

KNOW YOUR CLIENT (KYC) FORM - CORPORATION

Full Name of the Company (Registered Name)							
Company Registration No.						Date of Incorporation	(D D / M M / Y Y Y Y)
Country of Incorporation	Sri Lanka	<input type="checkbox"/>	Other (Please Specify)				
	Reason for opening the account in Sri Lanka (For foreign incorporated entities only)						
Income Tax Number (If Applicable)							
Registered Address							
	District				Country (If Non - Resident)		
Correspondence Address							
	District				Country (If Non - Resident)		
Contact Details	Fixed Line				Mobile No		
	Email Address						

TYPE OF ORGANIZATION

Sole Proprietorship	<input type="checkbox"/>	Statutory Board	<input type="checkbox"/>	Unit Trust	<input type="checkbox"/>	
Private Limited Liability Company	<input type="checkbox"/>	Partnership	<input type="checkbox"/>	Insurance Fund	<input type="checkbox"/>	
Club/Society/Charitable Trust	<input type="checkbox"/>	Non-Governmental Organization (NGO)	<input type="checkbox"/>	Public Limited Liability Company	<input type="checkbox"/>	
A body established under an act of Parliament	<input type="checkbox"/>	Other (please specify)				

STATUS OF LISTING

 Is the Company listed on the Colombo Stock Exchange? Yes No

 Is the Company listed on any other Stock Exchanges Yes No

If yes please specify

NATURE OF THE BUSINESS

ANNUAL INCOME (EXPECTED INCOME PER YEAR)

Less than Rs. 5,000,000	<input type="checkbox"/>	Rs 5,000,000 to Rs 10,000,000	<input type="checkbox"/>	Rs 10,000,000 to Rs 20,000,000	<input type="checkbox"/>
Rs 20,000,000 to Rs 50,000,000	<input type="checkbox"/>	Rs 50,000,000 to Rs 100,000,000	<input type="checkbox"/>	Over Rs 100,000,000	<input type="checkbox"/>

SOURCES OF FUNDS

Business Turnover/Profits	<input type="checkbox"/>	Investment Proceeds	<input type="checkbox"/>	Commission Income	<input type="checkbox"/>
Business Ownership	<input type="checkbox"/>	Contract Proceeds	<input type="checkbox"/>	Membership contribution	<input type="checkbox"/>
Sale of Property & Assets	<input type="checkbox"/>	Donation/charities (local/foreign)	<input type="checkbox"/>	Export Proceeds	<input type="checkbox"/>
Other (Please Specify)					

Are the beneficial owners of the company Politically Exposed Person/s (PEP)? Yes No

Definition of a PEP

- i. An individual who is or has been entrusted domestically or by a foreign country with prominent public functions
- ii. Immediate family members: An individual who is related to a PEP either directly (related by blood) or through marriage or similar (civil) forms of partnership
- iii. An individual who is closely connected to a PEP, either socially or professionally

AUTHORIZED SIGNATORIES

Full Name

Designation

NIC

Signature

Acknowledgment of Risk Disclosure

I/We confirm that this investment is made on the understanding that the price of units could move up as well as down from time to time due to market fluctuations, as explained in the Key Investor Information Document (KIID).

Further I/We hereby confirm the information provided are true and correct according to my/our knowledge.

Signature of Authorized Signatory

Signature of Authorized Signatory

Date

(DD/MM/YYYY)

Documents Provided for KYC Verification**(All the documents from item 1- 6 including NIC copies must be certified by the Company Secretary/Authorized Officer)**

1. Know Your Business

Companies registered under Companies Act

- a. Certificate of Incorporation
- b. Form 01/Form 40 - Registration of a Company/Registration of an Existing Company
- c. Form 15 - Annual Return
- d. Form 20 - Notice of Change of Director/Company Secretary (if applicable)
- e. Form 13 - Notice of Change of Registered Address (if applicable)
- f. Articles of Association/Memorandum of Association
- g. Duly filled Individual KYC form by Directors & Beneficial Owners along with NIC/Passport copies

Any other corporate entity

- a. Business Registration certificate
- b. Constitution
- c. True extract of the AGM appointing committee members/board members
- d. Duly filled Individual KYC form by committee members/authorized officers along with NIC/Passport copies

2. Bank statement to verify bank account details (within 03 months of issue) 3. True extract of the Board Resolution/committee meeting authorizing investing in Ceybank Funds **Documents collected by**

Signature of Authorized Officer

Date (DD / MM / YYYY)

Verified and system updated by

Signature of Authorized Officer

Date (DD / MM / YYYY)

Approved by

Signature of Authorized Officer

Date (DD / MM / YYYY)