

## ABSTRACT

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## INTRODUCTION

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## METHODOLOGY

### Equivalent layer technique for gravity data processing

Let  $d_i^o$  be the observed gravity data at the point  $(x_i, y_i, z_i)$ ,  $i = 1, \dots, N$ , of a local Cartesian system with  $x$  axis pointing to North, the  $y$  axis pointing to East and the  $z$  axis pointing

downward. We consider that the gravity data are properly processed so that they represent the difference between the observed gravity (corrected from non-gravitational effects due to the vehicle motion) and the normal gravity, at the same point. This quantity is called *gravity disturbance* (Hofmann-Wellenhof and Moritz, 2005). Several authors have discussed the differences between the gravity anomaly and the gravity disturbance, as well as proposed that the second is more appropriated for geophysical applications. A detailed discussion about this theoretical topic is beyond the scope of our work and we refer the reader to Li and Götze (2001); Fairhead et al. (2003); Hackney and Featherstone (2003); Hinze et al. (2005) and Vajda et al. (2006, 2007, 2008), for example.

In a local coordinate system, the gravity disturbance can be considered as the  $z$  - component (or vertical component) of the gravitational attraction exerted by gravity sources. As a consequence, it can be approximated by a linear combination of ...

$$\delta g(x_i, y_i, z_i) = \sum_{j=1}^N p_j a_{ij} , \quad (1)$$

$$a_{ij} = \frac{G (z_0 - z_i) 10^{-5}}{[(x_i - x_j)^2 + (y_i - y_j)^2 + (z_i - z_0)^2]^{\frac{3}{2}}} . \quad (2)$$

$$\mathbf{d}(\mathbf{p}) = \mathbf{A}\mathbf{p} , \quad (3)$$

$$\Psi(\mathbf{p}) = \|\mathbf{d}^o - \mathbf{d}(\mathbf{p})\|_2^2 + \mu \|\mathbf{p}\|_2^2 , \quad (4)$$

$$\hat{\mathbf{p}} = \left( \mathbf{A}^\top \mathbf{A} + \mu \mathbf{I} \right)^{-1} \mathbf{A}^\top \mathbf{d}^o . \quad (5)$$

## Structure of matrix **A** for regular grids

Let us consider that  $z_i = z_1, \forall i$ , where  $z_1 < z_0$ . As a consequence, the elements  $a_{ij}$  (equation 2) may be conveniently rewritten as follows:

$$a_{ij} = \frac{G \Delta z 10^{-5}}{\left(r_{ij}^2 + \Delta z^2\right)^{\frac{3}{2}}}, \quad (6)$$

where  $r_{ij}^2 = (x_i - x_j)^2 + (y_i - y_j)^2$  and  $\Delta z = z_0 - z_1$ .

$$x_k = x_1 + (k - 1) \Delta x, \quad k = 1, \dots, Nx_x \quad (7)$$

$$y_l = y_1 + (l - 1) \Delta y, \quad l = 1, \dots, Ny. \quad (8)$$

*x-oriented grids*

$$i = (l - 1) Nx + k, \quad (9)$$

$$l = \left\lceil \frac{i}{Nx} \right\rceil \quad (10)$$

$$k = i - \left\lceil \frac{i}{Nx} \right\rceil Nx = Nx - Nx, \quad (11)$$

$$x_i = x_1 + \left( i - \left\lceil \frac{i}{Nx} \right\rceil Nx - 1 \right) \Delta x \quad (12)$$

$$y_i = y_1 + \left( \left\lceil \frac{i}{Nx} \right\rceil - 1 \right) \Delta y. \quad (13)$$

## Computational performance

In a normal procedure of the fast equivalent layer proposed by Siqueira et al. (2017), at each iteration a full matrix  $\mathbf{A}$  (equation 3) is multiplied by the estimated mass distribution parameter vector  $\hat{\mathbf{p}}^k$  producing the predicted gravity data  $\mathbf{d}(\mathbf{p})$  iteratively. As pointed in Siqueira et al. (2017) the number of flops (floating-point operations) necessary to estimate the  $N$ -dimensional parameter vector inside the iteration loop is:

$$f_0 = N^{it}(3N + 2N^2), \quad (14)$$

where  $N^{it}$  is the number of iterations. From equation 14 it is clear that the matrix-vector product ( $2N^2$ ) accounts for most of the computational complexity in this method.

It is well known that FFT takes  $N \log_2(N)$  flops (?). Computing the eigenvalues of the BCCB matrix ( $4N \times 4N$ ) and applying 2D-FFT on the parameter vector (equation ??), takes  $4N \log(4N)$  each. The point-multiplication takes  $4N$ . As it is necessary to compute the inverse FFT another two  $4N \log(4N)$  must be taken in account. However, the sensibility matrix does not change during the process, thus, the eigenvalues of BCCB must be calculated only once, outside of the iteration. This lead us to a flops count in our method of:

$$f_1 = 4N \log(4N) + N^{it}(7N + 8N \log(4N)). \quad (15)$$

Another major improvement of this methodology is the exoneration of calculating the full sensibility matrix  $\mathbf{A}$  (equation 3). Each element needs 12 flops (equation 2), totalizing  $12N^2$  flops for the full matrix. Calculating only the first row of the BTTB matrix  $12N$  flops

is required. Thus, the full flops count of the method presented by Siqueira et al. (2017):

$$f_s = 12N^2 + N^{it}(3N + 2N^2), \quad (16)$$

it is decreased in our method to:

$$f_f = 12N + 4N \log(4N) + N^{it}(7N + 8N \log(4N)). \quad (17)$$

Figure 1 shows the floating points to estimate the parameter vector using the fast equivalent layer with the method of Siqueira et al. (2017) (equation 14) and our approach (equation 15) versus the number of observation points varyig from  $N = 5000$  to  $N = 1000000$  with 50 iterations. The number of operations is drastically decreased.

Table 1 shows the system memory RAM usage needed to store the full sensibility matrix, the BTTB first row and the BCCB eigenvalues (8 times the BTTB first row). The quantities were computed for different numbers of data (N) with the same corresponding number of equivalent sources (N). Table 1 considers that each element of the matrix is a double-precision number, which requires 8 bytes of storage, except for the BCCB complex eigenvalues, which requires 16 bytes per element. Notice that 1,000.000 observation points requires nearly 7.6 Terabytes of memory RAM to store the whole sensibility matrix of the equivalent layer.

Using a PC with a Intel Core i7 4790@3.6GHz processor and 16 Gb of memory RAM, Figure 2 compares the running time of the Siqueira et al. (2017) method with the one of our work, considering a constant number of iterations equal to 50. Clearly, the major advantage of our approach is its computational efficiency that allows a rapid calculation of the gravity

forward modeling with number of observations greater than 10,000. Because of the RAM available in this system, we could not perform this comparison with more observations. Therefore, the number of observation is limited to 22,500. Disregarding the limitation of 16 Gb of RAM, Figure 3 shows the running time of our method with 50 iterations and with the number of observations up to 25 millions. Our method requires 26 : 8 seconds to run one million of observations, whereas Siqueira et al. (2017) method took 48 : 3 seconds to run 22,500 observations

## SYNTHETIC TESTS

In this section, we investigate the effectiveness of using the properties of BTTB and BCCB matrices (equation ??) to solve, at each iteration, the forward modeling (the matrix-vector product  $\mathbf{A}\hat{\mathbf{p}}^k$ ) required in the fast equivalent layer proposed by Siqueira et al. (2017). We simulated three sources whose horizontal projections are shown in Figure 4 as black lines. These sources are two vertical prisms with density contrasts of  $0.35g/cm^3$  (upper-left prism) and  $0.4g/cm^3$  (upper-right prism) and a sphere with radius of 1,000 m with density contrast of  $-0.5g/cm^3$ . Figure 4 shows the vertical component of gravity field generated by these sources contaminated with additive pseudorandom Gaussian noise with zero mean and standard deviation of 0.01486 mGal.

The advantage of using the structures of BTTB and BCCB matrices to compute forward modeling in the fast equivalent layer proposed by Siqueira et al. (2017) is grounded on the use of regular grids of data and equivalent sources. Hence, we created 10,000 observation points regularly spaced in a grid of  $100 \times 100$  at 100 m height. We also set a grid of equivalent point masses, each one directly beneath each observation points, located at 300 m deep. Figures 5a and 6a show the fitted gravity data obtained, respectively, by the fast equivalent



layer using Siqueira et al. (2017) method and by our modified form of this method that computes the forward modeling using equation ???. The corresponding residuals (Figures 5b and 6b), defined as the difference between the observed (Figure 4) and fitted gravity data (Figures 5a and 6a), show means close to zero and standard deviations of 0.0144 mGal. Therefore, Figures 5 and 6 show that Siqueira et al. (2017) method and our modified version of this method produced virtually the same results. This excellent agreement is confirmed in Figures 7 and 8 which shows that there are virtually no differences, respectively, in the fitted data presented in Figures 5b and 6b and in the estimated mass distributions within the equivalent layers (not shown) yielded by both Siqueira et al. (2017) method and our modification of this method. These results (7 and 8) show that computing the matrix-vector product  $(\mathbf{A}\hat{\mathbf{p}}^k)$ , required in the forward modeling, by means of embedding the BTTB matrix into a BCCB matrix (equation ??) yields practically the same result as the one produced by computing this matrix-vector product with a full matrix  $\mathbf{A}$ .

We perform two forms of processing the gravity data (Figure 4) through the equivalent layer technique: the upward (Figure 9) and the downward (Figure 10) continuations. The upward height is 300m and the downward is at 50m. Either in the upward continuation (Figure 9) or in the downward continuation (Figure 10), the continued gravity data using the fast equivalent layer proposed by Siqueira et al. (2017) (Figures 9a and 10a) are in close agreement with those produced by our modification of Siqueira et al. (2017) method (Figures 9b and 10b). The residuals (Figures 9c and 10c) quantify this agreement since their means and standard deviations are close to zero in both continued gravity data using both methods. All the continued gravity data shown here (Figures 9 and 10) agree with the true ones (not shown). The most striking feature of these upward or the downward continuations concerns the total computation time. The computation time spent by our

method is approximately 1500 times faster than Siqueira et al. (2017) method.

## REAL DATA TEST

Test with real data are conducted with the gravity data from Carajás, north of Brazil, were provided by the Geological Survey of Brazil (CPRM). The real aerogravimetric data were collected in 113 flight lines along northsouth direction with flight line spacing of 3 km and tie lines along eastwest direction at 12 km.

This airborne gravity survey was divided in two different areas, collected in different times, having samples spacing of 7.65 m and 15.21 m, totalizing 4,353,428 observation points. The height of the flight was fixed at 900 m. The gravity data (Figure 11) were gridded into a regularly spaced dataset of 250,000 observation points ( $500 \times 500$ ) with a grid spacing of 716.9311 km north-south and 781.7387 km east-west.

To apply our modification of the fast equivalent layer method (Siqueira et al., 2017) that computes the forward modeling using the properties of BTTB and BCCB matrices (equation ??), we set an equivalent layer at 300 m deep. Figure 12a shows the fitted gravity data after with 50 iterations by applying our method approach. The residuals (Figure 12b), defined as the difference between the observed (Figure 11) and the predicted (Figure 12a) data, show an acceptable data fitting because they have a mean close to zero (0.000292 mGal) and a small standard deviation of 0.105 mGal which corresponds to approximately 0.1 % of the amplitude of the gravity data.

These small residuals indicate that our method yielded an estimated mass distribution (not shown) that can be used in the data processing. We perform upward-continuation of the real gravity data (Figure 11) at a constant height of 5000 m over the real data.

The upward-continued gravity data (Figure 13) seem a reasonable processing because of the attenuation of the short-wave lengths. By using our approach, the processing of the 250,000 observations was extremely fast and took 0.216 seconds.

## CONCLUSIONS

By exploring the properties related to Block-Toeplitz Toeplitz-block (BTTB) and Block-Circulant Circulant-Block (BCCB) matrices in the gravity data processing, we have proposed a new efficient approach for calculating the gravity-data forward modeling required in the iterative fast equivalent-layer technique grounded on excess mass constraint that does not demand the solution of linear systems. Its efficiency is grounded on the use of regular grids of observations and equivalent sources (point masses). Our algorithm greatly reduces the number of flops necessary to estimate a 2D mass distribution within the equivalent layer that fits the observed gravity data. For example, when processing one million observations the number of flops is reduced in 104 times. Traditionally, such amount of data impractically requires 7.6 Terabytes of RAM memory to handle the full sensibility matrix. Rather, in our method, this matrix takes 61,035 Megabytes of RAM memory only.

Our method takes advantage of the symmetric BTTB system that arises when processing a harmonic function as the vertical component of gravity, that depends on the inverse of distance between the observation and the point mass over the equivalent layer. Symmetric BTTB matrices can be stored by its only first row and can be embedded into a symmetric BCCB matrix, which in turn also only needs its first row.

Using the fast Fourier transform it is possible to calculate the eigenvalues of BCCB matrices which can be used to compute a matrix-vector product (gravity-data forward

modeling) in a very low computational cost. The time needed to process medium-sized grids of observation, for example 22,500 points, is reduced in 102 times. We have successfully applied the proposed method to upward (or downward) synthetic gravity data. Testing on field data from the Carajás Province, north of Brazil, confirms the potential of our approach in upward-continuing gravity data with 250,000 observations in about 0.2 seconds. Our method allows, in future research, applying the equivalent layer technique for processing and interpreting massive data set such as collected in continental and global scales studies.

## Figures

Figure 1

Figure 2

Figure 3

Figure 4

Figure 5



Figure 6

Figure 7

Figure 8

Figure 9

Figure 10

Figure 11

Figure 12

Figure 13



## Tables

$N \times N$	Full RAM (Mb)	BTTB RAM (Mb)	BCCB RAM (Mb)
$100 \times 100$	0.0763	0.0000763	0.0006104
$400 \times 400$	1.22	0.0031	0.0248
$2500 \times 2500$	48	0.0191	0.1528
$10000 \times 10000$	763	0.00763	0.6104
$40000 \times 40000$	12207	0.305	2.4416
$250000 \times 250000$	476837	1.907	15.3
$500000 \times 500000$	1907349	3.815	30.518
$1000000 \times 1000000$	7629395	7.629	61.035

Table 1: Comparison between the system memory RAM usage needed to store the full matrix, the BTTB first row and the BCCB eigenvalues (eight times the BTTB). The quantities were computed for different numbers of data ( $N$ ) with the same corresponding number of equivalent sources ( $N$ ). This table considers that each element of the matrix is a double-precision number, which requires 8 bytes of storage, except for the BCCB complex eigenvalues, which requires 16 bytes per element.

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## LIST OF FIGURES

1 floating points to estimate the parameter vector using the fast equivalent layer with Siqueira et al. (2017) method (equation 14) and our approach (equation 15) versus the numbers of observation points varyig from  $N = 5000$  to  $N = 1000000$  with 50 iterations. The number of operations is drastically decreased.

2 time necessary to run 50 iterations of the Siqueira et al. (2017) method and the one presented in this work. With the limitation of 16 Gb of memory RAM in our system, we could test only up to 22500 obervation points.

3 time necessary to run the equivalent layer technique with 50 iterations using only this new approach, where the RAM is not a limitation factor. We could run up to 25 million observation points. In comparison, 1 million observation points took 26.8 seconds to run, where the maximun 22500 observation points in figure 2, with Siqueira et al. (2017) method, took 48.3 seconds.

4 model with two polygonal prisms, with density contrast of 0.35 (upper-left body) and  $0.4g/cm^3$  (upper-right body), and a sphere with radius of  $1000m$  with density contrast of  $-0.5g/cm^3$ . The vertical component of gravity generated by this bodies were calculated and are shown together with their horizontal projections. A gaussian noise was added to the data with mean of zero and maximum value of 0.5% of the maximum of the original data. As previous said only in regular grids the BTTB matrix structures appears. We created 10000 observation points regularly spaced in a grid of  $100 \times 100$ , with a uniform  $100m$  of height for all the observations.

5 (a) Fitted gravity data produced by the fast equivalent layer proposed by Siqueira et al. (2017). (b) Gravity residuals, defined as the difference between the observed data in Figure 4 and the predicted data in (a), with their mean of  $8.264e-7$  and standard deviation

of 0.0144 mGal.

6 (a) Fitted gravity data produced by our modification of the fast equivalent layer proposed by Siqueira et al. (2017). (b) Gravity residuals, defined as the difference between the observed data in Figure 4 and the predicted data in (a), with their mean of  $8.264e - 7$  and standard deviation of 0.0144 mGal.

7 Difference between the fitted gravity data produced by Siqueira et al. (2017) method (Figure 5a) and by our modified form of this method that computes the forward modeling using the properties of BTTB and BCCB matrices (equation ??).

8 Difference between the estimated mass distribution within the equivalent layer produced by Siqueira et al. (2017) method (Figure 5a) and by our modified form of this method that computes the forward modeling using the properties of BTTB and BCCB matrices (equation ??).

9 The upward-continued gravity data using: (a) the fast equivalent layer proposed by Siqueira et al. (2017) and (b) our modified form of Siqueira et al. (2017) method by using the properties of BTTB and BCCB matrices (equation ??) to calculate the forward modeling. (c) Residuals, defined as the difference between a and b with their mean of  $-5.938e - 18$  and standard deviation of  $8.701e - 18$ . The total computation times in the Siqueira et al. (2017) method and in our approach are 7.62026 and 0.00834 seconds, respectively.

10 The downward-continued gravity data using: (a) the fast equivalent layer proposed by Siqueira et al. (2017) and (b) our modified form of Siqueira et al. (2017) method by using the properties of BTTB and BCCB matrices (equation ??) to calculate the forward modeling. (c) Residuals, defined as the difference between a and b with their mean of  $5.914e - 18$  and standard deviation of  $9.014e - 18$ . The total computation times in the Siqueira et al. (2017) method and in our approach are 7.59654 and 0.00547 seconds, respectively.

11 Carajás Province, Brazil. Gravity data on a regular grid of  $500 \times 500$  points, totaling 250,000 observations. The inset shows the study area (blue rectangle) which covers the southeast part of the state of Pará, north of Brazil.

12 Carajás Province, Brazil. (a) Predicted gravity data produced by our modification of the fast equivalent layer method (Siqueira et al., 2017) that computes the forward modeling using the properties of BTTB and BCCB matrices (equation ??). (b) Gravity residuals, defined as the difference between the observed data in Figure 11 and the predicted data in a, with their mean of 0.000292 mGal and standard deviation of 0.105 mGal.

13 Carajás Province, Brazil. The upward-continued gravity data using our modification of the fast equivalent layer method (Siqueira et al., 2017) that computes the forward modeling using the properties of BTTB and BCCB matrices (equation ??). The total computation time is 0.216 seconds for processing of the 250,000 observations.



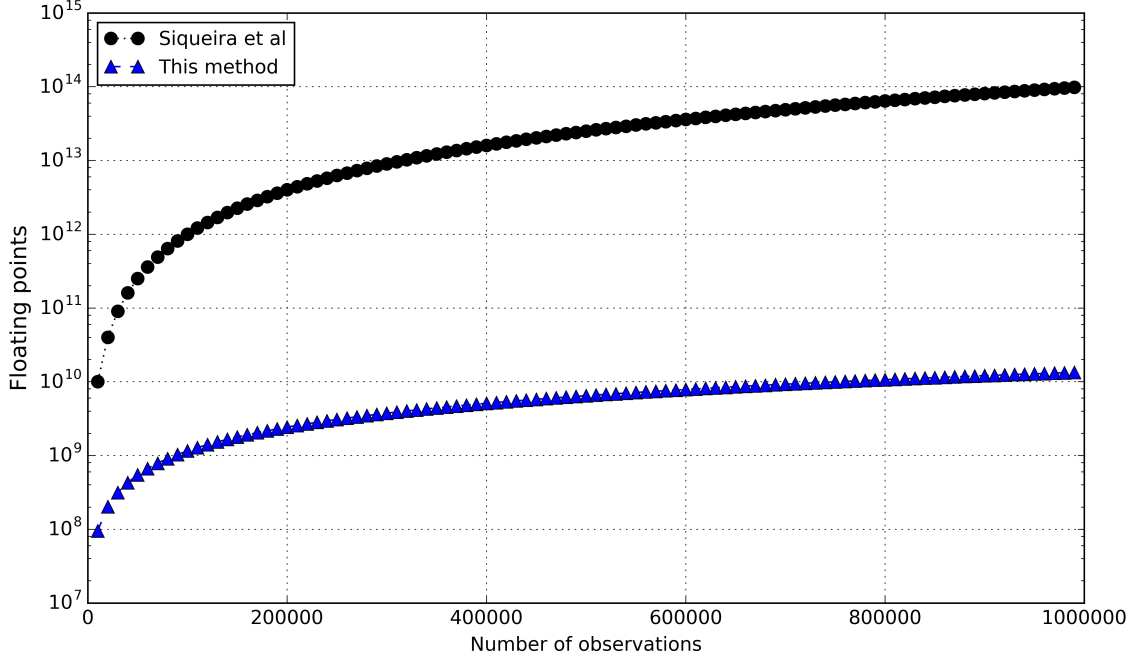


Figure 1: floating points to estimate the parameter vector using the fast equivalent layer with Siqueira et al. (2017) method (equation 14) and our approach (equation 15) versus the numbers of observation points varyig from  $N = 5000$  to  $N = 1000000$  with 50 iterations. The number of operations is drastically decreased.

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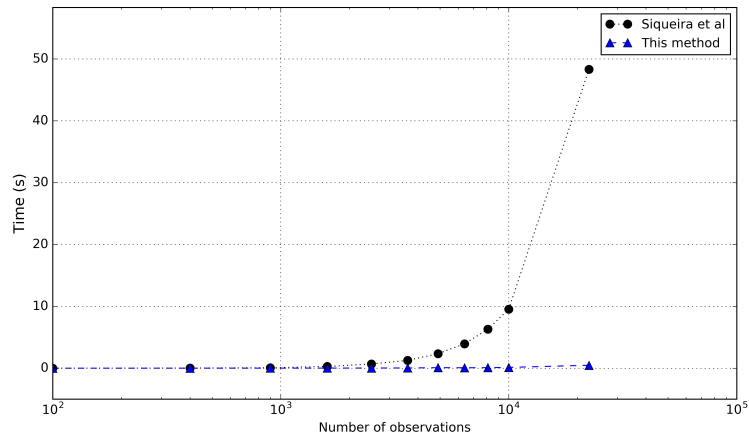


Figure 2: time necessary to run 50 iterations of the Siqueira et al. (2017) method and the one presented in this work. With the limitation of 16 Gb of memory RAM in our system, we could test only up to 22500 observation points.

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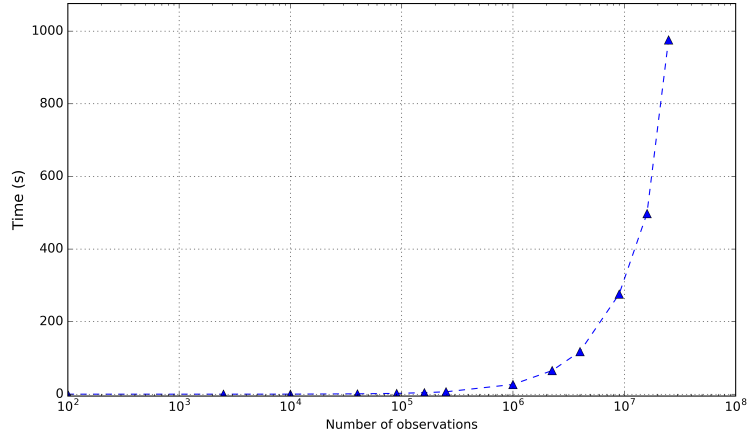


Figure 3: time necessary to run the equivalent layer technique with 50 iterations using only this new approach, where the RAM is not a limitation factor. We could run up to 25 million observation points. In comparison, 1 million observation points took 26.8 seconds to run, where the maximum 22500 observation points in figure 2, with Siqueira et al. (2017) method, took 48.3 seconds.

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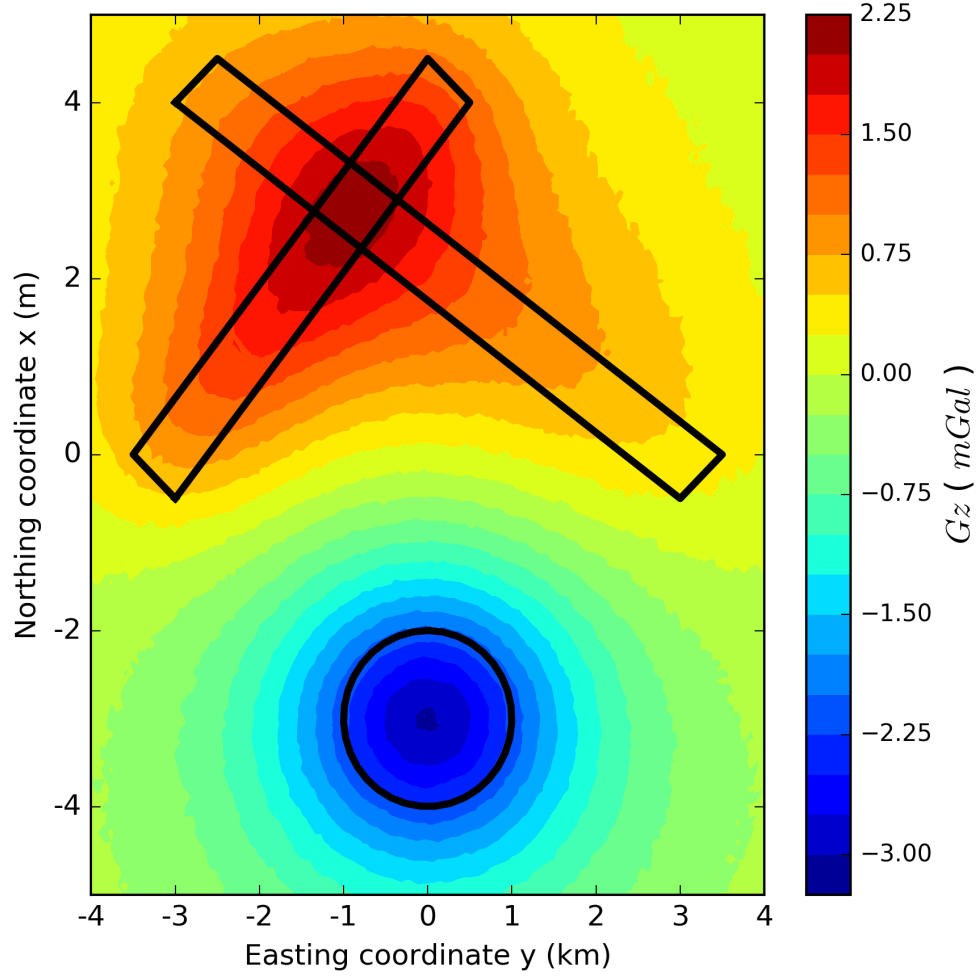


Figure 4: model with two polygonal prisms, with density contrast of  $0.35$  (upper-left body) and  $0.4g/cm^3$  (upper-right body), and a sphere with radius of  $1000m$  with density contrast of  $-0.5g/cm^3$ . The vertical component of gravity generated by this bodies were calculated and are shown together with their horizontal projections. A gaussian noise was added to the data with mean of zero and maximum value of  $0.5\%$  of the maximum of the original data. As previous said only in regular grids the BTTB matrix structures appears. We created  $10000$  observation points regularly spaced in a grid of  $100 \times 100$ , with a uniform  $100m$  of height for all the observations.

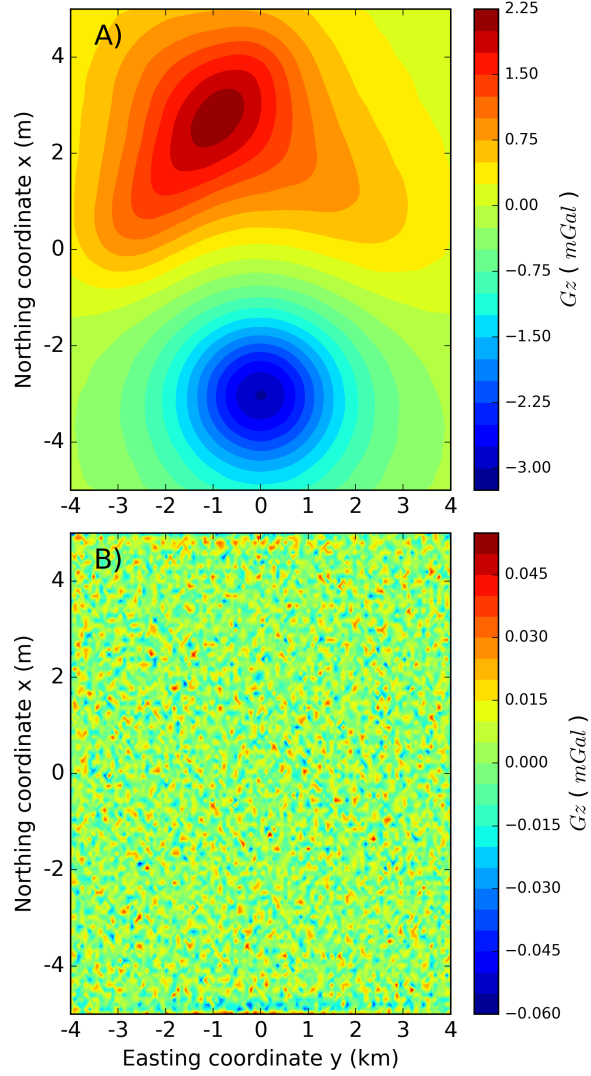


Figure 5: (a) Fitted gravity data produced by the fast equivalent layer proposed by Siqueira et al. (2017). (b) Gravity residuals, defined as the difference between the observed data in Figure 4 and the predicted data in (a), with their mean of  $8.264e-7$  and standard deviation of 0.0144 mGal.

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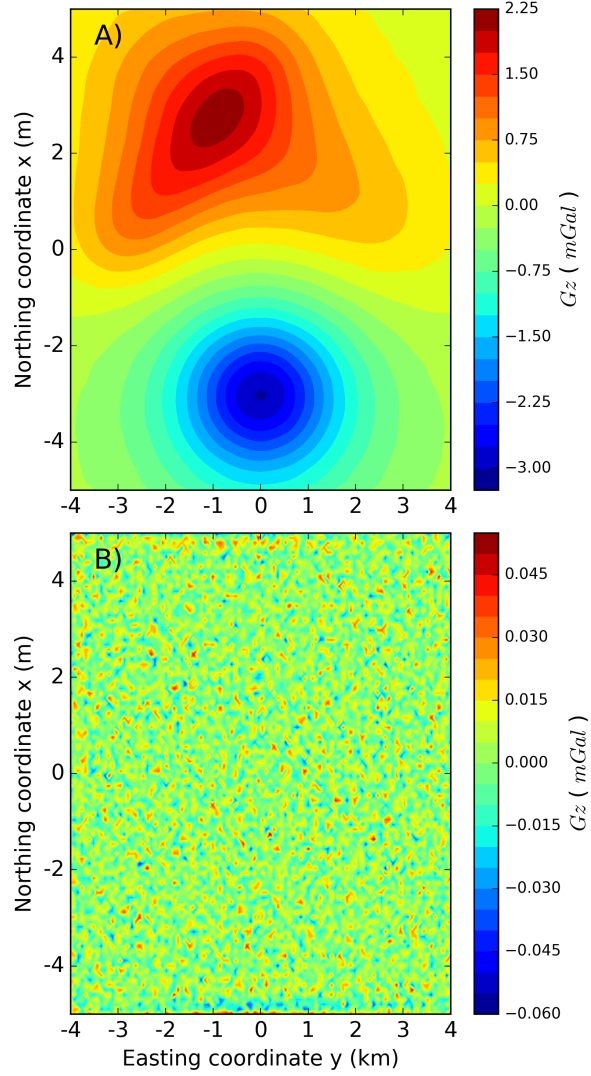


Figure 6: (a) Fitted gravity data produced by our modification of the fast equivalent layer proposed by Siqueira et al. (2017). (b) Gravity residuals, defined as the difference between the observed data in Figure 4 and the predicted data in (a), with their mean of  $8.264e - 7$  and standard deviation of 0.0144 mGal.

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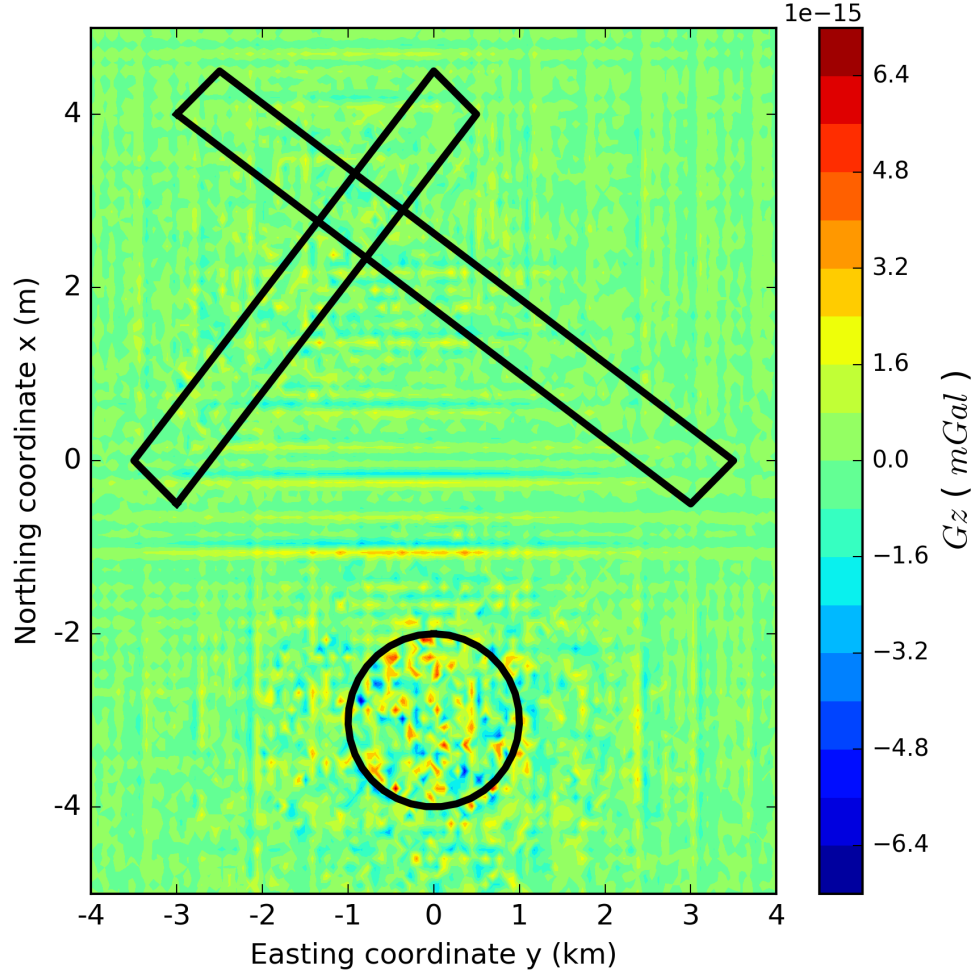


Figure 7: Difference between the fitted gravity data produced by Siqueira et al. (2017) method (Figure 5a) and by our modified form of this method that computes the forward modeling using the properties of BTTB and BCCB matrices (equation ??).

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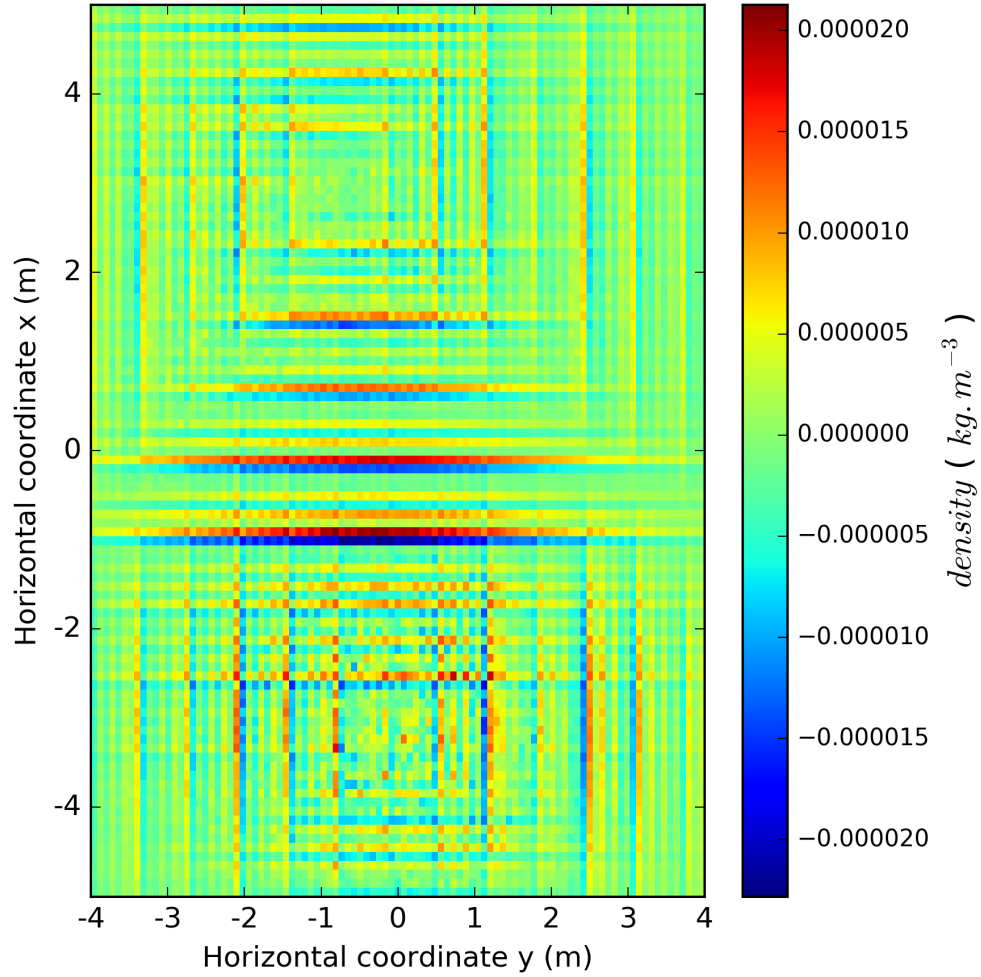


Figure 8: Difference between the estimated mass distribution within the equivalent layer produced by Siqueira et al. (2017) method (Figure 5a) and by our modified form of this method that computes the forward modeling using the properties of BTTB and BCCB matrices (equation ??).

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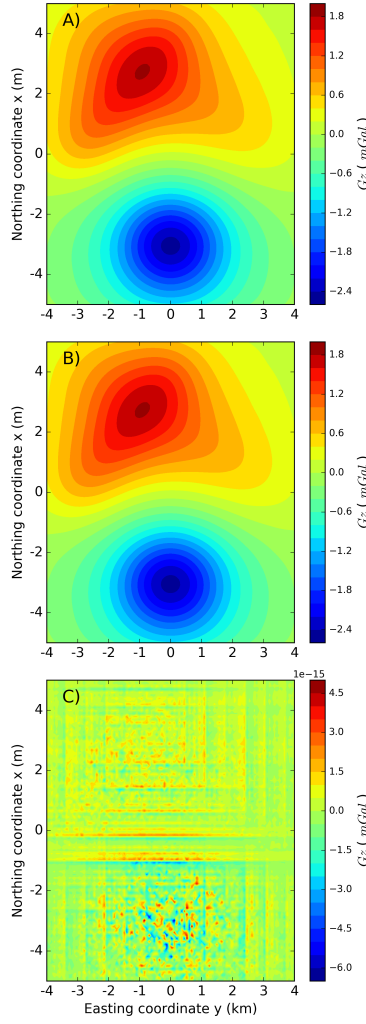


Figure 9: The upward-continued gravity data using: (a) the fast equivalent layer proposed by Siqueira et al. (2017) and (b) our modified form of Siqueira et al. (2017) method by using the properties of BTTB and BCCB matrices (equation ??) to calculate the forward modeling. (c) Residuals, defined as the difference between a and b with their mean of  $-5.938e - 18$  and standard deviation of  $8.701e - 18$ . The total computation times in the Siqueira et al. (2017) method and in our approach are 7.62026 and 0.00834 seconds, respectively.

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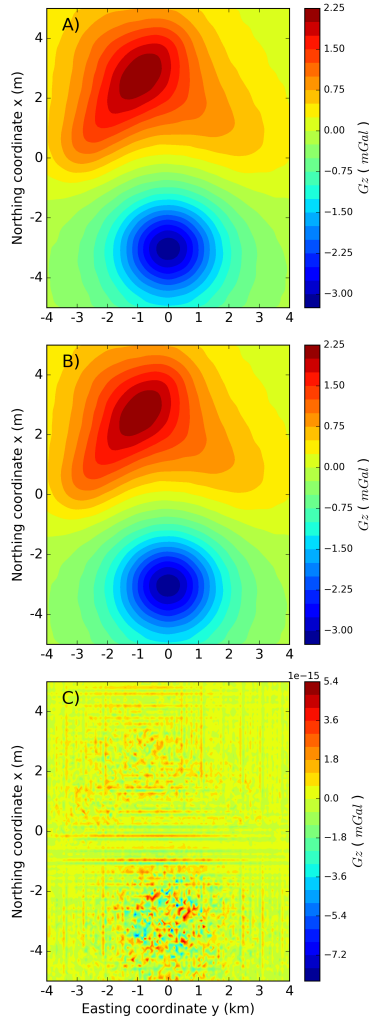


Figure 10: The downward-continued gravity data using: (a) the fast equivalent layer proposed by Siqueira et al. (2017) and (b) our modified form of Siqueira et al. (2017) method by using the properties of BTTB and BCCB matrices (equation ??) to calculate the forward modeling. (c) Residuals, defined as the difference between a and b with their mean of  $5.914e - 18$  and standard deviation of  $9.014e - 18$ . The total computation times in the Siqueira et al. (2017) method and in our approach are 7.59654 and 0.00547 seconds, respectively.

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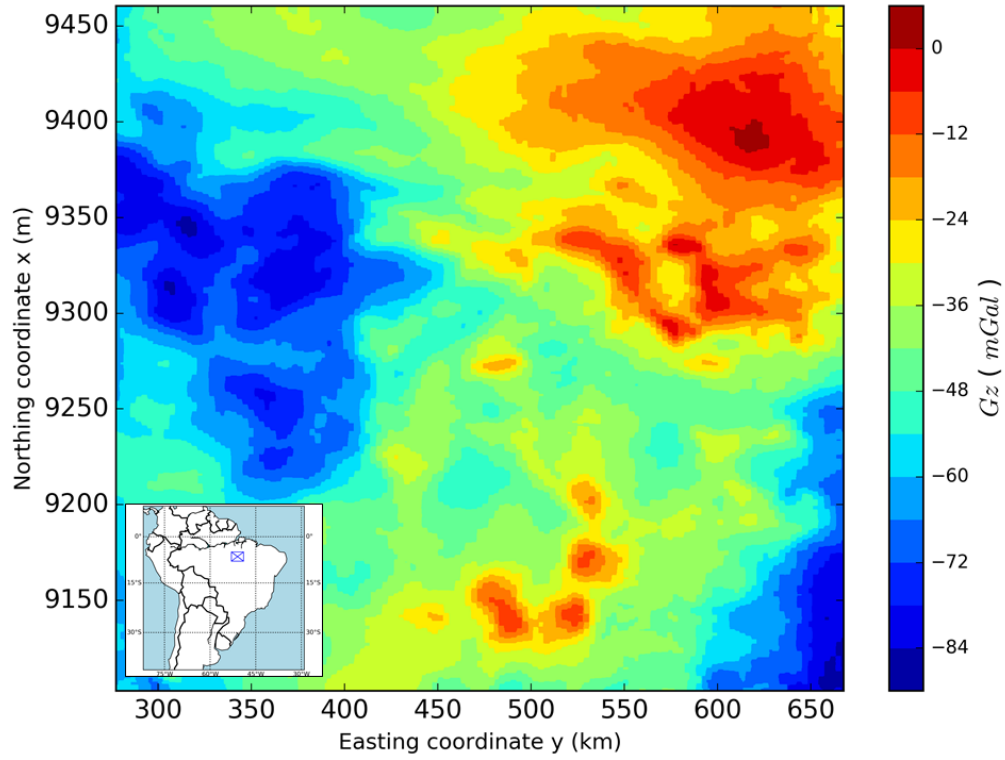


Figure 11: Carajás Province, Brazil. Gravity data on a regular grid of  $500 \times 500$  points, totaling 250,000 observations. The inset shows the study area (blue rectangle) which covers the southeast part of the state of Pará, north of Brazil.

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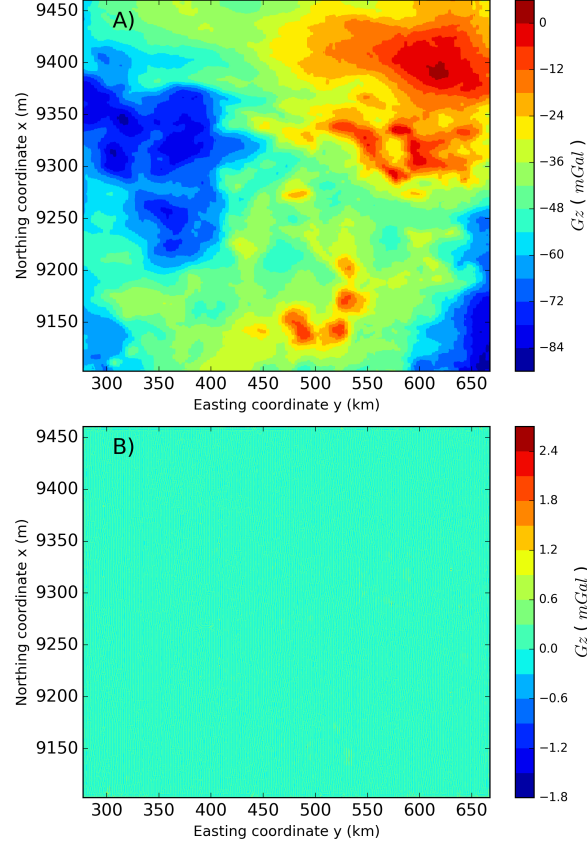


Figure 12: Carajás Province, Brazil. (a) Predicted gravity data produced by our modification of the fast equivalent layer method (Siqueira et al., 2017) that computes the forward modeling using the properties of BTTB and BCCB matrices (equation ??). (b) Gravity residuals, defined as the difference between the observed data in Figure 11 and the predicted data in a, with their mean of 0.000292 mGal and standard deviation of 0.105 mGal.

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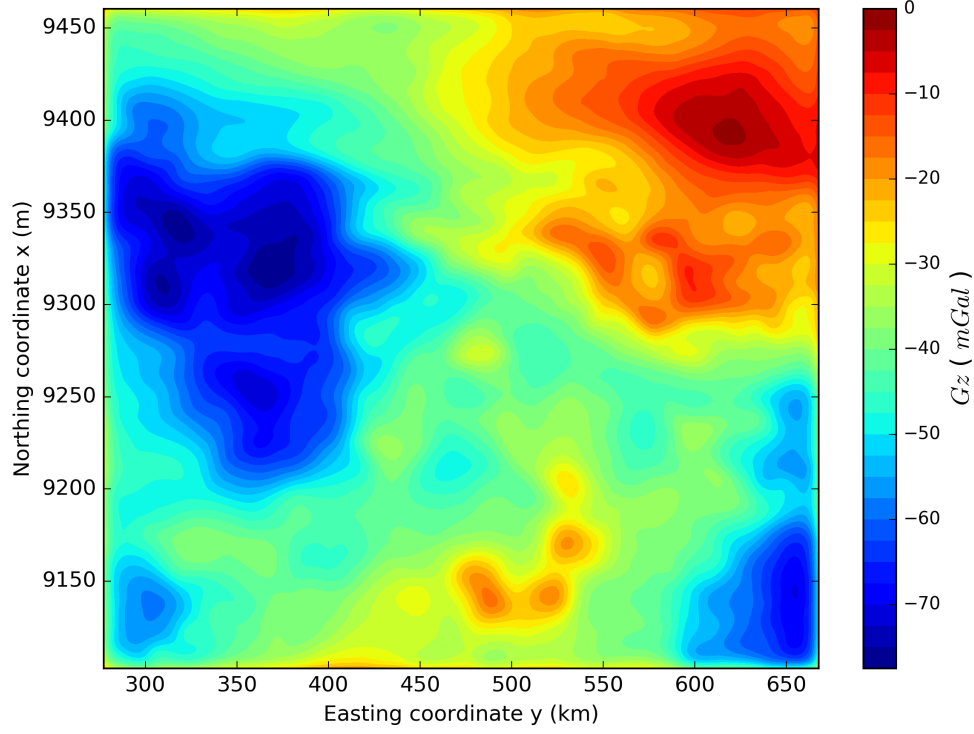


Figure 13: Carajás Province, Brazil. The upward-continued gravity data using our modification of the fast equivalent layer method (Siqueira et al., 2017) that computes the forward modeling using the properties of BTTB and BCCB matrices (equation ??). The total computation time is 0.216 seconds for processing of the 250,000 observations.

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