# INDIAN INSTITUTE OF TECHNOLOGY BOMBAY

# **Rules and Regulations**

# Exit Rules From M.Tech. and Ph.D. Programme



# **Academic Office**

2022-2023

July, 2022

## Introduction:

Rules are classified into three separate categories as follows: (i) those which may be implemented within a department by DUGC/DPGC, (ii) those that require a decision at the level of A/Dean AP, or UGAPEC/PGAPEC, based on recommendations from the departmental bodies (iii) those that need to be discussed in the Senate for a decision

Therefore, rules are colored with one of three colors.

- 1. The color green indicates that the final authority for the rule is the Convener, DPGC.
- 2. The <u>color yellow, and underlined</u> means that the final authority is <u>Associate</u> <u>Dean(AP)/Dean (AP).</u>
- 3. The color yellow, without an underline means that the Convener, PGAPEC is the authority.
- 4. The color pink means that the final authority is the Senate.
- 5. The rule which is uncolored, is to be implemented strictly.

Any application pertaining to the rule may be sent to the appropriate authority.

The Senate in its 233<sup>rd</sup> Meeting held on 25<sup>th</sup> April 2018 approved revision in rules for exit from M.Tech. and Ph.D. programmes, which are as follows:

- 1. These rules shall be called "Exit rules from M. Tech and Ph. D programmes" and supersede all existing rules on the subject.
- 2. The exit from M.Tech. and Ph.D. programmes with a degree shall not be a right of the student. However, in the event of the recommendation of concerned postgraduate committee about sufficient work done deserving such consideration, the PGAPEC shall consider exit with a degree as detailed here under.
- 3. The eligibility for exit degree shall be as follows:

Students admitted for	Possible Exit diploma/ degree	Credit requirement as on the date of recommendation for exit	Required minimum CPI	Eligibility for exit diploma/degree on:
M. Tech	PGDIIT	58 credits	<mark>5.5</mark>	Approval of recommendations of PGC by PGAPEC.
Ph. D	M.Phil. or M.S. by Research (As recommended by the DPGC of concerned Academic Unit)	On completion of required course credits for Ph.D as per Institute norms	6.0	Successful Viva voce examination after submission of dissertation.

- 4. The exit with a lower degree than admitted as above may happen either when the student on own volition asks for it or the concerned Postgraduate committee recommends termination of studentship on the grounds of unsatisfactory progress.
- 5. The recommendation of the postgraduate committee for award of lower degree per se means that the student would be eligible for such lower exit degree on the date of the recommendation. Application from the student requesting for the exit option from the existing programme is not required. The student will automatically be registered to the lower (Exit) degree on approval of PGAPEC.

The student admitted for Ph.D. may be given upto six months to sum up the work and submit the dissertation for award of M.Phil./M.S. by Research Degree. However, the registration of the student from Ph.D. programmes shall be terminated immediately on the approval of the PGAPEC for exit and the student shall be deemed to be registered for M.Phil./M.S. by Research for submission of dissertation/thesis. The continuation of such registration shall be subject to payment of fees and other usual conditions, same as that of original programme of admission. Registration for any other course credits shall cease as soon as the exit is approved.

- 6. Failure to comply all requirement for the M. Phil./M.S. by Research as exit degree within Six (6) months of conversion to exit degree shall lead to termination of registration from the exit degree.
- 7. The payment of Scholarship/Teaching Assistantship shall cease with immediate effect on approval of exit.
- 8. Procedures for Evaluation of the M.Phil. (Exit) dissertation/M.S. by Research thesis:
  - I. A Four Member committee as stated below has to be formed by DPGC for vivavoce examination.
    - i) Supervisor (s)
    - ii) Internal Examiner
    - iii) External Examiner\*/Additional Internal Examiner \*
    - iv) Chairperson: A Professor/ Associate Professor from another academic unit or a different specialization/ research area.

The Academic Unit may decide if a Chairperson is needed for the viva or if one of the Internal Examiners can also act as a Chairperson. In case the Internal Examiner happens to be a Professor / Associate Professor, he may be designated to also serve as the Chairperson with prior approval of the Convener, DPGC.

- \* The presence of an External Examiner is desirable. However, in case of difficulty in finding an external examiner in the required areas, an additional Internal Examiner may be appointed in place of the external Examiner subject to the approval of DPGC/IDPC/PGC.
  - II. Students will be required to submit four soft bound copies in the Department preferably two weeks prior to final defence exam.
  - III. The viva-voce exam would to be conducted under departmental arrangements.
  - IV. Forms for submission of Dissertation, duly completed must be submitted to the Academic office along with the provisional clearances from Accounts Section and from HCU(Hostel Coordination Unit)/ Hostel.
  - V. Final recommendation is to be sent by the panel of examiners to the Convener, PGAPEC through DPGC/IDPC on completion of viva-voce.

### VI. Submission of Electronic form of Dissertation:

Dissertation needs to be submitted in electronic form to the Central Library. Due academic approvals of the final version of the Dissertation should be obtained from the supervisor(s) prior to finalizing the archival version of the Dissertation.

The student shall submit the prescribed form for submission of dissertation to the Academic Unit/Academic Office, duly signed by the concerned, within ONE month, from the successful completion of the defense. If the copies of dissertation submitted after the due date, a fine of Rs.5,000/- per month from the due date of submission till the date of submission will be levied to the concerned student.

The form for evaluation of exit degree is available on : <a href="http://www.iitb.ac.in/newacadhome/downloadForms/phdforms.jsp">http://www.iitb.ac.in/newacadhome/downloadForms/phdforms.jsp</a>

The final transcripts/provisional degree certificate/final degree certificate will be issued only after receipt of dissertations.

Exit degree from Ph.D. programme Department wise :

As per the recommendations of the DPGC of the following academic units, the exit degree from the Ph.D. programme is as mentioned below against each academic unit. A Ph.D. student will be eligible for an exit degree from Ph.D. programme after fullfilling the criteria and completing the requirement of exit degree.

Sr.	Name of Department	Option of exit degree from Ph.D.
1.	Aerospace Engineering	M.S. by Research
2.	Bios. & Bioengg.	M.Phil.
3.	Chemical Engineering	M.S. by Research
4.	Chemistry	M.Phil.
5.	Civil Engineering	M.S. by Research
6.	Computer Sc. & Engg.	M.S. by Research
7.	Earth Sciences	M.Phil.
8.	Electrical Engineering	M.S. by Research
		M.Phil. degree for M.Sc. Qualified students
9.	Energy Sci. & Engineering *	and M.S. by Research degree for B.Tech./M.Tech. Qualified students

Sr.	Name of Department	Option of exit degree from Ph.D.
10.	Environmental Sc. & Engg. *	M.Phil. degree for M.Sc. Qualified students
		and M.S. by Research degree for B.Tech./M.Tech. Qualified students
11.	Mathematics	M.Phil.
12.	Mechanical Engineering	M.S. by Research
13.	Met. Engg. &Mat. Sci. *	M.Phil. degree for M.Sc. Qualified students
		and M.S. by Research degree for B.Tech./M.Tech. Qualified students
14.	Physics	M.Phil.
15.	Humanities & So. Sci.	MA by Research
16.	IDC School of Design	M.Phil.
17.	CSRE	M.Phil. degree for M.Tech. Qualified students and M.S. by Research degree for B.Tech./M.Sc. Qualified students
18.	CTARA	M.S. by Research
19.	CRNTS *	M.Phil. degree for M.Sc. Qualified students and M.S. by Research degree for B.Tech./M.Tech. Qualified students
20.	Educational Technology	M.S. by Research
21.	Centre for Policy Studies (CPS)	M.Phil. (Policy Studies)
22.	Climate Studies	M.S. by Research
23.	C-USE	M.S. by Research

Sr.	Name of Department	Option of exit degree from Ph.D.
24.	I.E.& O.R. *	M.S. (by research) for those Ph.D. candidates who are eligible and who are admitted after a B.Tech or M.Tech.
		M.Phil or M.Phil (Operations Research) for
		(a) those who come in with an M.Sc. degree to the Ph.D. degree and are eligible and
		(b) those who come through the JAM exam for an M.ScPh.D. dual degree, and who are eligible.
25.	Systems & Control Engg.	M.Phil Degree for M.Sc. Qualified students and M.S. by Research Degree for B.Tech./M.Tech. Qualified students.
26.	SJM School of Management	M.S. by Research
27	CMInDS- Centre for Machine Intelligence and Data Science	M.Phil
28	KCDH - Koita Centre for Digital Health	M.S. by Research

<sup>\* -</sup> Have indicated both the degrees **M.Phil.** degree for M.Sc. Qualified students and **M.S. by Research** degree for B.Tech./M.Tech. Qualified students.

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**Updated as on : 02-11-2022**