Truth Sets and Quantifiers

We will now tie together concepts from set theory and from predicate logic. Given a predicate P, and a domain D, we define the **truth set** of P to be the set of elements x in D for which $P(x)$ is true. The truth set of $P(x)$ is denoted by $\{x \in D \mid P(x)\}$.

EXAMPLE 23 What are the truth sets of the predicates $P(x)$, $Q(x)$, and $R(x)$, where the domain is the set of integers and $P(x)$ is "$|x| = 1$," $Q(x)$ is "$x^2 = 2$," and $R(x)$ is "$|x| = x$."

Solution: The truth set of P, $\{x \in \mathbf{Z} \mid |x| = 1\}$, is the set of integers for which $|x| = 1$. Because $|x| = 1$ when $x = 1$ or $x = -1$, and for no other integers x, we see that the truth set of P is the set $\{-1, 1\}$.

The truth set of Q, $\{x \in \mathbf{Z} \mid x^2 = 2\}$, is the set of integers for which $x^2 = 2$. This is the empty set because there are no integers x for which $x^2 = 2$.

The truth set of R, $\{x \in \mathbf{Z} \mid |x| = x\}$, is the set of integers for which $|x| = x$. Because $|x| = x$ if and only if $x \geq 0$, it follows that the truth set of R is $\mathbf{N}$, the set of nonnegative integers. ◀

Note that $\forall x P(x)$ is true over the domain U if and only if the truth set of P is the set U. Likewise, $\exists x P(x)$ is true over the domain U if and only if the truth set of P is nonempty.

Exercises

1. List the members of these sets.
   a) $\{x \mid x \text{ is a real number such that } x^2 = 1\}$
   b) $\{x \mid x \text{ is a positive integer less than } 12\}$
   c) $\{x \mid x \text{ is the square of an integer and } x < 100\}$
   d) $\{x \mid x \text{ is an integer such that } x^2 = 2\}$
2. Use set builder notation to give a description of each of these sets.
   a) $\{0, 3, 6, 9, 12\}$
   b) $\{-3, -2, -1, 0, 1, 2, 3\}$
   c) $\{m, n, o, p\}$
3. For each of these pairs of sets, determine whether the first is a subset of the second, the second is a subset of the first, or neither is a subset of the other.
   a) the set of airline flights from New York to New Delhi, the set of nonstop airline flights from New York to New Delhi
   b) the set of people who speak English, the set of people who speak Chinese
   c) the set of flying squirrels, the set of living creatures that can fly
4. For each of these pairs of sets, determine whether the first is a subset of the second, the second is a subset of the first, or neither is a subset of the other.
   a) the set of people who speak English, the set of people who speak English with an Australian accent
   b) the set of fruits, the set of citrus fruits
   c) the set of students studying discrete mathematics, the set of students studying data structures
5. Determine whether each of these pairs of sets are equal.
   a) $\{1, 3, 3, 3, 5, 5, 5, 5, 5\}, \{5, 3, 1\}$
   b) $\{\{1\}\}, \{1, \{1\}\}$ **c)** $\emptyset, \{\emptyset\}$
6. Suppose that $A = \{2, 4, 6\}$, $B = \{2, 6\}$, $C = \{4, 6\}$, and $D = \{4, 6, 8\}$. Determine which of these sets are subsets of which other of these sets.
7. For each of the following sets, determine whether 2 is an element of that set.
   a) $\{x \in \mathbf{R} \mid x \text{ is an integer greater than } 1\}$
   b) $\{x \in \mathbf{R} \mid x \text{ is the square of an integer}\}$
   c) $\{2, \{2\}\}$ **d)** $\{\{2\}, \{\{2\}\}\}$
   e) $\{\{2\}, \{2, \{2\}\}\}$ **f)** $\{\{\{2\}\}\}$
8. For each of the sets in Exercise 7, determine whether $\{2\}$ is an element of that set.
9. Determine whether each of these statements is true or false.
   a) $0 \in \emptyset$ **b)** $\emptyset \in \{0\}$
   c) $\{0\} \subset \emptyset$ **d)** $\emptyset \subset \{0\}$
   e) $\{0\} \in \{0\}$ **f)** $\{0\} \subset \{0\}$
   g) $\{\emptyset\} \subseteq \{\emptyset\}$
10. Determine whether these statements are true or false.
    a) $\emptyset \in \{\emptyset\}$ **b)** $\emptyset \in \{\emptyset, \{\emptyset\}\}$
    c) $\{\emptyset\} \in \{\emptyset\}$ **d)** $\{\emptyset\} \in \{\{\emptyset\}\}$
    e) $\{\emptyset\} \subset \{\emptyset, \{\emptyset\}\}$ **f)** $\{\{\emptyset\}\} \subset \{\emptyset, \{\emptyset\}\}$
    g) $\{\{\emptyset\}\} \subset \{\{\emptyset\}, \{\emptyset\}\}$
11. Determine whether each of these statements is true or false.
    a) $x \in \{x\}$ **b)** $\{x\} \subseteq \{x\}$ **c)** $\{x\} \in \{x\}$
    d) $\{x\} \in \{\{x\}\}$ **e)** $\emptyset \subseteq \{x\}$ **f)** $\emptyset \in \{x\}$
12. Use a Venn diagram to illustrate the subset of odd integers in the set of all positive integers not exceeding 10.

13. Use a Venn diagram to illustrate the set of all months of the year whose names do not contain the letter R in the set of all months of the year.

14. Use a Venn diagram to illustrate the relationship $A \subseteq B$ and $B \subseteq C$.

15. Use a Venn diagram to illustrate the relationships $A \subset B$ and $B \subset C$.

16. Use a Venn diagram to illustrate the relationships $A \subset B$ and $A \subset C$.

17. Suppose that A, B, and C are sets such that $A \subseteq B$ and $B \subseteq C$. Show that $A \subseteq C$.

18. Find two sets A and B such that $A \in B$ and $A \subseteq B$.

19. What is the cardinality of each of these sets?

a) $\{a\}$ **b)** $\{\{a\}\}$
c) $\{a, \{a\}\}$ **d)** $\{a, \{a\}, \{a, \{a\}\}\}$

20. What is the cardinality of each of these sets?

a) $\emptyset$ **b)** $\{\emptyset\}$
c) $\{\emptyset, \{\emptyset\}\}$ **d)** $\{\emptyset, \{\emptyset\}, \{\emptyset, \{\emptyset\}\}\}$

21. Find the power set of each of these sets, where a and b are distinct elements.

a) $\{a\}$ **b)** $\{a, b\}$ **c)** $\{\emptyset, \{\emptyset\}\}$

22. Can you conclude that $A = B$ if A and B are two sets with the same power set?

23. How many elements does each of these sets have where a and b are distinct elements?

a) $\mathcal{P}(\{a, b, \{a, b\}\})$
b) $\mathcal{P}(\{\emptyset, a, \{a\}, \{\{a\}\}\})$
c) $\mathcal{P}(\mathcal{P}(\emptyset))$

24. Determine whether each of these sets is the power set of a set, where a and b are distinct elements.

a) $\emptyset$ **b)** $\{\emptyset, \{a\}\}$
c) $\{\emptyset, \{a\}, \{\emptyset, a\}\}$ **d)** $\{\emptyset, \{a\}, \{b\}, \{a, b\}\}$

25. Prove that $\mathcal{P}(A) \subseteq \mathcal{P}(B)$ if and only if $A \subseteq B$.

26. Show that if $A \subseteq C$ and $B \subseteq D$, then $A \times B \subseteq C \times D$

27. Let $A = \{a, b, c, d\}$ and $B = \{y, z\}$. Find

a) $A \times B$. **b)** $B \times A$.

28. What is the Cartesian product $A \times B$, where A is the set of courses offered by the mathematics department at a university and B is the set of mathematics professors at this university? Give an example of how this Cartesian product can be used.

29. What is the Cartesian product $A \times B \times C$, where A is the set of all airlines and B and C are both the set of all cities in the United States? Give an example of how this Cartesian product can be used.

30. Suppose that $A \times B = \emptyset$, where A and B are sets. What can you conclude?

31. Let A be a set. Show that $\emptyset \times A = A \times \emptyset = \emptyset$.

32. Let $A = \{a, b, c\}$, $B = \{x, y\}$, and $C = \{0, 1\}$. Find

a) $A \times B \times C$. **b)** $C \times B \times A$.
c) $C \times A \times B$. **d)** $B \times B \times B$.

33. Find A^2 if

a) $A = \{0, 1, 3\}$. **b)** $A = \{1, 2, a, b\}$.

34. Find A^3 if

a) $A = \{a\}$. **b)** $A = \{0, a\}$.

35. How many different elements does $A \times B$ have if A has m elements and B has n elements?

36. How many different elements does $A \times B \times C$ have if A has m elements, B has n elements, and C has p elements?

37. How many different elements does A^n have when A has m elements and n is a positive integer?

38. Show that $A \times B \neq B \times A$, when A and B are nonempty, unless $A = B$.

39. Explain why $A \times B \times C$ and $(A \times B) \times C$ are not the same.

40. Explain why $(A \times B) \times (C \times D)$ and $A \times (B \times C) \times D$ are not the same.

41. Translate each of these quantifications into English and determine its truth value.

a) $\forall x \in \mathbf{R}\ (x^2 \neq -1)$ **b)** $\exists x \in \mathbf{Z}\ (x^2 = 2)$
c) $\forall x \in \mathbf{Z}\ (x^2 > 0)$ **d)** $\exists x \in \mathbf{R}\ (x^2 = x)$

42. Translate each of these quantifications into English and determine its truth value.

a) $\exists x \in \mathbf{R}\ (x^3 = -1)$ **b)** $\exists x \in \mathbf{Z}\ (x + 1 > x)$
c) $\forall x \in \mathbf{Z}\ (x - 1 \in \mathbf{Z})$ **d)** $\forall x \in \mathbf{Z}\ (x^2 \in \mathbf{Z})$

43. Find the truth set of each of these predicates where the domain is the set of integers.

a) $P(x)$: $x^2 < 3$ **b)** $Q(x)$: $x^2 > x$
c) $R(x)$: $2x + 1 = 0$

44. Find the truth set of each of these predicates where the domain is the set of integers.

a) $P(x)$: $x^3 \geq 1$ **b)** $Q(x)$: $x^2 = 2$
c) $R(x)$: $x < x^2$

***45.** The defining property of an ordered pair is that two ordered pairs are equal if and only if their first elements are equal and their second elements are equal. Surprisingly, instead of taking the ordered pair as a primitive concept, we can construct ordered pairs using basic notions from set theory. Show that if we define the ordered pair (a, b) to be $\{\{a\}, \{a, b\}\}$, then $(a, b) = (c, d)$ if and only if $a = c$ and $b = d$. [*Hint:* First show that $\{\{a\}, \{a, b\}\} = \{\{c\}, \{c, d\}\}$ if and only if $a = c$ and $b = d$.]

***46.** This exercise presents **Russell's paradox**. Let S be the set that contains a set x if the set x does not belong to itself, so that $S = \{x \mid x \notin x\}$.

a) Show the assumption that S is a member of S leads to a contradiction.
b) Show the assumption that S is not a member of S leads to a contradiction.

By parts (a) and (b) it follows that the set S cannot be defined as it was. This paradox can be avoided by restricting the types of elements that sets can have.

***47.** Describe a procedure for listing all the subsets of a finite set.

Exercises

1. Let A be the set of students who live within one mile of school and let B be the set of students who walk to classes. Describe the students in each of these sets.
   a) $A \cap B$ b) $A \cup B$
   c) $A - B$ d) $B - A$
2. Suppose that A is the set of sophomores at your school and B is the set of students in discrete mathematics at your school. Express each of these sets in terms of A and B.
   a) the set of sophomores taking discrete mathematics in your school
   b) the set of sophomores at your school who are not taking discrete mathematics
   c) the set of students at your school who either are sophomores or are taking discrete mathematics
   d) the set of students at your school who either are not sophomores or are not taking discrete mathematics
3. Let $A = \{1, 2, 3, 4, 5\}$ and $B = \{0, 3, 6\}$. Find
   a) $A \cup B$. b) $A \cap B$.
   c) $A - B$. d) $B - A$.
4. Let $A = \{a, b, c, d, e\}$ and $B = \{a, b, c, d, e, f, g, h\}$. Find
   a) $A \cup B$. b) $A \cap B$.
   c) $A - B$. d) $B - A$.

In Exercises 5–10 assume that A is a subset of some underlying universal set U.

5. Prove the complementation law in Table 1 by showing that $\overline{\overline{A}} = A$.
6. Prove the identity laws in Table 1 by showing that
   a) $A \cup \emptyset = A$. b) $A \cap U = A$.
7. Prove the domination laws in Table 1 by showing that
   a) $A \cup U = U$. b) $A \cap \emptyset = \emptyset$.
8. Prove the idempotent laws in Table 1 by showing that
   a) $A \cup A = A$. b) $A \cap A = A$.
9. Prove the complement laws in Table 1 by showing that
   a) $A \cup \overline{A} = U$. b) $A \cap \overline{A} = \emptyset$.
10. Show that
    a) $A - \emptyset = A$. b) $\emptyset - A = \emptyset$.
11. Let A and B be sets. Prove the commutative laws from Table 1 by showing that
    a) $A \cup B = B \cup A$.
    b) $A \cap B = B \cap A$.
12. Prove the first absorption law from Table 1 by showing that if A and B are sets, then $A \cup (A \cap B) = A$.
13. Prove the second absorption law from Table 1 by showing that if A and B are sets, then $A \cap (A \cup B) = A$.
14. Find the sets A and B if $A - B = \{1, 5, 7, 8\}$, $B - A = \{2, 10\}$, and $A \cap B = \{3, 6, 9\}$.
15. Prove the second De Morgan law in Table 1 by showing that if A and B are sets, then $\overline{A \cup B} = \overline{A} \cap \overline{B}$
    a) by showing each side is a subset of the other side.
    b) using a membership table.
16. Let A and B be sets. Show that
    a) $(A \cap B) \subseteq A$. b) $A \subseteq (A \cup B)$.
    c) $A - B \subseteq A$. d) $A \cap (B - A) = \emptyset$.
    e) $A \cup (B - A) = A \cup B$.
17. Show that if A, B, and C are sets, then $\overline{A \cap B \cap C} = \overline{A} \cup \overline{B} \cup \overline{C}$
    a) by showing each side is a subset of the other side.
    b) using a membership table.
18. Let A, B, and C be sets. Show that
    a) $(A \cup B) \subseteq (A \cup B \cup C)$.
    b) $(A \cap B \cap C) \subseteq (A \cap B)$.
    c) $(A - B) - C \subseteq A - C$.
    d) $(A - C) \cap (C - B) = \emptyset$.
    e) $(B - A) \cup (C - A) = (B \cup C) - A$.
19. Show that if A and B are sets, then
    a) $A - B = A \cap \overline{B}$.
    b) $(A \cap B) \cup (A \cap \overline{B}) = A$.
20. Show that if A and B are sets with $A \subseteq B$, then
    a) $A \cup B = B$.
    b) $A \cap B = A$.
21. Prove the first associative law from Table 1 by showing that if A, B, and C are sets, then $A \cup (B \cup C) = (A \cup B) \cup C$.
22. Prove the second associative law from Table 1 by showing that if A, B, and C are sets, then $A \cap (B \cap C) = (A \cap B) \cap C$.
23. Prove the first distributive law from Table 1 by showing that if A, B, and C are sets, then $A \cup (B \cap C) = (A \cup B) \cap (A \cup C)$.
24. Let A, B, and C be sets. Show that $(A - B) - C = (A - C) - (B - C)$.
25. Let $A = \{0, 2, 4, 6, 8, 10\}$, $B = \{0, 1, 2, 3, 4, 5, 6\}$, and $C = \{4, 5, 6, 7, 8, 9, 10\}$. Find
    a) $A \cap B \cap C$. b) $A \cup B \cup C$.
    c) $(A \cup B) \cap C$. d) $(A \cap B) \cup C$.
26. Draw the Venn diagrams for each of these combinations of the sets A, B, and C.
    a) $A \cap (B \cup C)$ b) $\overline{A} \cap \overline{B} \cap \overline{C}$
    c) $(A - B) \cup (A - C) \cup (B - C)$
27. Draw the Venn diagrams for each of these combinations of the sets A, B, and C.
    a) $A \cap (B - C)$ b) $(A \cap B) \cup (A \cap C)$
    c) $(A \cap \overline{B}) \cup (A \cap \overline{C})$
28. Draw the Venn diagrams for each of these combinations of the sets A, B, C, and D.
    a) $(A \cap B) \cup (C \cap D)$ b) $\overline{A} \cup \overline{B} \cup \overline{C} \cup \overline{D}$
    c) $A - (B \cap C \cap D)$
29. What can you say about the sets A and B if we know that
    a) $A \cup B = A$? b) $A \cap B = A$?
    c) $A - B = A$? d) $A \cap B = B \cap A$?
    e) $A - B = B - A$?

30. Can you conclude that $A = B$ if A, B, and C are sets such that

a) $A \cup C = B \cup C$? **b)** $A \cap C = B \cap C$?

c) $A \cup C = B \cup C$ and $A \cap C = B \cap C$?

31. Let A and B be subsets of a universal set U. Show that $A \subseteq B$ if and only if $\overline{B} \subseteq \overline{A}$.

The **symmetric difference** of A and B, denoted by $A \oplus B$, is the set containing those elements in either A or B, but not in both A and B.

32. Find the symmetric difference of $\{1, 3, 5\}$ and $\{1, 2, 3\}$.

33. Find the symmetric difference of the set of computer science majors at a school and the set of mathematics majors at this school.

34. Draw a Venn diagram for the symmetric difference of the sets A and B.

35. Show that $A \oplus B = (A \cup B) - (A \cap B)$.

36. Show that $A \oplus B = (A - B) \cup (B - A)$.

37. Show that if A is a subset of a universal set U, then

a) $A \oplus A = \emptyset$. **b)** $A \oplus \emptyset = A$.

c) $A \oplus U = \overline{A}$. **d)** $A \oplus \overline{A} = U$.

38. Show that if A and B are sets, then

a) $A \oplus B = B \oplus A$. **b)** $(A \oplus B) \oplus B = A$.

39. What can you say about the sets A and B if $A \oplus B = A$?

***40.** Determine whether the symmetric difference is associative; that is, if A, B, and C are sets, does it follow that $A \oplus (B \oplus C) = (A \oplus B) \oplus C$?

***41.** Suppose that A, B, and C are sets such that $A \oplus C = B \oplus C$. Must it be the case that $A = B$?

42. If A, B, C, and D are sets, does it follow that $(A \oplus B) \oplus (C \oplus D) = (A \oplus C) \oplus (B \oplus D)$?

43. If A, B, C, and D are sets, does it follow that $(A \oplus B) \oplus (C \oplus D) = (A \oplus D) \oplus (B \oplus C)$?

44. Show that if A and B are finite sets, then $A \cup B$ is a finite set.

45. Show that if A is an infinite set, then whenever B is a set, $A \cup B$ is also an infinite set.

***46.** Show that if A, B, and C are sets, then

$$|A \cup B \cup C| = |A| + |B| + |C| - |A \cap B| - |A \cap C| - |B \cap C| + |A \cap B \cap C|.$$

(This is a special case of the inclusion–exclusion principle, which will be studied in Chapter 8.)

47. Let $A_i = \{1, 2, 3, \ldots, i\}$ for $i = 1, 2, 3, \ldots$. Find

a) $\bigcup_{i=1}^{n} A_i$. **b)** $\bigcap_{i=1}^{n} A_i$.

48. Let $A_i = \{\ldots, -2, -1, 0, 1, \ldots, i\}$. Find

a) $\bigcup_{i=1}^{n} A_i$. **b)** $\bigcap_{i=1}^{n} A_i$.

49. Let A_i be the set of all nonempty bit strings (that is, bit strings of length at least one) of length not exceeding i. Find

a) $\bigcup_{i=1}^{n} A_i$. **b)** $\bigcap_{i=1}^{n} A_i$.

50. Find $\bigcup_{i=1}^{\infty} A_i$ and $\bigcap_{i=1}^{\infty} A_i$ if for every positive integer i,

a) $A_i = \{i, i+1, i+2, \ldots\}$.

b) $A_i = \{0, i\}$.

c) $A_i = (0, i)$, that is, the set of real numbers x with $0 < x < i$.

d) $A_i = (i, \infty)$, that is, the set of real numbers x with $x > i$.

51. Find $\bigcup_{i=1}^{\infty} A_i$ and $\bigcap_{i=1}^{\infty} A_i$ if for every positive integer i,

a) $A_i = \{-i, -i+1, \ldots, -1, 0, 1, \ldots, i-1, i\}$.

b) $A_i = \{-i, i\}$.

c) $A_i = [-i, i]$, that is, the set of real numbers x with $-i \le x \le i$.

d) $A_i = [i, \infty)$, that is, the set of real numbers x with $x \ge i$.

52. Suppose that the universal set is $U = \{1, 2, 3, 4, 5, 6, 7, 8, 9, 10\}$. Express each of these sets with bit strings where the ith bit in the string is 1 if i is in the set and 0 otherwise.

a) $\{3, 4, 5\}$

b) $\{1, 3, 6, 10\}$

c) $\{2, 3, 4, 7, 8, 9\}$

53. Using the same universal set as in the last problem, find the set specified by each of these bit strings.

a) 11 1100 1111

b) 01 0111 1000

c) 10 0000 0001

54. What subsets of a finite universal set do these bit strings represent?

a) the string with all zeros

b) the string with all ones

55. What is the bit string corresponding to the difference of two sets?

56. What is the bit string corresponding to the symmetric difference of two sets?

57. Show how bitwise operations on bit strings can be used to find these combinations of $A = \{a, b, c, d, e\}$, $B = \{b, c, d, g, p, t, v\}$, $C = \{c, e, i, o, u, x, y, z\}$, and $D = \{d, e, h, i, n, o, t, u, x, y\}$.

a) $A \cup B$ **b)** $A \cap B$

c) $(A \cup D) \cap (B \cup C)$ **d)** $A \cup B \cup C \cup D$

58. How can the union and intersection of n sets that all are subsets of the universal set U be found using bit strings?

The **successor** of the set A is the set $A \cup \{A\}$.

59. Find the successors of the following sets.

a) $\{1, 2, 3\}$ **b)** $\emptyset$

c) $\{\emptyset\}$ **d)** $\{\emptyset, \{\emptyset\}\}$

60. How many elements does the successor of a set with n elements have?

Sometimes the number of times that an element occurs in an unordered collection matters. **Multisets** are unordered collections of elements where an element can occur as a member more than once. The notation $\{m_1 \cdot a_1, m_2 \cdot a_2, \ldots, m_r \cdot a_r\}$ denotes the multiset with element a_1 occurring m_1 times, element a_2 occurring m_2 times, and so on. The numbers m_i, $i = 1, 2, \ldots, r$ are called the **multiplicities** of the elements $a_i, i = 1, 2, \ldots, r$.

Let P and Q be multisets. The **union** of the multisets P and Q is the multiset where the multiplicity of an element is the maximum of its multiplicities in P and Q. The **intersection** of P and Q is the multiset where the multiplicity of an element is the minimum of its multiplicities in P and Q. The **difference** of P and Q is the multiset where the multiplicity of an element is the multiplicity of the element in P less its multiplicity in Q unless this difference is negative, in which case the multiplicity is 0. The **sum** of P and Q is the multiset where the multiplicity of an element is the sum of multiplicities in P and Q. The union, intersection, and difference of P and Q are denoted by $P \cup Q$, $P \cap Q$, and $P - Q$, respectively (where these operations should not be confused with the analogous operations for sets). The sum of P and Q is denoted by $P + Q$.

61. Let A and B be the multisets $\{3 \cdot a, 2 \cdot b, 1 \cdot c\}$ and $\{2 \cdot a, 3 \cdot b, 4 \cdot d\}$, respectively. Find

a) $A \cup B$. **b)** $A \cap B$. **c)** $A - B$.
d) $B - A$. **e)** $A + B$.

62. Suppose that A is the multiset that has as its elements the types of computer equipment needed by one department of a university and the multiplicities are the number of pieces of each type needed, and B is the analogous multiset for a second department of the university. For instance, A could be the multiset $\{107 \cdot$ personal computers, $44 \cdot$ routers, $6 \cdot$ servers$\}$ and B could be the multiset $\{14 \cdot$ personal computers, $6 \cdot$ routers, $2 \cdot$ mainframes$\}$.

a) What combination of A and B represents the equipment the university should buy assuming both departments use the same equipment?

b) What combination of A and B represents the equipment that will be used by both departments if both departments use the same equipment?

c) What combination of A and B represents the equipment that the second department uses, but the first department does not, if both departments use the same equipment?

d) What combination of A and B represents the equipment that the university should purchase if the departments do not share equipment?

Fuzzy sets are used in artificial intelligence. Each element in the universal set U has a **degree of membership**, which is a real number between 0 and 1 (including 0 and 1), in a fuzzy set S. The fuzzy set S is denoted by listing the elements with their degrees of membership (elements with 0 degree of membership are not listed). For instance, we write {0.6 Alice, 0.9 Brian, 0.4 Fred, 0.1 Oscar, 0.5 Rita} for the set F (of famous people) to indicate that Alice has a 0.6 degree of membership in F, Brian has a 0.9 degree of membership in F, Fred has a 0.4 degree of membership in F, Oscar has a 0.1 degree of membership in F, and Rita has a 0.5 degree of membership in F (so that Brian is the most famous and Oscar is the least famous of these people). Also suppose that R is the set of rich people with $R =$ {0.4 Alice, 0.8 Brian, 0.2 Fred, 0.9 Oscar, 0.7 Rita}.

63. The **complement** of a fuzzy set S is the set $\overline{S}$, with the degree of the membership of an element in $\overline{S}$ equal to 1 minus the degree of membership of this element in S. Find $\overline{F}$ (the fuzzy set of people who are not famous) and $\overline{R}$ (the fuzzy set of people who are not rich).

64. The **union** of two fuzzy sets S and T is the fuzzy set $S \cup T$, where the degree of membership of an element in $S \cup T$ is the maximum of the degrees of membership of this element in S and in T. Find the fuzzy set $F \cup R$ of rich or famous people.

65. The **intersection** of two fuzzy sets S and T is the fuzzy set $S \cap T$, where the degree of membership of an element in $S \cap T$ is the minimum of the degrees of membership of this element in S and in T. Find the fuzzy set $F \cap R$ of rich and famous people.

2.3 Functions

Introduction

In many instances we assign to each element of a set a particular element of a second set (which may be the same as the first). For example, suppose that each student in a discrete mathematics class is assigned a letter grade from the set $\{A, B, C, D, F\}$. And suppose that the grades are A for Adams, C for Chou, B for Goodfriend, A for Rodriguez, and F for Stevens. This assignment of grades is illustrated in Figure 1.

This assignment is an example of a function. The concept of a function is extremely important in mathematics and computer science. For example, in discrete mathematics functions are used in the definition of such discrete structures as sequences and strings. Functions are also used to represent how long it takes a computer to solve problems of a given size. Many computer programs and subroutines are designed to calculate values of functions. Recursive functions,

Partial Functions

A program designed to evaluate a function may not produce the correct value of the function for all elements in the domain of this function. For example, a program may not produce a correct value because evaluating the function may lead to an infinite loop or an overflow. Similarly, in abstract mathematics, we often want to discuss functions that are defined only for a subset of the real numbers, such as $1/x$, $\sqrt{x}$, and $\arcsin(x)$. Also, we may want to use such notions as the "youngest child" function, which is undefined for a couple having no children, or the "time of sunrise," which is undefined for some days above the Arctic Circle. To study such situations, we use the concept of a partial function.

DEFINITION 13 A *partial function* f from a set A to a set B is an assignment to each element a in a subset of A, called the *domain of definition* of f, of a unique element b in B. The sets A and B are called the *domain* and *codomain* of f, respectively. We say that f is *undefined* for elements in A that are not in the domain of definition of f. When the domain of definition of f equals A, we say that f is a *total function.*

Remark: We write $f : A \to B$ to denote that f is a partial function from A to B. Note that this is the same notation as is used for functions. The context in which the notation is used determines whether f is a partial function or a total function.

EXAMPLE 32 The function $f : \mathbf{Z} \to \mathbf{R}$ where $f(n) = \sqrt{n}$ is a partial function from $\mathbf{Z}$ to $\mathbf{R}$ where the domain of definition is the set of nonnegative integers. Note that f is undefined for negative integers. ◀

Exercises

1. Why is f not a function from $\mathbf{R}$ to $\mathbf{R}$ if

a) $f(x) = 1/x$?

b) $f(x) = \sqrt{x}$?

c) $f(x) = \pm\sqrt{(x^2 + 1)}$?

2. Determine whether f is a function from $\mathbf{Z}$ to $\mathbf{R}$ if

a) $f(n) = \pm n$.

b) $f(n) = \sqrt{n^2 + 1}$.

c) $f(n) = 1/(n^2 - 4)$.

3. Determine whether f is a function from the set of all bit strings to the set of integers if

a) $f(S)$ is the position of a 0 bit in S.

b) $f(S)$ is the number of 1 bits in S.

c) $f(S)$ is the smallest integer i such that the ith bit of S is 1 and $f(S) = 0$ when S is the empty string, the string with no bits.

4. Find the domain and range of these functions. Note that in each case, to find the domain, determine the set of elements assigned values by the function.

a) the function that assigns to each nonnegative integer its last digit

b) the function that assigns the next largest integer to a positive integer

c) the function that assigns to a bit string the number of one bits in the string

d) the function that assigns to a bit string the number of bits in the string

5. Find the domain and range of these functions. Note that in each case, to find the domain, determine the set of elements assigned values by the function.

a) the function that assigns to each bit string the number of ones in the string minus the number of zeros in the string

b) the function that assigns to each bit string twice the number of zeros in that string

c) the function that assigns the number of bits left over when a bit string is split into bytes (which are blocks of 8 bits)

d) the function that assigns to each positive integer the largest perfect square not exceeding this integer

6. Find the domain and range of these functions.

a) the function that assigns to each pair of positive integers the first integer of the pair

b) the function that assigns to each positive integer its largest decimal digit

c) the function that assigns to a bit string the number of ones minus the number of zeros in the string

d) the function that assigns to each positive integer the largest integer not exceeding the square root of the integer

e) the function that assigns to a bit string the longest string of ones in the string

7. Find the domain and range of these functions.

a) the function that assigns to each pair of positive integers the maximum of these two integers

b) the function that assigns to each positive integer the number of the digits 0, 1, 2, 3, 4, 5, 6, 7, 8, 9 that do not appear as decimal digits of the integer

c) the function that assigns to a bit string the number of times the block 11 appears

d) the function that assigns to a bit string the numerical position of the first 1 in the string and that assigns the value 0 to a bit string consisting of all 0s

8. Find these values.

a) $\lfloor 1.1 \rfloor$ **b)** $\lceil 1.1 \rceil$
c) $\lfloor -0.1 \rfloor$ **d)** $\lceil -0.1 \rceil$
e) $\lceil 2.99 \rceil$ **f)** $\lceil -2.99 \rceil$
g) $\lfloor \frac{1}{2} + \lceil \frac{1}{2} \rceil \rfloor$ **h)** $\lceil \lfloor \frac{1}{2} \rfloor + \lceil \frac{1}{2} \rceil + \frac{1}{2} \rceil$

9. Find these values.

a) $\lceil \frac{3}{4} \rceil$ **b)** $\lfloor \frac{7}{8} \rfloor$
c) $\lceil -\frac{3}{4} \rceil$ **d)** $\lfloor -\frac{7}{8} \rfloor$
e) $\lceil 3 \rceil$ **f)** $\lfloor -1 \rfloor$
g) $\lfloor \frac{1}{2} + \lceil \frac{3}{2} \rceil \rfloor$ **h)** $\lfloor \frac{1}{2} \cdot \lfloor \frac{5}{2} \rfloor \rfloor$

10. Determine whether each of these functions from $\{a, b, c, d\}$ to itself is one-to-one.

a) $f(a) = b$, $f(b) = a$, $f(c) = c$, $f(d) = d$
b) $f(a) = b$, $f(b) = b$, $f(c) = d$, $f(d) = c$
c) $f(a) = d$, $f(b) = b$, $f(c) = c$, $f(d) = d$

11. Which functions in Exercise 10 are onto?

12. Determine whether each of these functions from **Z** to **Z** is one-to-one.

a) $f(n) = n - 1$ **b)** $f(n) = n^2 + 1$
c) $f(n) = n^3$ **d)** $f(n) = \lceil n/2 \rceil$

13. Which functions in Exercise 12 are onto?

14. Determine whether $f: \mathbf{Z} \times \mathbf{Z} \to \mathbf{Z}$ is onto if

a) $f(m, n) = 2m - n$.
b) $f(m, n) = m^2 - n^2$.
c) $f(m, n) = m + n + 1$.
d) $f(m, n) = |m| - |n|$.
e) $f(m, n) = m^2 - 4$.

15. Determine whether the function $f: \mathbf{Z} \times \mathbf{Z} \to \mathbf{Z}$ is onto if

a) $f(m, n) = m + n$.
b) $f(m, n) = m^2 + n^2$.
c) $f(m, n) = m$.
d) $f(m, n) = |n|$.
e) $f(m, n) = m - n$.

16. Consider these functions from the set of students in a discrete mathematics class. Under what conditions is the function one-to-one if it assigns to a student his or her

a) mobile phone number.
b) student identification number.
c) final grade in the class.
d) home town.

17. Consider these functions from the set of teachers in a school. Under what conditions is the function one-to-one if it assigns to a teacher his or her

a) office.
b) assigned bus to chaperone in a group of buses taking students on a field trip.
c) salary.
d) social security number.

18. Specify a codomain for each of the functions in Exercise 16. Under what conditions is each of these functions with the codomain you specified onto?

19. Specify a codomain for each of the functions in Exercise 17. Under what conditions is each of the functions with the codomain you specified onto?

20. Give an example of a function from **N** to **N** that is

a) one-to-one but not onto.
b) onto but not one-to-one.
c) both onto and one-to-one (but different from the identity function).
d) neither one-to-one nor onto.

21. Give an explicit formula for a function from the set of integers to the set of positive integers that is

a) one-to-one, but not onto.
b) onto, but not one-to-one.
c) one-to-one and onto.
d) neither one-to-one nor onto.

22. Determine whether each of these functions is a bijection from **R** to **R**.

a) $f(x) = -3x + 4$
b) $f(x) = -3x^2 + 7$
c) $f(x) = (x + 1)/(x + 2)$
d) $f(x) = x^5 + 1$

23. Determine whether each of these functions is a bijection from **R** to **R**.

a) $f(x) = 2x + 1$
b) $f(x) = x^2 + 1$
c) $f(x) = x^3$
d) $f(x) = (x^2 + 1)/(x^2 + 2)$

24. Let $f: \mathbf{R} \to \mathbf{R}$ and let $f(x) > 0$ for all $x \in \mathbf{R}$. Show that $f(x)$ is strictly increasing if and only if the function $g(x) = 1/f(x)$ is strictly decreasing.

25. Let $f: \mathbf{R} \to \mathbf{R}$ and let $f(x) > 0$ for all $x \in \mathbf{R}$. Show that $f(x)$ is strictly decreasing if and only if the function $g(x) = 1/f(x)$ is strictly increasing.

26. a) Prove that a strictly increasing function from **R** to itself is one-to-one.

b) Give an example of an increasing function from **R** to itself that is not one-to-one.

27. a) Prove that a strictly decreasing function from **R** to itself is one-to-one.

b) Give an example of a decreasing function from **R** to itself that is not one-to-one.

28. Show that the function $f(x) = e^x$ from the set of real numbers to the set of real numbers is not invertible, but if the codomain is restricted to the set of positive real numbers, the resulting function is invertible.

29. Show that the function $f(x) = |x|$ from the set of real numbers to the set of nonnegative real numbers is not invertible, but if the domain is restricted to the set of nonnegative real numbers, the resulting function is invertible.

30. Let $S = \{-1, 0, 2, 4, 7\}$. Find $f(S)$ if

a) $f(x) = 1$. **b)** $f(x) = 2x + 1$.
c) $f(x) = \lceil x/5 \rceil$. **d)** $f(x) = \lfloor (x^2 + 1)/3 \rfloor$.

31. Let $f(x) = \lfloor x^2/3 \rfloor$. Find $f(S)$ if

a) $S = \{-2, -1, 0, 1, 2, 3\}$.
b) $S = \{0, 1, 2, 3, 4, 5\}$.
c) $S = \{1, 5, 7, 11\}$.
d) $S = \{2, 6, 10, 14\}$.

32. Let $f(x) = 2x$ where the domain is the set of real numbers. What is

a) $f(\mathbf{Z})$? **b)** $f(\mathbf{N})$? **c)** $f(\mathbf{R})$?

33. Suppose that g is a function from A to B and f is a function from B to C.

a) Show that if both f and g are one-to-one functions, then $f \circ g$ is also one-to-one.
b) Show that if both f and g are onto functions, then $f \circ g$ is also onto.

***34.** If f and $f \circ g$ are one-to-one, does it follow that g is one-to-one? Justify your answer.

***35.** If f and $f \circ g$ are onto, does it follow that g is onto? Justify your answer.

36. Find $f \circ g$ and $g \circ f$, where $f(x) = x^2 + 1$ and $g(x) = x + 2$, are functions from $\mathbf{R}$ to $\mathbf{R}$.

37. Find $f + g$ and fg for the functions f and g given in Exercise 36.

38. Let $f(x) = ax + b$ and $g(x) = cx + d$, where a, b, c, and d are constants. Determine necessary and sufficient conditions on the constants a, b, c, and d so that $f \circ g = g \circ f$.

39. Show that the function $f(x) = ax + b$ from $\mathbf{R}$ to $\mathbf{R}$ is invertible, where a and b are constants, with $a \neq 0$, and find the inverse of f.

40. Let f be a function from the set A to the set B. Let S and T be subsets of A. Show that

a) $f(S \cup T) = f(S) \cup f(T)$.
b) $f(S \cap T) \subseteq f(S) \cap f(T)$.

41. **a)** Give an example to show that the inclusion in part (b) in Exercise 40 may be proper.
b) Show that if f is one-to-one, the inclusion in part (b) in Exercise 40 is an equality.

Let f be a function from the set A to the set B. Let S be a subset of B. We define the **inverse image** of S to be the subset of A whose elements are precisely all pre-images of all elements of S. We denote the inverse image of S by $f^{-1}(S)$, so $f^{-1}(S) = \{a \in A \mid f(a) \in S\}$. (*Beware:* The notation f^{-1} is used in two different ways. Do not confuse the notation introduced here with the notation $f^{-1}(y)$ for the value at y of the inverse of the invertible function f. Notice also that $f^{-1}(S)$, the inverse image of the set S, makes sense for all functions f, not just invertible functions.)

42. Let f be the function from $\mathbf{R}$ to $\mathbf{R}$ defined by $f(x) = x^2$. Find

a) $f^{-1}(\{1\})$. **b)** $f^{-1}(\{x \mid 0 < x < 1\})$.
c) $f^{-1}(\{x \mid x > 4\})$.

43. Let $g(x) = \lfloor x \rfloor$. Find

a) $g^{-1}(\{0\})$. **b)** $g^{-1}(\{-1, 0, 1\})$.
c) $g^{-1}(\{x \mid 0 < x < 1\})$.

44. Let f be a function from A to B. Let S and T be subsets of B. Show that

a) $f^{-1}(S \cup T) = f^{-1}(S) \cup f^{-1}(T)$.
b) $f^{-1}(S \cap T) = f^{-1}(S) \cap f^{-1}(T)$.

45. Let f be a function from A to B. Let S be a subset of B. Show that $f^{-1}(\overline{S}) = \overline{f^{-1}(S)}$.

46. Show that $\lfloor x + \frac{1}{2} \rfloor$ is the closest integer to the number x, except when x is midway between two integers, when it is the larger of these two integers.

47. Show that $\lceil x - \frac{1}{2} \rceil$ is the closest integer to the number x, except when x is midway between two integers, when it is the smaller of these two integers.

48. Show that if x is a real number, then $\lceil x \rceil - \lfloor x \rfloor = 1$ if x is not an integer and $\lceil x \rceil - \lfloor x \rfloor = 0$ if x is an integer.

49. Show that if x is a real number, then $x - 1 < \lfloor x \rfloor \leq x \leq \lceil x \rceil < x + 1$.

50. Show that if x is a real number and m is an integer, then $\lceil x + m \rceil = \lceil x \rceil + m$.

51. Show that if x is a real number and n is an integer, then

a) $x < n$ if and only if $\lfloor x \rfloor < n$.
b) $n < x$ if and only if $n < \lceil x \rceil$.

52. Show that if x is a real number and n is an integer, then

a) $x \leq n$ if and only if $\lceil x \rceil \leq n$.
b) $n \leq x$ if and only if $n \leq \lfloor x \rfloor$.

53. Prove that if n is an integer, then $\lfloor n/2 \rfloor = n/2$ if n is even and $(n - 1)/2$ if n is odd.

54. Prove that if x is a real number, then $\lfloor -x \rfloor = -\lceil x \rceil$ and $\lceil -x \rceil = -\lfloor x \rfloor$.

55. The function INT is found on some calculators, where $\text{INT}(x) = \lfloor x \rfloor$ when x is a nonnegative real number and $\text{INT}(x) = \lceil x \rceil$ when x is a negative real number. Show that this INT function satisfies the identity $\text{INT}(-x) = -\text{INT}(x)$.

56. Let a and b be real numbers with $a < b$. Use the floor and/or ceiling functions to express the number of integers n that satisfy the inequality $a \leq n \leq b$.

57. Let a and b be real numbers with $a < b$. Use the floor and/or ceiling functions to express the number of integers n that satisfy the inequality $a < n < b$.

58. How many bytes are required to encode n bits of data where n equals

a) 4? **b)** 10? **c)** 500? **d)** 3000?

59. How many bytes are required to encode n bits of data where n equals

a) 7? **b)** 17? **c)** 1001? **d)** 28,800?

60. How many ATM cells (described in Example 28) can be transmitted in 10 seconds over a link operating at the following rates?

a) 128 kilobits per second (1 kilobit = 1000 bits)
b) 300 kilobits per second
c) 1 megabit per second (1 megabit = 1,000,000 bits)

61. Data are transmitted over a particular Ethernet network in blocks of 1500 octets (blocks of 8 bits). How many blocks are required to transmit the following amounts of data over this Ethernet network? (Note that a byte is a synonym for an octet, a kilobyte is 1000 bytes, and a megabyte is 1,000,000 bytes.)

a) 150 kilobytes of data
b) 384 kilobytes of data
c) 1.544 megabytes of data
d) 45.3 megabytes of data

62. Draw the graph of the function $f(n) = 1 - n^2$ from **Z** to **Z**.

63. Draw the graph of the function $f(x) = \lfloor 2x \rfloor$ from **R** to **R**.

64. Draw the graph of the function $f(x) = \lfloor x/2 \rfloor$ from **R** to **R**.

65. Draw the graph of the function $f(x) = \lfloor x \rfloor + \lfloor x/2 \rfloor$ from **R** to **R**.

66. Draw the graph of the function $f(x) = \lceil x \rceil + \lfloor x/2 \rfloor$ from **R** to **R**.

67. Draw graphs of each of these functions.

a) $f(x) = \lfloor x + \frac{1}{2} \rfloor$ **b)** $f(x) = \lfloor 2x + 1 \rfloor$
c) $f(x) = \lceil x/3 \rceil$ **d)** $f(x) = \lceil 1/x \rceil$
e) $f(x) = \lceil x - 2 \rceil + \lfloor x + 2 \rfloor$
f) $f(x) = \lfloor 2x \rfloor \lceil x/2 \rceil$ **g)** $f(x) = \lceil \lfloor x - \frac{1}{2} \rfloor + \frac{1}{2} \rceil$

68. Draw graphs of each of these functions.

a) $f(x) = \lceil 3x - 2 \rceil$ **b)** $f(x) = \lceil 0.2x \rceil$
c) $f(x) = \lfloor -1/x \rfloor$ **d)** $f(x) = \lfloor x^2 \rfloor$
e) $f(x) = \lceil x/2 \rceil \lfloor x/2 \rfloor$ **f)** $f(x) = \lfloor x/2 \rfloor + \lceil x/2 \rfloor$
g) $f(x) = \lfloor 2 \lceil x/2 \rceil + \frac{1}{2} \rfloor$

69. Find the inverse function of $f(x) = x^3 + 1$.

70. Suppose that f is an invertible function from Y to Z and g is an invertible function from X to Y. Show that the inverse of the composition $f \circ g$ is given by $(f \circ g)^{-1} = g^{-1} \circ f^{-1}$.

71. Let S be a subset of a universal set U. The **characteristic function** f_S of S is the function from U to the set $\{0, 1\}$ such that $f_S(x) = 1$ if x belongs to S and $f_S(x) = 0$ if x does not belong to S. Let A and B be sets. Show that for all $x \in U$,

a) $f_{A \cap B}(x) = f_A(x) \cdot f_B(x)$
b) $f_{A \cup B}(x) = f_A(x) + f_B(x) - f_A(x) \cdot f_B(x)$
c) $f_{\overline{A}}(x) = 1 - f_A(x)$
d) $f_{A \oplus B}(x) = f_A(x) + f_B(x) - 2f_A(x)f_B(x)$

72. Suppose that f is a function from A to B, where A and B are finite sets with $|A| = |B|$. Show that f is one-to-one if and only if it is onto.

73. Prove or disprove each of these statements about the floor and ceiling functions.

a) $\lceil \lfloor x \rfloor \rceil = \lfloor x \rfloor$ for all real numbers x.
b) $\lfloor 2x \rfloor = 2\lfloor x \rfloor$ whenever x is a real number.
c) $\lceil x \rceil + \lceil y \rceil - \lceil x + y \rceil = 0$ or 1 whenever x and y are real numbers.
d) $\lceil xy \rceil = \lceil x \rceil \lceil y \rceil$ for all real numbers x and y.
e) $\left\lceil \frac{x}{2} \right\rceil = \left\lfloor \frac{x+1}{2} \right\rfloor$ for all real numbers x.

74. Prove or disprove each of these statements about the floor and ceiling functions.

a) $\lfloor \lceil x \rceil \rfloor = \lceil x \rceil$ for all real numbers x.
b) $\lfloor x + y \rfloor = \lfloor x \rfloor + \lfloor y \rfloor$ for all real numbers x and y.
c) $\lceil \lceil x/2 \rceil /2 \rceil = \lceil x/4 \rceil$ for all real numbers x.
d) $\lfloor \sqrt{\lceil x \rceil} \rfloor = \lfloor \sqrt{x} \rfloor$ for all positive real numbers x.
e) $\lfloor x \rfloor + \lfloor y \rfloor + \lfloor x + y \rfloor \le \lfloor 2x \rfloor + \lfloor 2y \rfloor$ for all real numbers x and y.

75. Prove that if x is a positive real number, then

a) $\lfloor \sqrt{\lfloor x \rfloor} \rfloor = \lfloor \sqrt{x} \rfloor$.
b) $\lceil \sqrt{\lceil x \rceil} \rceil = \lceil \sqrt{x} \rceil$.

76. Let x be a real number. Show that $\lfloor 3x \rfloor = \lfloor x \rfloor + \lfloor x + \frac{1}{3} \rfloor + \lfloor x + \frac{2}{3} \rfloor$.

77. For each of these partial functions, determine its domain, codomain, domain of definition, and the set of values for which it is undefined. Also, determine whether it is a total function.

a) $f: \mathbf{Z} \to \mathbf{R}$, $f(n) = 1/n$
b) $f: \mathbf{Z} \to \mathbf{Z}$, $f(n) = \lceil n/2 \rceil$
c) $f: \mathbf{Z} \times \mathbf{Z} \to \mathbf{Q}$, $f(m, n) = m/n$
d) $f: \mathbf{Z} \times \mathbf{Z} \to \mathbf{Z}$, $f(m, n) = mn$
e) $f: \mathbf{Z} \times \mathbf{Z} \to \mathbf{Z}$, $f(m, n) = m - n$ if $m > n$

78. a) Show that a partial function from A to B can be viewed as a function f^* from A to $B \cup \{u\}$, where u is not an element of B and

$$f^*(a) = \begin{cases} f(a) & \text{if } a \text{ belongs to the domain} \\ & \text{of definition of } f \\ u & \text{if } f \text{ is undefined at } a. \end{cases}$$

b) Using the construction in (a), find the function f^* corresponding to each partial function in Exercise 77.

79. a) Show that if a set S has cardinality m, where m is a positive integer, then there is a one-to-one correspondence between S and the set $\{1, 2, \ldots, m\}$.

b) Show that if S and T are two sets each with m elements, where m is a positive integer, then there is a one-to-one correspondence between S and T.

***80.** Show that a set S is infinite if and only if there is a proper subset A of S such that there is a one-to-one correspondence between A and S.

SOME INFINITE SERIES Although most of the summations in this book are finite sums, infinite series are important in some parts of discrete mathematics. Infinite series are usually studied in a course in calculus and even the definition of these series requires the use of calculus, but sometimes they arise in discrete mathematics, because discrete mathematics deals with infinite collections of discrete elements. In particular, in our future studies in discrete mathematics, we will find the closed forms for the infinite series in Examples 24 and 25 to be quite useful.

EXAMPLE 24 (*Requires calculus*) Let x be a real number with $|x| < 1$. Find $\sum_{n=0}^{\infty} x^n$.

Extra Examples

Solution: By Theorem 1 with $a = 1$ and $r = x$ we see that $\sum_{n=0}^{k} x^n = \dfrac{x^{k+1} - 1}{x - 1}$. Because $|x| < 1$, x^{k+1} approaches 0 as k approaches infinity. It follows that

$$\sum_{n=0}^{\infty} x^n = \lim_{k\to\infty} \frac{x^{k+1} - 1}{x - 1} = \frac{0 - 1}{x - 1} = \frac{1}{1 - x}.$$

We can produce new summation formulae by differentiating or integrating existing formulae.

EXAMPLE 25 (*Requires calculus*) Differentiating both sides of the equation

$$\sum_{k=0}^{\infty} x^k = \frac{1}{1 - x},$$

from Example 24 we find that

$$\sum_{k=1}^{\infty} kx^{k-1} = \frac{1}{(1 - x)^2}.$$

(This differentiation is valid for $|x| < 1$ by a theorem about infinite series.)

Exercises

1. Find these terms of the sequence $\{a_n\}$, where $a_n = 2 \cdot (-3)^n + 5^n$.
a) a_0 **b)** a_1 **c)** a_4 **d)** a_5

2. What is the term a_8 of the sequence $\{a_n\}$ if a_n equals
a) 2^{n-1}? **b)** 7? **c)** $1 + (-1)^n$? **d)** $-(-2)^n$?

3. What are the terms a_0, a_1, a_2, and a_3 of the sequence $\{a_n\}$, where a_n equals
a) $2^n + 1$? **b)** $(n + 1)^{n+1}$? **c)** $\lfloor n/2 \rfloor$? **d)** $\lfloor n/2 \rfloor + \lceil n/2 \rceil$?

4. What are the terms a_0, a_1, a_2, and a_3 of the sequence $\{a_n\}$, where a_n equals
a) $(-2)^n$? **b)** 3? **c)** $7 + 4^n$? **d)** $2^n + (-2)^n$?

5. List the first 10 terms of each of these sequences.
a) the sequence that begins with 2 and in which each successive term is 3 more than the preceding term
b) the sequence that lists each positive integer three times, in increasing order
c) the sequence that lists the odd positive integers in increasing order, listing each odd integer twice
d) the sequence whose nth term is $n! - 2^n$
e) the sequence that begins with 3, where each succeeding term is twice the preceding term
f) the sequence whose first term is 2, second term is 4, and each succeeding term is the sum of the two preceding terms
g) the sequence whose nth term is the number of bits in the binary expansion of the number n (defined in Section 4.2)
h) the sequence where the nth term is the number of letters in the English word for the index n

6. List the first 10 terms of each of these sequences.
a) the sequence obtained by starting with 10 and obtaining each term by subtracting 3 from the previous term
b) the sequence whose nth term is the sum of the first n positive integers
c) the sequence whose nth term is $3^n - 2^n$
d) the sequence whose nth term is $\lfloor \sqrt{n} \rfloor$
e) the sequence whose first two terms are 1 and 5 and each succeeding term is the sum of the two previous terms

f) the sequence whose nth term is the largest integer whose binary expansion (defined in Section 4.2) has n bits (Write your answer in decimal notation.)
g) the sequence whose terms are constructed sequentially as follows: start with 1, then add 1, then multiply by 1, then add 2, then multiply by 2, and so on
h) the sequence whose nth term is the largest integer k such that $k! \leq n$

7. Find at least three different sequences beginning with the terms 1, 2, 4 whose terms are generated by a simple formula or rule.

8. Find at least three different sequences beginning with the terms 3, 5, 7 whose terms are generated by a simple formula or rule.

9. Find the first five terms of the sequence defined by each of these recurrence relations and initial conditions.

a) $a_n = 6a_{n-1}$, $a_0 = 2$
b) $a_n = a_{n-1}^2$, $a_1 = 2$
c) $a_n = a_{n-1} + 3a_{n-2}$, $a_0 = 1$, $a_1 = 2$
d) $a_n = na_{n-1} + n^2 a_{n-2}$, $a_0 = 1$, $a_1 = 1$
e) $a_n = a_{n-1} + a_{n-3}$, $a_0 = 1$, $a_1 = 2$, $a_2 = 0$

10. Find the first six terms of the sequence defined by each of these recurrence relations and initial conditions.

a) $a_n = -2a_{n-1}$, $a_0 = -1$
b) $a_n = a_{n-1} - a_{n-2}$, $a_0 = 2$, $a_1 = -1$
c) $a_n = 3a_{n-1}^2$, $a_0 = 1$
d) $a_n = na_{n-1} + a_{n-2}^2$, $a_0 = -1$, $a_1 = 0$
e) $a_n = a_{n-1} - a_{n-2} + a_{n-3}$, $a_0 = 1$, $a_1 = 1$, $a_2 = 2$

11. Let $a_n = 2^n + 5 \cdot 3^n$ for $n = 0, 1, 2, \ldots$.

a) Find a_0, a_1, a_2, a_3, and a_4.
b) Show that $a_2 = 5a_1 - 6a_0$, $a_3 = 5a_2 - 6a_1$, and $a_4 = 5a_3 - 6a_2$.
c) Show that $a_n = 5a_{n-1} - 6a_{n-2}$ for all integers n with $n \geq 2$.

12. Show that the sequence $\{a_n\}$ is a solution of the recurrence relation $a_n = -3a_{n-1} + 4a_{n-2}$ if

a) $a_n = 0$.
b) $a_n = 1$.
c) $a_n = (-4)^n$.
d) $a_n = 2(-4)^n + 3$.

13. Is the sequence $\{a_n\}$ a solution of the recurrence relation $a_n = 8a_{n-1} - 16a_{n-2}$ if

a) $a_n = 0$?
b) $a_n = 1$?
c) $a_n = 2^n$?
d) $a_n = 4^n$?
e) $a_n = n4^n$?
f) $a_n = 2 \cdot 4^n + 3n4^n$?
g) $a_n = (-4)^n$?
h) $a_n = n^2 4^n$?

14. For each of these sequences find a recurrence relation satisfied by this sequence. (The answers are not unique because there are infinitely many different recurrence relations satisfied by any sequence.)

a) $a_n = 3$
b) $a_n = 2n$
c) $a_n = 2n + 3$
d) $a_n = 5^n$
e) $a_n = n^2$
f) $a_n = n^2 + n$
g) $a_n = n + (-1)^n$
h) $a_n = n!$

15. Show that the sequence $\{a_n\}$ is a solution of the recurrence relation $a_n = a_{n-1} + 2a_{n-2} + 2n - 9$ if

a) $a_n = -n + 2$.
b) $a_n = 5(-1)^n - n + 2$.
c) $a_n = 3(-1)^n + 2^n - n + 2$.
d) $a_n = 7 \cdot 2^n - n + 2$.

16. Find the solution to each of these recurrence relations with the given initial conditions. Use an iterative approach such as that used in Example 10.

a) $a_n = -a_{n-1}$, $a_0 = 5$
b) $a_n = a_{n-1} + 3$, $a_0 = 1$
c) $a_n = a_{n-1} - n$, $a_0 = 4$
d) $a_n = 2a_{n-1} - 3$, $a_0 = -1$
e) $a_n = (n + 1)a_{n-1}$, $a_0 = 2$
f) $a_n = 2na_{n-1}$, $a_0 = 3$
g) $a_n = -a_{n-1} + n - 1$, $a_0 = 7$

17. Find the solution to each of these recurrence relations and initial conditions. Use an iterative approach such as that used in Example 10.

a) $a_n = 3a_{n-1}$, $a_0 = 2$
b) $a_n = a_{n-1} + 2$, $a_0 = 3$
c) $a_n = a_{n-1} + n$, $a_0 = 1$
d) $a_n = a_{n-1} + 2n + 3$, $a_0 = 4$
e) $a_n = 2a_{n-1} - 1$, $a_0 = 1$
f) $a_n = 3a_{n-1} + 1$, $a_0 = 1$
g) $a_n = na_{n-1}$, $a_0 = 5$
h) $a_n = 2na_{n-1}$, $a_0 = 1$

18. A person deposits \$1000 in an account that yields 9% interest compounded annually.

a) Set up a recurrence relation for the amount in the account at the end of n years.
b) Find an explicit formula for the amount in the account at the end of n years.
c) How much money will the account contain after 100 years?

19. Suppose that the number of bacteria in a colony triples every hour.

a) Set up a recurrence relation for the number of bacteria after n hours have elapsed.
b) If 100 bacteria are used to begin a new colony, how many bacteria will be in the colony in 10 hours?

20. Assume that the population of the world in 2010 was 6.9 billion and is growing at the rate of 1.1% a year.

a) Set up a recurrence relation for the population of the world n years after 2010.
b) Find an explicit formula for the population of the world n years after 2010.
c) What will the population of the world be in 2030?

21. A factory makes custom sports cars at an increasing rate. In the first month only one car is made, in the second month two cars are made, and so on, with n cars made in the nth month.

a) Set up a recurrence relation for the number of cars produced in the first n months by this factory.
b) How many cars are produced in the first year?
c) Find an explicit formula for the number of cars produced in the first n months by this factory.

22. An employee joined a company in 2009 with a starting salary of \$50,000. Every year this employee receives a raise of \$1000 plus 5% of the salary of the previous year.

a) Set up a recurrence relation for the salary of this employee n years after 2009.
b) What will the salary of this employee be in 2017?
c) Find an explicit formula for the salary of this employee n years after 2009.

23. Find a recurrence relation for the balance $B(k)$ owed at the end of k months on a loan of \$5000 at a rate of 7% if a payment of \$100 is made each month. [*Hint:* Express $B(k)$ in terms of $B(k-1)$; the monthly interest is $(0.07/12)B(k-1)$.]

24. a) Find a recurrence relation for the balance $B(k)$ owed at the end of k months on a loan at a rate of r if a payment P is made on the loan each month. [*Hint:* Express $B(k)$ in terms of $B(k-1)$ and note that the monthly interest rate is $r/12$.]
b) Determine what the monthly payment P should be so that the loan is paid off after T months.

25. For each of these lists of integers, provide a simple formula or rule that generates the terms of an integer sequence that begins with the given list. Assuming that your formula or rule is correct, determine the next three terms of the sequence.
a) 1, 0, 1, 1, 0, 0, 1, 1, 1, 0, 0, 0, 1, ...
b) 1, 2, 2, 3, 4, 4, 5, 6, 6, 7, 8, 8, ...
c) 1, 0, 2, 0, 4, 0, 8, 0, 16, 0, ...
d) 3, 6, 12, 24, 48, 96, 192, ...
e) 15, 8, 1, −6, −13, −20, −27, ...
f) 3, 5, 8, 12, 17, 23, 30, 38, 47, ...
g) 2, 16, 54, 128, 250, 432, 686, ...
h) 2, 3, 7, 25, 121, 721, 5041, 40321, ...

26. For each of these lists of integers, provide a simple formula or rule that generates the terms of an integer sequence that begins with the given list. Assuming that your formula or rule is correct, determine the next three terms of the sequence.
a) 3, 6, 11, 18, 27, 38, 51, 66, 83, 102, ...
b) 7, 11, 15, 19, 23, 27, 31, 35, 39, 43, ...
c) 1, 10, 11, 100, 101, 110, 111, 1000, 1001, 1010, 1011, ...
d) 1, 2, 2, 2, 3, 3, 3, 3, 3, 5, 5, 5, 5, 5, 5, 5, ...
e) 0, 2, 8, 26, 80, 242, 728, 2186, 6560, 19682, ...
f) 1, 3, 15, 105, 945, 10395, 135135, 2027025, 34459425, ...
g) 1, 0, 0, 1, 1, 1, 0, 0, 0, 0, 1, 1, 1, 1, 1, ...
h) 2, 4, 16, 256, 65536, 4294967296, ...

****27.** Show that if a_n denotes the nth positive integer that is not a perfect square, then $a_n = n + \{\sqrt{n}\}$, where $\{x\}$ denotes the integer closest to the real number x.

***28.** Let a_n be the nth term of the sequence 1, 2, 2, 3, 3, 3, 4, 4, 4, 4, 5, 5, 5, 5, 5, 6, 6, 6, 6, 6, 6, ..., constructed by including the integer k exactly k times. Show that $a_n = \lfloor \sqrt{2n} + \frac{1}{2} \rfloor$.

29. What are the values of these sums?
a) $\sum_{k=1}^{5} (k+1)$ b) $\sum_{j=0}^{4} (-2)^j$
c) $\sum_{i=1}^{10} 3$ d) $\sum_{j=0}^{8} (2^{j+1} - 2^j)$

30. What are the values of these sums, where $S = \{1, 3, 5, 7\}$?
a) $\sum_{j \in S} j$ b) $\sum_{j \in S} j^2$
c) $\sum_{j \in S} (1/j)$ d) $\sum_{j \in S} 1$

31. What is the value of each of these sums of terms of a geometric progression?
a) $\sum_{j=0}^{8} 3 \cdot 2^j$ b) $\sum_{j=1}^{8} 2^j$
c) $\sum_{j=2}^{8} (-3)^j$ d) $\sum_{j=0}^{8} 2 \cdot (-3)^j$

32. Find the value of each of these sums.
a) $\sum_{j=0}^{8} (1 + (-1)^j)$ b) $\sum_{j=0}^{8} (3^j - 2^j)$
c) $\sum_{j=0}^{8} (2 \cdot 3^j + 3 \cdot 2^j)$ d) $\sum_{j=0}^{8} (2^{j+1} - 2^j)$

33. Compute each of these double sums.
a) $\sum_{i=1}^{2} \sum_{j=1}^{3} (i + j)$ b) $\sum_{i=0}^{2} \sum_{j=0}^{3} (2i + 3j)$
c) $\sum_{i=1}^{3} \sum_{j=0}^{2} i$ d) $\sum_{i=0}^{2} \sum_{j=1}^{3} ij$

34. Compute each of these double sums.
a) $\sum_{i=1}^{3} \sum_{j=1}^{2} (i - j)$ b) $\sum_{i=0}^{3} \sum_{j=0}^{2} (3i + 2j)$
c) $\sum_{i=1}^{3} \sum_{j=0}^{2} j$ d) $\sum_{i=0}^{2} \sum_{j=0}^{3} i^2 j^3$

35. Show that $\sum_{j=1}^{n} (a_j - a_{j-1}) = a_n - a_0$, where $a_0, a_1, \ldots, a_n$ is a sequence of real numbers. This type of sum is called **telescoping**.

36. Use the identity $1/(k(k+1)) = 1/k - 1/(k+1)$ and Exercise 35 to compute $\sum_{k=1}^{n} 1/(k(k+1))$.

37. Sum both sides of the identity $k^2 - (k-1)^2 = 2k - 1$ from $k = 1$ to $k = n$ and use Exercise 35 to find
a) a formula for $\sum_{k=1}^{n} (2k - 1)$ (the sum of the first n odd natural numbers).
b) a formula for $\sum_{k=1}^{n} k$.

***38.** Use the technique given in Exercise 35, together with the result of Exercise 37b, to derive the formula for $\sum_{k=1}^{n} k^2$ given in Table 2. [*Hint*: Take $a_k = k^3$ in the telescoping sum in Exercise 35.]

39. Find $\sum_{k=100}^{200} k$. (Use Table 2.)

40. Find $\sum_{k=99}^{200} k^3$. (Use Table 2.)

***41.** Find a formula for $\sum_{k=0}^{m} \lfloor \sqrt{k} \rfloor$, when m is a positive integer.

***42.** Find a formula for $\sum_{k=0}^{m} \lfloor \sqrt[3]{k} \rfloor$, when m is a positive integer.

There is also a special notation for products. The product of $a_m, a_{m+1}, \ldots, a_n$ is represented by $\prod_{j=m}^{n} a_j$, read as the product from $j = m$ to $j = n$ of a_j.

43. What are the values of the following products?

a) $\prod_{i=0}^{10} i$ **b)** $\prod_{i=5}^{8} i$

c) $\prod_{i=1}^{100} (-1)^i$ **d)** $\prod_{i=1}^{10} 2$

Recall that the value of the factorial function at a positive integer n, denoted by $n!$, is the product of the positive integers from 1 to n, inclusive. Also, we specify that $0! = 1$.

44. Express $n!$ using product notation.

45. Find $\sum_{j=0}^{4} j!$.

46. Find $\prod_{j=0}^{4} j!$.

2.5 Cardinality of Sets

Introduction

In Definition 4 of Section 2.1 we defined the cardinality of a finite set as the number of elements in the set. We use the cardinalities of finite sets to tell us when they have the same size, or when one is bigger than the other. In this section we extend this notion to infinite sets. That is, we will define what it means for two infinite sets to have the same cardinality, providing us with a way to measure the relative sizes of infinite sets.

We will be particularly interested in countably infinite sets, which are sets with the same cardinality as the set of positive integers. We will establish the surprising result that the set of rational numbers is countably infinite. We will also provide an example of an uncountable set when we show that the set of real numbers is not countable.

The concepts developed in this section have important applications to computer science. A function is called uncomputable if no computer program can be written to find all its values, even with unlimited time and memory. We will use the concepts in this section to explain why uncomputable functions exist.

We now define what it means for two sets to have the same size, or cardinality. In Section 2.1, we discussed the cardinality of finite sets and we defined the size, or cardinality, of such sets. In Exercise 79 of Section 2.3 we showed that there is a one-to-one correspondence between any two finite sets with the same number of elements. We use this observation to extend the concept of cardinality to all sets, both finite and infinite.

DEFINITION 1 The sets A and B have the same *cardinality* if and only if there is a one-to-one correspondence from A to B. When A and B have the same cardinality, we write $|A| = |B|$.

For infinite sets the definition of cardinality provides a relative measure of the sizes of two sets, rather than a measure of the size of one particular set. We can also define what it means for one set to have a smaller cardinality than another set.

DEFINITION 2 If there is a one-to-one function from A to B, the cardinality of A is less than or the same as the cardinality of B and we write $|A| \leq |B|$. Moreover, when $|A| \leq |B|$ and A and B have different cardinality, we say that the cardinality of A is less than the cardinality of B and we write $|A| < |B|$.

Countable Sets

We will now split infinite sets into two groups, those with the same cardinality as the set of natural numbers and those with a different cardinality.