GENETIC ALGORITHM OPTIMIZATION IN ANTENNA DESIGN

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By

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CERTIFICATE

This is to certify that the dissertation work entitled **Genetic Algorithm Optimization in Antenna Design** is a bonafide record of seminar work carried out by Piyush Kashyap (144238) submitted to the faculty of "Electronics and Communication Engineering Department", in partial fulfillment of the requirements for the award of the degree of Bachelor of Technology in "Electronics and Communication Engineering" at National Institute of Technology, Warangal during the academic year (2017-2018).

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Abstract

The design problem of imposing deeper nulls in the interference direction of uniform linear antenna arrays under the constraints of a reduced side lobe level (SLL) and a fixed first null beam width (FNBW) is modeled as a simple optimization problem. The real-coded genetic algorithm (RGA) is used to determine an optimal set of current excitation weights of the antenna elements and the optimum inter-element spacing that satisfies the design goal. Three design examples are presented to illustrate the use of the real-coded genetic algorithm (RGA) and the optimization goal in each example is easily achieved. The numerical results along with simulations demonstrate the effectiveness of the proposed method.

With the help of above mentioned illustration and implementation, the importance of Genetic Algorithm (GA) Optimization is formulated and advocated in the world of Antenna Design.

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CHAPTER 1

INTRODUCTION

1.1 Array Antenna

An antenna array is composed of an assembly of radiating elements in an electrical or geometrical configuration. In most cases, the elements are identical. The total field of the antenna array is found by vector addition of the fields radiated by each individual element.

Five controls in an antenna array can be used to shape the pattern properly:

- The geometrical configuration (linear, circular, rectangular, spherical) of the overall array
- The spacing between the elements
- The excitation amplitude of the individual elements
- The excitation phase of the individual elements
- The relative pattern of the individual elements

Many communication applications require a highly directional antenna. Array antennas have higher gain and directivity than an individual radiating element. A linear array consists of elements placed in a straight line with a uniform spacing between the elements. The goal of antenna array geometry synthesis is to determine the physical layout of the array that produces a radiation pattern that is closest to the desired pattern.
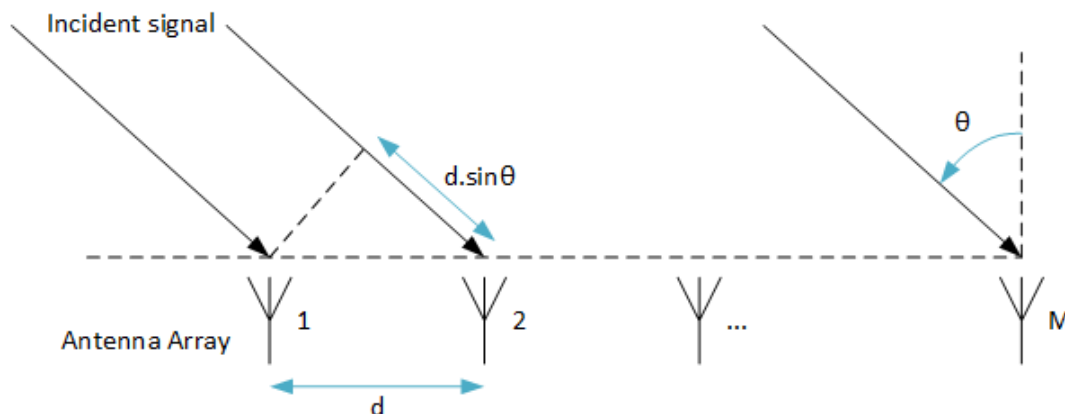

Fig 1.1 Array Antenna Setup

1.2 Design Optimization need

The increasing amount of electromagnetic pollution has prompted the study of array pattern nulling techniques. These techniques are important in radar, sonar and communication systems to minimize degradation of the signal to noise ratio due to undesired interference. Much current research on antenna arrays is focused on using robust and easily adapted optimization techniques to improve the nulling performance.

Classical gradient-based optimization methods are not suitable for improving the nulling performance of linear antenna arrays for several reasons, including the following:

- The methods are highly sensitive to the starting points when the number of variables, and hence the size of the solution space, increases
- They frequently converge to local optimum solutions, diverge or arrive at the same suboptimal solution
- They require a continuous and differentiable objective function (gradient search methods)
- They require piecewise linear cost approximation (linear programming)
- They have problems with convergence and algorithm complexity (non-linear programming).

Thus, evolutionary optimization methods have been employed for the optimal design of deeper nulls.

Different evolutionary optimization algorithms, such as

- Fuzzy logic
- The bees algorithm
- The genetic algorithm (GA)
- The particle swarm optimization (PSO)

have been widely used in the development of design methods that are capable of satisfying constraints that would otherwise be unattainable. Of these algorithms, GA is a promising global optimization method for the design of antenna arrays.

1.3 Different Design Optimization techniques' overview

Several methods for the synthesis of array antenna patterns with prescribed nulls are reviewed below. An approach of null control using PSO, where single or multiple wide nulls are generated

by optimum perturbations of the elements' current amplitude weights to create symmetric nulls about the main beam, has been considered previously. An approach for the pattern synthesis of linear antenna arrays with broad nulls is also worked upon. Also, a differential evolution algorithm has been used to optimize the static-mode coefficients and the durations of the time pulses, leading to a significant reduction of the sideband level. A binary coded genetic algorithm is used in Haupt (1995, 1975) and Yan and Lu (1997) to reduce the sidelobe level of a linear array by excitation coefficient tapering. The spacing is assumed to be equal to half of the wavelength throughout the array aperture. The study shows good sidelobe performance (approximately _33 dB) for a 30 element array. The radiation pattern of linear arrays with large numbers of elements (20–100) is improved using a GA in Ares-Pena et al. (1999). The sidelobes for 20 and 100 element arrays are reduced to -20 dB and -30 dB, respectively. A decimal GA technique to taper the amplitude of the array excitation to achieve reduced side lobe and null steering in single or multiple beam antenna arrays is proposed in Abdolee et al. (2007). In Son and Park (2007), a low-profile phased array antenna with a low sidelobe was designed and fabricated using a GA. The sidelobe level was suppressed by only 6.5 dB after optimization. An approach for sidelobe reduction in a linear antenna array using a GA is proposed in Recioui et al. (2008), Das et al. (2010). In Das et al. (2010), the sidelobes for symmetric linear antenna arrays are reduced without significantly sacrificing the first null beamwidth, and non-uniform excitations and optimal uniform spacing are proposed generate the desired result. Optimal values are found using the real-coded genetic algorithm (RGA). An approach to determine an optimum

Fig 1.2 Array Antenna Setup application in military

set of weights for antenna elements to reduce the maximum side lobe level (SLL) in a concentric circular antenna array (CCAA) with the constraint of a fixed beamwidth is proposed in Mandal et al. (2009), Mondal et al. (2010). In (Cafsi et al. (2011), a method of adaptive beam forming is described for a phased antenna array using a GA. The algorithm can determine the values of phase excitation for each antenna to steer the main beam in specific directions.

1.4 Algorithm's Objective

The goal of this algorithm is to introduce deeper null/nulls in the interference directions and to suppress the relative SLLs with respect to the main beam with the constraint of a fixed first null beam width (FNBW) for a symmetric linear antenna array of isotropic elements. This is done by designing the relative spacing between the elements with a non-uniform excitation over the array aperture. An evolutionary technique, the RGA (Haupt and Werner, 2007; Haupt, 1995; Holland, 1975), is used to obtain the desired pattern of the array.

Several aspects of the RGA are different from other search technique:

- First, the algorithm is a multi-path technique that searches many peaks in parallel and hence decreases the possibility of local minimum trapping.
- Secondly, the RGA only needs to evaluate the objective function (fitness) to guide its search. Hence, there is no need to compute derivatives or other auxiliary functions, so the RGA can also minimize the non derivable objective function.
- Finally, the RGA explores the search space where the probability of finding improved performance is high.

1.5 What is in the following chapters?

A broadside uniform linear array with uniform spacing is considered. The array is symmetric with respect to the origin with equal spacing between any two consecutive elements. The phase difference between any two elements is fixed at zero. The RGA adjusts the excitation coefficients and location of the elements from the array center to impose deeper nulls in the interference directions. A cost function is defined that keeps the nulls and side lobes at lower levels. The remainder of the paper is arranged as follows. In Chapter 2, the general design equations for a non-uniformly excited and unequally spaced linear antenna array are stated. A brief introduction to the Genetic Algorithm is presented in Chapter 3, and the numerical

simulation results are presented in Chapter 4. The report concludes with a summary of the work in Chapter 5.

CHAPTER 2
DESIGN EQUATION

2.1 Reference design

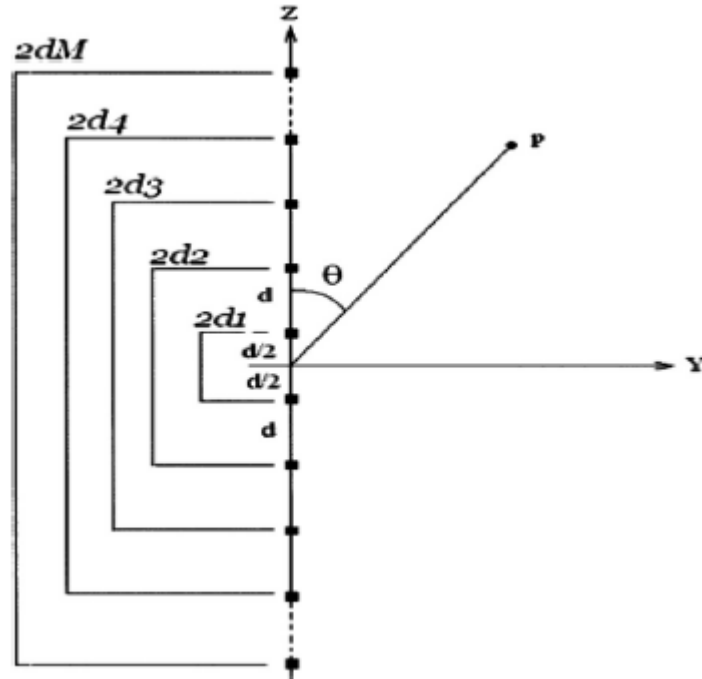A broadside linear antenna array of 2M isotropic radiators, as shown in Fig. 2.1, is considered.

Fig 2.1 Geometry of a 2M element symmetric linear antenna
array along the z axis.

Each element is excited with a non-uniform current. The array elements are assumed to be uncoupled and equally spaced along the z-axis, and the center of the array is located at the origin. The array is symmetric in both geometry and excitation with respect to the center. The radiation characteristics of antennas are most important in the far field (Fraunhofer) region. An array consisting of identical and identically oriented elements has a far field radiation pattern that can be expressed as the product of the element pattern and a factor that is widely referred to as the array factor. Each array has its own array factor.

2.1.1 Array Factor

The array factor, in general, is a function of the number of elements, their geometrical arrangement, their relative magnitudes, their relative phases, and their relative spacings. Because the array factor does not depend on the directional characteristics of the radiating elements, it can be formulated by replacing the actual elements with isotropic (point) sources. For the array in Fig. 2.1, the array factor AF, in the azimuth plane (x–y plane) with symmetric amplitude distributions may be written as:

$$AF(I, \varphi, d) = 2 \sum_{n=1}^{M} I_n \cos \left[\left(\frac{2n-1}{2} \right) kd \cos(\theta) + \varphi_n \right]$$

Where,

   h = the zenith angle measured from the broadside direction of the array

   I_n = the current excitation amplitude

   φ_n = the excitation phase of the nth array element

   d = the spacing between two consecutive elements

   k ($= 2\pi/\lambda$) = the wave numbers, where λ is the signal wave-length.

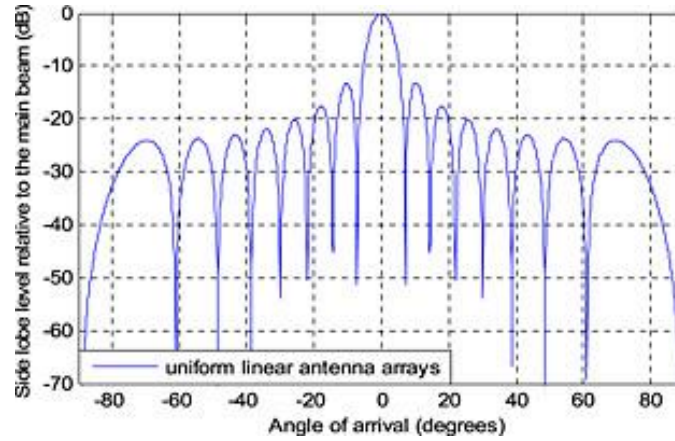In this paper, φ_n is fixed at zero.

Fig 2.2 Antenna pattern for reference design

2.2 Defining Objective/Cost Function

The array elements are numbered from 1 to M from the origin in a symmetric array, where the total number of elements is 2M. After defining the far-field radiation pattern, the next step in the design process is to formulate the objective function that is to be minimized. The objective function is defined using the array factor in such a way that the objective of the optimization is satisfied. For the optimization problem of the null placement in the far field pattern of the array, the array factor value at the particular null position must be less than the reference pattern. Similarly, for the side lobe reduction problem, the array factor values at the side lobe peaks must be less than the reference pattern. To satisfy these objectives, the array factor is included in the cost function expression. The objective function ''cost function'' (CF) to be minimized with the RGA to introduce the deeper null and reduce the relative SLL is given in:

$$\text{Cost Function} = C_1 \times \frac{\left|\prod_{i=1}^{m} AF(null_i)\right|}{|AF_{max}|} + C_2 \times \sum_{k=1}^{K} H(k) \times (Q_k - \delta) + C_3 \times \left(FNBW_{computed} - FNBW(I_n = 1)\right)$$

Where,

m = the maximum number of positions where the null can be imposed. The value of 'm' is considered to be 1 and 2.

$AF(null_i)$ = the value of the array factor at the particular null position

AF_{max} is the maximum value of the array factor.

The second term in the equation is summed to reduce the SLL to a desired level. K denotes the number of side lobes in the original pattern, Q_k is the side lobe level in dB generated by the individual population at some peak point, and δ is the desired value of the side lobe level in dB. $H(k)$ is defined as:

$$H(k) = \begin{cases} 1, & (Q_k - \delta) > 0 \\ 0, & (Q_k - \delta) \leqslant 0 \end{cases}$$

The side lobes whose peaks exceed the threshold δ must be suppressed, so $H(k)$ is adopted in the ''cost function'' expression. FNBW denotes the first null beamwidth, which is the angular width between the first nulls on either side of the main beam. The third term in the equation is introduced to keep FNBW of the optimized pattern the same as in the initial pattern (the pattern for $I_n = 1$ and $d = \lambda/2$). In (2), the two beam widths $FNBW_{computed}$ and $FNBW$ ($I_n = 1$) refer to the computed first null beamwidth in radian for the non-uniform excitation for the optimal spacing

case and for the uniform excitation (In = 1) with uniform inter-element spacing (d = λ /2) case, respectively. The actual value of FNBW for a uniform linear array can be calculated by:

$$\theta_n = \frac{2\lambda}{Nd}$$

Where,

N (= 2M) = the total number of elements in the array

C1, C2 and C3 = weighting coefficients to control the relative importance of each term.

Because the primary aim is to achieve a deeper null, the value of C1 is higher than the values of C2 and C3. In the first term, both the numerator and denominator are absolute values. A smaller value of the cost function means that the array factor values at predefined positions are lower. Consequently, RGA controls the amplitude excitations and the inter-element spacing to minimize the cost function.

CHAPTER 3
EVOLUTIONARY OPTIMIZATION TECHNIQUE – GENETIC ALGORITHM

3.1 Introduction

Genetic algorithms are a family of computational models inspired by evolution. GAs can be used to find approximate solutions to search problems through the application of the principles of evolutionary biology. GA uses biologically inspired techniques, such as genetic inheritance, natural selection, mutation, and sexual reproduction (recombination or crossover). The GA was first introduced in 1975 by Prof. Holland (1975). Real-coded GA (RGA) uses floating-point number representations for the real variables and thus is free of binary encoding and decoding. Hence, it is faster than binary GA.

3.2 Algorithm flow chart

The algorithm performs the following steps:

- Randomly or heuristically generates an initial population within the variable constraint range.
- Computes and saves the fitness for each individual in the current population.
- Defines the selection probability for each individual so that it is proportional to its fitness.
- Generates the next population by probabilistically selecting the individuals from the previous current population to produce offspring via genetic operators.
- Repeats step 2 until a satisfactory solution is obtained.

GA consists of a data structure of individuals called the population. Individuals are also called chromosomes. Each chromosome is evaluated by a function known as a fitness function or a cost function, which is usually the fitness function or the objective function of the corresponding optimization problem. The working principle of a GA is explained briefly in Fig. 3.1 based on the problem addressed in this report.

The important parameters of the GA are as follows:

- Selection – this is based on the fitness criterion to choose which chromosome from a population will go onto reproduce.
- Reproduction – the propagation of individuals from one generation to the next.
- Crossover – this operator exchanges genetic material, which are the features of the optimization problem. Single point crossover is used here.
- Mutation – the modification of chromosomes in single individuals. Mutation does not permit the algorithm to get stuck at a local minimum.
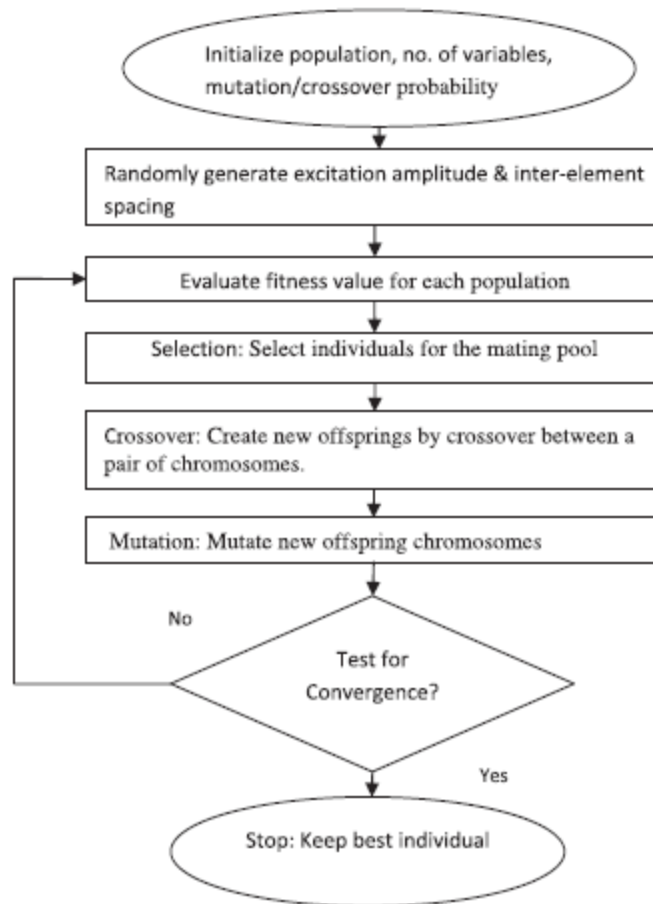

Fig 3.1 The GA flow for determining the optimized excitation amplitude and optimum location of array elements

3.3 Stopping criteria

The iteration stops when the maximum number of cycles is reached. The grand minimum CF and its corresponding chromosome string or the desired solution are finally obtained. The desired

pattern is generated by jointly optimizing the amplitude distributions and the inter-element spacing with the fixed first null beam width. In this paper, both the amplitude and the inter-element spacing distributions are assumed to be symmetric with respect to the center of the array. The chromosomes correspond to the current excitation weights and the inter-element spacing of the antenna elements. Because of symmetry, each chromosome consists of M + 1 number of genes, where M is the number of antenna elements on either side of the array center. Here, the 1st to M_{th} genes represent the current excitation weights of the antenna elements, and the $(M+1)_{th}$ gene represents the inter-element spacing. For example, chromosome one W_1 can be represented by:

$$W_1 = [\ W_{11},\ W_{12},\ \ldots\ W_{1M},\ W_{1(M+1)}\ ]$$

Where,

$W_{11}, W_{12} \ldots W_{1M}$ = the antenna element weights or genes

$W_{1(M+1)}$ = the inter-element spacing.

Each of these current excitation weights and the inter-element spacing has upper and lower limits. The random set of chromosomes can easily be constructed using the following relation represented by:

$$W_n = (u1 - u2)\ r + u2;\ u2 < W_n < u1$$

Where,

u1 = the maximum limit value of the weight

u2 = the minimum limit value of the weight

r = a real random vector between 0 and 1.

All of the current excitation weights are restricted to lie between 0 and 1, and the inter-element spacing is restricted to lie between $\lambda/2$ and λ.

CHAPTER 4

NUMERICAL SIMULATION RESULTS

4.1 Implementation

Linear antenna arrays composed of 12, 16, and 20 isotropic radiating elements, with an inter-element spacing of $\lambda/2$, are considered for reference. RGA is applied to obtain deeper nulls and to reduce the SLLs. RGA was executed with 500 iterations, and the population size was fixed at 120. For the RGA, the mutation probability was set to 0.05, and uniform crossover was used. The RGA algorithm is initialized using random values of the excitation ($0 < I_n < 1$) and the spacing between the elements ($\lambda/2 < d < \lambda$). The nulling performances are improved for predefined nulls of the radiation pattern. Similarly, nulls are imposed at predefined peak positions.

4.2 Observations

The initial values of the maximum side lobe level (SLL) and the FNBW for a uniform amplitude and uniform spacing for the entire array structures (linear arrays with 12, 16 and 20 elements) are given in Table 1.

Table 1 SLL and FNBW for uniform excitation ($I_n = 1$) of linear array sets with an inter-element spacing of $\lambda/2$.

Set no.	Total number of elements (2M)	SLL (dB)	FNBW (degrees)
I	12	−13.06	19.10
II	16	−13.14	14.40
III	20	−13.19	11.52

Figs. 4.1–4.3 show the generation of deeper nulls over the 3rd null. For the 12, 16, and 20 element arrays, the nulls have improved up to 79.54 dB, 80 dB and 98.51 dB from the initial values of 51.90 dB, 50.60 dB and 77.20 dB respectively.
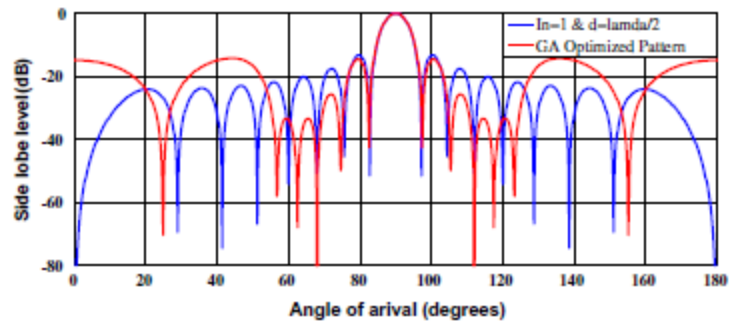
Fig 4.1 Best array pattern found by RGA for the 12-element array case with an improved null at the 3rd null; i.e., h = 60 degrees and h = 120 degrees
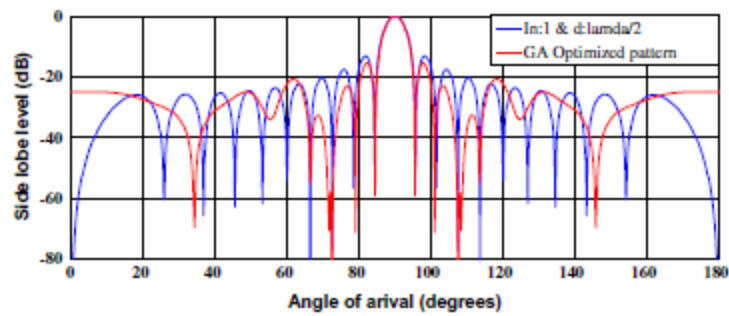

Fig 4.2 Best array pattern found by RGA for the 16-element array case with an improved null at the 3rd null; i.e., h = 68 degrees and h = 112 degrees
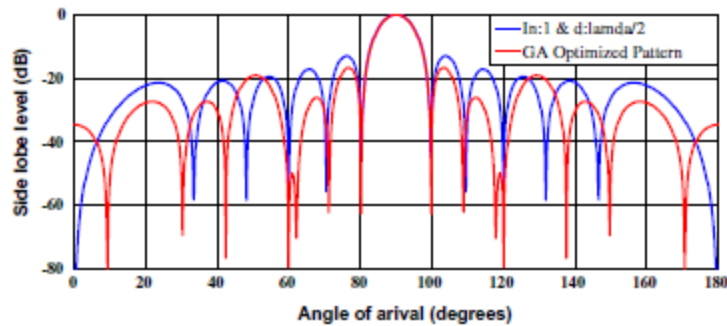

Fig 4.3 Best array pattern found by RGA for the 20-element array case with an improved null at the 3rd null; i.e., h = 72.5 degrees and h = 107.5 degrees

CHAPTER 5
CONCLUSION

This paper describes the design of a non-uniformly excited symmetric linear antenna array with optimized non-uniform spacing between the elements using the optimization techniques of a RGA. The simulated results reveal that optimizing the excitation values of the elements with the optimal inter-element spacing of the array antennas can impose deeper nulls in the interference direction and reduce the SLL for a given number of array elements with respect to the corresponding uniformly excited linear array with an inter-element spacing. For instance, an optimized 16 element linear array antenna imposes nulls with values of 83.87 dB and 69.09 dB at the second and third peaks, respectively, from initial peak values of 17.49 dB and 20.10 dB at second and third peaks, respectively. The SLL was also reduced by 6.84 dB.

The report makes three main contributions:

- In almost all design configurations, the null depth improves by approximately 80 dB.
- The maximum SLL is also reduced in all cases.
- The FNBW of the initial and final radiation pattern remains approximately the same.

It is worth noting that although the proposed algorithm is implemented to constrain the synthesis of a linear array with isotropic elements, it is not limited to this case. The proposed algorithm can easily be implemented in non-isotropic element antenna arrays with different geometries to design various array patterns.

CHAPTER 6
REFERENCES

- A genetic algorithm for the level control of nulls and side lobes in linear antenna arrays by Bipul Goswami and Durbadal Mandal

- OPTIMIZATION OF AN ANTENNA ARRAY USING GENETIC ALGORITHMS by Shahideh Kiehbadroudinezhad, Nor Kamariah Noordin, A. Sali and Zamri Zainal Abidin

- Sidelobe reduction of the low profile multi-subarray antenna by genetic algorithm by Zhiqiang Lin, Minli Yao, and Xiaowei Shen

- Genetic Algorithms in the Design and Optimization of Antenna Array Patterns by Francisco J. Ares-Pena,, *Juan A. Rodriguez-Gonzalez,* Emilio Villanueva-Lopez, and S. R. Rengarajan

FINAL YEAR PROJECT

Design of compact wide band patch antennas for 3 bands (L, S and C)

The study of microstrip antennas has made great advancement in recent years. Microstrip patch antennas (MPAs) have more advantages and better prospects compared with conventional antennas. They are lighter in weight, have lower volume, cost less, low profile, smaller in dimensions and facilitate ease of fabrication and conformity. Moreover, the microstrip patch antennas can provide dual and circular polarizations, frequency agility, dual-frequency operation, broad band-width, feed line flexibility, directional patterning and beam scanning. The performance and advantages of microstrip patch antennas make them the perfect choice for Electronic Warfare device applications in controlling spectrum, jamming etc. Generally, frequency ranges 1 to 8 GHz are used for the same. We divided this in 3 ranges (1-2GHz, 2-4GHz and 4-8GHz). It's highly directional radiation patterns and compactness in size makes it more favorable.

In previous semester, we designed and analyzed 3 Circular Patch Antenna using CST Studio Suite 2015 respectively for 3 groups of frequencies as mentioned earlier. We also worked on implementing slots in it to reduce its resonant frequencies. In this semester, we are working on design optimization of the Microstrip circular patch antennas using slots, sheets, fractals, DGS and metamaterials techniques suggesting benefits like miniaturization, bandwidth and gain improvement.