

# Polychromatic Colourings of Integers

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# Definitions

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Let  $G$  be an arbitrary abelian group (it will typically be  $\mathbb{Z}$  or  $\mathbb{Z}/q\mathbb{Z}$ )



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## Definition (Polychromatic colouring)

Given a finite subset  $S \subseteq G$ , a colouring  $\chi$  of  $G$  is *polychromatic* for  $S$  if every translate of  $S$  meets every colour.



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## Definition (Polychromatic number)

The polychromatic number  $p(S)$  of  $S \subseteq G$  is the largest number of colours possible in a polychromatic colouring for  $S$ .



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The polychromatic number  $p(S)$  of  $S \subseteq G$  is the largest number of colours possible in a polychromatic colouring for  $S$ .

Must have  $1 \leq p(S) \leq \#S$



# Examples

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If  $S = \{0, 1\}$ , then  $p(S) = 2$ . In fact if  $\#S = 2$ , then  $p(S) = 2$ .



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If  $S = \{0, 1\}$ , then  $p(S) = 2$ . In fact if  $\#S = 2$ , then  $p(S) = 2$ .

If  $\#S = 3$ , then  $\#S$  may be 2 or 3.

For instance, if  $S = \{0, 1, 2\}$ , then we have an easy 3-colouring:





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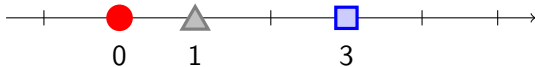
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Any choice of colour for 2 would produce a contradiction, eg



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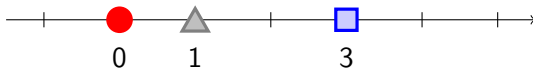
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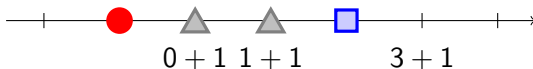
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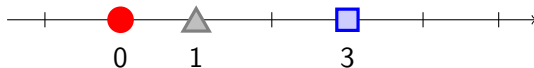
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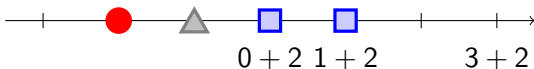
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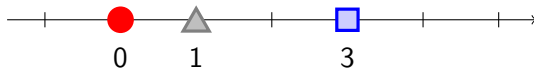
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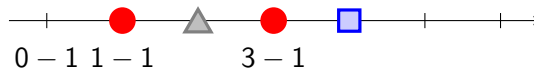
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# Bounding the size

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- Monotonicity! Using fewer colours makes it easier, and bigger sets are easier.



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- With two colours, sets of size 2 can always have a polychromatic colouring, but not size 3.





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- If I fix the set, there's always some small number of colours which gives me a polychromatic colouring.
- But what if I fix the number of colours?
- With two colours, sets of size 2 can always have a polychromatic colouring, but not size 3.
- Strauss asked: If we fix the number of colours  $k$ , is there a large enough size  $m$  such that every  $S$  with  $\#S \geq m$  admits a polychromatic  $k$ -colouring? In other words, such that every  $S$  with  $\#S \geq m$  has  $p(S) \geq k$ ?



# First bound

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## Theorem (Erdős, Lovász 1975)

*Yes,  $m \leq (3 + o(1))k \log k$  works. In particular, some finite  $m$  works.*

Equivalently,  $p(S) \geq \frac{\#S}{(3+o(1)) \log \#S}$ .



# Small sets

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In the case  $\#S = 4$ , Axenovich, Goldwasser, Lidický, Martin, Offner, Talbot and Young (2021) proved  $p(S) \geq 3$ , and hence that  $m(3) = 4$ .



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We will discuss the work-in-progress formalisation of these two results.



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```
def IsPolychrom (S : Finset G) ( $\chi : G \rightarrow K$ ) :  
  Prop :=  
   $\forall n : G, \forall k : K, \exists a \in S, \chi (n + a) = k$ 
```

```
lemma IsPolychrom.nonempty (h : IsPolychrom S  $\chi$ ) :  
  S.Nonempty := by  
  obtain ⟨i, hi, hi'⟩ := h 0 ( $\chi$  0)  
  use i
```

```
lemma IsPolychrom.finite (h $\chi$  : IsPolychrom S  $\chi$ ) :  
  Finite K := ...
```



# More definitions

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```
def HasPolychromColouring (K : Type*)  
  (S : Finset G) : Prop :=  
  ∃ χ : G → K, IsPolychrom S χ  
  
lemma HasPolychromColouring.nonempty_set  
  (h : HasPolychromColouring K S) :  
    S.Nonempty := by  
  obtain ⟨χ, hχ⟩ := h  
  exact hχ.nonempty
```



# Local lemma

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- If you can't construct an object, make it randomly!





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- If you can't construct an object, make it randomly!
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- Given an independent collection  $A_i$  of 'bad' events, if each  $\mathbb{P}(A_i) < 1$ , then the probability of all of them failing is nonzero



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- But what if they're not independent?
- We can still show that the probability of all  $A_i$  failing is nonzero, if each individual one is unlikely enough and they are 'mostly' independent



# Formal Local Lemma

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```
theorem symmetricLocalLemma
  {h0 : MeasurableSpace  $\Omega$ } {P : Measure  $\Omega$ }
  [IsProbabilityMeasure P] [Fintype  $\iota$ ]
  (hA :  $\forall i$ , MeasurableSet (A i))
  {p :  $\mathbb{R}$ } (hAp :  $\forall i$ , P (A i)  $\leq$  p)
  {N :  $\iota \rightarrow$  Finset  $\iota$ }
  (h : lopsidedCondition P A N)
  {d :  $\mathbb{N}$ } (hd : d  $\neq$  0)
  (hN :  $\forall i$ , # (N i)  $\leq$  d)
  (hpd : exp 1 * p * (d + 1)  $\leq$  1) :
  0 < P ( $\bigcap i$ , (A i)c) := by ...
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# Applying the Local Lemma

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- The 'bad' event  $A_i$  will be the translation  $S + i$  not receiving some colour





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- The 'bad' event  $A_i$  will be the translation  $S + i$  not receiving some colour
- Events aren't independent, but they don't overlap too much



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- Works reasonably well, we can estimate  $A_i$  easily, and bound  $d$  enough
- But this only works for finitely many events!
- So the theorem only works if we only care about finitely many translations...
- It works for finite groups, which is nice, but not good enough



# Selection Lemma

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Instead, uncover Rado's Selection Lemma



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Instead, uncover Rado's Selection Lemma

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theorem Finset.rado_selection {α β : Type}
  [Finite β] (g : Finset α → α → β) :
  ∃ χ : α → β, ∀ s : Finset α,
    ∃ t : Finset α, s ⊆ t ∧
      ∀ x ∈ s, χ x = g t x := by ...
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Proof uses Tychonoff, and that the intersection of closed sets in a compact space having the finite intersection property is nonempty.





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Proof uses Tychonoff, and that the intersection of closed sets in a compact space having the finite intersection property is nonempty.

This 'compactness' principle appears often throughout combinatorics, so isolating it into Rado's Selection Lemma helps formal re-use.



# Analysis

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Putting those pieces together, we get

```
lemma exists_of_le {k m : ℕ}
  {S : Finset G} (hm : #S = m)
  (hm2 : 2 ≤ m) (hk : k ≠ 0)
  (hkm : Real.exp 1 * (m * (m - 1) + 1) *
    k * (1 - (k : ℝ)-1) ^ m ≤ 1) :
  HasPolychromColouring (Fin k) S := by
  ...
```



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The bound doesn't depend on the group!

It turns out to be satisfied if  $m \geq 3k^2$ , or with a bit of calculus,  
 $m \geq k(3 \log k + 2 \log \log k + 5.2) = (3 + o(1))k \log k$ .



# Asymptotic answer

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```
theorem exists_colouring_asymptotic
```

```
{ $\varepsilon$  :  $\mathbb{R}$ } (h $\varepsilon$  :  $0 < \varepsilon$ ) :
```

```
 $\forall^f$  k :  $\mathbb{N}$  in atTop,  $\forall$  S : Finset G,
```

```
( $3 + \varepsilon$ ) * k * log k  $\leq$  #S  $\rightarrow$ 
```

```
HasPolychromColouring (Fin k) S := by
```



# Asymptotic answer

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```
theorem exists_colouring_asymptotic
  {ε : ℝ} (hε : 0 < ε) :
  ∀f k : ℕ in atTop, ∀ S : Finset G,
    (3 + ε) * k * log k ≤ #S →
      HasPolychromColouring (Fin k) S := by
```

Filters are great!



# So far...

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- Probability, topology, calculus



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- Often end up with more steps in the formal than informal versions, but the arguments are human-sized anyway



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- Probability, topology, calculus
- Formalisation involves understanding, restructuring and sometimes just translating an informal proof
- Often end up with more steps in the formal than informal versions, but the arguments are human-sized anyway
- Mathlib's global coherence allowed me to apply probability to my question



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Bhavik Mehta

Polychromatic  
colourings

Formalisation

Computation

- Probability, topology, calculus
- Formalisation involves understanding, restructuring and sometimes just translating an informal proof
- Often end up with more steps in the formal than informal versions, but the arguments are human-sized anyway
- Mathlib's global coherence allowed me to apply probability to my question
- Looking for general results encouraged me to find Rado's Selection Lemma



# So far...

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- Automation helped prove the results in calculus (but this one could be better!)



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# The proof

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I began reading the proof that if  $\#S = 4$  is a set of integers,  
 $p(S) \geq 3...$



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*It is possible, though tedious, to prove the entire theorem by hand. Thus in the interest of simplifying the exposition, we verified using a computer search that for every  $S$  with diameter at most 288 there exists an  $S$ -polychromatic 3-coloring...*



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How many such  $S$  are there?  $\binom{288}{3} \approx 4$  million.



# The computer search

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*The code for this search has been included as an ancillary file with the preprint of this paper*



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- Can we salvage the proof?



# Computers to the rescue

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- The C++ code is only slow when trying to find a few of the colourings





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- I got Z3 (with Python) to help the search get over the line, and print out the colourings generated



# Sending it to Lean

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- For each  $0 < a < b < c < 289$  we *should* now have a valid colouring



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- For each  $0 < a < b < c < 289$  we *should* now have a valid colouring
- Read them all into Lean, and have Lean check that each one indeed works



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- To check an individual one, write kernel-optimised bitwise operations



example :

$$\forall (a \ b \ c : \mathbb{Z}),$$
$$0 < a \rightarrow a < b \rightarrow b < c \rightarrow c < 289 \rightarrow$$
$$\text{HasPolychromColouring (Fin 3) } \{0, a, b, c\}$$

# Summary

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- Lean enables formalisation of a huge range of mathematics



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- Lean enables formalisation of a huge range of mathematics
- Mathlib enables doing this increasingly quickly, as it grows



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- Lean enables formalisation of a huge range of mathematics
- Mathlib enables doing this increasingly quickly, as it grows
- Nothing is impossible!



# Acknowledgments

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Thank you for your attention!