

Performance Analysis: Asymptotic Complexity

Data Structures

Fall 2018

Insertion Sort

```
for (int i = 1; i < a.length; i++)  
{// insert a[i] into a[0:i-1]  
    int t = a[i];  
    int j;  
    for (j = i - 1; j >= 0 && t < a[j]; j--)  
        a[j + 1] = a[j];  
    a[j + 1] = t;  
}
```

Worst-Case Comparison Count

```
for (int i = 1; i < n; i++)  
    for (j = i - 1; j >= 0 && t < a[j]; j--)  
        a[j + 1] = a[j];
```

$$\begin{aligned}\text{\#comparisons} &= 1 + 2 + 3 + \dots + (n-1) \\ &= (n-1)n/2\end{aligned}$$

Step Count

A step is an amount of computation that does not depend on the instance characteristic n

For example, 100 adds, 10 subtractions, 100 multiplications can all be counted as a single step

n adds cannot be counted as 1 step

Step Count

	s/e
for (int i = 1; i < a.length; i++)	1
{// insert a[i] into a[0:i-1]	0
int t = a[i];	1
int j;	0
for (j = i - 1; j >= 0 && t < a[j]; j--)	1
a[j + 1] = a[j];	1
a[j + 1] = t;	1
}	0

s/e – steps per execution

Step Count

s/e isn't always 0 or 1

```
x = MyMath.sum(a, n);
```

```
// returns the sum of all the elements in a[0,n-1]
```

where n is the instance characteristic
has an s/e count of n

Step Count

	s/e	steps
for (int i = 1; i < a.length; i++)		
{// insert a[i] into a[0:i-1]	0	
int t = a[i];	1	1
int j;	0	
for (j = i - 1; j >= 0 && t < a[j]; j--)	1	i+ 1
a[j + 1] = a[j];	1	i
a[j + 1] = t;	1	1
}	0	

Total: $2i+3$

Step Count

```
for (int i = 1; i < a.length; i++)  
{ 2i + 3 }
```

Suppose $a.length = n$

Step count for body of for loop is

$$2(1+2+3+\dots+n-1) + 3(n-1)$$

$$= (n-1)n + 3(n-1)$$

$$= (n-1)(n+3)$$

Exercise: Prefix sums

- Given an array $a[0, n-1]$, write an efficient procedure that constructs a new array $b[0, n-1]$ such that
$$b[i] = a[0] + a[1] + \dots + a[i].$$

What is the step count of the procedure?

Asymptotic Complexity

[Finding the exact step count or operation count is cumbersome and time consuming.]

- Describes the behavior of the time (or space) complexity for *large* instance characteristics.
- Useful to compare the growth of different functions (i.e., time/space complexities of different procedures).

Big Oh Notation

- $f(n) = O(g(n))$ (read as “ $f(n)$ is big oh of $g(n)$ ”) iff positive constants c and k exist such that $f(n) \leq c \cdot g(n)$ for all $n \geq k$.
- $f(n)$ is $O(g(n))$ means $f(n)$ grows asymptotically slower than or at the same rate as $g(n)$.
- That is, $g(n)$ is an upper bound for $f(n)$.
[Note: “ $O(g(n)) = f(n)$ ” is meaningless]

Asymptotic Complexity of Insertion Sort

- Step count = $(n-1)(n+3) = n^2 + 2n - 3$
- Asymptotic complexity is **$O(n^2)$**
- What does this mean?

Complexity of Insertion Sort

- Time or number of operations does not exceed $c \cdot n^2$ on any input of size n (n suitably large).
- $[n^2 + 2n - 3 \leq 2n^2$ for all positive integers n (i.e., $c = 2$ and $k = 1$)]
- So, the worst-case time at most quadruples each time n is doubled

Complexity of Insertion Sort

- Is **$O(n^2)$** too much time?
- Is the algorithm practical?

Practical Complexities

10^9 instructions/second

<i>n</i>	<i>n</i>	<i>$n \log n$</i>	<i>n^2</i>	<i>n^3</i>
<i>1000</i>	1mic	10mic	1milli	1sec
<i>10000</i>	10mic	130mic	100milli	17min
<i>10^6</i>	1milli	20milli	17min	32years

Impractical Complexities

10^9 instructions/second

n	n^4	n^{10}	2^n
1000	17min	3.2×10^{13} years	3.2×10^{283} years
10000	116 days	???	???
10^6	3×10^7 years	??????	??????

Faster Computer Vs Better Algorithm



Algorithmic improvement more useful
than hardware improvement.

E.g. 2^n to n^3

Fibonacci numbers

- $F(0) = F(1) = 1; F(n) = F(n-1) + F(n-2)$
- Write a program to compute the n-th Fibonacci number.
- Alg 1:

```
int fib(n) { if ((n==0) || (n==1)) return 1;  
              else return fib(n-1)+fib(n-2);}
```
- Complexity: $O(F(n))$

Fibonacci numbers

- Alg 2:

```
int fib2(n) { int [] F = new int [n];  
              F[0] = 1; F[1] = 1;  
              for(i=2; i<=n; i++)  
                  F[i] = F[i-1]+F[i-2];  
              return F[n];  
          }
```
- Complexity: $O(n)$

More asymptotic notation

- $f(n) = \Omega(g(n))$ means $f(n)$ is asymptotically bigger than or equal to $g(n)$
i.e., $g(n)$ is a lower bound for $f(n)$
- $f(n) = \Theta(g(n))$ means $f(n)$ is asymptotically equal to $g(n)$, i.e., $g(n)$ is both an upper and a lower bound for $f(n)$
- Also $o()$ (little-oh) and $\omega()$ (little omega) for describing *strict* upper and lower bounds.

Binary search

- Input: A sorted array of n distinct numbers and another number x
- Output: The index i such that $a[i] \leq x < a[i+1]$
- Algorithm: Repeatedly bisect the range $[0, n-1]$ till the index i is found.
- What is the complexity of binary search?

$O(\log n)$

Exercise

- What is the complexity of the number of comparisons (between the elements of the input array) performed by “binary insertion sort”?

Binary insertion sort

```
for (int i = 1; i < a.length; i++)  
{// insert a[i] into a[0:i-1]  
    int x = a[i];  
    j = BinSearch(x,a[0:i-1]);  
    // insert x into a[0:i-1] at position j  
}
```

Binary insertion sort

#comparisons

```
for (int i = 1; i < a.length; i++)  
{// insert a[i] into a[0:i-1]  
    int x = a[i];                                0  
    j = BinSearch(x,a[0:i-1]);                   O(log i+1)  
    // insert x into a[0:i-1] at position j       0  
}
```


Binary insertion sort

- Total number of comparisons =
 $O(\log 2 + \log 3 + \dots + \log n+1) =$
 $O(\log (2*3*\dots*n+1)) =$
 $O(\log ((n+1)!)) \approx O(n \log n).$

Exercise: Show that $\log (n!) = \Theta(n \log n).$