4190.308: Computer Architecture
Midterm Exam
November 4th, 2016
Professor Jae W. Lee

Student ID #: ____________________

Name: ____________________

This is a closed book, closed notes exam.
120 Minutes
14 Pages
(+ 2 Appendix Pages)

Total Score: 200 points

Notes:
- Please turn off all of your electronic devices (phones, tablets, notebooks, netbooks, and so on). A clock is available on the lecture screen.
- Please stay in the classroom until the end of the examination.

- You must not discuss the exam's contents with other students during the exam.
- You must not use any notes on papers, electronic devices, desks, or part of your body.

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Part A: Short Answers (20 points)

Question 1 (20 points)

Please indicate whether each of the following statements is true or false. You don't have to justify your answer—Just write down true or false.

(1) According to the technology trends, the capacity of DRAM devices has been scaling up much faster than the speed (latency) of them.

ANSWER:

(2) To compare two IEEE 754 floating-point numbers (except for ±Infinity, and NaN), you can simply interpret them as two sign-magnitude integers and perform an integer comparison to obtain the correct result.

ANSWER:

(3) When performing multiple floating-point additions, the order of additions does not affect the final result since addition is commutative.

ANSWER:

(4) Unlike integers, the difference between a pair of two adjacent floating-point numbers is non-uniform.

ANSWER:

(5) CISC architectures (e.g., x86-64) generally have an advantage in code size over RISC architectures (e.g., MIPS, ARM).

ANSWER:

Part B: Floating-Point Numbers (20 points)

Question 2 (20 points)

Consider the following 6-bit floating-point representation based on the IEEE 754 floating point format. The most significant bit represents a sign bit. The next three bits are the exponent, with an exponent bias of 3. The last two bits are the fraction. The rules are like those in the IEEE standard (normalized, denormalized, representation of zero, infinity, and NaN).

Sign (1 bit)	Exponent (3 bits)	Fraction (2 bit)

(1) Fill in the empty boxes in the following table.

Number	**Decimal Representation**	**Binary Representation**
Positive Zero	+0.0	
Negative Zero	-0.0	
0.75_{10}	0.75 (3/4)	
0.125_{10}	0.125 (1/8)	
One	1.0	
Positive Infinity	$+\infty$	
Negative Infinity	$-\infty$	
Not-a-Number	NaN	
The largest number		
The smallest positive number		

(2) Show all the possible non-zero values that are represented in the *denormalized* form.

Part C: Human x86-64 CPU (26 points)

Question 3 (12 points)

Ben Bitdiddle wrote the following C code, compiled it to x86-64 binary using gcc, and ran it. What is the program output? (*Hint*: Think about what the generated assembly code will look like.)

```
#include <stdio.h>

int main()
{
  int x = 1, y;

  if (x == 0 && x--)  y = 1;
  else                y = 0;

  printf("x = %d, y = %d\n", x, y);  // 1st printf

  if (x == 1 && x--)  y = 1;
  else                y = 0;

  printf("x = %d, y = %d\n", x, y);  // 2nd printf

  if (x == -1 && x--) y = 1;
  else                y = 0;

  printf("x = %d, y = %d\n", x, y);  // 3rd printf
}
```

Question 4 (14 points)

Alice Hacker wrote the following C code to run it on x86-64/Linux system. What will be the program output? Fill in each blank with a correct value.

```
#include <stdio.h>
union {
  int i;
  short s[2];
  unsigned char c[4];
} u;

int main()
{
  int s0, s1;

  u.i = 0xbadbabe;
  s0 = (int) u.s[0];
  s1 = (int) u.s[1];

  printf("sizeof(int)=%d, sizeof(short)=%d, sizeof(char)=%d\n",
      sizeof(int), sizeof(short), sizeof(char));
  printf("sizeof(u.i)=%d\n", sizeof(u.i));
  printf("sizeof(u.s)=%d, sizeof(u.s[0])=%d\n", sizeof(u.s), sizeof(u.s[0]));
  printf("sizeof(u.c)=%d, sizeof(u.c[0])=%d\n", sizeof(u.c), sizeof(u.c[0]));
  printf("sizeof(u)=%d\n", sizeof(u));
  printf("s0=0x%x, s1=0x%x\n", s0, s1);
  printf("u.c=0x%x 0x%x 0x%x 0x%x\n", u.c[0],u.c[1],u.c[2],u.c[3]);
}
```

```
sizeof(int)=4, sizeof(short)=2, sizeof(char)=1

sizeof(u.i)=____

sizeof(u.s)=_______, sizeof(u.s[0])=_______

sizeof(u.c)=_______, sizeof(u.c[0])=_______

sizeof(u)=____

s0=0x_____________, s1=0x_____________

u.c=0x_______ 0x_______ 0x_______ 0x_______
```

Part D: Human x86-64 Compiler (38 points)

Question 5 (18 points)

The following code shows an array of a simple structure. Assume an x86-64/Linux system.

```
struct {
  int i;
  double d[2];
  char c;
  short s;
} st[2];
```

(1) If the address of st[0] is 0x1000, what is each element's address (in hexadecimal format)? Fill in the table below.

Element	**Address**
`int i`	
`double d[0]`	
`double d[1]`	
`char c`	
`short s`	
`st[1]`	

(2) Redefine the structure to have the smallest size. How many bytes are saved for this array by this optimization?

Question 6 (20 points)

Consider the following assembly code for a `for` loop in C:

```
loop:
    push %ebp
    mov  %esp,%ebp
    mov  %edi,%ecx
    mov  %esi,%edx
    xor  %eax,%eax
    cmp  %edx,%ecx
    jle  .L4
.L6:
    dec  %ecx
    inc  %edx
    inc  %eax
    cmp  %edx,%ecx
    jg   .L6
.L4:
    inc  %eax
    mov  %ebp,%esp
    pop  %ebp
    ret
```

Please de-compile this code. In other words, fill in the original C code below using the assembly code. (Note: you may only use the symbolic variable names `x`, `y`, and `result` in your code — *do not use register names!*)

```
int loop(int x, int y)
{
    int result;

    for ( ___________; ___________ ; result++ )

    {
        ___________;

        ___________;
    }

    ____________;

    return result;
}
```

Part E: Procedure Calls (32 points)

Question 7 (32 points)

Here is a C program which prints the *n*-th term of the Fibonacci sequence. C function `fibonacci()` in the left is compiled to x86-64 assembly in the right with an x86-64/Linux GCC compiler. Answer the following questions.

```c
#include <stdio.h>

int fibonacci(int n)
{
  if (n == 0)
    return 0;
  else if (n == 1)
    return 1;
  return fibonacci(n-1) + fibonacci(n-2);
}

int main()
{
  int n;

  printf("n: ");
  scanf("%d", &n);

  printf("%d\n", fibonacci(n)); ②

  return 0;
}
```

```
fibonacci:
 0x400614   pushq %rbp
 0x400615   movq  %rsp, %rbp
 0x400618   pushq %rbx
 0x400619   subq  $24, %rsp
 0x40061d   movl  %edi, -20(%rbp)
 0x400620   cmpl  $0, -20(%rbp)
 0x400624   jne   0x40062d
 0x400626   movl  $0, %eax
 0x40062b   jmp   0x400658
 0x40062d   cmpl  $1, -20(%rbp)
 0x400631   jne   0x40063a
 0x400633   movl  $1, %eax
 0x400638   jmp   0x400658
 0x40063a   movl  -20(%rbp), %eax
 0x40063d   subl  $1, %eax
 0x400640   movl  %eax, %edi
 0x400642   call  0x400614
 0x400647   movl  %eax, %ebx
 0x400649   movl  -20(%rbp), %eax
 0x40064c   subl  $2, %eax
 0x40064f   movl  %eax, %edi
 0x400651   call  0x400614 ①
 0x400656   addl  %ebx, %eax
 0x400658   addq  $24, %rsp
 0x40065c   popq  %rbx
 0x40065d   popq  %rbp
 0x40065f   retq
```

(1) What is the total number of instructions executed if `n` = 2?

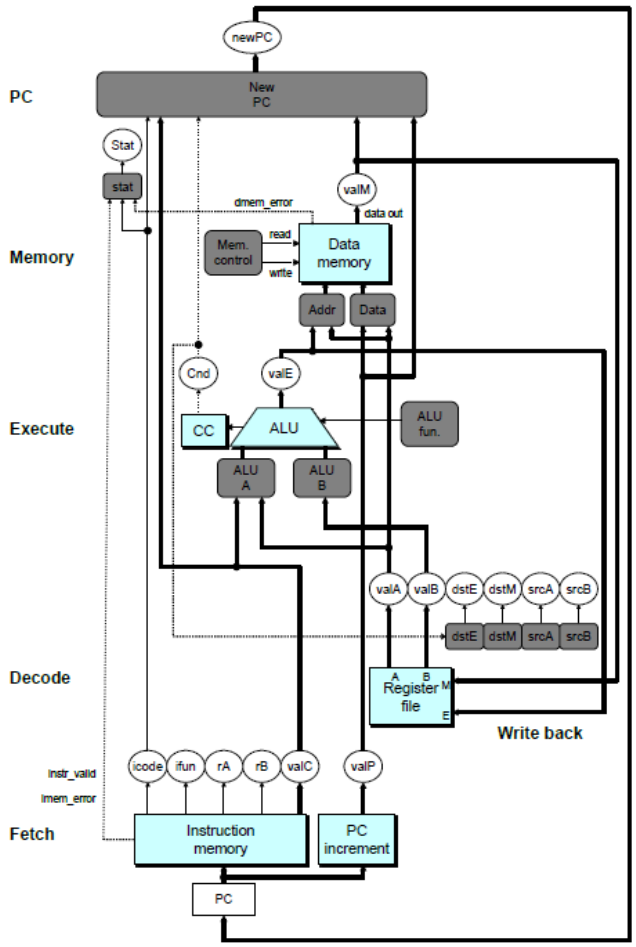
(2) Assuming `n` = 5, what are the values of `%ebx`, `%eax`, and `%rip` just before ① is executed for the first time?

(3) What will the stack snapshot look like at the program execution point in Question (2)? Fill in the empty table below. Use "`???`" for an unknown value.
Hints:
A. `%rsp` and `%rbp` hold `0x7fffffffe360` and `0x7fffffffe380`, respectively.
B. The return address to `main` is `0x4005f2` (i.e. after all `fibonacci()` is done).
C. Right before ②, both `%rbp` and `%rbx` hold `0x0`.

Stack Address	Value	
	Bytes 7~4	Bytes 3~0
0x7fffffffe418	0x00000000	0x004005f2
0x7fffffffe410	0x00000000	0x00000000
0x7fffffffe408	0x00000000	0x00000000
0x7fffffffe400		
0x7fffffffe3f8		
0x7fffffffe3f0		
0x7fffffffe3e8		
0x7fffffffe3e0		
0x7fffffffe3d8		
0x7fffffffe3d0		
0x7fffffffe3c8		
0x7fffffffe3c0		
0x7fffffffe3b8		
0x7fffffffe3b0		
0x7fffffffe3a8		
0x7fffffffe3a0		
0x7fffffffe398		
0x7fffffffe390		
0x7fffffffe388	0x00000000	0x00400647
0x7fffffffe380	0x00007fff	0xffffe3b0
0x7fffffffe378	0x00000000	0x00000000
0x7fffffffe370	???	???
0x7fffffffe368		
0x7fffffffe360	???	???

Part F: Y86-64 SEQ implementation (64 points)

Here is an overall structure of Y86-64 sequential implementation.



Question 8 (10 points)

Using Y86-64 instruction encoding (in Appendix), fill in the boxes below.
(*Note*: You may or may not need all 10 bytes (boxes) for Question (2).)

(1)

byte	0	1
	62	63

→ Disassemble

(2) `jne 0x277` → Assemble

byte	0	1	2	3	4	5	6	7	8	9

Question 9 (20 points)

Please fill the following computation table of the Y86-64 SEQ implementation for pushq instruction. We already filled the fetch stage for you as an example. Use the following variables ONLY: `valA`, `valB`, `valC`, `valE`, `valM`, `valP`, PC, Register value, and Memory value.

`pushq rA` | A | 0 | rA | F |

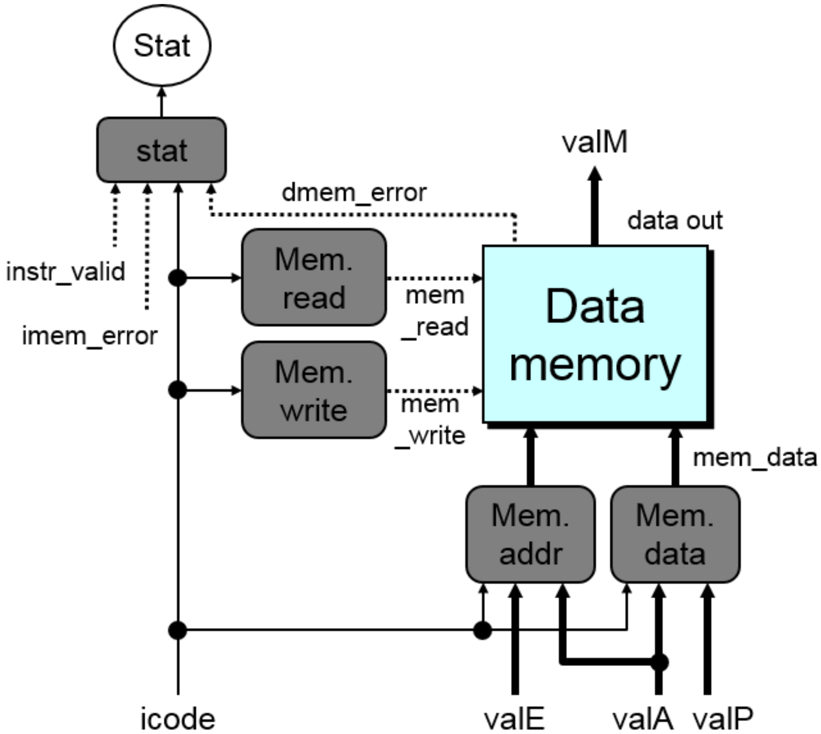
(*Notes* – Use the following notations:
Concatenation: "`:`"
Assignment: "`←`"
Register value: "R[*registerName*]"
Memory value: "M_{size}[*memoryAddress*]")

Fetch	`icode:ifun ← M`$_1$`[PC]`
	`rA:rB ← M`$_1$`[PC+1]`
	
	`valP ← PC+2`

Decode	
Execute	
Memory	
Write back	
PC update	

Question 10 (14 points)

The following figure shows the memory stage of the Y86-64 SEQ implementation.



(1) Write down an HCL code for the signal `mem_write`.

```
bool mem_write =                                    ;
```

(2) Write down an HCL code for the signal `mem_data`.

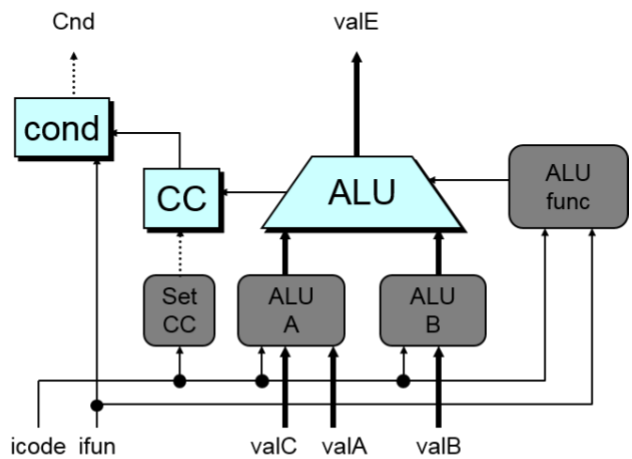
```
word  mem_data =                                    ;
```

Question 11 (20 points)

We'd like to add `test` instruction to the Y86-64 sequential implementation;

```
                              icode:fn          rA:rB
test rA, rB     | C = ITEST | 0 | rA | rB |
```

How should the control signals be modified in the Execute stage? Write down your code for the following four signals: `SetCC`, `ALUA`, `ALUB`, `ALUfunc`. We provide you with the original code for your reference.



	Original code	Your code

ALU A	`word aluA = [`
	`  icode in {IRRMOVQ, IOPQ} : valA;`
	`  icode in {IIRMOVQ, IRMMOVQ,`
	`              IMRMOVQ} : valC;`
	`  icode in {ICALL, IPUSHQ} : -8;`
	`  icode in {IRET, IPOPQ} : 8;`
	`];`	

ALU B	`word aluB = [`
	`  icode in {IRMMOVQ, IMRMOVQ,`
	`             IOPQ, ICALL, IPUSHQ,`
	`             IRET, IPOPQ} : valB;`
	`  icode in {IRRMOVQ, IIRMOVQ} : 0;`
	`];`	

ALU func	`word alufun = [`
	`  icode == IOPQ : ifun;`
	`  1 : ALUADD;`
	`];`	

Set CC	`bool set_cc = icode in {IOPQ};`	

Appendix A: Y86-64 (Instruction Set)

Instruction	icode:fn		rA:rB		
byte	0		1		2 3 4 5 6 7 8 9
halt	0 = IHALT	0			
nop	1 = INOP	0			
cmovXX rA, rB	2 = IRRMOVQ	fn			
rrmovq		0			
cmovle		1			
cmovl		2			
cmove		3			
cmovne		4			
cmovge		5			
cmovg		6			
irmovq V, rB	3 = IIRMOVQ	0	F	rB	V (to byte 9)
rmmovq rA, D(rB)	4 = IRMMOVQ	0	rA	rB	D
mrmovq D(rB), rA	5 = IMRMOVQ	0	rA	rB	D
OPq rA, rB	6 = IOPQ	fn	rA	rB	
addq		0			
subq		1			
andq		2			
xorq		3			
jXX Dest	7 = IJXX	fn	Dest (to byte 8)		
jmp		0			
jle		1			
jl		2			
je		3			
jne		4			
jge		5			
jg		6			
call Dest	8 = ICALL	0	Dest (to byte 8)		
ret	9 = IRET	0			
pushq rA	A = IPUSHQ	0	rA	F	
popq rA	B = IPOPQ	0	rA	F	

Register encoding

0	1	2	3	4	5	6	7
%rax	%rcx	%rdx	%rbx	%rsp	%rbp	%rsi	%rdi
8	9	A	B	C	D	E	F
%r8	%r9	%r10	%r11	%r12	%r13	%r14	No register

Appendix B: X86-64 assembly

Common instructions

```
mov    src, dst    dst = src
movsbl src, dst    byte to int, sign-extend
movzbl src, dst    byte to int, zero-fill
lea   addr, dst    dst = addr

add    src, dst    dst += src
sub    src, dst    dst -= src
imul   src, dst    dst *= src
neg    src, dst    dst = -dst(arith inverse)

sal  count, dst    dst <<= count
sar  count, dst    dst >>= count(arith shift)
shr  count, dst    dst >>= count(logical shift)
and    src, dst    dst &= src
or     src, dst    dst |= src
xor    src, dst    dst ^= src
not    dst         dst = ~dst(bitwise inverse)

cmp    a, b        b - a, set flag
test   a, b        a & b, set flag

jmp    label          jump to label(unconditional)
je     label     ZF   equal/zero
jne    label    ~ZF   not equal/zero
js     label     SF   negative
jns    label    ~SF   nonnegative
jg     label   ~(SF^OF)&~ZF greater(signed)
jge    label   ~(SF^OF)     greater or
equal(signed)
jl     label    (SF^OF)     less(signed)
jle    label    (SF^OF)|ZF  less or equal(signed)
ja     label    ~CF&~ZF     above(unsigned)
jb     label     CF         below(unsigned)

push   src         add to top of stack
                   Mem[--%rsp] = src
pop    dst         remove top from stack
                   dst = Mem[%rsp++]
call   fn          push %rip, jump to fn
ret                pop %rip
```

Instruction suffixes

```
b      byte
w      word; 2 bytes
l      double word; 4 bytes
q      quad word; 8 bytes
```

Suffix is elided when can be inferred from operands. e.g. %rax implies q, %eax implies l.

Condition codes / flags

```
ZF     Zero flag
SF     Sign flag
CF     Carry flag
OF     Overflow flag
```

Registers

```
%rip   Instruction pointer
%rsp   Stack pointer
%rax   Return value
%rdi   1st argument
%rsi   2nd argument
%rdx   3rd argument
%rcx   4th argument
%r8    5th argument
%r9    6th argument
%r10, %r11
       Caller-saved registers
%rbx, %rbp, %r12-15
       Callee-saved registers
```

Addressing modes

Example source operands to **mov**

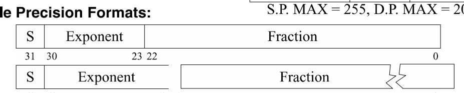
Immediate: `mov $0x5, dst`
`$val`
source is constant value

Register: `mov %rax, dst`
`%R`, R is register
source in `%R`

Direct: `mov (%rax), dst`
source read from `Mem[%R]`

Indirect displacement:
`mov 8(%rax), dst`
`D(%R)`, D is displacement
source read from `Mem[%R+D]`

Indirect scaled-index:
`mov 8(%rsp,%rcx,4), dst`
`D(%RB, %RI, S)`
source read from `Mem[%RB+D+%RI*S]`


IEEE 754 FLOATING-POINT STANDARD

$(-1)^S \times (1 + \text{Fraction}) \times 2^{(\text{Exponent} - \text{Bias})}$

where Single Precision Bias = 127, Double Precision Bias = 1023.

IEEE Single Precision and Double Precision Formats:

4

IEEE 754 Symbols

Exponent	Fraction	Object
0	0	± 0
0	≠0	± Denorm
1 to MAX - 1	anything	± Fl. Pt. Num.
MAX	0	±∞
MAX	≠0	NaN

S.P. MAX = 255, D.P. MAX = 2047