### Summary of the Previous Lecture

- Degeneracy
  - Having the same eigenvalues
- Hermitian
  - Real eigenvalue
  - Orthogonal eigenvector
  - Diagonalizable
- Unitary
  - Eigenvalues are unit modulus  $u=e^{i heta}$
  - Preserve norm & orthogonality of vectors
- Basis transformation
  - $\mathbb{O}$ : matrix representation of an operator  $\Omega$  in orthonormal basis  $|1\rangle, |2\rangle, ..., |n\rangle$
  - $\mathbb{O}'$ : new matrix representation of an operator  $\Omega$  in the new orthonormal basis  $|I\rangle, |II\rangle, ..., |N\rangle$
  - $\mathbb U$  : matrix representation of  $U=\sum_{m=1}^n|M\rangle\langle m|$  in  $|1\rangle,|2\rangle,...,|n
    angle$
  - $\mathbb{O}' = \mathbb{U}^{\dagger} \mathbb{O} \mathbb{U}$

# Diagonalization of Hermitian Matrices

- Assume that a Hermitian operator  $\Omega$  is represented as a matrix  $\mathbb{H}$  in some orthonormal basis  $|1\rangle, |2\rangle, ..., |n\rangle$ . If we trade this basis for the eigenbasis  $|\omega_1\rangle, |\omega_2\rangle, ..., |\omega_n\rangle$ , the new matrix  $\mathbb{H}'$  representing  $\Omega$  will become diagonal.  $\rightarrow$   $\mathbb{H}' = \mathbb{U}^{\dagger}\mathbb{H}\mathbb{U} = \mathbb{D}$
- Simultaneous diagonalization of two Hermitian operators
- Theorem 13: If  $\Omega$  and  $\Lambda$  are two commuting Hermitian operators, there exists (at least) a basis of common eigenvectors that diagonalizes them both.
  - When at least one of the operator is non-degenerate:
    - Assume  $\Omega$  is non-degenerate and one of its eigenvector is  $|\omega_i\rangle$  satisfying  $\Omega|\omega_i\rangle = \omega_i|\omega_i\rangle$ , then  $\Lambda|\omega_i\rangle$  is also an eigenvector with eigenvalue $\omega_i$ . Proof)  $\Omega(\Lambda|\omega_i\rangle) = \Lambda\Omega|\omega_i\rangle = \omega_i(\Lambda|\omega_i\rangle)$
    - Therefore  $\Lambda |\omega_i\rangle = \lambda_i |\omega_i\rangle$  should be satisfied.  $\Rightarrow$   $|\omega_i\rangle$  is also an eigenvector of  $\Lambda$ .
  - Full proof is in the reference from page 43 to 46.

## Functions of Operators

- Types of objects that can act on vectors
  - Scalar: commutes with both scalar and operators → called cnumbers
  - Operator: generally do not commute with other operator 

     called q-numbers
- Function of q-numbers
  - Analogy to function of c-numbers such as  $\sin x$ ,  $\log x$
  - Consider c-number function that can be written as a power series:  $f(x) = \sum_{n=0}^{\infty} a_n x^n$
  - Define  $f(\Omega) \equiv \sum_{n=0}^{\infty} a_n \Omega^n$
  - For example, most of the c-number functions can be expanded in power series via Taylor series:  $f(x) = \sum_{n=0}^{\infty} \frac{f^{(n)}(a)}{n!} (x-a)^n$
- Example of function of operator
  - Taylor series of  $e^x = \sum_{n=0}^{\infty} \frac{1}{n!} x^n \implies e^{\Omega} = \sum_{n=0}^{\infty} \frac{1}{n!} \Omega^n$
  - All the above discussion will be valid only when the sum converges to a definite limit.

## Functions of Hermitian Operators

- Limit our discussion to the functions of **Hermitian** operator  $\Omega$ .
- By using eigenbasis of  $\Omega$ ,  $\Omega$  can be represented as diagonal matrix

$$\mathbb{D} = \begin{bmatrix} \omega_1 & & & \\ & \omega_2 & & \\ & & \ddots & \\ & & & \omega_n \end{bmatrix}$$

$$\Omega^m = \mathbb{D}^m = \begin{bmatrix} \omega_1^m & & & \\ & \omega_2^m & & \\ & & \ddots & \\ & & & \omega_n^m \end{bmatrix}$$

$$\mathbf{e}^{\Omega} = \sum_{m=0}^{\infty} \frac{1}{m!} \Omega^m = \sum_{m=0}^{\infty} \frac{1}{m!} \mathbb{D}^m = \begin{bmatrix} \sum_{m=0}^{\infty} \frac{\omega_1^m}{m!} & & & \\ & \sum_{m=0}^{\infty} \frac{\omega_2^m}{m!} & & \\ & & \ddots & \\ & & & \sum_{m=0}^{\infty} \frac{\omega_n^m}{m!} \end{bmatrix} = \begin{bmatrix} e^{\omega_1} & & & \\ & e^{\omega_2} & & \\ & & \ddots & \\ & & & e^{\omega_n} \end{bmatrix}$$

Generally, 
$$f(\Omega) = \begin{bmatrix} f(\omega_1) & & & \\ & f(\omega_2) & & \\ & & \ddots & \\ & & f(\omega_n) \end{bmatrix}$$

## Functions of **Hermitian** Operators

- What if the **Hermitian** operator  $\Omega$  is represented as **non-diagonal matrix**  $\mathbb{H}$  in different basis  $|1\rangle, |2\rangle, \cdots |n\rangle$ ?
  - Use unitary transformation  $U = \sum_{m=1}^{n} |\omega_m\rangle\langle m|$  whose matrix representation in  $|1\rangle, |2\rangle, \cdots |n\rangle$  is  $\mathbb{U}$ .
  - Then  $\mathbb{U}^{\dagger}\mathbb{H}\mathbb{U}=\mathbb{D}$  will appear as diagonal matrix.
  - By using  $\mathbb{H} = \mathbb{U}\mathbb{D}\mathbb{U}^{\dagger}$ ,  $\mathbb{H}^2 = \mathbb{U}\mathbb{D}\mathbb{U}^{\dagger}\mathbb{U}\mathbb{D}\mathbb{U}^{\dagger} = \mathbb{U}\mathbb{D}^2\mathbb{U}^{\dagger}$ , ...  $\mathbb{H}^m = \mathbb{U}\mathbb{D}^m\mathbb{U}^{\dagger}$
  - $f(\mathbb{H}) = \sum_{m=0}^{\infty} a_m \mathbb{H}^m = \mathbb{U} \sum_{m=0}^{\infty} a_m \mathbb{D}^m \mathbb{U}^{\dagger}$

$$= \mathbb{U} \begin{bmatrix} f(\omega_1) & & & \\ & f(\omega_2) & & \\ & & \ddots & \\ & & f(\omega_n) \end{bmatrix} \mathbb{U}^{\dagger}$$

## Derivatives of Operators w.r.t. Parameters

- Assume operator  $\theta(\lambda)$  depends on a parameter  $\lambda$ .
- Derivative w.r.t.  $\lambda$  is defined to be

$$\frac{d\theta(\lambda)}{d\lambda} \equiv \lim_{\Delta\lambda \to 0} \left[ \frac{\theta(\lambda + \Delta\lambda) - \theta(\lambda)}{\Delta\lambda} \right]$$

- If  $\theta(\lambda)$  is written as a matrix in some basis, the matrix representing  $d\theta(\lambda)/d\lambda$  can be obtained by differentiating each matrix elements of  $\theta(\lambda)$ .
- Derivative of  $\theta(\lambda) = e^{\lambda\Omega}$ 
  - Even when  $\Omega$  is represented as non-diagonal matrix  $\mathbb{H}$ ,

$$\frac{d}{d\lambda} \sum_{m=0}^{\infty} \frac{\lambda^m \mathbb{H}^m}{m!} = \sum_{m=1}^{\infty} \frac{m\lambda^{m-1} \mathbb{H}^m}{m!} = \mathbb{H} \sum_{m=1}^{\infty} \frac{\lambda^{m-1} \mathbb{H}^{m-1}}{(m-1)!} = \mathbb{H} \sum_{n=0}^{\infty} \frac{\lambda^n \mathbb{H}^n}{n!} = \mathbb{H} e^{\lambda \mathbb{H}}$$

• In other words,  $d\theta(\lambda)/d\lambda = \Omega e^{\lambda\Omega} = e^{\lambda\Omega}\Omega = \theta(\lambda)\Omega$ 

# Solution of Differential Equation

- How to solve differential equation  $\frac{\partial}{\partial t} |\psi\rangle = i\Omega |\psi\rangle$ ?
  - When initial state  $|\psi(0)\rangle$  is given, assume that  $|\psi\rangle$  can be obtained by  $|\psi(t)\rangle = U(t)|\psi(0)\rangle$ .
  - Then we need to find out a condition for U(t).
  - $\frac{\partial}{\partial t}U(t)|\psi(0)\rangle = i\Omega U(t)|\psi(0)\rangle$

  - Then U(t) should satisfy the above equation for arbitrary initial state  $\Rightarrow \frac{\partial}{\partial t} U(t) i\Omega U(t) = 0$
  - $U(t) = e^{t(i\Omega)}$
- When  $\Omega$  is Hermitian, prove that  $U(t) = e^{i\Omega t}$  is unitary.
  - Analogy: If  $\omega$  is real,  $u = e^{i\omega}$  is a number of unit modulus.