

Polychromatic
Colourings of
Integers

Bhavik Mehta

Polychromatic
colourings

Formalisation

Computation

Polychromatic Colourings of Integers

Bhavik Mehta

Imperial College London

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Let G be an arbitrary abelian group (it will typically be \mathbb{Z} or $\mathbb{Z}/q\mathbb{Z}$)



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Let G be an arbitrary abelian group (it will typically be \mathbb{Z} or $\mathbb{Z}/q\mathbb{Z}$)

Definition (Polychromatic colouring)

Given a finite subset $S \subseteq G$, a colouring χ of G is *polychromatic* for S if every translate of S meets every colour.



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The polychromatic number $p(S)$ of $S \subseteq G$ is the largest number of colours possible in a polychromatic colouring for S .



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Must have $1 \leq p(S) \leq \#S$

Examples

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If $S = \{0, 1\}$, then $p(S) = 2$. In fact if $\#S = 2$, then $p(S) = 2$.



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If $S = \{0, 1\}$, then $p(S) = 2$. In fact if $\#S = 2$, then $p(S) = 2$.

If $\#S = 3$, then $\#S$ may be 2 or 3.

For instance, if $S = \{0, 1, 2\}$, then we have an easy 3-colouring:



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But if $S = \{0, 1, 2, 3\}$, then no polychromatic 3-colouring can exist. Suppose we are given one:



Any choice of colour for 2 would produce a contradiction, eg

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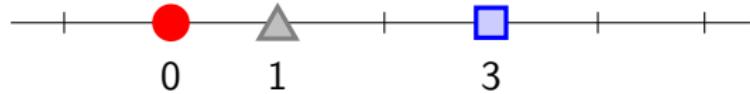
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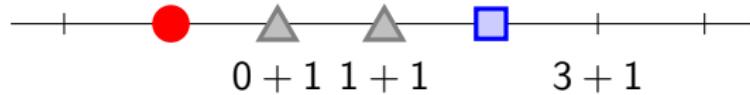
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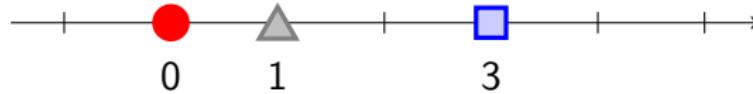
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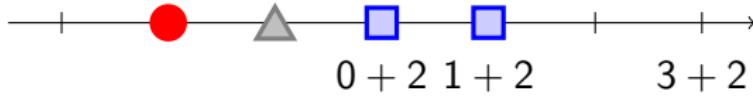
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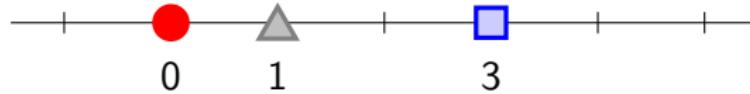
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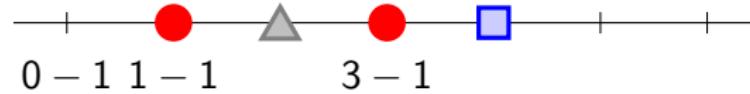
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Bounding the size

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- Monotonicity! Using fewer colours makes it easier, and bigger sets are easier.



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- With two colours, sets of size 2 can always have a polychromatic colouring, but not size 3.



Bounding the size

- Monotonicity! Using fewer colours makes it easier, and bigger sets are easier.
- If I fix the set, there's always some small number of colours which gives me a polychromatic colouring.
- But what if I fix the number of colours?
- With two colours, sets of size 2 can always have a polychromatic colouring, but not size 3.
- Strauss asked: If we fix the number of colours k , is there a large enough size m such that every S with $\#S \geq m$ admits a polychromatic k -colouring? In other words, such that every S with $\#S \geq m$ has $p(S) \geq k$?



First bound

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Theorem (Erdős, Lovász 1975)

Yes, $m \leq (3 + o(1))k \log k$ works. In particular, some finite m works.

Equivalently, $p(S) \geq \frac{\#S}{(3+o(1)) \log \#S}$.



Small sets

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In the case $\#S = 4$, Axenovich, Goldwasser, Lidický, Martin, Offner, Talbot and Young (2021) proved $p(S) \geq 3$, and hence that $m(3) = 4$.



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In the case $\#S = 4$, Axenovich, Goldwasser, Lidický, Martin, Offner, Talbot and Young (2021) proved $p(S) \geq 3$, and hence that $m(3) = 4$.

We will discuss the work-in-progress formalisation of these two results.



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```
def IsPolychrom (S : Finset G) (χ : G → K) :  
  Prop :=  
  ∀ n : G, ∀ k : K, ∃ a ∈ S, χ (n + a) = k  
  
lemma IsPolychrom.nonempty (h : IsPolychrom S χ) :  
  S.Nonempty := by  
  obtain ⟨i, hi, hi'⟩ := h 0 (χ 0)  
  use i  
  
lemma IsPolychrom.finite (hχ : IsPolychrom S χ) :  
  Finite K := ...
```



More definitions

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```
def HasPolychromColouring (K : Type*)  
  (S : Finset G) : Prop :=  
  ∃ χ : G → K, IsPolychrom S χ  
  
lemma HasPolychromColouring.nonempty_set  
  (h : HasPolychromColouring K S) :  
  S.Nonempty := by  
  obtain ⟨χ, hχ⟩ := h  
  exact hχ.nonempty
```



Local lemma

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- If you can't construct an object, make it randomly!



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- If you can't construct an object, make it randomly!
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- If you can't construct an object, make it randomly!
- Erdős and Lovász' proof introduced the (Lovász) local lemma
- Given an independent collection A_i of 'bad' events, if each $\mathbb{P}(A_i) < 1$, then the probability of all of them failing is nonzero



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- Given an independent collection A_i of 'bad' events, if each $\mathbb{P}(A_i) < 1$, then the probability of all of them failing is nonzero
- But what if they're not independent?
- We can still show that the probability of all A_i failing is nonzero, if each individual one is unlikely enough and they are 'mostly' independent



Formal Local Lemma

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```
theorem symmetricLocalLemma
  {hΩ : MeasurableSpace Ω} {P : Measure Ω}
  [IsProbabilityMeasure P] [Fintype ℓ]
  (hA : ∀ i, MeasurableSet (A i))
  {p : ℝ} (hAp : ∀ i, P (A i) ≤ p)
  {N : ℓ → Finset ℓ}
  (h : lopsidedCondition P A N)
  {d : ℕ} (hd : d ≠ 0)
  (hN : ∀ i, #(N i) ≤ d)
  (hpd : exp 1 * p * (d + 1) ≤ 1) :
  0 < P (∩ i, (A i)c) := by ...
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Pick the right version to prove first!



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Applying the Local Lemma

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- The ‘bad’ event A_i will be the translation $S + i$ not receiving some colour



Applying the Local Lemma

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- The ‘bad’ event A_i will be the translation $S + i$ not receiving some colour
- Events aren’t independent, but they don’t overlap too much



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- Works reasonably well, we can estimate A_i easily, and bound d enough



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- So the theorem only works if we only care about finitely many translations...



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- Events aren’t independent, but they don’t overlap too much
- Works reasonably well, we can estimate A_i easily, and bound d enough
- But this only works for finitely many events!
- So the theorem only works if we only care about finitely many translations...
- It works for finite groups, which is nice, but not good enough

Selection Lemma

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Instead, uncover Rado's Selection Lemma



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Instead, uncover Rado's Selection Lemma

```
theorem Finset.rado_selection {α β : Type}
  [Finite β] (g : Finset α → α → β) :
  ∃ χ : α → β, ∀ s : Finset α,
    ∃ t : Finset α, s ⊆ t ∧
      ∀ x ∈ s, χ x = g t x := by ...
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Proof uses Tychonoff, and that the intersection of closed sets in a compact space having the finite intersection property is nonempty.



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Proof uses Tychonoff, and that the intersection of closed sets in a compact space having the finite intersection property is nonempty.

This 'compactness' principle appears often throughout combinatorics, so isolating it into Rado's Selection Lemma helps formal re-use.



Analysis

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Putting those pieces together, we get

```
lemma exists_of_le {k m : ℕ}
  {S : Finset G} (hm : #S = m)
  (hm2 : 2 ≤ m) (hk : k ≠ 0)
  (hkm : Real.exp 1 * (m * (m - 1) + 1) *
    k * (1 - (k : ℝ)-1) ^ m ≤ 1) :
  HasPolychromColouring (Fin k) S := by
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It turns out to be satisfied if $m \geq 3k^2$, or with a bit of calculus,
 $m \geq k(3 \log k + 2 \log \log k + 5.2) = (3 + o(1))k \log k$.



Asymptotic answer

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```
theorem exists_colouring_asymptotic
  {ε : ℝ} (hε : 0 < ε) :
  ∀f k : ℕ in atTop, ∀ S : Finset G,
  (3 + ε) * k * log k ≤ #S →
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Filters are great!



So far...

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- Probability, topology, calculus



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- Probability, topology, calculus
- Formalisation involves understanding, restructuring and sometimes just translating an informal proof



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- Mathlib's global coherence allowed me to apply probability to my question
- Looking for general results encouraged me to find Rado's Selection Lemma
- Automation helped prove the results in calculus (but this one could be better!)

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The proof

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I began reading the proof that if $\#S = 4$ is a set of integers,
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The proof

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Computation

I began reading the proof that if $\#S = 4$ is a set of integers,
 $p(S) \geq 3\dots$

It is possible, though tedious, to prove the entire theorem by hand. Thus in the interest of simplifying the exposition, we verified using a computer search that for every S with diameter at most 288 there exists an S -polychromatic 3-coloring...

How many such S are there? $\binom{288}{3} \approx 4$ million.



The computer search

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The code for this search has been included as an ancillary file with the preprint of this paper



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- Can we salvage the proof?

Computers to the rescue

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- The C++ code is only slow when trying to find a few of the colourings



Computers to the rescue

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- The C++ code is only slow when trying to find a few of the colourings
- For most of them, it finds me the colouring fast which I can dump to file



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- So, use Z3 to fill in the gaps
- I got Z3 (with Python) to help the search get over the line, and print out the colourings generated



Sending it to Lean

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- For each $0 < a < b < c < 289$ we *should* now have a valid colouring



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- For each $0 < a < b < c < 289$ we *should* now have a valid colouring
- Read them all into Lean, and have Lean check that each one indeed works



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- To check an individual one, write kernel-optimised bitwise operations

example :

```
   $\forall (a b c : \mathbb{Z}),$ 
     $0 < a \rightarrow a < b \rightarrow b < c \rightarrow c < 289 \rightarrow$ 
    HasPolychromColouring (Fin 3) {0, a, b, c}
```

Summary

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- Lean enables formalisation of a huge range of mathematics



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- Lean enables formalisation of a huge range of mathematics
- Mathlib enables doing this increasingly quickly, as it grows
- Nothing is impossible!



Acknowledgments

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Thank you for your attention!