land M \models precondition (resolve-instantiate \pi)
```

Shorthand for executing a plan action: Resolve, instantiate, and apply effect

```
definition execute-plan-action :: plan-action \Rightarrow world-model \Rightarrow world-model where execute-plan-action \pi M = (apply-effect (effect (resolve-instantiate \pi)) M)
```

The *plan-action-path* predicate can be decomposed naturally using these shorthands:

```
lemma plan-action-path-Nil[simp]: plan-action-path M [] M' \longleftrightarrow M' = M \langle proof \rangle
```

```
lemma plan-action-path-Cons[simp]:

plan-action-path M (\pi\#\pi s) M'\longleftrightarrow

plan-action-enabled \pi M

\land plan-action-path (execute-plan-action \pi M) \pi s M'

\langle proof \rangle
```

end — Context of ast-problem

context wf-ast-problem begin

The initial world model is well-formed

```
lemma wf-I: wf-world-model I
    \langle proof \rangle
Application of a well-formed effect preserves well-formedness of the model
  lemma wf-apply-effect:
   \mathbf{assumes}\ \mathit{wf-effect}\ \mathit{obj} T\ \mathit{e}
   assumes wf-world-model s
   shows wf-world-model (apply-effect e s)
    \langle proof \rangle
Execution of plan actions preserves well-formedness
  theorem wf-execute:
   assumes plan-action-enabled \pi s
   assumes wf-world-model s
   shows wf-world-model (execute-plan-action \pi s)
    \langle proof \rangle
  theorem wf-execute-compact-notation:
    plan-action-enabled \pi s \Longrightarrow wf-world-model s
    \implies wf-world-model (execute-plan-action \pi s)
    \langle proof \rangle
Execution of a plan preserves well-formedness
  corollary wf-plan-action-path:
    \llbracket wf\text{-}world\text{-}model\ M;\ plan-action-path\ M\ \pi s\ M' \rrbracket \Longrightarrow wf\text{-}world\text{-}model\ M'
    \langle proof \rangle
end — Context of wf-ast-problem
1.7
        Soundness Theorem for PDDL
context wf-ast-problem begin
Mapping world models to states
  definition state-to-wm \ s = (formula.Atom ` \{atm. \ s \ atm\})
  definition wm-to-state M = (\%atm. (formula.Atom atm) \in M)
Mapping AST action instances to actions
  definition pddl-opr-to-act q-opr s = (
   let M = state-to-wm s in
   if (s \models (precondition \ q\text{-}opr)) then
     Some (wm\text{-}to\text{-}state\ (apply\text{-}effect\ (effect\ g\text{-}opr)\ M))
    else
     None
  lemma wm-to-state-to-wm:
   s \models f = wm\text{-}to\text{-}state (state\text{-}to\text{-}wm \ s) \models f
```

```
\langle proof \rangle
lemma atom-in-wm:
 s \models (formula.Atom\ atm)
   \longleftrightarrow ((formula.Atom\ atm) \in (state-to-wm\ s))
  \langle proof \rangle
lemma atom-in-wm-2:
  (wm\text{-}to\text{-}state\ M) \models (formula.Atom\ atm)
    \longleftrightarrow ((formula.Atom\ atm) \in M)
  \langle proof \rangle
lemma not-dels-preserved:
 assumes f \notin (set \ dels) f \in M
 shows f \in apply\text{-effect (Effect adds dels) } M
  \langle proof \rangle
lemma adds-satisfied:
 assumes f \in (set \ adds)
 shows f \in apply\text{-effect (Effect adds dels) } M
  \langle proof \rangle
lemma wf-fmla-atm-is-atom: wf-fmla-atom objT f \implies is-Atom f
  \langle proof \rangle
lemma wf-act-adds-are-atoms:
 assumes wf-effect-inst effs ae \in set (Adds effs)
 shows is-Atom ae
  \langle proof \rangle
lemma wf-eff-pddl-ground-act-is-sound-opr:
 assumes wf-effect-inst (effect g-opr)
 shows sound-opr g-opr (pddl-opr-to-act g-opr)
 \langle proof \rangle
lemma wf-eff-impt-wf-eff-inst: wf-effect objT eff \Longrightarrow wf-effect-inst eff
  \langle proof \rangle
lemma wf-pddl-ground-act-is-sound-opr:
 assumes wf-action-inst g-opr
 shows sound-opr g-opr (pddl-opr-to-act g-opr)
  \langle proof \rangle
lemma wf-action-schema-sound-inst:
 {\bf assumes} \ action\hbox{-}params\hbox{-}match \ act \ args \ wf\hbox{-}action\hbox{-}schema \ act
 shows sound-opr
    (instantiate-action-schema act args)
    (pddl-opr-to-act (instantiate-action-schema act args))
  \langle proof \rangle
```

```
\mathbf{lemma}\ \textit{wf-plan-act-is-sound}\colon
  assumes wf-plan-action (PAction n args)
  shows sound-opr
    (instantiate-action-schema\ (the\ (resolve-action-schema\ n))\ args)
    (pddl-opr-to-act
      (instantiate-action-schema\ (the\ (resolve-action-schema\ n))\ args))
  \langle proof \rangle
lemma wf-plan-act-is-sound':
  assumes wf-plan-action \pi
  shows sound-opr
    (resolve-instantiate \pi)
    (pddl\text{-}opr\text{-}to\text{-}act\ (resolve\text{-}instantiate\ \pi))
  \langle proof \rangle
lemma wf-world-model-has-atoms: f \in M \implies wf-world-model M \implies is-Atom f
  \langle proof \rangle
lemma wm-to-state-works-for-I:
  assumes x \in I
  shows wm-to-state I \models x
  \langle proof \rangle
theorem wf-plan-sound-system:
 assumes \forall \pi \in set \ \pi s. \ wf\text{-}plan\text{-}action \ \pi
  shows sound-system
    (set\ (map\ resolve-instantiate\ \pi s))
    (wm\text{-}to\text{-}state\ I)
    pddl-opr-to-act
  \langle proof \rangle
theorem wf-plan-soundness-theorem:
  assumes plan-action-path I \pi s M
  defines \alpha s \equiv map \ (pddl\text{-}opr\text{-}to\text{-}act \circ resolve\text{-}instantiate}) \ \pi s
  defines s_0 \equiv wm\text{-}to\text{-}state\ I
  shows \exists s'. compose-actions \alpha s \ s_0 = Some \ s' \land (\forall \varphi \in M. \ s' \models \varphi)
  \langle proof \rangle
```

end — Context of wf-ast-problem

1.8 Closed-World Assumption and Negation

A valuation extracted from the atoms of the world model

```
definition valuation :: world-model \Rightarrow object atom \Rightarrow bool where valuation M \equiv \lambda x. (Atom x \in M)
```

Augment a world model by adding negated versions of all atoms not contained in it.

```
definition close-world M = M \cup \{\neg(Atom\ atm) \mid atm.\ Atom\ atm \notin M\}
lemma
  close\text{-}world\text{-}extensive: M \subseteq close\text{-}world M and
  close-world-idem[simp]:\ close-world\ (close-world\ M)=\ close-world\ M
  \langle proof \rangle
lemma in-close-world-conv:
  \varphi \in close\text{-}world\ M \longleftrightarrow (\varphi \in M \lor (\exists\ atm.\ \varphi = \neg(Atom\ atm) \land Atom\ atm \notin M))
  \langle proof \rangle
lemma valuation-aux-1:
  fixes M: world-model and \varphi: object atom formula
  defines C \equiv close\text{-}world M
  assumes A: \forall \varphi \in C. \ \mathcal{A} \models \varphi
  shows A = valuation M
  \langle proof \rangle
lemma valuation-aux-2:
  assumes \forall \varphi \in M. is-Atom \varphi
  shows (\forall G \in close\text{-world } M. \ valuation \ M \models G)
lemma val-imp-close-world: valuation M \models \varphi \implies close-world M \models \varphi
  \langle proof \rangle
\mathbf{lemma}\ \mathit{close-world-imp-val}\colon
  \forall \varphi \in M. \text{ is-Atom } \varphi \Longrightarrow \text{close-world } M \models \varphi \Longrightarrow \text{valuation } M \models \varphi
```

Main theorem of this section: If a world model M contains only atoms, its induced valuation satisfies a formula φ if and only if the closure of M entails φ .

Note that there are no syntactic restrictions on φ , in particular, φ may contain negation.

```
theorem valuation-iff-close-world: assumes \forall \varphi \in M. is-Atom \varphi shows valuation M \models \varphi \longleftrightarrow close\text{-world } M \models \varphi \land proof \rangle
```

 \mathbf{end} — Theory

 $\langle proof \rangle$

2 Executable PDDL Checker

theory PDDL-STRIPS-Checker

```
imports PDDL\text{-}STRIPS\text{-}Semantics Error\text{-}Monad\text{-}Add $\sim /src/HOL/Library/Char\text{-}ord$ $\sim /src/HOL/Library/Code\text{-}Char$ $\sim /src/HOL/Library/Code\text{-}Target\text{-}Nat$ $Containers. Containers$ begin
```

2.1 Implementation Refinements

2.1.1 Of-Type

We exploit the flat type hierarchy to efficiently implement the subtype-check context ast-domain begin

```
lemma rtrancl-subtype-rel* = (\{"object"\} \times UNIV)= \langle proof \rangle

lemma of-type-code:
of-type oT T \longleftrightarrow (
"object" \in set \ (primitives \ T))
\lor set \ (primitives \ oT) \subseteq set \ (primitives \ T)
\langle proof \rangle

end — Context of ast-domain
```

2.1.2 Application of Effects

context ast-domain begin

We implement the application of an effect by explicit iteration over the additions and deletions

```
fun apply-effect-exec

:: object atom formula ast-effect \Rightarrow world-model \Rightarrow world-model

where

apply-effect-exec (Effect adds dels) s

= fold (\lambdaadd s. Set.insert add s) adds

(fold (\lambdadel s. Set.remove del s) dels s)

lemma apply-effect-exec-refine[simp]:

apply-effect-exec (Effect (adds) (dels)) s

= apply-effect (Effect (adds) (dels)) s

\langle proof \rangle

lemmas apply-effect-eq-impl-eq
```

```
= apply-effect-exec-refine[symmetric, unfolded apply-effect-exec.simps]
```

end — Context of ast-domain

2.1.3 Well-Foundedness

```
context ast-problem begin
```

We start by defining a mapping from objects to types. The container framework will generate efficient, red-black tree based code for that later.

```
type-synonym objT = (object, type) mapping
 definition mp-objT :: (object, type) mapping where
   mp-objT = Mapping.of-alist (objects P)
 lemma mp-objT-correct[simp]: Mapping.lookup mp-objT = objT
    \langle proof \rangle
We refine the typecheck to use the mapping
  definition is-obj-of-type-impl mp \ n \ T = (
    case Mapping.lookup mp n of None \Rightarrow False | Some oT \Rightarrow of-type oT T
 lemma is-obj-of-type-impl-correct[simp]:
   is-obj-of-type-impl\ mp-objT=is-obj-of-type
   \langle proof \rangle
We refine the well-formedness checks to use the mapping
  definition wf-fact':: objT \Rightarrow fact \Rightarrow bool
   where
   wf-fact' ot \equiv wf-atom (Mapping.lookup ot)
 lemma wf-fact'-correct[simp]: wf-fact' mp-objT = wf-fact
   \langle proof \rangle
 definition wf-fmla-atom' mp f
   = (case \ f \ of \ formula. Atom \ atm \Rightarrow (wf-fact' \ mp \ atm) \mid - \Rightarrow False)
  lemma wf-problem-impl-eq:
    wf-problem \longleftrightarrow (let mp = mp-objT in
     wf-domain
   \land distinct (map fst (objects P))
   \land (\forall (n,T) \in set \ (objects \ P). \ wf-type \ T)
   \wedge distinct (init P)
   \land (\forall f \in set \ (init \ P). \ wf-fmla-atom' \ mp \ f)
   \land wf\text{-}fmla (Mapping.lookup mp) (goal P))
   \langle proof \rangle
```

```
Instantiating actions will yield well-founded effects. Corollary of [action-params-match\ ?a\ ?args;\ wf-action-schema\ ?a] \Longrightarrow wf-action-inst\ (instantiate-action-schema\ ?a\ ?args).
```

```
lemma wf-effect-inst-weak:

fixes a args

defines ai \equiv instantiate-action-schema a args

assumes A: action-params-match a args

wf-action-schema a

shows wf-effect-inst (effect ai)

\langle proof \rangle
```

context wf-ast-domain begin

end — Context of ast-problem

Resolving an action yields a well-founded action schema.

```
lemma resolve-action-wf:

assumes resolve-action-schema n = Some~a

shows wf-action-schema a

\langle proof \rangle
```

end — Context of ast-domain

2.1.4 Execution of Plan Actions

We will perform two refinement steps, to summarize redundant operations

We first lift action schema lookup into the error monad.

```
context ast-domain begin
definition resolve-action-schemaE n \equiv lift\text{-}opt
(resolve\text{-}action\text{-}schema\ }n)
(ERR\ (shows\ ''No\ such\ action\ schema\ ''\ o\ shows\ }n))
end — Context of ast-domain
```

context ast-problem begin

We define a function to determine whether a formula holds in a world model

```
definition holds M F \equiv (valuation M) \models F
```

Justification of this function

```
lemma holds-for-wf-fmlas:

assumes \forall x \in s. is-Atom x wf-fmla Q F

shows holds s F \longleftrightarrow s \models F

\langle proof \rangle
```

The first refinement summarizes the enabledness check and the execution of the action. Moreover, we implement the precondition evaluation by our *holds* function. This way, we can eliminate redundant resolving and instantiation of the action.

```
definition en\text{-}exE :: plan\text{-}action \Rightarrow world\text{-}model \Rightarrow \text{-}+world\text{-}model where
    en\text{-}exE \equiv \lambda(PAction \ n \ args) \Rightarrow \lambda s. \ do \ \{
     a \leftarrow resolve\text{-}action\text{-}schemaE n;
     check (action-params-match a args) (ERRS "Parameter mismatch");
     let \ ai = instantiate-action-schema \ a \ args;
     check (wf-effect-inst (effect ai)) (ERRS "Effect not well-formed");
     check (holds s (precondition ai)) (ERRS "Precondition not satisfied");
     Error-Monad.return (apply-effect (effect ai) s)
Justification of implementation.
  lemma (in wf-ast-problem) en-exE-return-iff:
   assumes \forall x \in s. is-Atom x
   shows en\text{-}exE \ a \ s = Inr \ s'
     \longleftrightarrow plan-action-enabled a s \land s' = execute-plan-action a s
    \langle proof \rangle
Next, we use the efficient implementation is-obj-of-type-impl for the type
check, and omit the well-formedness check, as effects obtained from instanti-
ating well-formed action schemas are always well-formed (wf-effect-inst-weak).
  abbreviation action-params-match2 mp a args
   \equiv list-all2 \ (is-obj-of-type-impl\ mp)
       args (map snd (ast-action-schema.parameters a))
  definition en-exE2
   :: (object, type) \ mapping \Rightarrow plan-action \Rightarrow world-model \Rightarrow -+world-model
  where
   en\text{-}exE2 \ mp \equiv \lambda(PAction \ n \ args) \Rightarrow \lambda s. \ do \ \{
     a \leftarrow resolve\text{-}action\text{-}schemaE n;
     check (action-params-match2 mp a args) (ERRS "Parameter mismatch");
     let \ ai = instantiate-action-schema \ a \ args;
     check (holds s (precondition ai)) (ERRS "Precondition not satisfied");
     Error-Monad.return (apply-effect (effect ai) s)
Justification of refinement
  lemma (in wf-ast-problem) wf-en-exE2-eq:
   shows en\text{-}exE2 mp\text{-}objT pa s = en\text{-}exE pa s
    \langle proof \rangle
Combination of the two refinement lemmas
 lemma (in wf-ast-problem) en-exE2-return-iff:
   assumes \forall x \in s. is-Atom x
```

```
shows en\text{-}exE2 \ mp\text{-}objT \ a \ s = Inr \ s'
\longleftrightarrow plan\text{-}action\text{-}enabled} \ a \ s \land s' = execute\text{-}plan\text{-}action} \ a \ s
\langle proof \rangle

lemma (in wf\text{-}ast\text{-}problem) en\text{-}exE2\text{-}return\text{-}iff\text{-}compact\text{-}notation}:
\llbracket \forall x \in s. \ is\text{-}Atom \ x \rrbracket \implies en\text{-}exE2 \ mp\text{-}objT \ a \ s = Inr \ s'
\longleftrightarrow plan\text{-}action\text{-}enabled} \ a \ s \land s' = execute\text{-}plan\text{-}action} \ a \ s
\langle proof \rangle
```

end — Context of ast-problem

2.1.5 Checking of Plan

context ast-problem begin

First, we combine the well-formedness check of the plan actions and their execution into a single iteration.

```
fun valid-plan-from1 :: world-model \Rightarrow plan \Rightarrow bool where valid-plan-from1 s [] \longleftrightarrow s \models (goal P) | valid-plan-from1 s (\pi\#\pi s) \longleftrightarrow plan-action-enabled \pi s \land (valid-plan-from1 (execute-plan-action \pi s) \pi s) lemma valid-plan-from1-refine: valid-plan-from s \pi s = valid-plan-from1 s \pi s \langle proof \rangle
```

Next, we use our efficient combined enabledness check and execution function, and transfer the implementation to use the error monad:

```
fun valid-plan-fromE 
 :: (object, type) mapping \Rightarrow nat \Rightarrow world-model \Rightarrow plan \Rightarrow -+unit where 
 valid-plan-fromE mp si s [] 
 = check (holds s (goal P)) (ERRS "Postcondition does not hold") 
 | valid-plan-fromE mp si s (\pi\#\pi s) = do { 
 s \leftarrow en-exE2 mp \pi s 
 <+? (\lambda e -. shows "at step" o shows si o shows ": " o e ()); 
 valid-plan-fromE mp (si+1) s \pi s }
```

For the refinement, we need to show that the world models only contain atoms, i.e., containing only atoms is an invariant under execution of wellformed plan actions.

```
lemma (in wf-ast-problem) wf-actions-only-add-atoms: [\forall x \in s. is-Atom \ x; wf-plan-action \ a \ ] \implies \forall x \in execute-plan-action \ a \ s. is-Atom \ x \ \langle proof \rangle
```

Refinement lemma for our plan checking algorithm

```
lemma (in wf-ast-problem) valid-plan-fromE-return-iff [return-iff]: assumes \forall x \in s. is-Atom x shows valid-plan-fromE mp-objT k s \pi s = Inr () \longleftrightarrow valid-plan-from s \pi s \langle proof \rangle lemmas valid-plan-fromE-return-iff [return-iff] = wf-ast-problem.valid-plan-fromE-return-iff [of P, OF wf-ast-problem.intro]
```

end — Context of ast-problem

2.2 Executable Plan Checker

We obtain the main plan checker by combining the well-formedness check and executability check.

```
definition check-plan P \pi s \equiv do { check (ast-problem.wf-problem P) (ERRS "Domain/Problem not well-formed"); ast-problem.valid-plan-fromE P (ast-problem.mp-objT P) 1 (ast-problem.I P) \pi s }
```

Correctness theorem of the plan checker: It returns Inr () if and only if the problem is well-formed and the plan is valid.

```
theorem check-plan-return-iff[return-iff]: check-plan P \pi s = Inr () \longleftrightarrow ast-problem.wf-problem P \land ast-problem.valid-plan P \pi s \land proof \land
```

2.3 Code Setup

In this section, we set up the code generator to generate verified code for our plan checker.

2.3.1 Code Equations

We first register the code equations for the functions of the checker. Note that we not necessarily register the original code equations, but also optimized ones.

```
\begin{array}{l} \textbf{lemmas} \ \textit{wf-domain-code} = \\ \textit{ast-domain.sig-def} \\ \textit{ast-domain.wf-type.simps} \\ \textit{ast-domain.wf-predicate-decl.simps} \\ \textit{ast-domain.wf-domain-def} \\ \textit{ast-domain.wf-action-schema.simps} \\ \textit{ast-domain.wf-effect.simps} \\ \textit{ast-domain.wf-fmla.simps} \\ \\ \textit{ast-domain.wf-fmla.simps} \\ \end{array}
```

```
ast-domain.wf-atom.simps \\ ast-domain.is-of-type-def \\ ast-domain.of-type-code
```

declare *wf-domain-code*[*code*]

```
\begin{array}{l} \textbf{lemmas} \ \textit{wf-problem-code} = \\ \textit{ast-problem.wf-problem-impl-eq} \\ \textit{ast-problem.wf-fact'-def} \\ \textit{ast-problem.is-obj-of-type-alt} \\ \textit{ast-problem.wf-fact-def} \\ \textit{ast-problem.wf-plan-action.simps} \end{array}
```

declare *wf-problem-code*[*code*]

```
lemmas check-code =
  ast-problem.valid-plan-def
  ast\text{-}problem.valid\text{-}plan\text{-}from E.simps
  ast	ext{-}problem.en	ext{-}exE2	ext{-}def
  ast\text{-}problem.resolve\text{-}instantiate.simps
  ast-domain.resolve-action-schema-def
  ast-domain.resolve-action-schema E-def
  ast-problem.I-def
  ast-domain.instantiate-action-schema.simps\\
  ast-domain. apply-effect-exec. simps \\
  ast-domain.\,apply-effect-eq-impl-eq
  ast-problem.holds-def
  ast-problem.mp-objT-def
  ast\text{-}problem.is\text{-}obj\text{-}of\text{-}type\text{-}impl\text{-}def
  ast-domain.wf-fmla-atom.simps
  ast	ext{-}problem.wf	ext{-}fmla	ext{-}atom'	ext{-}def
  valuation-def
declare check-code[code]
```

2.3.2 Setup for Containers Framework

```
derive ceq predicate atom object formula
derive ccompare predicate atom object formula
derive (rbt) set-impl atom formula

derive (rbt) mapping-impl object
derive linorder predicate object atom object atom formula
```

2.3.3 More Efficient Distinctness Check for Linorders

```
fun no-stutter :: 'a list \Rightarrow bool where
no-stutter [] = True
| no\text{-stutter } [-] = True
| no\text{-stutter } (a\#b\#l) = (a \neq b \land no\text{-stutter } (b\#l))

lemma sorted-no-stutter-eq-distinct: sorted l \Longrightarrow no\text{-stutter } l \longleftrightarrow distinct \ \langle proof \rangle

definition distinct-ds :: 'a::linorder list \Rightarrow bool
where distinct-ds l \equiv no\text{-stutter } (quicksort \ l)

lemma [code-unfold]: distinct = distinct-ds
\langle proof \rangle
```

2.3.4 Code Generation

export-code

```
check-plan
nat-of-integer integer-of-nat Inl Inr
predAtm Eq predicate Pred Either Var Obj PredDecl BigAnd BigOr
formula.Not formula.Bot Effect ast-action-schema.Action-Schema
map-atom Domain Problem PAction
in SML
module-name PDDL-Checker-Exported
file code/PDDL-STRIPS-Checker-Exported.sml
```

end — Theory

3 Reasoning about Invariants

```
theory invariant-verification imports PDDL-STRIPS-Semantics begin \langle proof \rangle \langle proof \rangle \langle proof \rangle context ast-domain begin definition is-invariant-inst Q \alpha \longleftrightarrow (\forall M. Q M \land M \models precondition \alpha \longrightarrow Q \ (execute-ast-action-inst \alpha M)) end context ast-problem begin
```

An invariant is a predicate preserved under execution of plan actions

```
definition is-invariant-P Q \longleftrightarrow

(\forall M \ \pi. \ Q \ M \land plan-action-enabled \ \pi \ M \longleftrightarrow Q \ (execute-plan-action \ \pi \ M))
```

This also implies invariance under plans.

```
lemma invarP-imp-plan-invar:

assumes I: is-invariant-P Q

assumes Q M plan-action-path M \pi s M'

shows Q M'

\langle proof \rangle
```

To prove that Q is invariant, we can show that it is preserved by every possible instantiation of the action schemas declared by the domain.

```
lemma is-invariant-PI:

assumes \bigwedge a args.

\llbracket a \in set \ (actions \ D); \ action-params-match \ a \ args \ \rrbracket

\implies is-invariant-inst Q (instantiate-action-schema a args)

shows is-invariant-P Q

\langle proof \rangle
```

end

context ast-domain begin

In the context of a domain, an invariant must be preserved by any action of any well-formed problem in this domain.

```
 \begin{array}{ll} \textbf{definition} \ \textit{is-invariant} \ \textit{Q} \longleftrightarrow \\ (\forall \textit{P. ast-problem.wf-problem} \ \textit{P} \\ \longrightarrow \textit{ast-problem.is-invariant-P} \ \textit{P} \ \textit{Q}) \end{array}
```

An invariant can be introduced by showing that it preserves all possible action instances of all possible problems.

```
lemma is-invariant-I:
   assumes \bigwedge a args P.
   \llbracket ast-problem.wf-problem P; a \in set (actions (domain P));
   ast-problem.action-params-match P a args \rrbracket
\Longrightarrow is-invariant-inst Q (instantiate-action-schema a args)
   shows is-invariant Q
\langle proof \rangle
```

An invariant is preserved by any path in any well-formed problem

```
lemma (in wf-ast-problem) invar-imp-plan-invar: assumes is-invariant Q assumes Q M plan-action-path M \pi s M' shows Q M'
```

 $\langle proof \rangle$

 \mathbf{end}